

**Building a Local RAG Architecture** 

Ву

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### **RAG Architecture**

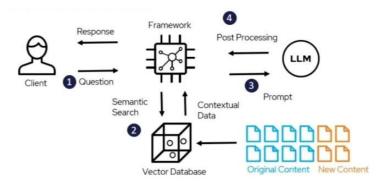


Figure 1 Retrieval Augmented Generation Architecture

Building a Retrieval Augmented Generation (RAG) system was an enlightening journey that began with the relatively straightforward use of Open WebUI from the second assignment and culminated in coding a RAG system from scratch. This process provided a comprehensive understanding of how RAG systems work and their potential applications.

The initial challenge was setting up the required libraries. Dependency conflicts, particularly with tokenizers and transformers versions, caused some headaches. Troubleshooting pip install commands and configuring the environment properly took considerable effort, teaching valuable lessons in package management and version compatibility.

When it came to coding, setting up the ChromaDB vector database was relatively simple. However, getting the embedding model to work smoothly required some trial and error. Error messages about missing modules and request timeouts were common obstacles that needed to be overcome through careful parameter adjustments. This process deepened the understanding of how vector databases and embedding models function within a RAG system.

Creating the knowledge base with multiple PDF documents was another significant task. Selecting and processing the right files took time but ultimately contributed to a more robust system. This step highlighted the importance of curating relevant information for effective RAG performance.

One of the more complex aspects was customizing prompts and adjusting the top-k parameter. While not overly complicated, it required thorough research of documentation and experimentation to achieve optimal results. This experience provided insights into fine-tuning RAG systems for specific use cases.

Transitioning from an ephemeral to a persistent database was surprisingly smooth, which was a relief given the complexity of other parts of the project. This step demonstrated the importance of data persistence in practical RAG applications.

The assignment also introduced key concepts such as vector databases, embedding models, and the advantages they offer over traditional keyword-based searches. Learning about semantic similarity and how it enhances the retrieval process was particularly enlightening.

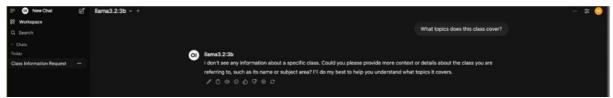
Working with libraries like llama-index and Hugging Face's transformers provided hands-on experience with state-of-the-art NLP tools. Understanding how these components interact to create a functional RAG system was both challenging and rewarding.

Despite the challenges, seeing the RAG system come together and produce relevant answers based on the custom knowledge base was incredibly satisfying. The project provided valuable hands-on experience in working with cutting-edge AI technologies and demonstrated the power of combining large language models with custom knowledge bases.

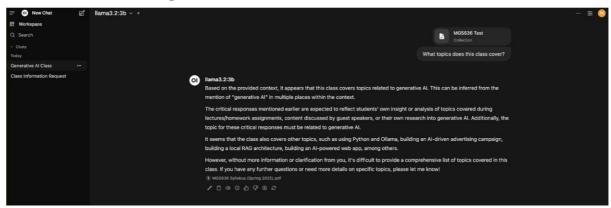
Overall, this assignment offered a comprehensive learning experience in AI system development, from troubleshooting technical issues to fine-tuning system parameters for optimal performance. It highlighted the potential of RAG systems in enhancing AI applications with domain-specific knowledge and provided a solid foundation for further exploration in this rapidly evolving field.

# **RAG Using Open-WebUI**

## 1. Without the Knowledge Base



## 2. With the Knowledge Base

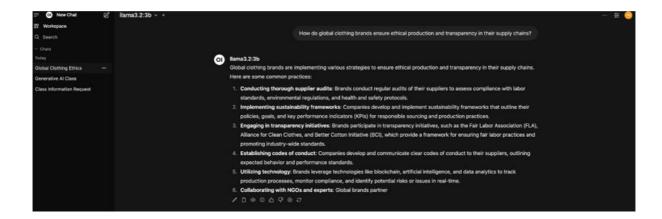


Aspect	Without Collection	With Collection
Response	No information provided	Detailed information about class
Content	about specific class	topics (generative AI, Python, Ollama,
		Al projects)
Response Length	Very brief	Longer and more informative
Confidence	Uncertain, asks for more	Confident, provides specific details
	context	
Source Indication	None	Shows "MG5636 Test" collection tag
Performance	Poor - unable to answer	Good - provides relevant and specific
	query	information

