

# Electronic Asset Trading Platform

Major Project CAB 302

Milestone 1

## Group 2:

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## Table of Contents

Requirements:.....	1
<b>Necessary Requirements</b> .....	1
Client's Requirements.....	3
Quality of Life Requirements .....	3
Detailed Design .....	4
Java Classes .....	4
Class Diagrams .....	4
Java Classes .....	6
GUI Designs .....	12
GUI Flow between user's home screens.....	12
Trading Platform GUI .....	13
Trading Platform Administration GUI .....	14
Database Schema.....	15
Network protocol.....	17
Appendix .....	19
Sprint Planning for 3/05 – 16/05 .....	20

## Requirements:

The Requirements have been broken down into 3 separate categories representing the importance of feature. With the 'Necessary Requirements' representing the functions that are need for a functioning trading platform. The client's requirements are the second most important requirements representing functions that the client specifically requested, that are not critical to a trading platform, but should still be implanted, even if they are not critical to the operation of the program. The quality-of-life requirements represents functions and features that have been requested to be implanted if the time and resources permit it, with these features proving enhanced functionality for the user, while not being critical to the operation of a trading platform.

### Necessary Requirements

- The platform must track the quantity of credits owned by a particular organisational unit (OU).
- The platform must track the number of assets owned by a particular OU.
- The platform must allow for different levels of system access based on the type of user.
- The platform must be interacted with through a GUI on the client side.
- All general users will be assigned to a OU.
- All members of a given OU must be able to trade using the assets and credits associated with their OU.
- All members of a given OU must be able to remove offers from any other member of the same OU.
- Assets that have been put into a SELL order must be locked from being placed into any other SELL orders.
- Credits that have been put into a BUY order must be locked from being placed into any other BUY orders.
- Offers that have been removed must unlock the frozen assets or credits associated with that offer.
- Outstanding trades must be periodically reconciled, through a FIFO queue, implemented through the sever.
- A member of the IT Administration team must be able to create new OUs.
- A member of the IT Administration team must be able to create new asset types.
- A member of the IT Administration team must be able to edit the number of credits a given OU has.
- A member of the IT Administration team must be able to edit the number of an asset a given OU has.
- A member of the IT Administration team must be able to add new users to the database and assign passwords from the client.
- A member of the IT Administration team must be able to change an existing user's password.

- A member of the IT Administration team must be able to grant access up to the current level of access that member has.
- A user must be able to place BUY orders for a certain quantity of a particular asset at a particular price.
- The platform must stop users from placing BUY orders for more credits than that users OU has.
- The platform must not complete transactions where the BUY order price is less than the SELL order price.
- A user must be able to place SELL orders for a certain quantity of a particular asset at a particular price.
- The platform must stop users from placing SELL orders of more of the given asset than that OU has.
- The platform must not complete orders where the SELL order price is higher than the BUY order price.
- The platform must complete transactions where the SELL order price is lower than the BUY order price at the SELL orders' price.
- The user's client must interact with a single server (MariaDB, PostgreSQL, or SQLite3).
- The server must store all user's information (the username, password, account type, and OU).
- The server must store all OU information (OU name, credits, assets, and quantity of assets).
- The server must store all asset types (asset name).
- The server must store all current trades (BUY/SELL, OU, asset name, quantity, price, date).
- The server must store all trade history (BUY/SELL, OU, asset name, quantity, price, date).
- All users must have a unique username.
- All users must have a password.
- The system must hash passwords before sending them from the client to the server.
- The server database must only store hashed passwords.
- The system must require all users to enter their correct username and password into the client to gain access to the platform.

## Client's Requirements

- The platform should have a view displaying the current BUY and SELL offers for a given asset.
- The platform should not impose artificial limits on the number of commodities stored.
- The platform should not impose artificial limits on the number of trades that can be listed.
- The platform should not impose artificial limits on the number of users using the system.
- All users should be able to change their own passwords, regardless of system access.
- The platform should display the price history of a given asset, ordered chronologically.
- The client should read from a config file to get the server IP address and port to connect to.
- The server should read from a config file to get its port number.

## Quality of Life Requirements

- The platform could have a view displaying the price history on a graph, with the X axis notating the date and the Y axis notating the price.
- The platform could notify users, who have the client running on their computer, when a trade involving their OU has been reconciled.

