Date: March 5, 2021

STERLING DILIGENCE

BACKGROUND REPORT

## RE: First\_Name Middle\_Initial Last\_Name

**EXECUTIVE SUMMARY**

At the request of ClientName (“Client”), Sterling Diligence undertook a background and due diligence investigation in [Country] in connection with FirstName MiddleInitial LastName, which was aimed at identifying any of the following: criminal activity; civil litigation filings; liens and judgments; bankruptcies; corporate and other business associations and filings; regulatory and professional status; adverse media reports; and any other matters which would reflect potential issues of character and integrity. EDUCATIONALANDLICENSINGHITS

HasLegalRecordsJudgmentsorLiensHits

HasRegulatoryorGlobalSecurityHits

*HasHitsAboveAndCompanionReport*

The following presents the results of Sterling Diligence’s investigation in detail.

First\_Name Middle\_Initial Last\_Name[FORSUB]

[City], [HeaderCountry]

STERLING DILIGENCE BACKGROUND REPORT[[1]](#footnote-1),[[2]](#footnote-2)

March 5, 2021

*PERSONAL AND CONFIDENTIAL*

##### **I. PERSONAL IDENTIFICATION**[[3]](#footnote-3)

FirstName MiddleName LastName [FORSUBJECT](hereafter referred to as “LastName” or “the subject”) is a Nationality national born in PlaceofBirth in DateofBirth, and maintains a residence at CurrentFullAddress. At the request of the Client, research efforts were conducted in this jurisdiction.

ADDITIONALCOUNTRIES

**II. PROPERTY RECORDS**

PROPERTYRECHITSDESCRIPTION

**III. BUSINESS AFFILIATIONS AND EMPLOYMENT HISTORY**[[4]](#footnote-4)

The following employment history, as reported on [LastName]’s resume, *LinkedIn* profile and/or other biographical materials,[[5]](#footnote-5) has been verified through Human Resources Departments or other sources unless otherwise noted below, and where the subject’s exact location of employment was unidentified, company headquarter locations are listed for reference:

EMPLOYEEDESCRIPTION

BUSINESSAFFILIATIONSIDENTIFIED

INTELLECTUALPROPERTYIDENTIFIED

##### **IV. EDUCATIONAL CREDENTIALS**

DEGREEDESCRIPTION

##### **PROFESSIONAL LICENSURE AND/OR DESIGNATIONS**

PLLICENSEDESCRIPTION[PLLICENSEDESC]

###### REGULATORY, COMPLIANCE AND OTHER SEARCHES

*Other Financial and Securities Regulators* COUNTRYSPECIFICREGHITDISCRIPTION

OTHERREGULATORYREDFLAGSDESCRIPTION

###### COURT AND OTHER PUBLIC RECORDS SEARCHES[[6]](#footnote-6)

*[CountryHEADER]*[COURTDESC]

1. **DRIVER’S LICENSURE AND DRIVING HISTORY**

DRIVINGHISTORYDESCRIPTION

1. **GLOBAL SECURITY**

GLOBALSECURITYHITSDESCRIPTION

ICIJHITSDESCRIPTION

### PERSONAL CREDIT HISTORY

CREDITHISTORYDESCRIPTION

1. **PRESS AND OTHER MEDIA RESEARCH**

PRESSANDMEDIASEARCHDESCRIPTION

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We hope this report satisfies your request for background and employment-related investigation relating to this subject. If you have any questions or comments about this report or our findings, please contact us and reference Case Number [CaseNumber]. As always, thank you for the opportunity to be of service.

1. The confidentiality of this information should be stressed, and unless required to do so by law, disseminating or otherwise distributing this information to the subject of this report or to any unauthorized parties without the express written authorization of Sterling Diligence is strictly prohibited. [↑](#footnote-ref-1)
2. Given stringent data protection laws in [Country], the information contained herein has been derived through open source research, including [Country] -based corporate registry records and other public reports.

   Further, it is understood that due to the nature of the origin of public record information, the reliability of database results can be highly variable, and there may be information which has never been reported to or is not available through various database services. Further, databases typically maintain information as acquired through or from an original source, and since queries are character specific and sensitive to spelling precision, research results are dependent upon the accuracy and correctness of the source data, which is sometimes reported or entered inaccurately, processed poorly or incorrectly, and is generally not free from defect. In this regard, additional research has been undertaken to independently verify specific results during the course of this investigation. [↑](#footnote-ref-2)
3. For privacy and confidentiality reasons, the subject’s full date of birth has been omitted from this report, and for the purposes of this investigation, other identification information regarding the subject has been confirmed. [↑](#footnote-ref-3)
4. It is noted that the timeframe associated with [Last Name]’s affiliation with these entities is derived from multiple sources and may not necessarily reflect the complete duration of the subject’s association with the same. Further, there may be instances where corporate records omit or do not disclose individual principals or members, and in this regard, it is possible that [Last Name] has been associated with other business entities, but the association may not be revealed through corporate filings. [↑](#footnote-ref-4)
5. The subject’s *LinkedIn* profile can be located at <Investigator to insert hyperlink here>. [↑](#footnote-ref-5)
6. It should be noted that information concerning insolvency records, civil litigation filings and criminal proceedings are restricted in [Country], and to the extent references were identified through open source or manual court records research, the same have been included herein. [↑](#footnote-ref-6)