

**Distributed Query Tool**  
**Overview and Administrators Guide**  
Powered by PopMedNet™

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Based on release 3.1

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## 1. Overview and Background

The **PopMedNet™** system enables simple, efficient creation and use of distributed data networks, through a set of tools and web-based services. It is optimized to facilitate distributed analyses of medical product safety, comparative effectiveness, quality, medical resource utilization, cost-effectiveness, and related studies. The system provides secure, customized, private portals, query tools, and file transfer capabilities. It supports both menu driven queries and distributed analyses using complex, single use or multi-use programs designed to execute against a local data resource.

Data partners exercise full control over the files they make available for querying, the results returned to requestors, and the individuals who are permitted to submit queries. The PopMedNet™ software can accommodate a wide scope of network sizes and complexity, ranging from of single datasets held by only two organizations through multi-year projects encompassing dozens of organizations and dozens of data resources.

This document describes the overall system architecture, and details the technical and security approaches implemented. Individual networks may adopt different implementations.

***Note: This document uses screen shots from the reference implementation of the PopMedNet™ system.***

Networks powered by PopMedNet™ software can customize and brand the network as desired. The Department of Population Medicine at the Harvard Pilgrim Health Care Institute (HPHCI) led development of the system in collaboration with Lincoln Peak Partners (LPP). Lincoln Peak Partners provides support services and secure hosting for current system users, and leads development of system enhancements.

This document describes PopMedNet Release 3.1, a major upgrade to the previous version 2 release. Release 3.1 includes a redesigned and enhanced security system as well as a “plugin” framework for queries and the data models that support them. This approach provides a more secure, extensible, and scalable system for integration of request models implemented in both native and foreign technologies.

## 2. System Overview

The PopMedNet™ application (PMN) is comprised of two separate components, the Portal website and the DataMart Client. The **Portal** (there is one Portal per Network) is the starting point for all information requests and controls all system communications, security, and governance policies. Data partners receive queries, process them, and securely return them to the Portal via their local **DataMart\* Client**. There is exactly one Portal in the network and each network data partner can have one or more DataMarts. All query requests and communications within the network are securely routed from the Portal to the DataMarts Client and then back to the Portal. The reference material provides additional details on the querying process.

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\* The term “DataMart” is used in an information technology context referring to the place where the data are held for querying. Use of this term does not imply that data partner information is being sold or being made broadly available; data partners maintain control of all their data and all its uses.

To participate in a network, data partners must:

1. Install and configure the DataMart Client on one or more local computers or servers
2. Assign one or more staff members as the DataMart Administrator(s) responsible for interacting with the system (via the DataMart Client and the Portal) on behalf of the data partner
3. Create data in a standard format and make it available for querying.
4. Set DataMart preferences to establish settings, such as what data can be queried and who can submit queries to the DataMart

The DataMart Administrator or other staff members do not need any special information technology or computer expertise to install the software, manage the DataMart, or respond to distributed queries.

## **2.1. The DataMart Client**

The DataMart Client application allows the DataMart Administrator to view queries distributed to the DataMart, execute queries locally, review the results, and upload the results to the portal. The DataMart Client is a .NET/C# Windows desktop application developed by LPP that is installed locally on an Administrator's desktop. All communications between the DataMart Client application and the Portal use HTTP/SSL/TLS connections to securely transfer queries and results between the application and the Portal. The application uses ODBC and other database client connections, as well as web services, to access local databases used to process queries and generate results. The actual data connection is based on the type of request and its corresponding data model.

The following sections provide an overview of responding to a query using the DataMart Client application; however see the *PopMedNet DataMart™ Administrator Manual* for a detailed presentation of configuring and using the DataMart Client (DMC) application

## **2.2. The Portal**

The PopMedNet™ network portal is a dedicated secure website which manages all network interactions with the data partners. The portal is based on a Microsoft .Net technology stack using ASP.NET MVC 3 for the web site framework, C# as the programming language, and Managed Extension Framework (MEF) to implement request model plugins, Entity Framework (EF 4.2) to support the data model and SQL Server (2008 R2) for persistence.

For investigators, the portal handles user settings (e.g., contact information, passwords, email notification settings), the creation and distribution of queries to data partners, and the management of query results. For DataMart Administrators, the portal enables creation and enforcement of permission settings (i.e., who can submit queries and what they can submit), establishment of new DataMarts, email notification settings, and audit reporting.

The following sections provide an overview of composing a query, submitting to DataMarts, monitoring its status, and viewing the results; however see the *PopMedNet™ Investigator's Manual* for a detailed presentation of composing and submitting requests within PopMedNet.

### 2.3. Request Model Plugins

PopMedNet™ Release 3.1 introduced a new plugin framework for extending the number and type of requests that may be supported by the network. The plugin framework abstracts the concept of a query into a more general **Request** that is submitted to one or more DataMarts that execute the request and return a **Response**. Requests contain a header that is common to all requests and zero, one, or more **Documents** that comprise the request. Responses have a header that is common to all responses, and zero, one, or more Documents that comprise the response. A Document is a binary stream that is marked with a mime-type containing data, such as a table of patient counts serialized into a data stream, text file, html file, or virtually any type of office document such as a Word document, Excel Worksheet, image file, or even a program file.

There are several types of plugins that may be integrated into PopMedNet™ as follows:

- ✓ Native Plugins – tightly integrated ASP.NET MVC 3 components that implement the native plugin interfaces
- ✓ Remote Plugins – loosely integrated external websites that provide query composers, developed in virtually any technology, that can compose queries outside of the PopMedNet portal and route them to PopMedNet networks via a secure web service gateway
- ✓ Redirect Plugins – loosely integrated external websites that provide query composers in developed in virtually any technology where queries are initiated within PopMedNet™ and use a HTTP redirect bridge to switch between PopMedNet and the foreign application.

**NOTE:** See *Lincoln Peak* for information on how to develop plugins for PopMedNet.

The following sections provide an overview of the existing *native* Request Model Plugins that have been developed for PopMedNet.

### 2.4. Supported Query Types (Requests)

The system currently supports a number of queries types:

- ✓ Summary Queries - Menu-driven queries that execute against summary tables
- ✓ ESP Queries - Menu-driven queries that execute against ESP databases
- ✓ File Distribution Queries – Requests used to exchange documents between the portal and DataMarts
- ✓ Remote Query Composers - Queries composed from a number of external applications, such as I2B2 and hQuery, that use an interface native the application to compose the query and submit it to a PopMedNet network

### 2.5. Menu-Driven Queries

Menu-driven queries are created by users using a standardized query builder interface integrated into the portal and distributed to data partners. These queries may be routed to

DataMarts and executed against standardized data repositories created and maintained by the data partners. The user interface for menu-driven queries consist of a set of controls including text edit controls, check boxes, drop-down lists, and selection lists that allow the user to compose the query from pre-defined settings displayed in the controls.

## 2.6. Summary Queries

PopMedNet™ software currently supports querying against Summary Tables. The structure of the currently supported tables is described in *PopMedNet Summary Table Description* document available on the Resource page of the Portal. Briefly, these tables provide summary counts of individuals by period, age group, and sex. The summary counts include information on medication use (e.g., number of dispensings, users, and days supplied), diagnoses (e.g., number of individuals with the diagnosis), procedures, and the overall data partner population.

The summary queries are grouped into four request models as follows:

Model	Request Type
Incidence	ICD-9 Diagnosis (3 digit codes)
	Pharmacy Dispensings by Drug Class
	Pharmacy Dispensings by Generic Name
Prevalence	ICD-9 Diagnosis (3 digit codes)
	ICD-9 Diagnosis (4 digit codes)
	ICD-9 Diagnosis (5 digit codes)
	ICD-9 Procedures (3 digit codes)
	ICD-9 Procedures (4 digit codes)
	Enrollment
	HCPHCS Procedures
	Pharmacy Dispensings by Drug Class
	Pharmacy Dispensings by Generic Name
	Dispensings by National Drug Code
Most Frequently Used	HCPCS Procedures
	ICD-9 Diagnosis (3 digit codes)
	ICD-9 Diagnosis (4 digit codes)
	ICD-9 Diagnosis (5 digit codes)
	ICD-9 Procedures (3 digit codes)
	ICD-9 Procedures (4 digit codes)
	Pharmacy Dispensings by Drug Class
	Pharmacy Dispensings by Generic Name
Administrative	Refresh Dates

Table 1 – Summary Queries

## 2.7. ESP Queries

The ESP Queries consist of two query types, an ICD-9 Diagnosis query and a Reportable Disease query, that use a menu-driven user interface to query the ESP database. Electronic Support for Public Health System (ESP) uses a standardized data model and a set of complex algorithms to identify selected diseases of public health concern such as acute hepatitis B, Lyme disease, influenza-like illness, and diabetes. The ESP algorithms are based on the ESP data model that is a standardize representation of EHR-based encounter and patient demographic information. The ESP application is installed behind the provider's firewall, giving the provider control over access and use of their data. The ESP queries are grouped into the following request model:

Model	Request Type
ESP Query Builder	ICD-9 Diagnosis
	Reportable Disease

**Table 2 – ESP Queries**

## 2.8. File Distribution Query

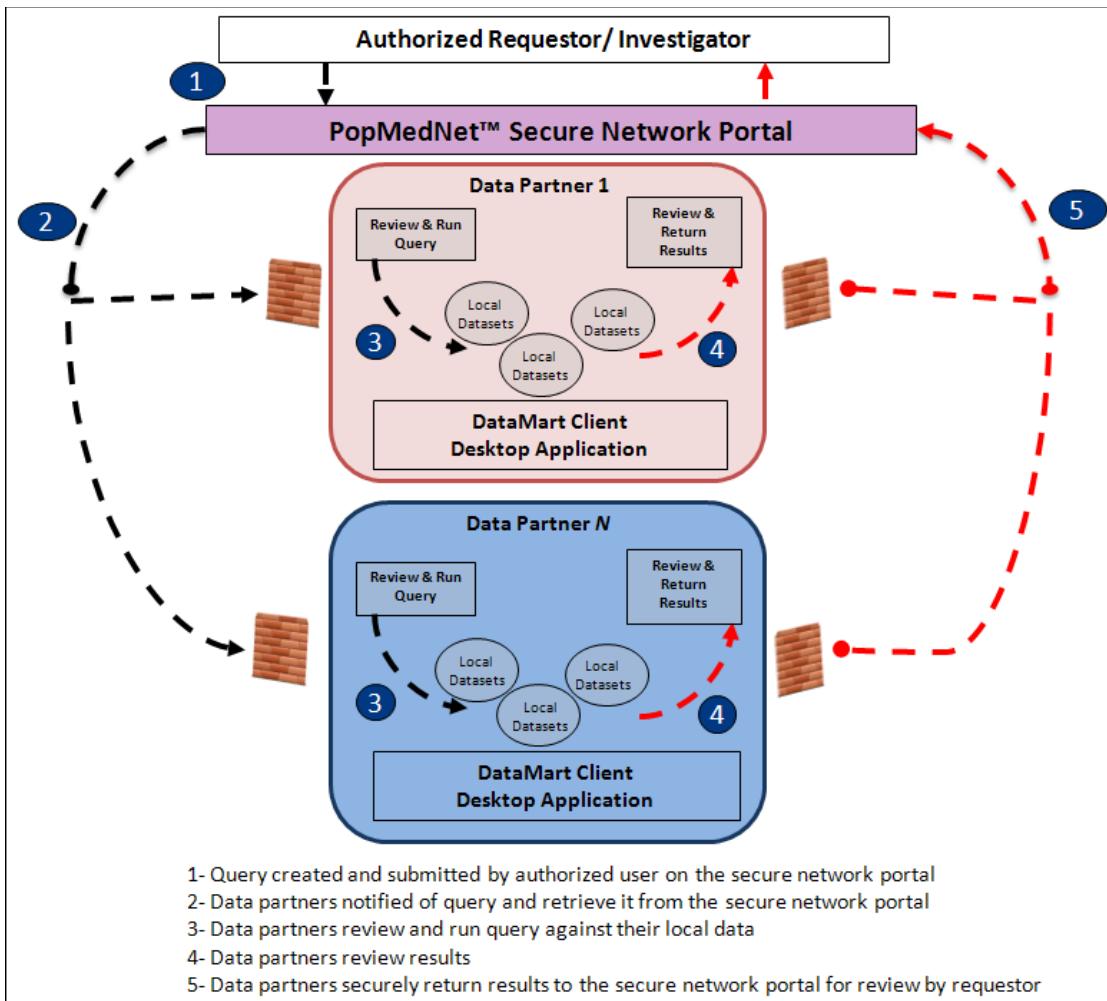
A File Distribution Query allows users to securely distribute electronic files to data partners. Although any type of file can be distributed, a common use is expected to be the distribution of SAS and SQL programs and work plans to data partners who will download and execute the programs and then securely upload results based on institutional policies. The File Distribution query is grouped into the following request model:

Model	Request Type
File Distribution	File Distribution

**Table 3 – File Distribution Query**

## 2.9. Network Workflow

Figure 1 illustrates the flow of requests and information within the network. The workflow can be divided into activities undertaken by the requestor and those that are the responsibility of the data partner. Each is described below.



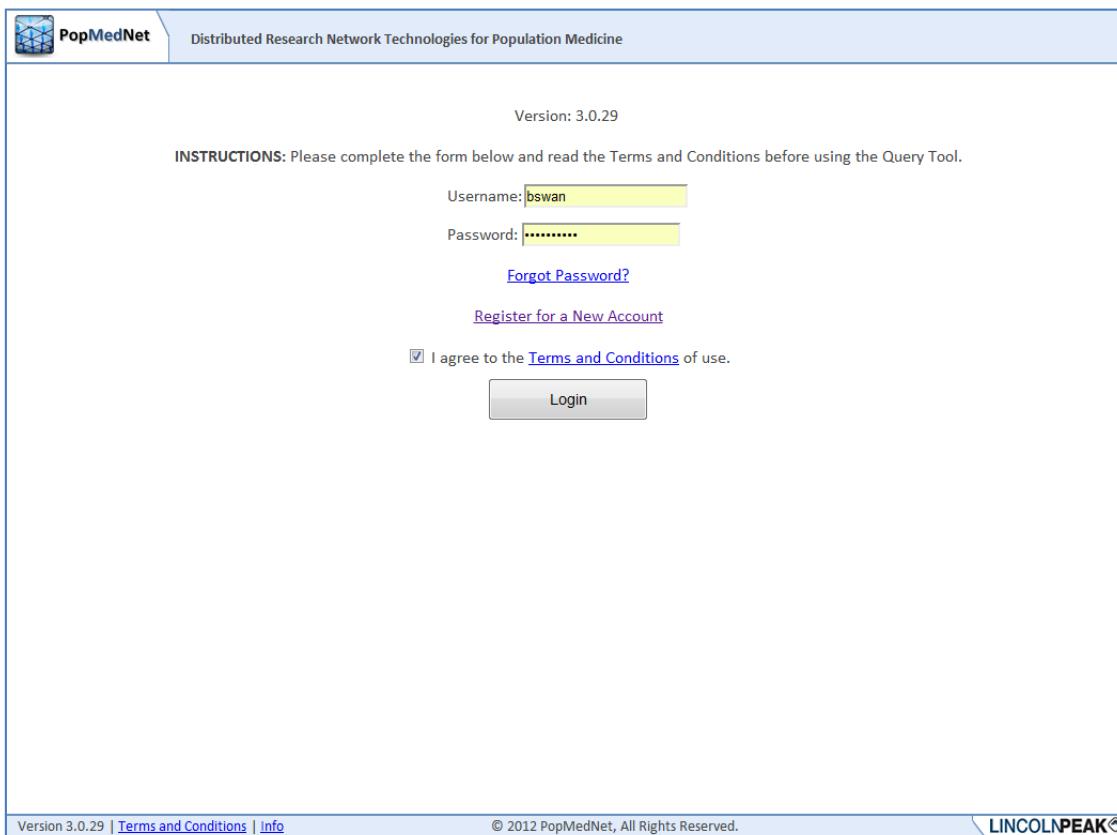
**Figure 1 - Network Workflow**

## 2.10. Composing and Submitting a Query (requestor actions)

Submitting a query through the network requires several steps. These steps, along with a screenshot from the software for illustration, are listed below.

## 2.11. Logging into the Portal

Each PopMedNet user is provided a set of credentials consisting of a username and password that represents the user's account. The user must enter the credentials into a logon page, confirm the terms and conditions of the site, and then click Login to authenticate the user against an instance of a PopMedNet site.



**Figure 2 – Logon Page**

Logging into the portal establishes a security context that determines the features the user is allowed to access and actions the user is allowed to perform. The features and actions allowed by a user are managed by a list of Access Control rights (ACL). Network Administrators assign these rights to the user's PopMedNet account directly or indirectly by adding the user as a member of one or more **Security Groups**. Security Groups are collections of users, and other security groups, that are assigned ACLs. Membership in a security group causes the user's account to inherit all the rights associated with the group. Users may be members of zero, one, or more security groups. For example, the query types that may be composed by the user and the DataMarts where they may be routed for execution depends on the rights assigned to the user's account or the security groups for which the user is a member. Security groups provide a convenient and powerful method to build and manage roles within PopMedNet that can easily be assigned to new and existing users.

## 2.12. Using the Portal Landing Page

Once authenticated, the user is transitioned to the **Home page**.

The screenshot shows the PopMedNet home page with three main content panels:

- What's New:** Displays "Software Version 3.0.28 Features and Enhancements" with a list of bullet points:
  - MDPHNet functional enhancements:
    - Menu-driven query builder interface used to compose 2 request types: ICD-9 Diagnosis and Reportable Disease (Diabetes, ILI).
  - PopMedNet 3.x technical enhancements:
    - New Request Model Plug-in architecture.
    - Upgraded technology platform that includes Microsoft .NET 4, Entity Framework 4, MVC 3, WCF, and MEF under Visual Studio 2010 solution.
    - Consolidation of all request and response data into documents that may be displayed using built-in viewer or downloaded to for use by custom applications.
    - Redesigned/rewritten DataMart Client application that uses a simplified threading model to process requests.
    - Use of the Windows Credential Manager to store local DataMart Client credentials.
- Notifications:** A table showing recent notifications:
 

Date	Event	Message
9/12/2012 12:25:48 PM	My Profile Updated	Profile of user 'Lincoln Peak\BSwan' has been updated by Lincoln Peak\BSwan
9/12/2012 12:25:00 PM	My Profile Updated	Profile of user 'Lincoln Peak\BSwan' has been updated by Lincoln Peak\BSwan
- Requests:** A grid of recent requests:
 

Name	Id	Date	User	Status	Type
<a href="#">ICD-9 Diagnosis - 142</a>	293	08/30/2012 08:48 AM	BSwan	Draft	ICD-9 Diagnosis
<a href="#">ICD-9 Diagnosis - 111</a>	241	08/23/2012 11:13 PM	BSwan	3/6 completed	ICD-9 Diagnosis
<a href="#">Reportable Disease - 37</a>	231	08/23/2012 07:10 PM	BSwan	3/6 completed	Reportable Disease
<a href="#">Inci: ICD-9 Diagnoses (3 digit codes) - 14</a>	229	08/23/2012 07:06 PM	BSwan	2/6 completed	Inci: ICD-9 Diagnoses (3 digit codes)
<a href="#">Reportable Disease - 35</a>	226	08/23/2012 05:38 PM	BSwan	3/6 completed	Reportable Disease
<a href="#">Reportable Disease - 34</a>	224	08/23/2012 03:00 PM	BSwan	3/6 completed	Reportable Disease
<a href="#">Prev: ICD-9 Procedures (4 digit codes) - 2</a>	222	08/23/2012 02:46 PM	BSwan	4/6 completed	Prev: ICD-9 Procedures (4 digit codes)
<a href="#">File Distribution - 6</a>	221	08/23/2012 02:45 PM	BSwan	1/6 completed	File Distribution
<a href="#">ICD-9 Diagnosis - 108</a>	220	08/23/2012 02:36 PM	BSwan	3/6 completed	ICD-9 Diagnosis
<a href="#">ICD-9 Diagnosis - 92</a>	198	08/21/2012 09:56 AM	BSwan	4/6 completed	ICD-9 Diagnosis

At the bottom of the page, there are links for Version 3.0.28, Terms and Conditions, and Info, along with a LINCOLNPEAK logo.

**Figure 3 – Home Page**

The home page is a landing page that contains a set of controls that provide the user with information that is relevant to his daily tasks. The following controls are available on the home page:

- ✓ **What's New** – A message panel used to communicate site information, upgrades, and new features
- ✓ **Notifications** – A list of recent notifications on requests and responses performed or associated with the user
- ✓ **Requests** – A list of recent requests that have been initiated by the user or require the user's approval or review

Collapsible content panels are used throughout the user interface that allows the user to collapse, expand, and maximize a content area within the page. Additionally, for panels that contain grid controls, the user may specify the number of items displayed in the grid, set column sort orders, and column filters. All these settings are preserved across user sessions.

## 2.13. Creating a New Request

The user creates a new request by clicking the “New” button in the Requests content panel.

A screenshot of the PopMedNet Requests grid. The grid displays a list of 10 requests, each with columns for Name, Id, Date, User, Status, and Type. The 'Type' column shows various categories like ICD-9 Diagnosis, Reportable Disease, and File Distribution. At the bottom of the grid, there are navigation links for 'Page' (1, 2, 3) and 'Showing 10 requests per page'. In the bottom right corner of the grid area, there is a red rectangular box highlighting a 'New' button.

**Figure 4 – Requests Grid**

## 2.14. Selecting a Request Model

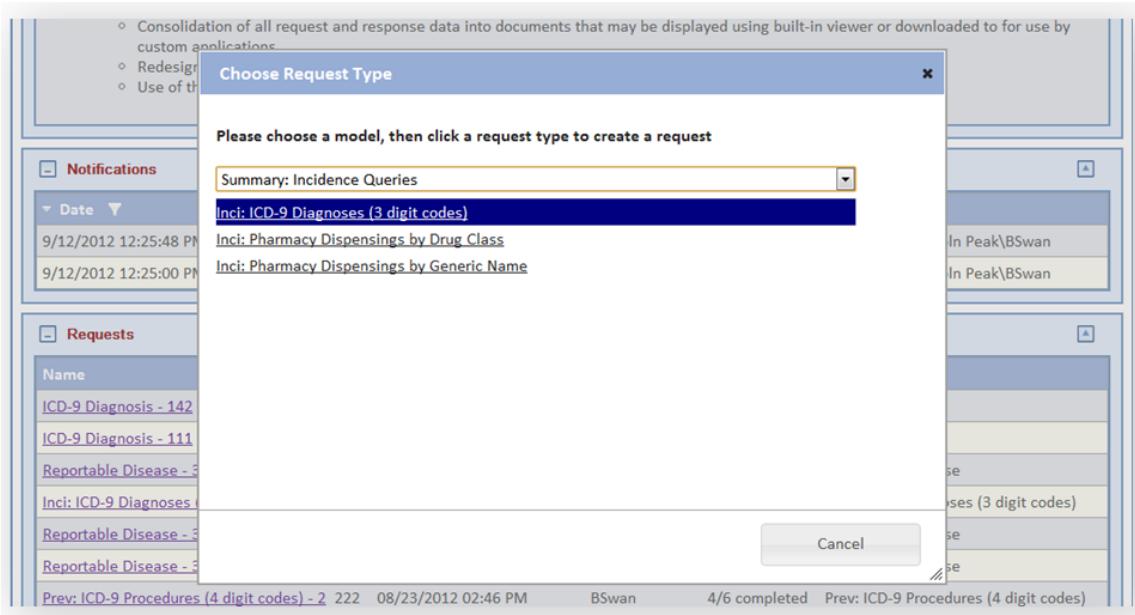
The new request action displays a popup dialog containing the lists of Request Models available to the user based the user's access rights. Queries are grouped together in request models which are contained in request model plugins.

A screenshot of the PopMedNet interface. On the left, there is a sidebar with sections for Notifications (Date: 9/12/2012 12:25:48 PM, 9/12/2012 12:25:00 PM) and Requests. The Requests section lists several items: ICD-9 Diagnosis - 142, ICD-9 Diagnosis - 111, Reportable Disease - 37, Inci: ICD-9 Diagnoses (3 digit codes) - 14, Reportable Disease - 35, Reportable Disease - 34, Prev: ICD-9 Procedures (4 digit codes) - 2, File Distribution - 6, ICD-9 Diagnosis - 108, and ICD-9 Diagnosis - 92. A central modal dialog titled 'Choose Request Type' is open, prompting the user to 'Please choose a model, then click a request type to create a request'. It contains a dropdown menu labeled 'Select Model' with options: Select Model, ESP Query Builder, File Distribution (which is highlighted with a red box), Summary: Incidence Queries, Summary: Most Frequently Used Queries, and Summary: Prevalence Queries. At the bottom right of the dialog is a 'Cancel' button.

**Figure 5 – New Request Dialog**

## 2.15. Selecting a Request Type

Once the request model has been chosen, the request types for that model are displayed. A draft request is created once the user chooses a request type.



