

## Homework 4

Mar 22, 2019

### 3-2 Ex.2

Show that if a surface is tangent to a plane along a curve, then the points of this curve are either parabolic or planar.

#### *Solution.*

Suppose that  $S$  is tangent to the plane  $P$  along the curve  $C$ . For each  $p \in C$ , suppose that the tangent vector of  $C$  at  $p$  is  $w = ae_1 + be_2$  where  $e_1, e_2$  are eigenvectors of  $dN_p$  and the corresponding eigenvalues are  $-k_1, -k_2$ . Since  $S$  is tangent to the plane  $P$  along the curve so  $dN_p(w) = -k_1ae_1 - k_2be_2 = 0$ , hence  $k_1 = 0$  or  $k_2 = 0$ , i.e.  $p$  is parabolic or planar.  $\square$

### 3-2 Ex.5

Show that the mean curvature  $H$  at  $p \in S$  is given by

$$H = \frac{1}{\pi} \int_0^\pi k_n(\theta) d\theta$$

where  $k_n(\theta)$  is the normal curvature at  $p$  along a direction making an angle  $\theta$  with a fixed direction.

#### *Solution.*

Suppose that the eigenvectors of  $dN_p$  are  $e_1, e_2$ , then

$$\begin{aligned} \frac{1}{\pi} \int_0^\pi k_n(\theta) d\theta &= \frac{1}{\pi} \int_0^\pi k_n(e_1 \cos \theta + e_2 \sin \theta) d\theta \\ &= \frac{1}{\pi} \int_0^\pi -\langle dN_p(e_1 \cos \theta + e_2 \sin \theta), e_1 \cos \theta + e_2 \sin \theta \rangle d\theta \\ &= \frac{1}{\pi} \int_0^\pi \langle k_1 \cos \theta e_1 + k_2 \sin \theta e_2, e_1 \cos \theta + e_2 \sin \theta \rangle d\theta \\ &= \frac{1}{\pi} \int_0^\pi (k_1 \cos^2 \theta + k_2 \sin^2 \theta) d\theta \\ &= \frac{1}{\pi} \int_0^\pi \left( k_1 \frac{1 + \cos 2\theta}{2} + k_2 \frac{1 - \sin 2\theta}{2} \right) d\theta \\ &= \frac{1}{\pi} \int_0^\pi \frac{k_1 + k_2}{2} d\theta \\ &= \frac{k_1 + k_2}{2} \end{aligned}$$

$\square$

### 3-2 Ex.9

Prove that

**a.** The image  $N \circ \alpha$  by the Gauss map  $N : S \rightarrow S^2$  of a parametrized regular curve  $\alpha : I \rightarrow S$  which contains no planar or parabolic points is a parametrized regular curve on the surface  $S^2$  (called the spherical image of  $\alpha$ ).

**b.** If  $C = \alpha(I)$  is a line of curvature, and  $k$  its curvature at  $p$ , then

$$k = |k_n k_N|,$$

where  $k_n$  is the normal curvature at  $p$  along the tangent line of  $C$  and  $k_N$  is the curvature of the spherical image  $N(C) \subset S^2$  at  $N(p)$ .

**Solution.**

a. Let's denote  $N \circ \alpha$  by  $\beta$ . Note that

$$\beta'(s) = dN_p(\alpha'(s)) = dN_p(e_1 \cos \theta + e_2 \sin \theta) = -k_1 e_1 \cos \theta - k_2 e_2 \sin \theta$$

where  $p = \alpha(s)$ , then

$$\langle \beta'(s), \beta'(s) \rangle = k_1^2 \cos^2 \theta + k_2^2 \sin^2 \theta > 0$$

since  $k_1 \neq 0, k_2 \neq 0$ . Therefore  $\beta$  is regular. □

b. WLOG we can assume that  $dN(\alpha'(s)) = -k_1 \alpha'(s)$ , then

$$k_n = -\langle dN_p(\alpha'(s)), \alpha'(s) \rangle = \langle k_1 \alpha'(s), \alpha'(s) \rangle = k_1$$

Also note that

$$\begin{aligned} k_N &= \frac{|\beta'(s) \wedge \beta''(s)|}{|\beta'(s)|^3} \\ &= \frac{|(-k_1 \alpha'(s)) \wedge (-k_1 \alpha''(s))|}{|k_1|^3} \\ &= \frac{|k_1^2 k|}{|k_1|^3} \end{aligned}$$

Therefore

$$|k_n k_N| = |k_1| \frac{|k_1|^2 k}{|k_1|^3} = k$$

□

### 3-2 Ex.15(Theorem of Joachimstahl.)

Suppose that  $S_1$  and  $S_2$  intersect along a regular curve  $C$  and make an angle  $\theta(p)$ ,  $p \in C$ . Assume that  $C$  is a line of curvature of  $S_1$ . Prove that  $\theta(p)$  is constant if and only if  $C$  is a line of curvature of  $S_2$ .

