

The coevolutionary mosaic of bat-betacoronaviruses spillover risk

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1 Spillover risk is not unidimensional. From the standpoint of an animal community, *i.e.* a pool of suitable
2 hosts, it is driven by a multiplicity of factors (Plowright et al. 2017). The global richness of hosts is one
3 such component commonly mentioned/analysed (see *e.g.* Anthony et al. 2017 for coronaviruses), but
4 there is an argument to be made that species who are not competent (or know) hosts of a specific virus
5 genus may not factor into this (Plowright et al. 2015), or that species who are assumed to share viruses at
6 different rates should be weighted accordingly (Albery et al. 2020). In mammals, key functional traits (for
7 which phylogeny is a reasonable proxy) are determinants of the spillover potential (Olival et al. 2017);
8 these include, notably, body mass and affinity for urban environments (Albery et al. 2022). Finally,
9 especially when the pool of potential hosts spans the entire globe, there may be local host pools that are
10 highly unique; not having been observed in other locations, these can act on the overall risk either by
11 providing novel contact opportunities, reflecting unique host-environment combinations (Engering et al.
12 2013), or facilitating rapid evolutionary changes in specialism of their pathogens (Agosta et al. 2010). In
13 the specific case of generalist pathogens, there is conceptual and empirical support to the idea that these
14 community- level mechanisms are even more important in driving the overall risk (Power and Mitchell
15 2004).

16 Bats are important reservoir hosts for different classes of microorganisms (Chu 2008, Donaldson 2010, Li
17 2010), some of which can threaten human health. Chiropterans emerged around 64 million years ago and
18 are one of the most diverse mammalian orders, with an estimated richness of more than 12000 species,
19 (Peixoto et al. 2018) and 14325 known species (Simmons and Cirranello 2020). They exhibit a broad
20 variety of habitat use, behaviour, and feeding strategies, resulting in their playing an essential role in the
21 delivery of several ecosystem services tied to important ecosystem-derived benefits (Kasso and
22 Balakrishnan 2013). For example, over two-thirds of bats are known to be either obligate or facultative
23 insectivorous mammals, therefore playing an important role in the regulation of insect pests that can
24 affect crops (Williams-Guillén et al. 2008, Voigt and Kingston 2016), and vectors of diseases that put a risk
25 on human health (Gonsalves et al. 2013a, b). Because bats are globally distributed and have a long
26 evolutionary history, phylogeographic and biogeographic approaches are required to shed light on the
27 extant distribution of coevolutionary processes between bats and the pathogens they carry. Not all areas in
28 which bats, viruses, and human are co-occurring are facing a risk of spillover towards human populations,
29 and the areas in which this risk exist may not be facing risks of the same nature and magnitude.

30 In this paper, we examine the biogeographic structure of bats-betacoronaviruses associations, based on a

31 curated dataset of known and recently discovered hosts. This work is important both as a description of
32 the bats-betacoronavirus complex, but also because more broadly, bats are known reservoirs for a variety
33 of emerging viruses and pathogens (Calisher et al. 2006, Melaun et al. 2014), making balancing the needs
34 for bat conservation and disease prevention a potentially difficult act and a source of human-wildlife
35 conflicts, especially in more densely populated areas (Stone et al. 2015, Rego et al. 2015). By drawing on
36 concepts from the Geographic Mosaic Theory of Coevolution (Thompson 2005), we turn these
37 associations into a spatially explicit additive mapping of zoonotic risk components, which reveals extreme
38 heterogeneity of risk at the global scale; furthermore, we identify the Amazon and South-Eastern Asia as
39 hotspots of phylogenetic distinctiveness of betacoronaviruses (Anthony et al. 2017); surprisingly, current
40 data suggest that viral sharing between hosts is high in the Amazon and low in South-Eastern Asia, which
41 has the potential to result in different evolutionary dynamics between these two regions.

42 **Methods**

43 **Known betacoronavirus hosts**

44 We downloaded the data on bats hosts of betacoronaviruses assembled by Becker et al. (2022) from
45 <https://www.viralemergence.org/betacov> on Apr. 2022, and filtered it to “known” hosts (established
46 before the emergence of SARS-CoV-2) and “novel” hosts (confirmed through sampling since the
47 emergence of SARS-CoV-2). The original database was assembled by a combination of data mining and
48 literature surveys, including automated alerts on the “bats” and “coronavirus” keywords to identify novel
49 empirical evidence of bats-betacoronaviruses associations.

