The coevolutionary mosaic of bat-betacoronaviruses spillover risk

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Coming soon

- Spillover risk is not unidimensional. From the standpoint of an animal community, i.e. a pool of suitable
- 2 hosts, it is driven by a multiplicity of factors (Plowright et al. 2017). The global richness of hosts is one
- 3 such component commonly mentioned/analysed (see e.g. Anthony et al. 2017 for coronaviruses), but
- 4 there is an argument to be made that species who are not competent (or know) hosts of a specific virus
- 5 genus may not factor into this (Plowright et al. 2015), or that species who are assumed to share viruses at
- 6 different rates should be weighted accordingly (Albery et al. 2020). In mammals, key functional traits (for
- which phylogeny is a reasonable proxy) are determinants of the spillover potential (Olival et al. 2017);
- 8 these include, notably, body mass and affinity for urban environments (Albery et al. 2022). Finally,
- 9 especially when the pool of potential hosts spans the entire globe, there may be local host pools that are
- highly unique; not having been observed in other locations, these can act on the overall risk either by
- providing novel contact opportunities, reflecting unique host-environment combinations (Engering et al.
- 2013), or facilitating rapid evolutionary changes in specialism of their pathogens (Agosta et al. 2010). In
- the specific case of generalist pathogens, there is conceptual and empirical support to the idea that these
- community- level mechanisms are even more important in driving the overall risk (Power and Mitchell
- 15 2004).
- Bats are important reservoir hosts for different classes of microorganisms (Chu 2008, Donaldson 2010, Li
- 2010), some of which can threaten human health. Chiropterans emerged around 64 million years ago and
- are one of the most diverse mammalian orders, with an estimated richness of more than 14000 species
- 19 (Peixoto et al. 2018, Simmons and Cirranello 2020). They exhibit a broad variety of habitat use, behaviour,
- 20 and feeding strategies, resulting in their playing an essential role in the delivery of several ecosystem
- 21 services tied to important ecosystem-derived benefits (Kasso and Balakrishnan 2013). For example, over
- 22 two-thirds of bats are know to be either obligate or facultative insectivorous mammals, therefore playing
- 23 an important role in the regulation of insect pests that can affect crops (Williams-Guillén et al. 2008, Voigt
- 24 and Kingston 2016), and vectors of diseases that put a risk on human health (Gonsalves et al. 2013a, b).
- ²⁵ Because bats are globally distributed and have a long evolutionary history, phylogeographic and
- ²⁶ biogeographic approaches are required to shed light on the extant distribution of coevolutionary processes
- between bats and the pathogens they carry. Not all areas in which bats, viruses, and human are
- 28 co-occurring are facing a risk of spillover towards human populations, and the areas in which this risk exist
- 29 may not be facing risks of the same nature and magnitude.
- In this paper, we examine the biogeographic structure of bats-betacoronaviruses associations, based on a

- curated dataset of known and recently discovered hosts. This work is important both as a description of
- 32 the bats-betacoronavirus complex, but aslo because more broadly, bats are known reservoirs for a variety
- of emerging viruses and pathogens (Calisher et al. 2006, Melaun et al. 2014), making balancing the needs
- 34 for bat conservation and disease prevention a potentially difficult act and a source of human-wildlife
- conflicts, especially in more densely populated areas (Stone et al. 2015, Rego et al. 2015). By drawing on
- 36 concepts from the Geographic Mosaic Theory of Coevolution (Thompson 2005), we turn these
- associations into a spatially explicit additive mapping of zoonotic risk components, which reveals extreme
- ₃₈ heterogeneity of risk at the global scale; furthermore, we identify the Amazon and South-Eastern Asia as
- 39 hotspots of phylogenetic distinctiveness of betacoronaviruses (Anthony et al. 2017); surprisingly, current
- data suggest that viral sharing between hosts in high in the Amazon and low in South-Eastern Asia, which
- has the potential to result in different evolutionary dynamics between these two regions.