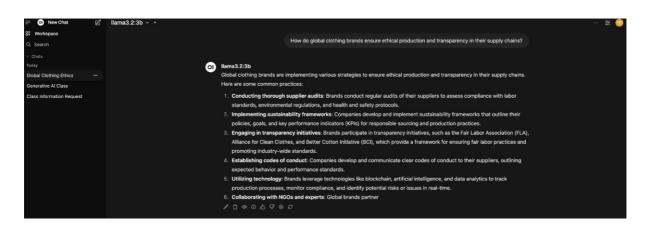
## 3. Knowledge Collection with 3 Documents



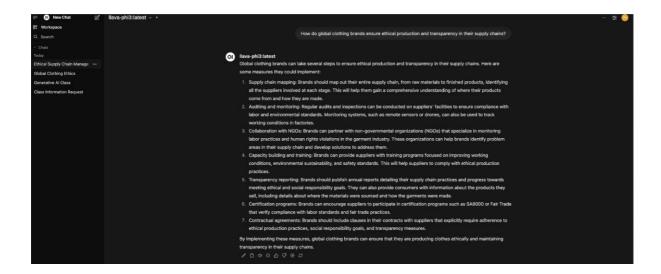
## 4. Without Knowledge Collection



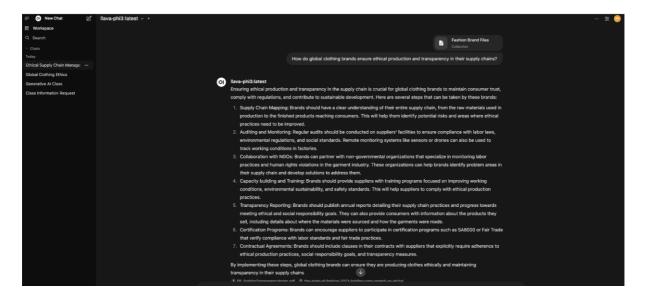
## 5. With Knowledge Collection



## 6. Trying the Same Response with Different LLM without Knowledge Collection



## 7. Trying the Same Response with Different LLM with Knowledge Collection

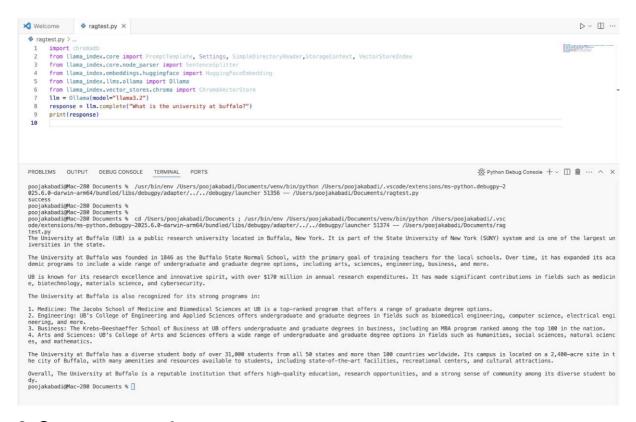


Looking at the outputs the differences suggest that the Llama-3.2 model may be more sensitive to additional context provided by knowledge collections, while Phi 3 model maintains a more consistent output regardless of additional knowledge sources for this prompt.

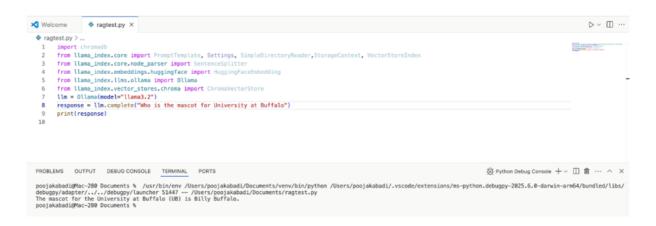
## **RAG Using Python**

To production-ready for an enterprise, consumer facing application, including RAG give us more flexibility for which we would be creating our own RAG to meet our needs.

