

4  
Emp No → Dept-Name → Dept-Location

empNo → Dept-Location

To make it in 3NF we decompose and remove the transitive dependency so we convert the given table in 3NF by decomposing into sub tables such as:

Table 1 Employee-Department

empNo	DeptName	Sal	DeptName
1001	Vishal	7800	Accounts
1002	Amit	8000	Sales
1003	Anuj	10000	Accounts
1004	Vikas	9000	Sales
1005	Minal	6500	Store

Table 2 Department-Location after Remove Redundancy.

Dept Name	Dept Location
Accounts	102
Sales	104
Store	106

Table

converted in 3NF.

101	102	1002	1002	1002
101	103	1003	1003	1003
101	104	1004	1004	1004
101	105	1005	1005	1005

Boyce Codd Normal form (BCNF)

Is BCNF is the advance version of 3NF. It is stricter than INF.

Is A table is in BCNF if every functional dependency

$X \rightarrow Y$ ,  $X$  is the super key of the table.

Is For BCNF the table should be in 3NF and for every FD, LHS is super key.

Eg: Let's assume there is a company where employees work in more than one department.

Employee

table

emp-id	emp-country	Emp-Dept	Dept-type	Emp-dept No.
264	India	designing	D 394	283
264	India	justify	D 394	300
364	UK	stores	D 283	232
364	UK	Developing	D 283	549

In the above table Functional dependencies are given as follows:

Emp-id  $\rightarrow$  Emp-country

Emp-Dept  $\rightarrow$  {Dept-type, emp Dept No}

Candidate key : {emp-id, emp-Dept}

The table is not in BCNF because neither emp-dept nor emp-id alone are keys. To convert the given table into BCNF, we decompose it into three tables.

emp-country table (Remove Ridundancy)

emp-id → emp-country

264

India

264

UK

emp-dept table

emp-dept → dept-type → emp-dept No.

Designing → D394 → 283

Testing → D394 → 300

Stores → D283 → 232

Developing → D283 → 549

emp-dept mapping table

emp id type

D394

→ BCNF

emp-dept No.

283

D394

300

D283

232

D283

549

Functional Dependencies

emp-id → emp-country

emp-dept → {Dept-type, emp-dept No.}

Candidate key:

For the first table: emp-id

" " 2nd " | emp-dept

" " 3rd " | {emp-id, emp-dept No.}

Now this is in BCNF because left side part of both the functional dependencies is a key.

## Fourth Normal Form (4NF)

- A relation will be in 4NF, if it is in Boyce Codd normal form (BCNF) and has no multi-valued dependency.
- MVD (Multi Value dependency) occurs when two or more independent multi-valued facts about the same attribute occurs within the same relation.
- MVD is denoted by  $X \rightarrow\!\!\! \rightarrow Y$ .

It will be written "There is a multi valued dependency of Y" or "X multi-determines Y".

Example - Faculty.

Faculty	subject	Committee
Aman	DBMS	Placement
Aman	Java	"
Aman	C	"
Aman	DBMS	Scholarship
Aman	Java	"
Aman	C	"

The given Faculty table is in 3NF, but the subject and Committee are two independent entity. There is no relationship b/w subject and Committee.

In the Faculty relation, a Faculty with Faculty name Aman contains three subject DBMS, Java and C and two Committee placement and Scholarship.

As there is multi-level dependency on Faculty name  
which leads to unnecessary repetition of data.

Aman  $\rightarrow$  Placement

Aman  $\rightarrow$  Scholarship.

So to make the above table into 4NF, we  
can decompose it into two tables.

Tab 1 Faculty - one Remove Redundancy.

Faculty	Subject
Aman	DDMS
Aman	Java
Aman	C++

Same row  
new

Table 2

Faculty  $\rightarrow$  committee  $\rightarrow$  4NF

Faculty	Committee
Aman	Placement
Aman	Scholarship.

## Fifth Normal Form (5NF)

5th is also known as Project join Normal Form.

