

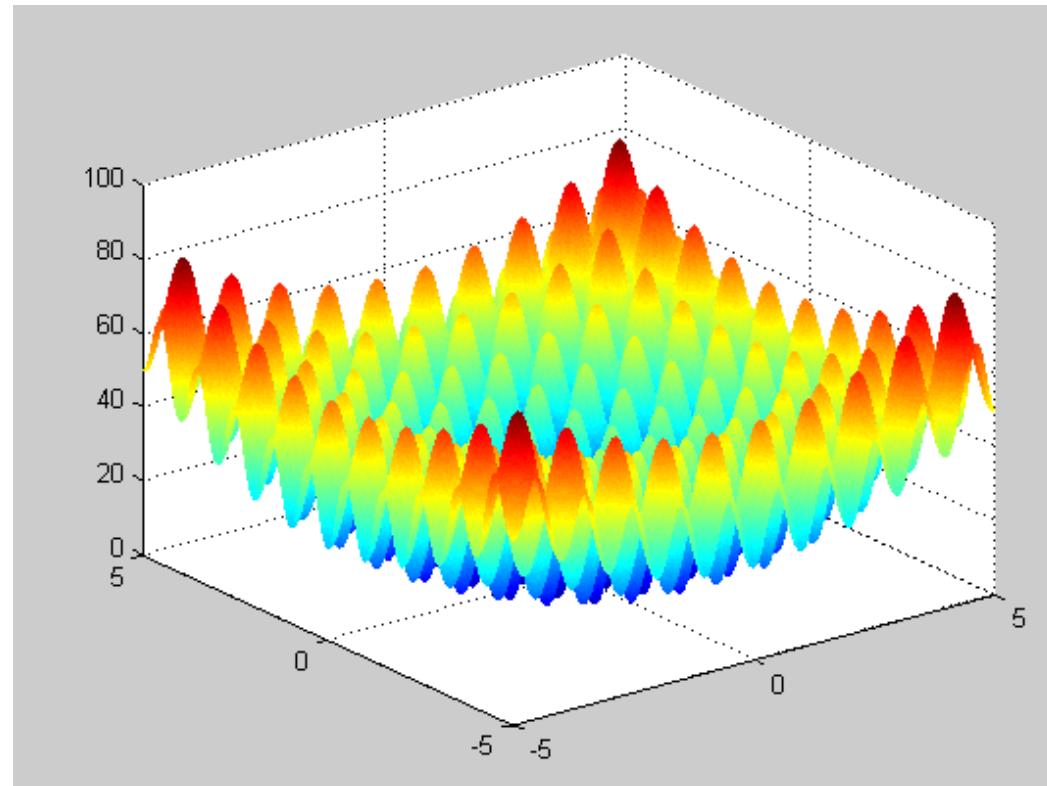
# PROBLEM FORMULATION AND SEARCH TREE FUNDAMENTALS OF AI(COMP1037)

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University of Nottingham,  
Ningbo China 2020

# MATHEMATIC PROBLEM

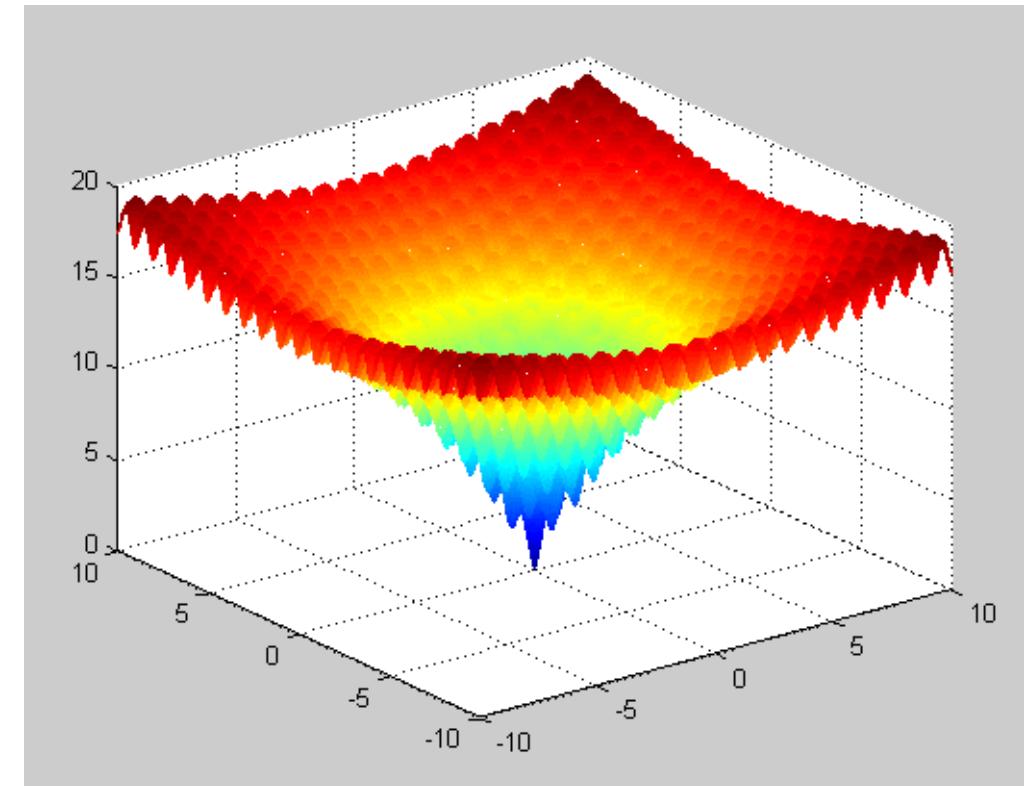
$$Ras(x) = 20 + x_1^2 + x_2^2 - 10(\cos 2\pi x_1 + \cos 2\pi x_2).$$

$$x_i \in [-5.12, 5.12]$$



$$F(\vec{x}) = -20 \cdot \exp\left(-0.2 \sqrt{\frac{1}{n} \cdot \sum_{i=1}^n x_i^2}\right) - \exp\left(\frac{1}{n} \sum_{i=1}^n \cos(2\pi \cdot x_i)\right) + 20 + e$$

$$x_i \in [-30, 30]$$



# REAL-WORLD PROBLEM

*Tree SEARCH*

- ❖ Do you drive? Have you thought about how the route is planned in your GPS?
- ❖ How would you implement a cross-and-nought computer program?

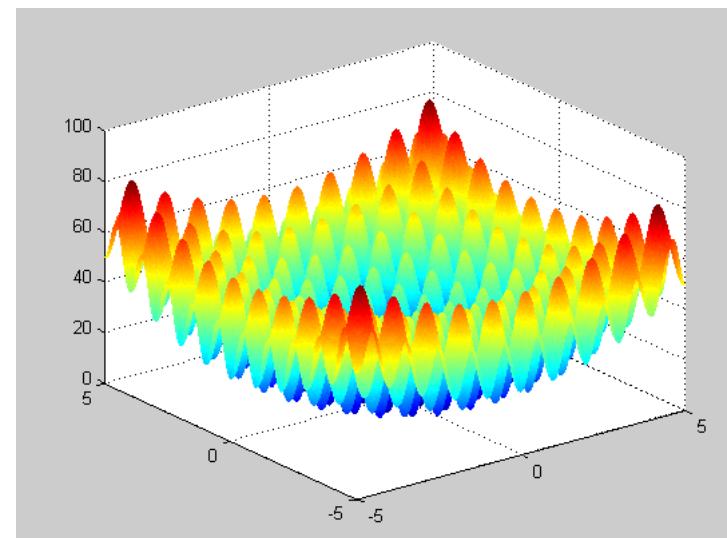
# SOLVING PROBLEM BY SEARCHING

- ❖ Many problems in real-world exhibit no detectable regular structure to be exploited, they appear “**chaotic**”, and do not yield to efficient algorithms
- ❖ Often we can't simply write down and solve the equations for a problem
- ❖ **Exhaustive search** of large state spaces appears to be the only viable approach

$$Ras(x) = 20 + x_1^2 + x_2^2 - 10(\cos 2\pi x_1 + \cos 2\pi x_2).$$

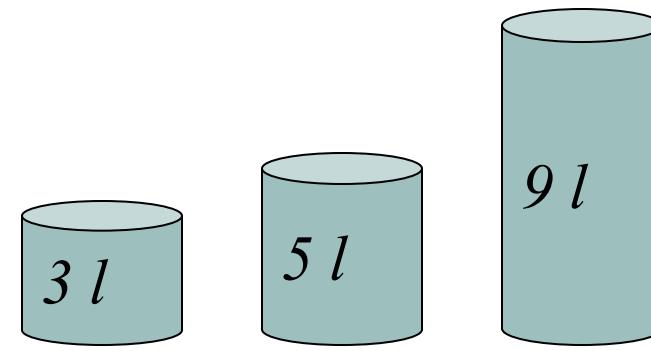
# SOLVING PROBLEM BY SEARCHING

- ❖ The concept of **search** plays an important role in science and engineering
- ❖ In one way, any problem whatsoever can be seen as a search for “the **right answer**”
- ❖ **Search space**
  - ❖ Set of all possible solutions to a problem
- ❖ **Search algorithms**
  - ❖ Take a problem as input
  - ❖ Return a solution to the problem



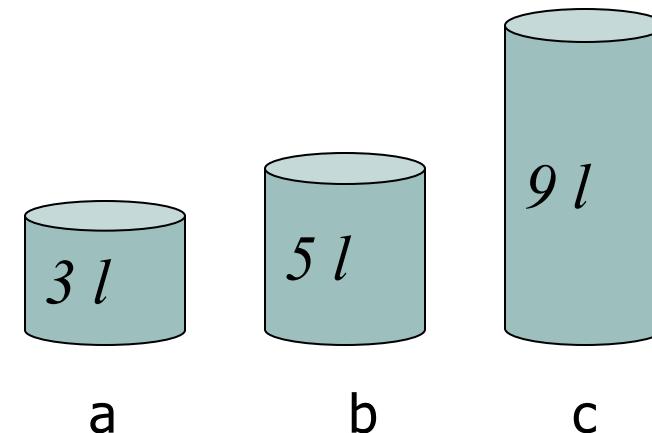
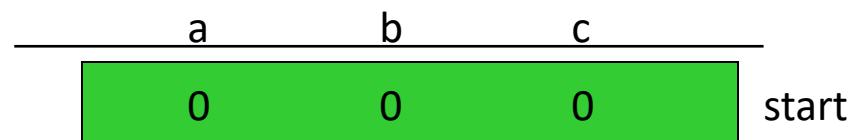
# OUTLINES

- ❖ Topic one: Definitions and examples of problems (2nd Session)
  - **Problem formulation**
  - Problem representation
- ❖ Topic two: Problem solving by searching
  - Uninformed(blind) search algorithms (3<sup>rd</sup> Session)
    - Simplest exhaustive search
    - Breadth first search, depth first search, Uniform cost search
  - Informed search algorithms (4<sup>th</sup> Session)
    - Use of heuristics that apply domain knowledge
    - A\* algorithm, Minimax algorithm

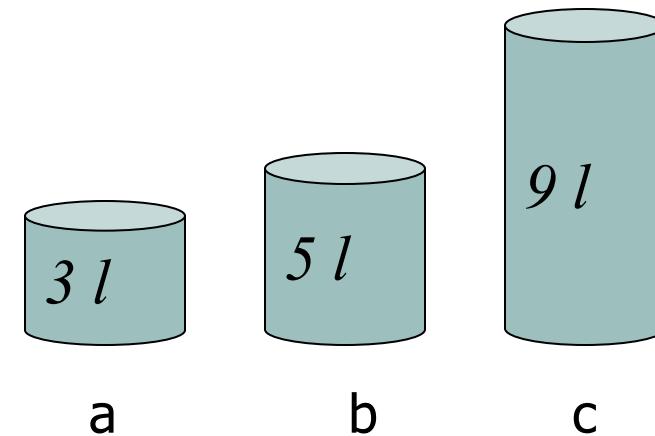
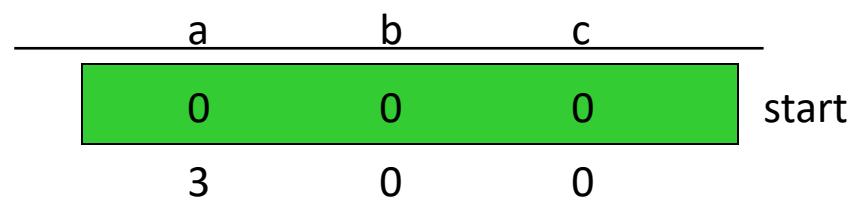


