

# **NEURAL DATA ANALYSIS**

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# **GENERALIZED LINEAR MODELS**

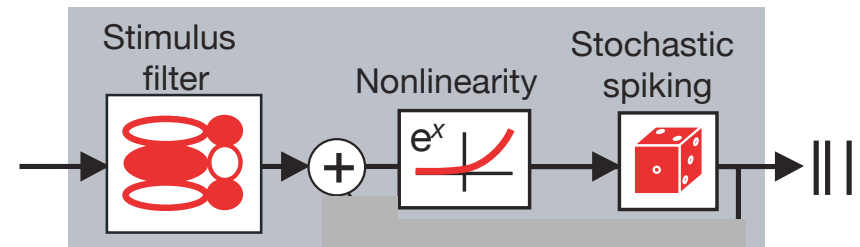
# SHORTCOMINGS OF MODELS SO FAR

What we have:

- Stimulus (in space and time) (Linear)
- (Static) non-linearity (Non-linear)
- Probabilistic spiking (Poisson)

Not included so far:

- Spiking dynamics
  - Refractory period
  - Bursting
- Interactions between neurons



Pillow et al. 2008

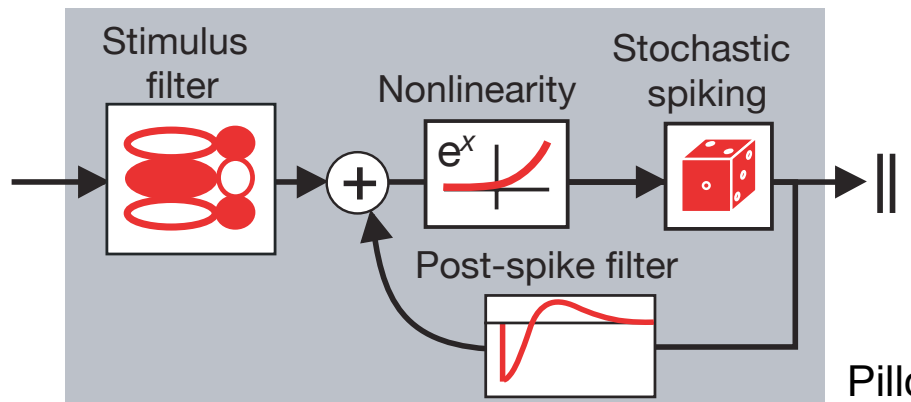
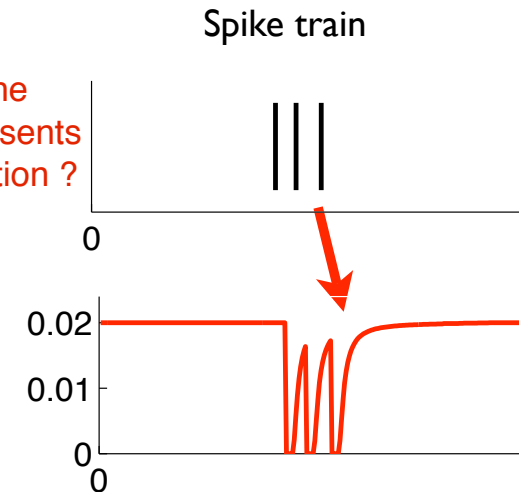
# REFRACTORY PERIODS AND BURSTING

Include *self-feedback* term which excites/inhibits the neuron after spikes

$$r(t) = \exp(\lambda_{\text{stimulus}} + \lambda_{\text{history}})$$

$$\lambda_{\text{history}}(t) = \sum_{\tau} h_{\tau} y_{t-\tau}$$

I suppose the y axis represents autocorrelation ?

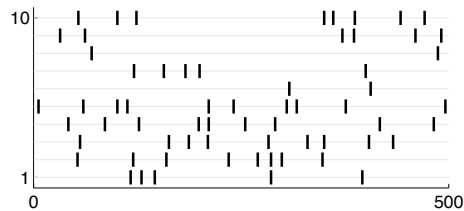


Pillow et al. 2008

# EXAMPLES OF HISTORY EFFECTS

Refractory periods

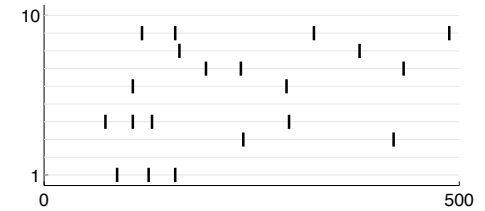
Spike trains



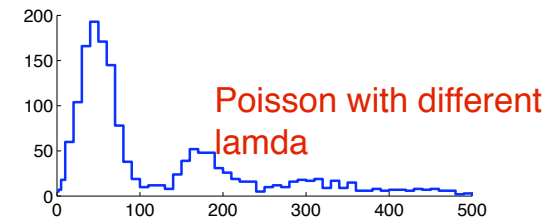
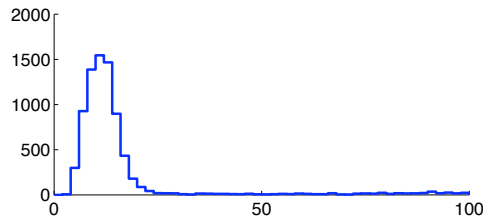
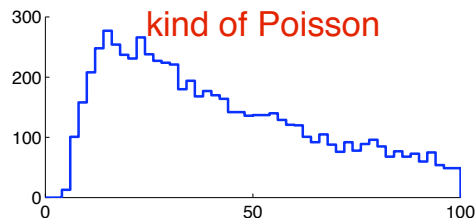
Bursts



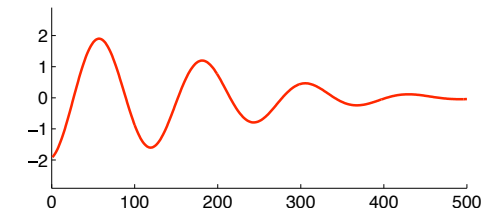
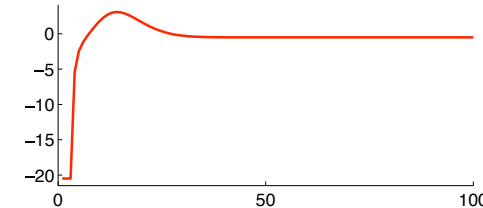
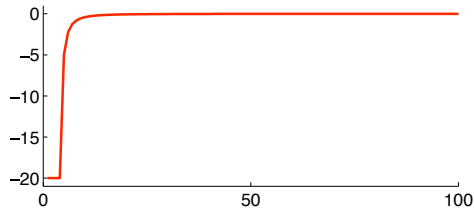
Oscillations



Inter-spike intervals



Filter  
 $\lambda_{hist}$



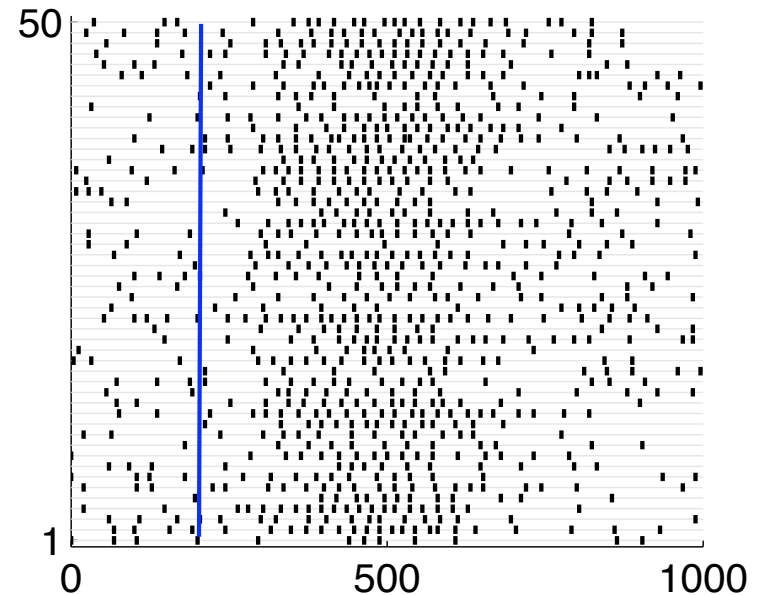
Infer history terms by optimizing likelihood as before