# Detailed Design

## Java Classes

### Class Diagrams

#### Client-Side Java Class

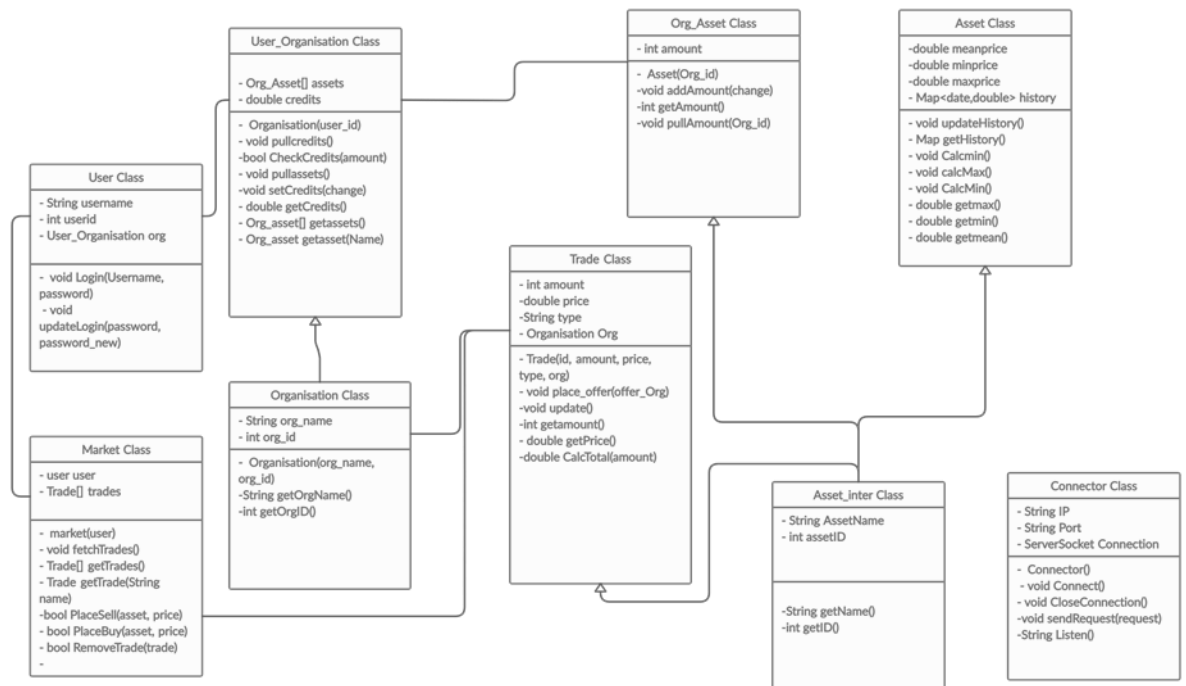


Figure 1 - Class diagram for the client-side class showing inheritance and dependent classe

## Server-side Java Class Diagram

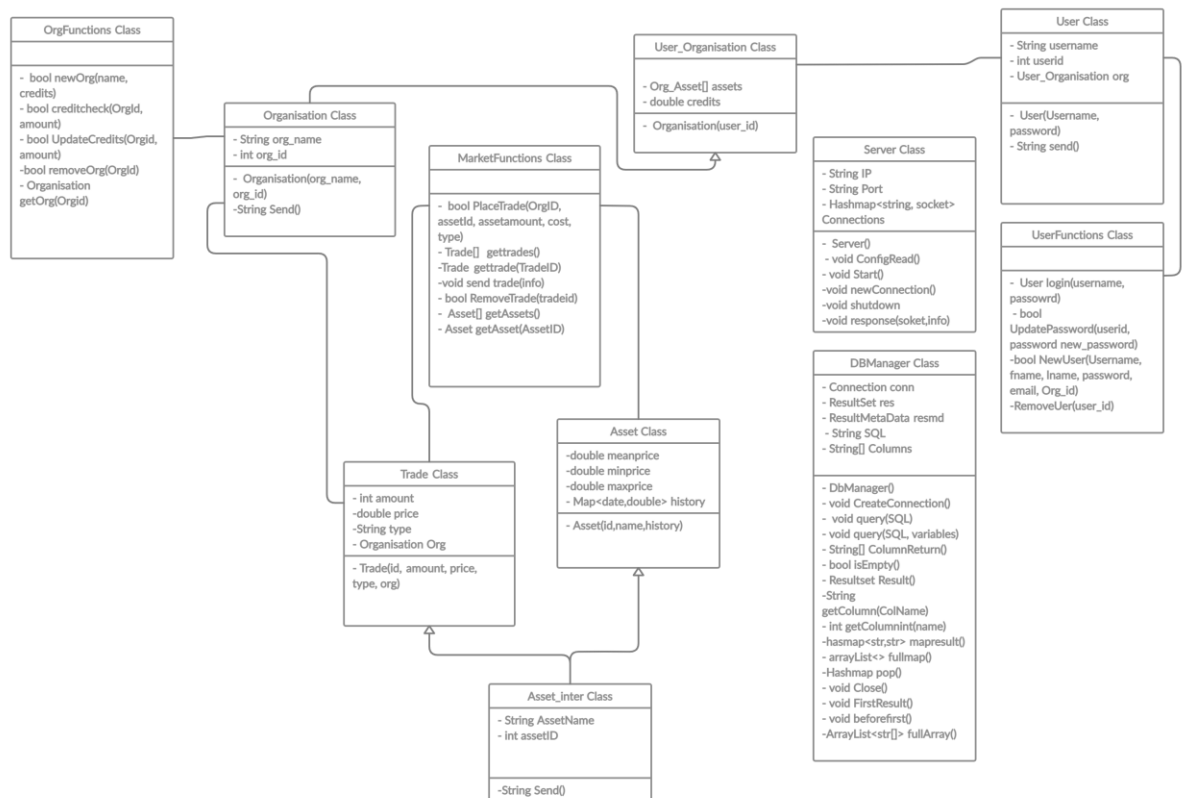


Figure 2 - Class diagram for the server-side class showing inheritance and dependent classes

# Java Classes

## Client Classes

### Class Connector

java.lang.Object  
Connector

---

public class Connector  
extends java.lang.Object

#### Constructor Summary

Constructors	
Constructor	Description
Connector()	creates Connector object Connects to the main server via fetching IP and port from cnf file

#### Method Summary

All Methods		
Instance Methods		
Concrete Methods		
Modifier and Type	Method	Description
void	CloseConnection()	Closes down connection from server
void	Connect(java.lang.String IP, java.lang.String Port)	Creates a connection to the passed IP and Port creating a severSocket connection

Methods inherited from class java.lang.Object

clone, equals, finalize, getClass, hashCode, notify, notifyAll, toString, wait, wait, wait

#### Constructor Details

Connector
public Connector() throws java.io.IOException
creates Connector object Connects to the main server via fetching IP and port from cnf file
Throws: java.io.IOException - ?