## 1. Basic RAG run for the 1st time using Llama3.2 model



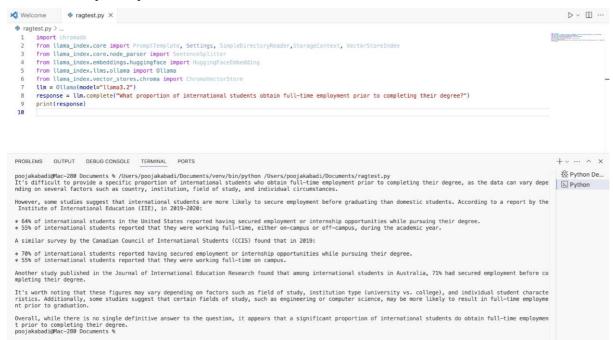
#### 2. Custom prompt 1



#### 3. Custom prompt 2



#### 4. Custom prompt 3



To gain a comprehensive understanding of various Large Language Models (LLMs), conducted experiments using different models through Open-WebUI. Building on our previous experience with the Phi-3 LLM, we now aim to evaluate its performance in a scripted environment. This approach allows us to compare the capabilities of different models, including Phi-3, in both interactive web interfaces and programmatic implementations. By doing so, we can assess how these models

perform across different contexts and use cases, providing valuable insights into their strengths,

limitations, and potential applications in various scenarios.

#### 5. Basic RAG run for the 1st time using Phi3 model

```
Import chromadb

from llama_index.core import PromptTemplate, Settings, SimpleDirectoryReader, StorageContext, VectorStoreIndex

from llama_index.core.node_parser import SentenceSplitter

from llama_index.embeddings.huggingface import HuggingFaceEmbedding

from llama_index.embeddings.huggingface import HuggingFaceEmbedding

from llama_index.vector_stores.chroma import ChromaVectorStore

llm = Ollama_index.vector_stores.chroma import ChromaVectorStore

llm = Ollama_index.vector_stores.chroma import ChromaVectorStore

response = llm.complete("What is the university at buffalo?"")

print(response)

PROBLEMS OUTPUT DEBUG CONSOLE TERMINAL PORTS

poolakabadigMac-280 Documents *, //Jsers/poojakabadi/Documents/poojakabadi/Documents/ragtest.py

The University of Buffalo, also known as SLMN Suffalo or UB for short, is a public research university in Ambrest and Buffalo, New York. It was established on April 17th, 1962 following the merger between Adelphi College (founded in 1874) and University of Buffalo Academy of Fine Arts (established in 1937). With campuses located across both Ambrest County and Frice County near Niagara Falls, UB offers a wide array of undergraduate programs as well as graduate degrees. Notably known for its C ollege of Arts & Sciences with strengths in business administration, social welfare and policy studies among other disciplines. The university also boasts the Newhou se School which is dedicated to journalism education along with various research centers including those focused on cancer treatment and environmental sustainability . As an institution within the State University of New York system (SUNY), UB offers affordable tuition rates, generous financial aid opportunities as well as many study-abroad programs worldwide. The university's alumni include a range of professionals across various fields including academia, entertainment and technology sectors among others.

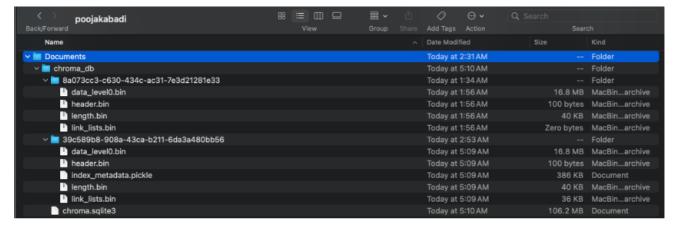
It's important to note that while the University of Buffalo is sometimes referred to in shorthand or colloquial terms as UB
```