# FITTING STIMULUS AND HISTORY SIMULTANEOUSLY

If a spike occurs at time  $t$

- Stimulus-induced rate is high (e.g. stimulus onset)
- Spike is consequence of recent spiking history (e.g. burst)

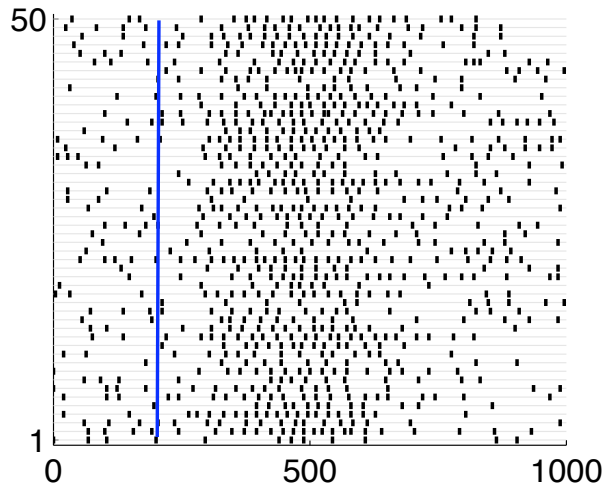
Averaging spikes across trials  
confounds the two!



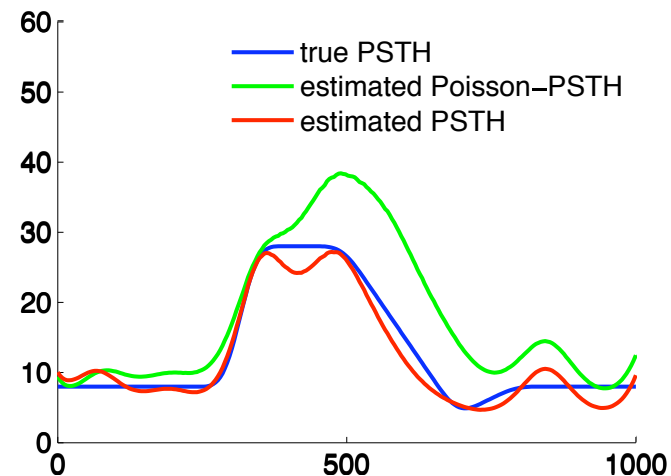
→ Infer stimulus and history terms simultaneously

