

Removal of Direct Left Recursion

For an arbitrary context-free grammar, rule applications can generate terminal symbols in any position and in any order in a derivation. For example, derivations in G_1 generate terminals to the right of the variable, while derivations in G_2 generate terminals on both sides.

$$\begin{array}{ll} G_1: S \rightarrow Aa & G_2: S \rightarrow aAb \\ A \rightarrow Aa \mid b & A \rightarrow aAb \mid \lambda \end{array}$$

Each normal form adds structure to the generation of the terminals in a derivation. The derivation is built in a left-to-right manner with one terminal added on each rule application. In a derivation $S \Rightarrow^+ uAv$, where A is the leftmost variable, the string u is called the *terminal prefix* of the sentential form. Our objective is to construct a grammar in which the terminal prefix increases with each rule application.

The grammar G_1 provides an example of rules that do the exact opposite of what is desired. The variable A remains as the leftmost symbol until the derivation terminates with the application of the rule $A \rightarrow b$. Consider the derivation of the string *baaaa*.

$$\begin{aligned} S &\Rightarrow Aa \\ &\Rightarrow Aaa \\ &\Rightarrow Aaaa \\ &\Rightarrow baaaa \end{aligned}$$

Derivations of the left-recursive rule $A \rightarrow Aa$ generate a string of *a*'s but do not increase the length of the terminal prefix. A derivation of this form is called *directly left-recursive*. The prefix grows only when the non-left-recursive rule is applied.

An important component in the transformation to Greibach normal form is the ability to remove left-recursive rules from a grammar. The technique for replacing left-recursive rules is illustrated by the following examples.

$$\begin{array}{lll} \text{a) } A \rightarrow Aa \mid b & \text{b) } A \rightarrow Aa \mid Ab \mid b \mid c & \text{c) } A \rightarrow AB \mid BA \mid a \\ & & B \rightarrow b \mid c \end{array}$$

The strings generated by these rules are ba^* , $(b \cup c)(a \cup b)^*$, and $(b \cup c)^*a(b \cup c)^*$, respectively. The left recursion builds a string to the right of the recursive variable. The recursive process is terminated by an A rule that is not left-recursive. To build the string in a left-to-right manner, the nonrecursive rule is applied first and the remainder of the string is generated by right recursion. The following rules generate the same strings as the previous ones without using direct left recursion.

$$\begin{array}{lll} \text{a) } A \rightarrow bZ \mid b & \text{b) } A \rightarrow bZ \mid cZ \mid b \mid c & \text{c) } A \rightarrow BAZ \mid aZ \mid BA \mid a \\ Z \rightarrow aZ \mid a & Z \rightarrow aZ \mid bZ \mid a \mid b & Z \rightarrow BZ \mid B \\ & & B \rightarrow b \mid c \end{array}$$

The rules in (a) generate ba^* with left recursion replaced by right recursion. With these rules the derivation of $baaa$ increases the length of the terminal prefix with each rule application

$$\begin{aligned} A &\Rightarrow bZ \\ &\Rightarrow baZ \\ &\Rightarrow baaZ \\ &\Rightarrow baaa \end{aligned}$$

The removal of the direct left recursion requires the addition of a new variable to the grammar. This variable introduces a set of right-recursive rules. Direct right recursion causes the recursive variable to occur as the rightmost symbol in the derived string.

To remove direct left recursion, the A rules are divided into two categories: the left-recursive rules

$$A \rightarrow Au_1 \mid Au_2 \mid \dots \mid Au_j$$

and the rules

$$A \rightarrow v_1 \mid v_2 \mid \dots \mid v_k,$$

in which the first symbol of each v_i is not A . A leftmost derivation from these rules consists of applications of left-recursive rules followed by the application of a rule $A \rightarrow v_i$, which ends the direct left recursion. Using the technique illustrated in the previous examples, construct new rules that initially generate v_i and then produce the remainder of the string using right recursion.

The A rules

$$A \rightarrow v_1 \mid \dots \mid v_k \mid v_1Z \mid \dots \mid v_kZ$$

initially place one of the v_i 's on the left-hand side of the derived string. If the string contains a sequence of u_i 's, they are generated by the Z rules

$$Z \rightarrow u_1Z \mid \dots \mid u_jZ \mid u_1 \mid \dots \mid u_j$$

using right recursion.

Example 4.7.1

A set of rules is constructed to generate the same strings as

$$A \rightarrow Aa \mid Aab \mid bb \mid b$$

without using direct left recursion. These rules generate $(b \cup bb)(a \cup ab)^*$. The direct recursion in derivations using the original rules is terminated by applying $A \rightarrow b$ or $A \rightarrow bb$. To build these strings in a left-to-right manner, we use the A rules

$$A \rightarrow bb \mid b \mid bbZ \mid bZ$$

to generate the leftmost symbols of the string. The Z rules generate $(a \cup ab)^+$ using right-recursive rules

$$Z \rightarrow aZ \mid abZ \mid a \mid ab.$$