

By continuously optimizing rules, organization can change requirements and leverage new opportunities to be further improve performance.

Q. 11.

## Relational Algebra

Relational calculus -

Formalism

Procedural Query language  
Specifying operations

Non-procedural Query language specifying predicates

Focus:

operations on relation  
(table)

selection of tuples from relations based on predicates

operations

selection, projection,  
union, intersection, etc

use mathematical predicates  
and logical formulas.

Expressiveness

Limited compared to

More expressive and declarative.

Calculus

Quantifiers

Does not include quantifiers

Include existential ( $\exists$ ) and universal ( $\forall$ ) quantifiers.

The

Basis for query optimization and execution

Theoretical foundation for understanding query languages.

the 5th slide of notes. Now we will discuss the features of ODBMS and then what are the advantages?

Object-oriented DB management system are DBMS that store data in the form of objects similar to how they are represented in OOP L.P.

Features of ODBMS :-

### 1. Support for Complex data

ODBMS supports complex data types such as object arrays and user defined datatypes. This allows for more flexible data modeling compared to traditional relational DB.

### 2. Encapsulation

→ object encapsulates both data and behaviour allowing for more natural representation and manipulation of real world entities. It also helps maintain data integrity and provides abstraction.

### 3. Inheritance

ODBMS supports inheritance, allowing objects to inherit attributes and methods from parent classes. This promotes code reuse and simplifies data modeling by enabling the creation of hierarchical structures.

4. Polymorphism

↳ It allows object of different types to be treated uniformly. It supports polymorphic behaviour, enabling more flexible and extensible application.

5. Complex Relationships

↳ It supports complex relationships b/w objects, including one-to-one, one-to-many, and many-to-many relationships. This enables more natural representation of relationships.

6. Query language

↳ It typically provides Object Query Language (OQL) tailored to work with objects, such as Object Query and manipulate objects directly.

7. Concurrency Control and Transaction Management

↳ It supports concurrency control mechanisms to ensure data consistency in multi-user environments. It also provides transaction management features to maintain data integrity during transaction operation.

## Advantages of ODBMS

1. Better representation of Real world entities:  
This advantage provides a more natural representation of real world entities by allowing data and behavior to be encapsulated within objects. This simplifies data modeling and promotes code reusability.
2. Increased Productivity:  
The use of object oriented concepts such as inheritance and polymorphism can lead to more modular and maintainable code. This result in increased developer productivity and shorter development cycles.
3. Improved Performance:  
ODBM can offer better performance for certain types of application, especially those with complex data structures and relationships. By directly mapping objects to DB entities, ODBMS can reduce the need for complex joins and improve query performance.
4. Flexible data Modeling:  
ODBM allows for flexible data modeling, making it easier to evolve and adopt the DB schema as the application

requirements change. This flexibility can result in more agile and responsive systems.

### 5. Reduced Mapping overhead

↳ Unlike RDBMS which requires an object-relational model (ORM) layer to map objects to tables, ODBMS eliminates mapping overhead by directly storing objects in the DB. This can simplify application and reduce complexity.

### b. Support for complex data.

↳ It supports complex data types such as arrays and user-defined types, which can be more difficult to represent in RDB. This enables richer data modeling and more expressive data structures.

### Q13 Explain various locking techniques for concurrency control.

It is a crucial aspect of DB management system to ensure data consistency and integrity in multi-user environments.

Locking techniques are commonly used to manage concurrent access to data by multiple transaction.

### i. Binary locks (Binary locking)

#### Description

↳ Also known as 1-lock or binary locking

This technique uses a single lock to control access to a resource. A transaction acquires the lock before accessing the resource and releases it afterward.

Advantages - simple to implement and understand.

Disadvantages → contention leads to contention and reduced concurrency as only one transaction can access the resource at a time.

Q. Shared / Exclusive locks (Read / Write locks)

Description → This technique distinguishes between shared locks (read locks) and no exclusive locks (write locks).

Multiple transactions can hold shared locks simultaneously, allowing for concurrent reads. However, exclusive locks are exclusive, meaning only one transaction can hold write locks at a time.

Advantages → Allows for concurrent read access while ensuring exclusive write access.

Disadvantages → Can still lead to contention for write access if multiple transactions require exclusive locks.

### 3. Two Phase Locking (2PL)

Description → Two-Phase locking is a concurrency control method that requires transactions to acquire locks in two phases: ① the growing phase and the ② shrinking phase.

In growing phase, transactions acquire locks on data items they need, but they cannot release any locks. In shrinking phase, transaction release locks but cannot acquire any new locks.

Advantages: → ensures serializability and prevents issues like lost update and inconsistent retrievals.

Disadvantages: → May lead to deadlock situations if not managed properly and may limit concurrency due to strict lock acquisition rules.

### 4. Timestamp ordering

Description → Each transaction is assigned a unique timestamp and transactions are ordered based on their timestamps. Conflicts are resolved by comparing the timestamps of conflicting transaction with the older transaction usually gives priority.