**Problem:** Using these three buckets, measure 7 liters of water.

**(one possible) Solution:**



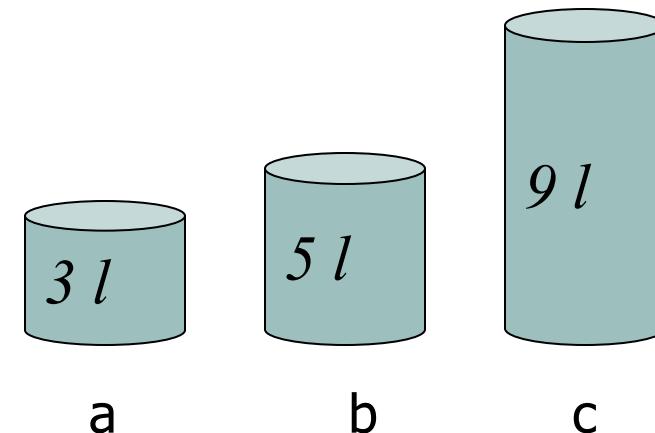
**(one possible) Solution:**



**(one possible) Solution:**

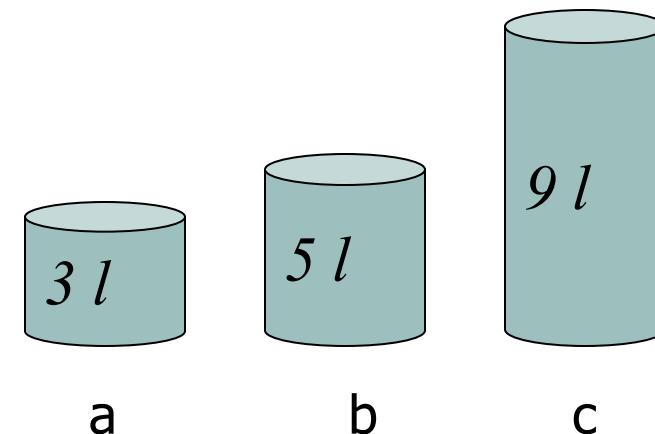
a	b	c	
0	0	0	start
3	0	0	

0      0      3



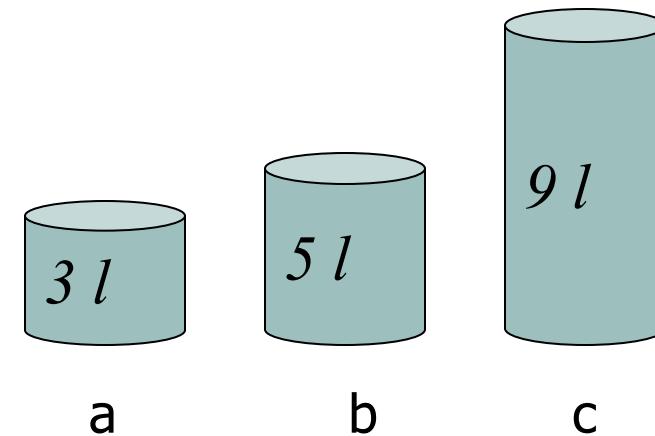
**(one possible) Solution:**

a	b	c	
0	0	0	start
3	0	0	
0	0	3	
3	0	3	



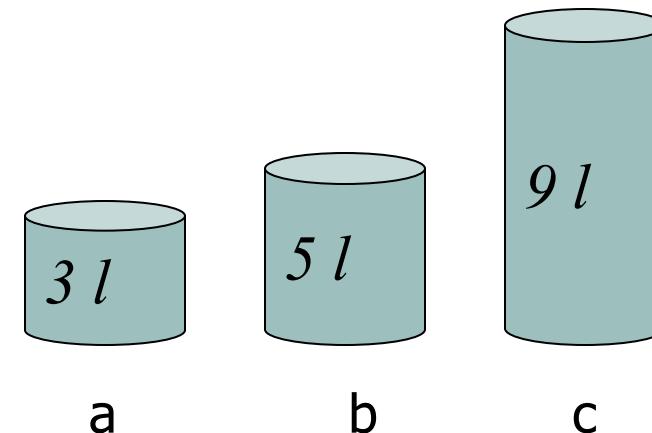
**(one possible) Solution:**

a	b	c	
0	0	0	start
3	0	0	
0	0	3	
3	0	3	
0	0	6	



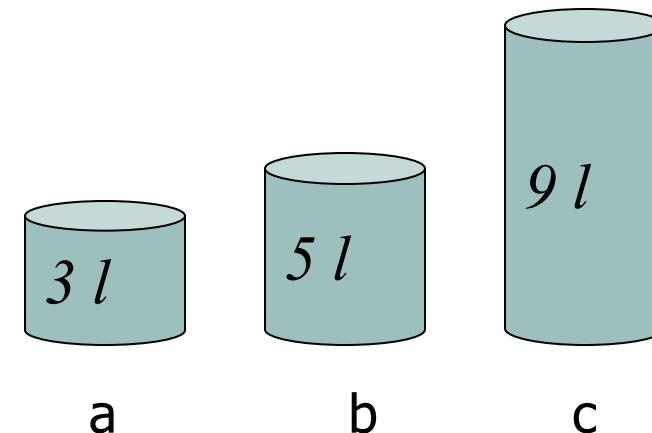
**(one possible) Solution:**

a	b	c	
0	0	0	start
3	0	0	
0	0	3	
3	0	3	
0	0	6	
3	0	6	



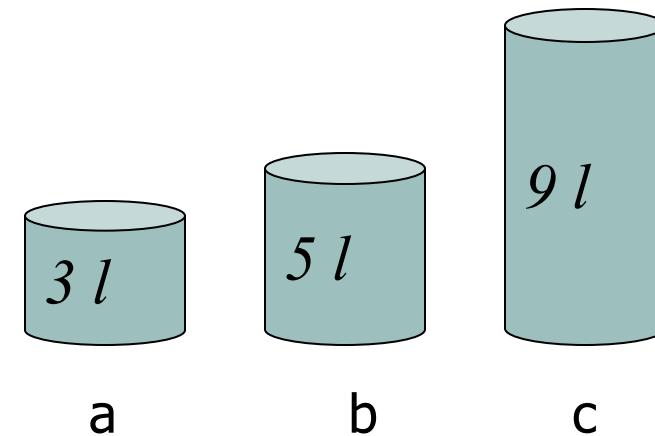
**(one possible) Solution:**

a	b	c	
0	0	0	start
3	0	0	
0	0	3	
3	0	3	
0	0	6	
3	0	6	
0	3	6	



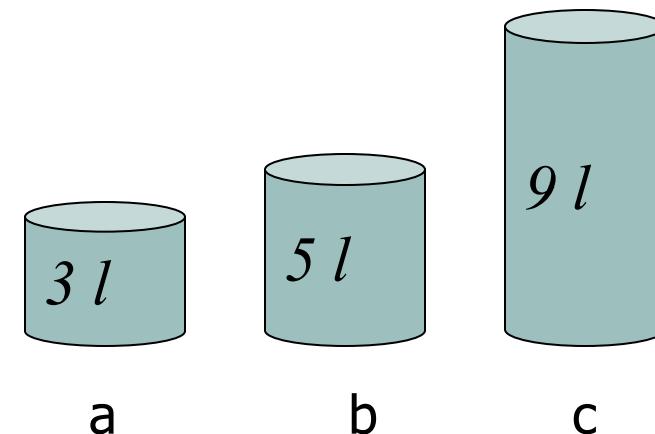
**(one possible) Solution:**

a	b	c	
0	0	0	start
3	0	0	
0	0	3	
3	0	3	
0	0	6	
3	0	6	
0	3	6	
3	3	6	



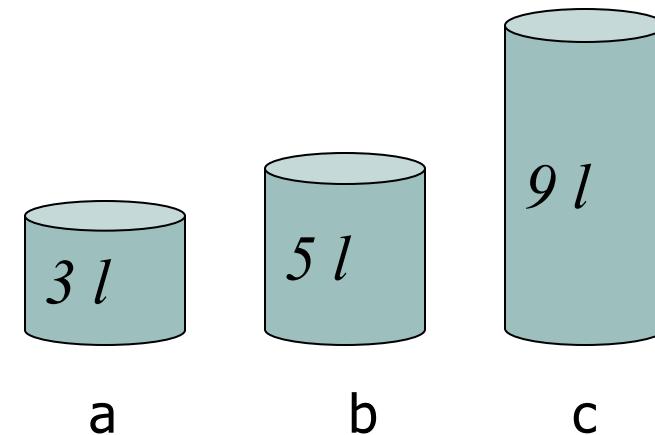
**(one possible) Solution:**

a	b	c	
0	0	0	start
3	0	0	
0	0	3	
3	0	3	
0	0	6	
3	0	6	
0	3	6	
3	3	6	
1	5	6	

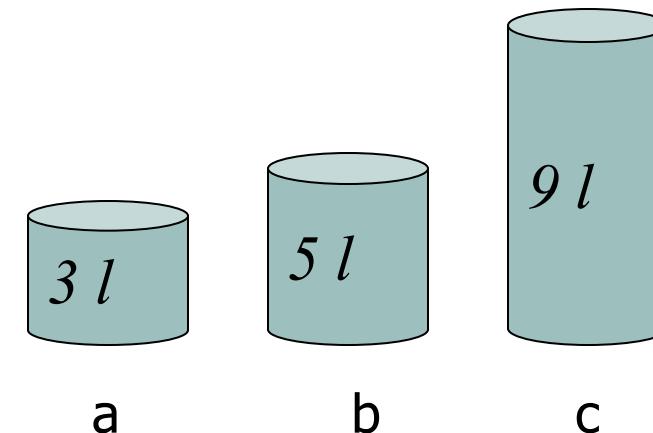
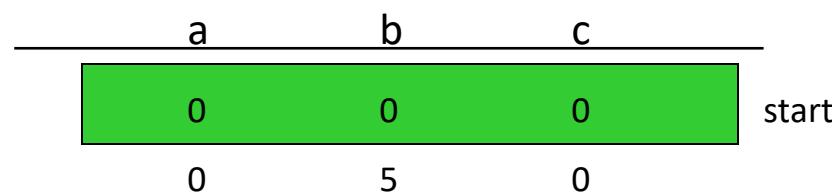


**(one possible) Solution:**

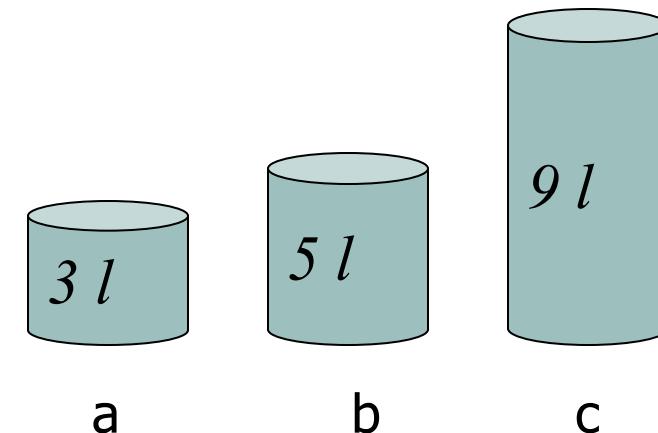
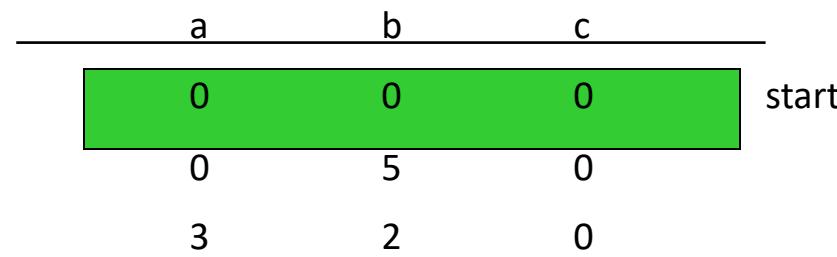
a	b	c	
0	0	0	start
3	0	0	
0	0	3	
3	0	3	
0	0	6	
3	0	6	
0	3	6	
3	3	6	
1	5	6	
0	5	7	goal



