AVIAN Documentation

Release 1.0.0

Mahmoud Pourmehrab

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OVERVIEW

1.1 Introduction

The goal of this program is to simulate the performance of an isolated intersection with traffic from autonomous and conventional vehicles using a variety of signal control methods. It is developed as part of the *AVIAN* project supported by National Science Foundation under grant award 1446813. The base implementation was done in MATLAB programming language in 2015 - 2017 (see¹). The methodology to use Genetic Algorithm (*GA*) and polynomial *trajectory* optimization is explained in-depth in². The Min Cost Flow (*MCF*) signal optimization is elaborated in³. For comments and questions please email me at pourmehrab@gmail.com. For details on the AVIAN project visit AVIAN.ESSIE.UFL.EDU.

Note:

• The interpreter version requirement is set to 3.6.0. If using conda, do:

```
>>> conda update conda
>>> conda install python=3.6.0
```

• Update pip and install packages using:

```
>>> python -m pip install --upgrade pip
>>> pip3 install -r requirements.txt
```

• To execute the code:

```
>>> python main.py <intersection_name> <optimization_algo> <run mode>
```

- The printed information in the command line may have the following prefixes:
 - >>> phase addition to the end of SPaT
 - <<< phase removal to the beginning of SPaT</p>
 - >-> phase extension (only can happen to the last phase)
 - \\\ vehicle addition
 - /// vehicle removal
 - >@> vehicle departure scheduled
 - >*> vehicle trajectory planned through base SPaT
 - >#> vehicle trajectory planned through unserved module (temporary trajectory)

¹ Pourmehrab, M., Elefteriadou, L., Ranka, S., & Martin-Gasulla, M. (2017). *Optimizing Signalized Intersections Performance under Conventional and Automated Vehicles Traffic.* arXiv preprint arXiv:1707.01748 (link).

² Pourmehrab, M., Elefteriadou, L., Ranka, S. (2018). Dynamic Intersection Manager under Traffic of CAVs and Conventional Vehicles Using Hybrid Genetic Algorithm and Linear Programming.

³ Pourmehrab, M., Elefteriadou, L., Ranka, S. (2018). Real-time Optimizing a Hyper-Intersection Performance under Traffic of Automated Vehicles and Conventional Vehicles.

- Outputs are stored under /log/<intersection name>/ (create the directories if they do not exist):
 - The outputs are stored in CSV format and named by the format of <local timestamp>_<sc>_<content identifier>.csv (time is in mm-dd-yyyy_hh:mm:ss format).
 - <local timestamp>_<sc>_veh_level.csv includes input CSV plus the
 departure time and vehicle ID columns
 - <local timestamp>_<sc>_trj_point_level.csv includes the trajectory
 points
 - <local timestamp>_<sc>_siq_level.csv includes signalization details
 - <local timestamp>_sim_level simulation level statistics including elapsed time
- SI units are used (speed in m/s, length in m, time in s, acceleration in m/s^2)

Warning:

- As of now, no traffic generator module is developed as part of the main workflow. The traffic is input in CSV format under /data/<intersection name>/ directory.
- For simulation, the directory /data/<intersection name>/ shall include <local timestamp>_sc_sig_phase_level, _trj_vehicle_level, _trj_point_level.csv which has the scenarios to be tested. Note the filename should match the intersection name.
- The CSV file must include columns with the following heading:
 - lane: lane index (one-based)
 - type: vehicle type {0: CNV, 1: CAV}
 - arrival time: arrival time at the stop bar measured in second from a fix reference point
 - L: length of vehicle
 - maxAcc, maxDec: maximum acceleration, deceleration rate vehicle can execute
 - dest: destination {0: right turn, 1: through, 2: left}
 - curSpd: detection speed
 - desSpd: desired speed
 - dist: detection distance

Table 1: A few rows of a sample input traffic CSV-file.

lane	type	arrival	L	max-	maxDec	dest	cur-	desSpd	dist
		time		Acc			Spd		
4	1	33.2	4.6	2	-2.5	0	5.7	16.3	500
2	0	27.6	4.8	2	-2.5	1	5.5	18	500
6	1	35.2	4.8	2	-2.5	1	8.1	17.6	500
8	1	41.2	4.6	2	-2.5	2	9.7	17.5	500

Any arbitrary intersection can be added in the src/intersection/data.py. The list of all available intersections is:

• 13th16th: A physical intersection in Gainesville; google map it for the image and lane assignment detail.