**Figure 6 – Request Model / Request Types**

## 2.16. Building a Request

Upon choosing a new request, the respective query composition page is displayed. The user uses the controls on the page to enter information and criteria for the request. This information will be visible to the DataMart administrator who processes the request, as well as any Query Administrator or Group DataMart Administrators who approve requests and responses.

The screenshot shows the PopMedNet Request Composer interface. At the top, there's a navigation bar with links for Home, Requests, Profile, Resources, Reports, Network, Contact Us, and Logoff. The main area is divided into two sections: 'Request' and 'DataMart Routing'.

**Request Section:**

- Name:** Inci: ICD-9 Diagnoses (3 digit codes)
- Priority:** Low
- Due Date:** (empty field)
- Purpose of use:** <not specified>
- Level of PHI Disclosure:** <not specified>
- Description:** (empty text area)
- Activity:** <None>
- Activity Description:** (empty text area)
- Run Mode:**
  - Run Immediately After I Click "Submit"
  - Schedule to Run Later
- ICD-9 Codes:** No codes selected
- Setting:** <not specified>
- Age Stratification:** 10 Stratifications (0-1,2-4,5-9,10-14,15-18,19-21,22-44,45-64,65-74,75+)
- Sex Stratification:** Male and Female
- Period Selector:** This control is currently not functional. All periods from 2000-2018 will be used.

**DataMart Routing Section:**

Please select DataMarts to which this query will be sent

▲ DataMart	Organization
<input checked="" type="checkbox"/> LPP - Atlanta Auto DM	LPP - Atlanta
<input checked="" type="checkbox"/> LPP - Atlanta Manual DM	LPP - Atlanta
<input checked="" type="checkbox"/> LPP - Boston Auto DM	LPP - Boston
<input checked="" type="checkbox"/> LPP - Boston Manual DM	LPP - Boston
<input checked="" type="checkbox"/> LPP - India Auto DM	LPP - India

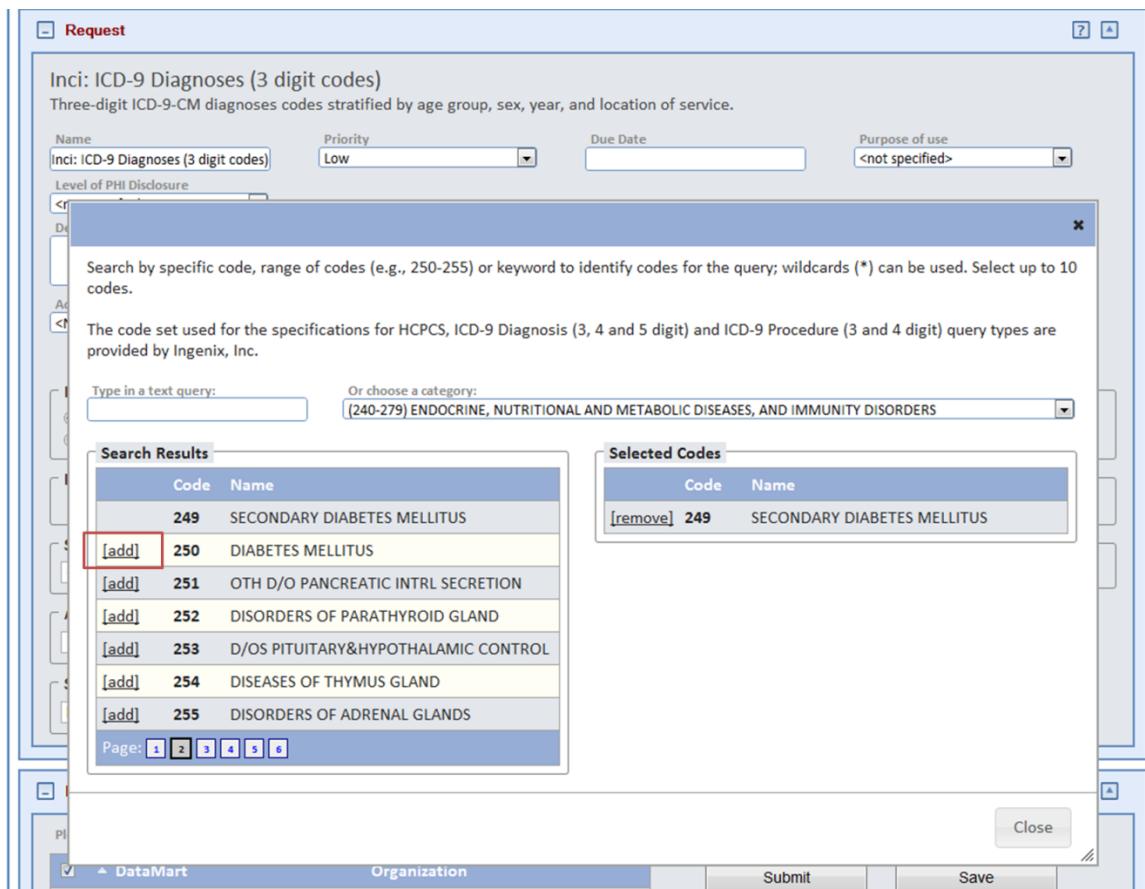
Buttons: Submit, Save, Copy, Delete

Page: 1 2

**Figure 7 – Request Composer**

## 2.17. Using the Code Selector

For queries that require the user to choose one or more codes, such as ICD-9 diagnosis codes, the user enters the codes through the Code Selector, a popup dialog that allows the user to search and add codes for the query criteria.



**Figure 8 – ICD-9 Code Selector**

The user may type the code value or code name in the edit control and see the codes that match in the search results grid below the edit control. Alternatively, the user may click the category control, choose a category, say “Endocrine, Nutritional and Metabolic Diseases, and Immunity Disorders”, and all codes with the chosen category will be displayed in the search results list.

The user adds a code to the query criteria by clicking the “add” button next the code item. Selected codes can be removed by clicking the “remove” button.

## 2.18. Scheduling Requests

A new feature of PopMedNet™ Release 3 is the ability to schedule a request to be submitted at a later time and to set a recurrence pattern for repeated submittals. By default, a request will be submitted for execution immediately upon clicking the “Submit” button. Alternatively, the user may click the “Schedule to Run Later” button in the Run Mode grouping to display a dialog to enter the scheduling details.

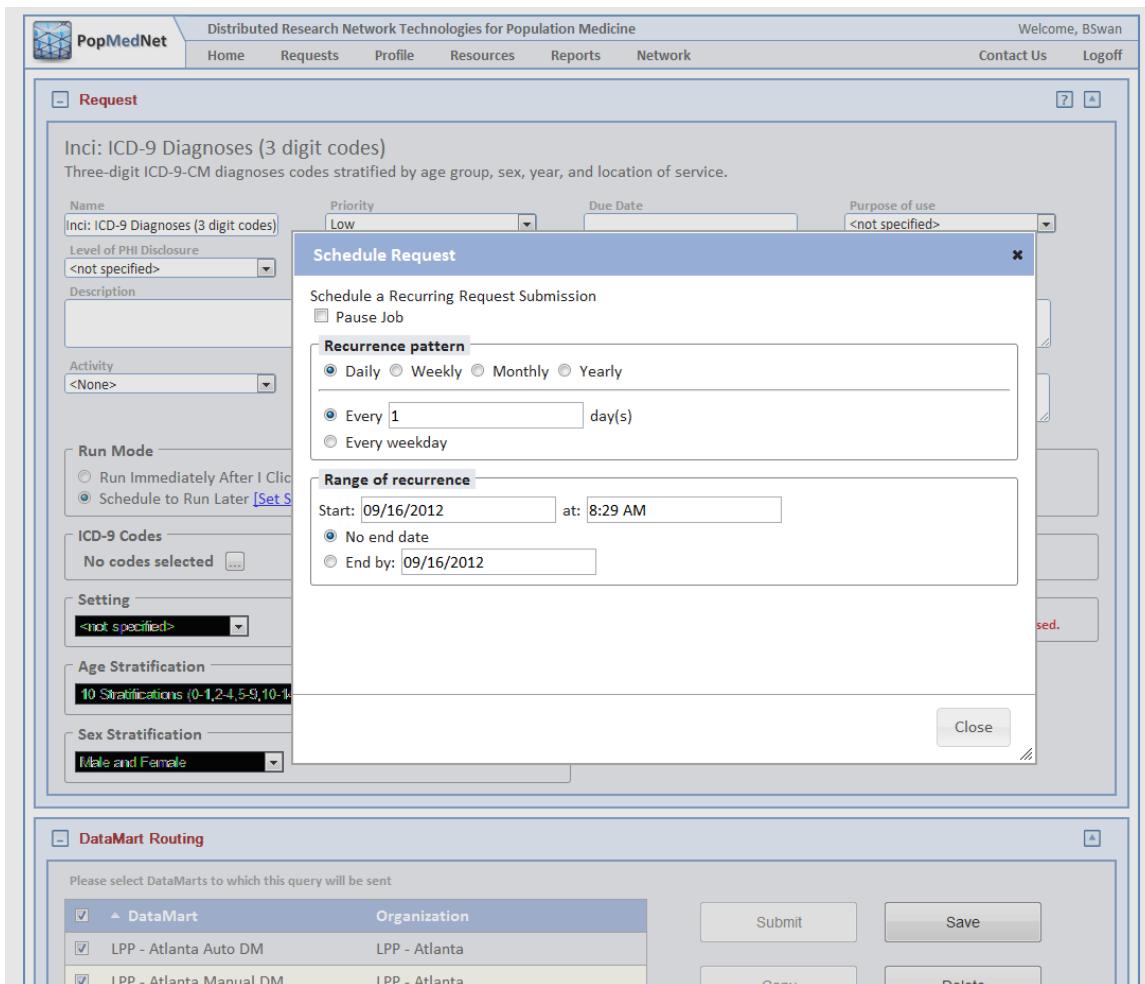
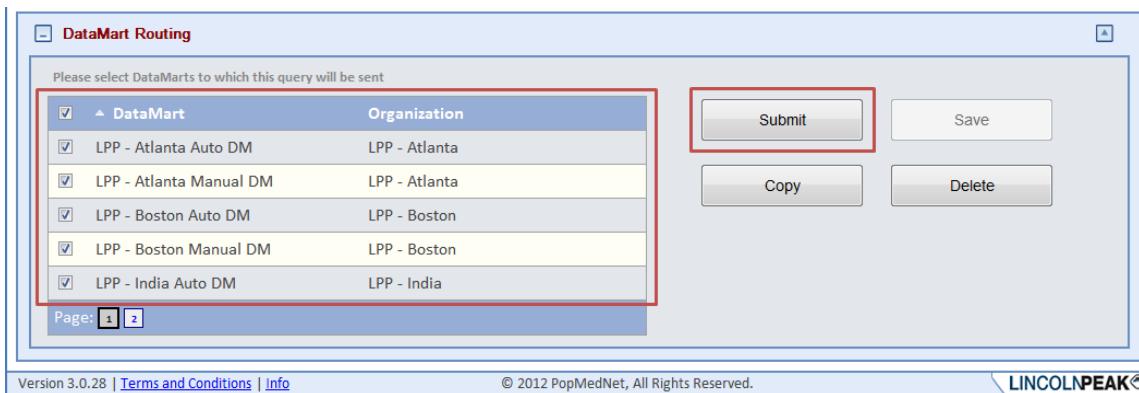


Figure 9 – Request Scheduler

A request may be scheduled to run on a Daily, Weekly, Monthly, or Yearly pattern. After selecting the pattern, the user enters the date range during which the request will be submitted for execution. Once the schedule is set and the user saves the request, the request will enter a “Scheduled” status. While the request’s schedule is active, each scheduled occurrence will cause a copy of the request to be submitted to the selected DataMarts. A scheduled request may be paused, or cancelled by editing the scheduled request and changing the Run Mode to Run Immediately to unschedule the request and convert the request back to Draft status.

## 2.19. Routing Requests to DataMarts for Execution

The user completes the query criteria by selecting the DataMarts the query should be routed to for execution.



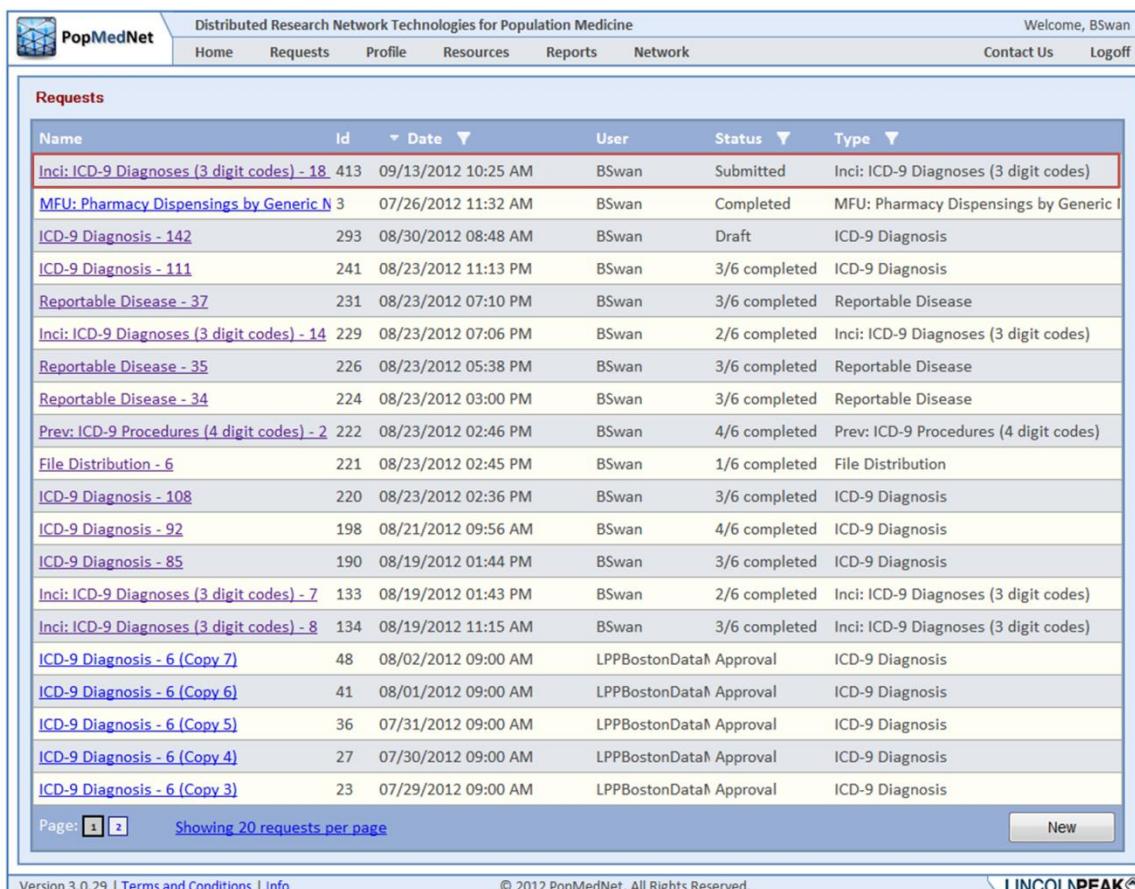
**Figure 10 – DataMart Routing**

Clicking the Submit button will place the request in the selected DataMarts's queue for processing. Clicking the Save button will save the request in a draft state where it can be edited and submitted at a later time. Clicking the Delete button will delete the request. Clicking Copy will make a copy of the request containing all the settings of the original request.

Once the request has been submitted for execution, a notification event is generated that optionally sends email notifications to related users. For instance, the DataMart Administrator(s) responsible for processing the request will be notified, and if query approval is required, administrators responsible for approving requests will be notified.

## 2.20. Viewing Status on Requests

Once the request is saved or submitted, its status is displayed in the Requests grid visible on the Home page as well as the Request page. The Request page is dedicated to managing on requests.



The screenshot shows the 'Requests' section of the PopMedNet interface. At the top, there's a navigation bar with links for Home, Requests, Profile, Resources, Reports, Network, Contact Us, and Logoff. The main area is titled 'Requests' and contains a table with columns: Name, Id, Date, User, Status, and Type. The table lists various requests, many of which are related to ICD-9 Diagnoses. The first request in the list is highlighted with a red border.

Name	Id	Date	User	Status	Type
<a href="#">Inci: ICD-9 Diagnoses (3 digit codes) - 18</a>	413	09/13/2012 10:25 AM	B Swan	Submitted	Inci: ICD-9 Diagnoses (3 digit codes)
<a href="#">MFU: Pharmacy Dispensings by Generic N</a>	3	07/26/2012 11:32 AM	B Swan	Completed	MFU: Pharmacy Dispensings by Generic N
<a href="#">ICD-9 Diagnosis - 142</a>	293	08/30/2012 08:48 AM	B Swan	Draft	ICD-9 Diagnosis
<a href="#">ICD-9 Diagnosis - 111</a>	241	08/23/2012 11:13 PM	B Swan	3/6 completed	ICD-9 Diagnosis
<a href="#">Reportable Disease - 37</a>	231	08/23/2012 07:10 PM	B Swan	3/6 completed	Reportable Disease
<a href="#">Inci: ICD-9 Diagnoses (3 digit codes) - 14</a>	229	08/23/2012 07:06 PM	B Swan	2/6 completed	Inci: ICD-9 Diagnoses (3 digit codes)
<a href="#">Reportable Disease - 35</a>	226	08/23/2012 05:38 PM	B Swan	3/6 completed	Reportable Disease
<a href="#">Reportable Disease - 34</a>	224	08/23/2012 03:00 PM	B Swan	3/6 completed	Reportable Disease
<a href="#">Prev: ICD-9 Procedures (4 digit codes) - 2</a>	222	08/23/2012 02:46 PM	B Swan	4/6 completed	Prev: ICD-9 Procedures (4 digit codes)
<a href="#">File Distribution - 6</a>	221	08/23/2012 02:45 PM	B Swan	1/6 completed	File Distribution
<a href="#">ICD-9 Diagnosis - 108</a>	220	08/23/2012 02:36 PM	B Swan	3/6 completed	ICD-9 Diagnosis
<a href="#">ICD-9 Diagnosis - 92</a>	198	08/21/2012 09:56 AM	B Swan	4/6 completed	ICD-9 Diagnosis
<a href="#">ICD-9 Diagnosis - 85</a>	190	08/19/2012 01:44 PM	B Swan	3/6 completed	ICD-9 Diagnosis
<a href="#">Inci: ICD-9 Diagnoses (3 digit codes) - 7</a>	133	08/19/2012 01:43 PM	B Swan	2/6 completed	Inci: ICD-9 Diagnoses (3 digit codes)
<a href="#">Inci: ICD-9 Diagnoses (3 digit codes) - 8</a>	134	08/19/2012 11:15 AM	B Swan	3/6 completed	Inci: ICD-9 Diagnoses (3 digit codes)
<a href="#">ICD-9 Diagnosis - 6 (Copy 7)</a>	48	08/02/2012 09:00 AM	LPPBostonDataM Approval	Approved	ICD-9 Diagnosis
<a href="#">ICD-9 Diagnosis - 6 (Copy 6)</a>	41	08/01/2012 09:00 AM	LPPBostonDataM Approval	Approved	ICD-9 Diagnosis
<a href="#">ICD-9 Diagnosis - 6 (Copy 5)</a>	36	07/31/2012 09:00 AM	LPPBostonDataM Approval	Approved	ICD-9 Diagnosis
<a href="#">ICD-9 Diagnosis - 6 (Copy 4)</a>	27	07/30/2012 09:00 AM	LPPBostonDataM Approval	Approved	ICD-9 Diagnosis
<a href="#">ICD-9 Diagnosis - 6 (Copy 3)</a>	23	07/29/2012 09:00 AM	LPPBostonDataM Approval	Approved	ICD-9 Diagnosis

Page: [1](#) [2](#) Showing 20 requests per page [New](#)

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**Figure 11 – Requests Page**

## 2.21. Editing a Draft Request or Viewing Request Detailed Status

If the request is in a Draft status, clicking the request name for an item in the grid will navigate to the request composition page seen in the last section, allowing the user to resume construction of the request and ultimately submit it.

If the request has been submitted, clicking on the request name for a row in the requests grid will navigate the user to the Request Status page.

The screenshot shows the PopMedNet Request Status Page. At the top, there's a header bar with the PopMedNet logo, the text "Distributed Research Network Technologies for Population Medicine", and links for "Home", "Requests", "Profile", "Resources", "Reports", "Network", "Welcome, BSwan", "Contact Us", and "Logoff".

**Request Panel:** This panel contains fields for "Name" (Inci: ICD-9 Diagnoses (3 digit codes) - 18 query), "Priority" (Low), "Due Date" (09/30/2012 12:00 AM), and a "Copy" button.

**Received Responses Panel:** This panel lists completed DataMart routings:

DataMart	Last Response	Status	Message
LPP - Boston Manual DM	9/13/2012 11:55:12 AM	Completed	
LPP - Atlanta Manual DM	9/13/2012 11:53:51 AM	Completed	
LPP - Boston Auto DM	9/13/2012 10:26:53 AM	Completed	

A "View Results" button is highlighted with a red box at the bottom right of this panel.

**Incomplete Routings Panel:** This panel lists pending DataMart routings:

DataMart	Status
LPP - Atlanta Auto DM	Submitted
LPP - India Auto DM	Submitted
LPP - India Manual DM	Submitted

Buttons for "Add DataMarts" and "Remove DataMarts" are located at the bottom right of this panel.

At the bottom of the page, there are links for "Version 3.0.29 | Terms and Conditions | Info" and "© 2012 PopMedNet, All Rights Reserved." followed by the Lincoln Peak logo.

**Figure 12 – Request Status Page**

The request status page contains a header that identifies the request followed by two grids that show the request DataMart routings that have been completed and those that are still pending.

Depending on the user's rights, the pending DataMarts may be cancelled and new ones be added to the request's routings.

## 2.22. Viewing Results

Clicking the View Results button will display the results page. Depending on the user's rights, all completed DataMart results must be viewed together. For other users, such as Enhanced Investigators, one or more individual DataMart results may be selected for viewing either aggregated or sequentially.

The screenshot shows the PopMedNet interface. At the top, there's a navigation bar with links for Home, Requests, Profile, Resources, Reports, Network, Contact Us, and Logoff. The main area has two sections: 'Request' and 'Response'.

**Request Section:**

- Name: Inci: ICD-9 Diagnoses (3 digit codes) - 18 query
- Priority: Low
- Due Date: 09/30/2012 12:00 AM
- Download these results button
- Type: Inci: ICD-9 Diagnoses (3 digit codes)
- Description: (empty text area)
- Activity: (empty text area)
- Activity Description: (empty text area)
- Source DataMarts: LPP - Boston Manual DM, LPP - Atlanta Manual DM, LPP - Boston Auto DM

**Response Section:**

AgeGroup	Sex	Period	DXCode	DXName	Setting	Members90	Members180	Members270	Total Enrollment in Strata(Members)	Days Covered
0-1	F	2002							962	233022
0-1	F	2003							1390	347010
0-1	F	2004							2058	511036
0-1	F	2005							2438	592570
0-1	F	2006							2618	641532
0-1	F	2007							5202	1263562
0-1	F	2008	250	DIABETES MELLITUS	AN	2	2	2	8336	1880876
0-1	F	2009	250	DIABETES MELLITUS	AN	4	2	2	7418	1591824
0-1	F	2010							3714	294452
0-1	M	2002							964	241200
0-1	M	2003							1508	352968
0-1	M	2004							2178	539718
0-1	M	2005							2558	623418
0-1	M	2006							2746	676406
0-1	M	2007							5638	1362180
0-1	M	2008	250	DIABETES MELLITUS	AN	4	4	4	9084	2065510
0-1	M	2009	250	DIABETES MELLITUS	AN	4	2	2	8066	1746808
0-1	M	2010							3742	304234
2-4	F	2002							1698	522516
2-4	F	2003							2428	769436
2-4	F	2004							2570	1120722

**Figure 13 – Response Page**

The DataMart results displayed in the page may be downloaded to the user's desktop by clicking the Export button as either an Excel spreadsheet or a CSV file.

## 2.23. Other Request Types

The process described above is the virtually the same process for all query types. The detail page used to compose the query may contain slightly different controls that are required for the particular query type. The pages contain brief instructions on the use of the controls.

The following is the query composer for the **File Distribution** query. Instead of creating a summary query, the user selects files to distribute to data partners.