#### 6. Simple RAG pipeline

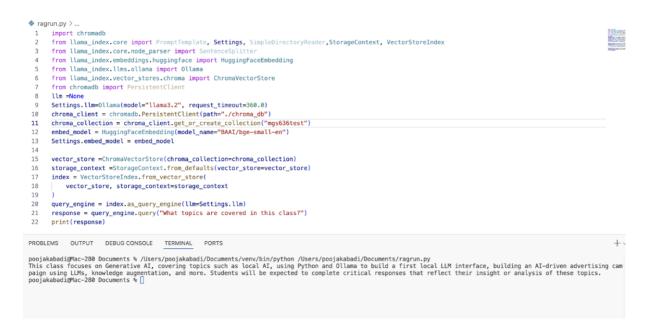
```
import chromadb
        from llama_index.core import PromptTemplate, Settings, SimpleDirectoryReader,StorageContext, VectorStoreIndex
        from llama_index.core.node_parser import Sent
        from llama_index.embeddings.huggingface import HuggingFaceEmbedding from llama_index.llms.ollama import Ollama
        from llama_index.vector_stores.chroma import ChromaVectorStore
        Settings.llm=Ollama(model="llama3.2", request_timeout=360.0)
chroma_client = chromadb.EphemeralClient()
        chroma_collection = chroma_client.create_collection("mgs636test")
embed_model = HuggingFaceEmbedding(model_name="BAAI/bge-small-en")
        Settings.embed model = embed model
        documents = SimpleDirectoryReader("./data/").load_data()
         vector_store =ChromaVectorStore(chroma_collection=chroma_collection)
        storage context =StorageContext.from defaults(vector store=vector store)
        index = VectorStoreIndex. from\_documents (documents, storage\_context=storage\_context, \ embed\_model=embed\_model) \\ query\_engine = index.as\_query\_engine(llm=Settings.llm)
        response = query_engine.query("What topics are covered in this class?")
        print(response)
PROBLEMS OUTPUT DEBUG CONSOLE TERMINAL PORTS
poojakabadi@Mac-280 Documents % /Users/poojakabadi/Documents/venv/bin/python /Users/poojakabadi/Documents/ragtest.py
The class covers Generative AI, with topics including local AI, LLMs (Large Language Models), RAG architecture for knowledge augmentation, and building AI-powered we
b apps.
poojakabadi@Mac-280 Documents % [
```

#### 7. Efficient RAG pipeline and generated folder contents

```
ragcreate.py > ..
          from llama index.core import PromotTemplate. Settings. SimpleDirectoryReader.StorageContext. VectorStoreIndex
          from llama_index.core.moort Promptlemplate, Settings, SimpleDirector
from llama_index.core.node_parser import SentenceSplitter
from llama_index.embeddings.huggingface import HuggingFaceEmbedding
from llama_index.llms.ollama import Ollama
from llama_index.vector_stores.chroma import ChromaVectorStore
           from chromadb import PersistentClient
          Settings.llm=Ollama(model="llama3.2", request_timeout=360.0)
          chroma_client = chromadb.PersistentClient(path="./chroma_db")
chroma_collection = chroma_client.create_collection("mgs636test")
embed_model = HuggingFaceEmbedding(model_name="BAAI/bge-small-en")
          Settings.embed_model = embed_model
          documents = SimpleDirectoryReader("./data/").load data()
 15
           vector_store =ChromaVectorStore(chroma_collection=chroma_collection)
          storage context =StorageContext.from defaults(vector store=vector store)
          index = VectorStoreIndex.from_documents(documents,storage_context=storage_context, embed_model=embed_model)
query_engine = index.as_query_engine(llm=Settings.llm)
           response = query_engine.query("What topics are covered in this class?")
          print(response)
PROBLEMS OUTPUT DEBUG CONSOLE TERMINAL PORTS
poojakabadi@Mac-280 Documents % /Users/poojakabadi/Documents/venv/bin/python /Users/poojakabadi/Documents/ragmodifytest.py
Generative AI is a primary focus of this class, with topics including local AI, building AI-driven advertising campaigns, building a local RAG architecture for knowledge
augmentation, and AI-powered web apps. Additionally, students will be expected to complete one critical response on topics related to generative AI throughout the semeste
poojakabadi@Mac-280 Documents % 🛚
```



