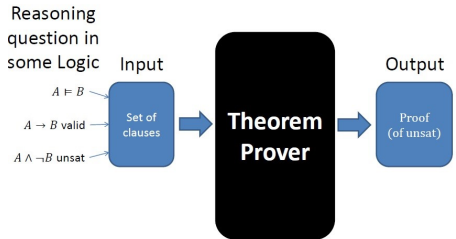


A Framework for Software Verification  
Introduction to Theorem Proving (Automatic Theorem Proving)  
Hoare Logic  
Class Activity The  
KeY Project

# Theorem Proving

## Automated Theorem Proving



Lucien Ngalamou

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Introduction to Theorem Proving (Automatic Theorem Proving)  
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# Outline

A Framework for Software Verification

Introduction to Theorem Proving (Automatic Theorem

Hoare Logic

Class Activity

The KeY Project

## A Framework for Software Verification

- | Convert the informal description  $R$  of requirements in the application domain into an "equivalent" formula  $\varphi_R$  in the logic;
- | Write a Program which is meant to realize  $\varphi_R$  in the programming environment supplied by your compiler; wanted by the particular customer;
- | Prove that the program  $P$  satisfies the formula  $\varphi_R$ .

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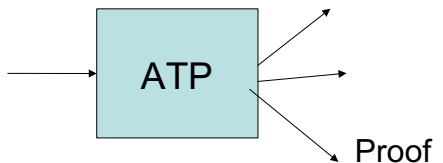
# What is an automated theorem

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Input

Output

Theorem



“Counterere

---

## Example theorems

- Pythagoras theorem: Given a right triangle with sides A B and C, where C is the hypotenuse,  $C^2 = A^2 + B^2$
- Fundamental theorem of arithmetic: Any integer number bigger than 1 can be represented in exactly one way as a product of primes

## The model checking approach

- Create a model of the program in a de formalism
- Verify the model algorithmically
- Difficulties



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- Model creation is burden on programmer -  
The model might be incorrect.

- If verification fails, is the problem in the  
the program?

## The axiomatic approach

- Add auxiliary specifications to the program  
decompose the verification task into a set of  
verification tasks
- Verify each local verification problem •

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- Auxiliary spec is burden on programmer - Auxiliary spec might be incorrect.

- If verification fails, is the problem with the specification or the program?

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## Example Theorem

- The program “ $z = x; z = z + y;$ ” computes the sum of ‘ $x$ ’ and ‘ $y$ ’ in ‘ $z$ ’ according to the semantics of C
- Program-Semantics  $\sqsubseteq$  Specification

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## Theorem

- Theorem must be stated in formal logic
  - self-contained
  - no hidden assumptions
- Many different kinds of logics (propositional logic, first order logic, higher order logic, modal logic, temporal logic)

- 
- Different from theorems as stated in m
    - theorems in math are informal
    - mathematicians find the formal details too cumbersome

## Human assistance

- Some ATPs require human assistance
  - e.g.: programmer gives hints a priori, or interacts with ATP using a prompt

- 
- Hardest theorems to prove are “mathematically interesting” theorems (eg: Fermat’s last theorem)

## Output

- Can be as simple as a yes/no answer
- May include proofs and/or counterexamples

- 
- These are formal proofs, not what mathematicians refer to as proofs
  - Proofs in math are
    - informal
    - “validated” by peer review
    - meant to convey a message, an intuition proof works -- for this purpose the formal too cumbersome



## Output: meaning of the answer

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- If the **theorem prover** says “yes” to a formula, what does that tell us?
  - **Soundness**: theorem prover says yes implies formula is correct
  - Subject to bugs in the Trusted Computing Base (TCB)
  - Broad defn of TCB: part of the system that must be correct in order to ensure the intended guarantees
  - TCB may include the whole theorem prover

## Output: meaning of the answer

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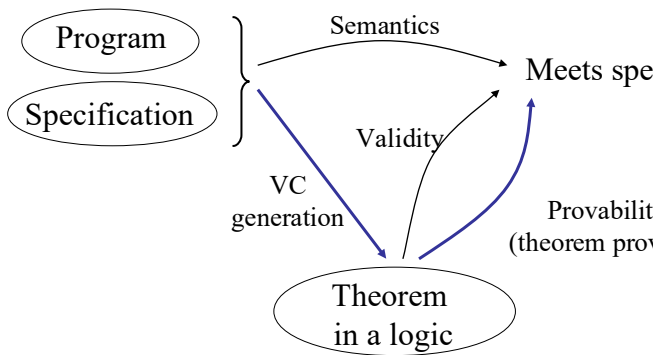
- Or it may include only a proof checker
- If the theorem prover says “no” to a formula, what does that tell us?
  - **Completeness:** formula is correct implies prover says yes
  - Or, equivalently, theorem prover says no implies formula incorrect
  - Again, as before, subject to bugs in the T

## Output: meaning of the answer

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- ATPs first strive for soundness, and then completeness if possible
- Some ATPs are incomplete: “no” answers provide any information
- Many subtle variants
  - refutation complete
  - complete semi-algorithm