The screenshot shows the 'File Distribution' request composer interface. At the top, there's a navigation bar with links for Home, Requests, Profile, Resources, Reports, Network, Contact Us, and Logoff. The main area is titled 'Request' and contains several sections:

- File Distribution:** A section for distributing files to DataMarts. It includes fields for Name (File Distribution - 13), Priority (Low), Due Date, Purpose of use (<not specified>), Level of PHI Disclosure (<not specified>), and a large Description text area.
- Run Mode:** A section with two radio button options: 'Run Immediately After I Click "Submit"' (selected) and 'Schedule to Run Later'.
- Upload Files:** A section for uploading files. It shows a progress bar indicating 100% completion for two files: 'PopMedNet Overview and Technical Document' (6.18 MB, Finished) and 'Questions.docx' (12.3 KB, Pending). Buttons for Select Files, Upload, and Clear List are available.
- Uploaded Files:** A section showing the uploaded files with columns for File Name and Size. It displays 'No data to show'.
- DataMart Routing:** A section for selecting DataMarts. It lists five entries under 'DataMart' (LPP - Atlanta Auto DM, LPP - Atlanta Manual DM, LPP - Boston Auto DM, LPP - Boston Manual DM, LPP - India Auto DM) and 'Organization' (LPP - Atlanta, LPP - Boston, LPP - India). Checkmarks are present next to the first four items. Buttons for Submit, Save, Copy, and Delete are located to the right. A page navigation bar at the bottom shows 'Page: 1 2'.

At the bottom of the page, there are links for Version 3.0.29, Terms and Conditions, and Info. Copyright information from 2012 is also present. The Lincoln Peak logo is in the bottom right corner.

**Figure 14 – File Distribution Request Composer**

## 2.24. Responding to a Query (data partner actions)

Responding to a query through the network requires several steps by the DataMart Administrator using the locally installed DataMart Client. Data partners have the ability to set a notification for small cell counts (a parameter setting) and to re-set those counts to “0” before uploading to the portal. The status of a query will be updated in the Portal according to the actions of the DataMart Administrator.

1. Select Run Query to view results from your DataMart.

The screenshot shows the 'DataMart Client - Request Detail' window. The 'Request' tab is selected, displaying the following details:

- Network:** Staging
- DataMart:** LPP - Atlanta Manual DM
- Request Time:** 09/18/2012 11:42 AM
- Request Id:** 460
- Request Name:** Prev: Enrollment - 4
- Status:** Submitted
- Submitted By:** BSwan
- Email:** bswan@lincolnpeak.com
- Priority:** Low
- Due Date:** 9/30/2012
- Activity Desc:** [empty]
- Submitted To:** LPP - Atlanta Auto DM, LPP - Atlanta Manual DM, LPP - Boston Auto DM, LPP - Boston Manual DM, LPP - India Manual DM, LPP - India Auto
- Description:** Enrollment query
- Note:** [empty]

The 'Request' section contains the SQL query:

```
Select AgeGroup, gender as Sex, Year, DrugCov as DrugCoverage, MedCov as MedicalCoverage, Sum(Member) as Members, Sum(TotalDaysCovered) As [Days Covered] From ( SELECT strat10_name as AgeGroup, strat10_sort_order as AgeGroupSort, Sex as gender, Year,'All' as DrugCov,'All' as MedCov, Sum(Members) as Member, Sum(DaysCovered) As TotalDaysCovered FROM Enrollment tbl INNER JOIN Age_Groups ag ON (ag.id = tbl.age_group_id) WHERE year IN ('2000','2001','2002','2003','2004','2005','2006','2007','2008','2009','2010','2011','2016','2017','2018') GROUP BY strat10_sort_order, strat10_name, Sex, Year) as OuterTable Group by AgeGroup, gender, Year, DrugCov, MedCov Having Sum(Member)>0 Order By AgeGroup, SUM(Member)
```

The 'Response' tab is currently empty.

At the bottom are buttons: Run, Hold, Reject, Add File, Delete File, Export Results.., Upload Results, and Close.

Figure 15 – DataMart Client Request Detail Form

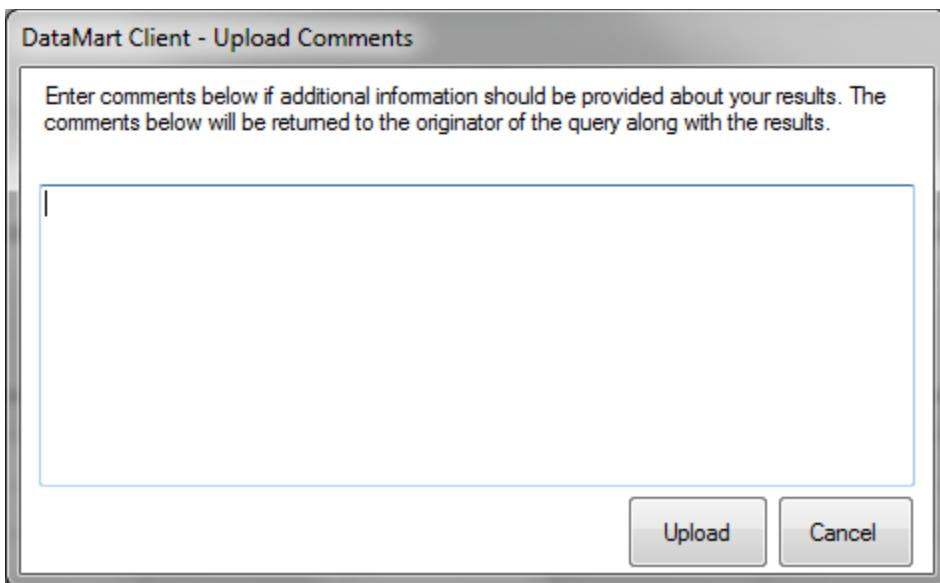
2. Review the results; obfuscate low cell counts if necessary. You may then select Upload Results which will send your results to the Portal for viewing by the submitter. You may also hold a query for further review or reject a query.

**DataMart Client - Request Detail**

Network:	Staging	DataMart:	LPP - Atlanta Manual DM	Request Time:	09/18/2012 11:42 AM																																																																													
Request Id:	460	Request Name:	Prev: Enrollment - 4	Status:	InWaitingResponseApproval																																																																													
Submitted By:	B Swan			Email:	b.swan@lincolnpeak.com																																																																													
Priority:	Low	Due Date:	9/30/2012	Activity:																																																																														
Activity Desc:																																																																																		
Submitted To: LPP - Atlanta Auto DM, LPP - Atlanta Manual DM, LPP - Boston Auto DM, LPP - Boston Manual DM, LPP - India Manual DM, LPP - India Auto																																																																																		
Description: Enrollment query																																																																																		
Note: <input type="checkbox"/> File View Select AgeGroup, gender as Sex, Year, DrugCov as DrugCoverage, MedCov as MedicalCoverage, Sum(Member) as Members, Sum(TotalDaysCovered) As [Days Covered] From ( SELECT strat10_name as AgeGroup, strat10_sort_order as AgeGroupSort, Sex as gender, Year,'All' as DrugCov,'All' as MedCov, Sum(Members) as Member, Sum(DaysCovered) As TotalDaysCovered FROM Enrollment tbl INNER JOIN Age_Groups ag ON (ag.id = tbl.age_group_id) WHERE year IN ('2000','2001','2002','2003','2004','2005','2006','2007','2008','2009','2010','2011','2016','2017','2018') GROUP BY strat10_sort_order, strat10_name, Sex, Year ) as OuterTable Group by AgeGroup, gender, Year, DrugCov, MedCov Having Sum(Member)>0 Order By AgeGroup, SUM(Member)																																																																																		
Response <input type="checkbox"/> File View <table border="1"> <thead> <tr> <th>AgeGroup</th> <th>Sex</th> <th>Year</th> <th>DrugCoverage</th> <th>MedicalCoverage</th> <th>Members</th> <th>Days Covered</th> </tr> </thead> <tbody> <tr><td>0-1</td><td>F</td><td>2002</td><td>All</td><td>All</td><td>481</td><td>116511</td></tr> <tr><td>0-1</td><td>M</td><td>2002</td><td>All</td><td>All</td><td>482</td><td>120600</td></tr> <tr><td>0-1</td><td>F</td><td>2003</td><td>All</td><td>All</td><td>695</td><td>173505</td></tr> <tr><td>0-1</td><td>M</td><td>2003</td><td>All</td><td>All</td><td>754</td><td>176484</td></tr> <tr><td>0-1</td><td>F</td><td>2004</td><td>All</td><td>All</td><td>1029</td><td>255518</td></tr> <tr><td>0-1</td><td>M</td><td>2004</td><td>All</td><td>All</td><td>1089</td><td>269859</td></tr> <tr><td>0-1</td><td>F</td><td>2005</td><td>All</td><td>All</td><td>1219</td><td>296285</td></tr> <tr><td>0-1</td><td>M</td><td>2005</td><td>All</td><td>All</td><td>1279</td><td>311709</td></tr> <tr><td>0-1</td><td>F</td><td>2006</td><td>All</td><td>All</td><td>1309</td><td>320766</td></tr> <tr><td>0-1</td><td>M</td><td>2006</td><td>All</td><td>All</td><td>1373</td><td>338203</td></tr> </tbody> </table>						AgeGroup	Sex	Year	DrugCoverage	MedicalCoverage	Members	Days Covered	0-1	F	2002	All	All	481	116511	0-1	M	2002	All	All	482	120600	0-1	F	2003	All	All	695	173505	0-1	M	2003	All	All	754	176484	0-1	F	2004	All	All	1029	255518	0-1	M	2004	All	All	1089	269859	0-1	F	2005	All	All	1219	296285	0-1	M	2005	All	All	1279	311709	0-1	F	2006	All	All	1309	320766	0-1	M	2006	All	All	1373	338203
AgeGroup	Sex	Year	DrugCoverage	MedicalCoverage	Members	Days Covered																																																																												
0-1	F	2002	All	All	481	116511																																																																												
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0-1	F	2003	All	All	695	173505																																																																												
0-1	M	2003	All	All	754	176484																																																																												
0-1	F	2004	All	All	1029	255518																																																																												
0-1	M	2004	All	All	1089	269859																																																																												
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0-1	F	2006	All	All	1309	320766																																																																												
0-1	M	2006	All	All	1373	338203																																																																												
<input type="button" value="Run"/> <input type="button" value="Hold"/> <input type="button" value="Reject"/> <input type="button" value="Add File"/> <input type="button" value="Delete File"/> <input type="button" value="Export Results..."/> <input type="button" value="Upload Results"/> <input type="button" value="Close"/>																																																																																		

**Figure 16 – DataMart Client Request Detail Form with Response**

3. Provide comments or instructions for the requestor (i.e., the Investigator) to view on the Portal when you run a query, hold or reject.



**Figure 17 – DataMart Client Response Upload Form**

Responding to a **file distribution query** follows the same query review process. Instead of downloading a query for execution, the DataMart Client downloads the file(s) and can later upload files in response to the request. A screenshot for responding to file distribution queries is below.

The screenshot shows the 'DataMart Client - Request Detail' window. At the top, there are several input fields: Network (Staging), Request Id (461), Request Name (File Distribution - 13), Request Time (09/18/2012 11:54 AM), Status (Submitted), Submitted By (BSwan), Email (bswan@lincolnpeak.com), Priority (Low), Due Date, Activity Desc, and Activity To (LPP - Atlanta Auto DM, LPP - Atlanta Manual DM, LPP - Boston Auto DM, LPP - Boston Manual DM, LPP - India Manual DM, LPP - India Auto). Below these are sections for 'Activity Desc' and 'Description'. A 'Note:' field contains the text 'Request'. Under 'Request', there is a table with columns: File, DocumentId,MimeType, Size, and IsViewable. It lists two files: 'PopMedNet Overview and...' (DocumentId 1804, application/octet-stream, 8388608) and 'Questions.docx' (DocumentId 1805, application/octet-stream, 12600). Both files have their 'IsViewable' checkboxes checked. Under 'Response', there is a similar table structure, but it is currently empty. At the bottom of the window are buttons for Run, Hold, Reject, Add File, Delete File, Export Results.., Upload Results, and Close.

Figure 18 – DataMart Client File Distribution Request Detail Form

### 3. System Security Policies and Features

PopMedNet™ has undergone a redesign of the user authentication and user authorization facility for release 3. The result is a more secure and flexible application with increased granularity over granting access rights to users. Additionally, the workflow processes from PMN 2 have been carried over to PMN 3 and integrated into the new authorization mechanism. The following sections provide an overview of these subsystems.

#### 3.1. User Authentication

**Authentication** is the process of obtaining identification credentials, such as name and password, from a user and validating those credentials against the PMN credential authority. If the credentials are valid, the user that submitted the credentials is considered an authenticated user. Once a user has been authenticated, the authorization process determines whether that user has access to a given resource as described under the User Authorization section below.

PopMedNet implements standard forms authentication provided by ASP.NET against a local user store that is part of the PMN database with custom interface implementation used to manage the PMN user object on whose behalf the code is running.

##### 3.1.1. User Credentials

Users are assigned a **Username** and **Password** which they use to register themselves to the PMN. These credentials are used to log into the Portal and when establishing a DataMart Client application secure connection to the PMN web services used to exchange requests and responses. Usernames are unique identifiers within a PMN network site instance. Strong passwords are enforced that require a combination of characters, digits, and special characters, and a minimum length of 9. Users are forced to change their passwords periodically based on a site-wide configurable parameter (see website configuration section).

### 3.1.2. Secure TLS Connections

All access to PMN Portal and to its web service interface is over secure connections using TLS (HTTPS). The DataMart Client application requires user credentials to establish a connection with the PMN Portal web services used to exchange requests and responses. As a convenience, the DataMart Client application stores the user's credentials in Windows Credential Manager to bypass credential prompting when starting the DataMart Client application.

### 3.1.3. Mutual Authentication using X.509 Certificates

The DataMart Client application may optionally be configured to require X509 certificates over a TLS connection enabling mutual authentication in a 2 factor design where the user is required to provide both a personal certificate recognized by PMN and a valid username/password.

See the DataMart Administrators guide for details on how to enable X509 certificate authentication.

## 3.2. User Authorization

**Authorization** determines whether a user should be granted access to a specific resource, such as the ability to submit a specific request to a set of DataMarts. In PMN 3, authorization is implemented and enforced through a security group framework described in the following sections.

### 3.2.1. Security Groups and Access Control Lists

The PMN Release 3 Access Control system is a significant change to the Roles/Rights system in PMN Release 2. PMN Release 3 provides more granularity, control, and flexibility. There are a number of key concepts that Administrators need to understand to use access control:

- ✓ Access Right – a right to perform a function or access a feature within PMN against a single object or object in the context of other objects.
- ✓ Security Objects – entities within PMN such as Organizations, Users, and DataMarts that require rights to access them.
- ✓ Access Control List (ACL) – the list of access rights attached to single security object or a tuple of security objects that are associated with a user or group of users who are members of a Security Group.
- ✓ Security Group – a named collection of users and other security groups that are assigned ACLs.

### 3.2.2. Access Right

An **Access Right** is the ability to permit or deny the use of a PMN feature or action by a user against an object type, specific object, or combination of objects. There are a large number of access rights defined within PMN. Rights affect the use of various PMN entities, such as Users, Organizations, and DataMarts, or a combination of objects. For instance, the ability to submit a specific request type to a given DataMart, or the ability to perform administrative tasks, such as creating organizations, users, and DataMarts.

The following image shows an Access Right in the Network/Access/Global permissions panel of the Portal that determines the right to manage global access rights within the network, and create organizations and DataMarts:

The screenshot displays the 'Global Permissions' section of the Network Access Page. It features a table where rows represent subjects (e.g., HPHC Operation Center\Administrators, Everyone) and columns represent rights (e.g., Manage Access, Skip Two-DataMart Rule). The 'Allow' column contains checkboxes, with the first row ('Manage Access') having its checkbox checked. The 'Default Organization Permissions' section below it shows a similar table for the same subjects, with the 'Edit' right also having its 'Allow' checkbox checked.

Subject	Right	Allow	Deny
[remove] HPHC Operation Center\Administrators	Manage Access	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Everyone	Skip Two-DataMart Rule	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Jeff's Demo Org\EnhancedInvestigators	Login	<input type="checkbox"/>	<input type="checkbox"/>
	List Requests	<input type="checkbox"/>	<input type="checkbox"/>
	List Users	<input type="checkbox"/>	<input type="checkbox"/>
	List DataMarts	<input type="checkbox"/>	<input type="checkbox"/>
	List Organizations	<input type="checkbox"/>	<input type="checkbox"/>
	List Security Groups	<input type="checkbox"/>	<input type="checkbox"/>
	List Org Groups	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Create Organizations	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Create Groups	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Run Events Log Report	<input type="checkbox"/>	<input type="checkbox"/>
	Event: New DataMart Client Version is Available	<input type="checkbox"/>	<input type="checkbox"/>

Subject	Right	Allow	Deny
[remove] HPHC Operation Center\Administrators	Manage Access	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Everyone	Edit	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Figure 19 – Network Access Page

Each right can be Allowed, Denied, or left unchecked. Clicking the “Allow” checkbox grants the user the right. Clicking the “Deny” checkbox explicitly denies the user the right even if the user had been granted the right through **inheritance**, a topic discussed later in this section. Leaving the right unchecked simply doesn’t grant or deny the user the right to perform the related action, so users inherited right applies if once has been specified.

### 3.2.3. Security Objects

**Security Objects** are PMN entities such as Organizations, Users, and DataMarts that expose access rights to perform actions against them. In some instances, the rights apply to a single kind of object; say the right to create Organizations. In other cases, the rights apply a given object in the context of another object(s), in which case we associate the right to a **tuple** (a set of) security objects. For instance, the right to submit a request type, say an ICD-9 Diagnosis

query, to a specific DataMart; call it “LPP – Atlanta Manual DM”. In this case, the “submit” right would be applied to the tuple **{ICD-9 Diagnosis, LPP – Atlanta Manual DM}**.

The following image shows the granting the rights to submit a set of request types to the LPP – Atlanta Manual DM to the Lincoln Peak Investigators security group:

The screenshot displays the 'DataMart Info' section of the PopMedNet interface. It includes fields for Name (LPP - Atlanta Manual DM), Organization (LPP - Atlanta), Contact First Name (Bruce), Contact Last Name (Swan), Contact Phone, and Contact Email. Below these are sections for Special Requirements, Usage Restrictions, and Health Plan Description.

Under the 'Access Control' section, a table lists various subjects and their rights. The subject 'Lincoln Peak\Investigators' is selected and highlighted in blue. The table shows the following rights and their status (Allow checked, Deny unchecked):

Subject	Right	Allow	Deny
[remove] HPHC Operation Center\Administrators	Manage Access	<input type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\DataMartAdministrator	Edit	<input type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Everyone	Delete	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Jeff's Demo Org\Investigators	Read	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\Administrators	Request Metadata Update	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\DataMartAdministrators	See Request Queue	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\Everyone	Upload Results	<input type="checkbox"/>	<input type="checkbox"/>
<b>[remove] Lincoln Peak\Investigators</b>	Hold Requests	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\QueryAdministrators	Reject Requests	<input type="checkbox"/>	<input type="checkbox"/>
[remove] LPP - Atlanta\Administrators	Install Models	<input type="checkbox"/>	<input type="checkbox"/>
[remove] LPP - Atlanta\DataMartAdministrators	Uninstall Models	<input type="checkbox"/>	<input type="checkbox"/>
[remove] LPP - Atlanta\Everyone	Run Audit Report	<input type="checkbox"/>	<input type="checkbox"/>
	Approve/Reject Responses	<input type="checkbox"/>	<input type="checkbox"/>
	Skip Response Approval	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Group/Ungroup Responses	<input type="checkbox"/>	<input type="checkbox"/>
	<b>ICD-9 Diagnosis</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<b>Reportable Disease</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<b>File Distribution</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<b>Inci: ICD-9 Diagnoses (3 digit codes)</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<b>Inci: Pharmacy Dispensings by Drug Class</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<b>Inci: Pharmacy Dispensings by Generic Name</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Metadata: Refresh Dates	<input type="checkbox"/>	<input type="checkbox"/>

**Figure 20 – DataMart Request Access Rights**

In the image above, note that DataMart objects have many rights, some of which are references to specific request types, others rights control how can administer the DataMart.

### 3.2.4. Access Control Lists

An **Access Control List (ACL)** is a collection of access rights attached to a security object or tuple of objects. An ACL contains a reference to the object or tuple of objects it applies to, and specifies which users that are granted or denied access to objects.

### 3.2.5. Security Groups

A **Security Group** is a collection of users and other security groups that are assigned rights relative to a security object or tuple of security objects. While ACL's can be assigned to a individual user, security Groups are a convenient way to manage rights within the network by defining the rights applied to a given group and then adding multiple users as members of that group. Users who are members of a security group take on the rights of that group. Users may be members of one or more security groups allowing them to take on multiple roles within the network.

Security groups are defined at the organizational level. Each Organization has a set of security groups by default that models the typical roles with PMN. The following image displays the security groups for the Lincoln Peak organization:

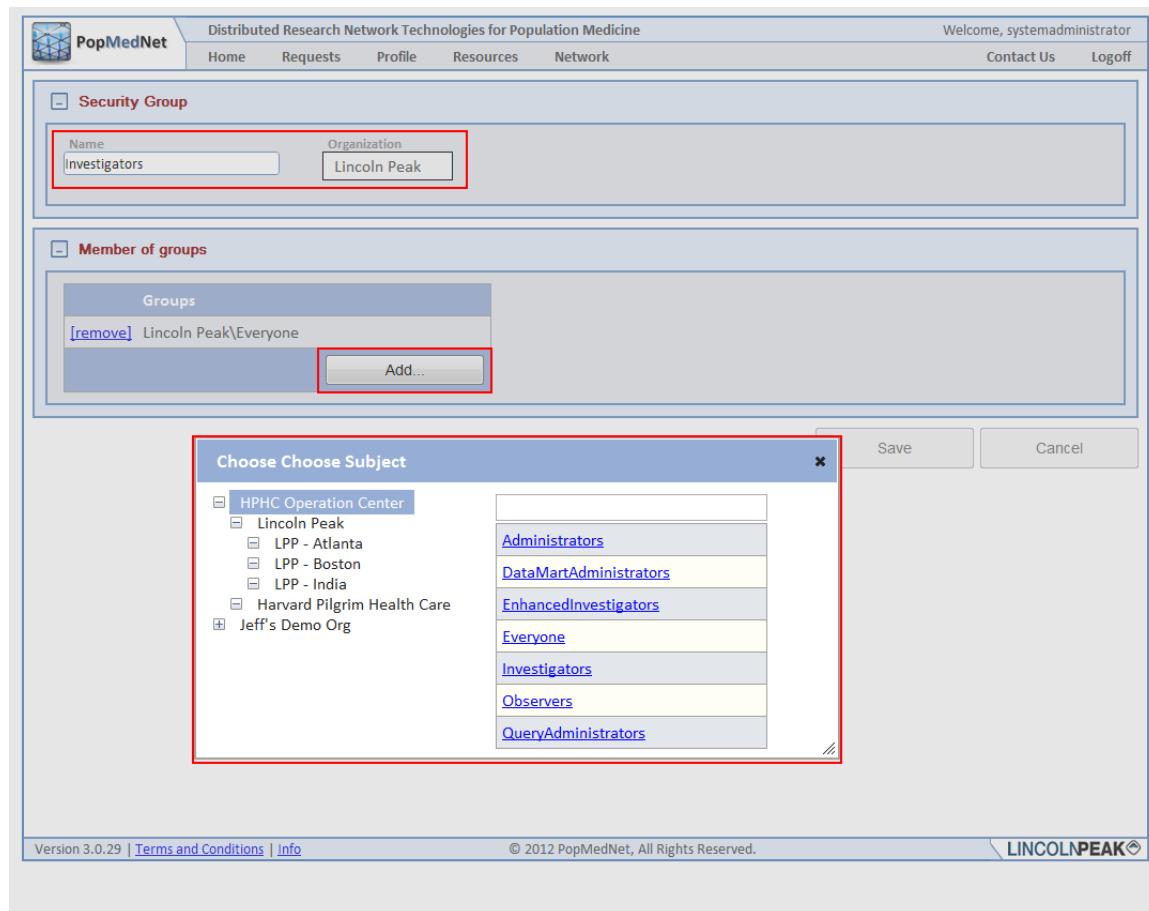
Name	Kind
<a href="#">Administrators</a>	Administrators
<a href="#">DataMartAdministrators</a>	DataMartAdministrators
<a href="#">EnhancedInvestigators</a>	EnhancedInvestigators
<a href="#">Everyone</a>	Everyone
<a href="#">Investigators</a>	Investigators
<a href="#">Observers</a>	Observers
<a href="#">QueryAdministrators</a>	QueryAdministrators

[Add Security Group](#)

### Figure 21 – Organization Security Groups

Custom security groups may be created by clicking the “Add Security Group” button. The security groups names are not unique across organizations, therefore they must be referenced using a fully qualified name composed of the organization that owns the security group followed by the “/” sign and then the group name, such as “Lincoln Peak/Investigators”. This distinguishes the Investigators group in Lincoln Peak from other organizations’ Investigators groups.

Security groups may contain other security groups by clicking on the security group name at the bottom of the organization page to navigate to the security group detail page. Next click the “Add” button in the Member of Groups panel, and then navigate choose other security groups to be members of this group.



### Figure 22 – Editing a Security Group

Organizations may have sub-organizations, so you may need to expand a parent organization to navigate to the groups in its sub-organizations in the organization tree control.

#### 3.2.6. Security Group Membership for Users

Users may be members of one or more Security Groups. This allows a single user account to be assigned multiple roles by simply adding the user as a member of one or more security groups on the user’s profile as illustrated in the following figure.