**Another Solution:**

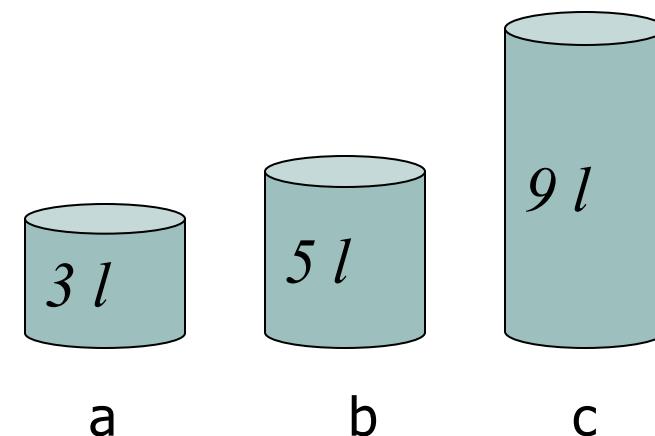


**Another Solution:**



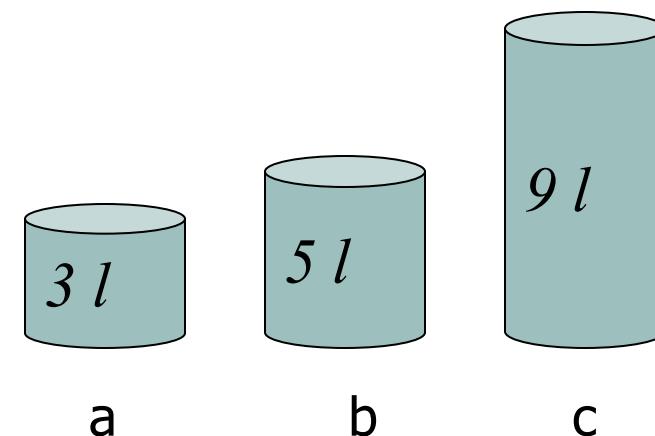
**Another Solution:**

a	b	c	
0	0	0	start
0	5	0	
3	2	0	
3	0	2	



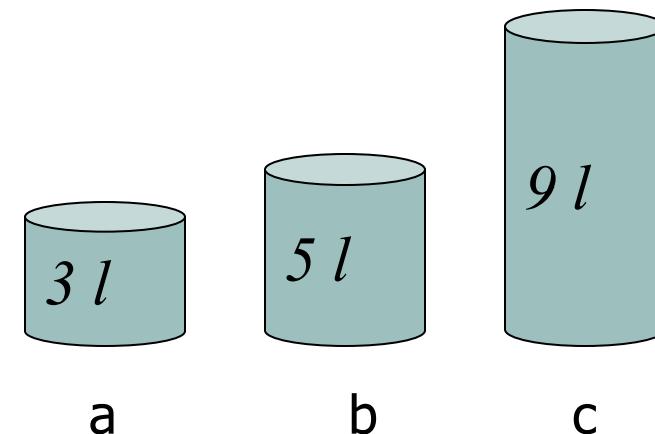
**Another Solution:**

a	b	c	
0	0	0	start
0	5	0	
3	2	0	
3	0	2	
3	5	2	



**Another Solution:**

a	b	c	
0	0	0	start
0	5	0	
3	2	0	
3	0	2	
3	5	2	
<b>3</b>	<b>0</b>	<b>7</b>	goal



- **Solution 1:**

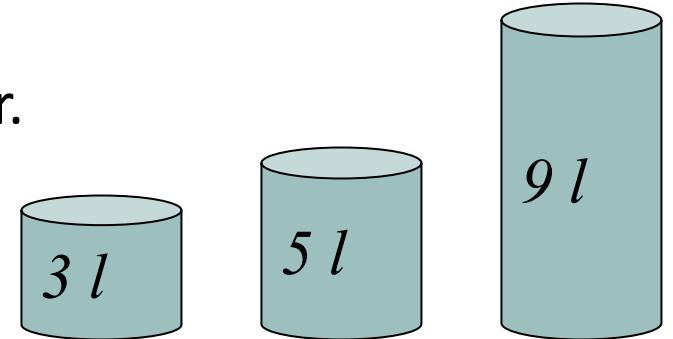
a	b	c	
0	0	0	start
3	0	0	
0	0	3	
3	0	3	
0	0	6	
3	0	6	
0	3	6	
3	3	6	
1	5	6	
0	5	7	goal

- **Solution 2:**

a	b	c	
0	0	0	start
0	5	0	
3	2	0	
3	0	2	
3	5	2	
3	0	7	goal

# PROBLEM FORMULATION

**Problem:** Using these three buckets, measure 7 liters of water.



What is the **environment**?

What is the **actions**?

What does it mean by '**success**'?

What is **solution**?

What to **search**?



**Problem formulation** is the process of deciding what actions and states to consider, given a goal.

# PROBLEM COMPONENTS

## ❖ Initial State

- The starting state of the problem, defined in a suitable manner

## ❖ Actions (Operators)

- An *action* or a set of *actions* that moves the problem from one state to another
- The set of all possible states reachable from a given state  $s$  by applying all legal action is known as the *neighbourhood* and the action(s) can be recognized as the *successor function*

# PROBLEM COMPONENTS

## ❖ Goal Test

- A test applied to a state which returns true if we have reached a state that solves the problem

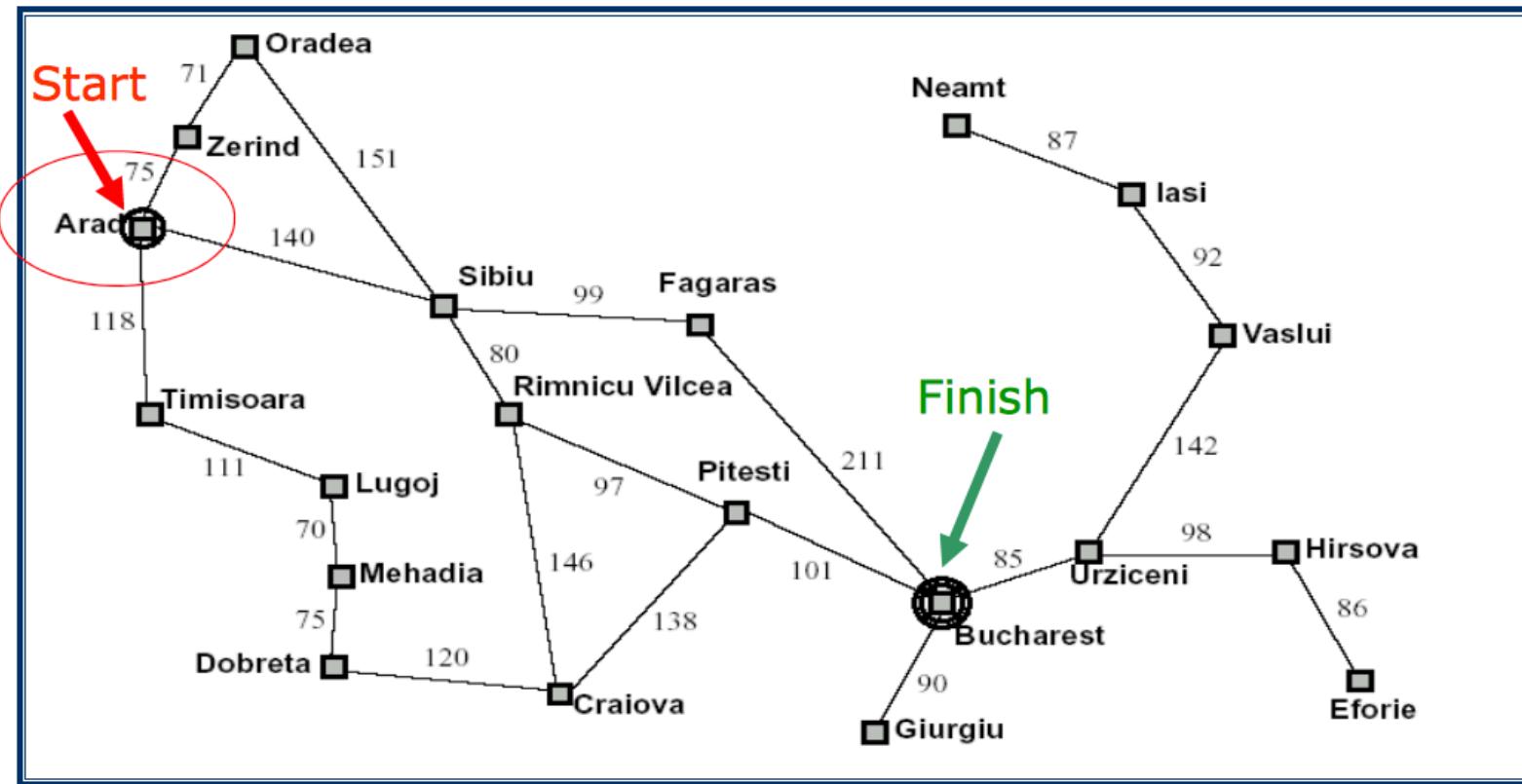
## ❖ Path Cost

- How much it costs to take a particular sequence of actions
- A *solution* to a problem is an *action sequence* that leads from the initial state to the goal state.

Note: The initial state and the successor function define the *state space* which is the set of all states reachable from the initial state (it forms a directed network or *graph*)

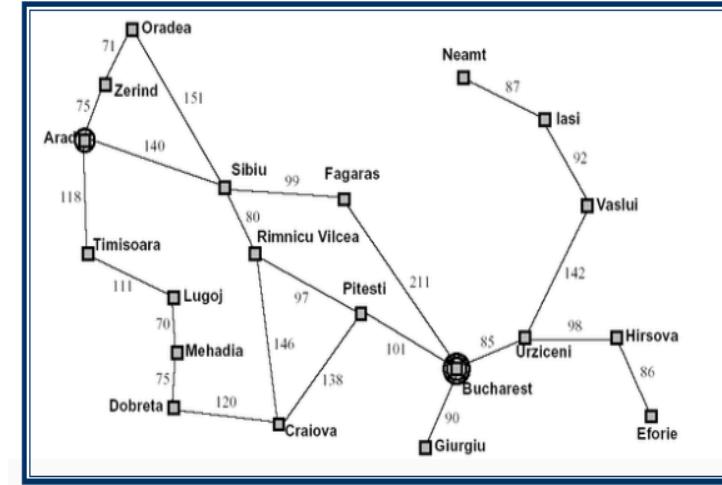
The complexity of a problem depends on the *size of the state space*.

# PROBLEM FORMULATION - ROMANIA



# PROBLEM FORMULATION - ROMANIA

- ❖ Initial State -> Arad
- ❖ Operator
  - driving between cities
  - state space consists of all 20 cities in the graph
- ❖ Goal Test
  - is the current state (city) Bucharest?
  - a solution is a path from the initial to the goal state
- ❖ Path cost is a function of time/distance/risk/petrol/...



Q: What is the neighbourhood of Arad?

# PROBLEM FORMULATION: 8-PUZZLE

5	4	
6	1	8
7	3	2

Initial State

1	4	7
2	5	8
3	6	

Goal State

<http://mypuzzle.org/sliding>

# PROBLEM FORMULATION: 8-PUZZLE

## ❖ Initial State

- specifies the location of each of the eight tiles and the blank in one of the nine squares

## ❖ Operators

- blank tile moves left, right, up or down

## ❖ Goal Test

- the current state matches a certain state

## ❖ Path Cost

- each move of the blank costs 1

5	4	
6	1	8
7	3	2

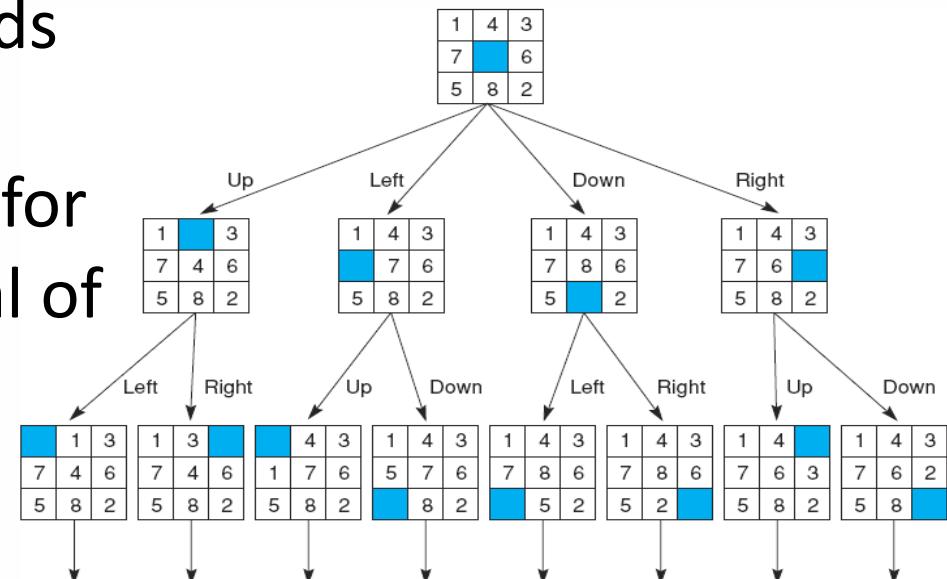
1	4	7
2	5	8
3	6	

Q: How big is the state space?