**Solution.**

"  $\Rightarrow$  " :

Suppose that  $\theta(p)$  is constant. Since we know that  $C$  is a line of curvature of  $S_1$ , so the tangent vector denoted by  $w$  of  $C$  at  $p$  satisfies

$$dN_{1p}(w) = -kw$$

for some principle curvature  $k$ . Since we know that  $\theta(p)$  is constant, then  $\langle N_1(p), N_2(p) \rangle$  is constant. Let  $f(t) = \langle N_1(\alpha(t)), N_2(\alpha(t)) \rangle$ , where  $\alpha(I) = C$ ,  $\alpha(0) = p$ ,  $\alpha'(0) = w$ . Then

$$f'(t) = \langle dN_{1p}(\alpha'(t)), N_2(\alpha(t)) \rangle + \langle N_1(\alpha(t)), dN_{2p}(\alpha'(t)) \rangle$$

Particularly,

$$\begin{aligned} f'(0) &= \langle -kw, N_2(p) \rangle + \langle N_1(p), dN_{2p}(w) \rangle \\ &= \langle N_1(p), dN_{2p}(w) \rangle = 0 \end{aligned}$$

Thus  $dN_{2p}(w) \in T_p(S_1)$ . And we have known that  $dN_{2p}(w) \in T_p(S_2)$ . Therefore  $dN_{2p}(w) \in T_p(S_1) \cap T_p(S_2)$ , and it follows

$$dN_{2p}(w) = \lambda w$$

So  $w$  is a principal direction at  $p$ . Since  $p$  is arbitrary, this shows that  $C$  is a line of curvature.

"  $\Leftarrow$  " :

Suppose that  $C$  is a line of curvature of  $S_2$ , then we have

$$dN_{1p}(w) = -k_1 w, \forall w \in T_p(S_1)$$

$$dN_{2p}(w) = -k_2 w, \forall w \in T_p(S_2)$$

for some  $k_1, k_2 \in \mathbb{R}$ . Suppose  $C$  is parametrized by  $\alpha$ , then let

$$f(t) = \langle N_{1p}(\alpha(t)), N_{2p}(\alpha(t)) \rangle$$

It follows

$$\begin{aligned} f'(t) &= \langle dN_{1p}(\alpha'(t)), N_{2p}(\alpha(t)) \rangle + \langle N_{1p}(\alpha(t)), dN_{2p}(\alpha'(t)) \rangle \\ &= \langle -k_1 \alpha'(t), N_{2p}(\alpha(t)) \rangle + \langle N_{1p}(\alpha(t)), -k_2 \alpha'(t) \rangle \\ &= 0 + 0 = 0 \end{aligned}$$

Thus  $f(t) \equiv 0$ , so  $\theta(p)$  is a constant.  $\square$

### 3-2 Ex.17

Show that if  $H \equiv 0$  on  $S$  and  $S$  has no planar points, then the Gauss map  $N : S \rightarrow S^2$  has the following property:

$$\langle dN_p(w_1), dN_p(w_2) \rangle = -K(p) \langle w_1, w_2 \rangle$$

for all  $p \in S$  and all  $w_1, w_2 \in T_p(S)$ . Show that the above condition implies that the angle of two intersecting curves on  $S$  and the angle of their spherical images are equal up to a sign.

#### *Solution.*

We can assume that the eigenvalues of  $dN_p$  are  $k$  and  $-k$  and the corresponding eigenvectors are  $e_1, e_2$ . Suppose  $w_1 = e_1 \cos \theta_1 + e_2 \sin \theta_1$ ,  $w_2 = e_1 \cos \theta_2 + e_2 \sin \theta_2$ . Then

$$\begin{aligned} \langle dN_p(w_1), dN_p(w_2) \rangle &= \langle k e_1 \cos \theta_1 - k e_2 \sin \theta_1, k e_1 \cos \theta_2 - k e_2 \sin \theta_2 \rangle \\ &= k^2 \cos \theta_1 \cos \theta_2 + k^2 \sin \theta_1 \sin \theta_2 \end{aligned}$$

while

$$\begin{aligned} -K(p) \langle w_1, w_2 \rangle &= -K(p) \langle e_1 \cos \theta_1 + e_2 \sin \theta_1, e_1 \cos \theta_2 + e_2 \sin \theta_2 \rangle \\ &= k^2 (\cos \theta_1 \cos \theta_2 + \sin \theta_1 \sin \theta_2) \end{aligned}$$