50 **Bats occurrences**

51 We downloaded the rangemap of every extant bat species that was either classified as an empirically
52 documented host of beta-coronaviruses from the previous step, according to recent IUCN data (IUCN
53 2021). The range maps were subsequently rasterized using the rasterize function from GDAL (Rouault et
54 al. 2022) at a resolution of approximately **TK TP**. For every pixel in the resulting raster where at least one
55 bat host of betacoronavirus was present, we extract the species pool (list of all bat species), which was used
56 to calculate the following risk assessment components: phylogenetic diversity, bat compositional

57 uniqueness, and predicted viral sharing risk.

58 **Bats phylogeography**

59 For every pixel, we measured Faith's Phylogenetic Diversity (Faith 1992) based on a recent synthetic tree
60 with robust time calibration, covering about 6000 mammalian species (Upham et al. 2019). Faith's PD
61 measures the sum of unique branches from an arbitrary root to a set of tips, and comparatively larger
62 values indicate a more phylogenetic diverse species pool. We measured phylogenetic diversity starting
63 from the root of the entire tree (and not from Chiroptera); this bears no consequences on the resulting
64 values, since all branches leading up to Chiroptera are only counted one per species pool, and (as we
65 explain when describing the assembly of the composite risk map), all individual risk components are
66 ranged in [0,1]. This measure incorporates a richness component, which we chose not to correct for; the
67 interpretation of the phylogenetic diversity is therefore a weighted species richness, that accounts for
68 phylogenetic over/under-dispersal in some places.

69 **Bats compositional uniqueness**

70 For every species pool, we measured its Local Contribution to Beta-Diversity (Legendre and De Cáceres
71 2013); LCBD works from a species-data matrix (traditionally noted as Y), where species are rows and sites
72 are columns, and a value of 1 indicates occurrence. We extracted the Y matrix assuming that every pixel
73 represents a unique location, and following best practices (Legendre and Condit 2019) transformed it
74 using Hellinger's distance to account for unequal bat richness at different pixels. The correction of raw
75 community data is particularly important for two reasons: first, it prevents the artifact of richer sites
76 having higher importance; second, it removes the effect of overall species richness, which is already
77 incorporated in the phylogenetic diversity component. High values of LCBD indicate that the pixel has a
78 community that is on average more dissimilar in species composition than what is expected knowing the
79 entire matrix, i.e. a more unique community.

80 **Viral sharing between hosts**

81 For all bat hosts of betacoronaviruses, we extracted their predicted viral sharing network (Albery et
82 al. 2020). This network stores pairwise values of viral community similarity. To project viral sharing values

83 into a single value for every pixel, we averaged the pairwise scores. High values of the average sharing
84 propensity means that this specific extant bat assemblage is likely to be proficient at exchanging viruses.

85 **Composite risk map**

86 To visualize the aggregated risk at the global scale, we combine the three individual risk components
87 (phylogenetic diversity, compositional uniqueness, and viral sharing) using an additive color model
88 (Seekell et al. 2018). In this approach, every risk component gets assigned a component in the RGB color
89 model (phylogenetic diversity is green, compositional uniqueness is red, and viral sharing is blue). In
90 order to achieve a valid RGB measure, all components are re-scaled to the [0,1] interval. This additive
91 model conveys both the intensity of the overall risk, but also the nature of the risk as colors diverge
92 towards combinations of values for three risk components.

93 **Viral phylogeography**

94 We used the following query to pull all betacoronavirus sequence data from the GenBank Nucleotide
95 database except SARS-CoV-2; (“Betacoronavirus”[Organism] OR betacoronavirus[All Fields]) NOT
96 (“Severe acute respiratory syndrome coronavirus 2”[Organism] OR sars-cov-2[All Fields]). We added a
97 single representative sequence for SARS-CoV-2 and manually curated to remove sequences without the
98 RNA-dependent RNA polymerase (RdRp) sequence or that contained words indicating recombinant or
99 laboratory strains including “patent,” “mutant,” “GFP,” and “recombinant.” We filtered over-represented
100 taxa including betacoronavirus 1, hCoV-OC43, Middle East respiratory syndrome coronavirus, Murine
101 hepatitis virus, and hCoV-HKU1. Curated betacoronavirus RdRp sequences were then aligned using
102 MAFFT v 1.4.0 (Kato and Standley 2013, Supplemental X) and a maximum likelihood tree reconstructed
103 in IQ-TREE v 1.6.12 (Nguyen et al. 2015) with ModelFinder (Kalyaanamoorthy et al. 2017) ultrafast
104 bootstrap approximation (Hoang et al. 2018) and the following parameters (STEPH WILL ADD,
105 Supplemental X).

