

# Ring-LWE: An Efficient PQC Public Key Encryption Scheme

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# Outline

Lattices

Lattice Reduction

Lattices and Rings

Standard and Ring LWE

Basic Ring-LWE Encryption Scheme

Coding Theory

Fourier Transforms

CCA Secure Scheme

# Lattices

# Lattice Definition

A discrete additive subgroup of  $\mathbb{R}^m$ .

Let

$$B = \{b_1, \dots, b_n\}$$

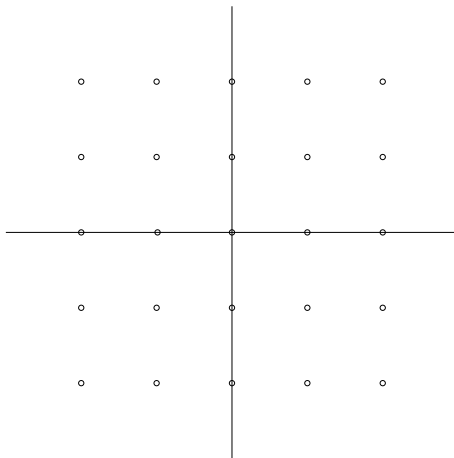
be an ordered set of vectors in  $\mathbb{R}^m$ . With this we generate a lattice

$$L_B = \left\{ \sum_{i=1}^n \lambda_i b_i \mid \lambda_i \in \mathbb{Z} \right\}.$$

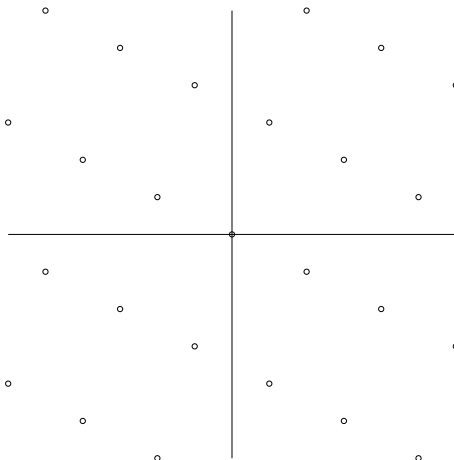
We shall also denote  $(b_1, \dots, b_n)^\top$  by  $B$ .

- ▶  $B$  is called the basis matrix

# Illustrative Example 1: $\mathbb{Z}^2$



## Illustrative Example 2:



# Lattice Bases

If  $B_1$  and  $B_2$  are bases of the same lattice if and only if

$$B_1 = UB_2$$

for some integer matrix  $U$  with determinant 1 or -1.

We define the fundamental parallelepiped associated to  $B$  as

$$P(B) = \left\{ \sum_{i=1}^n x_i b_i \mid x_i \in [0, 1) \right\}.$$

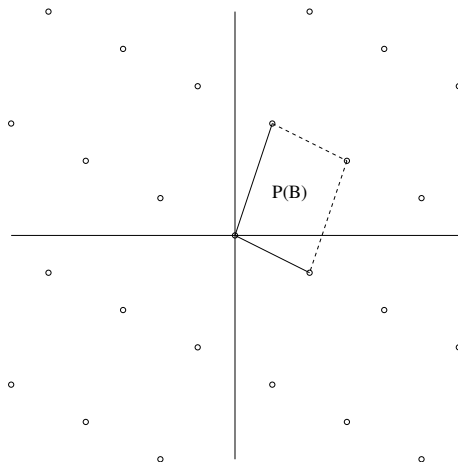
Roughly speaking

$$\{P(B) + v \mid v \in L_B\}$$

are the regions between the lattice points.

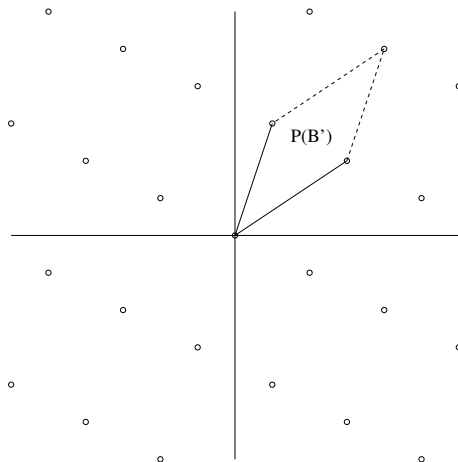
- ▶ They partition  $\mathbb{R}^m$ .