# FITTING STIMULUS AND HISTORY SIMULTANEOUSLY

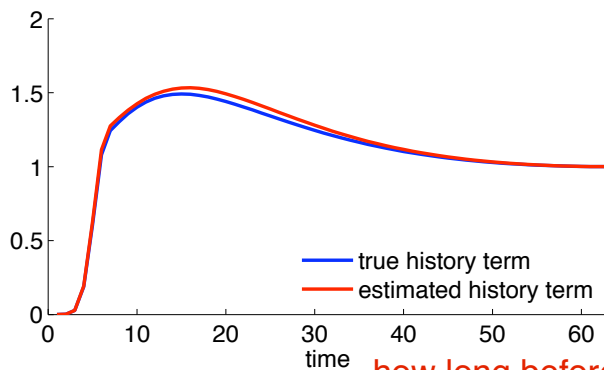
Spike trains



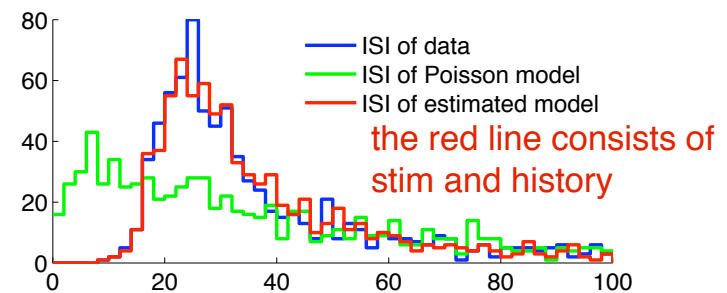
Stimulus induced rates



History terms

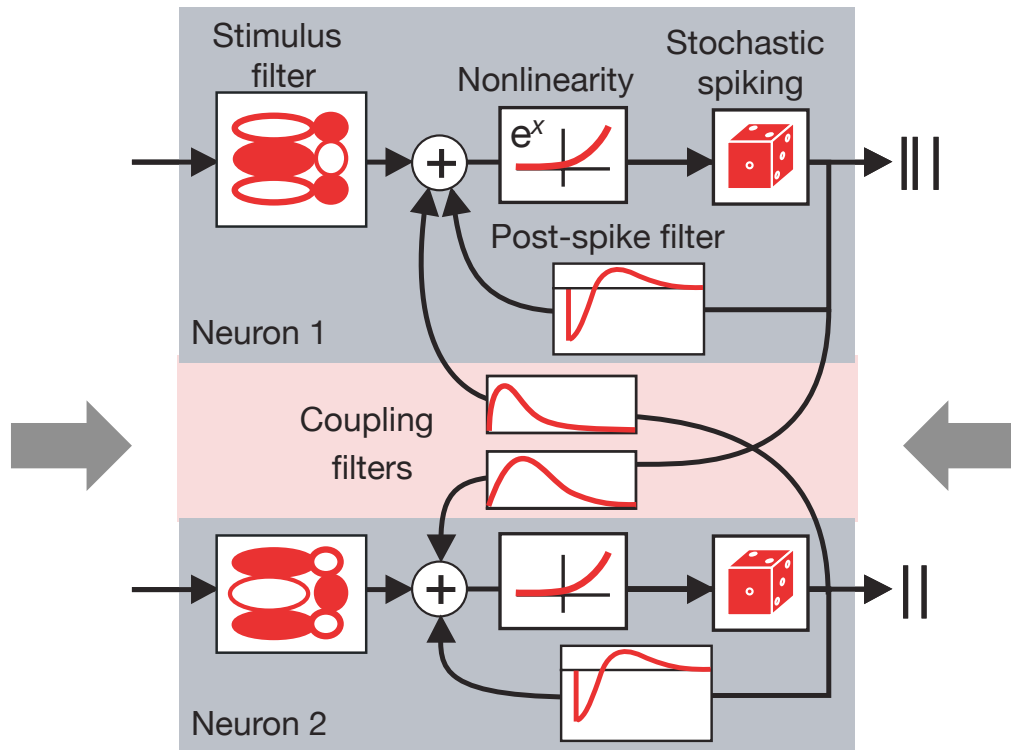


Inter-spike-interval histograms



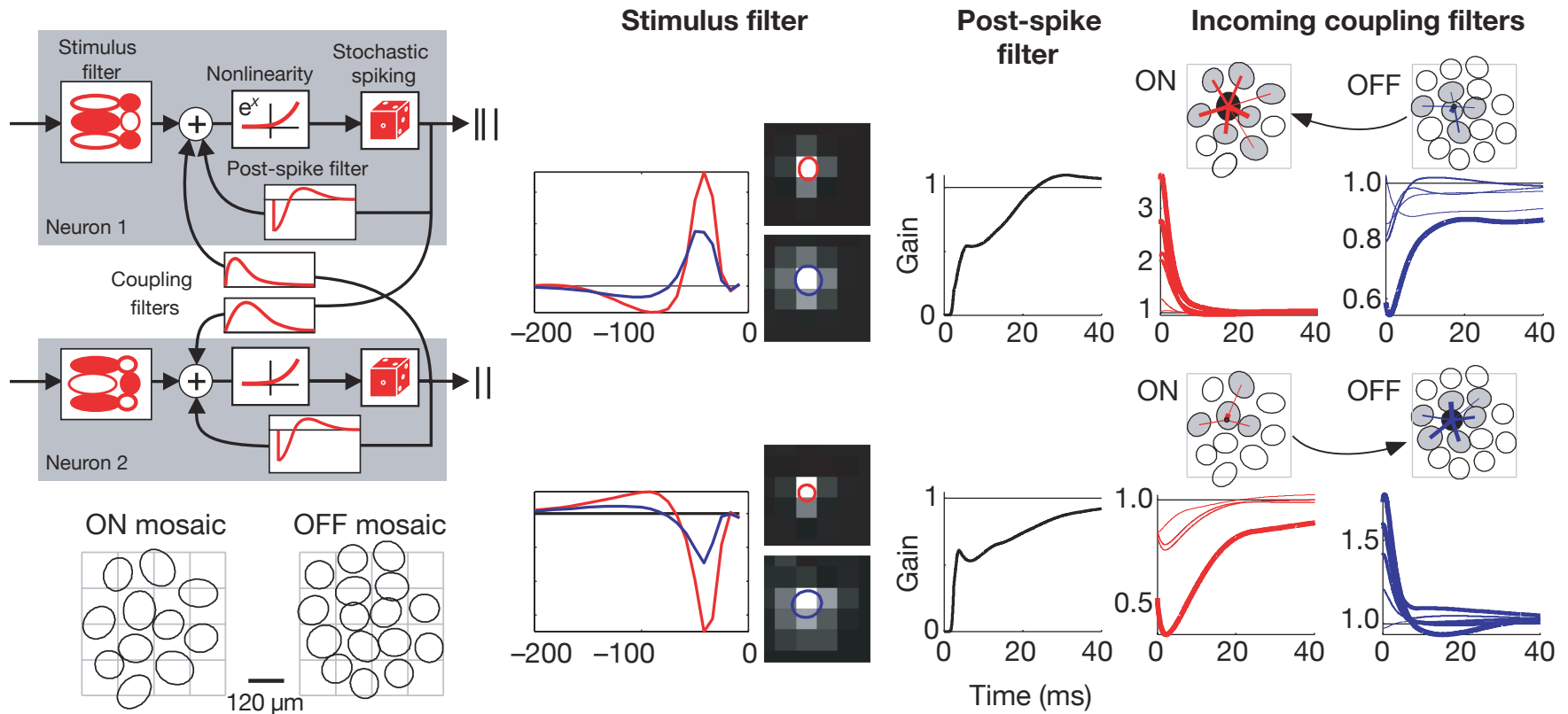
how long before the stimulus

# BEYOND SINGLE CELLS: PAIRWISE COUPLINGS





# GLM FOR RETINAL POPULATION

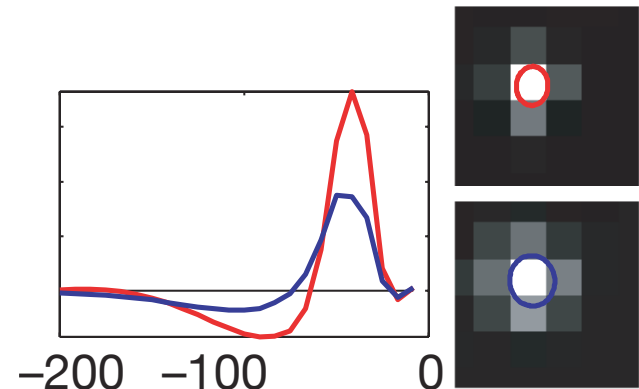
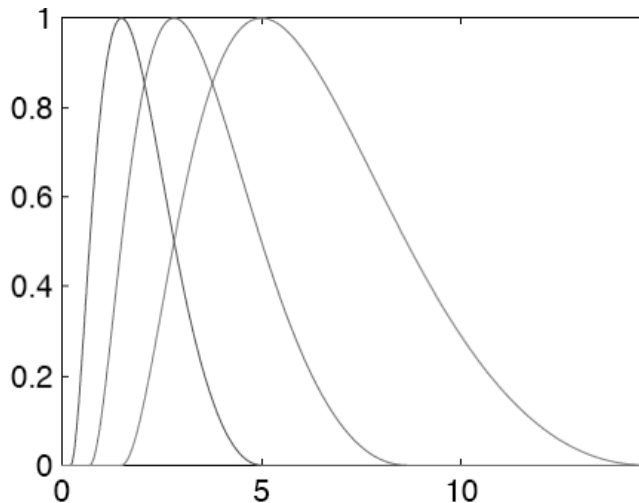


# MODEL COMPLEXITY

**Main challenge: too many parameters for too few data points**

**Solutions:**

- **Assume space-time separability**
- **Use basis functions**



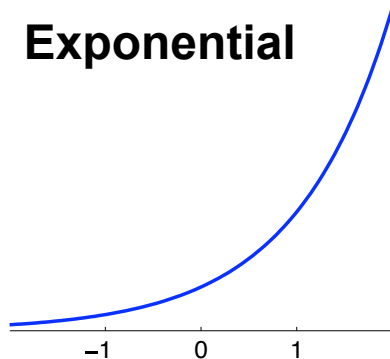
# DIFFERENT NON-LINEARITIES?

$$r(t) = \exp(\lambda) \quad \overset{?}{\rightarrow} \quad r(t) = f(\lambda)$$

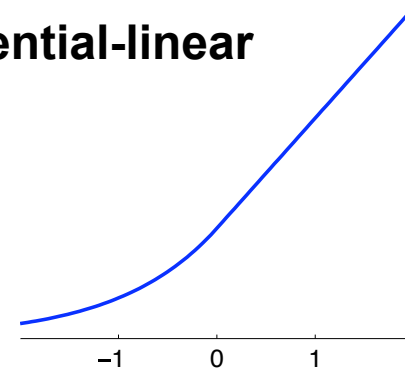
**Log-likelihood is convex if  $f$  is convex and log-concave**  
(i.e. grows at least linearly and at most exponentially)

→ **Unique maximum**

**Exponential**



**Exponential-linear**

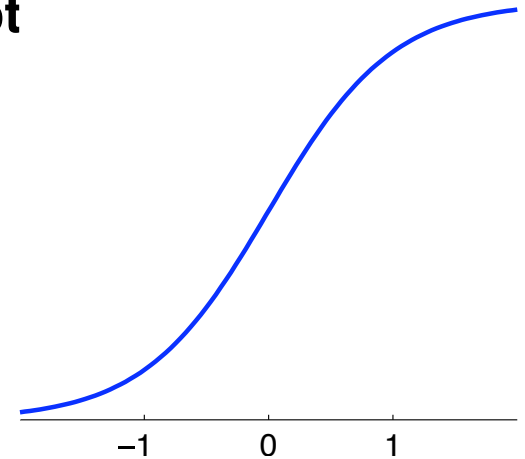


# SIGMOIDAL NON-LINEARITY?

Problem is non-convex (local maxima) except in case of binary outputs

For each bin assume either 0 or 1 spike

→ Equivalent to logistic regression



Not a strong limitation: spike history can take care of saturation

# **LIMITATIONS OF GLMS AND SOME REMEDIES**

**Stimulus filters are linear: can model only simple cells**

**Linear history filters and temporal precision**

**Correlations between cells are modeled as direct interactions**

**No state dependence**

**Work in non-linear feature space**  
(e.g. Gerwinn et al. 2007)

**Estimate non-linear interactions/hidden neurons** (e.g. Pillow & Latham 2008)

**Model common input** (e.g. Kulkarni & Paninski 2007, Vidne et al. 2009)

**Model state dependence** (e.g. Hidden Markov Models: Escola et al. 2011, Latent Linear Dynamical Systems: Macke et al. 2011)

**Most extensions come at the cost of added computational cost and non-convexity!**

# **SUMMARY: GLMS**

**Generalized Linear Models are**

- **flexible**
- **straightforward to use (convex, glmfit)**
- **well understood**