# PROBLEM FORMULATION: 8-PUZZLE

❖ The number of actions/operators depends on how they are formulated

- 4 possible moves could be specified for each of the 8 tiles, resulting in a total of **4\*8=32** operators.
- On the other hand, **4** moves for the “blank” square could be specified instead so only 4 operators are needed.
- => Formulation shift can greatly simplify a problem!



# PROBLEM FORMULATION: 8-QUEEN

## ❖ Initial state

- An empty 8X8 board

## ❖ Operator

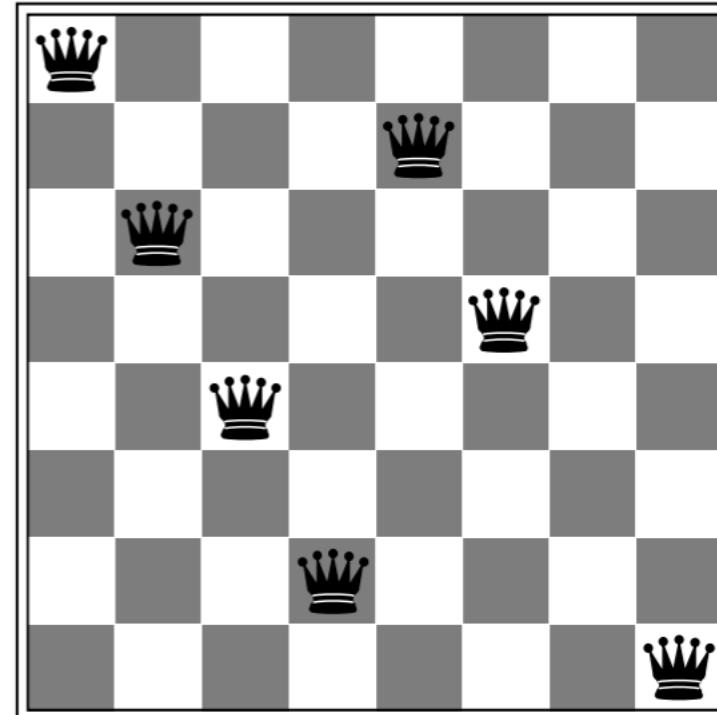
- Add a queen into a cell on the board

## ❖ States

- ❖ Any arrangement of 0 to 8 queens on the board

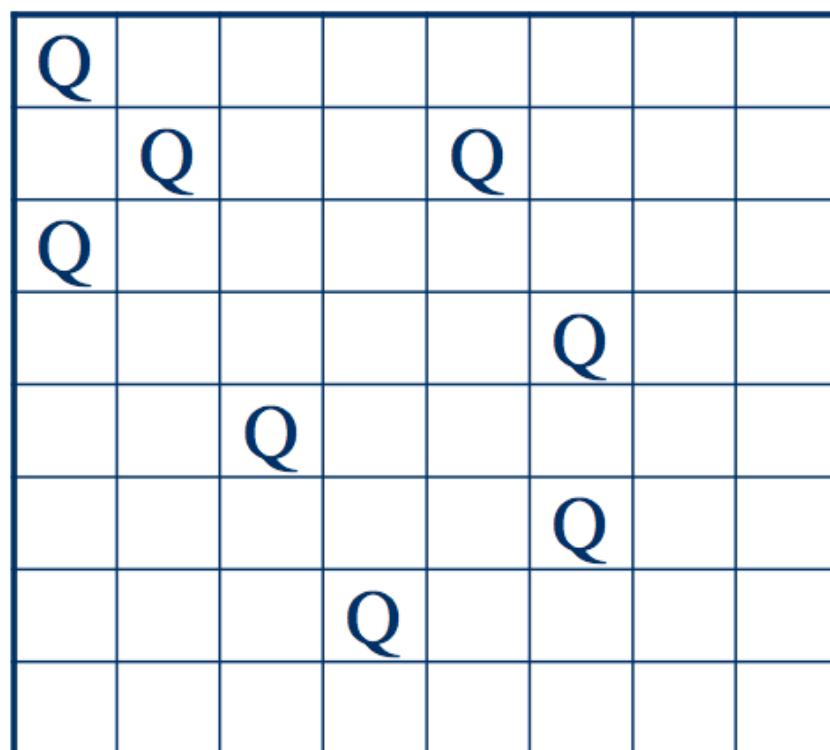
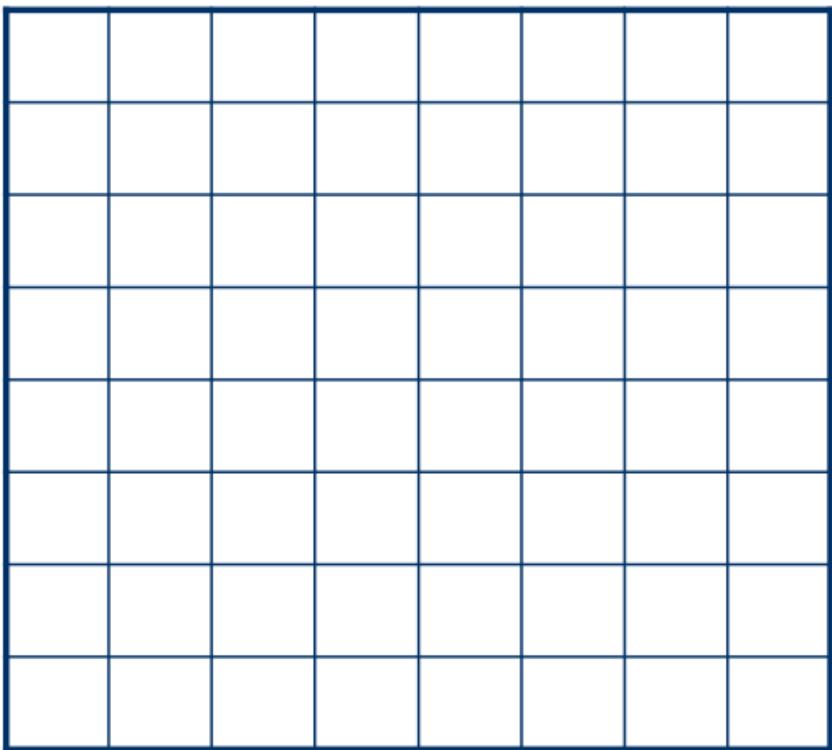
## ❖ Goal state

- ❖ A valid arrangement of 8 queens on board (none attacked)



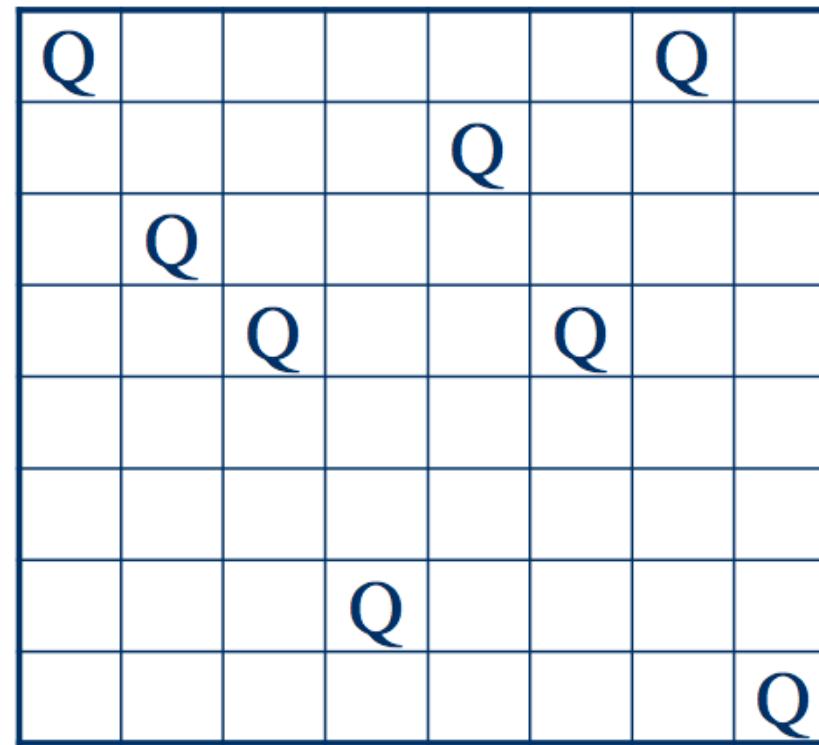
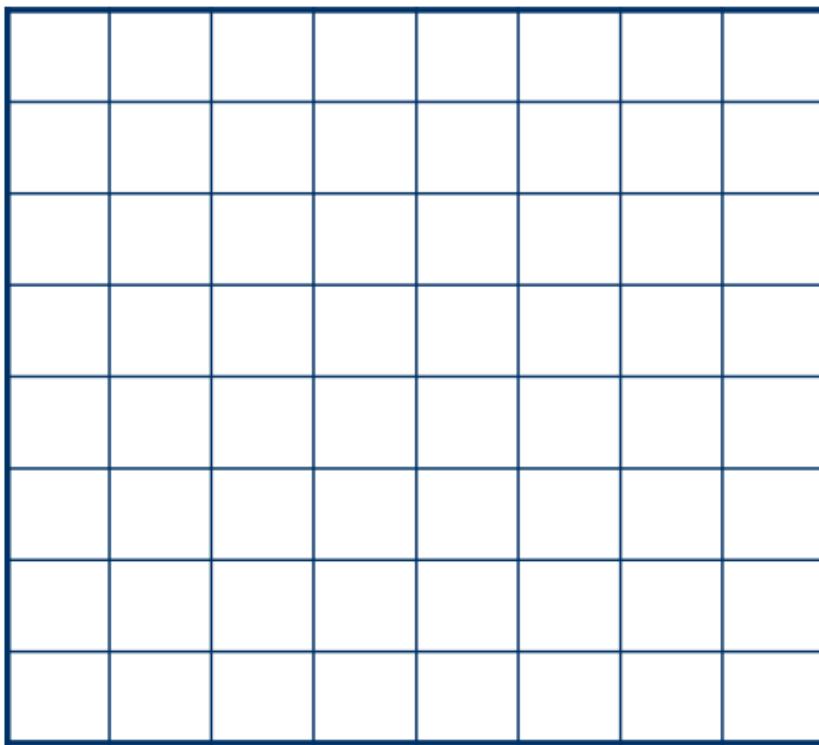
# PROBLEM FORMULATION:8-QUEEN