If a relation is in fifth Normal Form then it is in 4NF and won't have to lossless join decomposition into smaller tables.

5NF is satisfied when all the tables are broken into many tables as possible in order to avoid redundancy after that you combined these all tables if it is equal to original table then 5NF.

You can also consider that a relation is in 5NF with the Candidate key implies Every join dependency in it is functional.

Example - Faculty (Original table)

Faculty	Subject	Committee
Aman	DBMS	Placement
Aman	Java	"
Aman	C	"
Aman	DBMS	Scholarship
Aman	Java	out "
Aman	C	"

The given table is not in 4NF and 5NF. First we convert it in 4NF with consistency it has self foreign keys.

Self foreign keys

Table 1 Faculty - subject

Faculty	Subject
Aman	DBMS
Aman	Java
Aman	C

Table 2 Faculty - committee

Faculty	Committee
Aman	Placement
Aman	Scholarship

To convert it in SNF we join both Table 1 and Table 2 if it give same result then it's in SNF

Faculty	Subject	Wife Committee
Aman	DBMS	Placement
Aman	DBMS	Scholarship
Aman	Java	Placement
Aman	Java	Scholarship
Aman	C	Placement
Aman	C	Scholarship

So it is in SNF is equal to the original table.

# Relational Database Decomposition

- When a relation in the relational model is not in appropriate normal form then the decomposition of a relation is required.
- In a db, it breaks the table into multiple tables.
- If the relation has no proper decomposition then it may lead to problems like loss of information.
- Decomposition is used to eliminate some of the problems of bad relational design like anomalies, inconsistencies and redundancy.

## Types of Decomposition



### lossless decomposition

- If the information is not lost from the relation that is decomposed, then the decomposition will be lossless.

- The lossless decomposition guarantees that the join of relations will result in the same relation as it was decomposed.

- The relation is said to be lossless if the decomposition gives the original relation.

#### Ex - Emp - Info

Emp - Id	Emp - Name	Emp - Age	Emp - location	Dept - Id	Dept - No.
E001	Komal	29	Haldwani	Dept 1	Operations
E002	Karan	32	Dehradun	Dept 2	HR
E003	Ravi	22	Delhi	Dept 3	Finance

Decompose the above details into two tables.

#### 1. Emp details

Emp - Id	Emp - Name	Emp - Age	Emp - location
E001	Komal	29	Haldwani
E002	Karan	32	Dehradun
E003	Ravi	22	Delhi

#### 2. Dept details

Dept - Id	Emp - Id	Dept - Name
Dept 1	E001	operations
Dept 2	E002	HR
Dept 3	E003	Finance

Now, Natural Join is applied on the above two tables.  
The result will be (jab combine karunge to original table mil gaya).

Emp - Id	Emp - Name	Emp - Age	Emp - location	Dept - Id	Dept - Name
E001	Komal	29	Haldwani	Dept 1	operations
E002	Karan	32	Dehradun	Dept 2	HR
E003	Ravi	22	Delhi	Dept 3	Finance

Therefore the above relation had lossless decomposition thus there is no loss of information if we join all the decomposed tables.

## Lossy Decomposition

When a relation is decomposed into two or more relations, the loss of information is unavoidable when the original relation is retrieved.

Let us see an example

Emp-Id	Emp Name	Emp-Age	Emp Location	Dept Id	Dept Name
E001	Kanai	29	Hariwar	Dept 1	Operations
E002	Karan	32	Dehradun	Dept 2	HR
E003	Ravi	22	Delhi	Dept 3	Finance

Decompose the table into two tables.

<Emp details>

Emp Id	Emp Name	Emp Age	Emp Location
E001	Kanai	29	Hariwar
E002	Karan	32	Dehradun
E003	Ravi	22	Delhi

<Dept Details>

Dept Id	Dept Name
Dept 1	operations
Dept 2	HR
Dept 3	Finance

Now you  
Emp-Id

won't be able to join the above table since it is not part of dept details relation.