Advantages: → Provides a strict and efficient mechanism for enforcing serializability without explicit locks.

Disadvantages:

→ Requires a reliable timestamp generation mechanism and may result in high abort rate if transactions frequently conflict.

### 5. Optimistic Concurrency Control

Description → In optimistic transaction proceed without acquiring locks initially. Instead they perform their local operations and validate them at the end of the transaction.

If conflicts are detected during validation, transactions may need to be aborted and retried.

Advantages:

→ Reduces contention and lock overhead, potentially leading to higher concurrency.

Disadvantages:

→ May result in increased abort rates if conflicts are frequent and may not be suitable for highly concurrent environments with high contention.

6 Multi-version Concurrency Control

Description  
↳ MVCC allows for multiple versions of data items to exist concurrently. Read operations access the appropriate version based on the transaction's timestamp, while write operations create new versions of data items.

Advantages:  
↳ Allows for consistent read operations without blocking write operations, leading to improved concurrency.

Disadvantages:  
↳ Requires additional storage space to maintain multiple versions of data items and may result in increased complexity of operations.

Best example: PostgreSQL

How it works:  
1. When a transaction starts, it gets a timestamp.  
2. It uses this timestamp to read data from the database.  
3. If the transaction needs to update data, it creates a new version of the data item.  
4. When another transaction reads the same data, it uses the timestamp of the transaction to determine which version of the data to return.  
This approach is called "copy-on-write" because changes are only made to the data when a transaction needs to update it, rather than when it first reads it.

Q14. Describe optimistic lock concurrency control mechanism.

Ans. Optimistic concurrency control (OCC) is a technique used in DBMS to manage concurrent access to data without acquiring locks preemptively. Instead of locking data items during transaction execution, OCC allows transactions to proceed independently and validates them at the end to ensure data consistency. OCC works.

### 1. Transaction Execution Phase

↳ In optimistic concurrency control, transactions are allowed to execute without acquiring locks on data items up front. This means that multiple transactions can access the same data items concurrently without being blocked by locks.

### 2. Validation Phase

↳ After a transaction completes its operation (reads and writes), it enters the validation phase. During this phase, the DBMS checks whether the transaction's operations have caused any conflicts with concurrent transactions.

3. Conflict Detection

↳ The DBMS examines the change made by transaction and compares them with changes made by other concurrent transactions. Conflicts may arise if multiple transactions attempt to modify the same data item concurrently or if a transaction reads data that has been modified by another transaction.

4. Conflict Resolution

↳ If conflicts are detected during the validation phase, the DBMS can take appropriate actions to resolve them. This may involve aborting one or more transactions and rolling back their changes to maintain data consistency.

5. Commit or Abort

↳ If no conflicts are detected during the validation phase, the transaction is considered valid and it can proceed to commit its changes to the DB. However, if conflicts are detected after the transaction has started, it may need to be aborted and its changes rolled back.

## S15. Advantages and Disadvantages of OCC

1. Reduced locking overhead:  
↳ It reduces the overhead associated with acquiring and releasing locks as transaction are allowed to proceed without blocking other transactions and thus improves system performance.

### 2. Increased concurrency

- ↳ By allowing transaction to execute concurrently without acquiring locks preemptively, optimistic concurrency control can improve overall system performance.

### 3. Lower contention

- ↳ Since transaction do not hold locks during execution, there is less contention for resources, leading to reduced wait time and improved throughput.

### 4. Improved scalability

- ↳ Optimistic concurrency control can lead to better scalability not in terms of the impact of lock contention on system performance, but also in terms of the number of locks held by each transaction.

5. Suitability for Read Mostly Workloads:  
→ It is particularly well-suited for workloads with a high proportion of read operations as it allows read operations to proceed without being blocked by write transactions.

### Disadvantages of OCC:

#### 1. Potential for Increased Abort Rates

→ It may result in higher transaction abort rates if conflicts are frequent, leading to increased overhead due to transaction restart.

#### 2. Delayed Conflict Detection

After transactions have completed other operations, which means that conflicts may not be detected until late in the transaction lifecycle, potentially wasting resources on transactions that ultimately need to be aborted.

#### 3. Limited Applicability

It may not be suitable for all types of workloads, particularly those with high contention for resources or frequent conflicts between transactions.

Q16: Define Meta data with examples.

• Meta data → Ans: Metadata is Data that provides information about other data. It describes the content, structure, format, relationships and other properties of data elements.

### Examples of MetaData

#### 1. Table Metadata

↳ In RDBMS, Metadata about tables includes information such as table name, column names, data types, constraints (e.g. PK, FK), indexes and table size.

#### 2. File Metadata

↳ Metadata associated with files includes attributes such as file name, file size, file type, creation date, modification date, and file permissions.

#### 3. DB Schema Metadata

↳ Metadata describing the DB schema. It includes information about tables, views, indexes, constraints and other DB objects.

#### A. API Documentation

↳ Metadata for APIs includes description of endpoints, parameters, request response formats and error codes.

