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Finite-state transducer

A **finite-state transducer** (**FST**) is a <u>finite-state machine</u> with two memory *tapes*, following the terminology for <u>Turing machines</u>: an input tape and an output tape. This contrasts with an ordinary <u>finite-state automaton</u>, which has a single tape. An FST is a type of finite-state automaton that maps between two sets of symbols.^[1] An FST is more general than a finite-state automaton (FSA). An FSA defines a formal language by defining a set of accepted strings while an FST defines relations between sets of strings.

An FST will read a set of strings on the input tape and generates a set of relations on the output tape. An FST can be thought of as a translator or relater between strings in a set.

In morphological parsing, an example would be inputting a string of letters into the FST, the FST would then output a string of morphemes.

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Overview

An <u>automaton</u> can be said to *recognize* a string if we view the content of its tape as input. In other words, the automaton computes a function that maps strings into the set {0,1}. Alternatively, we can say that an automaton *generates* strings, which means viewing its tape as an output tape. On this view, the automaton generates a <u>formal language</u>, which is a set of strings. The two views of automata are equivalent: the function that the automaton computes is precisely the <u>indicator function</u> of the set of strings it generates. The class of languages generated by finite automata is known as the class of regular languages.

The two tapes of a transducer are typically viewed as an input tape and an output tape. On this view, a transducer is said to *transduce* (i.e., translate) the contents of its input tape to its output tape, by accepting a string on its input tape and generating another string on its output tape. It may do so <u>nondeterministically</u> and it may produce more than one output for each input string. A transducer may also produce no output for a given input string, in which case it is said to *reject* the input. In general, a transducer computes a relation between two formal languages.

Each string-to-string finite-state transducer relates the input alphabet Σ to the output alphabet Γ . Relations R on $\Sigma^* \times \Gamma^*$ that can be implemented as finite-state transducers are called **rational relations**. Rational relations that are <u>partial functions</u>, i.e. that relate every input string from Σ^* to at most one Γ^* , are called **rational functions**.

Finite-state transducers are often used for phonological and morphological analysis in natural language processing research and applications. Pioneers in this field include Ronald Kaplan, Lauri Karttunen, Martin Kay and Kimmo Koskenniemi. [2] A common way of using transducers is in a so-called "cascade", where transducers for various operations are combined into a single transducer by repeated application of the composition operator (defined below).

Formal construction

Formally, a finite transducer T is a 6-tuple $(Q, \Sigma, \Gamma, I, F, \delta)$ such that:

- Q is a <u>finite set</u>, the set of *states*;
- Σ is a finite set, called the *input alphabet*;
- Γ is a finite set, called the *output alphabet*;
- I is a subset of Q, the set of initial states;
- lacksquare F is a subset of Q, the set of *final states*; and
- $\delta \subseteq Q \times (\Sigma \cup \{\epsilon\}) \times (\Gamma \cup \{\epsilon\}) \times Q$ (where ϵ is the empty string) is the *transition relation*.

We can view (Q, δ) as a labeled <u>directed graph</u>, known as the *transition graph* of T: the set of vertices is Q, and $(q, a, b, r) \in \delta$ means that there is a labeled edge going from vertex Q to vertex

NOTE: This definition of finite transducer is also called *letter transducer* (Roche and Schabes 1997); alternative definitions are possible, but can all be converted into

Define the extended transition relation $\boldsymbol{\delta^*}$ as the smallest set such that:

δ ⊆ δ*;

transducers following this one.

- $(q, \epsilon, \epsilon, q) \in \delta^*$ for all $q \in Q$; and
- whenever $(q,x,y,r) \in \delta^*$ and $(r,a,b,s) \in \delta$ then $(q,xa,yb,s) \in \delta^*$.

The extended transition relation is essentially the reflexive <u>transitive closure</u> of the transition graph that has been augmented to take edge labels into account. The elements of δ^* are known as *paths*. The edge labels of a path are obtained by concatenating the edge labels of its constituent transitions in order.

The behavior of the transducer T is the rational relation [T] defined as follows: $\boldsymbol{x}[T]\boldsymbol{y}$ if and only if there exists $i \in I$ and $f \in F$ such that $(i, x, y, f) \in \delta^*$. This is to say that T transduces a string $\boldsymbol{x} \in \Sigma^*$ into a string $\boldsymbol{y} \in \Gamma^*$ if there exists a path from an initial state to a final state whose input label is x and whose output label is y.

Weighted automata

Finite State Transducers can be weighted, where each transition is labelled with a weight in addition to the input and output labels. A Weighted Finite State Transducer (WFST) over a set K of weights can be defined similarly to an unweighted one as an 8-tuple $T=(Q, \Sigma, \Gamma, I, F, E, \lambda, \rho)$, where:

- O, Σ, Γ, I, F are defined as above;
- $E \subseteq Q \times (\Sigma \cup \{\epsilon\}) \times (\Gamma \cup \{\epsilon\}) \times Q \times K$ (where ϵ is the empty string) is the finite set of transitions;
- $\lambda: I \to K$ maps initial states to weights;
- $\rho: F \to K$ maps final states to weights.