The screenshot shows the 'Contact Information' tab of a user profile. In the 'Member of Groups' section, there is a red box around the 'Groups' heading and the list of groups the user is currently a member of. An 'Add...' button is also highlighted with a red box. A modal dialog titled 'Choose Subject' is overlaid on the page, listing various security groups within the organization structure. The 'Lincoln Peak' group is selected. The modal has a red border and a close 'x' button.

Group
Administrators
DataMartAdministrators
EnhancedInvestigators
Everyone
Investigators
Observers
QueryAdministrators

**Figure 23 – Adding Users to Security Groups**

As is shown in the illustration, go to the user's profile and click the "Add ..." button in the "Member of Groups" panel to display the list of security groups within organization. Once the user is member of a group, the user inherits all the rights of the group.

### 3.2.7. Access Right Inheritance

As with PopMedNet™ Release 2, users may inherit rights set at a higher level in the organizational hierarchy. There are two types of inheritance within PMN: Organizational Inheritance and Membership Inheritance. These are discussed in the next two sections.

#### 3.2.7.1. Organizational Inheritance

Organizational inheritance is formed by assigning rights to users at either the PMN network Global / Default access controls (Network/Access Control) or by assigning rights to the user through their Organization or Group. For instance, if the user is granted a global access right, say to view individual DataMart results:

The screenshot shows the 'Global Permissions' section of the PopMedNet interface. It displays a list of inheritance levels: Global Permissions, Default Organization Permissions, Default Group Permissions, Default DataMart Permissions, Default User Permissions, and Default Request Permissions. The 'Default Request Permissions' section is expanded, showing a table of rights for two subjects: Lincoln Peak\fsoikin and Lincoln Peak\BSwan. A red box highlights the row for Lincoln Peak\BSwan. Another red box highlights the 'Change Routings After Submission' right under the 'View Results' group.

Subject	Right	Allow	Deny
[remove] Lincoln Peak\fsoikin	Edit	<input type="checkbox"/>	<input checked="" type="checkbox"/>
[remove] Lincoln Peak\BSwan	Delete	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Read	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Change Routings After Submission	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	View Submitted Request Status	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Skip Request Approval	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Approve/Reject Submission	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	View Results	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	View Individual Results	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Event: Request Status Changed	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Event: Request Reminder	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Event: Results Reminder	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Event: Results Viewed	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**Figure 24 – Global Inheritance**

Then the user will have this right for all DataMart results unless explicitly denied by a lower level entity. The following figure illustrates the inheritance link that is displayed at the user's organization for the rights that have been granted by the Network/Access Control page:

**Organization Information**

Name	Acronym	Parent
Lincoln Peak	LPP	<a href="#">HPHC Operation Center [x]</a>

**Access Control**

**Default DataMart ACL**

**Default Request ACL**

Subject	Right	Allow	Deny	
[remove] HPHC Operation Center\Administrators	Edit	<input type="checkbox"/>	<input type="checkbox"/>	
[remove] Lincoln Peak\Administrators	Delete	<input type="checkbox"/>	<input type="checkbox"/>	
<b>[remove] Lincoln Peak\BSwan</b>	Read	<input type="checkbox"/>	<input type="checkbox"/>	
[remove] Lincoln Peak\EnhancedInvestigators	Change Routings After Submission	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<a href="#">[inherited]</a>
[remove] Lincoln Peak\fsoikin	View Submitted Request Status	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<a href="#">[inherited]</a>
[remove] Lincoln Peak\Investigators	Skip Request Approval	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<a href="#">[inherited]</a>
[remove] Lincoln Peak\MSullivan	Approve/Reject Submission	<input type="checkbox"/>	<input type="checkbox"/>	
[remove] Lincoln Peak\Observers	View Results	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<a href="#">[inherited]</a>
[remove] Lincoln Peak\QueryAdministrators	View Individual Results	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<a href="#">[inherited]</a>

This privilege is not set on this object, but is inherited from up the hierarchy.  
Specifically, from: All Organizations x All Requests  
You can override this inheritance and set the privilege for this object individually.

In order to override the inheritance, please click [\[override\]](#)

**Default User ACL**

**Users**

**Figure 25 –Inheritance Popup Message**

As is shown the figure above, when inheritance is detected for a right, a “inherited” link is displayed. Clicking the link displays the inheritance override popup dialog. Clicking the “override” link allows the administrator to explicitly override the inherited right, such as denying the right for a specific organization show in the figure below:

The screenshot shows the 'Access Control' section of the PopMedNet interface. A specific row for the security group 'Lincoln Peak\BSwan' is highlighted with a red box. In the 'Allow' column for the 'View Individual Results' right, there is a checked checkbox, indicating that this right is explicitly denied for this user or group. Other rights listed include Edit, Delete, Read, Change Routings After Submission, View Submitted Request Status, Skip Request Approval, Approve/Reject Submission, View Results, Event: Request Status Changed, Event: Request Reminder, Event: Results Reminder, and Event: Results Viewed.

Subject	Right	Allow	Deny	
[remove] HPHC Operation Center\Administrators	Edit	<input type="checkbox"/>	<input type="checkbox"/>	
[remove] Lincoln Peak\Administrators	Delete	<input type="checkbox"/>	<input type="checkbox"/>	
[remove] Lincoln Peak\BSwan	Read	<input type="checkbox"/>	<input type="checkbox"/>	
[remove] Lincoln Peak\EnhancedInvestigators	Change Routings After Submission	<input checked="" type="checkbox"/>	<input type="checkbox"/>	[inherited]
[remove] Lincoln Peak\fsoikin	View Submitted Request Status	<input checked="" type="checkbox"/>	<input type="checkbox"/>	[inherited]
[remove] Lincoln Peak\Investigators	Skip Request Approval	<input checked="" type="checkbox"/>	<input type="checkbox"/>	[inherited]
[remove] Lincoln Peak\MSullivan	Approve/Reject Submission	<input type="checkbox"/>	<input type="checkbox"/>	
[remove] Lincoln Peak\Observers	View Results	<input checked="" type="checkbox"/>	<input type="checkbox"/>	[inherited]
[remove] Lincoln Peak\QueryAdministrators	View Individual Results	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Event: Request Status Changed	<input type="checkbox"/>	<input type="checkbox"/>	
	Event: Request Reminder	<input type="checkbox"/>	<input type="checkbox"/>	
	Event: Results Reminder	<input type="checkbox"/>	<input type="checkbox"/>	
	Event: Results Viewed	<input type="checkbox"/>	<input type="checkbox"/>	

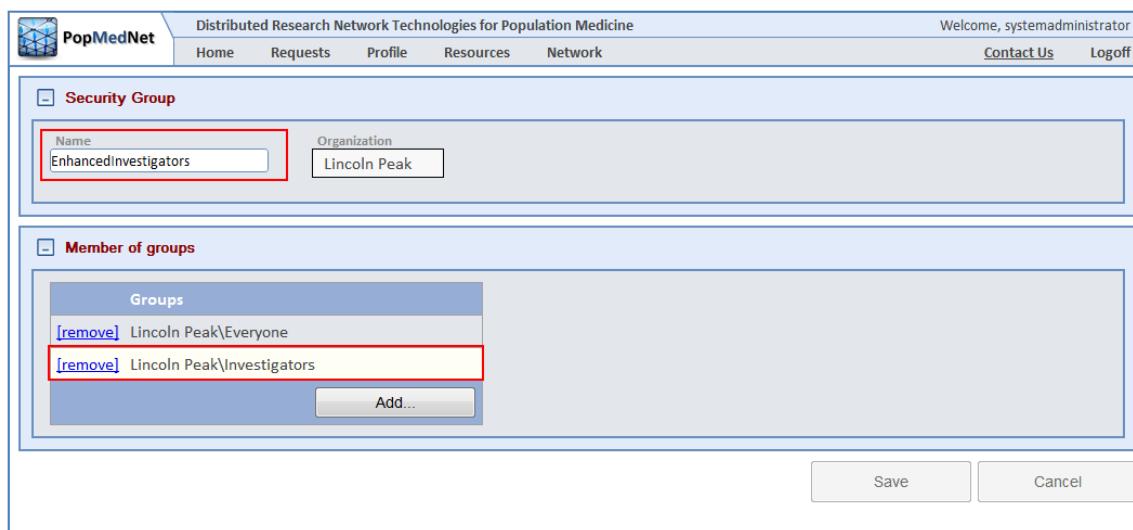
Below the table, there are buttons for Save, Cancel, and Delete. The bottom of the screen displays the version information 'Version 3.0.29 | Terms and Conditions | Info' and the copyright notice '© 2012 PopMedNet, All Rights Reserved.' A 'LINCOLNPEAK' logo is also present.

**Figure 26 – Global Inheritance Override**

In the above case, the user is explicitly denied from viewing individual results at the organization level that was inherited from the global default page. Similarly, overrides may be set for specific DataMarts by finding the user or security group in the Access Control panel of a given DataMart and overriding the inherited right.

### 3.2.8. Security Group Inheritance

The other way users inherit rights is by adding them to security groups that are members of other groups. For instance, a user may be a member of the “Enhanced Investigators” group, and the Enhanced Investigators group is a member of the Investigators group as follows:



**Figure 27 – Security Group Inheritance**

In this case, any users who are members of the Lincoln Peak\EnhancedInvestigator group will also inherit the rights of the Lincoln Peak\Investigators group since the EnhancedInvestigator group is a member of the Investigator's group.

### 3.2.9. Access Rights Reference

Access rights are divided into four areas:

- ✓ Global and Default Access Rights – used to manage network-wide settings and defaults across all PMN objects.
- ✓ Organizational Access Rights – used to manage access for each Organization including defaults for its users and DataMarts.
- ✓ DataMart Access Rights – used to manage access to each DataMart.
- ✓ Group Access Rights – used to manage access for each Group.

The following sections describe each of the PMN access rights.

#### 3.2.9.1. Global Permissions and Defaults

The Global Permissions can be found by navigating to the Network/Access Control page.

Category	Right	Description
Global Permissions	Manage Access	Ability to modify the global and default access rights
	Skip Two-DataMart Rule	Disables requirement for users to submit requests to DataMarts from at least two organizations different from their own
	Login	Ability to login
	List Requests	Ability to view the request queue
	List Users	Ability to view the list of network users
	List DataMarts	Ability to view the list of DataMarts

	List Organizations	Ability to view the list of organizations
	List Security Groups	Ability to view the list of security groups
	List Groups	Ability to view the list of groups
	Create Organizations	Ability to create new organizations
	Create Groups	Ability to create new groups
	Run Events Log Report	Ability to run event log report
	Event: New DataMart Client Version Available	Ability to subscribe to new DataMart client version available event
Default Organization Permissions	Manage Access	Ability to modify any organization's access rights
	Edit	Ability to modify any organization's profile
	Delete	Ability to delete any organization
	Read	Ability to view any organization's profile
	Create Users	Ability to create users for any organization
	Create DataMarts	Ability to create DataMarts for any organization
	Event: New Request Submitted	Ability to subscribe to new request submit events for all users
	Event: Organization Change	Ability to subscribe to subscribe to the event for changes for all organizations
Default Group Permissions	Manage Access	Ability to modify any group's access rights
	Edit	Ability to modify any group's profile
	Delete	Ability to delete any group
	Read	Ability to view any group's profile
	Event: Group Change	Ability to subscribe to changes for any group
Default DataMart Permissions	Manage Access	Ability to modify any DataMart's access rights
	Edit	Ability to modify any DataMart's profile
	Delete	Ability to delete any DataMart
	Read	Ability to view any DataMart's profile
	Request Metadata Update	Ability to issue a Metadata update request for any DataMart
	View Request Queue	Ability to view any DataMart's request queue in the DataMart client application
	Upload Responses	Ability to upload responses to all DataMarts' requests in the DataMart client application
	Hold Requests	Ability to hold responses for all DataMarts' request queue in the DataMart client application
	Reject Requests	Ability to reject responses for all DataMart's request queue in the DataMart client

		application
	Install Models	Ability to install models for any DataMart
	Uninstall Models	Ability to uninstall models for any DataMart
	Run Audit Report	Ability to run an audit report for any DataMart
	Approve/Reject Responses	Ability to approve or reject responses for any DataMart's requests
	Skip Response Approval	Ability to disable the requirement for responses to be approved by an administrator for all DataMarts
	Group/Ungroup Responses	Ability to group/ungroup multiple DataMart responses into a single virtual result
	Event: DataMart Change	Ability to subscribe any DataMart change event
Default User Permissions	Manage Access	Ability to modify any User's access rights
	Edit	Ability to modify the profile for any users
	Delete	Ability to delete any user account
	Read	Ability view any user profile
	Change Password	Ability to modify any user's password
	Change Login	Ability to modify any user's username
	Manage Notifications	Ability to modify any user's notification options
	Change X.509 Certificate	Ability to modify any user's X.509 certificate thumbprint
	Event: User Change	Ability to subscribe to the profile change event for any user
	Event: Registration Submitted	Ability to subscribe to new user registration events for all organizations
	Event Registration Status Changed	Ability to subscribe to any registration status change events for all organizations
Default Request Permissions ? don't light up save button if it isn't allowed ?	Edit	Ability to edit requests created by any user
? Broken, allowed by delete	Delete	Ability to delete requests created by any user
	Read	Ability to view requests created by any user
? broken, allowed me to change	Change Routings After Submission	Ability to change DataMart routings for all requests

routings ?		
? broken, allowed me to view another user's request status ?	View Submitted Request Status	Ability to view submitted request status for all requests
?	Skip Request Approval	Disables requirement for requests to be approved
?	Approve/Reject Submission	Ability to approve / reject request submitted by any user
?	View Results	Ability to view results from any user
?	View Individual Results	Ability to view individual results
?	Event: Request Status Changed	Ability to subscribe to the request status change event for requests created by any user
?	Event: Request Reminder	Ability to subscribe to the request reminder event for requests created by any user
?	Event: Results Reminder	Ability to subscribe to the results reminder change event for requests created by any user
?	Event: Results Viewed	Ability to subscribe to the results viewed event for requests created by any user

**Table 4 – Global Permissions**

### 3.2.9.2. Organization Permissions

The Organization Permissions can be found by clicking on an Organization after navigating to the Network/Organizations list page. These settings apply to the selected organization.

Category	Right	Description
Access Control	Manage Access	Ability to modify the organizational access rights
	Edit	Ability to modify the profile of the organization or sub-organizations
	Delete	Ability to delete organization or sub-organizations
	Read	Ability to view the organization's profile
	Create Users	Ability to create users for the organization or sub-organizations
	Create DataMarts	Ability to create DataMarts for the organizations or sub-organizations
	Event: New Request Submitted	Ability to subscribe to new request submit events for the organization's users and any sub-organizations
	Event: Organization Change	Ability to subscribe to subscribe to the event for changes to the organization and any sub-organizations

Default DataMart Permissions	Manage Access	Ability to modify the access rights for any DataMart of the organization or sub-organizations
	Edit	Ability to modify the profile of any DataMart's of the organization or sub-organizations
	Delete	Ability to delete any DataMart of the organization or sub-organizations
	Read	Ability to view the profile of any DataMart of the organization or sub-organizations
	Request Metadata Update	Ability to issue a Metadata update request for any DataMart of the organization or sub-organizations
	View Request Queue	Ability to view request queue in the DataMart client application of any DataMart of the organization or sub-organizations
	Upload Responses	Ability to upload responses to requests in the DataMart client application of any DataMart of the organization or sub-organizations
	Hold Requests	Ability to hold responses to requests in the DataMart client application of any DataMart of the organization or sub-organizations
	Reject Requests	Ability to reject responses for request in the DataMart client application of any DataMart of the organization or sub-organizations
	Install Models	Ability to install models for any DataMart of the organization or sub-organization
	Uninstall Models	Ability to uninstall models for any DataMart of the organization or sub-organizations
	Run Audit Report	Ability to run an audit report for any DataMart of the organization or sub-organizations
	Approve/Reject Responses	Ability to approve or reject responses for any DataMart of the organization or sub-organizations
	Skip Response Approval	Ability to disable the requirement for responses to be approved by an administrator for all DataMarts of the organization or sub-organizations
	Group/Ungroup Responses	Ability to group/ungroup multiple responses of any DataMart of the organization or sub-organizations into a single virtual result
	Event: DataMart Change	Ability to subscribe DataMart change event of any DataMart of the organization or sub-organizations
Default User	Manage Access	Ability to modify access rights of any users of

Permissions		the organization and sub-organization
	Edit	Ability to modify the profile for any users of the organization and sub-organizations
	Delete	Ability to delete any user account of the organization or sub-organizations
	Read	Ability view the profile of any user of the organization or sub-organizations
	Change Password	Ability to modify any user's password
	Change Login	Ability to modify any username of any user of the organization or sub-organizations
	Manage Notifications	Ability to modify the notification options of the user of the organization or sub-organizations
	Change X.509 Certificate	Ability to modify the X.509 certificate thumbprint of any user of the organization or sub-organizations
	Event: User Change	Ability to subscribe to the profile change event for any user of the organization or sub-organizations
	Event: Registration Submitted	Ability to subscribe to new user registration events for the organization and sub-organizations
	Event Registration Status Changed	Ability to subscribe to any registration status change events for the organization or any sub-organizations
Default Request Permissions	Edit	Ability to edit requests created by any user of the organization or sub-organizations
	Delete	Ability to delete requests created by any user of the organization or sub-organizations
	Read	Ability to view requests created by any user of the organization or sub-organizations
	Change Routings After Submission	Ability to change DataMart routings for all requests submitted by users of the organization or sub-organizations
	View Submitted Request Status	Ability to view submitted request status for all requests submitted by users of the organization or sub-organizations
	Skip Request Approval	Disables requirement for requests to be approved submitted by users of the organization or sub-organizations
	Approve/Reject Submission	Ability to approve / reject request submitted by users of the organization or sub-organizations
	View Results	Ability to view results from users of the organization or sub-organizations
	View Individual Results	Ability to view individual results of requests

		submitted by users of the organizations or sub-organizations
	Event: Request Status Changed	Ability to subscribe to the request status change event for requests created by users of the organization or sub-organizations
	Event: Request Reminder	Ability to subscribe to the request reminder event for requests created by users of the organization or sub-organizations
	Event: Results Reminder	Ability to subscribe to the results reminder change event for requests created by users of the organization or sub-organizations
	Event: Results Viewed	Ability to subscribe to the results viewed event for requests created by users of the organization or sub-organizations

**Table 5 – Organizational Permissions****3.2.9.3. DataMart Permissions**

The DataMart Permissions can be found by clicking on a DataMart after navigating to the Network/DataMarts list page. These settings apply to the selected DataMart.

Category	Right	Description
Access Control	Manage Access	Ability to modify the access rights for the selected DataMart
	Edit	Ability to modify the profile of the selected DataMart
	Delete	Ability to delete the selected DataMart
	Read	Ability to view the profile of the selected DataMart
	Request Metadata Update	Ability to issue a Metadata update request for the selected DataMart
	View Request Queue	Ability to view request queue in the DataMart client application of the selected DataMart
	Upload Responses	Ability to upload responses to requests in the DataMart client application of the selected DataMart
	Hold Requests	Ability to hold responses to requests in the DataMart client application of the selected DataMart
	Reject Requests	Ability to reject responses for request in the DataMart client application of the selected DataMart
	Install Models	Ability to install models for the selected DataMart
	Uninstall Models	Ability to uninstall models for the selected DataMart

	Run Audit Report	Ability to run an audit report for the selected DataMart
	Approve/Reject Responses	Ability to approve or reject responses for the selected DataMart
	Skip Response Approval	Ability to disable the requirement for responses to be approved by an administrator for the selected
	Group/Ungroup Responses	Ability to group/ungroup multiple responses of the DataMart into a single virtual result
	Event: DataMart Change	Ability to subscribe DataMart change event of the selected DataMart
ESP Query Builder	ICD-9 Diagnosis	
	Reportable Disease	
File Distribution	File Distribution	
Incidence Summary Queries	Inci: ICD-9 Diagnosis (3-digit)	
	Inci: Pharmacy Dispensings by Drug Class	
	Inci: Pharmacy Dispensings by Generic Name	
	Metadata Refresh Dates	
Prevalence Summary Queries	Prev: Dispensings by National Drug Code	
	Prev: Enrollment	
	Prev: HCPCS Procedures	
	Prev: ICD-9 Diagnosis (3-digit codes)	
	Prev: ICD-9 Diagnosis (4-digit codes)	
	Prev: ICD-9 Diagnosis (5-digit codes)	
	Prev: ICD-9 Procedures (3 digit codes)	
	Prev: ICD-9 Procedures (4 digit codes)	
	Prev: Pharmacy Dispensings by Drug Class	
	Prev: Pharmacy Dispensings by	

	Generic Name	
MFU Summary Queries	MFU: HCPCS Procedures	
	MFU: ICD-9 Diagnosis (3-digit codes)	
	MFU: ICD-9 Diagnosis (3-digit codes)	
	MFU: ICD-9 Diagnosis (4-digit codes)	
	MFU: ICD-9 Diagnosis (5-digit codes)	
	MFU: ICD-9 Procedures (3-digit codes)	
	MFU: ICD-9 Procedures (4-digit codes)	
	MFU: Pharmacy Dispensings by Drug Class	
	MFU: Pharmacy Dispensings by Generic Name	

**Table 6 – DataMart Permissions****3.2.10. Providing Access to DataMart Requests**

The primary purpose PMN is to allow investigators to issue queries to DataMarts. In PopMedNet™ 3, this is managed by granting the rights to issue a specific query or request to the user either indirectly via membership in a Security Group or directly by adding them to each DataMart and setting the access rights for specific requests. Once the user has the right to issue a query for at least one DataMart, this request type will become available in the new request dialog box, and the individual DataMart(s) for which the user has rights to issue the query will appear in the DataMart routings list at the bottom of the request detail page. The following figure shows an example of granting access an Investigator security group for a specific DataMart:

The screenshot displays the 'DataMart Info' section of the PopMedNet interface. It includes fields for Name (LPP - Atlanta Manual DM), Organization (LPP - Atlanta), Contact First Name (Bruce), Contact Last Name (Swan), Contact Phone, and Contact Email. Below these are sections for Usage Restrictions and Health Plan Description.

The 'Access Control' section lists subjects and their permissions. A red box highlights the 'ICD-9 Diagnosis' row. The table has columns for Subject, Right, Allow, and Deny.

Subject	Right	Allow	Deny
[remove] HPHC Operation Center\Administrators	Manage Access	<input type="checkbox"/>	<input checked="" type="checkbox"/>
[remove] HPHC Operation Center\DataMartAdministrator	Edit	<input type="checkbox"/>	<input checked="" type="checkbox"/>
[remove] HPHC Operation Center\Everyone	Delete	<input type="checkbox"/>	<input checked="" type="checkbox"/>
[remove] Jeff's Demo Org\Investigators	Read	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\Administrators	Request Metadata Update	<input type="checkbox"/>	<input checked="" type="checkbox"/>
[remove] Lincoln Peak\DataMartAdministrators	See Request Queue	<input type="checkbox"/>	<input checked="" type="checkbox"/>
[remove] Lincoln Peak\Everyone	Upload Results	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>[remove] Lincoln Peak\Investigators</b>	Hold Requests	<input type="checkbox"/>	<input checked="" type="checkbox"/>
[remove] Lincoln Peak\QueryAdministrators	Reject Requests	<input type="checkbox"/>	<input checked="" type="checkbox"/>
[remove] LPP - Atlanta\Administrators	Install Models	<input type="checkbox"/>	<input checked="" type="checkbox"/>
[remove] LPP - Atlanta\DataMartAdministrators	Uninstall Models	<input type="checkbox"/>	<input checked="" type="checkbox"/>
[remove] LPP - Atlanta\Everyone	Run Audit Report	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Approve/Reject Responses	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Skip Response Approval	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Group/Ungroup Responses	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	ICD-9 Diagnosis	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Reportable Disease	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	File Distribution	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Incl: ICD-9 Diagnoses (3 digit codes)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Incl: Pharmacy Dispensings by Drug Class	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Incl: Pharmacy Dispensings by Generic Name	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Metadata: Refresh Dates	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	MFU: HCPCS Procedures	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	MFU: ICD-9 Diagnoses (3 digit codes)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	MFU: ICD-9 Diagnoses (4 digit codes)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	MFU: ICD-9 Diagnoses (5 digit codes)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	MFU: ICD-9 Procedures (3 digit codes)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	MFU: ICD-9 Procedures (4 digit codes)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	MFU: Pharmacy Dispensings by Drug Class	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	MFU: Pharmacy Dispensings by Generic Name	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Prev: Dispensings by National Drug Code	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Prev: Enrollment	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Prev: HCPCS Procedures	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Prev: ICD-9 Diagnoses (3 digit codes)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Prev: ICD-9 Diagnoses (4 digit codes)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Prev: ICD-9 Diagnoses (5 digit codes)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Prev: ICD-9 Procedures (3 digit codes)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Prev: ICD-9 Procedures (4 digit codes)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Prev: Pharmacy Dispensings by Drug Class	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Prev: Pharmacy Dispensings by Generic Name	<input checked="" type="checkbox"/>	<input type="checkbox"/>

The 'Downloads' section provides links to download DataMart Client 32-bit version, DataMart Client 64-bit version, and Sample Database for Summary requests. At the bottom are Save, Cancel, and Delete buttons.