## 5. Data Dictionary

→ A data dictionary is a centralized repository of metadata that provides definitions and descriptions of data elements, including their meanings, relationships and usage within an organization.

Q17 what is nested query and how it is different from a correlated query?

Nested Query → A nested query or subquery is a query embedded within another SQL query. It is enclosed with parentheses and can be used in various parts of an SQL statement, such as the SELECT, WHERE, FROM and HAVING clauses.

Correlated Queries → A correlated query is a subquery that references columns from the outer query. The subquery is executed once for each row processed by the outer query, making it dependent on the outer query.

Aspect	Nested Query	Correlate Query
Definition	Query within <u>another</u> query.	Sub query <u>that reference</u> columns <u>from</u> <u>the</u> <u>outer</u> <u>query</u> .

Execution order	Inner query executed <u>first</u> then <u>outer</u> query.	Outer query executed <u>first</u> , subquery executed <u>for each</u> row.
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Dependency	Independent of <u>the outer</u> <u>query</u> .	Dependent on <u>the</u> <u>outer</u> <u>query</u> .
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Performance	Generally more <u>efficient</u> if <u>properly</u> <u>indexed</u> <u>and</u> <u>disjoint</u> .	Can be <u>less</u> <u>efficient</u> <u>due</u> <u>to</u> <u>multiple</u> <u>executions</u> <u>of</u> <u>subquery</u> .
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use case	suitable for static comparisons.	suitable for <u>row by row</u> comparisons.
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Ex:-	WHERE department_id = (SELECT MAX(department_id) FROM employees)	When salary > ( SELECT avg(salary) FROM employees WHERE ( department_id = ... ) )
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Complexity	Used for filtering results based on a single query results. more complex	Used for condition involving row-specific data comparisons.
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Result scope	Can return a <u>single</u> <u>Value</u> or a <u>set</u> <u>of</u> <u>Values</u> .	Typically returns a single value for each row of outer query.
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Q18. What is unsafe query and give an example.  
Why it is important to disallow such queries.

Ans. An unsafe query, also known as an unsafe operation or unsafe SQL query.

It is an query that can potentially produce unintended or harmful results.

It typically involves the use of uncontrolled or user input directly in SQL statement without proper validation or sanitization.

These SQL statements can lead to security vulnerabilities such as SQL injection attacks, data breaches, or unauthorized access to sensitive information.

Example of an unsafe query.

Consider a web application that allows users to search for products by entering a keyword. The App. constructs an SQL query dynamically based on the user input, without properly validating or sanitizing it.

[= SELECT \* FROM products WHERE name = ?]

In this example, if a malicious user enters the following input in the search field:

[= SELECT \* FROM products WHERE name = 'OR 1=1' ]

The resulting query becomes:

[  
SELECT \* FROM products WHERE product\_name = 'apple' ]

This query will return all rows from the table because the condition  $1=1$  always evaluates to true, effectively bypassing any filtering based on the product name.

## Importance of SQL injection

1. SQL injection Attacks

↳ unsafe queries are vulnerable to SQL injection attacks, where attackers can manipulate the query's structure by injecting malicious code. This can lead to unauthorized access to data, data manipulation, or even complete database compromise.

## 2. Data Branches

↳ unsafe queries can result in data breaches by exposing sensitive information stored in the database to unauthorized users. Attackers can exploit vulnerabilities in the application to retrieve confidential financial records, or personal information.

3. Data Integrity

↳ Unsafe queries can compromise data integrity by allowing unauthorized modifications or deletions of data.

Attackers may inject malicious SQL code to alter data records, insert or fraudulent data, or corrupt critical information, leading to data loss or corruption. Using stored procedures is recommended to prevent such attacks.

4. Legal and Compliance Risks

↳ Data breaches resulting from unsafe queries can have legal and compliance implications for organisations, including regulatory fines, law suits, damage to reputation, and loss of customer trust. Disallowing unsafe queries helps mitigate these risks by ensuring the security and privacy of sensitive data.

5. Application Reliability

↳ Unsafe queries can impact the reliability and availability of applications by introducing vulnerabilities that could be exploited by attackers to disrupt services, manipulate data, or compromise system resources.

Disallowing unsafe queries helps maintain the integrity and reliability of the application.

Q.19. What is update anomalies? Explain with examples.

Ans. Update anomalies refer to inconsistencies or unintended changes that can occur in a DB when updating data. These anomalies arise due to the redundancy or denormalization of data, where often the same information is stored in multiple places within the DB.

There are three main types of update anomalies:

(i) Insertion

(ii) Deletion

(iii) Modification

i. Insertion Anomalies:

↳ It occurs when it is not possible to add data to the DB without also adding unrelated data.

This typically happens when adding a new record requires the inclusion of values that are not applicable to the new record.

Example: Consider a denormalized table that combines information about students and the courses they are enrolled in.

StudentId	StudentName	CourseID	CourseName	Instructor
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1	Alice	C101	Math.	Smith
2	Bob	C102	Science	Jones