42 Methods

43 Known betacoronavirus hosts

- We downloaded the data on bats hosts of betacoronaviruses assembled by Becker et al. (2022) from
- https://www.viralemergence.org/betacov on Apr. 2022, and filtered it to "known" hosts (established
- before the emergence of SARS-CoV-2) and "novel" hosts (confirmed through sampling since the
- emergence of SARS-CoV-2). The original database was assembled by a combination of data mining and
- literature surveys, including automated alerts on the "bats" and "coronavirus" keywords to identify novel
- ⁴⁹ empirical evidence of bats-betacoronaviruses associations.

50 Bats occurrences

- 51 We downloaded the rangemap of every extant bat species that was either classified as an empirically
- documented host of beta-coronaviruses from the previous step, according to recent IUCN data (IUCN
- 53 2021). The range maps were subsequently rasterized using the rasterize function from GDAL (Rouault et
- 54 al. 2022) at a resolution of approximately **TK TP**. For every pixel in the resulting raster where at least one
- bat host of betacoronavirus was present, we extract the species pool (list of all bat species), which was used
- to calculate the following risk assessment components: phylogenetic diversity, bat compositional

uniqueness, and predicted viral sharing risk.

58 Bats phylogeography

For every pixel, we measured Faith's Phylogenetic Diversity (Faith 1992) based on a recent synthetic tree with robust time calibration, covering about 6000 mammalian species (Upham et al. 2019). Faith's PD measures the sum of unique branches from an arbitrary root to a set of tips, and comparatively larger values indicate a more phylogenetic diverse species pool. We measured phylogenetic diversity starting from the root of the entire tree (and not from Chiroptera); this bears no consequences on the resulting values, since all branches leading up to Chiroptera are only counted one per species pool, and (as we explain when describing the assembly of the composite risk map), all individual risk components are ranged in [0,1]. This measure incorporates a richness component, which we chose not to correct for; the interpretation of the phylogenetic diversity is therefore a weighted species richness, that accounts for phylogenetic over/under-dispersal in some places.

69 Bats compositional uniqueness

For every species pool, we measured its Local Contribution to Beta-Diversity (Legendre and De Cáceres 2013); LCBD works from a species-data matrix (traditionally noted as Y), where species are rows and sites 71 are columns, and a value of 1 indicates occurrence. We extracted the Y matrix assuming that every pixel 72 represents a unique location, and following best practices (Legendre and Condit 2019) transformed it 73 using Hellinger's distance to account for unequal bat richness at different pixels. The correction of raw 74 community data is particularly important for two reasons: first, it prevents the artifact of richer sites 75 having higher importance; second, it removes the effect of overall species richness, which is already 76 incorporated in the phylogenetic diversity component. High values of LCBD indicate that the pixel has a 77 community that is on average more dissimilar in species composition than what is expected knowing the entire matrix, i.e. a more unique community. Recent results by Dansereau et al. (2022) shows that LCBD measures are robust with regards to spatial scale, and are therefore applicable at the global scale.

81 Viral sharing between hosts

- 82 For all bat hosts of betacoronaviruses, we extracted their predicted viral sharing network (Albery et al.
- 83 2020). This network stores pairwise values of viral community similarity. To project viral sharing values
- into a single value for every pixel, we averaged the pairwise scores. High values of the average sharing
- ₈₅ propensity means that this specific extant bat assemblage is likely to be proficient at exchanging viruses.

86 Composite risk map

To visualize the aggregated risk at the global scale, we combine the three individual risk components (phylogenetic diversity, compositional uniqueness, and viral sharing) using an additive color model 88 (Seekell et al. 2018). In this approach, every risk component gets assigned a component in the RGB color 89 model (phylogenetic diversity is green, compositional uniqueness is red, and viral sharing is blue). In order to achieve a valid RGB measure, all components are re-scaled to the [0,1] interval, so that a pixel 91 with no sharing, no phylogenetic diversity, and no compositional uniqueness is black, and a pixel with 92 maximal values for each is white. This additive model conveys both the intensity of the overall risk, but also the nature of the risk as colors diverge towards combinations of values for three risk components. Out 94 of the possible combinations, the most risky in terms or rapid diversification and spillover potential is high phylogenetic diversity and low viral sharing (Gomulkiewicz et al. 2000, Cavender-Bares et al. 2009), in 96 that this allows multiple independent host-virus coevolutionary dynamics to take place in the same location. In the colorimetric space, this correspond to yellow – because the HSV space is more amenable 98 to calculations for feature extraction (see e.g. Keke et al. 2010), we measured the risk level by calculating 99 the angular distance of the hue of each pixel to a reference value of 60, and weighted this risk level by the 100 value component. Specifically, given a pixel with colorimetric coordinates (h, s, v), its ranged weighted 101 risk value is 102