#### Method Details

Connect
public void Connect(java.lang.String IP, java.lang.String Port) throws java.io.IOException
Creates a connection to the passed IP and Port creating a severSocket connection
Parameters: IP -, String of ip to connect to Port -, String of port to connect to
Throws: java.io.IOException - ?
CloseConnection
public void CloseConnection() throws java.io.IOException
Closes down connection from server
Throws: java.io.IOException - ?

### Class Market\_functions

java.lang.Object  
Market\_functions

---

public class Market\_functions  
extends java.lang.Object

#### Constructor Summary

Constructors	
Constructor	Description
Market_functions()	

#### Method Summary

All Methods		
Instance Methods		
Concrete Methods		
Modifier and Type	Method	Description
void	PlaceOrder(int assetid, double price)	passes a new order request sending data to the server
void	PlaceSell(int assetid, double price)	passes a new sell request to the sever

Methods inherited from class java.lang.Object

clone, equals, finalize, getClass, hashCode, notify, notifyAll, toString, wait, wait, wait

#### Constructor Details

Market_functions
public Market_functions()

#### Method Details

PlaceOrder
------------

public void PlaceOrder(int assetid, double price)
passes a new order request sending data to the server
Parameters: assetid -, int id of asset to buy price -, double buy price
PlaceSell
public void PlaceSell(int assetid, double price)
passes a new sell request to the sever
Parameters: assetid -, int id of asset to list price -, double sell price



Class organisation

java.lang.Object  
organisation

public class organisation  
extends java.lang.Object

Constructor Summary

Constructors	
Constructor	Description
organisation (int UserId)	creates the organisation class, through fetching the user's corresponding organisation stored in the servers database

Method Summary

All Methods	Instance Methods	Concrete Methods	
Modifier and Type		Method	Description
java.util.HashMap<java.lang.String, java.lang.Integer>		getasset (java.lang.String asset)	returns a specified asset name and amount
java.util.HashMap<java.lang.String, java.lang.Integer>		getassets()	retuens all the assets and amount of each asset
double		getCreidits()	returns the amount of creidts the organisation has
java.lang.String		getOrgName()	returns the nae of the organisation
void		pullassets()	gets the list of assets owned by the organisation from the server database updating hashmap in the form (assetName, assetAmount)
void		pullCredits()	get organisation's current credits from the server's database

Parameters:  
change - amount of credits to add or remove from the organisation creidts  
Returns:  
updated, True if credits have been changed, else False if not enough credits

pullassets

public void pullassets()  
  
gets the list of assets owned by the organisation from the server database updating hashmap in the form (assetName, assetAmount)

setassests

public void setassests(java.lang.String asset, int amount)  
  
updates assets owned by an organisation by sending server information checks if a abs(negative amount) is less than asset amount  
Parameters:  
asset - , asset name to update  
amount - , amount to change amount tof assets can be negative or positive

getOrgName

public java.lang.String getOrgName()  
  
returns the nae of the organisation  
Returns:  
String of the organisation name

getCreidits

public double getCreidits()  
  
returns the amount of credits the organisation has  
Returns:  
double of amount of credits an organisation has

getassets

public java.util.HashMap<java.lang.String, java.lang.Integer> getassets()  
  
retuens all the assets and amount of each asset

Modifier and Type	Method	Description
void	setassests (java.lang.String asset, int amount)	updates assets owned by an organisation by sending server information checks if a abs(negative amount) is less than asset amount
boolean	setCredits (double change)	updates the total of credits the organisation has in the server database checking if organisation credis >=change.

Methods inherited from class java.lang.Object

clone, equals, finalize, getClass, hashCode, notify, notifyAll, toString, wait, wait, wait

Constructor Details

organisation

public organisation(int UserId)  
  
creates the organisation class, through fetching the user's corresponding organisation stored in the servers database  
Parameters:  
UserId - int representing the user's id

Method Details

pullCredits

public void pullCredits()  
  
get organisation's current credits from the server's database

setCredits

public boolean setCredits(double change)  
  
updates the total of credits the organisation has in the server database checking if organisation credis >=change.