# Fundamental Parallelepiped Illustration





# Fundamental Parallelepiped Illustration 2



# Lattice Determinant

We define a lattice determinant  $\det(L_B)$  to be the volume of  $P(B)$ .

$$\det(L_B) = \text{Vol}(P(B)) = \prod_i \|b_i^*\| = |\det(B^*)|.$$

If  $m = n$ , then

$$\det(L_B) = |\det(B^*)| = |\det(B)|.$$

More generally

$$\det(L_B) = \sqrt{|\det(B^\top B)|}.$$

The determinant is well-defined since bases differ by a factor of  $\pm U$  where  $U$  is unimodular.

# SVP and CVP

Given a lattice basis  $B$ .

The SVP problem is the problem of finding a vector  $v \in L_B \setminus \{0\}$  such that  $\|v\|_2$  is minimal.

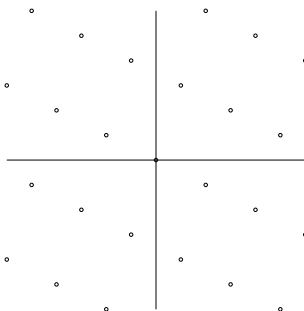
The CVP problem is the problem of given  $u \notin L_B$  find a vector  $v \in L_B$  such that  $\|v - u\|_2$  is minimal

They are known to be hard problems.

- ▶ Suspected exponential complexity

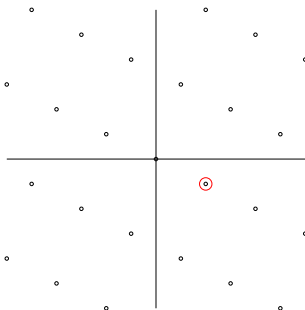
# Easy SVP Problem

If we can see the complete lattice, the SVP problem is trivial.



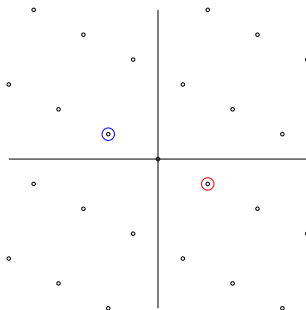
# Easy SVP Problem

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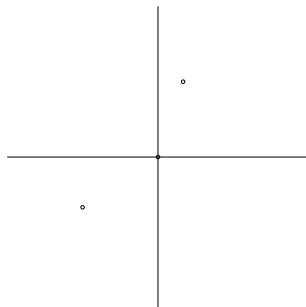
# Non-Uniqueness

Note also that the shortest vector is not unique.

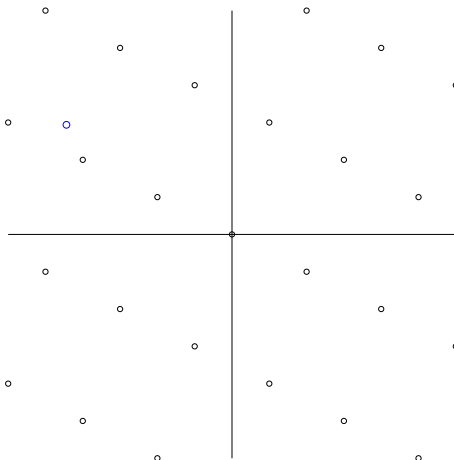


# Slightly Harder SVP Problem

Given only a random basis, the SVP becomes harder.