$$v \times \left[1 - \frac{\left| \operatorname{atan} \left(\cos(\operatorname{rad}(h)), \sin(\operatorname{rad}(h)) \right) - X \right|}{2\pi} \right],$$

where X is at an $(\cos(\text{rad}(60)), \sin(\text{rad}(60)))$, a constant approximately equal to 0.5235.

104 Viral phylogeography and evolutionary diversification

We used the following query to pull all betacoronavirus sequence data from the GenBank Nucleotide 105 database except SARS-CoV-2; ("Betacoronavirus" [Organism] OR betacoronavirus [All Fields]) NOT 106 ("Severe acute respiratory syndrome coronavirus 2" [Organism] OR sars-cov-2[All Fields]). We added a 107 single representative sequence for SARS-CoV-2 and manually curated to remove sequences without the 108 RNA-dependent RNA polymerase (RdRp) sequence or that contained words indicating recombinant or 109 laboratory strains including "patent," "mutant," "GFP," and "recombinant." We filtered over-represented taxa including betacoronavirus 1, hCoV-OC43, Middle East respiratory syndrome coronavirus, Murine 111 hepatitis virus, and hCoV-HKU1. Curated betacoronavirus RdRp sequences were then aligned using 112 MAFFT v 1.4.0 (Katoh and Standley 2013, parameters in text?) and a maximum likelihood tree reconstructed in IQ-TREE v 1.6.12 (Nguyen et al. 2015) with ModelFinder (Kalyaanamoorthy et al. 2017) 114 ultrafast bootstrap approximation (Hoang et al. 2018) and the following parameters (STEPH WILL ADD, 115 parameters in text?). We first tested the hypothesis that hotspots of viral diversification would track hotspots of bat 117 diversification. To do so, we plotted the number of known bat hosts (specifically only those included in the 118 phylogeny, so there was a 1:1 correspondence between data sources) against the "mean evolutionary distinctiveness" of the associated viruses. To calculate this, we derived the fair proportions evolutionary 120 distinctiveness (Isaac et al. 2007) for each of the viruses in the tree, then averaged these at the bat species 121 level, projected these values onto their geographic distributions, and averaged across every bat found in a given pixel. As such, this can be thought of as a map of the mean evolutionary distinctiveness of the 123 known viral community believed to be associated with a particular subset of bats present. 124

5 Co-distribution of hosts and viral hotspots

Subsequently, we tested the hypothesis that the biogeography of bat betacoronaviruses should track the biogeography of their hosts. To test this idea, we loosely adapted a method from (Kreft and Jetz 2007, 2010), who proposed a phylogenetic method for the delineation of animal biogeographic regions. In their original method, a distance matrix - where each row or column represents a geographic raster's grid cell, and the dissimilarity values are the "beta diversity similarity" of their community assemble - undergoes non-metric multidimensional scaling (NMDS); the first two axes of the NMDS are projected

geographically using a four-color bivariate map. Here, we build on this idea with an entirely novel
methodology. First, we measure the phylogenetic distance between the different viruses in the
betacoronavirus tree by using the cophenetic function in ape (Paradis and Schliep 2019); subsequently, we
take a principal components analysis of that distance matrix (readily interchangeable for NMDS in this
case) to project the viral tree into an n-dimensional space. We then take the first two principal
components and, as with the evolutionary distinctiveness analysis, aggregated these to a mean host value
and projected them using a four-color bivariate map.