106 **Viral evolutionary diversification**

107 We first tested the hypothesis that hotspots of viral diversification would track hotspots of bat
108 diversification. To do so, we plotted the number of known bat hosts (specifically only those included in the

109 phylogeny, so there was a 1:1 correspondence between data sources) against the “mean evolutionary
110 distinctiveness” of the associated viruses. To calculate this, we derived the fair proportions evolutionary
111 distinctiveness (Isaac et al., 2007) for each of the viruses in the tree, then averaged these at the bat species
112 level, projected these values onto their geographic distributions, and averaged across every bat found in a
113 given pixel. As such, this can be thought of as a map of the mean evolutionary distinctiveness of the
114 known viral community believed to be associated with a particular subset of bats present.

115 **Co-distribution of hosts and viral hotspots**

116 Subsequently, we tested the hypothesis that the biogeography of bat betacoronaviruses should track the
117 biogeography of their hosts. To test this idea, we loosely adapted a method from Kreft & Jetz (2010), who
118 proposed a phylogenetic method for the delineation of animal biogeographic regions. In their original
119 method, a distance matrix - where each row or column represents a geographic raster’s grid cell, and the
120 dissimilarity values are the “beta diversity similarity” of their community assemble - undergoes
121 non-metric multidimensional scaling (NMDS); the first two axes of the NMDS are projected
122 geographically using a four-color bivariate map.

123 Here, we build on this idea with an entirely novel methodology. First, we measure the phylogenetic
124 distance between the different viruses in the betacoronavirus tree by using the cophenetic function in
125 ‘ape’; subsequently, we take a principal components analysis of that distance matrix (readily
126 interchangeable for NMDS in this case) to project the viral tree into an n-dimensional space. We then take
127 the first two principal components and, as with the evolutionary distinctiveness analysis, aggregated these
128 to a mean host value and projected them using a four-color bivariate map.

129 **Outbreaks data geo-referencing**

130 Finally, we provide a summary visualization of what available information describes the spillover of
131 zoonotic betacoronaviruses of bat origin where data was available before and up through the COVID-19
132 pandemic. The SARS-CoV-2 outbreak was georeferenced to the initial case cluster in Wuhan, China;
133 SARS-CoV was georeferenced based on the cave with the closest known viruses circulating in nature (Hu
134 et al. 2017 PLoS Pathogens), and a nearby location where serological (antibody) evidence has indicated
135 human exposure to SARS-like viruses (Wang et al. 2018 Virologica Sinica). For MERS-CoV, we presented

the index cases available from a recently-published compendium of MERS-CoV cases (Ramshaw et al. 2019); these are largely if not all presumed to be camel-to-human transmission, and the precise origin point of MERS-CoV in bats is uncertain. Not shown is a recent case of a recombinant canine coronavirus that showed the ability to infect humans, both because this study was published after the beginning of the COVID-19 pandemic and because bats' involvement in this cycle of transmission has been marginal to non-existent.

Results

Host distribution

Chiroptera are an hyperdiverse group, distributed in a large part of the world, and are an important reservoir for different strains of betacoronaviruses (Drexler et al., 2014); this has attracted attention to areas where high diversity of bats can be an important issue for human health (Calisher et al., 2006). Accordingly, we collected the IUCN rangemaps for known hosts of betacoronaviruses, to illustrate where hotspots of host diversity are. These results are presented in Fig xx.a. As per our current knowledge of which bats are hosts of betacoronaviruses, these hotspots are primarily South-East Asia, parts of Europe, and to a lesser extent sub-saharan Africa. Even the subset of chiroptera that are hosts of betacoronaviruses fits the evolutionary timeline of the group. Chiropterans can be classified as Microchiroptera and macrochiroptera, where macrochiroptera have an older history from an evolutionary perspective compared to microchiroptera (Springer, 2013; Teeling et al., 2005). South-East Asia has a high diversity of bats (Kingston, 2010), and our results show that part of that diversity includes betacoronavirus hosts. High density of hosts sharing the same virus (albeit possibly different strains) calls into question the evolution of the bat antiviral immune system and its co-evolution with viruses, which may result in distinct immunological responses in different areas, as evidenced in other bat species (Banerjee et al., 2020)

Viral evolutionary distinctiveness

Higher host diversity may not result in a higher viral diversity; for this reason, we quantified and mapped the evolutionary distinctiveness of betacoronaviruses, based on Viral evolutionary distinctiveness largely tracks host diversity, particularly in southern China but oddly not throughout the rest of southeast