- TERL: A physical intersection located at 2612 Springhill Road, Tallahassee, FL 32305; google map it for the image and lane assignment detail. Note the lane numbering in the code is 1: Southbound (all movements), 2: Westbound (through and right turn), 3: Westbound (left turn), 4: Northbound (all movements), 5: Eastbound (through and right turn), 6: Eastbound (left turn).
- reserv: for the reservation based model intersection that has 12 incoming lanes: 3 per approach and all lanes are exclusive (for more detail check UT Texas AIM).
- Some possible intersections to add are RTS, 42nd40th, SolarPark

You also can choose from the following signal control methods:

- GA: Genetic Algorithms
- pretimed: pretimed control
- MCF: Min Cost Flow (under development)
- actuated: Actuated Control System (under development)

You can run in either of the following modes (pay attention to the requirements of each run mode):

- simulation
- realtime

The **UML diagram** of the project is as the following (you may want to zoom in):

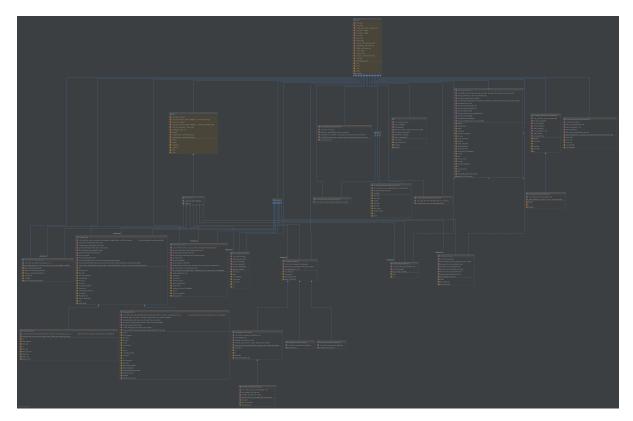


Fig. 1: The UML diagram of project.

1.2 Terms

AVIAN Autonomous Vehicles at Intelligent intersections and Advanced Networks. Read more here.

SPaT Signal Phase and Timing. SPaT decision can be represented by the sequence and green duration of phases.

CAV Connected and Automated Vehicle

1.2. Terms 3

CNV Conventional Vehicle

Trajectory A list of triples (time stamp, distance to stop bar, speed) to describe a vehicle's movement from the detection point to the stop bar.

LP Linear Program used to optimize the AVs trajectories. Read more here.

CPLEX An optimization solver developed by IBM. Read more here.

Gipps CF A car following model developed by in Gipps 1981 that models conventional vehicle movement. Read more here.

pretimed Refers to a type of signal control in which the phase sequence and timing is predefined and is executed in a cyclic manner. Read section 5.2.1 of the Traffic Signal Timing Manual.

GA Genetic Algorithm used to optimize SPaTs decision. Read more here.

badness In the context of GA, badness is defined as the negative of the fitness of an individual. In other words, GA searches for an alternative with the least badness (equivalently, with the highest fitness) value.

MCF Minimum Cost Flow model used to optimize SPaTs decision. Read more here.

1.3 Main Script

The main.py file implements the following work flow:

main.run_avian(inter_name, method, sc, start_time_stamp, tester)

Note: The following assumptions are important to notice: - Trajectories must end at the stop bar, i.e. the distance to stop bar converges to zero, even if they are temporarily assigned. - The desired speed of vehicles shall not exceed the speed limit or they will be advised speeding - Use default values for pieces of information that are impossible to obtain, i.e. accel/decel rates and destination of conventional vehicles.