Returns:  
hashmap of String int representing asset name and asset amount

getasset

public java.util.HashMap<java.lang.String, java.lang.Integer> getasset(java.lang.String asset)  
  
returns a specified asset name and amount  
Parameters:  
asset - , asset to fetch from hashmap  
Returns:  
HashMap of String,Integer representing asset name and asset amount

Class User

java.lang.Object  
User

public class User  
extends java.lang.Object

Constructor Summary

Constructors	
Constructor	Description
User()	Inti user

Method Summary

All Methods		Instance Methods	Concrete Methods
Modifier	Method and Type	Description	
void	Login (java.lang.String Username, java.lang.String password)	passes the users login information to the server, to test against database updates the user class with the correct information if correct	
void	Terminate()	deletes the User class's information	

Methods inherited from class java.lang.Object  
clone, equals, finalize, getClass, hashCode, notify, notifyAll, toString, wait, wait, wait

Constructor Details

User

```
public User()  
  
Inti user
```

Method Details

Login

```
public void Login(java.lang.String Username,  
                  java.lang.String password)
```

passes the users login information to the server, to test against database updates the user class with the correct information if correct

Parameters:  
Username - , username entered by user  
password - , password for corresponding username

Terminate

```
public void Terminate()
```

deletes the User class's information

# Server Classes

## Class Server

java.lang.Object  
Server

public class Server  
extends java.lang.Object

### Constructor Summary

#### Constructors

#### Constructor and Description

Server()

### Method Summary

All Methods   Instance Methods   Concrete Methods

Modifier and Type	Method and Description
void	<b>ConfigRead()</b> This Function is used to update the IP adress and Port of the server from the config.con file
void	<b>NewConnection()</b> this method add a new user client connection to the server, allowing for communication between the two
void	<b>Shutdown()</b> This method shuts the server down safely
void	<b>Start()</b> The Start Function is used to open the server to a socket that is specifed by the Port variable

#### Methods inherited from class java.lang.Object

clone, equals, finalize, getClass, hashCode, notify, notifyAll, toString, wait, wait, wait

### Constructor Detail

#### Server

```
public Server()  
    throws java.io.IOException
```

#### Throws:

java.io.IOException

### Method Detail

#### ConfigRead

```
public void ConfigRead()  
    throws java.io.FileNotFoundException
```

This Function is used to update the IP adress and Port of the server from the config.con file

#### Throws:

java.io.FileNotFoundException - ?

#### Start

```
public void Start()  
    throws java.io.IOException
```

The Start Function is used to open the server to a socket that is specifed by the Port variable

#### Throws:

java.io.IOException - ?

#### NewConnection

```
public void NewConnection()  
    throws java.io.IOException
```

this method add a new user client connection to the server, allowing for communication between the two

#### Throws:

java.io.IOException - ?

#### Shutdown

```
public void Shutdown()  
    throws java.io.IOException
```

This method shuts the server down safely

#### Throws:

java.io.IOException - ?

## Class DbManager

java.lang.Object  
DbManager

public class DbManager  
extends java.lang.Object

### Constructor Summary

#### Constructors

##### Constructor and Description

DbManager()  
init the dbmanager class

### Method Summary

#### All Methods

#### Instance Methods

#### Concrete Methods

##### Modifier and Type

void

void

java.lang.String[]

java.lang.String[]

void

void

java.util.ArrayList<java.lang.String[]>

##### Method and Description

BeforeFirstResult()

resets current row for res (sql query)  
**Important:** Use if while loop is being implemented

Close()

ColumnNames()

generates the current returned SQL column names

Columnsreturn()

This function returns the heading of the columns returned from the last passed SQL query

createConnection()

creates a connection to the external database server uses the DBConfig.cfg file to get:  
host address = jdbc:(server type)://(server address)/(database name)?usePipelineAuth=false  
username = (valid database manager user)  
password = (corresponding password for username)  
driver = org.mariadb.jdbc.Driver (for mariadb db only check your own)

FirstResult()

resets to first row for res (sql query)  
**Important:** Use only if a while loop isn't being implemented

fullArray()

init the dbmanager class

Throws:

java.sql.SQLException - ?

java.io.IOException - ?

java.lang.ClassNotFoundException - ?

### Method Detail

#### createConnection

```
public void createConnection()
    throws java.io.IOException,
           java.lang.ClassNotFoundException,
           java.sql.SQLException
```

creates a connection to the external database server uses the DBConfig.cfg file to get:  
host address = jdbc:(server type)://(server address)/(database name)?usePipelineAuth=false  
username = (valid database manager user)  
password = (corresponding password for username)  
driver = org.mariadb.jdbc.Driver (for mariadb db only check your own)

Throws:

java.io.IOException - ?

java.lang.ClassNotFoundException - ?

java.sql.SQLException - ?

#### query

```
public void query(java.lang.String SQL)
```

Query is used to pass a sql statement to the connected database, which then saves the resulting fetched data to a local ResultSet called res

Parameters:

SQL: - valid sql string statement

#### query

```
public void query(java.lang.String SQL,
                  java.lang.Object[] variables)
```

Query is used to pass a sql statement with the ability to add variables into the SQL statement through passing a list of variables, along with placing '?' within the SQL string corresponding to where you want the variables inserted. This function then constructs the completed SQL statement and pass it to the database, which then saves the resulting fetched data to a local ResultSet called res

Parameters:

SQL: - valid sql string statement place '?' where you want a variable inserted

variables: - array of variables you want to insert into your SQL statement

#### Columnsreturn

```
public java.lang.String[] Columnsreturn()
```

This function returns the heading of the columns returned from the last passed SQL query

Returns:

Columns: A String array of the column heading from the current sql query statement

```
java.util.ArrayList<java.util.HashMap<java.lang.String,java.lang.String>> fullmap()
```

creates an arraylist of all all rows and columns without the column names as keys but instead uses an array

java.lang.String

creates an arraylist of all rows and columns for the current SQL query

getColumn(java.lang.String name)  
getColumn returns the value from the selected column for the current selected row

int

getColumnInt(java.lang.String name)  
getColumn returns the value from the selected column for the current selected row

java.lang.Boolean

isEmpty()  
checks if the query fetched valid data from the database

java.util.HashMap<java.lang.String,java.lang.String>

napresult()  
creates a hashmap variable for currently selected row.

java.util.HashMap<java.lang.String,java.lang.String>

Pop()  
returns the last selected row while also moving onto the next row

void

printresult()  
prints out all rows from the last passed valid SQL query

void

query(java.lang.String SQL)  
Query is used to pass a sql statement to the connected database, which then saves the resulting fetched data to a local ResultSet called res

void

query(java.lang.String SQL, java.lang.Object[] variables)  
Query is used to pass a sql statement with the ability to add variables into the SQL statement through passing a list of variables, along with placing '?' within the SQL string corresponding to where you want the variables inserted.

java.sql.ResultSet

result()  
returns res.

java.lang.String[]

resultArray()

### Methods inherited from class java.lang.Object

clone, equals, finalize, getClass, hashCode, notify, notifyAll, toString, wait, wait, wait

### Constructor Detail

#### DbManager

```
public DbManager()
    throws java.sql.SQLException,
           java.io.IOException,
           java.lang.ClassNotFoundException
```

### isEmpty

```
public java.lang.Boolean isEmpty()
    throws java.sql.SQLException
```

checks if the query fetched valid data from the database

Returns:

Boolean: True - if result is empty / false - if result has data

Throws:

java.sql.SQLException - ?

### printresult

```
public void printresult()
    throws java.sql.SQLException
```

prints out all rows from the last passed valid SQL query

Throws:

java.sql.SQLException - ?

### result

```
public java.sql.ResultSet result()
```

returns res. Data fetched from SQL query variable

Returns:

ResultSet res ?

### getColumn

```
public java.lang.String getColumn(java.lang.String name)
    throws java.sql.SQLException
```

getColumn returns the value from the selected column for the current selected row

Parameters:

name: - String of a column name of the current returned data

Returns:

String of a selected column from the current selected row

Throws:

java.sql.SQLException - ?

### getColumnInt

```
public int getColumnInt(java.lang.String name)
    throws java.sql.SQLException
```

getColumn returns the value from the selected column for the current selected row

Parameters:

name: - String of a column name of the current returned data

Returns:

int of a selected column from the current selected row

Throws:

java.sql.SQLException - ?

### resultArray

```
public java.lang.String[] resultArray()
    throws java.sql.SQLException

Throws:
java.sql.SQLException
```

#### ColumnNames

```
public java.lang.String[] ColumnNames()
    throws java.sql.SQLException

generates the current returned SQL column names

Returns:
String[] list of all Column names currently selected

Throws:
java.sql.SQLException - ?
```

#### mapresult

```
public java.util.HashMap<java.lang.String,java.lang.String> mapresult()
    throws java.sql.SQLException

creates a hashmap variable for currently selected row.

Returns:
HashMap of String, String where the key is the column name and the data is the selected row's column
data

Throws:
java.sql.SQLException - ?
```

#### fullmap

```
public java.util.ArrayList<java.util.HashMap<java.lang.String,java.lang.String>> fullmap()
    throws java.sql.SQLException

creates an arraylist of all rows and columns for the current SQL query

Returns:
ArrayList of HashMap of String,String where the array list holds every row within a HashMap pf
String,String where the key is the column name and the data is the corresponding cell

Throws:
java.sql.SQLException - ?
```

#### Pop

```
public java.util.HashMap<java.lang.String,java.lang.String> Pop()
    throws java.sql.SQLException

returns the last selected row while also moving onto the next row

Returns:
HashMap of String,String, where the key is the column name and the data is the selected row's column
data

Throws:
java.sql.SQLException - ?
```

#### fullArray

```
public java.util.ArrayList<java.lang.String[]> fullArray()
    throws java.sql.SQLException

creates an arraylist of all all rows and columns without the column names as keys but instead uses an array

Returns:
ArrayList of String[]

Throws:
java.sql.SQLException - ?
```

#### BeforeFirstResult

```
public void BeforeFirstResult()
    throws java.sql.SQLException

resets current row for res (sql query) Important: Use if while loop is being implemented

Throws:
java.sql.SQLException - ?
```

#### FirstResult

```
public void FirstResult()
    throws java.sql.SQLException

resets to first row for res (sql query) Important: Use only if a while loop isn't being implemented

Throws:
java.sql.SQLException - ?
```

#### Close

```
public void Close()
```

## GUI Designs

The GUI design mock-ups have been split into 3 categories, to help represent the function and flow of the various screens.

### GUI Flow between user's home screens

The user's home screens shows the GUI that will greet the user when they boot up the program. With the user being taken to the login screen on boot up. With a correct password and user name input sending them to either the generic user or admin home page depending on their role. Two other screens are also shown, these two screens have been included here as they both are pop-ups that help the user change personal information or view organisation information that do not directly impact the market place.