162 Asia, perhaps indicating that many distinctive viruses remain to be discovered in this region (an idea that
163 is unsurprising given the growing realization, around the emergence of SARS-CoV-2, that a unique
164 lineage of similar viruses are widespread in bats but still mostly undescribed). The most distinct
165 betacoronaviruses are found in South America, a region with a comparatively lower number of hosts; this
166 suggests that the South American bat-betacoronavirus complex has been more isolated, and is probably
167 undergoing a different co-evolutionary dynamic. Alternatively, this distinctiveness hotspot may be a
168 product of under-sampling: South-America is one of the places where the fewest betacoronaviruses have
169 been discovered (Anthony et al., 2017), and adding more viruses would bring the distinctiveness of known
170 sequences down. Previous work has suggested the Americas may be a hotspot of both undiscovered bat
171 viruses in general (Olival) and coronavirus specifically (Anthony), though not necessarily
172 betacoronaviruses, and particularly not those in clades with notable zoonotic potential (c.f. Anthony).

173 **Geographic Mosaic of bat-betacoronavirus risk**

174 In order to turn the hypotheses based on the Geographic Mosaic Theory of Coevolution into a measure of
175 risk, we overlapped three components: viral sharing, i.e. the chance that two bats will share viruses
176 overall; Local Contribution to Beta Diversity, i.e. the fact that a bat community is compositionally unique
177 compared to the average compositional similarity across the entire system; finally, the phylogenetic
178 diversity, i.e. how dispersed the bats in a location are within the tree of life. These results are presented
179 using an additive color mapping in Figure xx, and lead to the definition of broad biogeographic regions of
180 risk, where the same color represents the same type of risk. Pairwise maps of the three components are
181 present in supplementary materials.

182 From the perspective of spillover risk, the most important combination of factors is a high phylogenetic
183 diversity of hosts with low viral sharing; this, essentially, means that very different betacoronavirus could
184 co-exist within the same place. This is particularly the case given that betacoronaviruses often evolve and
185 even achieve host shifts through recombination, which requires the co-occurrence of sufficiently distinct
186 viruses to be a major driver of emergence. In Fig. xx, this corresponds to yellow to pale green areas, which
187 are essentially limited to South-Eastern Asia, and to some part of Sub-Saharan Africa. Adopting a
188 geographic mosaic theory perspective on risk, other regions of the world are of lesser concern.

189 Available data on bat betacoronavirus spillover into humans (TP overlay on the figure) is limited and

circumstantial at best for these purposes, but our risk maps suggest that the areas predicted by prior expectations about host biogeography correspond loosely to those where previous emergence events have been recorded. Areas with high bat diversity and high turnover may facilitate the evolutionary radiation of viruses, matching previous findings that the diversification of bat coronaviruses is driven largely by host shifts (inter-genus or higher levels of cross-species transmission) and, to a lesser degree, cospeciation and sharing (intra-genus cross-species transmission; Anthony et al. 2017). This diversification - while not an actual risk factor for spillover itself - likely increases the random chance of a virus with the raw genomic components required for the potential to infect humans.

Global distribution of spillover risk

Based on the previous result, we extracted the yellow component of the risk map (TP add methods), to provide a single measure of risk varying between 0 and 1. This measure is presented in Fig. xxA. However, this maps the potential risk, which must be weighed by the potential for contacts with humans. As a proxy for this measure, we used the proportion of build/urban land from the EarthEnv dataset: this is a reasonable proxy for the density of humans per unit area, which increases the probability of pathogen spread more widely (Hazarie et al., 2021). Since human activity is required to amplify the frequency of virus encounters and thus create areas of viral amplification, mapping the potential risk against measures of land use is required to generate a more actionable assessment of risk. This map is presented in Fig. xxB. Most of South America and Europe are at low risk, as although densely populated, settlements tend to be in areas with lower potential risk. However, this mapping reveals that South-East Asia, the Indian subcontinent, and parts of sub-Saharan Africa, are at high risk due to the overlap between built areas and bat communities representing more opportunities for cross-species transmission of betacoronaviruses.

Discussion

Driven by the need to understand the ecological factors involved in the emergence of viral pathogens, we spatially mapped bat-betacoronavirus interactions worldwide, using (i) a database of known betacov hosts(Becker et al., 2020), and (ii) range maps for the hosts according to IUCN (IUCN 2021). To reflect the fact that the risk posed by viruses has many ecological origins, we quantified the phylogenetic diversity of hosts, their compositional uniqueness, and the expected viral sharing. Because these components of risk

217 matter when contrasted to human density, we compared them to a proxy, namely the proportion of each
218 pixel that is covered by urban or built land. This provides a synthetic risk map, allowing to identifying of
219 hotspots where the bat-betacoronavirus system may originate viruses in humans. SE Asia is one of the
220 regions with the highest risk since, according to our results, several of its conditions could increase the
221 risk of transmission of the virus.