Therefore the above relation has lossy decomposition.

- Transaction Processing Concepts
- Transaction system: A collection of operations that form a single logical unit of work are called transaction.
  - A transaction is a unit of Program execution that accesses and possibly updates various data items.
  - Transaction is defined as a logical unit of DB processing that involves one or more DB access operations.

Transaction Access data using two operation:

### (i) Read (x)

↳ Read operation is used to read the value of Account X from the DB and store it in a buffer in main memory.

### (ii) Write (x)

↳ Write operation is used to write the value back to the DB from the buffer.

Let us take an example to debit transaction from an account which consists of following operations:

1  $R(x)$  :

$x=1000$

$T_1$

read ( $x$ )

$x=1000$

$T_2$

read ( $x$ )

$y = 1000$

2  $x = x - 500$

$x = x - 500$

3  $w(x)$

Write ( $x$ )

Write ( $y$ )

Initial value of  $x$  and  $y$  are  $1000$  and  $1000$  respectively.

- Let us assume the value of  $X$  to be 4000 before starting of transaction.
- ↳ The first operation read  $X$ 's value from DB and stores it in a buffer.
  - ↳ The second operation will decrease the value of  $X$  by 500 so buffer will contain 3500.
  - ↳ The third operation will write the buffer value to the DB, so  $X$ 's final value will be 3500.

But it may be possible that because of the failure of hardware, software or power etc. that transaction may fail before finished all the operations within the set.

For example: If the given transaction fails after operation 2 then  $X$ 's value will remain 400 in the DB which is not acceptable by the bank. (Rollback no sayega)

To solve this problem we have two important operation.

1. commit  
↳ It is used to save the work done permanently.
2. Rollback  
↳ It is used to undo the work done.

## ACID Properties of Transaction

- ↳ Transaction have 4 basic properties which are called ACID properties.
- ↳ These are used to maintain consistency in a DB before and after the transaction.

### Properties of transaction

<u>Atomicity</u>	<u>Consistency</u>	<u>Isolation</u>	<u>Durability</u>
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#### (i) Atomicity

↳ It states that all operations of the transaction take place at once. If not, the transaction is aborted.  
↳ There is no midway such as the transaction cannot occur partially. Each transaction is treated as one unit and either run to completion or is not executed at all.

Atomicity involves the following operations

#### About

↳ If a transaction aborts then all the changes made are not visible.

#### Commit

↳ If a transaction commits then all the changes made are visible.

Ex:- let us assume that the following transaction T consisting of T<sub>1</sub> and T<sub>2</sub>. T<sub>1</sub> consists of Rs 600 and T<sub>2</sub> consists of Rs 300 Transfer Rs 100 from Account A to Account B.

$T_1$

Read (A)

$$A = A - 100$$

Write (A)

$T_2$

Read (B)

$$B = B + 100$$

Write (B)

After completion of the transaction A consists of Rs 500 and B consists of Rs 400.

If the transaction  $T_1$  fails after the completion of transaction  $T_2$ , but before completion  $T_1$ , then the amount will be deducted from  $A$  but not added to  $B$ . In order to ensure correctness of DB state the transaction must be executed in entirety.

## 2. Consistency (data loss nahi hona chahiye).

↳ The integrity constraints are maintained so that the DB is consistent before and after the transaction.

↳ The execution of a transaction will leave a DB in either its prior stable state or a new stable state.

↳ The consistency property of DB states that every transaction sees a consistent DB instance.

↳ The transaction is used to transform the DB from one consistent state to another consistent state.

For ex: let's assume that following transaction  $T$  consisting of  $T_1$  and  $T_2$  A consists of Rs 600 and B consists of Rs 300. Transfer Rs 100 from Account A to Account B.

