

**MAS212A Final Exam**  
**(13:00 - 15:45 (165 minutes), December 12th, 2023)**

**Direction:** You should justify all your answers properly, unless said otherwise. Total score= 200. (8 problems on 3 pages)

**Problem 1.** (16 points max) Read the questions and write (True) if the given statement is always true. Otherwise write (False). No justifications needed. You will get 2 points for each correct answer, but (-1) point for each wrong answer.

- (1) Let  $T : V \rightarrow V$  be a linear operator on a finite dimensional vector space over a field  $F$  such that  $0 \in F$  is a characteristic value of  $T$ . Then  $T$  is not surjective.
- (2) Let  $T : V \rightarrow V$  be a linear operator on a finite dimensional vector space over a field  $F$  such that its minimal polynomial is irreducible in  $F[x]$ . Then  $T$  is triangulable.
- (3) Every real symmetric matrix over  $\mathbb{R}$  is similar to a diagonal matrix with the entries in  $\mathbb{R}$ .
- (4) If  $A$  is an  $n \times n$  matrix satisfying  $A^2 = I$  over a field  $F$  of characteristic 0, then  $A$  is diagonalizable.
- (5) If  $A$  is an  $n \times n$  matrix satisfying  $A^4 = I$  over  $F = \mathbb{R}$ , but  $A^2 \neq -I$ , then  $A$  is diagonalizable.
- (6) If  $A$  is an  $n \times n$  matrix satisfying  $A^3 = I$  over  $F = \mathbb{C}$ , then  $A$  is diagonalizable.
- (7) If  $A$  is an  $n \times n$  matrix satisfying  $A^2 = A$  over a field  $F$  of characteristic 0, then considered as a linear transform  $A : F^n \rightarrow F^n$ , we can write  $F^n = \text{Null}(A) \oplus \text{Range}(A)$ .
- (8) If  $A$  is an  $n \times n$  matrix that is triangulable over  $\mathbb{C}$ , then it is also triangulable over  $\mathbb{R}$ .

**Problem 2.** (40 points - 10 each) Let  $V$  be a finite dimensional inner product space over  $F = \mathbb{C}$ , and let  $T : V \rightarrow V$  be a self-adjoint (=hermitian) linear operator, i.e.  $T = T^*$ . Answer the following questions.

- (1) If  $c \in F$  is a characteristic value of  $T$ , then prove that  $c$  is necessarily a real number.
- (2) Prove that  $\det(T)$  is necessarily a real number.
- (3) Let  $f(x) \in F[x]$  be the characteristic polynomial of  $T$ . Prove that in fact all the coefficients of  $f(x)$  are real, so that  $f(x) \in \mathbb{R}[x]$ .
- (4) Prove that the minimal polynomial  $m(x)$  of  $T$  is a product of distinct linear polynomials in  $\mathbb{R}[x]$ .

More problems on the next page.

**Problem 3.** (20 points) Let  $F$  be an algebraically closed field and let  $V = F^5$ . Let  $T : V \rightarrow V$  be an  $F$ -linear operator. Let  $f(x) = (x - 2)^2(x - 3)^3$  be the characteristic polynomial of  $T$ .

List all possible Jordan forms you can possibly obtain for  $T$ .

**Problem 4.** (24 points - 12 each) For  $n \geq 1$ , consider the unitary group  $U(n) \subset \mathbb{C}^{n \times n}$ , i.e. the  $n \times n$  matrices  $A$  over  $\mathbb{C}$  such that  $AA^* = A^*A = I_n$ .  $U(n)$  can be seen also as a  $\mathbb{R}$ -subspace of  $\mathbb{R}^{2n^2}$  via the identification  $\mathbb{C} = \mathbb{R}^2$ , sending  $z = a + ib$  to  $(a, b)$ .

For some  $\epsilon > 0$ , consider the open interval  $J := (-\epsilon, \epsilon) \subset \mathbb{R}$ . Suppose  $\gamma : J \rightarrow \mathbb{R}^{2n^2}$  is a differentiable curve such that  $\gamma(t) \in U(n)$  for all  $t \in J$ , while  $\gamma(0) = I_n$ . For such a curve, a tangent vector at  $t = 0$  is given by  $\gamma'(0) = \frac{d}{dt}\gamma(t)|_{t=0}$ .

A tangent vector to  $U(n)$  at  $I_n$  is defined to be an object of the form  $\gamma'(0)$  for some such  $\gamma$ .