**Figure 28 – DataMart Request Permissions**

The access rights for requests appear once its Request Model is installed at the DataMart. The following figure shows the list of installed models for the DataMart in the above figure.

**DataMart Info**

Name	Organization	Contact First Name
LPP - Atlanta Manual DM	LPP - Atlanta	Bruce
Contact Last Name	Contact Phone	Contact Email
Special Requirements		
Usage Restrictions		
Health Plan Description		

**Installed Models**

Model	Last Metadata Request	Last Metadata Response
ESP Query Builder	N/A	N/A
File Distribution	N/A	N/A
Summary: Prevalence Queries	N/A	N/A
Summary: Incidence Queries	N/A	N/A
Summary: Most Frequently Used Queries	N/A	N/A

**Access Control**

Subject	Right	Allow	Deny
[remove] HPHC Operation Center\Administrators	Manage Access	<input type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\DataMartAdministrator	Edit	<input type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Everyone	Delete	<input type="checkbox"/>	<input type="checkbox"/>

**Figure 29 – DataMart Request Models**

For example, when the ESP Query Builder request model was installed at the above DataMart, the following requests became visible in the Access Control panel:

The screenshot displays the PopMedNet administrative interface for managing DataMart request types. It includes the following sections:

- DataMart Info:** Fields for Name (LPP - Atlanta Manual DM), Organization (LPP - Atlanta), Contact First Name (Bruce), Contact Last Name (Swan), Contact Phone, Contact Email, Special Requirements, Usage Restrictions, and Health Plan Description.
- Installed Models:** A table showing the status of various models:
 

Model	Last Metadata Request	Last Metadata Response
ESP Query Builder	N/A	N/A
File Distribution	N/A	N/A
Summary: Prevalence Queries	N/A	N/A
Summary: Incidence Queries	N/A	N/A
Summary: Most Frequently Used Queries	N/A	N/A

 Buttons for Install... and Uninstall are present at the bottom right.
- Access Control:** A grid of permissions for various subjects. Notable rows include:
  - HPHC Operation Center\Administrators: Manage Access, Edit, Delete, Read, Request Metadata Update, See Request Queue, Upload Results, Hold Requests, Reject Requests, Install Models, Uninstall Models, Run Audit Report, Approve/Reject Responses, Skip Response Approval, Group/Ungroup Responses.
  - Jeff's Demo Org\Investigators: ICD-9 Diagnosis, Reportable Disease.
  - Lincoln Peak\Administrators: File Distribution, Inci: ICD-9 Diagnoses (3 digit codes), Inci: Pharmacy Dispensings by Drug Class, Inci: Pharmacy Dispensings by Generic Name, Metadata: Refresh Dates, MFU: HCPCS Procedures, MFU: ICD-9 Diagnoses (3 digit codes), MFU: ICD-9 Diagnoses (4 digit codes), MFU: ICD-9 Diagnoses (5 digit codes), MFU: ICD-9 Procedures (3 digit codes), MFU: ICD-9 Procedures (4 digit codes), MFU: Pharmacy Dispensings by Drug Class, MFU: Pharmacy Dispensings by Generic Name, Prev: Dispensings by National Drug Code, Prev: Enrollment, Prev: HCPCS Procedures, Prev: ICD-9 Diagnoses (3 digit codes), Prev: ICD-9 Diagnoses (4 digit codes), Prev: ICD-9 Diagnoses (5 digit codes), Prev: ICD-9 Procedures (3 digit codes), Prev: ICD-9 Procedures (4 digit codes), Prev: Pharmacy Dispensings by Drug Class, Prev: Pharmacy Dispensings by Generic Name.
  - LPP - Atlanta\Everyone: ICD-9 Diagnosis, Reportable Disease.
 A red box highlights the "ICD-9 Diagnosis" and "Reportable Disease" rows under Lincoln Peak\Everyone.
- Downloads:** Links to Download DataMart Client 32-bit version, Download DataMart Client 64-bit version, and Download Sample Database for Summary requests.

At the bottom are Save, Cancel, and Delete buttons, along with a footer note: Version 3.0.29 | Terms and Conditions | Info © 2012 PopMedNet, All Rights Reserved. LINCOLNPEAK®

**Figure 30 – DataMart Request Type Permissions**

### 3.2.11. Built-in Security Groups

As was described in the sections above, PMN uses group-based access control to give users permission to perform certain functions. The system currently has six built-in security groups for each organization. The following lists these groups along with a brief description of their purpose.

- ✓ Everyone - can perform minimum functions such as the ability to login and navigate the network organizations, users, and DataMarts.
- ✓ Administrators - can add new data partners; create groups, organizations, and roles; add/delete users; re-set passwords; and view all queries submitted.
- ✓ Investigators - can submit queries to DataMarts that have given them or their organization permission to submit queries and view only aggregated query results.
- ✓ Enhanced Investigators - can submit queries to DataMarts that have given them or their organization permission to submit queries and review their query results. This group has the additional right to view site results individually across the organizations within the query.
- ✓ Query Administrators - approves outgoing queries for an organization-useful for query budgeting. This role also acts like an Enhanced Investigator for querying and viewing results.
- ✓ Response Administrators - able to review, aggregate, and release results for an organization or group. A group of data partners can designate a person as the group administrator, and select rules that require the group administrator to review group results before the results are released to the requestor. Results can be released individually or as an aggregate.
- ✓ DataMart Administrator - manages the local DataMart(s) for each data partner. This group can set DataMart preferences on the Portal and DataMart Client (e.g., what data can be queried and by whom). There can be one or more DataMart Administrators per data partner. DataMart Administrators cannot send queries to other DataMarts.
- ✓ Observer - can view queries and results and get notifications for all users within the user's organization and sub-organization.

Additional security groups may be defined and developed at the discretion of a Network or Organizational Administrator in accordance with the governance of the system.

### 3.3. Request / Response Workflow Policies

In addition to Authentication and Authorization facilities described above, there are a set of workflow policies that may be enabled in PMN.

### 3.3.1. Review and Approve Requests

PopMedNet provides a policy that requires requests to be reviewed and either approved or rejected by administrators. This policy is enabled by first ensuring the “Skip request approval” rights is disabled on all users that require their requests to be reviewed and then granting the “Approve/Reject Submission” right and the “Read” permission in the “Default Request ACL” panel of the organization, or global access page, of the users (Administrators) performing the review. This will allow administrators to review and approve requests for all users’ requests requiring approval within their organization or sub-organizations.

The following figure illustrates the settings for users whose queries should be reviewed:

The screenshot shows the 'Default Request ACL' section of the PopMedNet web application. The 'Subject' column lists various users and groups under the 'Lincoln Peak' organization. The 'Right' column defines permissions, and the 'Allow' and 'Deny' columns contain checkboxes. A red box highlights the row for 'Lincoln Peak\Investigators', where the 'Skip Request Approval' checkbox is unchecked, indicating it is disabled for this user.

Subject	Right	Allow	Deny
[remove] HPHC Operation Center\Administrators	Edit	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\Administrators	Delete	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\BSwan	Read	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\EnhancedInvestigators	Change Routings After Submission	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\fsoikin	View Submitted Request Status	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>[remove] Lincoln Peak\Investigators</b>	Skip Request Approval	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\MSullivan	Approve/Reject Submission	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\Observers	View Results	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\QueryAdministrators	View Individual Results	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Event: Request Status Changed	<input type="checkbox"/>	<input type="checkbox"/>
	Event: Request Reminder	<input type="checkbox"/>	<input type="checkbox"/>
	Event: Results Reminder	<input type="checkbox"/>	<input type="checkbox"/>
	Event: Results Viewed	<input type="checkbox"/>	<input type="checkbox"/>

**Figure 31 – Request Review Required Permissions**

The following figure illustrates the settings users (Administrators) performing the review:

The screenshot shows the 'Default Request ACL' section of the PopMedNet interface. It lists users and their permissions. The 'Read' and 'Approve/Reject Submission' checkboxes are highlighted with red boxes.

Subject	Right	Allow	Deny
[remove] HPHC Operation Center\Administrators	Edit	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\Administrators	Delete	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\BSwan	Read	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\EnhancedInvestigators	Change Routings After Submission	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\fsoikin	View Submitted Request Status	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\Investigators	Skip Request Approval	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\MSullivan	Approve/Reject Submission	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\Observers	View Results	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\QueryAdministrators	View Individual Results	<input type="checkbox"/>	<input type="checkbox"/>
	Event: Request Status Changed	<input type="checkbox"/>	<input type="checkbox"/>
	Event: Request Reminder	<input type="checkbox"/>	<input type="checkbox"/>
	Event: Results Reminder	<input type="checkbox"/>	<input type="checkbox"/>
	Event: Results Viewed	<input type="checkbox"/>	<input type="checkbox"/>

**Figure 32 – Request Review / Approve Permissions**

By default, all users require their requests to be approved; this feature must be explicitly disabled to prevent this. Also, rather than grant rights to individual users, network and organizational administrators can add users who will perform the review to the QueryAdministrators security group of organization or parent organization of the users being reviewed.

### 3.3.2. Review and Approve DataMart Responses

PopMedNet provides a policy that requires responses to be reviewed and either approved or rejected by administrators. This policy is enabled by first ensuring the “Skip response approval” rights is disabled on all users that require their responses to be reviewed and then granting the “Approve/Reject Response” right in the “Default DataMart ACL” panel and the “Read” permission in the “Default Request ACL” panel of the organization, or global access page, of the users performing the review. This will allow administrators to review and approve responses for all users’ requests requiring approval within the response administrator’s organization or sub-organization.

The following figure shows the settings for users who require their responses to be reviewed:

The screenshot shows the PopMedNet application interface. At the top, there is a navigation bar with links for Home, Requests, Profile, Resources, Network, Contact Us, and Logoff. The main content area is titled "Organization Information" and contains fields for Name (Lincoln Peak), Acronym (LPP), and Parent (HPHC Operation Center). Below this is a section titled "Access Control" which displays a table of users and their permissions. A specific row for "Lincoln Peak\Investigators" is highlighted with a red box around the "Skip Response Approval" column. The table also includes columns for Right, Allow, and Deny. Other rows listed include HPHC Operation Center\Administrators, HPHC Operation Center\DataMartAdministrator, HPHC Operation Center\Everyone, Lincoln Peak\Administrators, Lincoln Peak\DataMartAdministrators, Lincoln Peak\Everyone, Lincoln Peak\QueryAdministrators, Lincoln Peak\ResponseAdministrators, and Lincoln Peak\Investigators. At the bottom of the access control table is an "Add..." button. The final section visible is "Default Request ACL".

**Figure 33 – DataMart Client Application Request Review / Approve Permissions**

The following figure shows the settings assigned to response administrators:

Subject	Right	Allow	Deny
[remove] HPHC Operation Center\Administrators	Manage Access	<input type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\DataMartAdministrator	Edit	<input type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Everyone	Delete	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\Administrators	Read	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\DataMartAdministrators	Request Metadata Update	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\Everyone	See Request Queue	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\QueryAdministrators	Upload Results	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\ResponseAdministrators	Hold Requests	<input type="checkbox"/>	<input type="checkbox"/>
	Reject Requests	<input type="checkbox"/>	<input type="checkbox"/>
	Install Models	<input type="checkbox"/>	<input type="checkbox"/>
	Uninstall Models	<input type="checkbox"/>	<input type="checkbox"/>
	Run Audit Report	<input type="checkbox"/>	<input type="checkbox"/>
	Approve/Reject Responses	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Skip Response Approval	<input type="checkbox"/>	<input type="checkbox"/>
	Group/Ungroup Responses	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Event: DataMart Change	<input type="checkbox"/>	<input type="checkbox"/>

**Figure 32 – Response Review / Approve Permissions**

Alternatively, users to perform response reviews may be added to the ResponseAdministrators security group of the organization or its sub-organizations of the users who require the review.

#### 4. PopMedNet™ Sample Governance Policies

Each network using the PopMedNet™ system can develop and implement its own governance policies. This section provides a set of sample governance policies for illustration purposes. The policies listed below are available for use or customization based on network needs; additional policies can be designed and implemented as required by a network.

- ✓ Representatives from HPHCI and LPP will serve as network administrators.
- ✓ New data partners and network users can only be added to the network by the Network Administrator and in accordance with network governance policies.
- ✓ Security group-based access control gives network users permission to perform certain functions; network users who have two roles (e.g., Investigator and DataMart Administrator) by adding them to their organization's security groups for those functions. These users can use a single account that to perform their duties since they may be a member of multiple groups in PopMedNet™ Release 3.
- ✓ Approved partners may view site-specific results, all others will only be able to view aggregated results; network rules will ensure results cannot be disaggregated.

- ✓ Data partners will appoint one or more individuals to serve as DataMart Administrators for their sites. DataMart Administrators will be responsible for responding to queries distributed to their DataMart through the network.
- ✓ DataMart Administrators will retain full control over access to their data and of the transmission of query results. They will have the ability to accept or reject each query on a case-by-case basis.
- ✓ Data partners may use the network to query their own data.
- ✓ DataMart Administrators can, at any time, create audit reports of activity related to their DataMart.
- ✓ DataMart Administrators will determine their DataMart access settings on the Portal, including contact information, the tables available for querying, and the users/organizations/groups able to send queries. These settings can be changed at any time.
- ✓ System Administrators will not alter any DataMart settings without prior approval of a DataMart Administrator; DataMart Administrators can opt to be alerted via email when any DataMart settings change.
- ✗ ~~Users are restricted to a maximum of 10 items in a single query (e.g., users can select up to 10 drugs).~~
- ✓ Query results may not be used in a proposal or in any report without the consent of the Network member organization where the data originated.
- ✓ No publication or external report other than use in research proposals is permitted.

## 5. Managing PopMedNet Entities

The following lists the PopMedNet entities that are managed through the Portal:

- ✓ Organizations
- ✓ Groups
- ✓ DataMarts
- ✓ Users
- ✓ Security Groups

The following sections describe how to use PMN to manage these entities.

### 5.1. Managing Organizations

Organizations are a collection of Users and DataMarts that model real world organizations. Organizations may have zero, one, or more sub-organizations but a sub-organization may have only a single parent organization. Establishing an organizational hierarchy allows some PMN features to extend to sub-organizations. For instance, users with access rights for to review and approve requests submitted by users in their organization can also view and approve requests of users in sub-organizations.

#### 5.1.1. Viewing and Creating Organizations

There are a set of access rights that determine whether users can view, create, edit, and delete organizations. These rights may be applied at the global level or within an existing organization.

The following figure shows the global access rights to manage organizations for the entire network:

The screenshot displays two permission management interfaces. The top section, titled "Global Permissions", lists subjects (Administrators, Everyone, EnhancedInvestigators) and their rights across various administrative functions. The bottom section, titled "Default Organization Permissions", lists subjects and their rights specifically for managing organizations. Both sections include an "Add..." button for adding new subjects.

Subject	Right	Allow	Deny
[remove] HPHC Operation Center\Administrators	Manage Access	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Everyone	Skip Two-DataMart Rule	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Jeff's Demo Org\EnhancedInvestigators	Login	<input type="checkbox"/>	<input type="checkbox"/>
	List Requests	<input type="checkbox"/>	<input type="checkbox"/>
	List Users	<input type="checkbox"/>	<input type="checkbox"/>
	List DataMarts	<input type="checkbox"/>	<input type="checkbox"/>
	<b>List Organizations</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	List Security Groups	<input type="checkbox"/>	<input type="checkbox"/>
	List Org Groups	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<b>Create Organizations</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Create Groups	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Run Events Log Report	<input type="checkbox"/>	<input type="checkbox"/>
	Event: New DataMart Client Version is Available	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Subject	Right	Allow	Deny
[remove] HPHC Operation Center\Administrators	Manage Access	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Everyone	Edit	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Delete	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Read	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Create Users	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<b>Create DataMarts</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Event: New Request Submitted	<input type="checkbox"/>	<input type="checkbox"/>
	Event: Organization Change	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Figure 33 – Manage Organizations Permissions**

An organization is created by clicking the “Add Organization” button in the Organization List page as shown in the following figure:

Name	Acronym	Parent
<a href="#">Harvard Pilgrim Health Care</a>	HPHC	HPHC Operation Center
<a href="#">HPHC Operation Center</a>	HPHC-OPS	
<a href="#">Jeff's Demo Org</a>	DemoJB	
<a href="#">Lincoln Peak</a>	LPP	HPHC Operation Center
<a href="#">LPP - Atlanta</a>	LPP-ATLX	Lincoln Peak
<a href="#">LPP - Boston</a>	LPP-BOS	Lincoln Peak
<a href="#">LPP - India</a>	LPP-INDIA	Lincoln Peak

[Add Organization](#)

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**Figure 34 – Add Organization Page**

Once an organization is created, enter the organization’s name, acronym, and parent organization or “None” if this is a root organization.

#### 5.1.2. Controlling Access to Organizations and their Users and DataMarts

Use the Access Control panel to allow access to features within the organization as shown in the following figure:

The screenshot shows the 'Organization Information' section of the PopMedNet administration interface. It includes fields for Name (Harvard Pilgrim Health Care), Acronym (HPHC), and Parent (HPHC Operation Center). Below this is the 'Access Control' section, which displays a table of permissions for various subjects. The table has columns for Subject, Right, Allow, and Deny. A red box highlights the first row of the table.

Subject	Right	Allow	Deny
[remove] Harvard Pilgrim Health Care\Administrators	Manage Access	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] Harvard Pilgrim Health Care\Everyone	Edit	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] Harvard Pilgrim Health Care\Observers	Delete	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Administrators	Read	<input type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Everyone	Create Users	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Create DataMarts	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Event: New Request Submitted	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Event: Organization Change	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Below the table are sections for Default DataMart ACL, Default Request ACL, and Default User ACL. At the bottom are buttons for Save, Cancel, and Delete, along with copyright information and the Lincoln Peak logo.

**Figure 35 –Organization Permissions**

There are sections to allow defaults to be set for Users and DataMarts that are members of the organization as shown in the following figure:

**Organization Information**

Name: Harvard Pilgrim Health Care	Acronym: HPHC	Parent: <a href="#">HPHC Operation Center [x]</a>
-----------------------------------	---------------	---

**Access Control**

**Default DataMart ACL**

Subject	Right	Allow	Deny
[remove] Harvard Pilgrim Health Care\Administrators	Manage Access	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] Harvard Pilgrim Health Care\DataMartAdministrators	Edit	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] Harvard Pilgrim Health Care\Everyone	Delete	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Administrators	Read	<input type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\DataMartAdministrator	Request Metadata Update	<input type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Everyone	See Request Queue	<input type="checkbox"/>	<input type="checkbox"/>
	Upload Results	<input type="checkbox"/>	<input type="checkbox"/>
	Hold Requests	<input type="checkbox"/>	<input type="checkbox"/>
	Reject Requests	<input type="checkbox"/>	<input type="checkbox"/>
	Install Models	<input type="checkbox"/>	<input type="checkbox"/>
	Uninstall Models	<input type="checkbox"/>	<input type="checkbox"/>
	Run Audit Report	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Approve/Reject Responses	<input type="checkbox"/>	<input type="checkbox"/>
	Skip Response Approval	<input type="checkbox"/>	<input type="checkbox"/>
	Group/Ungroup Responses	<input type="checkbox"/>	<input type="checkbox"/>
	Event: DataMart Change	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Default Request ACL**

Subject	Right	Allow	Deny
[remove] Harvard Pilgrim Health Care\Administrators	Edit	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Harvard Pilgrim Health Care\EnhancedInvestigators	Delete	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Harvard Pilgrim Health Care\Observers	Read	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Harvard Pilgrim Health Care\QueryAdministrators	Change Routings After Submission	<input type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Administrators	View Submitted Request Status	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\BSwan	Skip Request Approval	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\fsoikin	Approve/Reject Submission	<input type="checkbox"/>	<input type="checkbox"/>
	View Results	<input type="checkbox"/>	<input type="checkbox"/>
	View Individual Results	<input type="checkbox"/>	<input type="checkbox"/>
	Event: Request Status Changed	<input type="checkbox"/>	<input type="checkbox"/>
	Event: Request Reminder	<input type="checkbox"/>	<input type="checkbox"/>
	Event: Results Reminder	<input type="checkbox"/>	<input type="checkbox"/>
	Event: Results Viewed	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Default User ACL**

Subject	Right	Allow	Deny
[remove] Harvard Pilgrim Health Care\Administrators	Manage Access	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] Harvard Pilgrim Health Care\Everyone	Edit	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Administrators	Delete	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Everyone	Read	<input type="checkbox"/>	<input type="checkbox"/>
	Change password	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Change login	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Manage notifications	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Change X.509 Certificate	<input type="checkbox"/>	<input type="checkbox"/>
	Event: User Change	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Event: Registration Submitted	<input type="checkbox"/>	<input type="checkbox"/>
	Event: Registration Approved	<input type="checkbox"/>	<input type="checkbox"/>
	Event: Registration Rejected	<input type="checkbox"/>	<input type="checkbox"/>

**Users**

**DataMarts**

**Security Groups**

Save | Cancel | Delete

Figure 35 –Organization Permissions

The Default DataMart ACL and Default User ACL settings apply to DataMarts and Users owned by this organization and any sub-organization. The Default Request ACL settings apply to requests submitted by users of the organization or its sub-organizations. The default settings may be overridden at a lower level organization or by user.

### 5.1.3. Configuring an Organization's Security Groups

Each Organization is created with a set of built-in Security Groups. These groups may be edited to change their name or to add membership to other security groups by clicking on the group name.

The screenshot shows the 'Security Group' configuration page for the 'Administrators' group. The 'Name' field is set to 'Administrators' and the 'Organization' is 'Lincoln Peak'. In the 'Member of groups' section, the 'Groups' dropdown contains 'Lincoln Peak\Everyone'. A red box highlights this dropdown. At the bottom right are 'Save' and 'Cancel' buttons. The footer includes links for Version 3.0.29, Terms and Conditions, and Info, along with a Lincoln Peak logo.

**Figure 36 –Organization Security Group Detail Page**

Adding membership to other security groups allows the member group to inherit the rights of the added group. Custom groups may be created by clicking Add Security Group button:

Distributed Research Network Technologies for Population Medicine

Welcome, systemadministrator

Contact Us Logoff

**Organization Information**

Name	Acronym	Parent
Lincoln Peak	LPP	<a href="#">HPHC Operation Center [x]</a>

**Access Control**

**Default DataMart ACL**

**Default Request ACL**

**Default User ACL**

**Users**

**DataMarts**

**Security Groups**

Name	Kind
Administrators	Administrators
DataMartAdministrators	DataMartAdministrators
EnhancedInvestigators	EnhancedInvestigators
Everyone	Everyone
Investigators	Investigators
Observers	Observers
QueryAdministrators	QueryAdministrators
ResponseAdministrators	Custom

Add Security Group

Save Cancel Delete

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**Figure 37 –Add Organization Security Group**

Custom security groups behave like built-in security groups. Once the organization is configured, Users and DataMarts may be added to the organization by either clicking the Add button in the DataMarts list panel or Users list panel respectively.

The screenshot shows the PopMedNet administrative interface. At the top, there is a navigation bar with links for Home, Requests, Profile, Resources, Network, Contact Us, and Logoff. The main content area is titled "Organization Information". It contains sections for "Access Control", "Default DataMart ACL", "Default Request ACL", and "Default User ACL". Below these is a "Users" section with a table:

Username	FirstName	MiddleName	LastName	Email
<a href="#">LPPAtlantaAdministrator</a>	LPP-ATL		Administrator	bswan@lincolnpeak.com
<a href="#">LPPAtlantaDataMartAdministrator</a>	LPP-ATL		DataMartAdministrator	bswan@lincolnpeak.com
<a href="#">LPPAtlantaObserver</a>	LPP-ATL		Observer	bswan@lincolnpeak.com

A red-bordered "Add User" button is located at the bottom right of this table.

Below the users section is a "DataMarts" section with a table:

Name
<a href="#">LPP - Atlanta Auto DM</a>
<a href="#">LPP - Atlanta Manual DM</a>

A red-bordered "Add DataMart" button is located at the bottom right of this table.

At the bottom of the page are buttons for Save, Cancel, and Delete. The footer includes links for Version 3.0.29, Terms and Conditions, and Info, along with a Lincoln Peak logo.

**Figure 38 –Add Organization Users and DataMarts**

Alternatively, DataMarts and Users can be added off the Network/DataMarts and Network/Users lists, respectively.