$\square$

### 3-2 Ex.18

Let  $\lambda_1, \dots, \lambda_m$  be the normal curvatures at  $p \in S$  along directions making angles  $0, \frac{2\pi}{m}, \dots, (m-1)\frac{2\pi}{m}$  with a principal direction,  $m > 2$ . Prove that

$$\lambda_1 + \dots + \lambda_m = mH,$$

where  $H$  is the mean curvature at  $p$ .

#### *Solution.*

Note that

$$\begin{aligned} \lambda_k &= k_1 \cos^2 \theta_k + k_2 \sin^2 \theta_k \\ &= k_1 \frac{1 + \cos(2\theta_k)}{2} + k_2 \frac{1 - \cos(2\theta_k)}{2} \\ &= H + \frac{k_1 - k_2}{2} \cos(2\theta_k) \end{aligned}$$

Then

$$\begin{aligned} \lambda_1 + \lambda_2 + \dots + \lambda_m &= mH + \frac{k_1 - k_2}{2} [\cos(2\theta_1) + \cos(2\theta_2) + \dots + \cos(2\theta_m)] \\ &= mH + \frac{k_1 - k_2}{4} \sum_{k=1}^m [\cos(2\theta_k) + \cos(2\theta_{m-k+1})] \\ &= mH + \frac{k_1 - k_2}{4} \sum_{k=1}^m [\cos(\frac{4k\pi}{m}) + \cos(\frac{4(m-k+1)\pi}{m})] \\ &= mH + \frac{k_1 - k_2}{4} \sum_{k=1}^m [\cos(\frac{4k\pi}{m}) - \cos(\frac{4(k-1)\pi}{m})] \\ &= mH + \frac{k_1 - k_2}{4} [\cos(4\pi) - \cos(0)] = mH \end{aligned}$$

□

**3-2 Ex.19**

Let  $C \subset S$  be a regular curve in  $S$ . Let  $p \in C$  and  $\alpha(s)$  be a parametrization of  $C$  in  $p$  by arc length so that  $\alpha(0) = p$ . Choose in  $T_p(S)$  an orthonormal positive basis  $\{t, h\}$ , where  $t = \alpha'(0)$ . The geodesic torsion  $\tau_g$  of  $C \subset S$  at  $p$  is defined by

$$\tau_g = \left\langle \frac{dN}{ds}(0), h \right\rangle$$

Prove that

**a.**  $\tau_g = (k_1 - k_2) \cos \phi \sin \phi$ , where  $\phi$  is the angle from  $e_1$  to  $t$  and  $t$  is the unit tangent vector corresponding to the principal curvature  $k_1$ .

**b.** If  $\tau$  is the torsion of  $C$ ,  $n$  is the (principal) normal vector of  $C$  and  $\cos \theta = \langle N, n \rangle$ , then

$$\frac{d\theta}{ds} = \tau - \tau_g$$

**c.** The lines of curvature of  $S$  are characterized by having geodesic torsion identically zero.

**Solution.**

**a.** Since  $t = e_1 \cos \phi + e_2 \sin \phi$ ,  $dN_p(e_1) = -k_1 e_1$ .

Note that

$$\begin{aligned} \frac{dN}{ds}(0) &= dN_p(\alpha'(0)) = dN_p(e_1 \cos \phi + e_2 \sin \phi) = -k_1 e_1 \cos \phi - k_2 e_2 \sin \phi \\ &= -k_1 e_1 \cos \phi - k_1 e_2 \sin \phi + (k_1 - k_2) e_2 \sin \phi \\ &= -k_1 t + (k_1 - k_2) e_2 \sin \phi \end{aligned}$$

Also note that since  $\phi$  is the angle from  $e_1$  to  $t$ , so the angle from  $e_2$  to  $h$  is  $\phi$ . Thus

$$\tau_g = \langle -k_1 t + (k_1 - k_2) e_2 \sin \phi, h \rangle = (k_1 - k_2) \sin \phi \langle e_2, h \rangle = (k_1 - k_2) \cos \phi \sin \phi$$