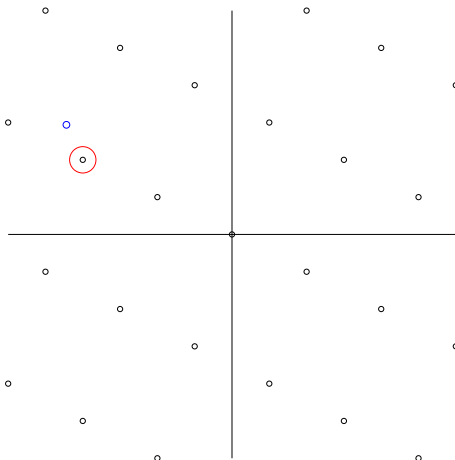


# CVP Illustration





# CVP Illustration



# Lattice Reduction

# Gaussian Reduced Basis

Can often solve CVP/SVP using basis reduction

In two dimensions this is a classical algorithm

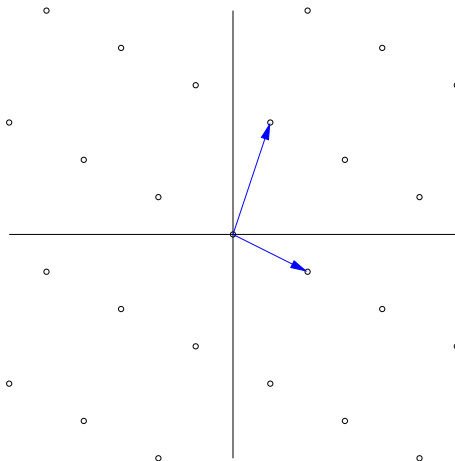
A basis  $\{b_1, b_2\}$  is said to be Gaussian Reduced if

1.  $|\mu_{1,2}| \leq \frac{1}{2}$
2.  $\|b_1\| \leq \|b_2\|$ .

where

$$\mu_{1,2} = \frac{\langle b_2, b_1 \rangle}{\langle b_1, b_1 \rangle}$$

# Reduced Basis Illustration



# Gaussian Reduction Algorithm

DO

1. IF  $\|b_1\| > \|b_2\|$  THEN swap  $b_1$  and  $b_2$
2.  $\mu_{1,2} = \frac{\langle b_2, b_1 \rangle}{\langle b_1, b_1 \rangle}$
3.  $b_2 := b_2 - \lceil \mu_{1,2} \rceil b_1$

WHILE  $\|b_1\| > \|b_2\|$ .

RETURN  $(b_1, b_2)^\top$ .

To obtain a Speed/Approximation Trade-off we introduce  $1/2 < \delta < 1$  and replace the second condition.

1.  $|\mu_{1,2}| \leq \frac{1}{2}$
2.  $\delta \|b_1\| \leq \|b_2\|$ .

# Generalisation to $n$ Dimensions

There are various ways we could attempt to generalise Gaussian Reduction to  $n$  dimensions.

Lovasz' solution, named after Lenstra, Lenstra and Lovasz, is the LLL algorithm.

It outputs a roughly orthogonal basis comprising of some short vectors.

It terminates in polynomial time in  $n$ .

# An LLL Reduced Basis

1.  $|\mu_{i,j}| \leq \frac{1}{2}$  for all  $1 \leq j < i \leq n$ .
  2.  $\delta \|b_i^*\|^2 \leq \|b_{i+1}^* + \mu_{i+1,i} b_i^*\|^2$  for  $i = 1 \dots n - 1$ .
- ▶  $\mu_{i,j}$  are the standard Gram-Schmidt coefficients.
  - ▶ We say  $B$  is *LLL reduced with respect to  $\delta$* , (or  $\delta$  – LLL reduced) if both conditions are satisfied.

# An LLL Reduced Basis

The first LLL condition produces an approximation to Gram-Schmidt.

- ▶ We are forced to approximate since our basis must span the same lattice.
- ▶ Makes the basis roughly orthogonal.

The second condition makes the basis vectors small, and roughly in increasing size

- ▶ So the first basis vector is an approximation to the short-vector in the lattice



# Lattices and Rings

# Size Matters

A major issue in using lattice in cryptography is we seem to need to hold a lot of data.

After all a lattice basis requires storing  $n \times n$  elements!

We want to be able to reduce this to  $n$  elements.