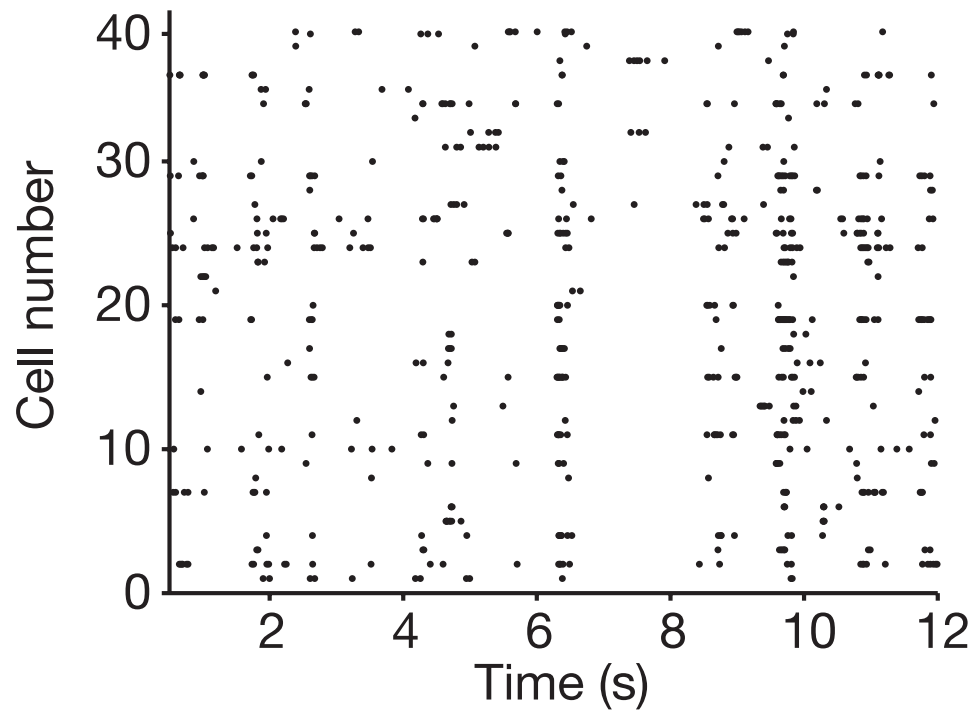
**There are numerous extensions within the same framework**