□

**b.** Note that

$$\frac{d\theta}{ds} = \frac{d\theta}{d\cos \theta} \frac{d\cos \theta}{ds} = -\frac{1}{\sin \theta} \frac{d}{ds} \langle N, n \rangle = -\frac{1}{\sin \theta} \left( \left\langle \frac{dN}{ds}, n \right\rangle + \left\langle N, \frac{dn}{ds} \right\rangle \right)$$

where

$$\left\langle \frac{dN}{ds}, n \right\rangle = \left\langle \frac{dN}{ds}, h \sin \theta \right\rangle = \tau_g \sin \theta, \quad \frac{dn}{ds} = -kt - \tau b, \quad \langle N, b \rangle = \sin \theta$$

Thus

$$\frac{d\theta}{ds} = -\frac{1}{\sin \theta} (\tau_g \sin \theta - \tau \sin \theta) = \tau - \tau_g$$

□

**c.** By the conclusion of **a.** we know that the geodesic curvature of  $C$  is

$$\tau_g = (k_1 - k_2) \cos \phi \sin \phi$$

If  $C$  is a line of curvature of  $S$ , then  $t = e_1$  or  $t = e_2$ , i.e.  $\phi = 0$  or  $\phi = \frac{\pi}{2}$ , leading to  $\tau_g = 0$ .

□

**3-3 Ex.5**

Consider the parametrized surface (Enneper's surface)

$$\mathcal{X}(u, v) = \left( u - \frac{u^3}{3} + uv^2, v - \frac{v^3}{3} + vu^2, u^2 - v^2 \right)$$

and show that

**a.** The coefficients of the first fundamental form are

$$E = G = (1 + u^2 + v^2)^2, F = 0$$

**b.** The coefficients of the second fundamental form are

$$e = 2, g = -2, f = 0$$

**c.** The principal curvatures are

$$k_1 = \frac{2}{(1+u^2+v^2)^2}, k_2 = -\frac{2}{(1+u^2+v^2)^2}$$

**d.** The lines of curvature are the coordinate curves.

**e.** The asymptotic curves are  $u + v = \text{const.}$ ,  $u - v = \text{const.}$

**Solution.**

**a.** Note that

$$\mathcal{X}_u = (1 - u^2 + v^2, 2uv, 2u)$$

$$\mathcal{X}_v = (2uv, 1 - v^2 + u^2, -2v)$$

So we have

$$E = \langle \mathcal{X}_u, \mathcal{X}_u \rangle = (1 - u^2 + v^2)^2 + 4u^2(1 + v^2) = (1 + u^2 + v^2)^2$$

$$G = \langle \mathcal{X}_v, \mathcal{X}_v \rangle = (1 + u^2 + v^2)^2$$

$$F = \langle \mathcal{X}_u, \mathcal{X}_v \rangle = 4uv - 4uv = 0$$

□

**b.** Note that

$$\mathcal{X}_{uu} = (-2u, 2v, 2)$$

$$\mathcal{X}_{vv} = (2u, -2v, -2)$$

$$\mathcal{X}_{uv} = (2v, 2u, 0)$$

So we have

$$\begin{aligned} e &= \frac{(\mathcal{X}_u, \mathcal{X}_v, \mathcal{X}_{uu})}{\sqrt{EG - F^2}} = \frac{2[u^4 + 2u^2(1 + v^2) + (1 + v^2)^2]}{\sqrt{EG - F^2}} \\ &= \frac{2(1 + u^2 + v^2)^2}{(1 + u^2 + v^2)^2} = 2 \end{aligned}$$

Similarly we have  $g = -2$ .

Also,

$$f = \frac{(\mathcal{X}_u, \mathcal{X}_v, \mathcal{X}_{uv})}{\sqrt{EG - F^2}} = \frac{-4u^3v - 4uv - 4uv^3 + 4uv + 4u^3v + 4uv^3}{\sqrt{EG - F^2}} = 0$$

□

**c.** Since  $F = f = 0$ ,

$$k_1 = \frac{e}{E} = \frac{2}{(1 + u^2 + v^2)^2}, k_2 = \frac{g}{G} = \frac{-2}{(1 + u^2 + v^2)^2}$$