❖ 1st Formulation: add a queen to any empty square



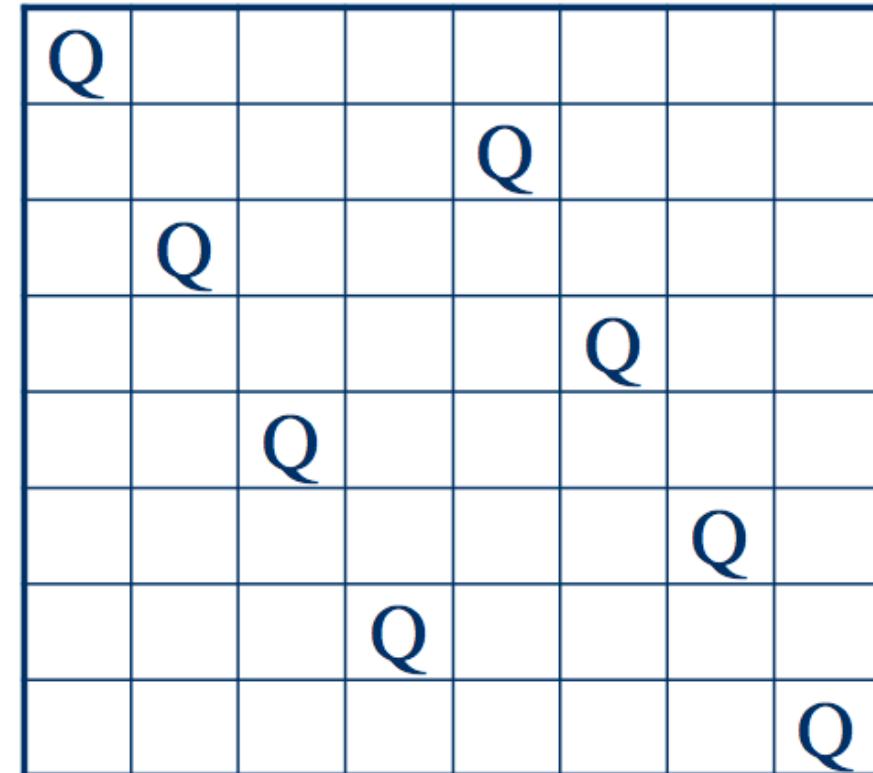
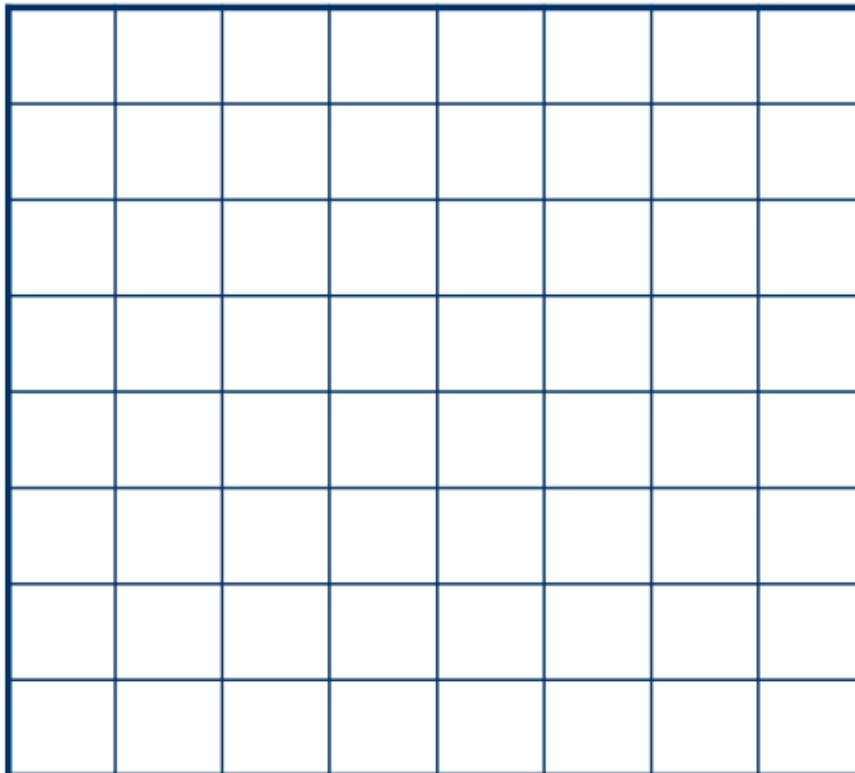
# PROBLEM FORMULATION:8-QUEEN

❖ 2nd Formulation: add a queen to any square in the **leftmost empty column**



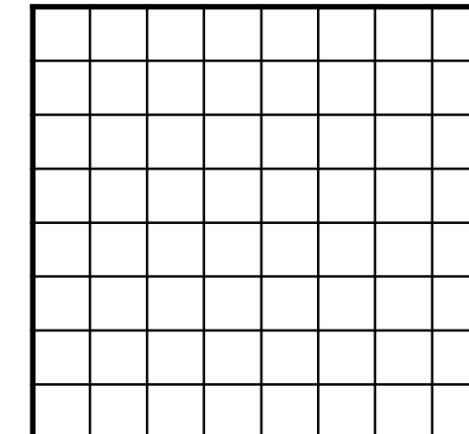
# PROBLEM FORMULATION:8-QUEEN

- ❖ 3rd Formulation: add a queen to any square in the **leftmost empty column** such that it is **not attacked by any other queen**



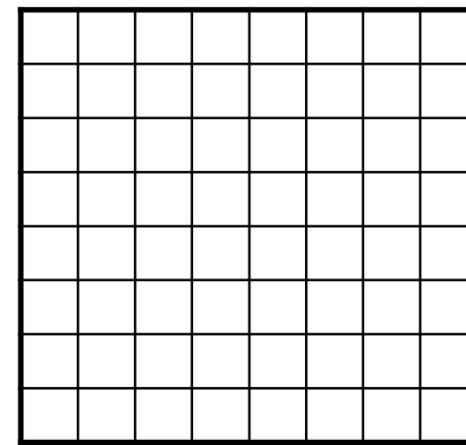
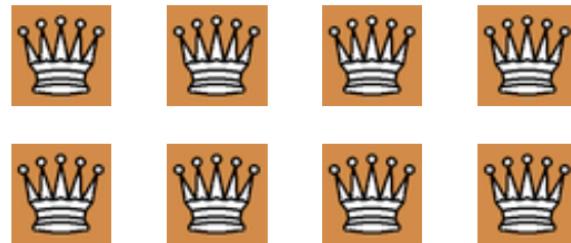
# PROBLEM FORMULATION: 8-QUEEN (1<sup>ST</sup> FORMULATION)

- ❖ 1<sup>st</sup> level: 1 root node  
(empty board)
- ❖ 2<sup>nd</sup> level: **64** nodes
- ❖ 3<sup>rd</sup> level: **63** nodes for  
each of the node on the 2<sup>nd</sup>  
level
- ❖ ...



# PROBLEM FORMULATION: 8-QUEEN (2<sup>ND</sup> FORMULATION)

- ❖ 1st level: 1 root node  
(empty board)
- ❖ 2<sup>nd</sup> level: **8** nodes
- ❖ 3<sup>rd</sup> level: **8** nodes for each  
of the node on the 2<sup>nd</sup> level
- ❖ ...
- ❖ Smaller tree



# OUTLINES

- ❖ Topic one: Definitions and examples of problems (2<sup>nd</sup> Session)
  - Problem formulation
  - **Problem representation**
- ❖ Topic two: Problem solving by searching
  - Uninformed(blind) search algorithms (3<sup>rd</sup> Session)
    - Simplest exhaustive search
    - Breadth first search, depth first search, Uniform cost search
  - Informed search algorithms (4<sup>th</sup> Session)
    - Use of heuristics that apply domain knowledge
    - A\* algorithm, Minimax algorithm

# SEARCHING THE STATE SPACE

- ❖ **State space** is the set of all states reachable from the initial state
- ❖ **Representing** the state space provides a powerful tool for measuring the **structure** and **complexity** of problems
- ❖ **Problem solving** is a process of searching the **state space** for a **path** to a solution
- ❖ The choice of **which state** to expand is determined by the **search strategy**(Session 3 to 4)

# TREES - TERMINOLOGY

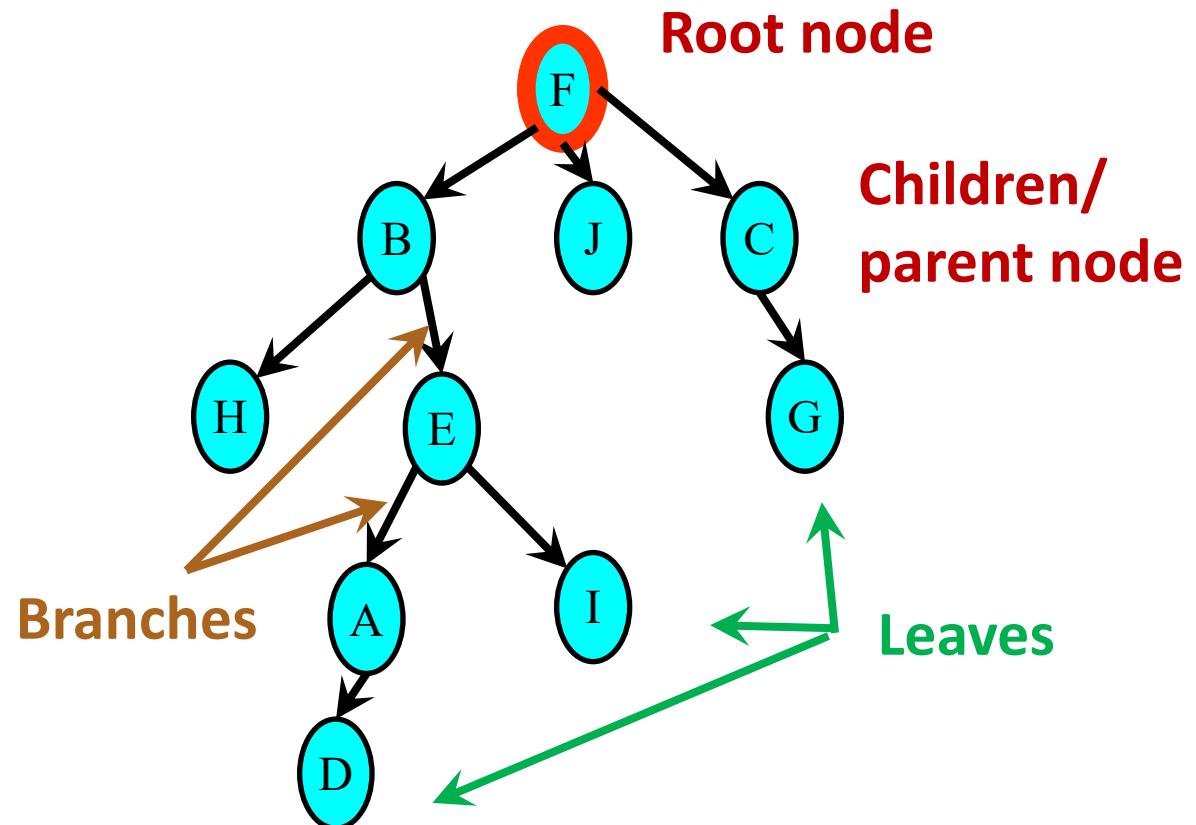
## ❖ Nodes

- Root node
- Children/parent of nodes
- Leaves

## ❖ Branches

## ❖ Average branching factor

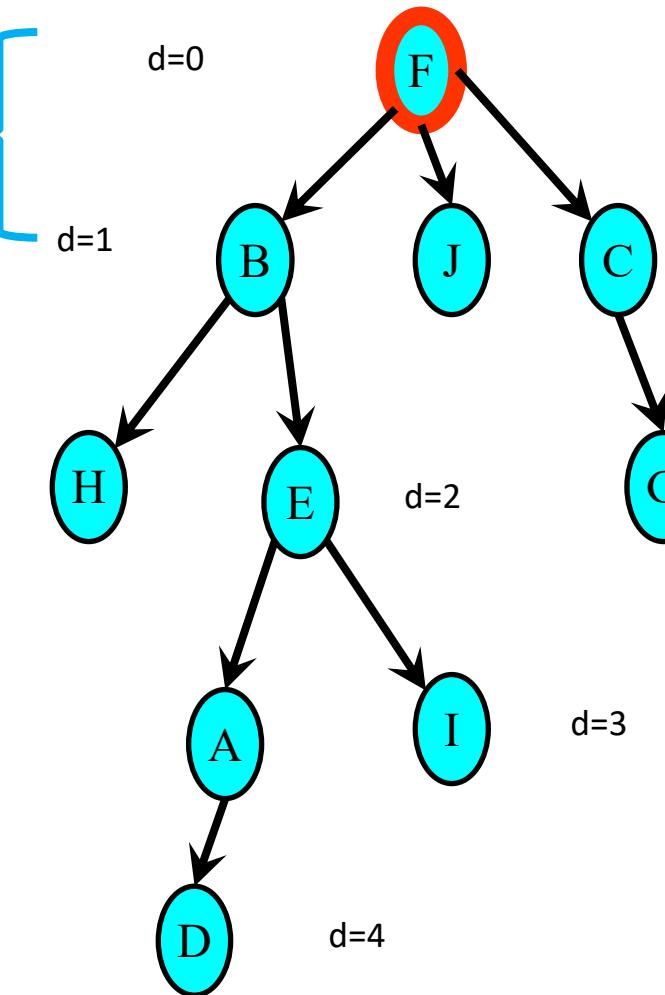
- average number of branches of the nodes in the tree



# TREES – TERMINOLOGY (2)

Depth of a tree

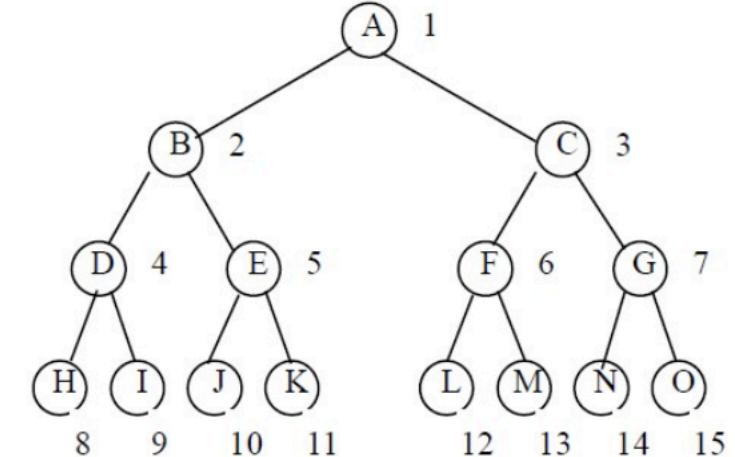
Depth of a node



- ❖ The depth,  $d$ , of a node is just the number of edges (branches) away from the root node
- ❖ The depth of a tree is the depth of the deepest node
  - in this case, depth=4

# TREE SIZE

- ❖ Branching factor  $b=2$  (binary tree)



Depth d	# Nodes at $d = 2^d$	# Nodes in a tree = $2^{d+1}-1$
0	1	1
1	2	3
2	4	7
3	8	15
4	16	31
5	32	63

- ❖ Why we discuss the size of the tree?