# **MAXIMUM ENTROPY MODELS**

# GOAL

Describe probability distribution over neural responses

$$P(x_1, x_2, \dots, x_n) = ?$$

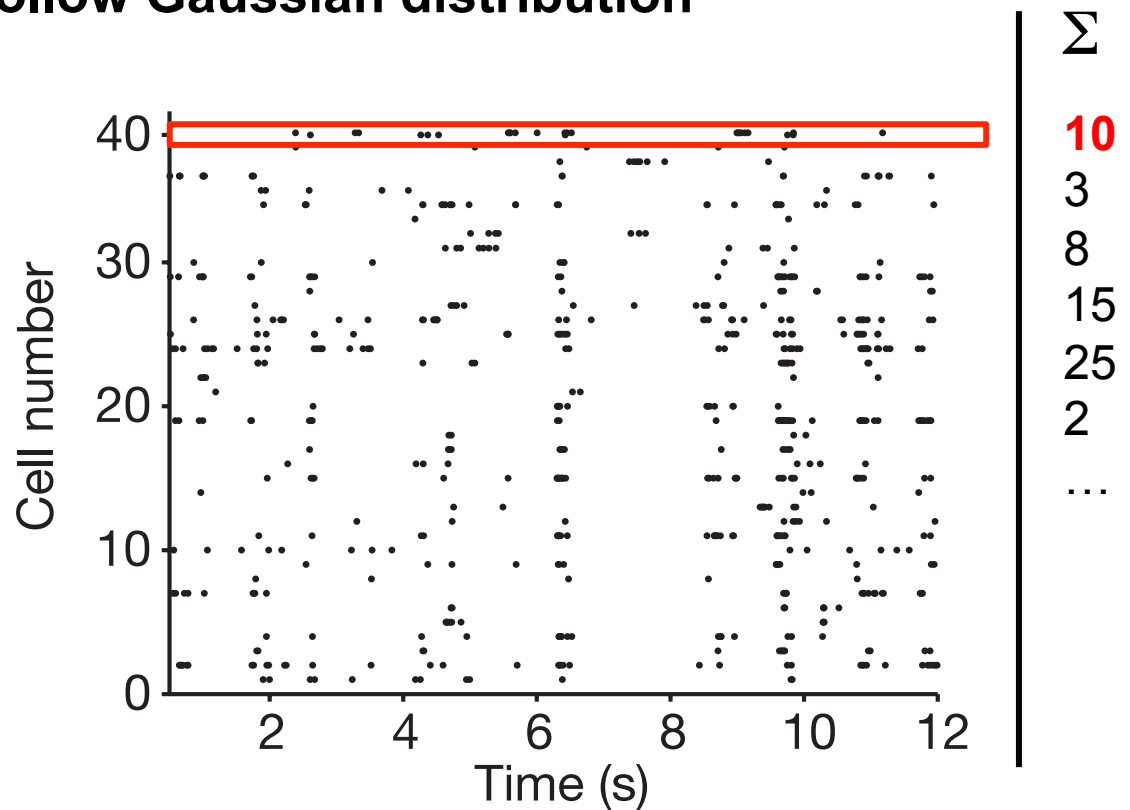




# A SIMPLE APPROACH

Count spikes over large window

Assume spike counts follow Gaussian distribution



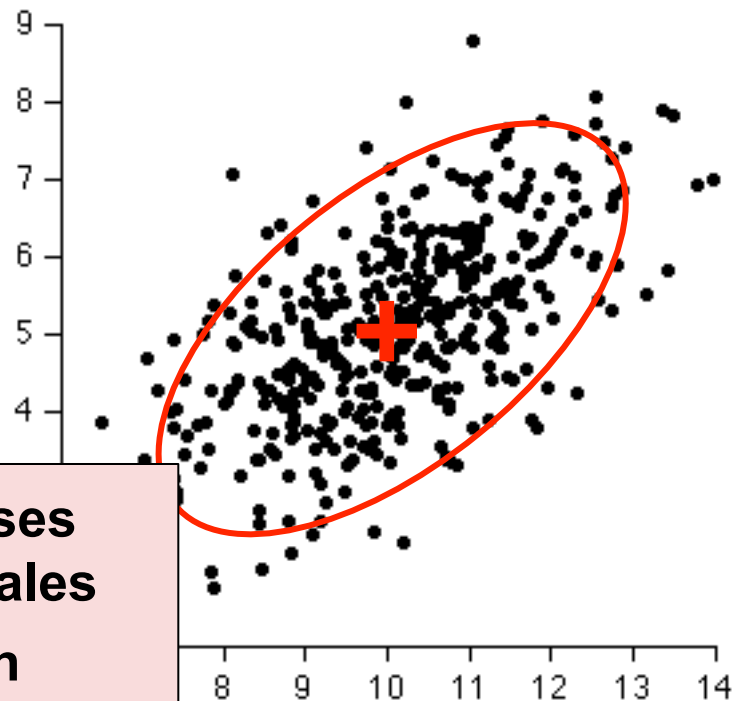
# A SIMPLE APPROACH

Count spikes over large window

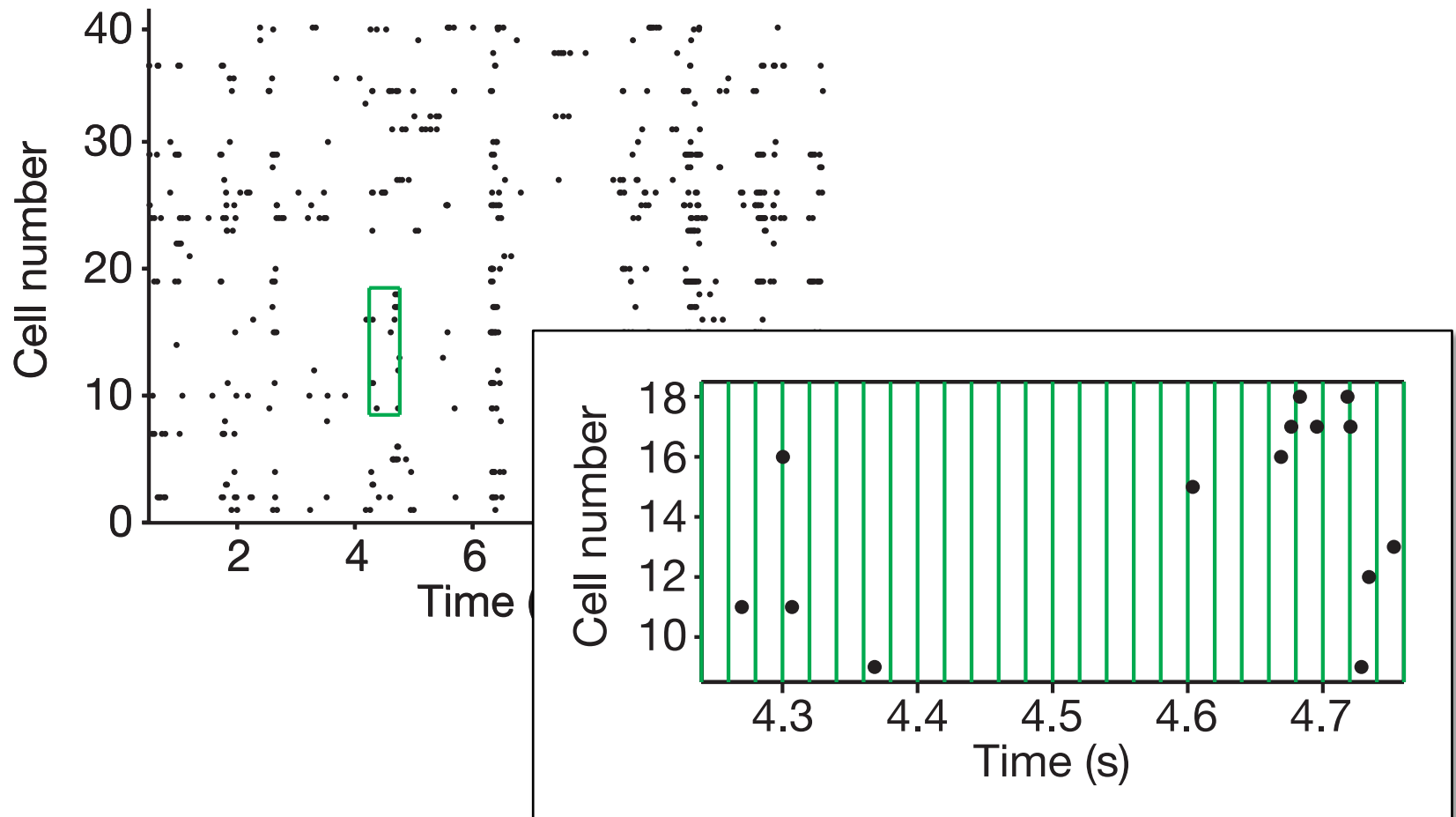
Assume spike counts follow Gaussian distribution

→ Mean and Covariance  
fully describe the  
distribution

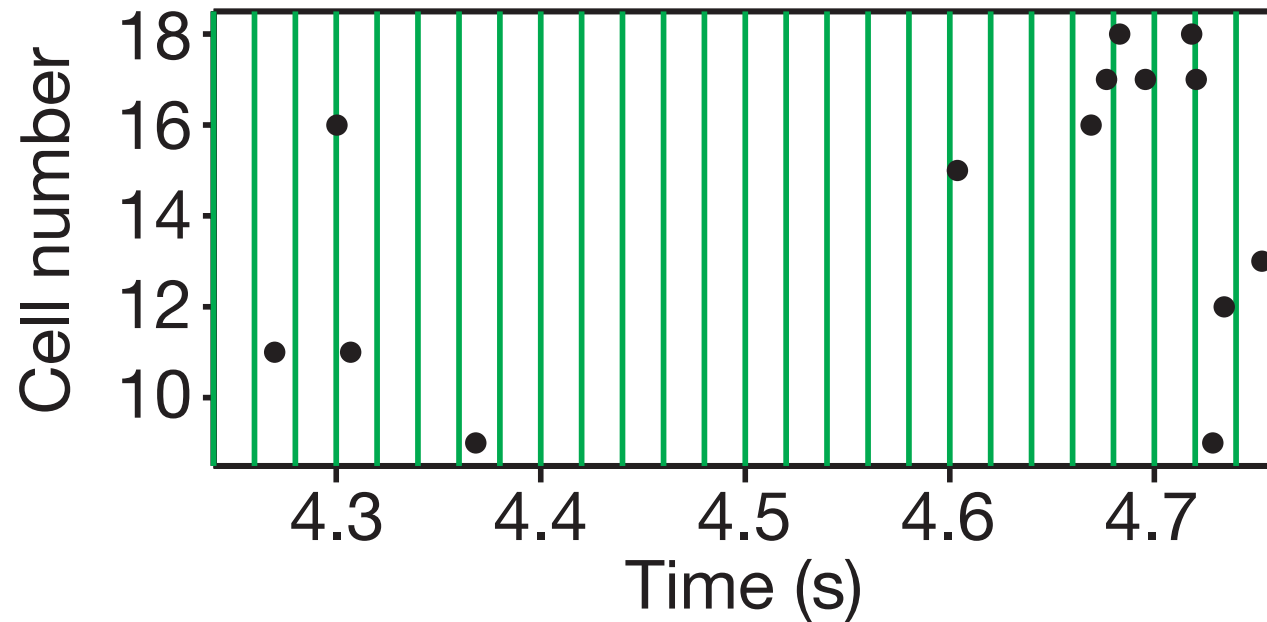
- Most interesting brain processes happen at much faster timescales
- Spike counts are not Gaussian



# MODELING BINARY PATTERNS



Downloaded from <http://ajph.org/> on November 10, 2015



# Binary patterns

# MODELING BINARY PATTERNS

$$P(\mathbf{b}) = ?$$

Binary  
patterns

0000000000
0010000000
0000000000
<b>0010000100</b>
0000000000
1000000000
0000000000
0000000000
0000000000
0000000000
0000000000
0000000000
0000000000
0000000000
0000000000
0000000000
0000000000
0000000000
0000000000
0000000000
0000000000
0000000000
0100000000
0000000110
0000000011
0000000001
1001000010
0000100000

# INDEPENDENT MODEL

$$P_1(\mathbf{b}) = \prod_{k=1}^N P_k(b_k)$$

$$P_k(b_k) = \lambda_k^{b_k} (1 - \lambda_k)^{1-b_k}$$

Bernoulli Dist.  $b_k = 1$  fire;  $b_k = 0$  not fire.