□

**d.** Since  $F = f = 0$ , the lines of curvatures are the coordinate curves.

□

**e.** We have known that the principal directions are coordinates, thus

$$dN_p = \begin{bmatrix} k_1 & \\ & k_2 \end{bmatrix}$$

And the equation

$$\langle dN_p(u', v'), (u', v') \rangle = k_1 u'^2 + k_2 v'^2 = \frac{2(u'^2 - v'^2)}{(1 + u'^2 + v'^2)^2} = 0$$

implies that  $u' - v' = 0$  or  $u' + v' = 0$ . So we have  $u - v = \text{const.}$  or  $u + v = \text{const.}$  □

### 3-3 Ex.7(Surfaces of Revolution with Constant Curvature)

$(\phi(v) \cos u, \phi(v) \sin u, \psi(v))$ ,  $\phi \neq 0$  is given as a surface of revolution with constant Gaussian curvature  $K$ . To determine the functions  $\phi$  and  $\psi$ , choose the parameter  $v$  in such a way that  $(\phi')^2 + (\psi')^2 = 1$  (geometrically, this means that  $v$  is the arc length of the generating curve  $(\phi(v), \psi(v))$ ).

Show that

a.  $\phi$  satisfies  $\phi'' + K\phi = 0$  and  $\psi$  is given by

$$\psi = \int \sqrt{1 - (\phi')^2} dv$$

thus,  $0 < u < 2\pi$ , and the domain of  $v$  is such that the last integral makes sense.

b. All surfaces of revolution with constant curvature  $K = 1$  which intersect perpendicularly the plane  $xOy$  are given by

$$\phi(v) = C \cos v, \quad \psi(v) = \int_0^v \sqrt{1 - C^2 \sin^2 v} dv$$

where  $C$  is a constant ( $C = \phi(0)$ ). Determine the domain of  $v$  and draw a rough sketch of the profile of the surface in the  $xz$  plane for the cases  $C = 1$ ,  $C > 1$ ,  $C < 1$ . Observe that  $C = 1$  gives a sphere.

c. All surfaces of revolution with constant curvature  $K = -1$  may be given by one of the following types:

1.  $\phi(v) = C \cosh v, \psi(v) = \int_0^v \sqrt{1 - C^2 \sinh^2 v} dv.$
2.  $\phi(v) = C \sinh v, \psi(v) = \int_0^v \sqrt{1 - C^2 \cosh^2 v} dv.$
3.  $\phi(v) = e^v, \psi(v) = \int_0^v \sqrt{1 - e^{2v}} dv.$

e. The only surfaces of revolution with  $K \equiv 0$  are the right circular cylinder, the right circular cone, and the plane.

#### **Solution.**

a. First note that

$$\begin{aligned} \mathcal{X}_u &= (-\phi(v) \sin u, \phi(v) \cos u, 0) \\ \mathcal{X}_v &= (\phi'(v) \cos u, \phi'(v) \sin u, \psi'(v)) \\ E &= \phi^2(v), F = 0, G = \phi'^2(v) + \psi'^2(v) = 1 \end{aligned}$$

Moreover,

$$\begin{aligned} \mathcal{X}_{uu} &= (-\phi(v) \cos u, -\phi(v) \sin u, 0) \\ \mathcal{X}_{vv} &= (\phi''(v) \cos u, \phi''(v) \sin u, \psi''(v)) \\ \mathcal{X}_{uv} &= (-\phi'(v) \sin u, \phi'(v) \cos u, 0) \\ e &= \frac{(\mathcal{X}_u, \mathcal{X}_v, \mathcal{X}_{uu})}{\sqrt{EG - F^2}} = -\phi(v)\psi'(v) \\ f &= \frac{(\mathcal{X}_u, \mathcal{X}_v, \mathcal{X}_{uv})}{\sqrt{EG - F^2}} = 0 \\ g &= \frac{(\mathcal{X}_u, \mathcal{X}_v, \mathcal{X}_{vv})}{\sqrt{EG - F^2}} = \phi''(v)\psi'(v) - \phi'(v)\psi''(v) \end{aligned}$$

Then

$$\begin{aligned} K &= \frac{eg - f^2}{EG - F^2} = \frac{\phi\psi'(\phi'\psi'' - \phi''\psi')}{\phi^2} \\ &= \frac{\psi'(\phi'\psi'' - \phi''\psi')}{\phi} \end{aligned}$$