T<sub>1</sub>

Read(A)

$$A = A - 100$$

Write(A)

T<sub>2</sub>

Read(B)

$$B = B + 100$$

Write(B)

The total amount must be maintained before or after the transaction.

$$\text{Total before } T \text{ occurs.} \rightarrow 600 + 300 = \underline{\underline{900}} \quad ] \text{No change}$$

$$\text{Total after } T \text{ occurs} \rightarrow 500 + 400 = \underline{\underline{900}}$$

Therefore the idempotency is well consistent. In the case when T<sub>1</sub> is completed but T<sub>2</sub> fails then inconsistency will occur. This is due to interleaving of transactions.

### 3 Isolation (does not depend on any state)

↳ It shows that the data which is used at the time of execution of a transaction cannot be used by the second transaction until the first one is completed.

↳ In Isolation If the transaction T<sub>1</sub> is being executed and uses the data item X then that data item can't be accessed by any other transaction T<sub>2</sub> until the transaction T<sub>1</sub> ends.

↳ The concurrency control subsystem of the DBMS enforces the isolation property.

#### A. Durability

Promised to make

Is the durability property is used to indicate the performance of the DB consistent state. It states that the transaction mode <sup>make</sup> makes the permanent changes.

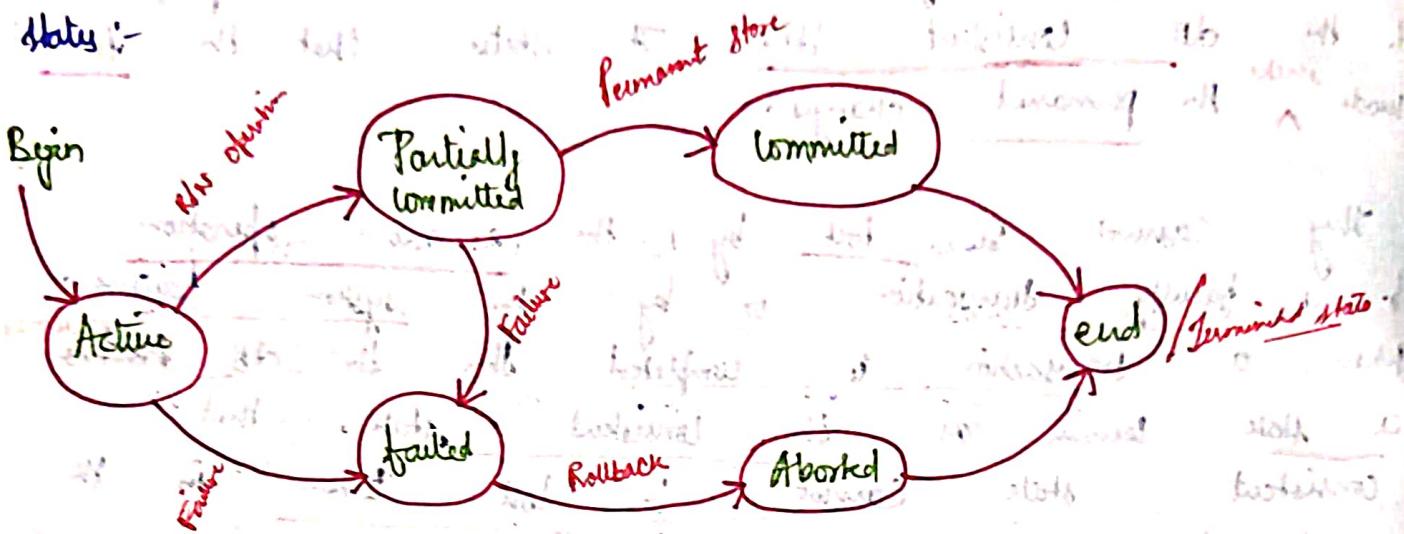
Is they cannot be lost by the erroneous operation of a faulty transaction or by the system failure when a transaction is completed then the DB reaches a state known as the consistent state. But consistent state cannot be lost even in the event of a system's failure.

In the recovery of subsystems of the DBMS has got a responsibility of durability property.