## Simple, but does not capture dependencies between neurons

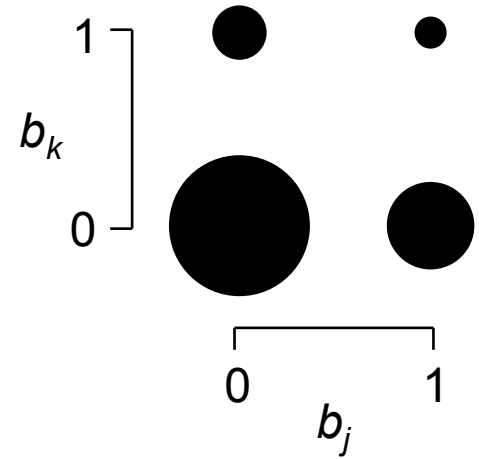
# Binary patterns

[illegible]

$$\hat{\lambda}_k = \frac{2}{26}$$

# FULL MODEL

$$P_{\text{full}}(\mathbf{b}) \approx \frac{h_{\mathbf{b}}}{\sum_{\mathbf{b}} h_{\mathbf{b}}}$$



## Captures all dependencies between neurons, but is too complex

$$n = 2^{10} = 1024 \text{ states}$$

Binary patterns	State index
0000000000	0
0010000000	128
0000000000	0
0010000100	132
0000000000	0
1000000000	512
0000000000	0
0000000000	0
0000000000	0
0000000000	0
0000000000	0
0000000000	0
0000000000	0
0000000000	0
0000000000	0
0000000000	0
0000000000	0
0000001000	8
0000000000	0
0100000000	256
0000000110	6
0000000011	3
0000000001	1
1001000010	578
0000100000	32

# MAXIMUM ENTROPY PRINCIPLE

**Assume we know some statistics of the activity patterns**  
(e.g. mean, correlations, population spike count, etc.)

**What is the distribution that satisfies these constraints but imposes no additional structure?**

$$P(\mathbf{b}) = \frac{1}{Z} \exp\left(-\sum_k \lambda_k f_k(\mathbf{b})\right)$$

$f_k(\mathbf{b})$ : *statistic* (function of  $\mathbf{b}$  whose expected value we know)



# MAXIMUM ENTROPY DISTRIBUTION

Some example statistics:

some limitation (statistics) we know about  $\mathbf{b}$  that can be used as constraint of choice of model.

$$f_k(\mathbf{b}) = \mathbf{b}$$

**Mean**

$$f_k(\mathbf{b}) = (\mathbf{b} - \langle \mathbf{b} \rangle)^2$$

**Covariance**

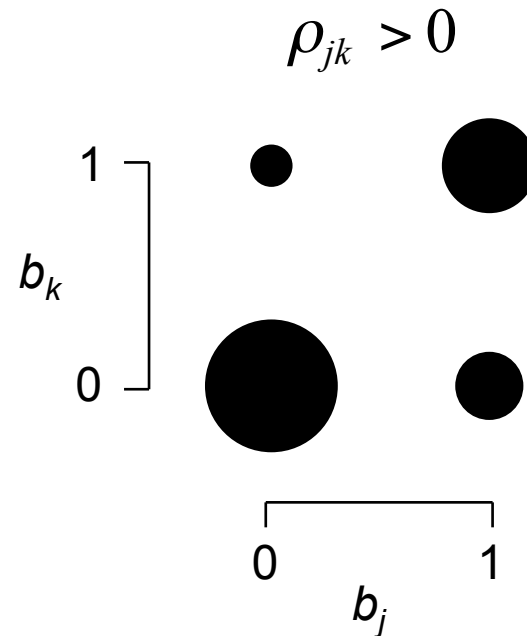
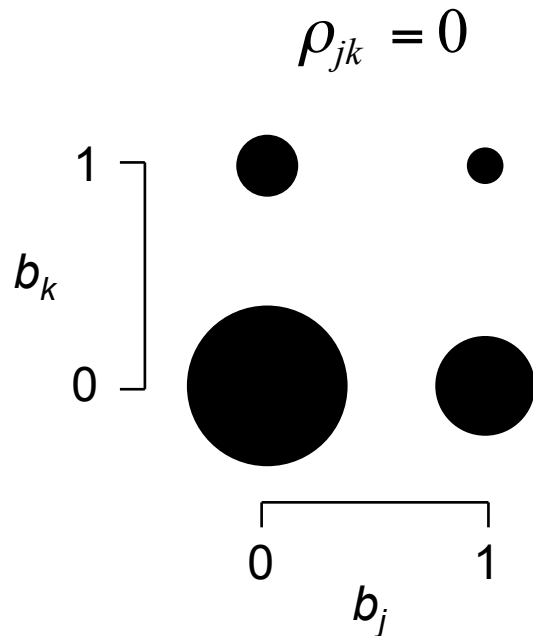
$$f_k(\mathbf{b}) = \sum_k b_k$$

**Population spike count**

# PAIRWISE MODEL

Pairwise correlations:

$$\rho_{jk} = \frac{E[b_j b_k] - E[b_j]E[b_k]}{\sqrt{\text{Var}[b_j] \text{Var}[b_k]}}$$



# PAIRWISE MODEL

Second-order MaxEnt model (a.k.a. *Ising Model*):

$$\begin{aligned} P_2(\mathbf{b}) &= \frac{1}{Z} \exp(-\mathbf{h}^T \mathbf{b} - \mathbf{b}^T J \mathbf{b}) \\ &= \frac{1}{Z} \exp\left(-\sum h_k b_k - \sum J_{jk} b_j b_k\right) \end{aligned}$$

Number of parameters:  $n = \frac{N(N+1)}{2} = 65$

# LEARNING MAXENT MODELS

Ising model: Boltzmann-Machine learning

No closed form for maximum likelihood solution

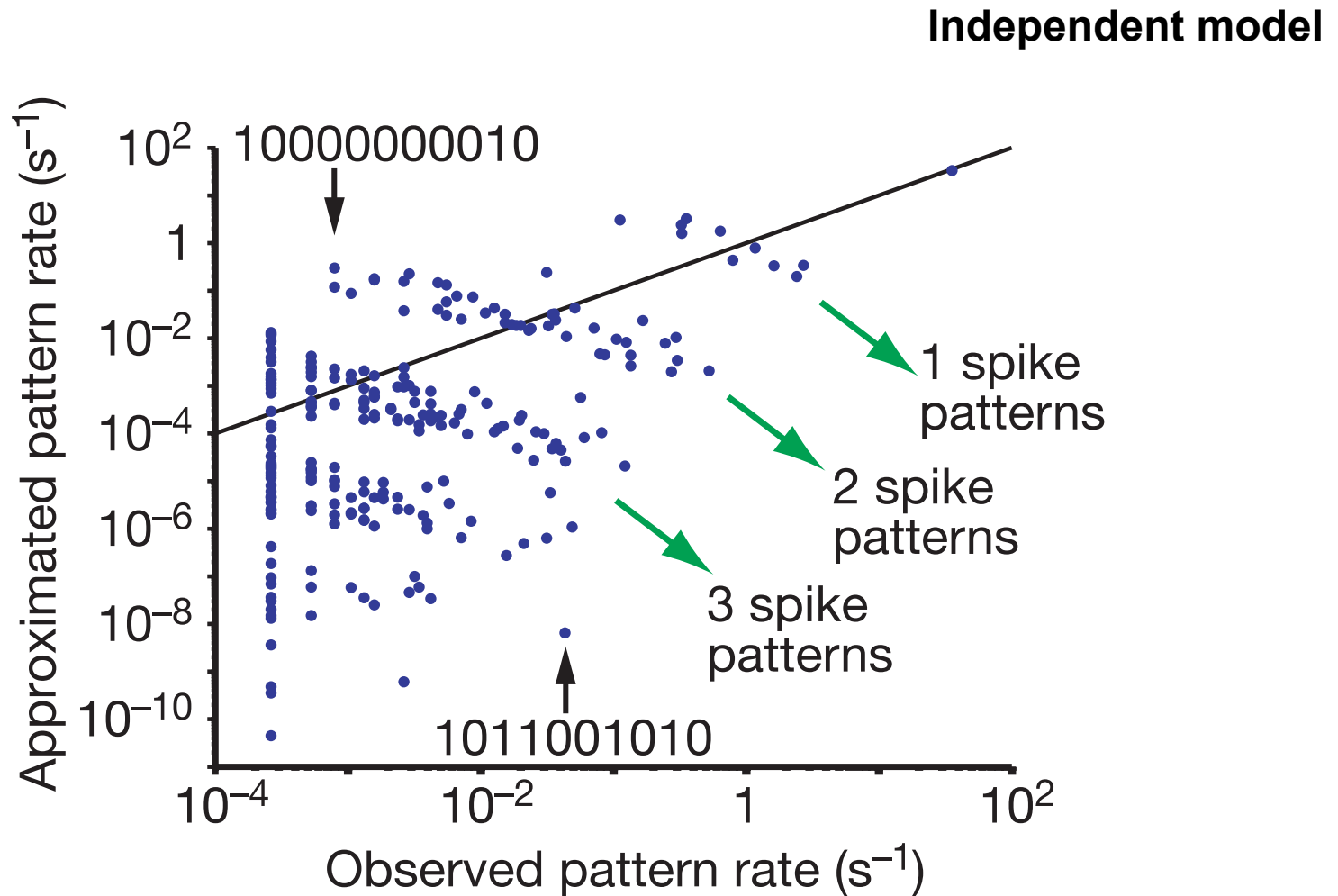
$$\frac{\partial L}{\partial J_{jk}} = \langle b_j b_k \rangle_{\text{Data}} - \langle b_j b_k \rangle_{\text{Model}}$$