Since we know that  $\phi'^2 + \psi'^2 = 1$ , by differentiating this equation we obtain  $\phi'\phi'' + \psi'\psi'' = 0$ , thus

$$K = -\frac{\psi'^2\phi'' + \phi'^2\psi''}{\phi} = -\frac{\phi''}{\phi}$$

Hence  $\phi'' + K\phi = 0$ . Also,

$$\psi = \int \psi' dv = \int \sqrt{1 - \phi'^2} dv$$

□

**b.** We have known that  $\phi + \phi'' = 0$ , whose solution is

$$\phi(v) = C \cos v$$

where  $C$  is a constant. It follows that

$$\psi(v) = \int_0^v \sqrt{1 - \phi'^2} dv = \int_0^v \sqrt{1 - C^2 \sin^2 v} dv$$

It's easy to see that  $v \in (-\arcsin \frac{1}{|C|}, \arcsin \frac{1}{|C|})$ .

□

**c.** Similarly, the equations

$$\phi'' - \phi = 0, \phi'^2 + \psi'^2 = 1$$

have the following three types of solution:

1.  $\phi(v) = C \cosh v, \psi(v) = \int_0^v \sqrt{1 - C^2 \sinh^2 v} dv$ .
2.  $\phi(v) = C \sinh v, \psi(v) = \int_0^v \sqrt{1 - C^2 \cosh^2 v} dv$ .
3.  $\phi(v) = e^v, \psi(v) = \int_0^v \sqrt{1 - e^{2v}} dv$ .

□

**e.** It's easy to see that  $\phi'' = 0$  has the following solutions:

1.  $\phi \equiv C, \psi(v) = v$ , where  $C$  is a constant,  $S$  is a cylinder.
2.  $\phi(v) = kv, \psi(v) = \sqrt{1 - k^2}v$ , where  $k \in (-1, 0) \cup (0, 1)$ ,  $S$  is a cone.
3.  $\phi(v) = v, \psi \equiv C$ , where  $C$  is a constant,  $S$  is a plane.

□

### 3-3 Ex.13

Let  $F : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  be the map defined by  $F(p) = cp$ ,  $p \in \mathbb{R}^3$ ,  $c$  a positive constant. Let  $S \subset \mathbb{R}^3$  be a regular surface and set  $F(S) = \bar{S}$ . Show that  $\bar{S}$  is a regular surface, and find formulas relating the Gaussian and mean curvatures,  $K$  and  $H$ , of  $S$  with the Gaussian and mean curvatures,  $\bar{K}$  and  $\bar{H}$ , of  $\bar{S}$ .

**Solution.**

Suppose  $S$  is parametrized by  $\mathcal{X}(u, v)$ , then  $\bar{S}$  is parametrized by  $\bar{\mathcal{X}}(u, v) = c\mathcal{X}(u, v)$ . It follows that

$$\bar{\mathcal{X}}_u(u, v) = c\mathcal{X}_u(u, v), \quad \bar{\mathcal{X}}_v(u, v) = c\mathcal{X}_v(u, v)$$

$$\bar{\mathcal{X}}_{uu}(u, v) = c\mathcal{X}_{uu}(u, v), \quad \bar{\mathcal{X}}_{vv}(u, v) = c\mathcal{X}_{vv}(u, v)$$

Thus,

$$\bar{E} = c^2 E, \quad \bar{F} = c^2 F, \quad \bar{G} = c^2 G$$

And

$$\bar{e} = \frac{(\bar{\mathcal{X}}_u, \bar{\mathcal{X}}_v, \bar{\mathcal{X}}_{uu})}{\sqrt{\bar{E}\bar{G} - \bar{F}^2}} = \frac{(c\mathcal{X}_u, c\mathcal{X}_v, c\mathcal{X}_{uu})}{c^2\sqrt{EG - F^2}} = ce$$

Similarly, we have  $\bar{f} = cf, \bar{g} = cg$ . Finally we obtain

$$\bar{K} = \frac{\bar{e}\bar{g} - \bar{f}^2}{\bar{E}\bar{G} - \bar{F}^2} = \frac{c^2(eg - f^2)}{c^4(EG - F^2)} = \frac{K}{c^2}$$

$$\bar{H} = \frac{1}{2} \frac{\bar{e}\bar{G} - 2\bar{f}\bar{F} + \bar{g}\bar{E}}{\bar{E}\bar{G} - \bar{F}^2} = \frac{1}{2} \frac{c^3(eG - 2fF + gE)}{c^4(EG - F^2)} = \frac{H}{c}$$

□

**3-3 Ex.16**

Show that a surface which is compact has an elliptic point.