## States of Transaction

In a DB, the transaction can be in one of the following

states:-



### 1. Active State (Initial State)

- It is the first state of every transaction. In this state, the transaction is being executed.

For e.g:- Insertion or deletion or updating a record is done to the DB. But all the records are still not saved to the DB.

### 2. Partially Committed (Final state mein aur gya hai but execute nahi hua hai).

- In the Partially Committed state, a transaction executes its final operation, but the data is still not saved to the DB.

In the total Mark Calculation example a final display of the total Marks step is executed in this state.

### 3. Committed (data is executed successfully).

- A transaction is said to be in committed state if it executes all its operations successfully.

↳ In this state all the effects ~~on~~<sup>on</sup> now permanently saved on the db system.

4. Failed State  
↳ If any of the checks made by the DB recovery system fails then the transaction is said to be in the failed state.

↳ In the example of total marks calculation, if the DB is not able to find a query to fetch the marks then the transaction will fail to execute.

5. Aborted  
↳ If any of the checks fail and the transaction has reached a failed state then the DB recovery module will make sure that the DB is in its previous consistent state. If not then it will abort or rollback the transaction to bring the DB into a consistent state.

↳ After aborting the transaction, the DB recovery module will select one of the two operations.

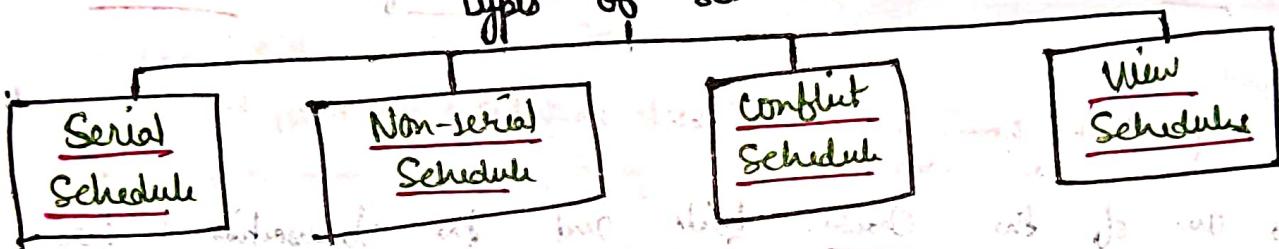
- (i) Re-start the transaction
- or
- (ii) Kill the transaction.

After aborting

# Serializability of Schedules

## b Schedule

- ↳ When several transaction are executed concurrently the order of execution of various instruction is known as a Schedule.
  - ↳ The concept of serializability of schedule is used to identify which schedules are connected when transaction executions do not interfere with each other.
- Types of Schedule



### 1. Serial Schedule

Serial is a type of Schedule where one transaction is executed completely before starting another transaction. In the serial schedule when the first transaction completes its cycle, then the next transaction is executed.

read-item ( $X$ )

$$X = X + N$$

write-item ( $X$ )

read-item ( $Y$ )

$$Y = Y + N$$

write-item ( $X$ ):

read-item ( $X$ ):

$$X = X + m$$

write-item ( $X$ ):

This schedule A is called Serial scheduler entire transaction can perform in Serial order. T<sub>1</sub> and T<sub>2</sub> or T<sub>3</sub> and T<sub>1</sub>.

## 2. Non-Serial Schedules

If interleaving of operations is allowed, then there will be non-serial schedules. (Parallel executing).

A Schedule can be called non-serial schedule if the operations of each transaction are executed non-consecutively with interleaved operations from the other transaction.

read-item (X);

$$X = X + N;$$

Write-item (X);

read-item (Y);

$$Y = Y + N;$$

write-item (Y);

read-item (X);

$$X = X + m;$$

write-item (X);

buffer

(A) line

## 3 Conflict Schedules

A Schedule is called conflict serializable if after swapping of non-conflicting operations it can transform of non-conflicting transaction into a serial Schedule.

Non-conflicting operation is interleaved in a serial schedule.