39 Results and discussion

Host distribution

Bats are found worldwide and are one of the most diverse groups among mammals (Moratelli & Calisher, 141 2015), and one of the main animal reservoir for different strains of betacoronaviruses (Drexler et al. 2014). 142 This has attracted attention to areas where high diversity of bats, and therefore presumably high diversity 143 of betacoronaviruses, can be an important issue for human health (Calisher et al. 2006, Moratelli and Calisher 2015). Accordingly, we collected the IUCN rangemaps for known hosts of betacoronaviruses, to 145 illustrate where hotspots of host diversity are. These results are presented in Fig xx.a. As per our current 146 knowledge of which bats are hosts of betacoronaviruses, these hotspots are primarily South-East Asia, 147 parts of Europe, and to a lesser extent sub-saharan Africa. Even the subset of chiroptera that are hosts of 148 betacoronaviruses fits the evolutionary timeline of the group. Chiropterans can be classified as 149 Microchiroptera and macrochiroptera, where macrochiroptera have an older history from an evolutionary perspective compared to macrochiroptera (Teeling et al. 2005, Springer 2013). 151 South-East Asia has a high diversity of bats (Kingston, 2010), and our results show that part of that 152 diversity includes betacoronavirus hosts. High density of hosts sharing the same virus (albeit possibly 153 different strains) calls into question the evolution of the bat antiviral immune system and its co-evolution 154 with viruses, which may result in distinct immunological responses in different areas, as evidenced in 155 other bat species (Banerjee et al. 2020). Immune characteristics that allow bats to be better adapted to 156 infection by emerging viruses (Gorbunova et al., 2020; Irving et al., 2021) may be related to a wide variety 157 of diets (Jones et al., 2022; Moreno Santillán et al., 2021; Banerjee et al., 2020; Schneeberger et al., 2013). 158 Considering whether viruses easily adapted to multiple hosts have lower virulence on these hosts, or

lower ability to jump to hosts with different immune characteristics, should yield valuable additional predictors for the total risk of spillover. Previous research (Anthony et al., 2017; Mollentze & Streicker, 161 2020) states that locally diverse bat communities could maintain more viruses and hence, a higher 162 probability of having a pathogen that could represent a risk for human health; locally diverse, virus-rich 163 bats communities could represent an increased risk of spillover under climate change (Ice ice berg berg). 164 This probability involves multiple factors, among which the relatedness of hosts (which can make the 165 jumps easier (Longdon et al., 2011; Mollentze et al., 2020; Wolfe et al., 2007), and the overall tendency 166 of hosts within a locality to share viruses, which may limit viral diversity because of within-host 167 competition (Leeks et al., 2018; Sallinen et al., 2020). All things considered, the richness of known 168 betacoronaviruses hosts is not a sufficient predictor of spillover risk. 169

70 Viral evolutionary distinctiveness

Higher host diversity may not result in a higher viral diversity, for example if all hosts share the same 171 viruses, or share closely evolutionarily related strains. For this reason, we quantified and mapped the 172 evolutionary distinctiveness of betacoronaviruses, based on their position in a molecular phylogeny. Viral 173 evolutionary distinctiveness largely tracks host diversity, particularly in southern China but, oddly, not 174 throughout the rest of southeast Asia. This indicates, perhaps, that many distinctive viruses remain to be 175 discovered in this region (an idea that is unsurprising given the growing realization, around the emergence of SARS-CoV-2, that a unique lineage of similar viruses are widespread in bats but still mostly 177 undescribed). The most distinct betacoronaviruses are found in South America, a region with a 178 comparatively lower number of hosts; this suggests that the South American bat-betacoronvirus complex has been more isolated, and is probably undergoing a different co-evolutionary dynamic. Alternatively, 180 this distinctiveness hostpot may be a product of under-sampling: South-America is one of the places 181 where the fewest betacoronaviruses have been discovered (Anthony et al. 2017), and adding more viruses 182 would bring the distinctiveness of known sequences down. Previous work has suggested the Americas 183 may be a hotspot of both undiscovered bat viruses in general (Olival et al. 2017, Allen et al. 2017) and 184 coronavirus specifically (Anthony et al. 2017), though not necessarily betacoronaviruses, and particularly 185 not those in clades with notable zoonotic potential.