# Theorem Proving and Software



- Soundness:
  - If the theorem is valid then the program meets specification
  - If the theorem is provable then it is valid

## Programs ! Theorems = Axiomatic Semantics

- Consists of:

- A language for making assertions about program states
- Rules for establishing when assertions hold
- Typical assertions:
  - During the execution of a program, all non-null pointers are dereferenced
  - This program terminates with  $x = 0$
- Partial vs. total correctness assertions
  - Safety vs. liveness properties

- Usually focus on safety (partial correctness)

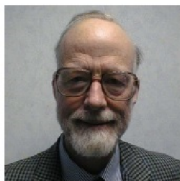


# Hoare Logic

## C. A. R. (Tony) Hoare

The inventor of this week's logic is also famous for inventing the **Quicksort** algorithm in 1960 - when he was just **26**! A quote:

Computer programming is an **exact science** in that all the properties of a program and all the consequences of executing it in any given environment can, in principle, be found out from the text of the program itself by means of purely **deductive reasoning**.



## Hoare Logic

- A way of asserting properties of programs.
- Hoare triple:  $\{A\}P\{B\}$  asserts that “If program  $P$  is in a state satisfying condition  $A$ , if it terminates, it will terminate in a state satisfying condition  $B$ .” A proof system for proving assertions.

A way of reasoning about such assertions using the “Weakest Preconditions” (due to Dijkstra).

## Example program

simple programming language skip  $x := e$

(assignment) if  $b$  then  $S$  else  $T$  (if-

then-else) while  $b$  do  $S$  (while)  $S ; T$



• (sequencing)

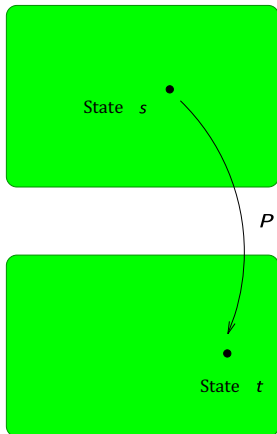


•  $x := n; a := 1; \text{while } (x$   
 •  $\geq 1) \{ a := a * x;$

```
    x := x - 1  
}
```

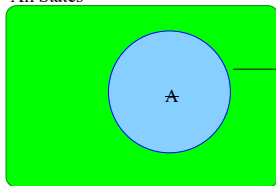
View program  $P$  as a **partial** map  $[P] : Stores \rightarrow Stores$ .

All States

 $\{x \neq 2, y \neq 10, z \neq 10\}$  $y = y + 1; z = x + y$  $\{x \neq 2, y \neq 11, z \neq 10\}$

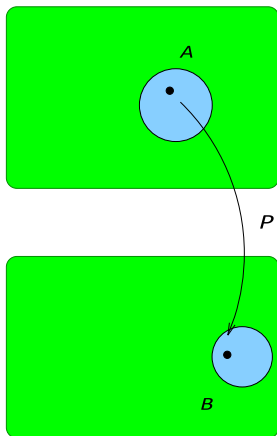
## Predicates on States

All States



States satisfying  
Predicate A  
Eg.  $x \geq 0 \wedge x <$

$\{A\}P\{B\}$  asserts that “If program  $P$  is started in a state satisfying condition  $A$ , either it will not terminate, or it will terminate in a state satisfying condition  $B$ .”



All States

$\{10 \leq y\}$



$y = y + 1; z = x + y$

$\{x < z\}$

## Proof rules of Hoare Logic

Skip:

$$\frac{}{\{A\}\text{skip}\{A\}}$$

Assignment

$$\frac{}{\{A[e/x]\}x := e\{A\}}$$

If-then-else:

$$\frac{\{P \wedge b\} S \{Q\}, \{P \wedge \neg b\} T \{Q\}}{\{P\} \text{if } b \text{ then } S \text{ else } T \{Q\}}$$

While (here  $P$  is called a *loop invariant*)

$$\frac{\{P \wedge b\} S \{P\}}{\{P\} \text{while } b \text{ do } S \{P \wedge \neg b\}}$$

Sequencing:

$$\frac{\{P\} S \{Q\}, \{Q\} T \{R\}}{\{P\} S; T \{R\}}$$

## Class Activity

Weakening:

$$\frac{P \Rightarrow Q, \{Q\} S \{R\}, R \Rightarrow T}{\{P\} S \{T\}}$$

- | Download from BB (Week 5) and read the file hoare-  
(15 minutes to 20 minutes)
- | Discussion

## The KeY Project (Formal Methods for Comp Objects Conf. 2006)

- | The KeY Tool (<https://www.key-project.org>) is a  
Verification of Object-Oriented Programs
- | The currently most prominent applications are:
  - | Program Verification (Standalone GUI, Eclipse Integr
  - | Debugging (Symbolic Execution Debugger)
  - | Information Flow Analysis / Security | Test  
Case generation (KeYTestGen)

## Some Buzzwords Early On

- **Java** as target language
- **Dynamic logic** as program logic
- Verification = **symbolic execution** + **induction**
- **Sequent style** calculus + meta variables + incrementa
- Prover is **interactive** + **automated** Integration
- with two standard SWE tools:
  - **TogetherCC**, a commercial CASE tool
  - **Eclipse**, an open extensible IDE
- Specification languages
  - **JML**
- 