# PROBLEM REPRESENTATION

- ❖ States – nodes

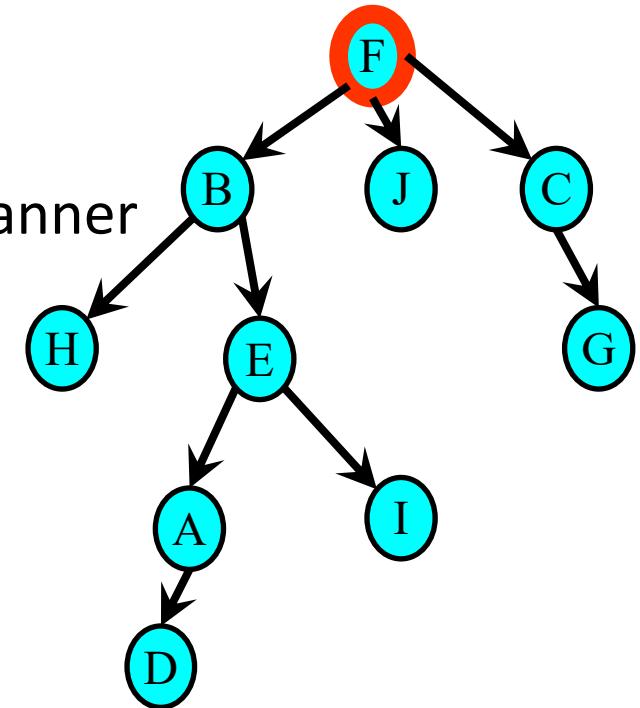
- Possible states of problem, defined in some suitable manner

- ❖ Initial State - root node

- The starting state of the problem

- ❖ State Space – all nodes in the tree

- The set of all states reachable from the initial state



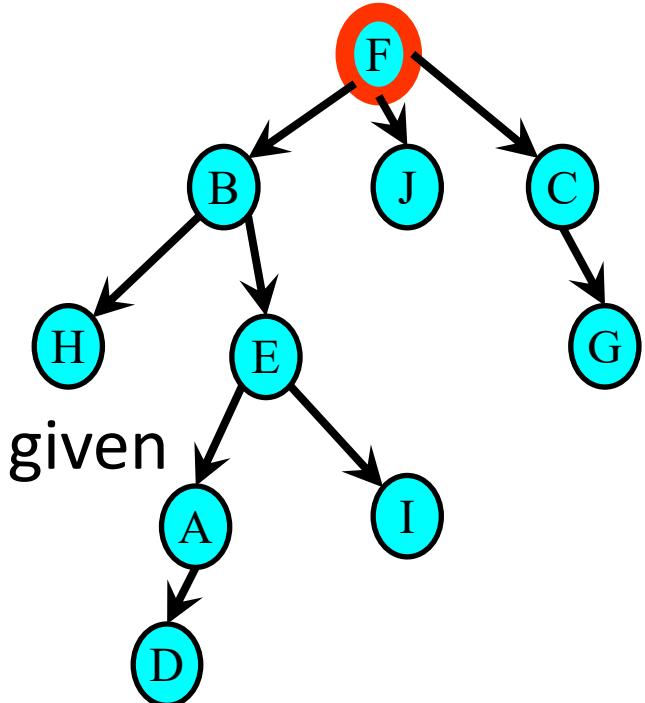
# PROBLEM REPRESENTATION

## ❖ Neighborhood

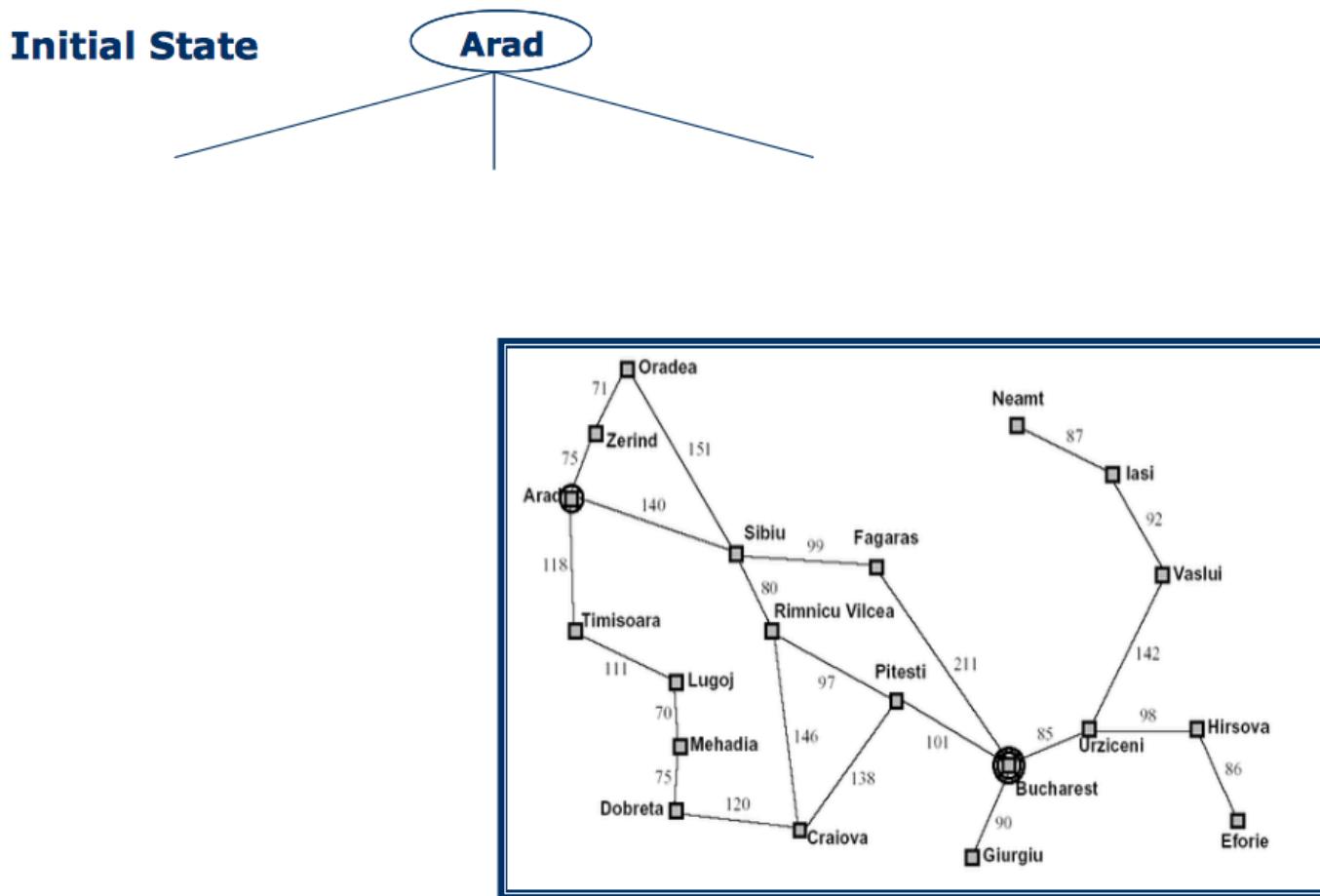
- The set of all possible states reachable from a given state
- Branching factor: number of neighborhoods

## ❖ Operator(s) – branches

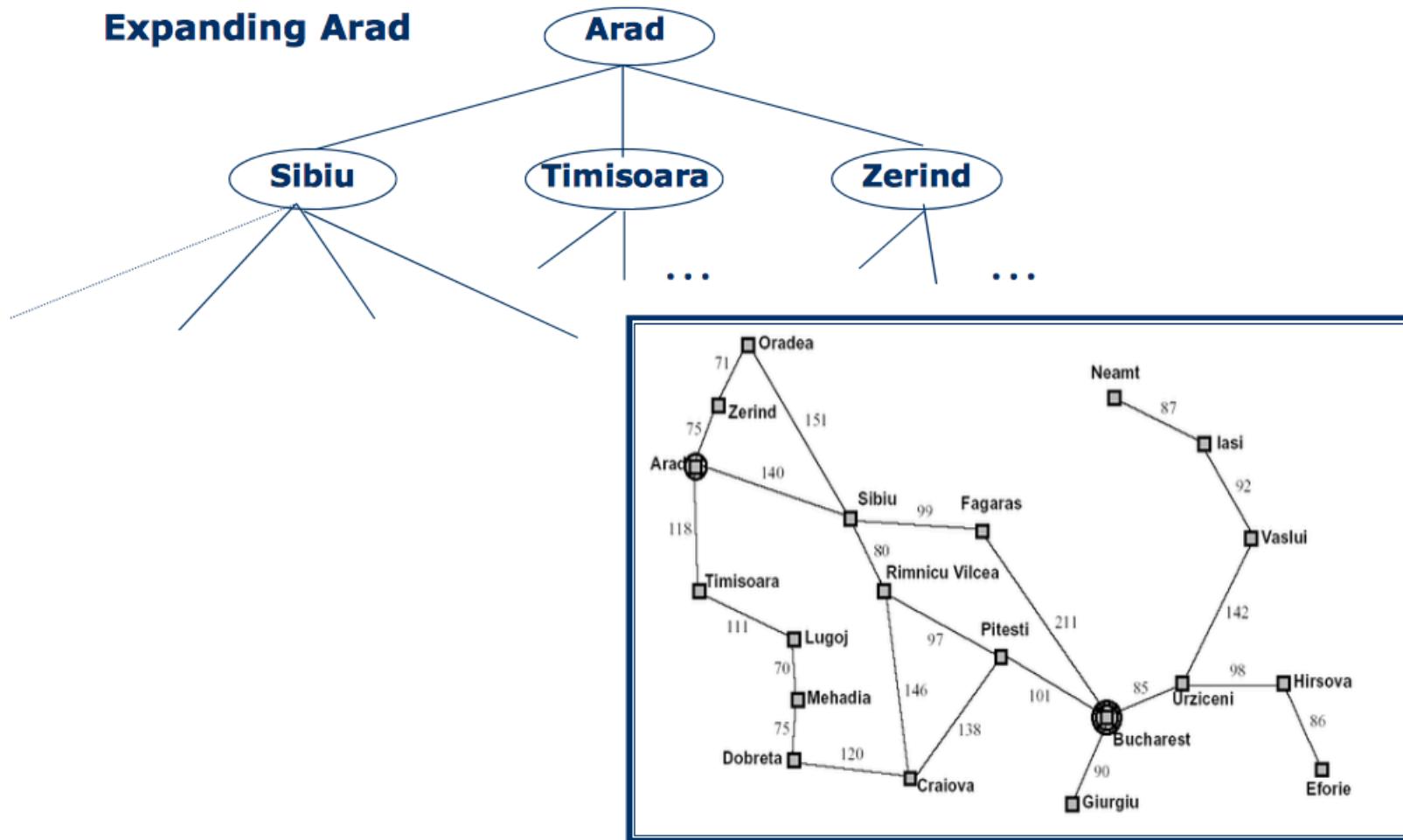
- A set of actions that moves the problem from one state to another



