

# CS186 Discussion #8

(Functional Dependencies)

# Functional Dependencies

- $X \rightarrow Y$  reads “X determines Y”
- Used to detect redundancies and refine schema

id	rating	wage
1	3	20
2	2	10
3	3	20
4	2	10

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$\text{id} \rightarrow \text{rating, wage}$

# Functional Dependencies

- Key  $\rightarrow$  All attributes of relation

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$\text{id} \rightarrow \text{rating, wage}$

# Armstrong's Axioms

- **Reflexivity:** if  $X \supseteq Y$ , then  $X \rightarrow Y$ 
  - **Examples:**  $A \rightarrow A$ ,  $AB \rightarrow A$
- **Augmentation:** if  $X \rightarrow Y$ , then  $XZ \rightarrow YZ$  for any  $Z$
- **Transitivity:** if  $X \rightarrow Y$  and  $Y \rightarrow Z$ , then  $X \rightarrow Z$
- Useful rules derived from Armstrong's Axioms:
  - **Union:** if  $X \rightarrow Y$  and  $X \rightarrow Z$ , then  $X \rightarrow YZ$
  - **Decomposition:** if  $X \rightarrow YZ$ , then  $X \rightarrow Y$  and  $X \rightarrow Z$

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- If  $XA \rightarrow YA$ , can you infer  $X \rightarrow Y$ ?

# Armstrong's Axioms

- If  $XA \rightarrow YA$ , can you infer  $X \rightarrow Y$ ?
- Trivial example:  $A \rightarrow YA$



Worksheet: Page 2

Consider the **Works\_In**(**S**sn, parking\_**L**ot\_num, **D**epartment\_id, s**I**nce) relation. If **S** (ssn) is a key for this relationship, what is the functional dependency we can infer from that?

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- $S \rightarrow SLDI$

If employees in the same department are given the same parking lot number, what additional functional dependency can we infer?

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- $D \rightarrow L$

Find the set of functional dependencies:

Flights(**F**light\_no, **D**ate, f**R**om, **T**o, **P**lane\_id),

ForeignKey(**P**lane\_id)

Planes(**P**lane\_id, t**Y**pe)

Seat(**S**eat\_no, **P**lane\_id, **L**egroom), ForeignKey(**P**lane\_id)

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- $FD \rightarrow RTP$

- $P \rightarrow Y$

- $SP \rightarrow L$

# Closures

- Functional dependency closure:  $F^+$ 
  - Set of all FDs implied by  $F$ , including trivial dependencies
  - Example:  $F = \{A \rightarrow B, B \rightarrow C\}$ 
    - $F^+ = \{A \rightarrow B, B \rightarrow C, A \rightarrow C, A \rightarrow A, A \rightarrow AB, \dots\}$



# Closures

- Attribute closure:  $X_+$ 
  - Given just  $X$ , what can we determine?
  - Example:  $F = \{A \rightarrow B, B \rightarrow C\}$ 
    - $A_+ = ABC$

# Attribute Closures

- A methodical algorithm, given a set of FDs  $F$ :
  - Initialize  $X_+ := X$
  - Repeat until no change:
    - If  $U \rightarrow V$  is in  $F$  such that  $U$  is in  $X_+$ , add  $V$  to  $X_+$
- $R = ABCDE$
- $F = \{B \rightarrow CD, D \rightarrow E, B \rightarrow A, E \rightarrow C, AD \rightarrow B\}$
- $B_+ = ?$

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- $R = ABCDE$
- $F = \{B \rightarrow CD, D \rightarrow E, B \rightarrow A, E \rightarrow C, AD \rightarrow B\}$
- $B_+ = ABCDE$   $B$  is a key of  $R$ !

# Boyce-Codd Normal Form (BCNF)

- Motivation: Schema design is hard, want a way to ensure a reasonable design
- BCNF  $\approx$  “reasonable schema”



# Boyce-Codd Normal Form (BCNF)

- Definition: Relation  $R$  with FDs  $F$  is in BCNF if for all  $X \rightarrow A$  in  $F^+$ :
  - $X \rightarrow A$  is reflexive (a trivial FD) OR
  - $X$  is a superkey for  $R$ 
    - Superkey: Key that does not need to be minimal

# BCNF Decomposition

- If  $X \rightarrow A$  violates BCNF, decompose  $R$  into  $R - A$  and  $XA$ 
  - Repeat as necessary
- $R = ABCEG$
- $F = \{AB \rightarrow C, AC \rightarrow B, BC \rightarrow G, E \rightarrow G\}$

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- $ABEG, ABC$

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# Decomposition Properties

- Lossless join: Can we reconstruct R?
  - Decomposing R into X and Y is lossless iff:
    - $X \cap Y \rightarrow X$ , or
    - $X \cap Y \rightarrow Y$
  - BCNF usually produces lossless join when decomposing  $X \rightarrow A$ , **except** when A contains some part of X



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- Example:
  - ABC decomposed to AB, BC
  - FDs:  $A \rightarrow B$ ,  $C \rightarrow B$
  - This is lossy!  $AB \cap BC = B \rightarrow B$

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- Example:
  - ABC decomposed to AC, BC
  - FDs:  $A \rightarrow B$ ,  $C \rightarrow A$
  - This is lossless!  $AC \cap BC = C \rightarrow AC$

# Decomposition Properties

- Dependency-preserving: Can we verify all FDs without joins?
- Example: ABE, EG, ABC
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- Example: ABE, EG, ABC
  - $F = \{AB \rightarrow C, AC \rightarrow B, BC \rightarrow G, E \rightarrow G\}$
  - This dependency was not preserved!
  - We can fix this by adding BCG, but this may break BCNF.