#### 8. Running the created RAG pipeline



### 9. Custom RAG pipeline

```
ragcreateown.py > .
               import os
              from llama_index.core import PromptTemplate, Settings, SimpleDirectoryReader, StorageContext, VectorStoreIndex from llama_index.core.node_parser import SentenceSplitter
            from llama_index.core.node_parser import SentenceSplitter
from llama_index.embeddings.huggingTace import HuggingFaceEmbedding
from llama_index.llms.ollama import Ollama
from llama_index.vector_stores.chroma import ChromaVectorStore
from chromadb import PersistentClient
from llama_index.readers.file import PDFReader
pdf_reader = PDFReader()
input_dir = "data"
pdf_files = [f for f in os.listdir(input_dir) if f.endswith(".pdf")]
print(f"Total PDF files: {len(pdf_files)}\n")
doc_summaries = []
documents=[]
for pdf_file in pdf_files:
               documents-ip
for pdf_file in pdf_files:
    file_path = os.path.join(input_dir, pdf_file)
    docs = pdf_reader.load_data(file_path)
                   num_pages = len(docs)
doc_summaries.append((pdf_file, num_pages))
 20
                     documents.extend(docs)
             documents.extend(docs)
for name, pages in doc_summaries:
    print(f"{name}: {pages} page(s)")
Settings.llm = Ollama(model="llama3.2", request_timeout=360.0)
Settings.embed_model = HuggingFaceEmbedding(model_name="BAAI/bge-small-en")
chroma_client = chromadb.PersistentClient(path="./chroma_db")
            chroma_client = chromado.PersistentClient(path="./chroma_db")
chroma_collection = chroma_client.get_or_create_collection("mgs636test")
vector_store = ChromaVectorStore(chroma_collection=chroma_collection)
storage_context = StorageContext.from_defaults(vector_store=vector_store)
index = VectorStoreIndex.from_documents, storage_context=storage_context)
query_engine = index.as_query_engine(llm=Settings.llm)
response = query_engine.query("What does each document says? Help us with the summary of each document.")
print(f"\n")
print(fromose)
              print(response)
PROBLEMS OUTPUT DEBUG CONSOLE TERMINAL PORTS
poojakabadi@Mac-280 Documents % /Users/poojakabadi/Documents/venv/bin/python /Users/poojakabadi/Documents/ragcreateown.py
Total PDF files: 3
Companies_Brands_Factory_disclosure_lists_MSN_June_2024.pdf: 5 page(s)
FR_FashionTransparencyIndex_pdf: 20 page(s)
the-state-of-fashion-2023-holding-onto-growth-as-global-clouds-gathers-vf.pdf: 144 page(s)
It seems that the first page (page 20) is a list with two main categories.
The "GLOBAL" section appears to be discussing the overall fashion industry and its current state. Unfortunately, this information cannot be provided as it is not present in the given context.
The "REGIONAL REALITIES" section has two subheadings: "ECONOMY". The content of this page is unclear without more information. poojakabadi@Mac-280 Documents % []
```