**Solution.**

Since  $S$  is compact,  $S$  is bounded. Therefore, there are spheres of  $\mathbb{R}^3$ , centered in a fixed point  $O \in \mathbb{R}^3$ , such that  $S$  is contained in the interior of the region bounded by any of them. Consider the set of all such spheres. Let  $r$  be the infimum of their radius and let  $\Sigma \subset \mathbb{R}^3$  be a sphere of radius  $r$  centered in  $O$ . It is clear that  $\Sigma$  and  $p$  has only one common point, say  $p$ , since  $S$  is compact. The tangent plane to  $\Sigma$  at  $p$  has only the common point  $p$  with  $S$ , in a neighborhood of  $p$ . Therefore,  $\Sigma$  and  $S$  are tangent at  $p$ . By observing the normal sections at  $p$ , it is easy to conclude that any normal curvature of  $S$  at  $p$  is greater than or equal to the corresponding curvature of  $\Sigma$  at  $p$ . Therefore,  $K_S(p) \geq K_\Sigma(p) > 0$ , and  $p$  is an elliptic point, as we desired. □

**3-3 Ex.21**

Let  $S$  be a surface with orientation  $N$ . Let  $V \subset S$  be an open set in  $S$  and let  $f : V \subset S \rightarrow \mathbb{R}$  be any nowhere-zero differentiable function in  $V$ . Let  $v_1$  and  $v_2$  be two differentiable(tangent) vector fields in  $V$  such that at each point of  $V$ ,  $v_1$  and  $v_2$  are orthonormal and  $v_1 \wedge v_2 = N$ .

a. Prove that the Gaussian curvature  $K$  of  $V$  is given by

$$K = \frac{\langle d(fN)(v_1) \wedge d(fN)(v_2), fN \rangle}{f^3}$$

b. Apply the above result to show that if  $f$  is the restriction of

$$\sqrt{\frac{x^2}{a^4} + \frac{y^2}{b^4} + \frac{z^2}{c^4}}$$

to the ellipsoid

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1$$

then the Gaussian curvature of the ellipsoid is

$$K = \frac{1}{a^2 b^2 c^2} \frac{1}{f^4}$$

**Solution.**

a. By definition, for each  $w \in T_p(S)$ , choose  $\alpha : I \rightarrow S$  such that  $\alpha(0) = p, \alpha'(0) = w$ , then

$$\begin{aligned} d(f(p)N_p)(w) &= \frac{d}{dt}(f(\alpha(t))N(\alpha(t)))|_{t=0} \\ &= df_p(w)N_p + f(p)dN_p(w) \end{aligned}$$

Note that  $N_p$  is perpendicular to  $T_p(S)$ , it follows

$$\begin{aligned} \frac{\langle d(fN)(v_1) \wedge d(fN)(v_2), fN \rangle}{f^3} &= \frac{\langle (df_p(v_1)N_p + f(p)dN_p(v_1)) \wedge (df_p(v_2)N_p + f(p)dN_p(v_2)), fN \rangle}{f^3} \\ &= \frac{\langle f dN_p(v_1) \wedge f dN_p(v_2), fN \rangle}{f^3} \\ &= \frac{f^3 \langle dN_p(v_1) \wedge dN_p(v_2), N \rangle}{f^3} \\ &= \det(dN_p) \langle v_1 \wedge v_2, N \rangle = K \end{aligned}$$

□

b. By differentiating the equation

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1$$



we obtain

$$\frac{xx'}{a^2} + \frac{yy'}{b^2} + \frac{zz'}{c^2} = 0$$

this implies

$$n = \left( \frac{x}{a^2}, \frac{y}{b^2}, \frac{z}{c^2} \right)$$

is a normal vector at  $(x, y, z)$ . And  $f = \|n\|$ .

So we have

$$N = \frac{n}{f}$$

Then choose  $v_1 = (v_{11}, v_{12}, v_{13}), v_2 = (v_{21}, v_{22}, v_{23})$  such that  $v_1 \wedge v_2 = N$ , it follows