In order to make certain operations on WFSTs well-defined, it is convenient to require the set of weights to form a <u>semiring</u>. ^[3] Two typical semirings used in practice are the log semiring and tropical semiring: unweighted automata may be regarded as having weights in the Boolean semiring. ^[4]

Stochastic FST

Stochastic FSTs (also known as probabilistic FSTs or statistical FSTs) are presumably a form of weighted FST.

Operations on finite-state transducers

The following operations defined on finite automata also apply to finite transducers:

- Union. Given transducers T and S, there exists a transducer $T \cup S$ such that $x[T \cup S]y$ if and only if x[T]y or x[S]y.
- Concatenation. Given transducers T and S, there exists a transducer $T \cdot S$ such that $x[T \cdot S]y$ if and only if there exist x_1, x_2, y_1, y_2 with $x = x_1x_2, y = y_1y_2, x_1[T]y_1$ and $x_2[S]y_2$.
- Kleene closure. Given a transducer T, there exists a transducer T^* with the following properties:

$$\epsilon[T^*]\epsilon;$$
 (k1) $w[T^*]y$ and $x[T]z$ then $wx[T^*]yz;$ (k2)

and $x[T^*]y$ does not hold unless mandated by (k1) or (k2).

• Composition. Given a transducer T on alphabets Σ and Γ and a transducer S on alphabets Γ and Δ , there exists a transducer $T \circ S$ on Σ and Δ such that $x[T \circ S|z]$ if and only if there exists a string $y \in \Gamma^*$ such that x[T]y and y[S]z. This operation extends to the weighted case. [5]

This definition uses the same notation used in mathematics for relation composition. However, the conventional reading for relation composition is the other way around: given two relations T and \overline{S} , $(x,z) \in T \circ S$ when there exist some y such that $(x,y) \in S$ and $(y,z) \in T$.

Projection to an automaton. There are two projection functions: π₁ preserves the input tape, and π₂ preserves the output tape. The first projection, π₁ is defined as follows:

Given a transducer T, there exists a finite automaton $\pi_1 T$ such that $\pi_1 T$ accepts x if and only if there exists a string y for which x[T]y.

The second projection, π_2 is defined similarly.

- Determinization. Given a transducer *T*, we want to build an equivalent transducer that has a unique initial state and such that no two transitions leaving any state share the same input label. The powerset construction can be extended to transducers, or even weighted transducers, but sometimes fails to halt; indeed, some non-deterministic transducers do not admit equivalent deterministic transducers.^[6] Characterizations of determinizable transducers have been proposed^[7] along with efficient algorithms to test them:^[8] they rely on the semiring used in the weighted case as well as a general property on the structure of the transducer (the twins property).
- Weight pushing for the weighted case.^[9]
- Minimization for the weighted case.^[10]
- Removal of epsilon-transitions.

Additional properties of finite-state transducers

- It is decidable whether the relation [7] of a transducer T is empty.
- It is decidable whether there exists a string y such that x[T]y for a given string x.
- It is undecidable whether two transducers are equivalent.^[11] Equivalence is however decidable in the special case where the relation [7] of a transducer T is a
 (partial) function.
- If one defines the alphabet of labels $L = (\Sigma \cup \{\epsilon\}) \times (\Gamma \cup \{\epsilon\})$, finite-state transducers are isomorphic to $\underline{\mathsf{NDFA}}$ over the alphabet L, and may therefore be determinized (turned into $\underline{\mathsf{deterministic}}$ finite automata over the alphabet $L = [(\Sigma \cup \{\epsilon\}) \times \Gamma] \cup [\Sigma \times (\Gamma \cup \{\epsilon\})]$) and subsequently minimized so that they

have the minimum number of states

Applications

Context-sensitive rewriting rules of the form $a \rightarrow b / c _ d$, used in <u>linguistics</u> to model <u>phonological rules</u> and <u>sound change</u>, are computationally equivalent to finite-state transducers, provided that application is nonrecursive, i.e. the rule is not allowed to rewrite the same substring twice.^[12]

Weighted FSTs found applications in <u>natural language processing</u>, including <u>machine translation</u>, and in <u>machine learning</u>. [13][14] An implementation for <u>part-of-speech tagging</u> can be found as one component of the [15] library.

See also

- Mealy machine
- Moore machine
- Morphological dictionary
- foma (software)
- Tree transducer

Notes

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- 2. Koskenniemi 1983
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- 5. Mohri 2004, pp. 3-5
- 6. [1] (http://www.let.rug.nl/~vannoord/papers/preds/node22.html)
- 7. Mohri 2004, pp. 5-6
- 8 Allauzen 2003
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External links

- OpenFst (http://openfst.org/), an open-source library for FST operations.
- Stuttgart Finite State Transducer Tools (http://www.cis.uni-muenchen.de/~schmid/tools/SFST/), another open-source FST toolkit
- java FST Framework (http://jsalatas.ictpro.gr/java-fst-framework-api-review/), an open-source java FST Framework capable of handling OpenFst text format.
- Vcsn (http://vcsn.lrde.epita.fr/), an open-source platform (C++ & IPython) platform for weighted automata and rational expressions.
- Finite State Morphology--The Book (http://web.stanford.edu/~laurik/fsmbook/home.html)
 XFST/ LEXC, a description of Xerox's implementation of finite-state transducers intended for linguistic applications.
- FOMA (https://code.google.com/archive/p/foma/), an open-source implementation of most of the capabilities of the Xerox XFST/ LEXC implementation.

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