Parameters

- inter_name (str) intersection name
- **method** (str) pretimed, GA, ...
- **sc** (int) scenario number (should match the appendix of the input CSV filename)
- start_time_stamp The local time stamp to name the CSV files
- tester (test.unit_tests.SimTest) the test object

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Organization University of Florida

SIMULATION

 $\textbf{class} \ \texttt{src.simulator.Simulator} \ (\textit{sim_start}, \textit{resolution=2.0})$

Bases: object

Objectives:

- · Keeps the time
- Moves the simulation clock forward

For time management we use seconds since the **Epoch**, or:

```
>>> import time
>>> time.time()
```

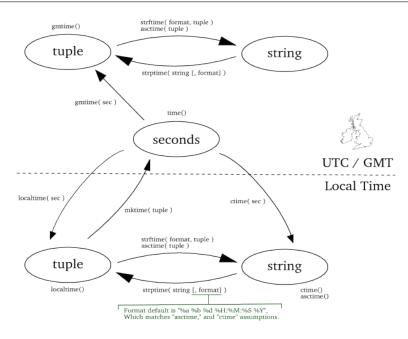


Fig. 1: Time management in python source.

Date April-2018

__init___(sim_start, resolution=2.0)

Clock keeps the simulation starting time in seconds.

Parameters

- **sim_start** start time of simulation to be initialized
- **resolution** Simulation resolution: the time steps to move the simulation forward in seconds

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next_sim_step()

Move simulation clock forward :Author:

Date April-2018

get_running_clock()

Get the current clock: Author:

Date April-2018

record_sim_stats (sc, inter_name, start_time_stamp, elapsed_time)

Sets the elapsed time for one simulation of scenario.

Parameters

- sc scenario number
- inter_name intersection name
- start_time_stamp local time stamp
- elapsed_time elapsed time in mili-seconds

THREE

PYTHON DATA

data.data.get_general_params (inter_name)

Returns

- inter name: intersection name
- max_speed: maximum speed in m/s
- min_headway: the lowest headway at the stop bar in s (corresponds to the highest flow)
- \bullet det_range: detection range in m
- k, m: refer to LeadConnected for the definitions
- num lanes: total number of incoming lanes
- phase_cover_set: a subset of mutually exclusive phases that cover all lanes for use in __set__non_base_scheduled_departures
- small_positive_num: small number that lower than that is approximated by zero
- large_positive_num: large number: is a large number to initialize badness of alternatives in GA. Make sure cannot be beaten by worst alternative.
- lag_on_green: The lag time from start of green when a vehicle can depart to allow vehicle cross after green (in seconds).
- max_num_traj_points: check if it's enough to preallocate the trajectory
- min_dist_to_stop_bar: lower than this (in m) do not update schedule
- do_traj_computation:
- trj_time_resolution: time difference between two consecutive trajectory points in seconds used in <code>discretize_time_interval()</code> (be careful not to exceed max size of trajectory)
- log_csv: if set *True*, makes CSV files of the outputs
- print_commandline:

Note:

- The distance to stop bar will be input from either CSV file or fusion. However, the number provided here is used for generic computations.
- odd degree of polynomial is recommended: k to be even and at least 5
- Make sure the max_num_traj_points to preallocate the trajectories is enough for a given problem

Warning: All the parameters defined here are required for running the program.

Date April-2018

data.data.get_pretimed_parameters(inter_name)

This returns the parameters needed for pre-timed control.

Note:

- The sequence field includes the phases and is zero-based.
- You need to compute green splits, yellows, and all-reds based on traffic flow theory.

Warning: Must choose num_cycles at least 2.