For this we use rings of polynomials

$$R = \mathbb{Z}[X]/(F(X)),$$

where  $\deg(F) = n$ .

# Polynomial Rings

Clearly an element in a ring of polynomials will require  $n$  elements to define it

- ▶ One for each coefficient.

$$\mathbf{a} \longmapsto a(X).$$

This is the *vector representation* of the ring.

- ▶ We can clearly add vectors/polynomials.

# Polynomial Rings

We can also, in a polynomial ring, multiply vectors/polynomials to get another polynomial of degree  $n$

$$c = a \cdot b \pmod{F}.$$

We can think of this as vectors by looking at the *matrix representation* of the ring

$$\mathbf{c} = M_a \cdot \mathbf{b}.$$

i.e.  $M_a$  is a matrix (depending on  $a$ ) which acts like multiplication by  $a$  on vectors.

# Matrix Representation

So what does  $M_a$  represent?

All the vectors  $\mathbf{c} = M_a \cdot \mathbf{b}$  as  $\mathbf{b} \in \mathbb{Z}^n$  define a lattice

This is the lattice of the ideal of  $R$  generated by the polynomial  $a$

Finding a good basis for the lattice generated by  $M_a$  is equivalent to finding a short generator of the ideal generated by  $a$ .

$$\begin{aligned}\langle a \rangle &= \{c(X) = a(X) \cdot b(X) \pmod{F(X)} : b(X) \in R\} \\ &\equiv \{\mathbf{c} = M_a \cdot \mathbf{b} : \mathbf{b} \in \mathbb{Z}^n\}.\end{aligned}$$

# Standard and Ring LWE

# Linear Algebra With Noise

LWE (Learning With Errors) is basically linear algebra with noise.

In usual linear algebra we try to solve the equation

$$\mathbf{y} = A \cdot \mathbf{x}$$

for some matrix  $A$

In LWE we do not give you  $\mathbf{y}$  but a vector with some errors in

$$\mathbf{y}' = A \cdot \mathbf{x} + \mathbf{e},$$

where  $\mathbf{e}$  is “small” in some sense.

We can think of this as like a decoding problem for the linear code defined by the matrix  $A$ .

Or equivalently this is a CVP problem, as  $\mathbf{e}$  is small.

# Linear Algebra With Noise

However, using the above definition in crypto is a bit awkward as numbers are unbounded

- ▶ All vectors are in  $\mathbb{Z}^n$
- ▶ We would like to work modulo an integer  $q$ , to bound the sizes

So we define our problem as

$$\mathbf{y} = A \cdot \mathbf{x} + \mathbf{e} \pmod{q},$$

i.e. we are given  $\mathbf{y} \in \mathbb{Z}_q^n$  and are asked to find  $\mathbf{x}$ , given  $\mathbf{e} \in \mathbb{Z}_q^n$  has “small coefficients”

- ▶ Small means when reduced into the interval  $(-q/2, q/2)$ .



## Linear Algebra With Noise

This is actually another lattice problem, for the non square generating matrix  $(A \mid q \cdot I_n)$ ...

$$\mathbf{y} = (A \mid q \cdot I_n) \cdot \mathbf{x} + \mathbf{e}.$$

We can also define a Ring version of LWE (called Ring-LWE)

Given a polynomial  $a$  we are asked to solve

$$y = (a \cdot x + e \pmod{F}) \pmod{q}$$

for a polynomial  $e$  with small coefficients.

The underlying ring is

$$R_q = \mathbb{Z}_q[X]/(F(X)).$$

# C'Mon Feel The Noise

So how do we pick the small noise vector/polynomial?