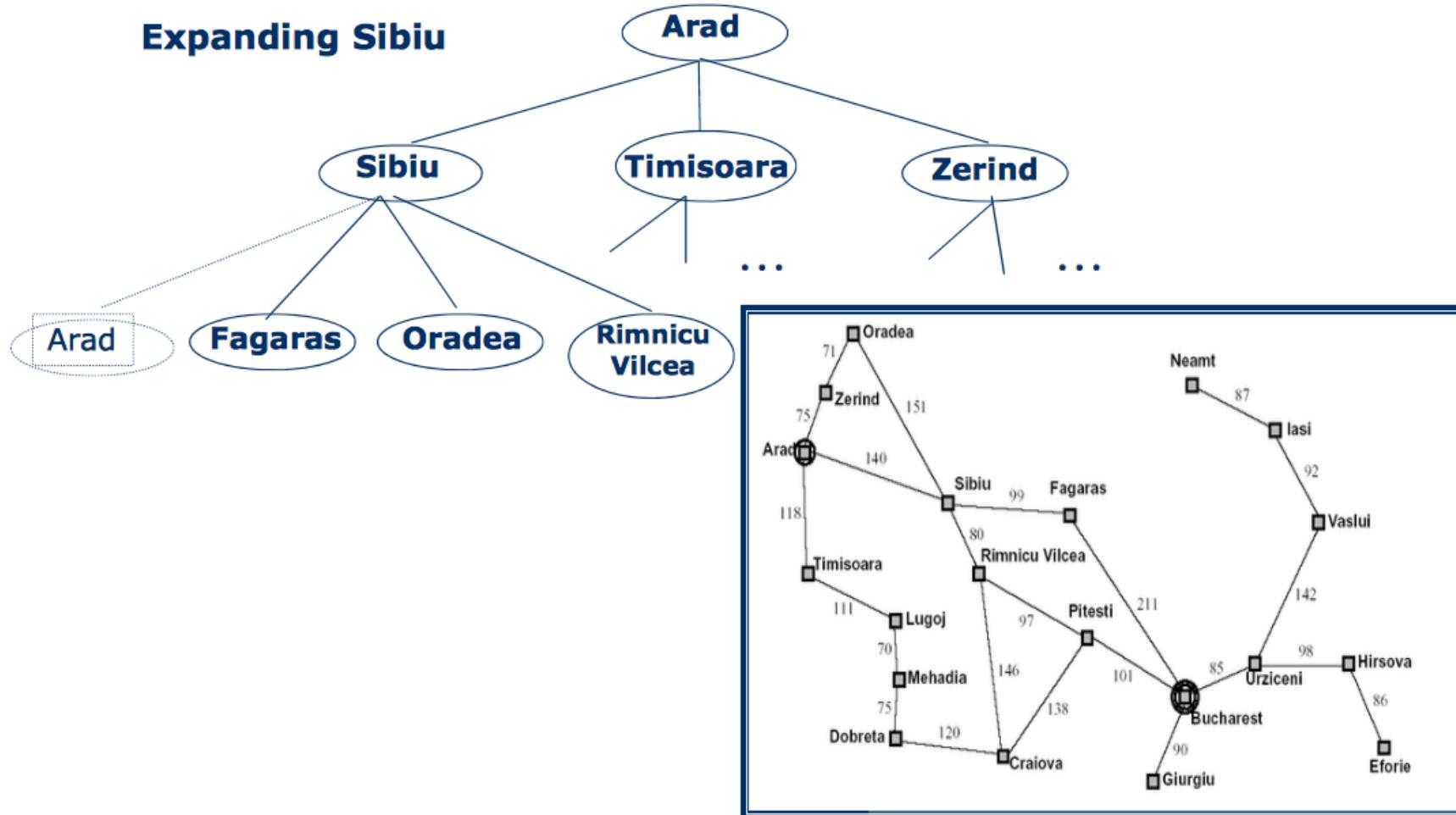
# SEARCH TREE - ROMANIA



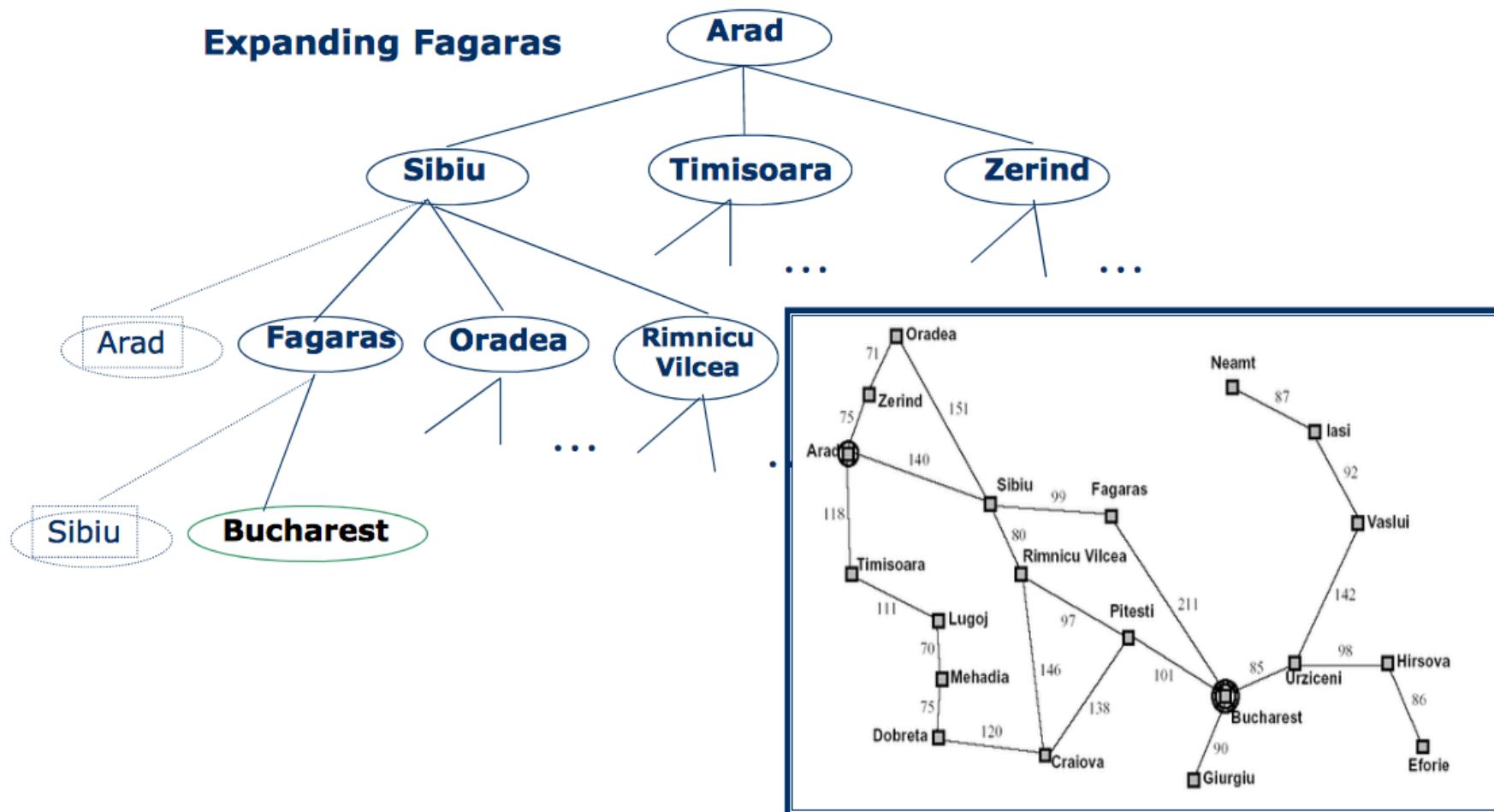
# SEARCH TREE - ROMANIA



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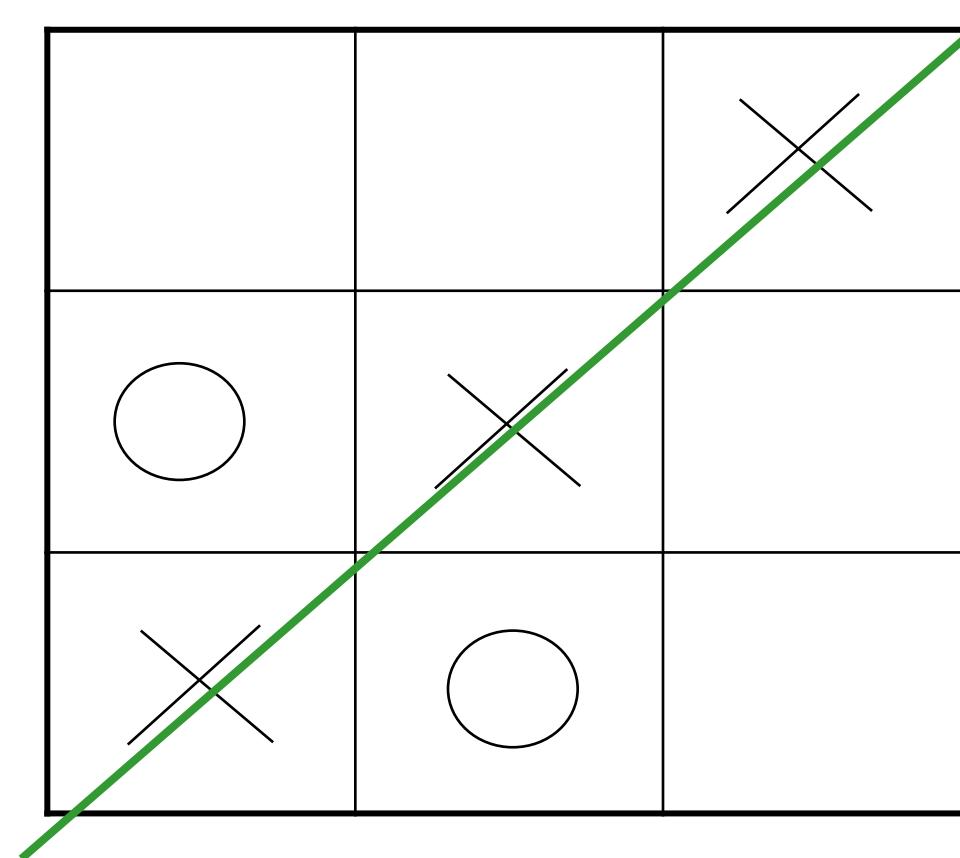