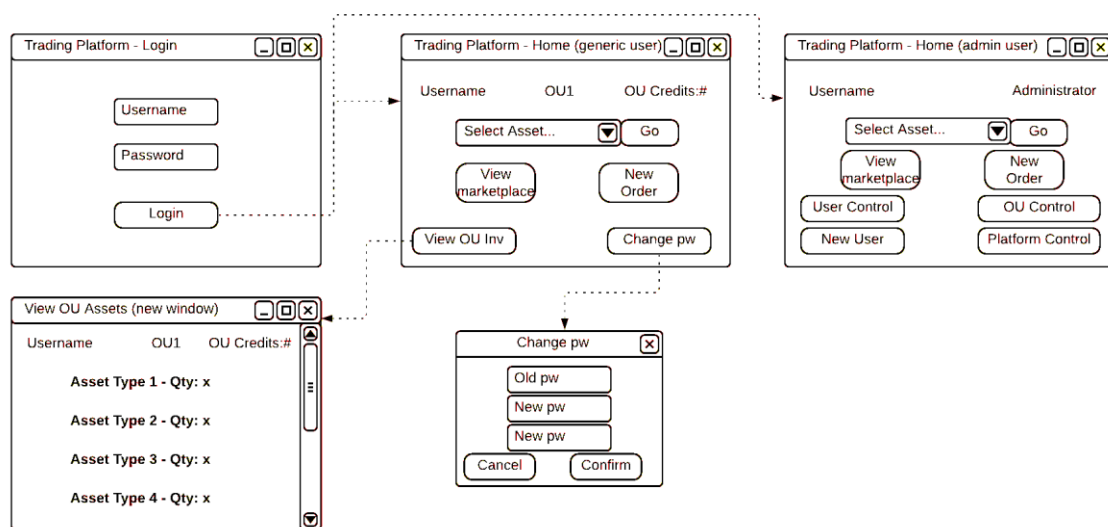


Figure 3 - trading Platform user interface GUI screens

## Trading Platform GUI

The trading platform GUI screens represent the main functionality of the GUI. Allowing the user to search and view all current trades, while also allowing them to place new buy or sell request.

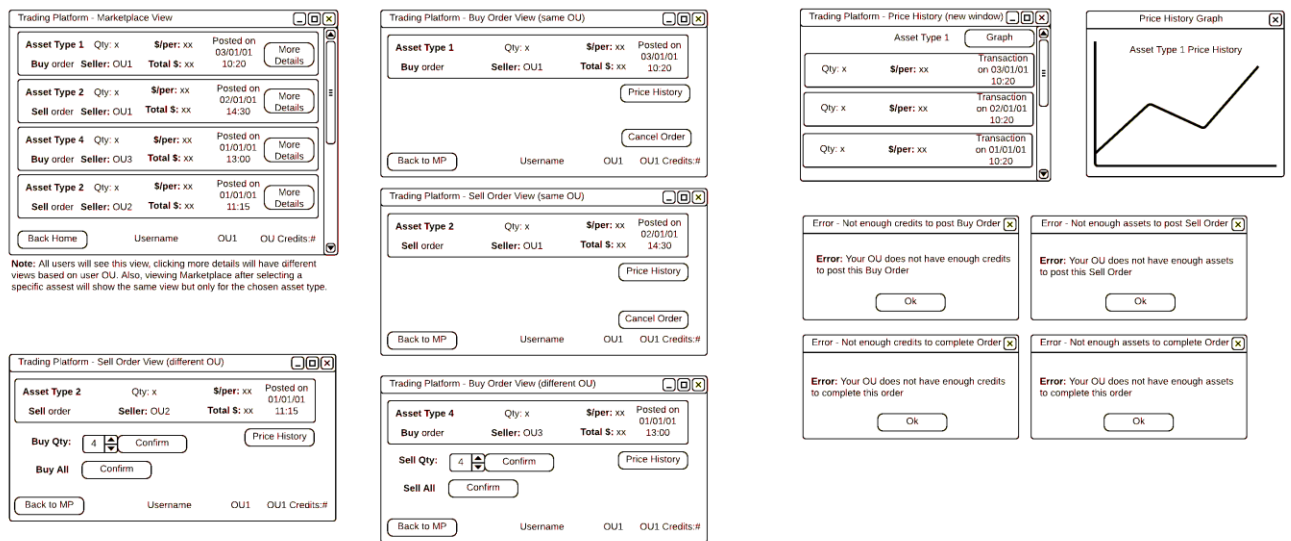


Figure 4 - Trading platform marketplace GUI screens

## Trading Platform Administration GUI

The administrative GUI is a backend interface that is only accessible to users with an admin role. These screens allow admins to add new assets, users and organisation. While also allowing them to delete them or change the credits or assets owned by an organisation.