There are various ways we *could* do this

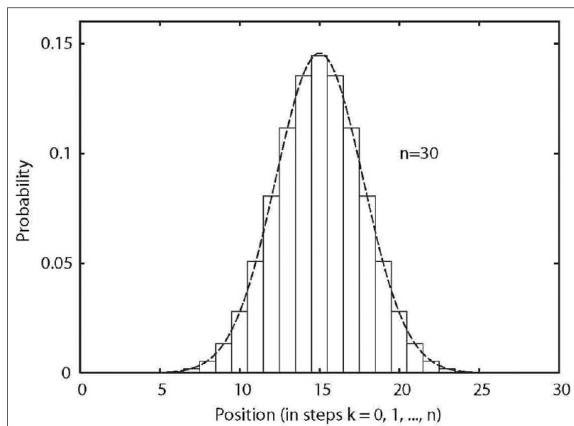
- ▶ Sample uniformly from  $[-b, \dots, b]$  for a small value of  $b$ .
- ▶ Sample from  $[-b, \dots, b]$  using a non-uniform distribution.
- ▶ Sample (in the ring case) from the “complex embedding” in some way and then “pull back”

Each method has its own advantages/disadvantages

- ▶ Ease of implementation.
- ▶ Ease of analysis of resulting bounds.
- ▶ Worst-case/Average-case results (mainly for theory wonks!).

# Gaussian Noise

For simplicity we will use sampling of an approximation to the discrete Gaussian...



# Sampling Made Easy

Take a normal Gaussian of standard deviation  $\sigma$ .

The probability of being more than  $6 \cdot \sigma$  away from the mean is so small we can ignore it.

So we create a table which approximates the CDF from  $-6 \cdot \sigma$  upto  $6 \cdot \sigma$

1. Let  $B$  be the smallest power of two which is larger than  $6 \cdot \sigma$ .
2. Initialize DGtable as a table indexed by 0 to  $2 \cdot B - 1$ .
3. For  $i$  from  $-B$  to  $B - 1$  do
  - 3.1  $x \leftarrow (x + .5)/(\sigma \cdot \sqrt{2})$ .
  - 3.2  $v \leftarrow (1 + \text{erf}(x))/2$ .
  - 3.3  $j \leftarrow \lceil 2^{32} \cdot v \rceil$ .
  - 3.4  $\text{DGtable}[i + B] = j$ .
4. Return  $[B, \text{DGtable}]$ .

# Sampling Made Easy

To sample we then just pull a uniform random number in the range  $[0, \dots, 2^{32}]$  and do a binary search

1.  $x \leftarrow \text{rand}(2^{32})$ .
2.  $l \leftarrow 0, u \leftarrow 2 \cdot B$ .
3. While  $(u - l) > 1$  do
  - 3.1  $m \leftarrow \lfloor (l + u)/2 \rfloor$ .
  - 3.2 If  $x > \text{DGtable}[m]$  then  $l = m$ , else  $u = m$ .
4. Return  $(u - B)$ .

The resulting distribution we call  $\chi_\sigma$ .

# Basic Ring-LWE Encryption Scheme

# Key Generation

A public key consists of a Ring-LWE instance  $(a, b)$ , a private key is the underlying secret polynomial  $s$  such that

$$b = a \cdot s + e',$$

where  $e'$  is “small”

- ▶ We pick however  $s$  also to be “small”

So key generation goes as follows:

1.  $a \leftarrow R_q$ .
2.  $s, e' \leftarrow \chi_\sigma^n$ .
3.  $b = a \cdot s + e'$  in  $R_q$ .

# Encryption

This works by randomizing the LWE instance given by the public key and then embedding the message  $\mu \in \{0, 1\}^n$

1.  $v, e, d \leftarrow \chi_\sigma^n$ .
2.  $c_0 = b \cdot v + d + \Delta_q \cdot \mu$ .
3.  $c_1 = a \cdot v + e$

where

$$\Delta_q = \left\lfloor \frac{q}{2} \right\rfloor.$$

Note

$$\begin{aligned} c_0 - s \cdot c_1 &= (b \cdot v + d + \Delta_q \cdot \mu) - s \cdot (a \cdot v + e) \\ &= ((a \cdot s + e') \cdot v + d + \Delta_q \cdot \mu) - s \cdot (a \cdot v + e) \\ &= \Delta_q \cdot \mu + e' \cdot v + d - e \cdot s \\ &= \Delta_q \cdot \mu + \text{"small"}. \end{aligned}$$



# Decryption

Since we have

$$c_0 - s \cdot c_1 = \Delta_q \cdot \mu + \text{"small"}.$$

we decrypt as follows:

1.  $f = c_0 - s \cdot c_1.$
2.  $\mu = \left\lfloor \left\lceil \frac{2}{q} \cdot f \right\rceil \right\rfloor.$

BUT this only works if the “small” is small enough.