187 Geographic Mosaic of bat-betacoronavirus risk

In order to turn the hypotheses based on the Geographic Mosaic Theory of Coevolution into a measure of 188 risk, we overlapped three components: viral sharing, i.e. the chance that two bats will share viruses 189 overall; Local Contribution to Beta Diversity, i.e. the fact that a bat community is compositionally unique compared to the average compositional similarity across the entire system; finally, the phylogenetic 191 diversity, i.e. how dispersed the bats in a location are within the tree of life. These results are presented 192 using an additive color mapping in Figure xx, and lead to the definition of broad biogeographic regions of 193 risk, where the same color represents the same type of risk. Pairwise maps of the three components are 194 present in supplementary materials. 195 From the perspective of spillover risk, the most important combination of factors is a high phylogenetic 196 diversity of hosts with low viral sharing; this, essentially, means that very different betacoronavirus could 197 co-exist within the same place. This is particularly the case given that betacoronaviruses often evolve and 198 even achieve host shifts through recombination, which requires the co-occurrence of sufficiently distinct 199 viruses to be a major driver of emergence. In Fig. xx, this corresponds to yellow to pale green areas, which 200 are essentially limited to South-Eastern Asia, and to some part of Sub-Saharan Africa. Adopting a 201 geographic mosaic theory perspective on risk, other regions of the world are of lesser concern. 202 Available data on bat betacoronavirus spillover into humans (TP overlay on the figure) is limited and 203 circumstantial at best for these purposes, but our risk maps suggest that the areas predicted by prior 204 expectations about host biogeography correspond loosely to those where previous emergence events have 205 been recorded. Areas with high bat diversity and high turnover may facilitate the evolutionary radiation of 206 viruses, matching previous findings that the diversification of bat coronaviruses is driven largely by host 207 shifts (inter-genus or higher levels of cross-species transmission) and, to a lesser degree, cospeciation and 208 sharing (intra-genus cross-species transmission; Anthony et al. 2017). This diversification - while not an 209 actual risk factor for spillover itself - likely increases the random chance of a virus with the raw genomic components required for the potential to infect humans. 21

2 Global distribution of spillover risk

Based on the previous result, we extracted the yellow component of the risk map (TP add methods), to
provide a single measure of risk varying between 0 and 1. This measure is presented in Fig. xxA. However,

this maps the potential risk, which must be weighed by the potential for contacts with humans. As a proxy for this measure, we used the proportion of build/urban land from the EarthEnv dataset: this is a 216 reasonable proxy for the density of humans per unit area, which increases the probability of pathogen 217 spread more widely (Hazarie et al., 2021). Since human activity is required to amplify the frequency of virus encounters and thus create areas of viral amplification, mapping the potential risk against measures 219 of land use is required to generate a more actionable assessment of risk. This map is presented in Fig. xxB. 220 Most of South America and Europe are at low risk, as although densely populated, settlements tend to be 221 in areas with lower potential risk. However, this mapping reveals that South-East Asia, the Indian 222 subcontinent, and parts of sub-Saharan Africa, are at high risk due to the overlap between built areas and 223 bat communities representing more opportunities for cross-species transmission of betacoronaviruses. 224 Finally, we provide a summary visualization of what available information describes the spillover of 225 zoonotic betacoronaviruses of bat origin where data was available before and up through the COVID-19 226 pandemic. The SARS-CoV-2 outbreak was georeferenced to the initial case cluster in Wuhan, China; 227 SARS-CoV was georeferenced based on the cave with the closest known viruses circulating in nature (Hu 228 et al. 2017 PLoS Pathogens), and a nearby location where serological (antibody) evidence has indicated 229 human exposure to SARS-like viruses (Wang et al. 2018 Virologica Sinica). For MERS-CoV, we presented 230 the index cases available from a recently-published compendium of MERS-CoV cases (Ramshaw et 231 al. 2019); these are largely if not all presumed to be camel-to-human transmission, and the precise origin 232 point of MERS-CoV in bats is uncertain. Not shown is a recent case of a recombinant canine coronavirus 233 that showed the ability to infect humans, both because this study was published after the beginning of the COVID-19 pandemic and because bats' involvement in this cycle of transmission has been marginal to 235 non-existent. 236 Our study focuses largely on the biogeography of hosts. Yet, we know that viruses with high host 237 plasticity, that is, the ability of a given virus to adapt to various taxonomic orders and ecological groups 238 (Kreuder Johnson et al., 2015); are more likely to amplify viral spillover, followed by secondary 239 human-to-human transmission, and geographical spread (Hazarie et al., 2021). High viral host plasticity is 240 an especially important trait for RNA viruses such as betacov (Kreuder Johnson et al., 2015; Haddad et al., 241 2021). Indeed, our analysis of viral sequences reveals that Latin America is a hotspot of viral distinctiveness, suggesting that this part of the bats-betacov system may be undergoing independent evolutionary dynamics (related species sharing viruses that are different from the rest of the global pool).