$$\begin{aligned} K &= \frac{\langle dfN(v_1) \wedge dfN(v_2), fN \rangle}{f^3} = \frac{\langle (\frac{v_{11}}{a^2}, \frac{v_{12}}{b^2}, \frac{v_{13}}{c^2}) \wedge (\frac{v_{21}}{a^2}, \frac{v_{22}}{b^2}, \frac{v_{23}}{c^2}), n \rangle}{f^3} \\ &= \frac{1}{f^3} \begin{vmatrix} \frac{v_{11}}{a^2} & \frac{v_{12}}{b^2} & \frac{v_{13}}{c^2} \\ \frac{v_{21}}{a^2} & \frac{v_{22}}{b^2} & \frac{v_{23}}{c^2} \\ \frac{x}{a^2} & \frac{y}{b^2} & \frac{z}{c^2} \end{vmatrix} = \frac{1}{a^2 b^2 c^2 f^3} \begin{vmatrix} v_{11} & v_{12} & v_{13} \\ v_{21} & v_{22} & v_{23} \\ x & y & z \end{vmatrix} \\ &= \frac{1}{a^2 b^2 c^2 f^3} \langle N, (x, y, z) \rangle \\ &= \frac{1}{a^2 b^2 c^2 f^4} \langle (\frac{x}{a^2}, \frac{y}{b^2}, \frac{z}{c^2}), (x, y, z) \rangle \\ &= \frac{1}{a^2 b^2 c^2 f^4} \end{aligned}$$

□

### 3-3 Ex.22(The Hessian.)

Let  $h : S \rightarrow \mathbb{R}$  be a differentiable function on a surface  $S$ , and let  $p \in S$  be a critical point of  $h$  (i.e.  $dh_p = 0$ ).

Let  $w \in T_p(S)$  and let

$$\alpha : (-\epsilon, \epsilon) \rightarrow S$$

be a parametrization curve with  $\alpha(0) = p$ ,  $\alpha'(0) = w$ . Set

$$H_p h(w) = \frac{d^2(h \circ \alpha)}{dt^2} \Big|_{t=0}$$

**a.** Let  $\mathcal{X} : U \rightarrow S$  be a parametrization of  $S$  at  $p$ , and show that (the fact that  $p$  is a critical point of  $h$  is essential here)

$$H_p h(u' \mathcal{X}_u + v' \mathcal{X}_v) = h_{uu}(p)u'^2 + 2h_{uv}(p)u'v' + h_{vv}(p)v'^2$$

Conclude that  $H_p h : T_p(S) \rightarrow \mathbb{R}$  is a well-defined (i.e. it does not depend on the choice of  $\mathcal{X}$ ) quadratic form on  $T_p(S)$ .  $H_p h$  is called the Hessian of  $h$  at  $p$ .

**b.** Let  $h : S \rightarrow \mathbb{R}$  be the height function of  $S$  relative to  $T_p(S)$ ; that is,  $h(q) = \langle q - p, N(p) \rangle$ ,  $q \in S$ . Verify that  $p$  is a critical point of  $h$  and thus that the Hessian  $H_p h$  is well defined. Show that if  $w \in T_p(S)$ ,  $|w| = 1$ , then

$$H_p h(w) = \text{normal curvature at } p \text{ in the direction of } w$$

Conclude that the Hessian at  $p$  of the height function relative to  $T_p(S)$  is the second fundamental form of  $S$  at  $p$ .

#### **Solution.**

**a.** Let  $h(u, v) = h \circ \alpha$ , observe that

$$\frac{d}{dt}(h \circ \alpha) \Big|_{t=0} = dh_p(w) = h_u u' + h_v v'$$

$$\frac{d^2}{dt^2}(h \circ \alpha)|_{t=0} = \frac{d}{dt}(h_u u' + h_v v') = h_u u'' + h_{uu} u'^2 + h_v v'' + h_{vv} v'^2 + 2h_{uv} u' v'$$

Note that  $dh_p = (h_u, h_v) = 0$ , so

$$\frac{d^2}{dt^2}(h \circ \alpha)|_{t=0} = h_{uu} u'^2 + 2h_{uv} u' v' + h_{vv} v'^2$$

which doesn't depend the choice of  $\mathcal{X}$ . □

**b.** Consider  $h_\alpha(t) = h \circ \alpha$ , where  $\alpha(0) = p, \alpha'(0) = w$ , note that

$$h'_\alpha(0) = \langle \alpha'(0), N(p) \rangle = 0$$

Thus  $dh_p(w) = h'_\alpha(0) = 0$ . Since  $w$  is arbitrary,  $dh_p = 0$ . So  $H_p h$  is well defined.

Observe that

$$H_p h(w) = \frac{d^2(h \circ \alpha)}{dt^2}|_{t=0} = \frac{d}{dt} \langle \alpha'(t), N(p) \rangle|_{t=0} = \langle \alpha''(0), N_p \rangle = k_n(w)$$

□