# SEARCH TREE - ROMANIA



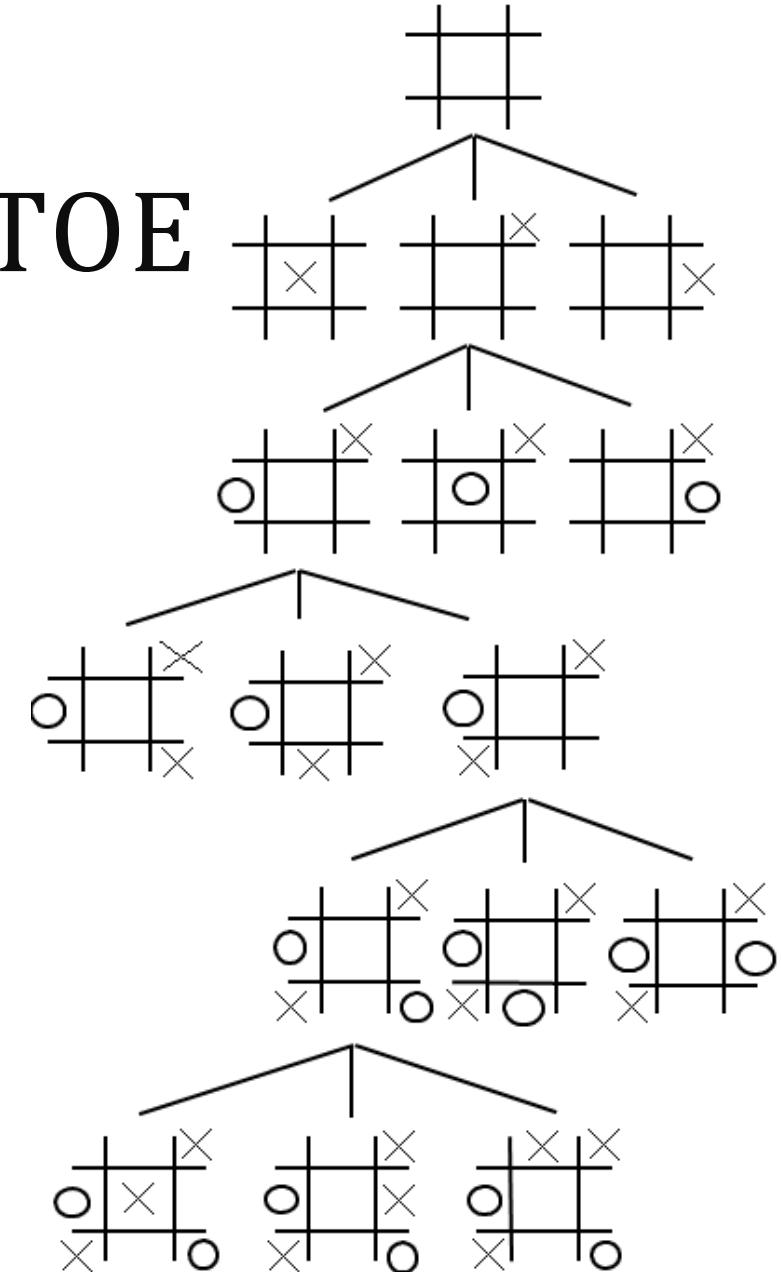
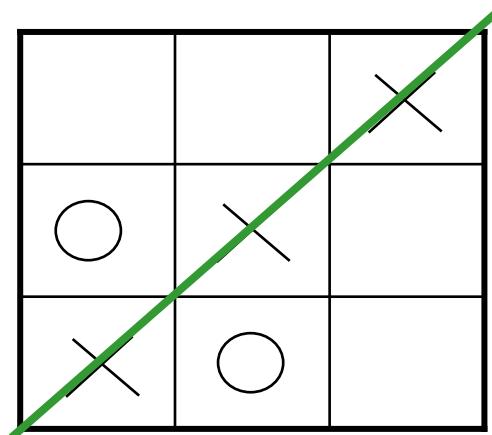
# SEARCH TREE - TIC-TAC-TOE

❖ Noughts and Crosses (Tic-Tac-Toe)

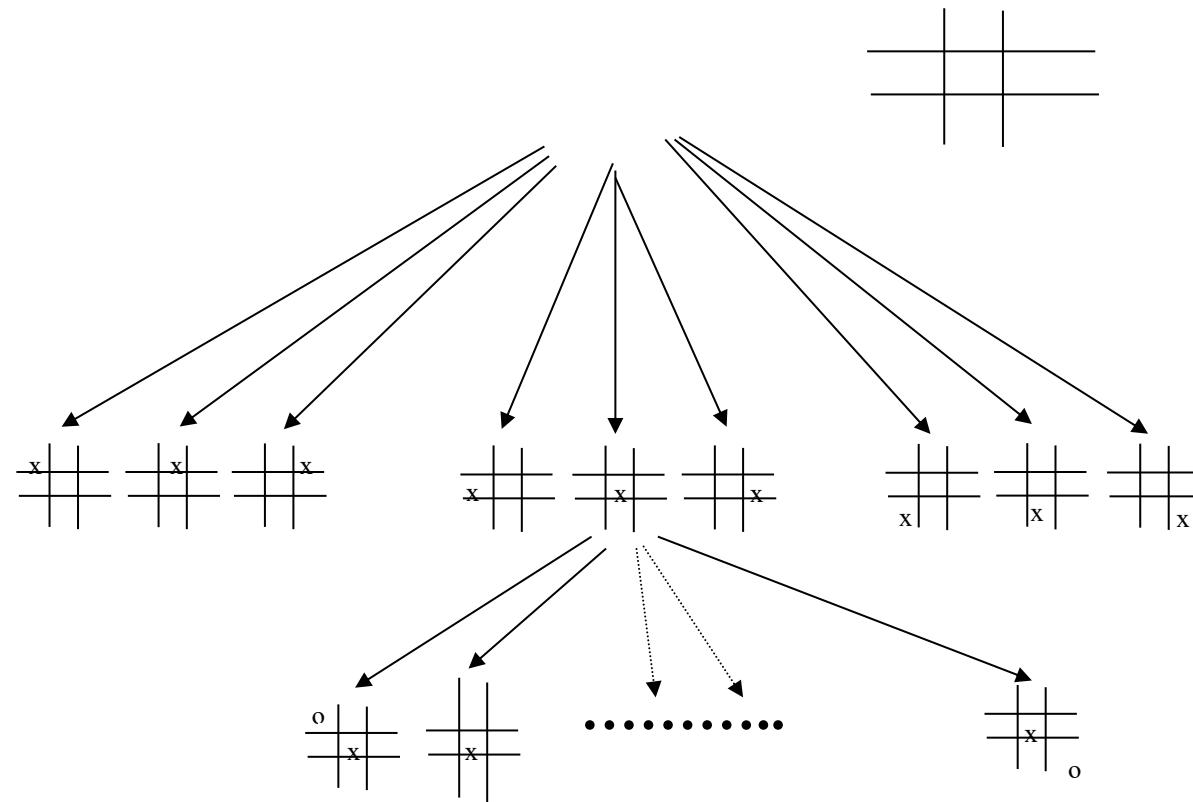


# SEARCH TREE - TIC-TAC-TOE

- ❖ Nodes: states of problem
- ❖ Root node: initial **state** of the problem
- ❖ Branches: moves by **operator**
- ❖ Branching factor: number of **neighbours**

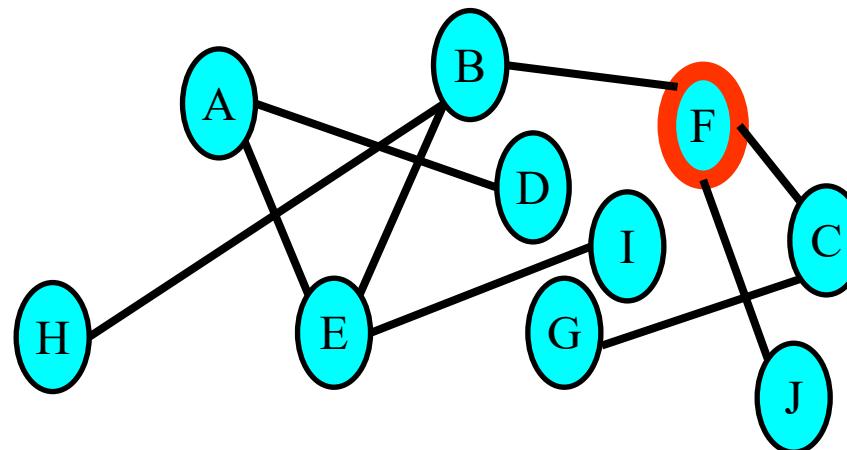


# FULL SEARCH TREE- TIC-TAC-TOE



# FINDING GOALS IN TREES

- ❖ Does the following tree contain a node “I”?
- ❖ Easily read from the graph



- ❖ so why the big deal about search?

# FINDING GOALS IN TREES: REALITY

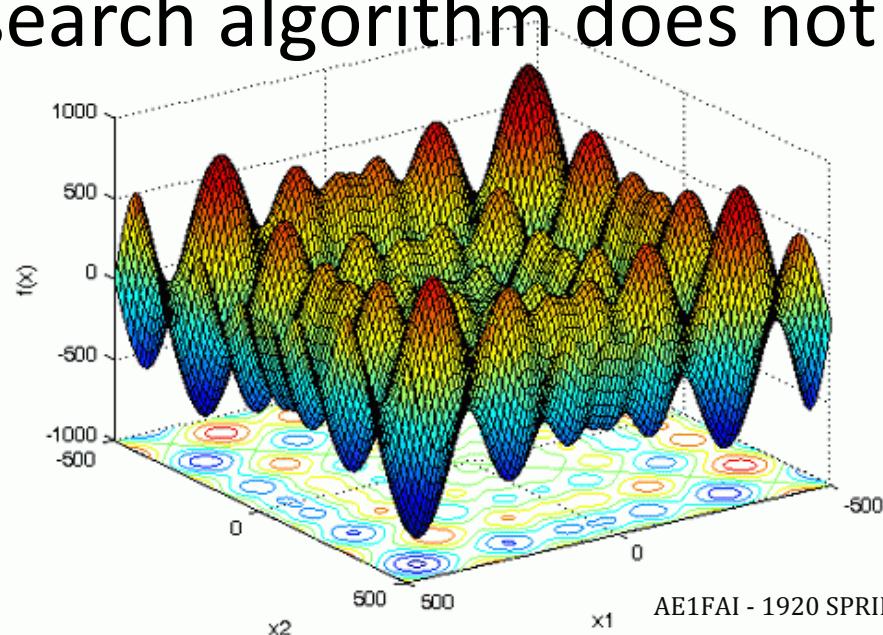
- ❖ Does the tree under the following root contain a node “G”?



- ❖ All you get to see at first is the root node
  - and a guarantee that it is a tree
- ❖ The rest is up to you to discover during the process of search -> discover/create “on the fly”

# WHY IS GOAL SEARCH NOT TRIVIAL?

- ❖ Because the tree is not given as a pretty picture “on a piece of paper”
- ❖ At the start of the search, the search algorithm does not know
  - the size of the tree
  - the shape of the tree
  - the depth of the goal states



# WHY IS GOAL SEARCH NOT TRIVIAL?

- ❖ How big can a search tree be?
  - say there is a constant branching factor  $b$
  - and one goal exists at depth  $d$
  - search tree which includes a goal can have  $b^d$  different branches in the tree (worst case)
- ❖ Examples:
  - $b=2, d=10: b^d = 2^{10} = 1024$
  - $b = 10, d = 10: b^d = 10^{10} = 10,000,000,000$

# EVALUATING A SEARCH

## ❖ Completeness

- Is the strategy **guaranteed to find** a solution?

## ❖ Time Complexity

- **How long** does it take to find a solution?

## ❖ Space Complexity

- How much **memory** does it take to perform the search?

## ❖ Optimality

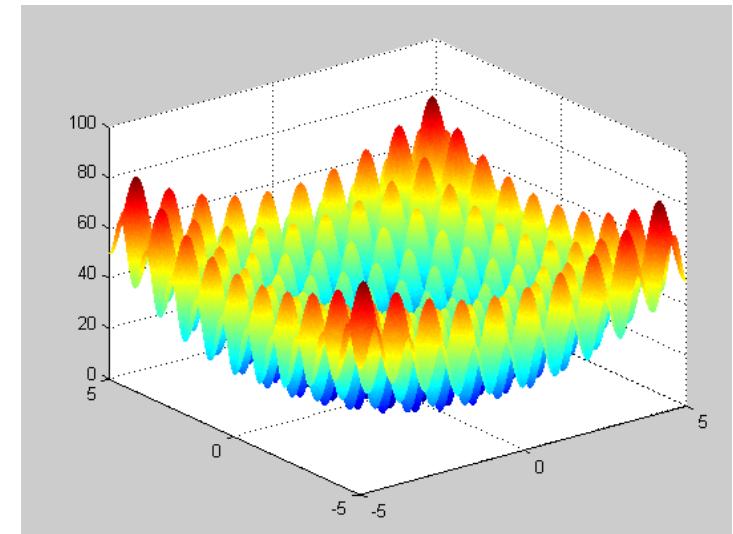
- Does the strategy find the **optimal solution** where there are several solutions?

# PROPERTIES OF SEARCH

- ❖ We will say a search method is “*complete*” if it has both the following properties:
  - if a **goal exists** then the search will always **find it**
  - if **no goal exists** then the search will eventually finish and be able to say for **sure** that no goal exists
- ❖ We only look at complete search methods

# HOW GOOD IS A SOLUTION?

- ❖ Does our search method actually find a solution?
- ❖ Is it a good solution?
  - Path Cost
  - Search Cost (Time and Memory)
- ❖ Does it find the optimal solution?
  - But what is optimal?



$$x_i \in [-5.12, 5.12]$$

# SUMMARY

- ❖ Problem formulation
- ❖ Representation
  - Problem
  - State space
  - Search tree
- ❖ Properties of search
- ❖ Evaluation criteria for search

# FURTHER READING

- ❖ AIMA Chapter 3.1-3.2
- ❖ Self study slides: fundamental issues in AI
- ❖ Next week: AIMA Chapter 3.3-3.4