# Minimal Cover

- G for a set of FDs F
  - Closure of G = closure of F
  - Right hand side of each FD in G is a single attribute
- Implies lossless join and dependency preserving decomposition
- Example:  $A \rightarrow B$ ,  $ABCD \rightarrow E$ ,  $EF \rightarrow GH$ ,  $ACDF \rightarrow EG$

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- $A \rightarrow B$ ,  $ACD \rightarrow E$

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Worksheet: Page 3

Now consider the attribute set  $R = ABCDE$  and the FD set  $F = \{AB \rightarrow C, A \rightarrow D, D \rightarrow E, AC \rightarrow B\}$ .  
Compute the closure for the following attributes.

- A:
- AB:
- B:
- D:

Now consider the attribute set  $R = ABCDE$  and the FD set  $F = \{AB \rightarrow C, A \rightarrow D, D \rightarrow E, AC \rightarrow B\}$ .  
Compute the closure for the following attributes.

- A: ADE
- AB: ABCDE
- B: B
- D: DE

Consider the relation with attributes ABCDE  
and FD's:  $AB \rightarrow ABCDE$ ,  $D \rightarrow A$ ,  $E \rightarrow B$   
Is this in BCNF?

Consider the relation with attributes ABCDE  
and FD's:  $AB \rightarrow ABCDE$ ,  $D \rightarrow A$ ,  $E \rightarrow B$   
Is this in BCNF?

- **This is not BCNF**
  - $D \rightarrow A$  and  $E \rightarrow B$  are not trivial, and neither D nor E are keys.
  - This is 3NF because both A and B are parts of a key of the relation (see the definition of 3NF).



Decompose  $R = ABCDEFG$  into BCNF, given the FD set:  
 $F = \{AB \rightarrow CD, C \rightarrow EF, G \rightarrow A, G \rightarrow F, CE \rightarrow F\}.$

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- BEFG, ABCD, AG

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- ABEFG, ABCD
- BEFG, ABCD, AG
- BEG, FG, ABCD, AG

Decompose  $R = ABCDEFG$  into BCNF, given the FD set:  
 $F = \{AB \rightarrow CD, C \rightarrow EF, G \rightarrow A, G \rightarrow F, CE \rightarrow F\}.$

- ABEFG, ABCD
- BEFG, ABCD, AG
- BEG, FG, ABCD, AG

Does BEG, FG, ABCD, AG preserve dependencies?

$F = \{AB \rightarrow CD, C \rightarrow EF, G \rightarrow A, G \rightarrow F, CE \rightarrow F\}$ .

Does BEG, FG, ABCD, AG preserve dependencies?

$F = \{AB \rightarrow CD, C \rightarrow EF, G \rightarrow A, G \rightarrow F, CE \rightarrow F\}$ .

- No,  $C \rightarrow EF$  and  $CE \rightarrow F$  are not preserved.



Give a minimal cover for:

$$F = \{AB \rightarrow CD, C \rightarrow EF, G \rightarrow A, G \rightarrow F, CE \rightarrow F\}.$$

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$$F = \{AB \rightarrow CD, C \rightarrow EF, G \rightarrow A, G \rightarrow F, CE \rightarrow F\}.$$

- $AB \rightarrow C$
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- $C \rightarrow F$
- $C \rightarrow E$

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- $G \rightarrow A$

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- $G \rightarrow A$
- $G \rightarrow F$

Give a minimal cover for:

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- $AB \rightarrow D$
- $C \rightarrow F$
- $C \rightarrow E$
- $G \rightarrow A$
- $G \rightarrow F$

Give a minimal cover for:

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- $AB \rightarrow C$
- $AB \rightarrow D$
- $C \rightarrow F$
- $C \rightarrow E$
- $G \rightarrow A$
- $G \rightarrow F$

More lossless practice:

$F = \{AB \rightarrow CDE, BE \rightarrow X, A \rightarrow E\}$

Is  $ABC, BCDEX$  a lossless decomposition?



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$F = \{AB \rightarrow CDE, BE \rightarrow X, A \rightarrow E\}$

Is  $ABC, BCDEX$  a lossless decomposition?

- No, it is lossy.  $ABC \cap BCDEX = BC$ , which is not a superkey of  $ABC$  nor  $BCDEX$ .

R: ABCDE

Given FD = { $AE \rightarrow BC$ ,  $AC \rightarrow D$ ,  $CD \rightarrow BE$ ,  $D \rightarrow E$ }

Give three candidate keys.

R: ABCDE

Given FD = { $AE \rightarrow BC$ ,  $AC \rightarrow D$ ,  $CD \rightarrow BE$ ,  $D \rightarrow E$ }

Give three candidate keys.

- AE, AC and AD are candidate keys, as each of their attribute closures include all attributes and no subset of them is a super key by itself.

R: ABCDE

Given FD = { $AE \rightarrow BC$ ,  $AC \rightarrow D$ ,  $CD \rightarrow BE$ ,  $D \rightarrow E$ }

Is R already in BCNF?

R: ABCDE

Given FD = { $AE \rightarrow BC$ ,  $AC \rightarrow D$ ,  $CD \rightarrow BE$ ,  $D \rightarrow E$ }

Is R already in BCNF?

- No, because both  $CD \rightarrow BE$  and  $D \rightarrow E$  violate BCNF.

R: ABCD

Given FD =  $\{A \rightarrow B, B \rightarrow D, C \rightarrow D\}$

Decomposed to AB, CD, AC. Is this lossless?

R: ABCD

Given FD = { $A \rightarrow B$ ,  $B \rightarrow D$ ,  $C \rightarrow D$ }

Decomposed to AB, CD, AC. Is this lossless?

- Yes, a lossless decomposition would be: ABC CD which is lossless because C is a key for CD and then a further decomposition of ABC into AB and AC which is lossless because A is a key for AB.