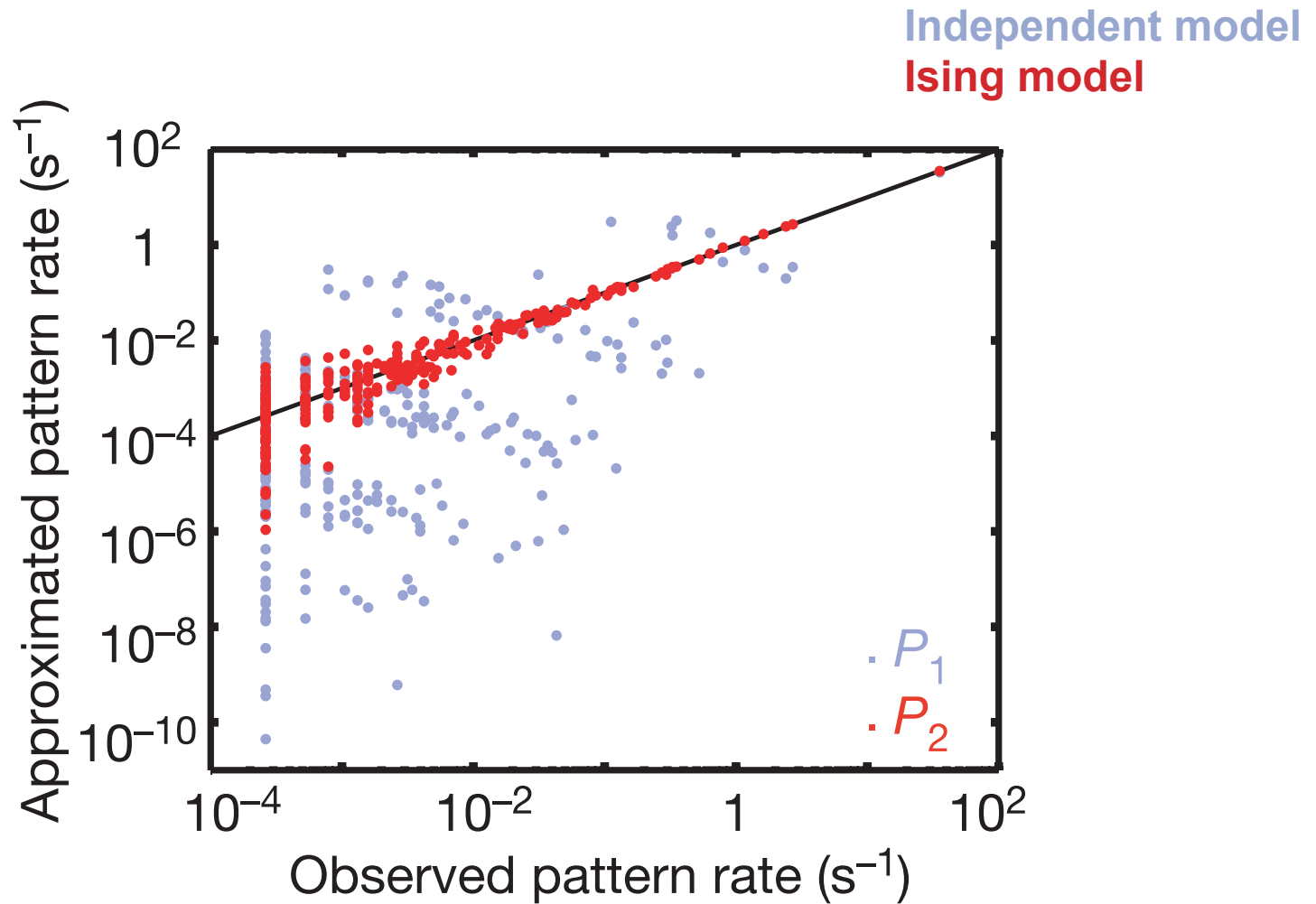
Need to sample from model  
→ Gibbs sampling

$$P_2(\mathbf{b}) = \frac{1}{Z} \exp(-\mathbf{h}^T \mathbf{b} - \mathbf{b}^T J \mathbf{b})$$