- We need to fix the parameters so that small is small enough

# Three Problems

If we fix the parameters so that “small” is guaranteed to be **always** small enough we get huge parameters

- ▶ Like those seen in FHE schemes!

So we need to apply some coding theory to make the parameters smaller.

We do lots of operations like  $a \cdot b \pmod{F}$  which are costly,  $O(n^2)$ .

- ▶ Would prefer  $O(n)$

If we pick  $F$  and  $q$  well we can use FFT techniques

The above scheme is not CCA secure it is only CPA secure

- ▶ Need a way of transforming to get a CCA secure scheme

We now address these three problems.

# Coding Theory

# Coding Theory

We could just apply some complex BCH code to get a really excellent encoding scheme

- ▶ Good error correction properties.
- ▶ Complex to code correctly/well due to complex decoding algorithm.
- ▶ Open source good implementations are available, but they are polluted by the GPL.

However, these are overkill as we only need to correct very few bits in practice.

We pick a  $(16, 8, 5)$  linear code.

This takes a byte and expands it into two bytes

- ▶ Allows us to correct a byte in the presence of two error bits in the 16

# The (16, 8, 5) Code

Generator Matrix:

$$G = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 1 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 1 \end{pmatrix} \in \mathbb{F}_2^{8 \times 16}$$

# The (16, 8, 5) Code

Parity Check Matrix:

$$H = \begin{pmatrix} 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 1 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix} \in \mathbb{F}_2^{8 \times 16}$$

# The (16, 8, 5) Code

To encode we take a byte  $b$  and represent it as a bit vector  $\mathbf{b} \in \mathbb{F}_2^8$  and then compute

$$\mathbf{c} = \mathbf{b} \cdot G.$$

To decode we compute the syndrome

$$\mathbf{s} = H \cdot \mathbf{c}.$$

We can then look up the resulting decoding value in a simple look up table of size  $137 = 1 + 16 + (16 \cdot 15)/2$ .

- ▶ LUT has one entry for each zero, one and two bit error.
- ▶ LUT gives the error vector which we can then add onto  $\mathbf{c}$  to recover the actual message.

If the per-bit error rate is  $p_0$  then the probability we recover a byte correctly using this encoding scheme is

$$p_1 = (1 - p_0)^{16} + 16 \cdot (1 - p_0)^{15} \cdot p_0 + \frac{16 \cdot 15}{2} \cdot (1 - p_0)^{14} \cdot p_0^2.$$

When  $p_0 \approx 2^{-18}$  we obtain

$$p_1 \approx 1 - 2^{-44}.$$



# Coding Theory

Given a vector  $\mathbf{b}$  in  $\mathbb{F}_2^n$  where  $n$  is a multiple of eight we can apply the encoding scheme  $n/8$  times in parallel to obtain a vector of length  $2 \cdot n$ .

$$\mathbf{c} = \text{Encode}(\mathbf{b}).$$

Likewise we can decode a bit-vector vector of length  $2 \cdot n$  to a bit-vector vector of length  $n$  via

$$\mathbf{b} = \text{Decode}(\mathbf{c}).$$

If  $p_0 \approx 2^{-18}$  probability of transmitting 64 bytes (i.e. 512 bits) correctly is

$$p_2 = p_1^{64} \approx 1 - 2^{-38}.$$

We encode the 64 bytes as 128 bytes.

# Fourier Transforms

# Fourier Transforms

We pick  $F$  and  $q$  for our Ring LWE problem in a special way

1.  $F = X^N + 1 = X^{2^n} + 1$
2.  $q \equiv 1 \pmod{2 \cdot N}$ .

This implies that  $\mathbb{F}_q$  contains a  $(2 \cdot N)$ th root of unity.