We denote by  $u(n)$  the set of all tangent vectors to  $U(n)$  at  $I_n$ .

- (1) Prove that each vector in  $u(n)$  is anti-self-adjoint (=anti-hermitian) matrices, i.e.  $B^* = -B$  in  $\mathbb{C}^{n \times n}$ .
- (2) It is known that  $u(n)$  is precisely the set of all anti-self-adjoint matrices (No need to prove it). Compute the dimension of  $u(n)$  as a real vector space in terms of  $n$ .

**Problem 5.** (20 points - 5 each) Let  $V$  be a finite dimensional vector space over a field  $F$ , and let  $T : V \rightarrow V$  be a linear operator. Let  $W \subset V$  be a proper nonzero  $T$ -invariant subspace. Answer the following questions.

- (1) Suppose that there exists a  $T$ -invariant complementary subspace  $W' \subset V$  such that  $V = W \oplus W'$ . Prove that  $W$  is  $T$ -admissible.
- (2) Deduce the converse of (1) using the cyclic decomposition theorem.
- (3) This time, suppose  $F = \mathbb{R}$  or  $\mathbb{C}$  and  $V$  is an inner product space. Let  $W^\perp$  be the orthogonal complement of  $W$  in  $V$ . Prove that  $W^\perp$  is an  $T^*$ -invariant subspace of  $V$ .
- (4) Continue to suppose that  $V$  is an inner product space over  $F = \mathbb{R}$  or  $\mathbb{C}$ . Suppose that  $T$  is self-adjoint. Prove that a nonzero proper  $T$ -invariant subspace  $W \subset V$  always has a  $T$ -invariant complement.

More problems on the next page.



**Problem 6.** (30 points - 10 each) Let  $V$  be a finite dimensional vector space over a field  $F$  and let  $T : V \rightarrow V$  be a linear operator, with the minimal polynomial

$$m(x) = x^r + a_{r-1}x^{r-1} + \cdots + a_1x + a_0 \in F[x].$$

Suppose that there exists a nonzero  $\alpha \in V$  such that  $V$  is the cyclic space  $Z(\alpha, T)$ . Answer the following questions.

- (1) Prove that the characteristic polynomial  $f(x)$  of  $T$  is also  $m(x)$ .
- (2) What is the companion matrix (rational form) of  $T$ ?
- (3) Prove that  $\text{Tr}(T) = -a_{r-1}$ , and  $\det(T) = (-1)^r a_0$ .

**Problem 7.** (30 points - 10 each) Let

$$A = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix},$$

which can be considered as a  $2 \times 2$  matrix over various different fields  $F$ . For a given field  $F$ , let  $V = F^2$  and regard  $A : V \rightarrow V$  as a  $F$ -linear transformation. Let  $f(x)$  be its characteristic polynomial and  $m(x)$  its minimal polynomial. Answer the following questions.

- (1) Suppose  $F = \mathbb{R}$ . Compute  $f(x)$  and  $m(x)$ , and determine if  $A$  is (a) diagonalizable, (b) triangulable but not diagonalizable, or (c) not triangulable. In case of (a) / (b), find a matrix  $P$  such that  $P^{-1}AP$  is diagonal / upper triangular.
- (2) Suppose  $F = \mathbb{C}$ . Repeat the same questions in this case.
- (3) Suppose  $F = \mathbb{Z}/2$ , the finite field with two elements  $\{\bar{0}, \bar{1}\}$ . Repeat the same questions in this case.

**Problem 8.** (20 points - 5 each) Let  $V$  be a finite dimensional inner product space over  $F = \mathbb{R}$  or  $\mathbb{C}$  and let  $U : V \rightarrow V$  be a linear operator. Answer the following questions.

- (1) Suppose  $U$  is a normal operator. Prove that  $c \in F$  is a characteristic value of  $U$  with a characteristic vector  $\alpha \in V$  if and only if  $\bar{c} \in F$  is a characteristic value of  $U^*$  with  $\alpha$  as a characteristic vector.
- (2) Suppose  $U$  is a unitary operator. Prove that  $U$  is a normal operator, while prove that (i) all the characteristic values and (ii)  $\det(U)$  are complex numbers  $z$  whose size  $|z| = 1$ .
- (3) Suppose  $U$  is a self-adjoint unitary operator. Enumerate all possible characteristic values  $c$  of  $U$ .
- (4) For  $F = \mathbb{C}$ , give concrete example of a normal operator that is neither self-adjoint, nor unitary.

**End of the Exam.**