The screenshot shows the PopMedNet web application interface. At the top, there is a navigation bar with links for Home, Requests, Profile, Resources, Network, Contact Us, and Logoff. The main content area is titled "DataMarts". A table lists various DataMarts with their names and organizations. The table has two columns: "Name" and "Organization". The "Name" column contains entries like "Demo DM- Auto", "Demo DM-Manual", "LPP - Atlanta Auto DM", etc. The "Organization" column contains entries like "Jeff's Demo Org", "Jeff's Demo Org", "LPP - Atlanta", etc. At the bottom right of the table, there is a red-bordered button labeled "Add DataMart".

Name	Organization
<a href="#">Demo DM- Auto</a>	Jeff's Demo Org
<a href="#">Demo DM-Manual</a>	Jeff's Demo Org
<a href="#">LPP - Atlanta Auto DM</a>	LPP - Atlanta
<a href="#">LPP - Atlanta Manual DM</a>	LPP - Atlanta
<a href="#">LPP - Boston Auto DM</a>	LPP - Boston
<a href="#">LPP - Boston Manual DM</a>	LPP - Boston
<a href="#">LPP - India Auto DM</a>	LPP - India
<a href="#">LPP - India Manual DM</a>	LPP - India
<a href="#">RootDataMart</a>	PHPC Operation Center
<a href="#">RootDataMart2</a>	PHPC Operation Center

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**Figure 39 –Add DataMarts**

The screenshot shows the 'Users' management page in the PopMedNet portal. At the top, there's a navigation bar with links for Home, Requests, Profile, Resources, Network, Contact Us, and Logoff. The main content area is titled 'Users' and displays a table of user information. The columns are: Username, FirstName, MiddleName, LastName, Email, and Organization. The table lists 20 users, each with a unique username like 'ABahl', 'BSwan', etc., and their corresponding details. At the bottom of the table, there are page navigation buttons ('Page: 1 2') and a red-bordered 'Add User' button.

Username	FirstName	MiddleName	LastName	Email	Organization
ABahl	Ashish		Bahl	ashishbahl22@gmail.com	Lincoln Peak
BSwan	Bruce	M	Swan	bswan@lincolnpeak.com	Lincoln Peak
DataMartAdministrator	DataMart		Administrator	DataMartAdministrator@root.com	HPHC Operation Center
ddee	Daniel		Dee	ddee@lincolnpeak.com	Lincoln Peak
Enhanced_Investigator	Enhanced		Investigator	EnhancedInvestigator@root.org	HPHC Operation Center
fsoikin	Fyodor		Soikin	fsoikin@lincolnpeak.com	Lincoln Peak
GroupDataMartAdministrator	GroupDataMart		Administrator	GroupDataMartAdministrator@root.org	HPHC Operation Center
HPHCOpCenterAdministrator	HPHC OPS		Administrator	bswan@lincolnpeak.com	HPHC Operation Center
Investigator	Investigator			Investigator@root.org	HPHC Operation Center
jbrown1	Jeff		Brown	Jeff_Brown@hphc.org	Jeff's Demo Org
jladd	Jeffrey		Ladd	jladd@lincolnpeak.com	Lincoln Peak
JTrussellDataMartAdministrator	John		Trussell	jtrussell@lincolnpeak.com	LPP - Boston
jtrussellInvestigator	John		Trussell	jtrussell@lincolnpeak.com	LPP - Boston
Ibalaconis	Liz		Balaconis	elizabeth_balaconis@hphc.org	Jeff's Demo Org
LPPAdministrator	LPP		Administrator	bswan@lincolnpeak.com	Lincoln Peak
LPPAtlantaAdministrator	LPP-ATL		Administrator	bswan@lincolnpeak.com	LPP - Atlanta
LPPAtlantaDataMartAdministrator	LPP-ATL		DataMartAdministrator	bswan@lincolnpeak.com	LPP - Atlanta
LPPAtlantaObserver	LPP-ATL		Observer	bswan@lincolnpeak.com	LPP - Atlanta
LPPBostonAdministrator	LPPBoston		Administrator	msullivan@lincolnpeak.com	LPP - Boston
LPPBostonDataMartAdministrator	LPPBoston		DataMartAdministrator	msullivan@lincolnpeak.com	LPP - Boston

**Figure 40 –Add Users**

The details of adding DataMarts and Users are discussed in the next two sections.

## 5.2. Managing DataMarts

DataMarts represent Data Partners who respond to requests issued by investigators. DataMarts are created at the Portal and administered by users with the DataMart Client desktop application as mentioned in the overview section at the beginning of this document. DataMarts are owned by a single organization. There may be one or more users with rights to administer the DataMart.

### 5.2.1. Viewing and Creating DataMarts

There are a set of access rights that determine whether users can view, create, edit, and delete DataMarts. These rights may be applied at the global level, within the DataMart's organization detail page, or in the DataMart detail page. The following figure shows the global access rights to manage DataMarts for the entire network for the HPHC Operation Center Administrator's security group.

The screenshot shows the 'Global Permissions' section of the PopMedNet administration interface. It displays two tables of permissions, with the second table's rows highlighted by a red border.

### Global Permissions

Subject	Right	Allow	Deny
[remove] HPHC Operation Center\Administrators	Manage Access	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Everyone	Skip Two-DataMart Rule	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Jeff's Demo Org\EnhancedInvestigators	Login	<input type="checkbox"/>	<input type="checkbox"/>
	List Requests	<input type="checkbox"/>	<input type="checkbox"/>
	List Users	<input type="checkbox"/>	<input type="checkbox"/>
	<b>List DataMarts</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	List Organizations	<input type="checkbox"/>	<input type="checkbox"/>
	List Security Groups	<input type="checkbox"/>	<input type="checkbox"/>
	List Org Groups	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Create Organizations	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Create Groups	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Run Events Log Report	<input type="checkbox"/>	<input type="checkbox"/>
	Event: New DataMart Client Version is Available	<input checked="" type="checkbox"/>	<input type="checkbox"/>

### Default DataMart Permissions

Subject	Right	Allow	Deny
[remove] HPHC Operation Center\Administrators	Manage Access	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\DataMartAdministrator	Edit	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Everyone	Delete	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Read	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Request Metadata Update	<input type="checkbox"/>	<input type="checkbox"/>
	See Request Queue	<input type="checkbox"/>	<input type="checkbox"/>
	Upload Results	<input type="checkbox"/>	<input type="checkbox"/>
	Hold Requests	<input type="checkbox"/>	<input type="checkbox"/>
	Reject Requests	<input type="checkbox"/>	<input type="checkbox"/>
	Install Models	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Uninstall Models	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Run Audit Report	<input type="checkbox"/>	<input type="checkbox"/>
	Approve/Reject Responses	<input type="checkbox"/>	<input type="checkbox"/>
	Skip Response Approval	<input type="checkbox"/>	<input type="checkbox"/>
	Group/Ungroup Responses	<input type="checkbox"/>	<input type="checkbox"/>
	Event: DataMart Change	<input type="checkbox"/>	<input type="checkbox"/>

Buttons at the bottom right: Save, Version 3.0.29 | Terms and Conditions | Info, © 2012 PopMedNet, All Rights Reserved, LINCOLNPEAK®

Figure 41 –Global Default DataMart Permissions

Before anyone can create a DataMart, the “Create DataMarts” access right in the Access Control panel of the Organization that will own the DataMart needs to be granted to administrators.

The screenshot shows the 'Organization Information' section with fields for Name (LPP - Atlanta), Acronym (LPP-ATLX), and Parent (Lincoln Peak). The 'Access Control' section displays a table of users and their permissions. The row for 'LPP - Atlanta\Administrators' is highlighted in blue. The 'Create DataMarts' permission is highlighted with a red box, indicating it is being configured. Other permissions listed include Manage Access, Edit, Delete, Read, Create Users, and Event: New Request Submitted. The table has columns for Subject, Right, Allow, and Deny. Buttons for Save, Cancel, and Delete are at the bottom.

Subject	Right	Allow	Deny
[remove] HPHC Operation Center\Administrators	Manage Access	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Everyone	Edit	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\Administrators	Delete	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\Observers	Read	<input type="checkbox"/>	<input type="checkbox"/>
<b>LPP - Atlanta\Administrators</b>	Create DataMarts	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] LPP - Atlanta\Everyone	Create Users	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] LPP - Atlanta\Observers	Event: New Request Submitted	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Event: Organization Change	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Figure 42 – Organization Default DataMart Permissions**

A DataMart may be created by clicking the Create DataMart button in the owning organization’s detail page or by navigating to the list of all DataMarts via Network/DataMarts menu as shown in the following figures.

The screenshot shows the 'Organization Information' section of the PopMedNet interface. It includes fields for Name (LPP - Atlanta), Acronym (LPP-ATLX), and Parent (Lincoln Peak [x]). Below this are sections for Access Control, Default DataMart ACL, Default Request ACL, Default User ACL, Users, and DataMarts. The DataMarts section lists two entries: 'LPP - Atlanta Auto DM' and 'LPP - Atlanta Manual DM'. A red box highlights the 'Add DataMart' button. At the bottom are Save, Cancel, and Delete buttons. The footer includes links for Version 3.0.29, Terms and Conditions, and Info, along with a Lincoln Peak logo.

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**Figure 43 – Organization DataMarts**

Name	Organization
Demo DM- Auto	Jeff's Demo Org
Demo DM-Manual	Jeff's Demo Org
LPP - Atlanta Auto DM	LPP - Atlanta
LPP - Atlanta Manual DM	LPP - Atlanta
LPP - Boston Auto DM	LPP - Boston
LPP - Boston Manual DM	LPP - Boston
LPP - India Auto DM	LPP - India
LPP - India Manual DM	LPP - India
RootDataMart	PHPC Operation Center
RootDataMart2	PHPC Operation Center

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**Figure 44 –DataMarts List Page**

Once created, the DataMart is configured by entering a DataMart name, acronym, and parent organization that owns the DataMart, along with other information, such as contact information, that is visible to users who navigate to it via the Portal.

**DataMart Info**

Name	Organization	Contact First Name
LPP - Atlanta Manual DM	LPP - Atlanta	Bruce
Contact Last Name	Contact Phone	Contact Email
Swan		
Special Requirements		
Usage Restrictions		
Health Plan Description		

**Installed Models**

Model	Last Metadata Request	Last Metadata Response
ESP Query Builder	N/A	N/A
File Distribution	N/A	N/A
Summary: Prevalence Queries	N/A	N/A
Summary: Incidence Queries	N/A	N/A
Summary: Most Frequently Used Queries	N/A	N/A

**Access Control**

**Downloads**

- Download DataMart Client 32-bit version
- Download DataMart Client 64-bit version
- Download Sample Database for Summary requests

Save    Cancel    Delete

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**Figure 45 –DataMart Detail Page**

### 5.2.2. Installing Request Models

Once the DataMart is created, the Access Control rights may be set, including the right to install or uninstall request model plugins as shown in the following figure.

The screenshot shows the PopMedNet web application interface. At the top, there is a navigation bar with links for Home, Requests, Profile, Resources, Network, Contact Us, and Logoff. The user is logged in as 'systemadministrator'. Below the navigation bar, there are several sections:

- DataMart Info:** Fields for Name (LPP - Atlanta Manual DM), Organization (LPP - Atlanta), Contact First Name (Bruce), Contact Last Name (Swan), Contact Phone, and Contact Email.
- Installed Models:** A section showing installed models.
- Access Control:** A table showing permissions for various subjects. The table has columns for Subject, Right, Allow, and Deny. The 'Allow' column contains checkboxes. The 'Install Models' row for 'LPP - Atlanta\Administrators' has both checkboxes checked and is highlighted with a red border.
- Downloads:** Links to download DataMart Client 32-bit version, DataMart Client 64-bit version, and Download Sample Database for Summary requests.

At the bottom of the page are buttons for Save, Cancel, and Delete. The footer includes links for Version 3.0.29, Terms and Conditions, and Info, along with a copyright notice for 2012 PopMedNet, All Rights Reserved, and the Lincoln Peak logo.

Figure 46 –DataMart Install Model Permissions

Each DataMart may support one or more of the Request Models. As was mentioned in the PopMedNet™ overview, a Request Model contains one or more request types that may be composed and routed to one or more DataMarts for execution. If a DataMart supports a request model plugin, then it must be installed by clicking the “Install ...” button before configuring access to its requests for it. The following figure shows a number of request models that have been installed.

The screenshot shows the 'DataMart Info' section of the PopMedNet interface. It includes fields for Name (LPP - Atlanta Manual DM), Organization (LPP - Atlanta), Contact First Name (Bruce), Contact Last Name (Swan), Contact Phone, and Contact Email. There are also sections for Special Requirements, Usage Restrictions, and Health Plan Description. Below this, the 'Installed Models' section lists several models with their last metadata request and response times, all marked as N/A. The 'Downloads' section provides links to download the DataMart Client (32-bit and 64-bit) and a sample database. At the bottom are Save, Cancel, and Delete buttons.

Model	Last Metadata Request	Last Metadata Response
ESP Query Builder	N/A	N/A
File Distribution	N/A	N/A
Summary: Prevalence Queries	N/A	N/A
Summary: Incidence Queries	N/A	N/A
Summary: Most Frequently Used Queries	N/A	N/A

**Figure 47 –DataMart Installed Model List**

### 5.2.3. Granting Access to Route Requests to a DataMart

Once the request model is installed, the access rights to route the request to the DataMart become visible in the Request ACL panel allowing the administrator can grant access to route them to the DataMart for execution. The following figure shows granting access to the MFU Summary Queries to a group of Investigators.

 PopMedNet
Distributed Research Network Technologies for Population Medicine
Welcome, systemadministrator
Contact Us
Logoff

### DataMart Info

Name	Organization	Contact First Name
LPP - Atlanta Manual DM	LPP - Atlanta	Bruce
Contact Last Name	Contact Phone	Contact Email
Swan		
Special Requirements		
Usage Restrictions		
Health Plan Description		

### Installed Models

Model	Last Metadata Request	Last Metadata Response
File Distribution	N/A	N/A
Summary: Most Frequently Used Queries	N/A	N/A

### Access Control

Subject	Right	Allow	Deny
[remove] HPHC Operation Center\Administrators	Manage Access	<input type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\DataMartAdministrator	Edit	<input type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Everyone	Delete	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Jeff's Demo Org\Investigators	Read	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\Administrators	Request Metadata Update	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\DataMartAdministrators	See Request Queue	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\Everyone	Upload Results	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\Investigators	Hold Requests	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\QueryAdministrators	Reject Requests	<input type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\ResponseAdministrators	Install Models	<input type="checkbox"/>	<input type="checkbox"/>
[remove] LPP - Atlanta\Administrators	Uninstall Models	<input type="checkbox"/>	<input type="checkbox"/>
[remove] LPP - Atlanta\DataMartAdministrators	Run Audit Report	<input type="checkbox"/>	<input type="checkbox"/>
[remove] LPP - Atlanta\Everyone	Approve/Reject Responses	<input type="checkbox"/>	<input type="checkbox"/>
<input type="button" value="Add..."/>	Skip Response Approval	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Group/Ungroup Responses	<input type="checkbox"/>	<input type="checkbox"/>
	File Distribution	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Metadata: Refresh Dates	<input type="checkbox"/>	<input type="checkbox"/>
	MFU: HCPCS Procedures	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	MFU: ICD-9 Diagnoses (3 digit codes)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	MFU: ICD-9 Diagnoses (4 digit codes)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	MFU: ICD-9 Diagnoses (5 digit codes)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	MFU: ICD-9 Procedures (3 digit codes)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	MFU: ICD-9 Procedures (4 digit codes)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	MFU: Pharmacy Dispensings by Drug Class	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	MFU: Pharmacy Dispensings by Generic Name	<input checked="" type="checkbox"/>	<input type="checkbox"/>

### Downloads

<a href="#">Download DataMart Client 32-bit version</a> <a href="#">Download DataMart Client 64-bit version</a> <a href="#">Download Sample Database for Summary requests</a>
---

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Figure 48 –DataMart Model Request Permissions

#### **5.2.4. Administering a DataMart**

DataMarts may be administered by one or more users, referred to as DataMart Administrators. The following figure shows the access rights that need to be granted to allow a user or group of users the ability to administer the DataMart.

PopMedNet Distributed Research Network Technologies for Population Medicine

Welcome, systemadministrator  
[Contact Us](#) [Logoff](#)

#### DataMart Info

Name	Organization	Contact First Name
LPP - Atlanta Manual DM	LPP - Atlanta	Bruce
Contact Last Name	Contact Phone	Contact Email
Swan		
Special Requirements		
Usage Restrictions		
Health Plan Description		

#### Installed Models

Model	Last Metadata Request	Last Metadata Response
File Distribution	N/A	N/A
Summary: Most Frequently Used Queries	N/A	N/A

[Install...](#) [Uninstall](#)

#### Access Control

Subject	Right	Allow Deny
[remove] HPHC Operation Center\Administrators	Manage Access	<input type="checkbox"/> <input checked="" type="checkbox"/>
[remove] HPHC Operation Center\DataMartAdministrator	Edit	<input checked="" type="checkbox"/> <input type="checkbox"/>
[remove] HPHC Operation Center\Everyone	Delete	<input checked="" type="checkbox"/> <input type="checkbox"/>
[remove] Jeff's Demo Org\Investigators	Read	<input checked="" type="checkbox"/> <input type="checkbox"/>
[remove] Lincoln Peak\Administrators	Request Metadata Update	<input checked="" type="checkbox"/> <input type="checkbox"/>
[remove] Lincoln Peak\DataMartAdministrators	See Request Queue	<input checked="" type="checkbox"/> <input type="checkbox"/>
[remove] Lincoln Peak\Everyone	Upload Results	<input checked="" type="checkbox"/> <input type="checkbox"/>
[remove] Lincoln Peak\Investigators	Hold Requests	<input checked="" type="checkbox"/> <input type="checkbox"/>
[remove] Lincoln Peak\QueryAdministrators	Reject Requests	<input checked="" type="checkbox"/> <input type="checkbox"/>
[remove] Lincoln Peak\ResponseAdministrators	Install Models	<input type="checkbox"/> <input type="checkbox"/>
[remove] LPP - Atlanta\Administrators	Uninstall Models	<input type="checkbox"/> <input type="checkbox"/>
[remove] LPP - Atlanta\DataMartAdministrators	Run Audit Report	<input checked="" type="checkbox"/> <input type="checkbox"/>
[remove] LPP - Atlanta\Everyone	Approve/Reject Responses	<input type="checkbox"/> <input type="checkbox"/>
	Skip Response Approval	<input checked="" type="checkbox"/> <input type="checkbox"/>
	Group/Ungroup Responses	<input type="checkbox"/> <input type="checkbox"/>
	File Distribution	<input checked="" type="checkbox"/> <input type="checkbox"/>
	Metadata: Refresh Dates	<input checked="" type="checkbox"/> <input type="checkbox"/>
	MFU: HCPCS Procedures	<input checked="" type="checkbox"/> <input type="checkbox"/>
	MFU: ICD-9 Diagnoses (3 digit codes)	<input checked="" type="checkbox"/> <input type="checkbox"/>
	MFU: ICD-9 Diagnoses (4 digit codes)	<input checked="" type="checkbox"/> <input type="checkbox"/>
	MFU: ICD-9 Diagnoses (5 digit codes)	<input checked="" type="checkbox"/> <input type="checkbox"/>
	MFU: ICD-9 Procedures (3 digit codes)	<input checked="" type="checkbox"/> <input type="checkbox"/>
	MFU: ICD-9 Procedures (4 digit codes)	<input checked="" type="checkbox"/> <input type="checkbox"/>
	MFU: Pharmacy Dispensings by Drug Class	<input checked="" type="checkbox"/> <input type="checkbox"/>
	MFU: Pharmacy Dispensings by Generic Name	<input checked="" type="checkbox"/> <input type="checkbox"/>

#### Downloads

[Download DataMart Client 32-bit version](#)  
[Download DataMart Client 64-bit version](#)  
[Download Sample Database for Summary requests](#)

[Save](#) [Cancel](#) [Delete](#)

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**Figure 49 –DataMart Model Request Submission Permissions**

As shown in the figure, the DataMartAdministrators group is granted the right to view the request queue in the DataMart client application, execute the request, approve or reject it, and upload responses to the request. Additionally, the group has been granted access to run Audit Reports against the DataMart and to issue test queries to themselves without requiring approval.

### **5.3. Managing Users**

User accounts in PMN determine the ability of the user to login and perform functions within the Portal and DataMart client. Each user is assigned a set of credentials, and optionally a X.509 certificate, used to authenticate the user. Additionally users are granted access rights directly or indirectly through inheritance or security groups as discussed in previous sections.

#### **5.3.1. Create a User Account**

Users must belong to a single organization, so once that organization is created, its users may be added to it. Administrators are granted access to add and modify user accounts via the Default User ACL panel in either the user's organization detail page or the Network/Access Control page.

The screenshot shows the PopMedNet administration interface with the following details:

- Header:** Welcome, systemadministrator | Contact Us | Logoff
- Organization Information:**
  - Name: Lincoln Peak
  - Acronym: LPP
  - Parent: HPHC Operation Center [x]
- Access Control:**

Subject	Right	Allow	Deny
[remove] HPHC Operation Center\Administrators	Manage Access	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Everyone	Edit	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>[remove] Lincoln Peak\Administrators</b>	Delete	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\Observers	Read	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>Add...</b>	Create Users	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Create DataMarts	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Event: New Request Submitted	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Event: Organization Change	<input checked="" type="checkbox"/>	<input type="checkbox"/>
- Default DataMart ACL:** (Empty section)
- Default Request ACL:** (Empty section)
- Default User ACL:**

Subject	Right	Allow	Deny
[remove] HPHC Operation Center\Administrators	Manage Access	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] HPHC Operation Center\Everyone	Edit	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>[remove] Lincoln Peak\Administrators</b>	Delete	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[remove] Lincoln Peak\Everyone	Read	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>Add...</b>	Change password	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Change login	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Manage notifications	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Change X.509 Certificate	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Event: User Change	<input type="checkbox"/>	<input type="checkbox"/>
	Event: Registration Submitted	<input type="checkbox"/>	<input type="checkbox"/>
	Event: Registration Approved	<input type="checkbox"/>	<input type="checkbox"/>
	Event: Registration Rejected	<input type="checkbox"/>	<input type="checkbox"/>
- Users:** (Empty section)
- DataMarts:** (Empty section)
- Security Groups:** (Empty section)
- Buttons:** Save, Cancel, Delete
- Page Footer:** Version 3.0.29 | Terms and Conditions | Info | © 2012 PopMedNet, All Rights Reserved. | LINCOLNPEAK®

Figure 50 –Organization Default User Permissions

**NOTE: Users always have the right to edit their contact information, notifications, and user password.**

For administrators who have rights to create users, the user may be added via the Users panel on the Organization's detail page, or by navigating the Network/Users menu to list all users in PMN, by clicking the "Add User" button.

Username	FirstName	MiddleName	LastName	Email
ABahl	Ashish		Bahl	ashishbahl22@gmail.com
BSwan	Bruce	M	Swan	bswan@lincolnpeak.com
ddee	Daniel		Dee	ddee@lincolnpeak.com
fsoikin	Fyodor		Soikin	fsoikin@lincolnpeak.com
jladd	Jeffrey		Ladd	jladd@lincolnpeak.com
LPPAdministrator	LPP		Administrator	bswan@lincolnpeak.com
LPPInvestigator	LPP		Investigator	bswan@lincolnpeak.com
MBedi	Manmeet		Bedi	manmeet.bedi@bigpond.com
MSullivan	Mike		Sullivan	msullivan@lincolnpeak.com
RGupta	Ravish		Gupta	rgupta@lincolnpeak.com
testuser	Test		User	bswan@lincolnpeak.com

**Figure 51 –Organization User List**

Adding a user displays a blank User Detail form. The following sections describe the panels on this form.

### 5.3.2. User Contact Information

The Contact Information provides the user's name, email address, phone number, and the user's parent organization. Optionally, there's a field used to upload the user's X.509 certificate described in a later section.

The screenshot shows the 'Contact Information' section of the PopMedNet user profile. At the top, there's a navigation bar with links for Home, Requests, Profile, Resources, Network, Contact Us, and Logoff. The main content area has a red border around the 'Contact Information' section. Inside, there are fields for First Name (Bruce), Middle Name (M), Last Name (Swan), Title (empty), E-mail (bswan@lincolnpeak.com), Phone (770-490-1136), Fax (empty), and Organization (Lincoln Peak). Below these fields is a 'Certificate' section with a thumbprint (647888D273B80F223439E451C3873ED8C3AE232B) and options to upload or remove it. To the right of the certificate section are 'Save', 'Cancel', and 'Delete' buttons. On the left side of the main content area, there are four collapsed sections: 'Member of Groups', 'Permissions on this User object', 'Credentials', and 'Notifications'. At the bottom of the page, there's a footer with links for Version 3.0.29, Terms and Conditions, and Info, along with a Lincoln Peak logo.

**Figure 52 –User Contact Information Panel**

### 5.3.3. User Credentials

The user credentials consist of a Username and Password. The username must be unique across the network. The password must be at least 7 characters long and consist of at least one number, one symbol, and at least one upper case character. Users always have the rights to modify their password at any time. Password expire periodically based on a network-wide settings described in the Network Configuration section below.