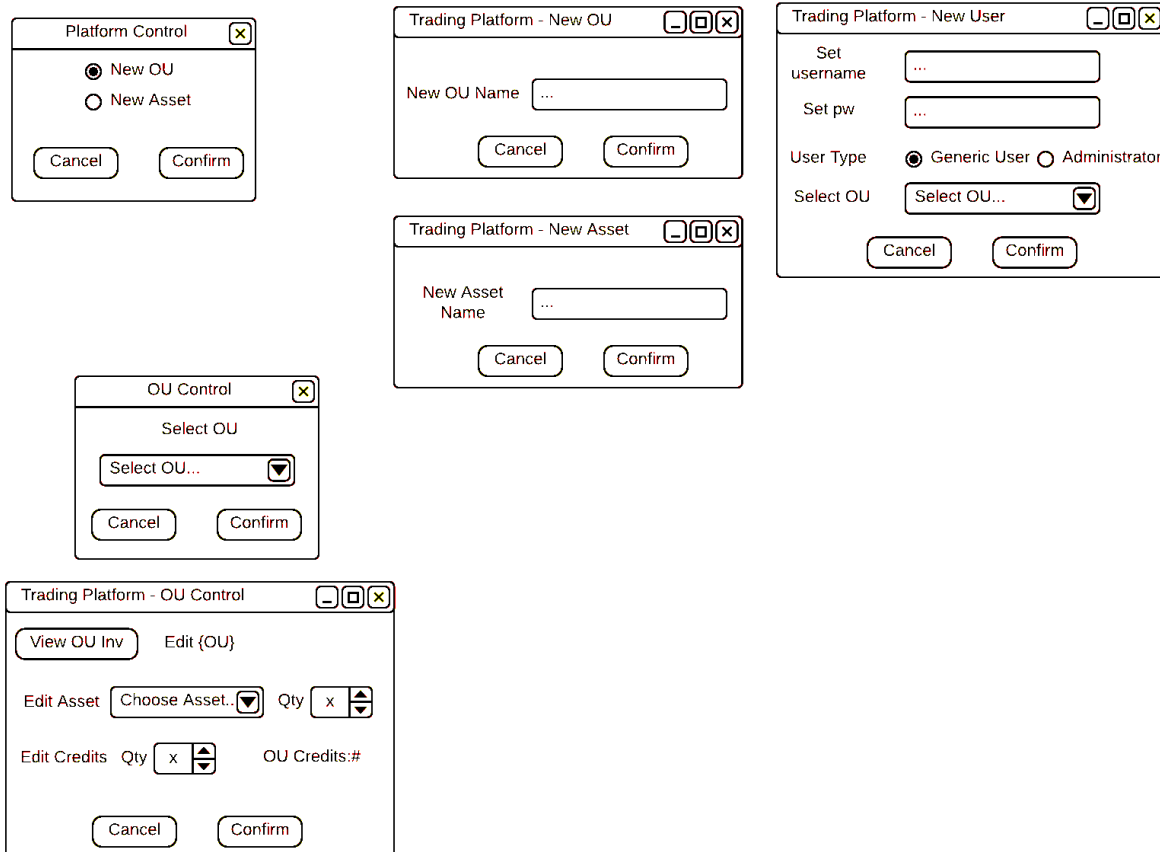


Figure 5 - Trading platform admin GUI screens



## Database Schema

The database has been broken down into 7 tables, Users, Organisations, Trade, Asset, OrganisationAssets, TradeHistoryLinked, TradeHistory.

Assumptions:

- Users can only be part of a single organisation.
- An organisation can have many assets.
- An organisation can have many trades.
- A single asset type will be listed in each trade.
- The trade table will contain both sell and buy requests.
- A trade sell request only becomes history once all asset units are sold.
- A trade sell can have many buy requests.

The **Users** table contains all the information pertaining to a unique user such as names, password, and organisation. It also contains fields that can be used at a latter date if the client ever wants to expand the functionality of the trading platform such as an email address and logged in, in case email functionality or interacting with other users become a feature.

The **Organisation** table contains information pertaining to the various unique organisations, such as name and credits.

The **Trade** table contains the information relating to buy and sell request currently active on the marketplace, including the trade type, asset, amount and requested price.

The **Asset** table includes the information about each asset type, name and description.

The **OrganisationAsset** table Contains the information contains the information on what asset each organisation owns, linking the Asset\_id and Organisation\_id, along with adding the quantity of each asset an organisation owns.

The **TradeHistory** table, contains the information about past trades on the marketplace, including the post data, asset amount, sell price and the trade id.

The **TradeHistoryLinked** table contains the information about what buy and sell orders relate to each other in the TradeHistory table, with it having both a buy and sell id, which takes the id from the corresponding TradeHistory\_id from the TradeHistory table.