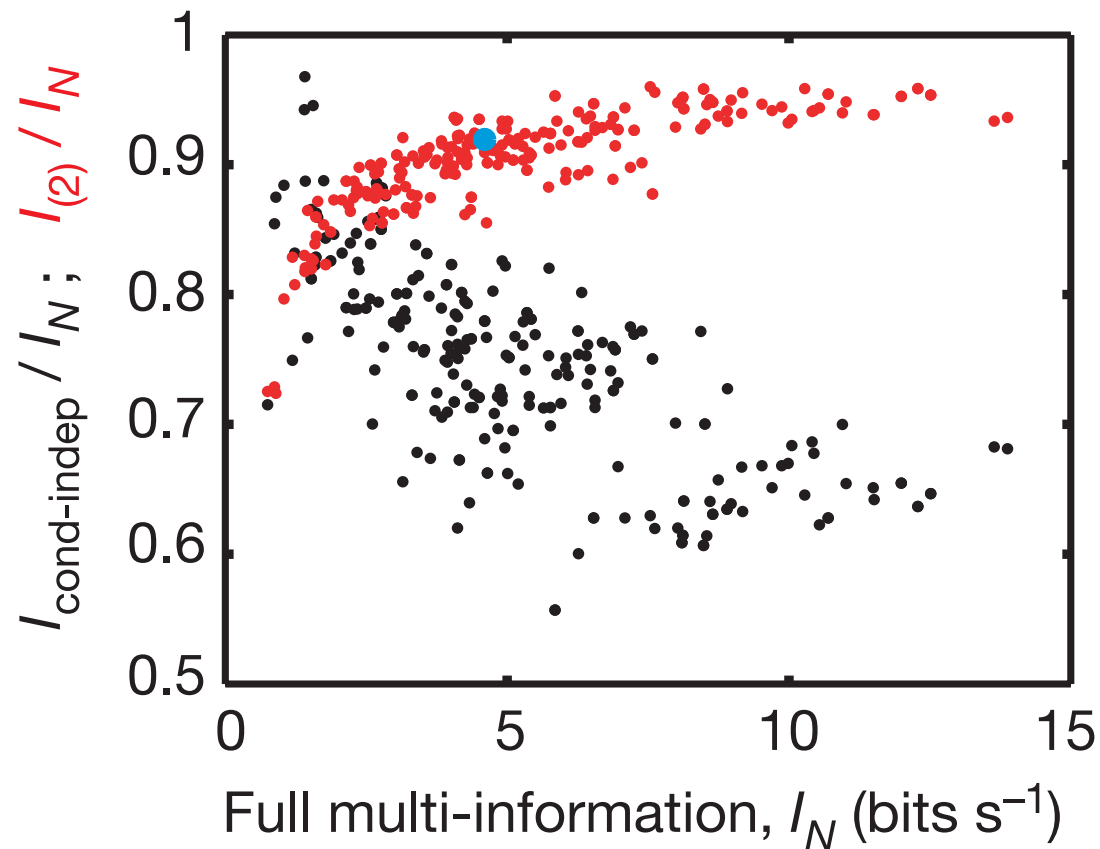
# EXAMPLE: ISING MODEL IN THE RETINA



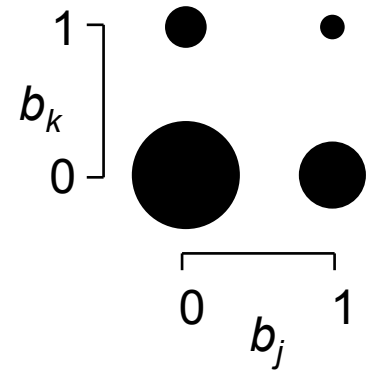
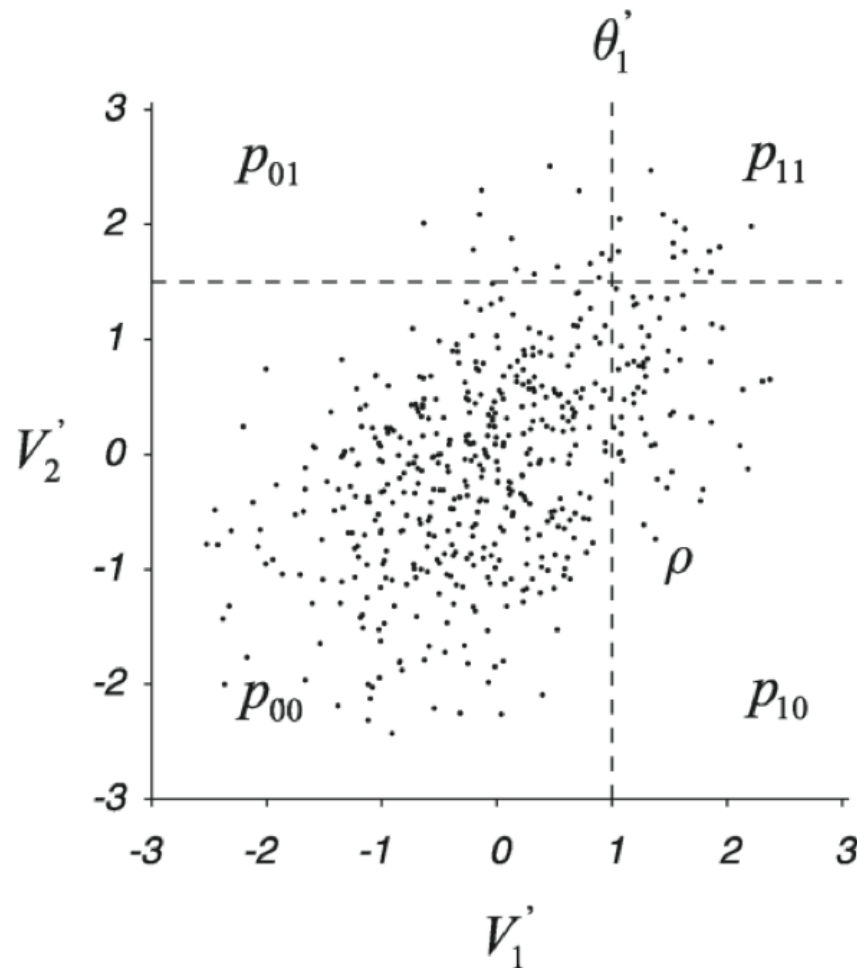
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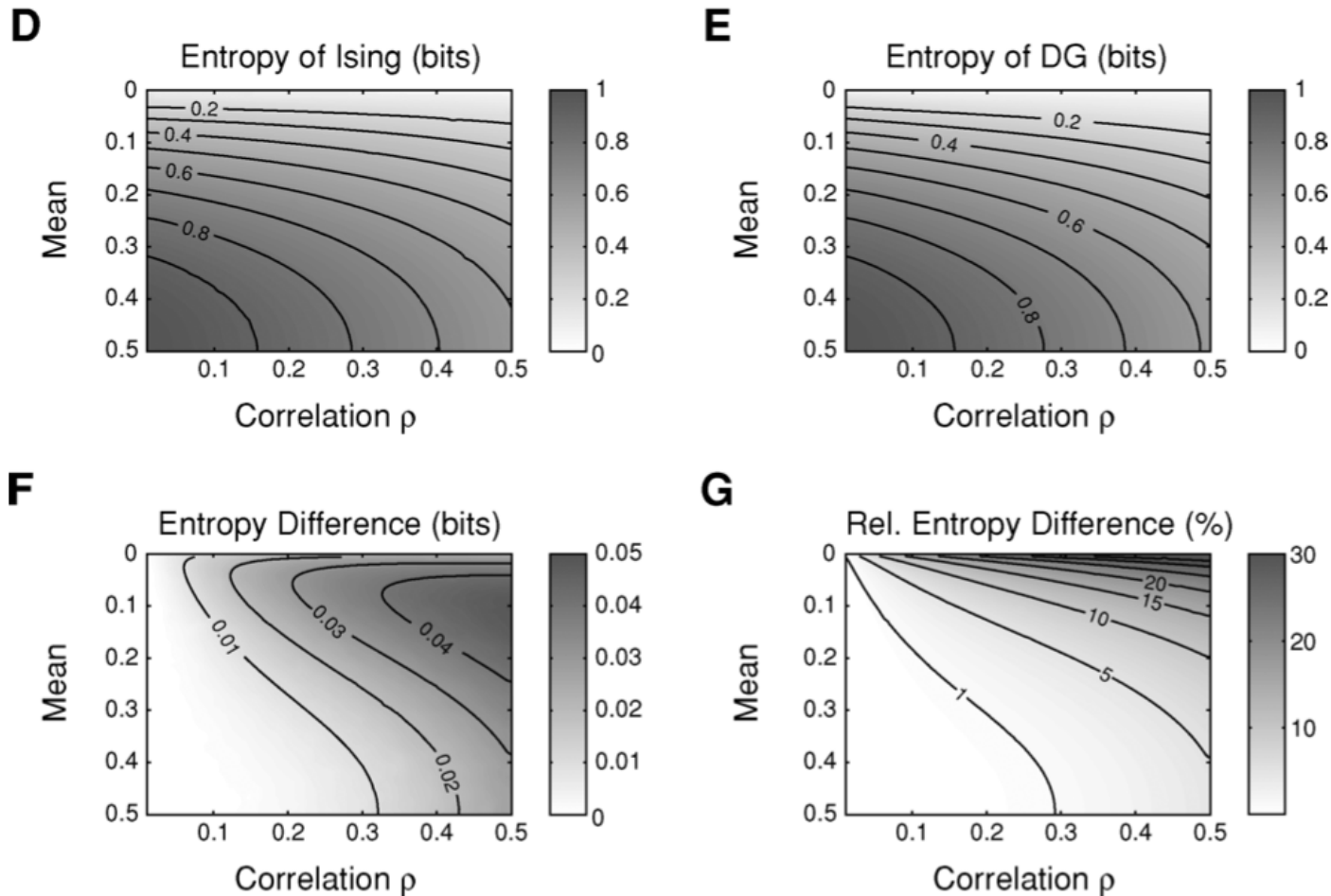


# ALTERNATIVE: DICHOTOMIZED GAUSSIAN (DG)





# ENTROPY: ISING VS. DG



# ADVANTAGES OF DG

**Much easier to fit than MaxEnt/Ising model**

**Close to maximum entropy**

(not much more structure than necessary)

**Can also be interpreted as thresholded membrane potential**

(see Dorn & Ringach 2003)

**Can be generalized to spike counts  $> 0/1$**

(see Macke et al. 2009)

## Disadvantages

**Binary distributions exist for which no DG model exists**

**No simple way of computing likelihoods**