Distributed Research Network Technologies for Population Medicine

Welcome, systemadministrator

Contact Us Logoff

Contact Information

Member of Groups

Permissions on this User object

Credentials

Username: BSwan

Password:

Confirm Password:

Notifications

Save Cancel Delete

Version 3.0.29 | [Terms and Conditions](#) | [Info](#)

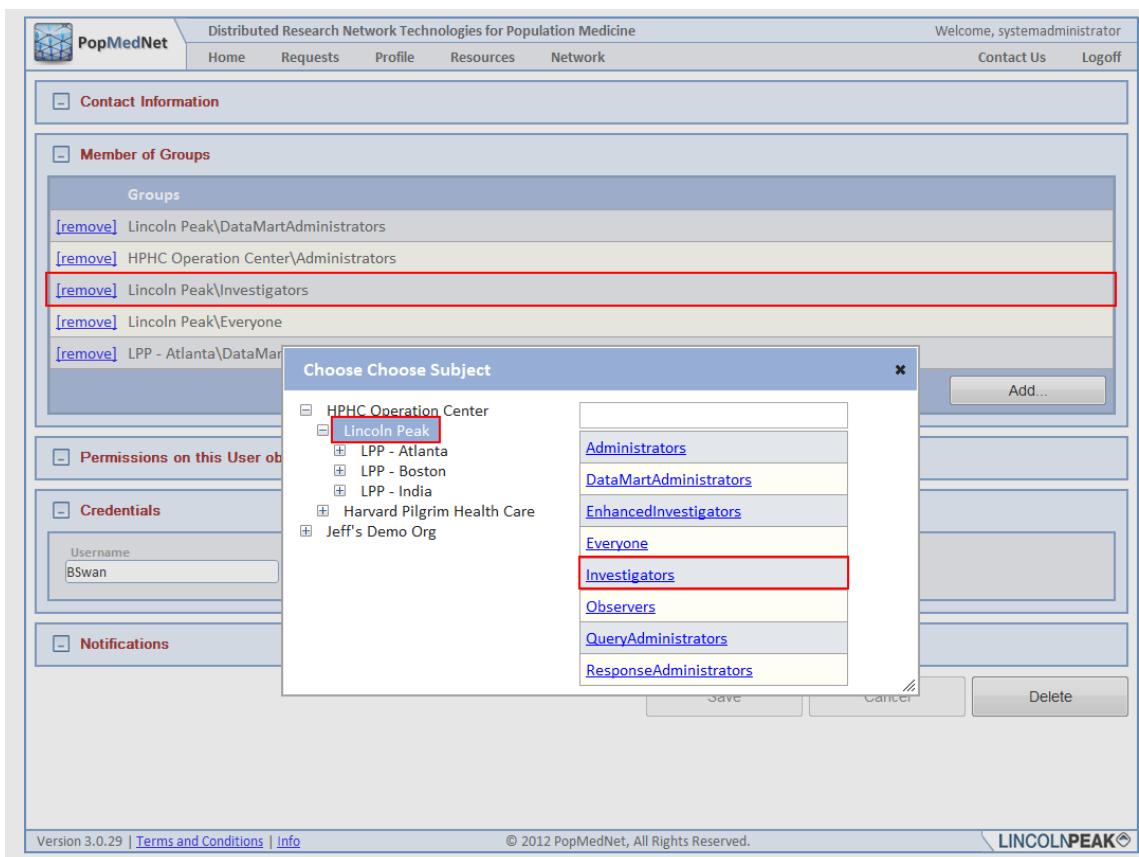
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LINCOLNPEAK

**Figure 53 –User Credentials Panel**

#### 5.3.4. User Security Group Membership

Users belong to a single organization, but can take on multiple roles through membership in Security Groups. Administrators with access rights to manage user access control may select one or more security groups for which the user is a member. Membership grants the user all the rights in each security group added, as well as security groups for which the group is a member.



**Figure 54 –User Membership Panel**

Typically, users don't have the access rights to modify their own security profile. This right is intended for either site-wide "Root" administrators or administrators who are members of the user's organization.

### 5.3.5. Notification Options

Notification options allow the user to manage events that are triggered due to actions performed by the user or related to the user's role, such as a DataMart Administrator who is notified of an incoming requests created by other users. Users have the option of receiving notifications immediately when they are triggered, daily, weekly, or monthly. When choosing daily, weekly, or monthly, the notifications are batched together with other notifications on the same schedule in a single email.

The screenshot shows the 'User Notifications Panel' on the PopMedNet website. At the top, there's a navigation bar with links for Home, Requests, Profile, Resources, Reports, Network, Contact Us, and Logoff. The user is identified as 'Welcome, systemadministrator'. Below the navigation, there are several sections: 'Contact Information' (with fields for First Name, Middle Name, Last Name, Title, E-mail, Phone, Fax, Organization), 'Member of Groups', 'Permissions on this User object', 'Credentials', and 'Notifications'. The 'Notifications' section is highlighted with a red border and contains a table with columns for Frequency and Event. The table lists six events: Password Expiration Reminder (Immediately checked), My Profile Updated (Immediately checked), Organization Change (Immediately unchecked), New DataMart Client Version is Available (Immediately unchecked), Request Status Changed (Immediately checked), Results Reminder (Daily checked), and User Change (Daily checked). At the bottom of the panel are 'Save', 'Cancel', and 'Delete' buttons.

**Figure 55 –User Notifications Panel**

**Note:** Once the user has chosen to receive notifications, no matter what delivery frequency is selected, the notifications are displayed in the Notifications panel on the Home page.

### 5.3.6. Notification Event Reference

The following table lists each event that may be created in PMN along with a description.

Category	Event	Description
User	Password Expiration Reminder	Notifies the user that their password is about to expire.
	My Profile Change	Notifies the user there was an update to their profile.
	User Change	Notifies administrators there was a user added, deleted, or updated.
	New User Registration Submitted	Notifies administrators a new user request has been submitted.
	Registration Change	Notifies administrators there has been a change to a user registration request.
Requests	New Request Submitted	Notifies DataMart administrators that a new request has been submitted.

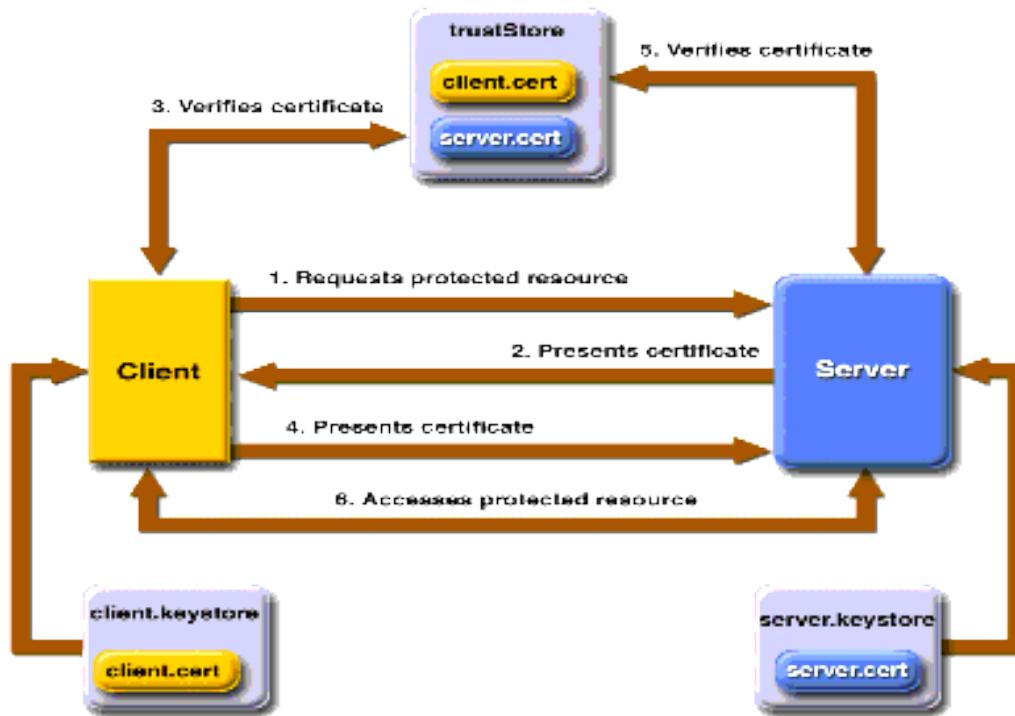
	Request Status Changed	Notifies investigators and administrators that a change has been made to a request.
	Request Reminder	Reminds DataMart administrators there is a pending request that requires their attention.
	Results Reminder	Reminds administrators and investigators that results have been uploaded for their request.
	Results Viewed	Notifies administrators that a result has been viewed.
Organization	Organization Change	Notifies administrators that an organization has been added, updated, or deleted.
Group	Group Change	Notifies administrators that a group has been added, updated, or deleted.
DataMart	New DataMart Client Version is Available	Notifies DataMart administrators that a new version of the DataMart Client application is available.
	DataMart Change	Notifies administrators that a DataMart has been added, updated, or deleted.

**Table 8 – Notification Events**

### 5.3.7. Using X.509 Certificates

PopMedNet™ Release 3 introduced a security feature that uses a 2-factor authentication technique over a TLS secure connection to authenticate DataMart Administrators using the DataMart Client application. 2-factor authentication is an approach to authentication which requires the presentation of two or more of the three authentication factors: a *knowledge* factor ("something the user *knows*"), a *possession* factor ("something the user *has*"), and an *inherence* factor ("something the user *is*"). In the case of PopMedNet™, the user has a X.509 certificate installed on their machine and knows the password to their account which are both used together to authenticate the user.

Secondly, through the use of trusted client certificates, the connection PMN to DataMart connection is mutually authenticated. The following figure illustrates how this process is performed.

**Figure 56 –Mutual Authentication Scheme**

In certificate-based mutual authentication, the following things occur:

1. A client requests access to a protected resource.
2. The web server presents its certificate to the client.
3. The client verifies the server's certificate.
4. If successful, the client sends its certificate to the server.
5. The server verifies the client's credentials.
6. If successful, the server grants access to the protected resource requested by the client.

To enable use of X.509 certificates, each DataMart administrator is issued a certificate that is issued by a certificate authority (CA) that is trusted by the PMN web server. The user installs the certificate in their local machine running the DataMart Client application, and uploads the certificate (in .CER format) to their user account as shown in the following figure:

The screenshot shows a web-based user profile editor for PopMedNet. At the top, there's a navigation bar with links for Home, Requests, Profile, Resources, Network, Contact Us, and Logoff. The main content area has a title 'Contact Information'. Below it are input fields for First Name (Bruce), Middle Name (M), Last Name (Swan), Title (empty), E-mail (bswan@lincolnpeak.com), Phone (770-490-1136), Fax (empty), and Organization (Lincoln Peak). A section titled 'Certificate' contains the text 'Current thumbprint: 647888D273B80F223439E451C3873ED8C3AE232B' with options to 'Upload New Certificate...' or 'Remove This Certificate'. Below this are sections for 'Member of Groups', 'Permissions on this User object', 'Credentials', and 'Notifications'. At the bottom right are Save, Cancel, and Delete buttons. The footer includes links for Version 3.0.29, Terms and Conditions, and Info, along with a Lincoln Peak logo.

**Figure 57 – User X.509 Certificate Upload**

This process associates the user's certificate thumbprint with the user's account used to validate the user during the authentication process.

#### 5.4. Managing Groups

Groups are a collection Organizations that allow administrators to establish permissions and workflow across organizations that are not physically related. A Group may have one or more Organizations and an Organization may be a member of multiple groups. Groups allow the formation of **Sub-Networks**.

Sub-Networks allow deployment and operation of activities that can be isolated and managed within a secure environment through access control settings. For instance, there are a number of PopMedNet™ sites operating under PopMedNet™ Release 2. These sites could be hosted under a single network instance using groups to maintain their independence, security, and isolation among other sub-networks.

***NOTE: The implementation of Groups is not complete in PopMedNet™ Release 3.1. This feature will be completed in a future release of PopMedNet™.***

##### 5.4.1. Viewing and Creating Groups

Groups can be added by navigating to the Network/Groups list page and clicking the "Add Group" button.

The screenshot shows the 'Groups' section of the PopMedNet application. At the top, there is a navigation bar with links for Home, Requests, Profile, Resources, Reports, Network, Contact Us, and Logoff. The main content area is titled 'Groups' and shows a list of groups under 'Hypertension Study'. The list includes 'Root' and other entries. At the bottom right of the list area, there is a blue button labeled 'Add Group' with a red border.

**Figure 58 – Group List Page**

This displays the Group detail page shown in the following figure.

The screenshot shows the 'Group Detail' page in the PopMedNet application. At the top, there's a navigation bar with links for Home, Requests, Profile, Resources, Reports, Network, Contact Us, and Logoff. The main content area is divided into three sections:

- Group Information:** A single input field labeled 'Name' containing 'Hypertension Study'.
- Organizations:** A list of organizations with checkboxes next to them. Two are checked: 'Lincoln Peak' and 'Harvard Pilgrim Health Care'. There are 'Add...' and 'Remove' buttons at the bottom right of this section.
- Access Control:** A table showing access rights for two subjects. The columns are 'Subject', 'Right', 'Allow', and 'Deny'. The subjects listed are 'HPHC Operation Center\Administrators' and 'HPHC Operation Center\Everyone'. For each subject, there are four rows: 'Manage Access' (allow checked), 'Edit' (allow checked), 'Delete' (allow checked), and 'Read' (allow checked). An 'Event: Group Change' row is also present with both checkboxes unchecked. An 'Add...' button is located at the bottom left of this section.

At the bottom of the page, there are buttons for Save, Cancel, and Delete. The footer includes links for Version 3.0.29, Terms and Conditions, and Info, along with a copyright notice for 2012 PopMedNet and a Lincoln Peak logo.

Figure 59 – Group Detail Page

## 6. Technology Stack

PopMedNet™ is built on a Microsoft .NET 4 platform using best practices to ensure an extensible, scalable, and secure application. The following table lists the technology used:

OS / Application / Component	Description
Portal Operating System	Microsoft Windows Server 2008 R2
Website Manager	Microsoft Internet Information Server 7 (MS/IIS)
DataMart Web Services	Microsoft Windows Communication Foundation (REST or SOAP)
Portal Database Engine	Microsoft SQL Server 2008 R2
Plugin Web Services	Microsoft Windows Communication Foundation (REST or SOAP)
Portal Webpage Framework	Microsoft ASP.NET MVC 3 with jQuery web client
Plugin Framework	Microsoft Managed Extensibility Framework (MEF)
Object Relational Mapping Framework	Microsoft Entity Framework 4.2 (EF)
Scheduling Service	Quartz Scheduler (Open source)
DataMart Client Application Operating System	Microsoft XP, Vista, Windows 7
DataMart Client Application	Microsoft .NET 4 / C#
DataMart Model Processor	Microsoft .NET 4

Framework	
-----------	--

**Table 8 – Technology Stack**

## 7. Configuration Settings

The following sections describe the settings used to configure the Portal site.

### 7.1. Web Configuration File Settings

The following are parameters that can be set within the PopMedNet™ web server application configuration file:

```
<?xml version="1.0" encoding="utf-8"?>
<configuration>
  <configSections>
    <section name="entityFramework"
              type="System.Data.Entity.Internal.ConfigFile.EntityFrameworkSection,
              EntityFramework, Version=4.3.1.0, Culture=neutral,
              PublicKeyToken=b77a5c561934e089" />
    <section name="quartz" type="System.Configuration.NameValueSectionHandler,
              System, Version=1.0.5000.0,Culture=neutral,
              PublicKeyToken=b77a5c561934e089" />
    <section name="log4net"
              type="log4net.Config.Log4NetConfigurationSectionHandler,
              log4net" />
  </configSections>
  <quartz>
    <add key="quartz.scheduler.instanceName" value="ServerScheduler" />
    <!-- Configure Thread Pool -->
    <add key="quartz.threadPool.type" value="Quartz.Simpl.SimpleThreadPool,
          Quartz" />
    <add key="quartz.threadPool.threadCount" value="10" />
    <add key="quartz.threadPool.threadPriority" value="Normal" />
    <!-- Configure Job Store -->
    <add key="quartz.jobStore.type" value="Quartz.Impl.AdoJobStore, Quartz" />
    <add key="quartz.jobStore.dataSource" value="default" />
    <add key="quartz.jobStore.tablePrefix" value="QRTZ_" />
    <add key="quartz.jobStore.clustered" value="true" />
    <add key="quartz.jobStore.lockHandler.type"
          value="Quartz.Impl.AdoJobStore.UpdateLockRowSemaphore, Quartz" />
    <add key="quartz.jobStore.driverDelegateType"
          value="Quartz.Impl.AdoJobStore.SqlServerDelegate, Quartz" />
    <add key="quartz.dataSource.default.connectionString" value="data
          source=.;initial catalog=quartz;integrated
          security=True;MultipleActiveResultSets=True" />
    <add key="quartz.dataSource.default.provider" value="SqlServer-20" />
    <add key="quartz.jobStore.useProperties" value="true" />
    <add key="quartz.jobStore.misfireThreshold" value="60000" />
    <add key="quartz.scheduler.proxy" value="true" />
    <add key="quartz.scheduler.proxy.address"
          value="tcp://127.0.0.1:555/QuartzScheduler" />
  </quartz>
  <system.net>
    <mailSettings>
      <smtp deliveryMethod="" from="admin@dns3.local">
        <specifiedPickupDirectory pickupDirectoryLocation="c:\work\mail" />
        <network host="localhost" />
    
```

```

        </smtp>
    </mailSettings>
</system.net>
<appSettings>
    <add key="CurrentTheme" value="FDA" />
    <add key="ContactUsEmail" value="msullivan@lincolnpeak.com" />
    <add key="ConfiguredPasswordExpiryMonths" value="6" />
    <add key="PasswordExpirationNagDaysPrior" value="7" />
    <add key="PasswordExpirationNagPeriodDays" value="1" />
</appSettings>
<connectionStrings>
    <add name="Lpp.Dns.Model.DnsDomain" connectionString="data source=.;initial
        catalog=DNS3;integrated
        security=True;MultipleActiveResultSets=True;Connection Timeout=60"
        providerName="System.Data.SqlClient" />
    <add name="Lpp.Dns.HealthCare.HealthCareDomain" connectionString="data
        source=.;initial catalog=DNS3;integrated
        security=True;MultipleActiveResultSets=True;Connection Timeout=60"
        providerName="System.Data.SqlClient" />
    <add name="Lpp.Dns.HealthCare.Summary.SummaryDomain" connectionString="data
        source=.;initial catalog=DNS3;integrated
        security=True;MultipleActiveResultSets=True"
        providerName="System.Data.SqlClient" />
    <add name="Lpp.Dns.RedirectBridge.RedirectDomain" connectionString="data
        source=.;initial catalog=DNS3;integrated
        security=True;MultipleActiveResultSets=True"
        providerName="System.Data.SqlClient" />
    <add name="Lpp.Dns.HealthCare.ESPQueryBuilder.Data.ESPDomain"
        connectionString="data source=.;initial catalog=DNS3;integrated
        security=True;MultipleActiveResultSets=True"
        providerName="System.Data.SqlClient" />
    <add name="Lpp.Dns.HealthCare.FileDistribution.Data.FileDistributionDomain"
        connectionString="data source=.;initial catalog=DNS3;integrated
        security=True;MultipleActiveResultSets=True;Connection Timeout=60"
        providerName="System.Data.SqlClient" />
</connectionStrings>
<system.web>
    <httpRuntime maxRequestLength="32384" />
    <compilation debug="true" targetFramework="4.0">
        <assemblies>
            <add assembly="System.Web.Extensions.Design, Version=4.0.0.0,
                Culture=neutral, PublicKeyToken=31BF3856AD364E35" />
            <add assembly="System.Design, Version=4.0.0.0, Culture=neutral,
                PublicKeyToken=B03F5F7F11D50A3A" />
            <add assembly="System.Windows.Forms, Version=4.0.0.0, Culture=neutral,
                PublicKeyToken=B77A5C561934E089" />
            <add assembly="System.Web.Abstractions, Version=4.0.0.0, Culture=neutral,
                PublicKeyToken=31BF3856AD364E35" />
            <add assembly="System.Web.Helpers, Version=1.0.0.0, Culture=neutral,
                PublicKeyToken=31BF3856AD364E35" />
            <add assembly="System.Web.Routing, Version=4.0.0.0, Culture=neutral,
                PublicKeyToken=31BF3856AD364E35" />
            <add assembly="System.Web.Mvc, Version=3.0.0.0, Culture=neutral,
                PublicKeyToken=31BF3856AD364E35" />
            <add assembly="System.Web.WebPages, Version=1.0.0.0, Culture=neutral,
                PublicKeyToken=31BF3856AD364E35" />
        </assemblies>
        <buildProviders>
            <add extension=".rdlc" type="Microsoft.Reporting.RdlBuildProvider,

```

```
        Microsoft.ReportViewer.Common, Version=9.0.0.0,
        Culture=neutral, PublicKeyToken=b03f5f7f11d50a3a" />
    </buildProviders>
</compilation>
<pages validateRequest="false" enableEventValidation="false"
        controlRenderingCompatibilityVersion="3.5"
        clientIDMode="AutoID" />
<customErrors mode="Off" />
<authentication mode="Forms">
    <forms name=".ASPXAUTH" loginUrl="/login" protection="All" path="/"
          timeout="30" requireSSL="false" slidingExpiration="true"
          defaultUrl("~/" cookieless="UseDeviceProfile"
          enableCrossAppRedirects="false" />
</authentication>
</system.web>
<system.webServer>
    <validation validateIntegratedModeConfiguration="false" />
    <modules runAllManagedModulesForAllRequests="true" />
    <handlers>
        <remove name="StaticFile" />
        <add name="StaticFile" path="*" verb="GET" modules="StaticFileModule"
             resourceType="File" requireAccess="Read" />
    </handlers>
</system.webServer>
<system.serviceModel>
    <serviceHostingEnvironment aspNetCompatibilityEnabled="true"
        multipleSiteBindingsEnabled="true" />
</system.serviceModel>
<entityFramework>
    <defaultConnectionFactory
        type="System.Data.Entity.Infrastructure.SqlConnectionFactory,
        EntityFramework">
        <parameters>
            <parameter value="Data Source=.\SQLEXPRESS; Integrated Security=True;
                MultipleActiveResultSets=True" />
        </parameters>
    </defaultConnectionFactory>
</entityFramework>
<runtime>
    <assemblyBinding xmlns="urn:schemas-microsoft-com:asm.v1">
        <dependentAssembly>
            <assemblyIdentity name="Common.Logging" publicKeyToken="af08829b84f0328e"
                culture="neutral" />
            <bindingRedirect oldVersion="0.0.0-2.1.1.0" newVersion="2.1.1.0" />
        </dependentAssembly>
    </assemblyBinding>
</runtime>
<log4net debug="false">
    <appender name="HttpTraceAppender"
        type="log4net.Appender.AspNetTraceAppender">
        <layout type="log4net.Layout.PatternLayout">
            <conversionPattern value="%date %5level - %message%newline" />
        </layout>
    </appender>
    <appender name="RollingLogFileAppender"
        type="log4net.Appender.RollingFileAppender">
        <file value="logs\" />
        <appendToFile value="true" />
        <maxSizeRollBackups value="10" />
    </appender>
</log4net>

```

```

<maximumFileSize value="5MB" />
<rollingStyle value="Date" />
<datePattern value="yyyy-MM-dd.lo\g" />
<staticLogFileName value="false" />
<layout type="log4net.Layout.PatternLayout">
  <conversionPattern value="%date %5level - %message%newline" />
</layout>
</appender>
<root>
  <level value="DEBUG" />
  <appender-ref ref="HttpTraceAppender" />
  <appender-ref ref="RollingLogFileAppender" />
</root>
</log4net>
</configuration>

```

**Figure 60 – Web Configuration File**

The following table describes settings that the network administrator may change.

***Note: It is not recommended to change that the other settings in the configuration file.***

Area	Setting	Description
AppSettings	CurrentTheme	Web site theme name used to pick-up satellite assemblies that override default resources
	ContactUsEmail	Email address that is used in Contact Us link in header of Portal
	ConfigurePasswordExpiryMonths	Number of months before users passwords automatically expire
	PasswordExpirationNagDaysPrior	Number of days prior the password changing before we start sending expiration notifications to the user
	PasswordExpirationNagPeriodDays	Interval between sending password expiration nag messages
ConnectionStrings	Lpp.Dns.Model.DnsDomain	Connection string for the main POPMEDNET™ database
	Lpp.Dns.HealthCare.HealthCareDomain	Connection string for the healthcare plugin common controls database, such as the ICD-9 selectors
	Lpp.Dns.HealthCare.Summary.SummaryDomain	Connection string for summary queries database
	Lpp.Dns.RedirectBridge.RedirectDomain	Connection string for the redirect plugins database
	Lpp.Dns.HealthCare.ESPQueryBuilder.Data.ESPDomain	Connection string for the ESPQueryBuilder database
	Lpp.Dns.HealthCare.FileDistribution.Data.FileDistributionDomain	Connection string for the File Distribution plugin database

Quartz Scheduler	Quartz.dataSource.default.connectionString	Connection string for the Quartz scheduler database
Application Logger	<file value="logs\" />	Location of the Log4Net log file; value is set of "logs" folder off the web site root folder
Notification Mail Server	<pre> &lt;smtp deliveryMethod=""        from="admin@dns3.local"&gt; &lt;specifiedPickupDirectory        pickupDirectoryLocation="        c:\work\mail" /&gt; &lt;network host="localhost"        /&gt; &lt;/smtp&gt; </pre>	Specifies the SMTP server settings, from email address used in outgoing emails to users, and the location of the folder where the mail is stored

**Table 9 – Configuration Parameters**

## 7.2. Enabling X.509 Certificate Authentication

In the Managing Users section above, the process to enable the user of X.509 certificates is described for a given user; however the PMN web server needs to install the certificates of any root certificate authorities that have issued certificates used by PMN users. This allows the web server to validate the authenticity of the user's certificate.