- ▶ Let  $\alpha$  denote a mutually agreed one.

Since  $F = X^{2^n} + 1$  picking our noise via sampling Gaussian coefficients is essentially the same as sampling in the canonical embedding and pulling back.

Idea is to represent a polynomial  $a(X)$  by its evaluations at the roots of unity  $\alpha^i$  for odd  $i \in [1, \dots, 2 \cdot N]$ .

# Fourier Transforms

Evaluating  $a(X)$  at the odd powers of  $\alpha$  is the same as evaluating the FFT of  $a(X)$ .

$$\mathbf{a} \leftarrow \text{FFT}(a) \in \mathbb{F}_q^N.$$

Interpolating the vector back to a polynomial is the same as evaluating the inverse FFT of  $\mathbf{a}$ .

$$a \leftarrow \text{FFT}^{-1}(\mathbf{a}) \in R_q.$$

Since  $N$  is a power of 2 the FFT is fast

# Fourier Transforms

If we define  $\oplus$  and  $\otimes$  as coordinate wise addition and multiplication modulo  $q$  then we have

$$\mathbf{a} \oplus \mathbf{b} = \text{FFT}(a + b),$$

$$\mathbf{a} \otimes \mathbf{b} = \text{FFT}(a \cdot b).$$

This means that operations in our ring can be done in time  $O(n)$  as soon as we have passed into the FFT domain.

- Mapping to/from FFT domain takes time  $O(n \cdot \log n)$ .

# CCA Secure Scheme

# CCA Parameters

We are going to need a ring size of  $N = 1024$  bits

- ▶ Want to transmit keys of size 256 bits.
- ▶ Padding scheme needs 256 bits of randomness.
- ▶ Our encoding scheme doubles this to  $2 \cdot (256 + 256) = 1024$ .

We pick a Gaussian standard deviation of  $\sigma = 3.2$

We therefore pick  $q = 765953$

- ▶ Gives good security.
- ▶ Per bit error rate of  $p_0 \approx 2^{-18}$ .

We first define a CPA secure scheme which includes the FFT and coding theory modifications...

# CPA Secure Scheme: KeyGen

1.  $a \leftarrow R_q$ .
2.  $s, e' \leftarrow \chi_\sigma$ .
3.  $\mathbf{a} \leftarrow \text{FFT}(a)$ .
4.  $\mathbf{s} \leftarrow \text{FFT}(s)$ .
5.  $\mathbf{e}' \leftarrow \text{FFT}(e')$ .
6.  $\mathbf{b} \leftarrow (\mathbf{a} \otimes \mathbf{s}) \oplus \mathbf{e}'$ .
7.  $\mathbf{sk} \leftarrow \mathbf{s}$ .
8.  $\mathbf{pk} \leftarrow (\mathbf{a}, \mathbf{b})$ .
9. Return  $(\mathbf{pk}, \mathbf{sk})$



# CPA Secure Scheme: $\text{Enc}_1(m, pk)$

The encryption mechanism takes as input the public key  $pk = (\mathbf{a}, \mathbf{b})$  and a message  $m \in \{0, 1\}^b$ , where  $b < N/2$ .

1.  $\mu \leftarrow \text{Encode}(m)$ , treat  $\mu$  as an element in  $R_2$  (this involves applying Encode a total of  $\lceil b/8 \rceil$  times).
2.  $v, e, d \leftarrow \chi_\sigma$ .
3.  $\mathbf{v} \leftarrow \text{FFT}(v)$ ,  $\mathbf{e} \leftarrow \text{FFT}(e)$ .
4.  $x \leftarrow d + \Delta_q \cdot \mu \bmod q$ .
5.  $\mathbf{x} \leftarrow \text{FFT}(x)$ .
6.  $\mathbf{c}_0 \leftarrow (\mathbf{b} \otimes \mathbf{v}) \oplus \mathbf{x}$ .
7.  $\mathbf{c}_1 \leftarrow (\mathbf{a} \otimes \mathbf{v}) \oplus \mathbf{e}$ .
8. Return  $(\mathbf{c}_0, \mathbf{c}_1)$ .