The other hotspot of viral distinctiveness is S.E. Asia, in which richness is high but sharing is low; this suggests a different type of evolutionary dynamics (unrelated viruses coevolving with evolutionarily distinct hosts, generating high diversity locally).

Conclusion

Driven by the need to understand the ecological factors involved in the emergence of viral pathogens, we 249 spatially mapped bat-betacoronavirus interactions worldwide, using (i) a database of known betacov 250 hosts (Becker et al., 2020), and (ii) range maps for the hosts according to IUCN (IUCN 2021). To reflect the 251 fact that the risk posed by viruses has many ecological origins, we quantified the phylogenetic diversity of 252 hosts, their compositional uniqueness, and the expected viral sharing. Because these components of risk 253 matter when contrasted to human density, we compared them to a proxy, namely the proportion of each 254 pixel that is covered by urban or built land. This provides a synthetic risk map, allowing to identifying of 255 hotspots where the bat-betacoronavirus system may originate viruses in humans. SE Asia is one of the 256 regions with the highest risk since, according to our results, several of its conditions could increase the 257 risk of transmission of the virus. 258 Species richness, therefore, is not a sufficient measure of viral risk. This is exemplified in our results, 259 where both South America and South-Eastern Asia have a high species richness of betacov hosts, but only 260 the latter region has a high risk. Specifically, because previous studies propose that Asia is important 261 when it comes to understanding the evolutionary origin of various mammalian taxa (Beard C K, 1988). 262 There are several factors that drive changes in the diversity of bats (Alves et al., 2018), but human 263 activities' effects on the ecosystem (like modifications of land use) could significantly decrease it. 264 Therefore, it can be suggested that changes in the diversity of betacovs in bats are linked to their 265 biogeographic variation, and human population density and other anthropogenic factors are decisive 266 moderators for its implications in public health. With the increase of contact between humans and 267 potential hosts, we also increase the risk of emergence of novel diseases (Johnson et al., 2020), as previous 268 studies on RNA viruses suggest the importance of host phylogeography at the time of virus dispersal 269 (Gryseels et al., 2017). 270 This diversity of hosts and how the exchange of viruses occurs between species, is largely affected by the 271

different environmental changes, as the case of sarbecovirus bats reservoirs (Muylaert et al., 2021) where

they are affected by the area of the cave or the alteration of the forest, which could result in modifications of host distribution. Additionally, our results highlight the importance of Asia as a betacov hotspot, which 274 is consistent with recent studies (Muylaert et al., 2021), where projections on this area suggest that new 275 future events of sarbecovirus viral exchange might be easily spread among species or humans. One of these scenarios where interaction between bats and humans can occur can be seed dispersal in 277 tropical agroecosystems. It opens the discussion of whether the fruits thrown by bats not only disperse 278 seeds but could also be a source of indirect interaction between viruses of bat origin and humans (Deshpande et al., 2022). This represents a challenge for conservation strategies and disease ecology since 280 we have areas with potential zoonotic viruses and bat-human interaction. However, it must still be taken 281 into account the quantification of real exposure from several scenarios, where there can be directly or 282 indirectly bat - human interaction. 283 **Acknowledgements**: We acknowledge that this study was conducted on land within the traditional 284 unceded territory of the Saint Lawrence Iroquoian, Anishinabewaki, Mohawk, Huron-Wendat, and 285 Omàmiwininiwak nations. This work was supported by funding to the Viral Emergence Research 286 Initiative (VERENA) consortium including NSF BII 2021909 and a grant from Institut de Valorisation des 287 Données (IVADO). This research was enabled in part by support provided by Calcul Québec (www.calculquebec.ca) and Compute Canada (www.computecanada.ca). NF is funded by the NSERC 289 BIOS² CREATE program. TP and NF are funded by the Courtois Foundation. RLM was supported by 290 Bryce Carmine and Anne Carmine (née Percival), through the Massey University Foundation.

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