???? JEFF, INSERT THE STEPS REQUIRED TO ENABLE THIS ON POPMEDNET™ WEB SERVER ????

## 7.3. Application Log

PopMedNet™ uses Log4Net as the logging service. The location and logging information level of the log is specified in the PopMedNet web configuration file.

## 8. Scheduler Service

The Scheduler Service is a separate Windows Service based on the Quartz Scheduler engine (<http://quartz-scheduler.org/>) that is used to manage requests scheduling, notifications, and garbage cleanup within PMN. This service is installed on an application server and configured to connect to PMN to perform its work. The Scheduler can be installed using an install package that is part of the PMN solution. Once installed, follow the readme file in the scheduler install folder for instructions on how to configure it.

## 9. Theming and Branding PopMedNet

PopMedNet™ may be branded and its content changed through the use of a custom theme facility that is modeled after Microsoft's satellite DLL scheme used to translate web sites into different locales. Custom assemblies are developed with the embedded resource content to be used in lieu of the default content and given the same name as the base DLL it overrides with a special theme name extension. These satellite assemblies are copied into the binary folder of the web site containing the base assemblies they override, and the theme name is set using the

“CurrentTheme” parameter in the web configuration file (See web configuration section above). Whenever a page is rendered, the rendering engine looks for an override for each of the resources used on the page, and if one is found, its content is used in lieu of the base assembly. See the readme file in the Web/Themes folder of the PMN solution files for details on building satellite assemblies.

## **10. Hosting Technical and Security Overview**

This section provides a detailed description of the hosting, security, and support features of the PopMedNet™ application that is currently supporting several networks including the FDA Mini-Sentinel, AHRQ Scalable PArtnering Network (SPAN), and State of Massachusetts Department of Public Health Network (MDPHnet).

### **10.1. Hosting and Support Requirements**

Each network is hosted separately in the same secure environment; there are separate portals and separate implementations of the system. The next two sections describe the system hosting infrastructure and security controls.

Hosting, Security and Support for the PopMedNet™ software application is provided by LPP and consists of:

- ✓ Hosting that is compliant with Federal Information Security Management Act (FISMA) requirements.
- ✓ Hosting through the full software development lifecycle (including design, implementation, unit testing, user acceptance testing and preparation for production).
- ✓ Deploying the system into production environment.
- ✓ Supporting all production versions of the applications.
  - This involves monitoring and maintaining the application and its operating environment as well as effectively responding to technical questions and issues encountered by the users.

The general requirements and detailed requirements are in Table 10 and 11:

Requirement	Description
<b>General Requirements</b>	
Multiple Hosting Environments	Separate Development / QA / UAT (User Acceptance Testing) and Production hosting environments are required to isolate active data partners from implementation and testing work being performed for the PopMedNet™ software or any other related activity.
System Software	Development and Production hosting environment each require Windows Server, IIS, .NET and SQL Server as the operating environment.
Production System Monitoring	Internal monitoring for hardware, system software, or application software failures and remediation.

Ticketing System	System for logging, tracking, and auditing resolution of all incidents detected via monitoring or due to support calls.
Technical Support	<p><b>Technical / Customer Service and Support Hotline / Process Overview</b></p> <p>Anyone experiencing technical issues involving use of the systems may call the hotline for support. The specific process works as follows:</p> <ol style="list-style-type: none"> <li>1. <b>Call the Support Hotline:</b> (866) 624-2030 (Within the U.S.A) / (513) 768-3747 (International)</li> </ol> <p><b><i>NOTE: ALL ISSUES THAT NEED IMMEDIATE ATTENTION MUST BE SUBMITTED VIA TELEPHONE.</i></b></p> <p>The call center staff will enter a ticket and contact an “on-call” engineer. The on-call engineer will respond within 15 minutes.</p> <p><b><u>Email Option for Non-Critical Support Needs</u></b></p> <p>Non-critical issues can be submitted via email to: <a href="mailto:managedservices@lincolnpeak.com">managedservices@lincolnpeak.com</a>. A ticket will be entered into the tracking system. However, the call center will not notify the on-call engineer as these issues are not expected to be critical. On-call engineer will lead the technical support delivery team, keeping the Client Partner and Technical Lead aware of all issues.</p> <ol style="list-style-type: none"> <li>2. <b>For each support request, users will:</b> <ol style="list-style-type: none"> <li>1. Tell the call center customer representative which network (e.g., AHRQ, FDA Mini-Sentinel) they are calling about</li> <li>2. Provide company name, your name, phone number and email address</li> <li>3. Describe the issue</li> </ol> </li> </ol>
Software Patches	Application of software patches for the operating environment (Windows Server, IIS, .NET and SQL Server) and the PopMedNet™ Portal application will be applied on a regular basis during regularly scheduled maintenance windows. Publishing of updates to the DataMart will occur on a regular basis.

**Table 10 - Hosting, Security & Support: General Requirements**

Requirement	Description
<b>Detailed Requirements</b>	
Ping, pipe, power, connectivity, fire suppression, security.	Redundant TIER III level network connectivity at LAN and WAN, HVAC, fire suppression, and power along with physical and video security monitoring.
Servers, Virtual Machines	Web servers are hosted in private cloud based on Citrix XenServer with redundant physical servers supporting automated failover and load balancing. Database servers are clustered physical servers. All servers or VMs are connected to RAID 10 iSCSI SAN for storage and SAN based backup.
System software	Windows 2008 Server, IIS 6.0 / 7.0, .NET Framework 3.5 and SQL Server 2008.
Server maintenance	Regular maintenance windows to install system software and application software and to allow installation of patches and upgrades as well as server performance analysis.
Solution environment backup	Daily scheduled backup of the solution source and web server runtime environment.
Database backup	Full backup daily and incremental every 15 minutes. Stored onsite. The system will backup files or deleted queries on the disaster recovery database for 4 days and will automatically delete on day 5.
System event and SNMP trapping and notification	Trapping, alerting and responding to hardware, system software (operating system, database) and application software errors and notifications.

**Table 11 - Hosting, Security & Support: Detailed Requirements**

## 10.2. Hosting Design Overview

The hosting environment is operated at a data center provided by Carpathia Hosting, Inc. in Dulles, Virginia. Carpathia is a provider of FISMA/ SAS-70 private cloud services and operates TIER III datacenters (TIER III covers full system redundancy and redundant commercial connections to major backbones). Specifically, Tier III is comprised of multiple active power and cooling distribution paths, has redundant components, and is fault tolerant, providing 99.995% availability. Carpathia has facilities in many major US cities and around the world and provides: redundant HVAC, redundant fire suppression, redundant power with UPS and generator backup. The facility is secured with man-trap entrances, photo identification validation, manned armed security tours, and video surveillance 24 hours per day, 7 days per week. Figure 2 illustrates the system infrastructure.

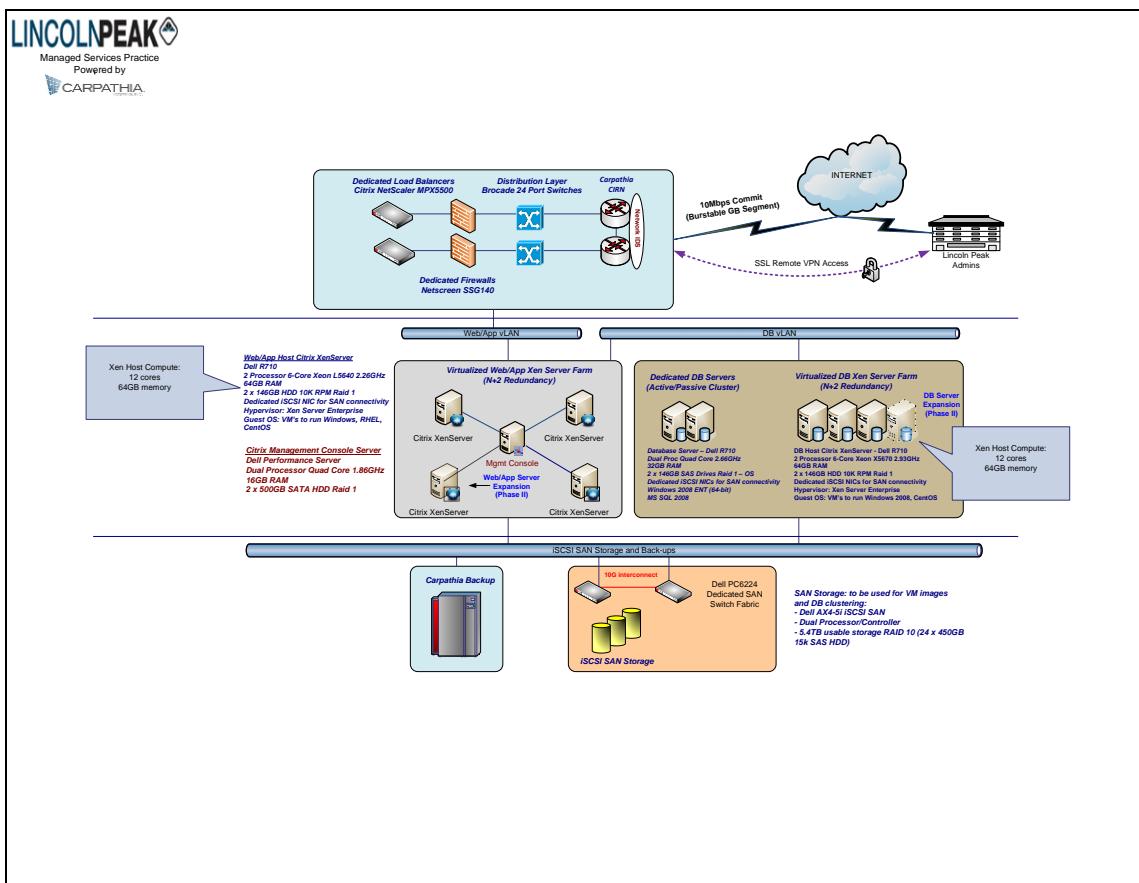


Figure 61 – Hosting System Infrastructure

LPP's systems connect to the internet via dual Juniper Router / Firewall / VPN concentrators that provide redundant connections to the internet with automatic failover. Each device has redundant power supplies connected to separate power circuits in the Tier III data center. The devices provide routing functions from the VLANs implemented on the redundant switches to the Internet. In addition to routing, the systems provide firewall and VPN functionality. Firewalls are configured to restrict inbound traffic to only HTTP (port 80) and/or port HTTPS (443) to the web servers. All clients are assigned dedicated web servers on virtual machines. No direct inbound web access is allowed to the database servers. All database traffic is routed through the firewalls and limited to the appropriate web server. VPN is dual authentication, requiring the use of an RSA token in addition to username/ password. The VLANs span the dual Ethernet switches and dual physical NICs are teamed on the servers for production data providing 2GB bandwidth and redundancy in the event of NIC or switch failure.

The Application Portal is hosted in a two server configuration, one server (Portal web Server) to run the application and to service all applications requests that come in via the Web. This server runs the Portal application under IIS and ASP .NET. The second server (Portal Database server) houses the Portal Database in a MS SQL Server 2008 instance. There will be no connection from the Portal Database server to the web. All requests will be made via the Portal Web server. Web servers are on virtual machines with support for load balanced web farms as utilization increases and database servers are physically clustered servers for FISMA compliance. Database server is replicated via log shipping to Carpathia Phoenix data center which is also FISMA compliant. Each server is hardened and performance tuned according to Microsoft best practice

documentation. A third Management Server (not open to the Web and only available via Virtual Private Network) will be used by Operations Administrators to monitor the health and tune the Portal Web Server and the Portal Database Server.

### **10.3. FISMA Controls per NIST SP 800-53 Security Controls**

LPP has contracted Caturano & Company (<http://www.caturano.com/>) to review all Lincoln Peak's Standard Operating Procedures (SOP) pertaining to Managed Services to determine required enhancements for FISMA compliance. Specifically, the system is designed to meet FISMA Moderate Risk security controls as specified in the National Institute of Standards and Technology (NIST) Special Publication 800-53 (<http://csrc.nist.gov/publications/nistpubs/800-53-Rev2/sp800-53-rev2-final.pdf>). The following is a list of applicable NIST SP 800-53 controls and a summary of Lincoln Peak's policies and procedures for each. These descriptions relate to internal LPP SOPs and policies, not those of the querying system.

#### **Lincoln Peak Standard Operating Procedures per NIST SP 800-53 Security Controls**

- I. Lincoln Peak User Access Policy
  1. Provides policy to control who is allowed to access systems and how that access is managed.
  2. Logical Access
    - i. New Hires/Terminated Users/Modifications/Contractors
      - a) Documentation and verification of all account requests
    - ii. User Access Review
      - a) Periodic review of accounts to eliminate unnecessary accounts
    - iii. Segregation of Duties
      - a) Limiting functional access by role to ensure only properly trained, authorized MSP personnel have access to production equipment.
    - iv. VPN Access
      - a) Policy for issuing and managed dual token SSL based VPN for accessing all systems
    - v. Domain Policies
      - a) Active Directory and LDAP policies to control system access
      - b) Passwords - 7 character minimum, 100 characters maximum, strong password, quarterly change, enforce history
      - c) Lockouts – 5 failed attempts results in locked account requiring administrator intervention
  3. System Security
    - i. Server/Network Configuration – security policies
      - a) DMZ
        - a. Web server and database server firewall configuration to prohibit external access to database servers and limit web server protocols/ ports
      - ii. Secure Data Transfer
        - a) FTP
          - a. Limited to behind firewall for authenticated VPN users only
        - b) Encryption

- a. All traffic behind traversing firewall is encrypted other than HTTP access to front end web servers by external users
  - iii. Assessments and Certifications
    - a) Penetration Testing
      - a. Periodic testing of security
    - b) Vulnerability Scanning
      - a. Periodic scanning of ports and systems
  - iv. Authorized Traffic
    - a) Firewalls
      - a. Firewall rules are created on a server by server basis to restrict inbound traffic to HTTP (port 80) and/or HTTPS (port 443) to web servers. Port 25 is available on request for SMTP. Additional ports are available if required and are documented through Change Management Process. Database servers have no direct inbound web traffic and are not NAT'd. DMZ firewalls limit access to each database server to the associated web server(s).
    - b) Anti-Virus
      - a. All servers must run NOD32 anti-virus
  - v. Physical Access
    - a) Third Party SAS70 Review
      - a. Type II SAS-70 audit to be performed in Q4 2010.
4. Written Information Security Policy/Risk Policy – provides policy on high level controls for access and security monitoring as well as response in the event of an incident
  - i. Protecting Data
    - a) Both Physical and Electronic data are covered in this SOP.
  - ii. Security Awareness Training
  - iii. Incident Response
5. Business Continuity, Disaster Recovery Plan
  - i. Policy and Plans for recovery of services in the event of data corruption/loss, component failure, system failure, site failure, and geographic failure (i.e., Natural disaster).
    - a) Data corruption/loss is addressed via backup/recovery policy
    - b) Component failure and system failure are addressed by in-device redundancy and overall redundant architecture of infrastructure providing near zero downtime for these conditions
    - c) Site failure is addressed via cold site in Phoenix AZ that is FISMA compliant with log ship database replication and webserver daily backup and copy to remote SAN allowing 72 hour configuration and recovery RTO and 15 minute RPO.
6. Change Management Policy
  - i. Policy and procedure for reviewing and approving all change to production environment to ensure no unexpected results
  - ii. Security Impact Analysis
  - iii. Change requests
  - iv. QA testing/end user testing

- v. System Backup
- vi. Change Approval prior to Implementation
- 7. Software Development Life Cycle
- 8. Maintenance Policy
  - i. Policy for the control of system maintenance such as OS and application patches
  - ii. Establishes maintenance schedule
  - iii. Establishes resource and financial budgeting
- 9. Vendor Management Policy
  - i. Policy for the review, approval, and control of vendors as they pertain to managed services
- 10. Human Resources Policy – Policy and procedure for review and approval of employee and contractor candidates
  - i. Candidate screening including background and reference checks.
  - ii. System security awareness policy/training

#### **10.4. Security Specifications**

The PopMedNet™ software system has undergone 3<sup>rd</sup>-party secure audit and passed a Harvard Pilgrim Health Care security audit and penetration test. The following list contains major system security governance specifications of the system.

- Enhanced system procedures
  - Securely store credentials as Salted Hashes
  - Use cryptographically secure random values for session IDs (.Net Type 4 GUID)
  - Cookies marked as ‘SECURE’, ‘SESSION’ & ‘HTTPONLY’ and the cookie domain
- Transmission
  - Require/force Secure Socket layer (SSL) for all communications
  - Enable strongest cipher suites and Transport Layer Security (TLS) versions
- Web Service and Portal Authorization
  - Ensure all submissions are performed via POST method
  - Do not publish WSDL
  - Limit the number and size of file submissions
- Users are required to select strong passwords with the following rules: at least 7 characters, maximum length of 100, at least 1 number, at least one nonnumeric character, at least one capital letter, at least one lower case letter. Passwords cannot contain the user name or any part of the user’s full name.
- The system will force users to change their passwords every six months.
- Passwords cannot be re-used.
- The system will automatically log users off after thirty minutes of inactivity.
- The system will automatically delete all query results after one year.
- The system will automatically delete file transfers after 21 days.

- The system will backup files or deleted queries on the disaster recovery database for 4 days and will automatically delete on day 5.
- Network Administrators will verify user identities and email addresses before creating new user accounts.
- Users must use corporate email addressed for network communication.
- Only Network Administrator shall modify user email from user administration page on the portal.
- The system will audit all network activity (e.g., access, user ID changes, query initiation, results upload, etc.) and will regularly review audit logs to look for inappropriate system use.
- Antivirus software will run regularly on all system servers.
- DataMart Administrators will be notified of relevant changes within the system such as the addition of a new user or DataMart. DataMart Administrators will be able to create audit logs of all activity related to their DataMart; see screenshot below for an example audit report.

DataMart Audit Report: LPP - Atlanta Manual DM								
							Time Period Covered: 04/01/2012 - 09/30/2012 Date Report Created: 09/25/2012	
ID	Request Name	Model	Request Type	Created On	Submitted On	Submitted By	Status	Open Days
2	MFU: Pharmacy Dispensings by Drug Class - 0	Summary: Most Frequently Used Queries	MFU: Pharmacy Dispensings by Drug Class	07/26/2012 11:23 AM	07/26/2012 11:23 AM	BSwan	Canceled	61
3	MFU: Pharmacy Dispensings by Generic Name - 0	Summary: Most Frequently Used Queries	MFU: Pharmacy Dispensings by Generic Name	07/26/2012 11:31 AM	07/26/2012 11:32 AM	BSwan	Completed	61
4	MFU: ICD-9 Procedures (4 digit codes) - 0	Summary: Most Frequently Used Queries	MFU: ICD-9 Procedures (4 digit codes)	07/26/2012 01:46 PM	07/26/2012 01:46 PM	BSwan	Completed	61
6	Reportable Disease - 0 (Copy)	ESP Query Builder	Reportable Disease	07/26/2012 02:33 PM	07/26/2012 02:33 PM	systemadministrator	AwaitingRequestApproval	61
7	ICD-9 Diagnosis - 0	ESP Query Builder	ICD-9 Diagnosis	07/26/2012 03:04 PM	07/26/2012 03:05 PM	BSwan	PendingUpload	61
9	ICD-9 Diagnosis - 1 (Copy)	ESP Query Builder	ICD-9 Diagnosis	07/26/2012 04:37 PM	07/26/2012 04:37 PM	MSullivan	Completed	61
10	ICD-9 Diagnosis - 3	ESP Query Builder	ICD-9 Diagnosis	07/26/2012 05:53 PM	07/26/2012 05:54 PM	Investigator	AwaitingRequestApproval	61
11	ICD-9 Diagnosis - 4	ESP Query Builder	ICD-9 Diagnosis	07/26/2012 06:32 PM	07/26/2012 06:33 PM	MSullivan	Completed	61
12	ICD-9 Diagnosis - 5	ESP Query Builder	ICD-9 Diagnosis	07/26/2012 06:34 PM	07/26/2012 06:35 PM	MSullivan	Completed	61
16	ICD-9 Diagnosis - 1 (Copy 2)	ESP Query Builder	ICD-9 Diagnosis	07/27/2012 08:00 AM	07/27/2012 08:00 AM	MSullivan	Completed	60
17	ICD-9 Diagnosis - 6 (Copy)	ESP Query Builder	ICD-9 Diagnosis	07/27/2012 09:00 AM	07/27/2012 09:00 AM	LPPBostonDataMartAdministrator	AwaitingResponseApproval	60
18	Reportable Disease - 0 (Copy 2)	ESP Query Builder	Reportable Disease	07/27/2012 01:16 PM	07/27/2012 01:16 PM	systemadministrator	AwaitingRequestApproval	60
19	ICD-9 Diagnosis - 1 (Copy 3)	ESP Query Builder	ICD-9 Diagnosis	07/28/2012 08:00 AM	07/28/2012 08:00 AM	MSullivan	Completed	59
20	ICD-9 Diagnosis - 6 (Copy 2)	ESP Query Builder	ICD-9 Diagnosis	07/28/2012 09:00 AM	07/28/2012 09:00 AM	LPPBostonDataMartAdministrator	AwaitingResponseApproval	59
21	Reportable Disease - 0 (Copy 3)	ESP Query Builder	Reportable Disease	07/28/2012 01:16 PM	07/28/2012 01:16 PM	systemadministrator	AwaitingRequestApproval	59
22	ICD-9 Diagnosis - 1 (Copy 4)	ESP Query Builder	ICD-9 Diagnosis	07/29/2012 08:00 AM	07/29/2012 08:00 AM	MSullivan	Completed	58
23	ICD-9 Diagnosis - 6 (Copy 3)	ESP Query Builder	ICD-9 Diagnosis	07/29/2012 09:00 AM	07/29/2012 09:00 AM	LPPBostonDataMartAdministrator	AwaitingResponseApproval	58
24	Reportable Disease - 0 (Copy 4)	ESP Query Builder	Reportable Disease	07/29/2012 01:16 PM	07/29/2012 01:16 PM	systemadministrator	AwaitingRequestApproval	58
25	ICD-9 Diagnosis - 6 (Copy 3) (Copy)	ESP Query Builder	ICD-9 Diagnosis	07/29/2012 03:08 PM	07/29/2012 03:08 PM	Investigator	AwaitingRequestApproval	58
26	ICD-9 Diagnosis - 1 (Copy 5)	ESP Query Builder	ICD-9 Diagnosis	07/30/2012 08:00 AM	07/30/2012 08:00 AM	MSullivan	Completed	57

Figure 61 – DataMart Audit Report

## 11. Related References

### Reports

- Brown J, et al., Proof-of-principle evaluation of a distributed research network. Effective Health Care Research Report No. 26. (Prepared by the DEcIDE Centers at the HMO Research Network and the University of Pennsylvania Under Contract No. HHSA29020050031 T05.) Rockville, MD: Agency for Healthcare Research and Quality. June 2010. Available at: <http://effectivehealthcare.ahrq.gov/reports/final.cfm>; 2009, AHRQ.
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#### **Manuscripts**

- Maro, J.C., *et al.*, Design of a national distributed health data network. Ann Intern Med, 2009. 151(5): p. 341-4.
- Brown, J.S., *et al.*, Distributed health data networks: a practical and preferred approach to multi-institutional evaluations of comparative effectiveness, safety, and quality of care. Med Care. 48(6 Suppl): p. S45-51.

#### **Websites**

- Mini-Sentinel.org
- PopMedNet.org

#### **12. Development and Funding Statement**

The **PopMedNet™** software application was developed as part of several contracts awarded by the Agency for Healthcare Research and Quality to the HMO Research Network (HMORN) Center for Education and Research on Therapeutics (CERT) DEcIDE Center housed in the Department of Population Medicine at the Harvard Pilgrim Health Care Institute (HPHCI). The software application has been enhanced using additional funding via the FDA Mini-Sentinel contract with Harvard Pilgrim Health Care. The system was developed by Lincoln Peak Partners under the direction of HPHCI.