## CPA Secure Scheme: $\text{Dec}_1(m, pk)$

On input of a ciphertext  $\mathbf{c} = (\mathbf{c}_0, \mathbf{c}_1)$  and a secret key  $sk = \mathbf{s}$  the decryption is performed as follows:

1.  $\mathbf{f} \leftarrow \mathbf{c}_0 \ominus (\mathbf{s} \otimes \mathbf{c}_1)$ .
2.  $f \leftarrow \text{FFT}^{-1}(\mathbf{f})$ .
3. Convert  $f$  into centered-representation.
4.  $\mu \leftarrow \left\lfloor \left\lceil \frac{2}{q} f \right\rceil \right\rfloor$ . Note  $\mu$  can be considered as a string of  $2 \cdot b$  bits, as  $N > 2 \cdot b$  we only take the first  $2 \cdot b$  bits of  $\mu$  and ignore all non-zero trailing bits as “errors”.
5.  $m \leftarrow \text{Decode}(\mu)$ .
6. Return  $m$ .

# CCA From CPA

To define a CCA scheme from the above CPA scheme we use the Fujisaki-Okamoto transform:

If the original encryption scheme  $(\text{Enc}_1, \text{Dec}_1)$  can encrypt messages of  $b$  bits in length, this IND-CCA scheme encrypts messages of  $b - 256$  bits in length.

The scheme makes use of a hash function  $H$  which outputs at least 256-bit hash values, and takes as inputs bit strings of length  $b$ .

# CCA Secure Public Key Scheme

$\text{Enc}_2(m, \text{pk})$ :

1.  $s \leftarrow \{0, 1\}^{256}$ .
2.  $\mu \leftarrow m \| s$ .
3.  $r \leftarrow H(\mu)$ .
4.  $(\mathbf{c}_0, \mathbf{c}_1) \leftarrow \text{Enc}_1(\mu, \text{pk})$ , where all randomness is generated from the seed  $r$ .
5. Return  $(\mathbf{c}_0, \mathbf{c}_1)$ .

$\text{Dec}_2(\mathbf{c}, \text{sk})$ :

1.  $\mu \leftarrow \text{Dec}_1(\mathbf{c}, \text{sk})$ .
2.  $m \| s \leftarrow \mu$ , where  $s$  is 256 bits long.
3.  $r \leftarrow H(\mu)$ .
4.  $\mathbf{c}' \leftarrow \text{Enc}_1(\mu, \text{pk})$ , where all randomness is generated from the seed  $r$ .
5. If  $\mathbf{c} \neq \mathbf{c}'$  then return  $\perp$ .
6. Return  $m$ .

# CCA Secure Key Encapsulation

For key-encapsulation in post-quantum schemes we aim to transmit a key of 256 bits in length.

Thus we can easily adapt the previous IND-CCA encryption scheme to do this by selecting a message of size 256 bits at random.

- ▶ So  $b - 256 = 256$ .
- ▶ Which implies  $b = 512$ .
- ▶ So  $N > 2 \cdot 512 = 1024$ .
- ▶ This is why we wanted  $N = 1024$  for our ring.

# CCA Secure Key Encapsulation

Key Encapsulation: This takes as input a public key  $pk$  and outputs an encapsulation  $\mathbf{c} = (\mathbf{c}_0, \mathbf{c}_1)$  and the key  $k$  it encapsulates.

1.  $k \leftarrow \{0, 1\}^{256}$ .
2.  $(\mathbf{c}_0, \mathbf{c}_1) \leftarrow \text{Enc}_2(k, pk)$ .
3. Return  $((\mathbf{c}_0, \mathbf{c}_1), k)$ .

Key Decapsulation: This takes as input a secret key  $sk$  and an encapsulation  $\mathbf{c} = (\mathbf{c}_0, \mathbf{c}_1)$ , and outputs the key  $k$  it encapsulates, or the error symbol  $\perp$ .

1.  $k \leftarrow \text{Dec}_2(\mathbf{c}, sk)$ .

# Questions?