# Supported Specification Languages: OCL

OCL/UML

Smart cards as main target application

Deductive Verification of OO Programs: Introduction

Object Constraint Language Part

of the OMG standard UML

Scope:

add formal constraints to UML (class) diagrams

Deductive Verification of OO Programs: First Demo



# Supported Specification Languages: JML

Java Modeling Language

behavioral interface specification language for Java

international community effort lead by Gary T. Leavens,  
building on the Larch approach

comes with assertion and runtime checkers





# CL and JML



## both

- specify method behaviour: pre/post conditions
- specify admissible states: class invariants
- essentially full first order
- support inter-object navigation

## differences

- OCL model oriented:
  - attached to class diagrams
  - 'talks' UML
- JML implementation oriented:
  - attached to Java programs
  - 'talks' Java
  - specifies exceptional behaviour also
- JML only: restricting scope of side effects

## ΛL example

```
/*@ public normal_behavior
  @ requires a != null;
  @ ensures (\forall int j; j >= 0 && j < a.length;
    @                                     \result >= a[j])
  @ ensures a.length > 0 ==>
    @                                     (\exists int j; j >= 0 &&
    @                                     \result == a[j])
  @*/ public static /*@ pure @*/ int max(int[] a) { if
a.length == 0 ) return 0; int max = a[0], i = 1; while ( i <
a.length ) { if ( a[i] > max ) max = a[i];
    ++i;
  }
```



```
    return max;  
}
```

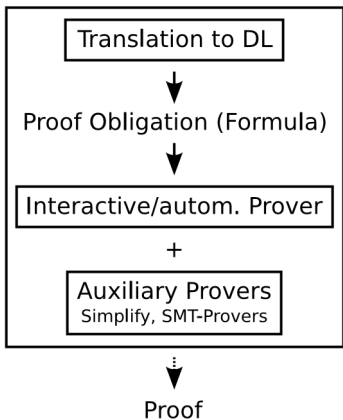


# $\exists$ Y Architecture



Program  
Java(Card)

Specification  
FOL, OCL, JML



# Components of the Calculus

## 1 Non-program rules

- first-order rules
- rules for data-types (primarily: arithmetic) rules
- for modalities

## 2 Rules for reducing/simplifying the program (symbolic)

Replace the program by combination of

- case distinctions (proof branches) and
- sequences of updates

## 3 Rules for handling loops

- rules using loop invariants unwinding
- + induction

# Rules for replacing a method invocations by the method body

## Update simplification

Deductive Verification of OO Programs: A Calculus for 100% JavaCard





## The calculus covers:

- method invocation, dynamic binding
- polymorphism
- abrupt termination
- checking for nullpointer exceptions
- object creation and initialisation
- arrays
- finiteness of integer data types
- transactions (JavaCard)

By that, KeY covers the full 'JavaCard' language.

## coverage of Java features



# va Card

- Subset of Java, but with transaction concept
- Sun's official standard for Smart Cards and embedded systems

## Why Java Card?

Good example for real-world object-oriented language

### JavaCard has *no*

- garbage collection
- dynamical class loading
- multi-threading
- floating-point arithmetic

### Application areas

- security critical
- financial risk  
(e.g. exchanging smart cards is expensive)



# Implementing Rules: Taclets

## Uniform language for different classes of rules

- First-order calculus
- Specific to JavaDL: symbolic execution for Java
- Axioms of theories: arithmetic, lists, etc.
- Lemmas

## Simple, high-level language

- Adding, modifying, and removing formulas
- Conditions restricting applicability of rules
- No complex features like loops
- Suitable both for interactive and automated systems
- Lemmas are validated wrt. base taclets





## Library Case Studies

### Java Collections Framework (JCF)

- Part of JCF (treating sets) specified using UML/OCL
- parts of reference implementation verified

### Java Card API

- Most parts of JavaCard API specified using UML/OCL
- parts of reference implementation verified

### Marking Algorithm

- Standard benchmark for verification systems
- Graph marking algorithm for garbage collection
- 
- 
-

Java implementation: 2 classes, core algorithm 25 lines  
Heavy aliasing, frame problem  
Specified and verified

## Security Case Studies: Java Card Software

safety/security properties specified in dynamic logic

- 'Only certain exceptions can be thrown'
- Transactions are properly used  
(do not commit or abort a transaction that was never started Transactions are also closed)
- Data consistency  
(also if a smartcard is "ripped out" during operation)
- of overflows for integer operations



Demoney (about 3000 lines):

Electronic purse application provided by Trusted Logic

SafeApplet (about 600 lines): RSA based authentication

## safety Case Study

Software by DBSystems for computing schedules for t

Speed restrictions, required break powers

Software formally specified using UML/OCL (based on existing informal specification)





- Program translated from Smalltalk to Java

## Avionics Software

- Java implementation of a Flight Manager module at TR
- Comprehensive specification using JML, emphasis on
- Verification of some nested method calls using contra

## Virtual Machine for Real Time Security Java

- Verification of some library functions of the Jamaica V