222 Bats are found worldwide and are one of the most diverse groups among mammals (Moratelli & Calisher,
223 2015). Previous research (Anthony et al., 2017; Mollentze & Streicker, 2020) states that locally diverse bat
224 communities could maintain more viruses and hence, a higher probability of having a pathogen that could
225 represent a risk for human health. This probability involves multiple factors, among which the relatedness
226 of hosts (which can make the jumps easier (Longdon et al., 2011; Mollentze et al., 2020; Wolfe et al., 2007),
227 and the overall tendency of hosts within a locality to share viruses, which may limit viral diversity because
228 of within-host competition (Leeks et al., 2018; Sallinen et al., 2020). Species richness, therefore, is not a
229 sufficient measure of viral risk. This is exemplified in our results, where both South America and
230 South-Eastern Asia have a high species richness of betacov hosts, but only the latter region has a high risk.
231 Specifically, because previous studies propose that Asia is important when it comes to understanding the
232 evolutionary origin of various mammalian taxa (Beard C K, 1988). Including bats (Yu et al., 2014), which
233 could support the relationship between evolutionary time and the development of an immune system
234 with characteristics that allow them to be better adapted to infection by emerging viruses (Gorbunova et
235 al., 2020; Irving et al., 2021) may be related to a wide variety of diets (Jones et al., 2022; Moreno Santillán
236 et al., 2021; Banerjee et al., 2020; Schneeberger et al., 2013).

237 Our study focuses largely on the biogeography of hosts. Yet, we know that viruses with high host
238 plasticity, that is, the ability of a given virus to adapt to various taxonomic orders and ecological groups
239 (Kreuder Johnson et al., 2015); are more likely to amplify viral spillover, followed by secondary
240 human-to-human transmission, and geographical spread (Hazarie et al., 2021). High viral host plasticity is
241 an especially important trait for RNA viruses such as betacov (Kreuder Johnson et al., 2015; Haddad et al.,
242 2021). Indeed, our analysis of viral sequences reveals that Latin America is a hotspot of viral
243 distinctiveness, suggesting that this part of the bats-betacov system may be undergoing independent
244 evolutionary dynamics (related species sharing viruses that are different from the rest of the global pool).
245 The other hotspot of viral distinctiveness is S.E. Asia, in which richness is high but sharing is low; this
246 suggests a different type of evolutionary dynamics (unrelated viruses coevolving with evolutionarily

247 distinct hosts, generating high diversity locally).

248 This diversity of hosts and how the exchange of viruses occurs between species, is largely affected by the
249 different environmental changes, as the case of sarbecovirus bats reservoirs (Muylaert et al., 2021) where
250 they are affected by the area of the cave or the alteration of the forest, which could result in modifications
251 of host distribution. Additionally, our results highlight the importance of Asia as a betacov hotspot, which
252 is consistent with recent studies (Muylaert et al., 2021), where projections on this area suggest that new
253 future events of sarbecovirus viral exchange might be easily spread among species or humans.

254 There are several factors that drive changes in the diversity of bats (Alves et al., 2018), but human
255 activities' effects on the ecosystem (like modifications of land use) could significantly decrease it.
256 Therefore, it can be suggested that changes in the diversity of betacovs in bats are linked to their
257 biogeographic variation, and human population density and other anthropogenic factors are decisive
258 moderators for its implications in public health. With the increase of contact between humans and
259 potential hosts, we also increase the risk of emergence of novel diseases (Johnson et al., 2020), as previous
260 studies on RNA viruses suggest the importance of host phylogeography at the time of virus dispersal
261 (Gryseels et al., 2017).

262 One of these scenarios where interaction between bats and humans can occur can be seed dispersal in
263 tropical agroecosystems. It opens the discussion of whether the fruits thrown by bats not only disperse
264 seeds but could also be a source of indirect interaction between viruses of bat origin and humans
265 (Deshpande et al., 2022) . This represents a challenge for conservation strategies and disease ecology since
266 we have areas with potential zoonotic viruses and bat-human interaction. However, it must still be taken
267 into account the quantification of real exposure from several scenarios, where there can be directly or
268 indirectly bat - human interaction.

269 Comparing scenarios of high viral exchange vs low viral exchange, open the discussion to consider if the
270 best scenario is where viruses easily adapted to multiple hosts but with low virulence or easily ignored by
271 the immune system of the host, or where we have viruses specialized to a specific host, but highly virulent
272 when invade a new host. Accordingly, the understanding of viral-host interactions from a taxonomic and
273 phylogenetic contributes to improving zoonoses surveillance programs.

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