Link for the screen recording Screen Recording of Custom RAG pipeline

#### 10. Custom RAG pipeline with custom top\_k and prompting

```
ragcreateown.py > .
                    import os
                    from \ llama\_index.core \ import \ Prompt Template, \ Settings, \ Simple Directory Reader, \ Storage Context, \ Vector Store Index \ Storage Context, \ Vector Store
                    from llama_index.core.node_parser import S
                   from llama_index.embeddings.huggingface import HuggingFaceEmbedding
from llama_index.llms.ollama import Ollama
from llama_index.vector_stores.chroma import ChromaVectorStore
from chromado import PersistentClient
from llama_index.readers.file import PDFReader
                   pdf_reader = PDFReader()
                   input_dir = "data"
pdf_files = [f for f in os.listdir(input_dir) if f.endswith(".pdf")]
                    print(f"Total PDF files: {len(pdf_files)}\n")
                    documents=[]
                   for pdf_file in pdf_files:
    file_path = os.path.join(input_dir, pdf_file)
    docs = pdf_reader.load_data(file_path)
                             num_pages = len(docs)
doc_summaries.append((pdf_file, num_pages))
     21
                             documents.extend(docs)
                   for name, pages in doc_summaries:
    print(f"{name}: {pages} page(s)")
                   Settings.llm = Ollama(model="llama3.2", request_timeout=360.0)
Settings.embed_model = HuggingFaceEmbedding(model_name="BAAI/bge-small-en")
                    chroma client = chromadb.PersistentClient(path="./chroma db")
                    chroma_collection = chroma_client.get_or_create_collection("mgs636test")
vector_store = ChromaVectorStore(chroma_collection=chroma_collection)
                    storage_context = StorageContext.from_defaults(vector_store=vector_store)
index = VectorStoreIndex.from_documents(documents, storage_context=storage_context)
                   custom_prompt = PromptTemplate(
                    "You are a helpful assistant. Based on the following context, summarize what each document in the knowledge base discusses. Focus on key themes, insigh ) #For custom prompt template
                  query_engine = index.as_query_engine(llm=Settings.llm,similarity_top_k=5,text_qa_template=custom_prompt) #top_k is set to 5 to give us the most relavant i response = query_engine.query("What does each document says? Help us with the summary of each document.")
print(f"\n")
                   print(response)
 PROBLEMS OUTPUT DEBUG CONSOLE TERMINAL PORTS
 poojakabadi@Mac-280 Documents % /Users/poojakabadi/Documents/venv/bin/python /Users/poojakabadi/Documents/ragcreateown.py
Total PDF files: 3
  Companies_Brands_Factory_disclosure_lists_MSN_June_2024.pdf: 5 page(s)
FR_FashionTransparencyIndex_pdf: 20 page(s)
He=state=of-Tashion=2023-holding=onth-gs-qs-louds-gathers-vf.pdf: 144 page(s)

    The State of Fashion 2023:

            Key theme: Global fashion industry trends and challenges.
            Insights: Analysis of the impact of global economic fluctuations on the fashion industry.
            Notable statistics or trends: Expected discussion of the current state of the fashion industry, including factors such as sustainability, consumer behavior, and e-commerce growth.

 2. GLOBAL FRAGILITY:
        GLUBAL FRAGILITY:
- Key theme: The vulnerabilities of the global fashion supply chain.
- Knighten: Discussion of potential risks to global trade and economic stability in the fashion industry.
- Notable statistics or trends: Possible mention of disruptions caused by pandemics, natural disasters, or other factors affecting the global economy.
        REGIONAL REALITIES:

- Key theme: Regional differences within the global fashion industry.

- Insights: Analysis of regional market trends, consumer behavior, and supply chain challenges in various parts of the world.

- Notable statistics or trends: Expected discussion of regional fashion markets, including growth rates, consumer spending patterns, and supply chain performance.
4. GLOBAL ECONOMY:

- Key theme: The impact of global economic conditions on the fashion industry.

- Insights: Discussion of how global economic fluctuations affect demand for fashion products, supply chain logistics, and retailer profitability.

- Notable statistics or trends: Expected mention of GDP growth rates, inflation, interest rates, and other macroeconomic indicators affecting the fashion industry.
 Please note that these summaries are based on the provided context and may not be exhaustive. poojakabadi@Mac-280 Documents % []
```

### **Bonus Point**

Upon reviewing the code, it becomes evident that the SentenceSplitter library remains unused. This library, a node parser from LlamaIndex, is designed to break down lengthy documents into smaller, meaningful segments prior to indexing. Such segmentation enhances retrieval accuracy and response quality through several means:

- It creates more semantically coherent chunks
- It prevents token overflow issues
- It ensures the model doesn't conflate unrelated content

To implement this library effectively, we could have employed the following approach:

First, we would define the chunk size:

sentence\_splitter = SentenceSplitter(chunk\_size=512, chunk\_overlap=50)

Next, we would apply this chunking method to our loaded documents:

nodes = sentence\_splitter.get\_nodes\_from\_documents(documents)

Finally, we would pass these nodes to the index:

index = VectorStoreIndex(nodes, storage\_context=storage\_context)

This implementation would potentially improve the overall performance and accuracy of our document processing system.