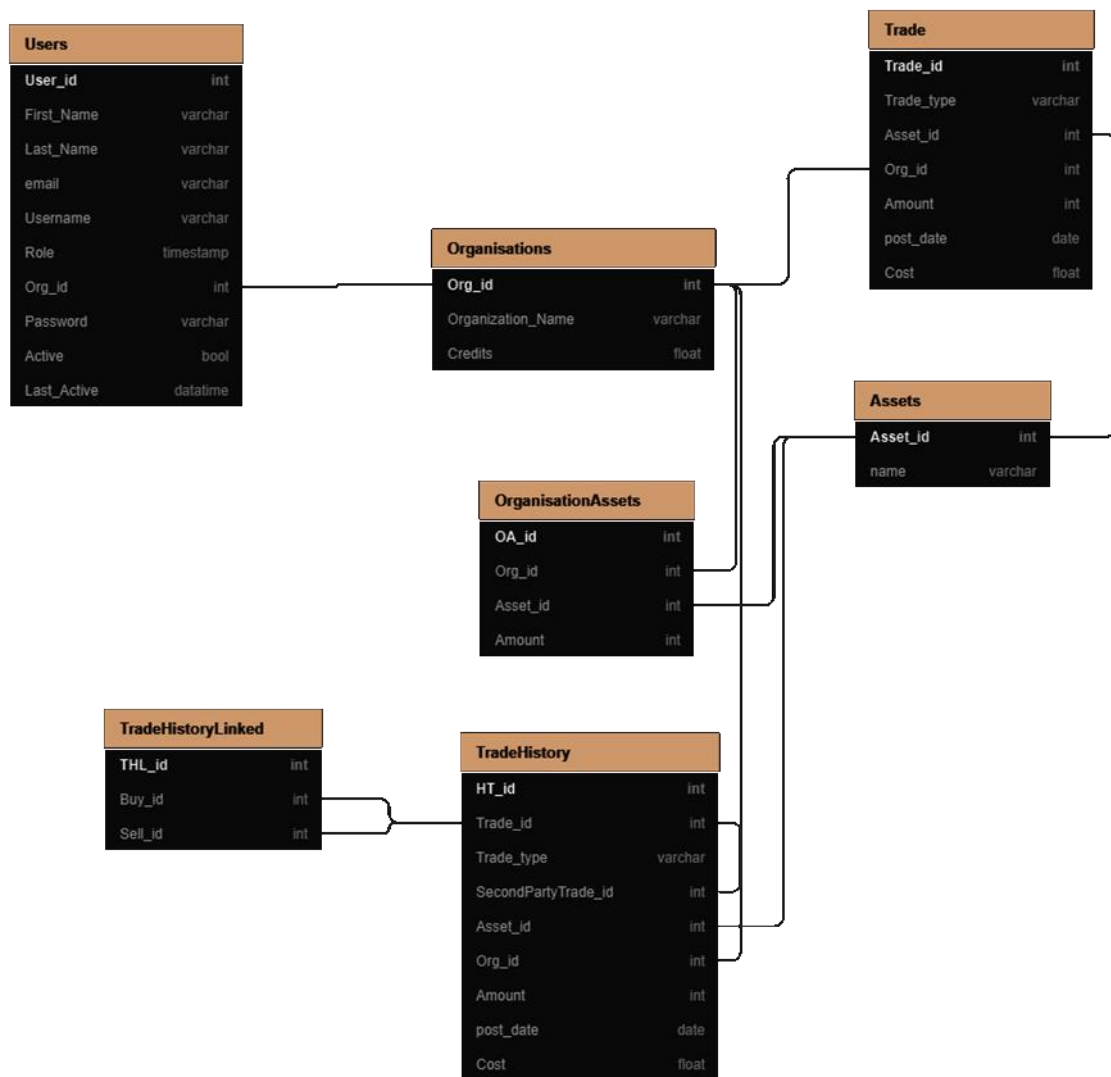


Figure 6 - Database diagram representing the various tables and the connecting keys

## Network protocol

To enable communication between the server and the client a thread on the server will constantly listen for new request from clients. When a new request is received the given information from the client will then be transferred over to the correct function, running on another parallel thread. In theory there should be around 5 threads running on the server, A connection thread, A buy request thread, sell request thread, Administrative thread, and an update thread. These 5 threads will encompass all major functions that will need to be preformed by the server, making sure that all requests are completed at a reasonable rate. The connection, buy and sell threads could also have an increased thread count if the hardware permits it, helping the server to handle an increased workload, with each of the main functions having 2+ threads each.

The Server thread will split out the client's request through interpreting the String sent from the client-side program. This will be done through splitting up the String at the character '|' (need to make '|' a reserved character meaning no user can add '|' to a username, password, or asset name). Once the string has been split up into an array, the first element will be an integer representing the task requested by the user, with the corresponding list being in table 1. The next elements in the array will vary depending on the task requested by the user with all other elements being inputs for the function requested. To send information back to the client the port opened to the server will be saved into hash map on the server, with the key being the connection IP, while the data is the socket. The key will then be passed along with the inputs to the function requested, so that any data can be returned to the correct port.

The codes for all the function have been split up by function type, so that the function codes look like '*ix*' where '*i*' is the function class and '*x*' is the unique identifier for it within that class. For example any function pertaining the operation of the market place as an *i* of 2, while the sell function as an '*x*' of 1 given it the server code 21, while a buy request has a server code of 22.

When a client has sent a request to the server the client will wait for a response to be given, with it being returned in the same way it was sent. However on the client side no task id will be sent back as the client already knows how to interpret the data since it has been waiting for the response from the server.

Server code	Function	Sent inputs
<b>User Functions</b>		
10	Login	(Username, hashed password)
11	Change password	(Username, hashed password, hashed new password)
12	View inventory	(OU_id)
<b>Market Place Functions</b>		
20	View marketplace	
21	Sell Request	(OU_id, asset, amount, price)
22	Buy Request	(OU_id, asset, amount, price)
23	View asset	(asset_id)
24	View asset listing	(trade_id)
<b>Administrative Functions</b>		
30	Add user	(user_id, hashed_password, OU_id, user type)
31	Add OU	(OU_name)
32	Add asset	(asset name, asset description)
32	Add asset to OU	(OU_id, asset_id)
33	Add credits to OU	(OU_id, amount)
34	Remove user	(user_id)
35	Remove OU	(OU_id)
36	Remove asset	(asset_id)
<b>Helper Functions</b>		
40	Fetch credits	(OU_id)
41	Fetch assets	
42	Fetch Organization assets	(OU_id)
43	Close Connection	

Table 1 - Task ID and arguments passed to the server from the client

## Appendix

## Sprint Planning for 3/05 – 16/05