Date April-2018

data.data.get_GA_parameters(inter_name)

Returns

- max_phase_length: do not include more than this in a phase sequence (is exclusive of the last: 1,2,..., max_phase_length-1)
- population_size: this is the maximum size of individuals per iteration of GA
- max_iteration_per_phase:
- crossover_size: this specifies how many of the individuals from population_size to be computed using crossover.
- lambda: The weight factor to convert average travel time to throughput and give the *badness* of an individual.
- badness_accuracy: 10 raised to the number of digits we want to keep when hashing the *badness* of an individual
- allowable_phases: subset of all possible phases to be used. These are different than the phase_cover_set

Author Mahmoud Pourmehrab pourmehrab@gmail.com>

Date April-2018

data.data.get_conflict_dict(inter_name)

Returns a dictionary of sets where the keys are lane numbers and must be one-based. The value for each key is a set of lane numbers that are in conflict with the key lane (again, must be one-based).

An intersection configuration can be specified by its lanes and movements (left, through, right) that are allowed in each lane. The lane-lane incidence matrix of an intersection is a squared matrix that holds 1 (shown by solid circles in the figures), if two lanes are in conflict. The standard types of conflicts that may want to be avoided are cross, merge, and diverge conflicts.

Depending on the design, the definition of conflicts points can be broader or more limited. For instance, if volume of a lane is too low and extensive gaps can be found, some of conflict points can be considered as non-conflicting points. In the following figures, only cross and merge conflict points are indicated.

Date April-2018

data.data.get_phases(inter_name)

	1	2	3	4	5	6
1						
2						
3						
4						
5						
6						

Fig. 1: The TERL facility.

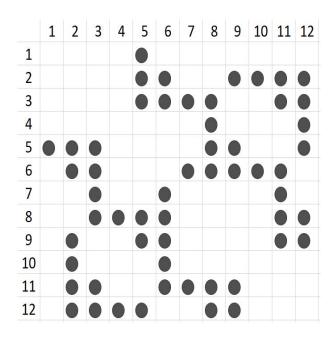


Fig. 2: The reservation-based intersection.

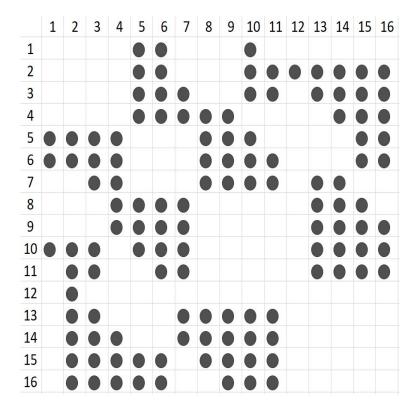


Fig. 3: The intersection of 13th and 16th, Gainesville, FL.

Returns A dictionary of sets. The key is the phase number and is one-based. The value to a key is a set of lanes included in that phase (lanes are also one-based).

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data.data.get_signal_params(inter_name)

Required for GA signal control. ALL yellow, all-red, min green, and max green times are in seconds.

Date April-2018

data.data.get_sig_ctrl_interface_params(inter_name)

Returns

• Proper phases to be called

Note:

• Account for SNMP lag time. Depending on the processor capability: [0.1 s - 0.9 s]

Author Ash Omidvar <aschkan@ufl.edu>

Date May-2018

FOUR

INTERSECTION

Dictionary in which the key is lane index and value is an arrays that keeps a queue of vehicle in that lane.

Objectives:

- · Keeps vehicles in order
- Keeps track of index of last vehicle in each lane (useful for applications in Signal)
- · Removes served vehicles, and update first unserved and last vehicle's indices accordingly
- Checks if all lanes are empty

Author Mahmoud Pourmehrab pourmehrab@gmail.com>

```
Date April-2018
```

___init___(intersection)

A dictionary of lists for keeping vehicles in the physical order they are in the lanes

Note:

- Python list has a method *insert()* to be used for adding vehicles in the middle of the list
- Use *del lanes.vehlist[lane][vehicle_index]* to remove a vehicle (look at :any'purge_served_vehs' for example)

Parameters intersection (Intersection) – keeps parameters related to the intersection

static refresh_earliest_departure_times (lanes, intersection)

"Computes the earliest departure time for all vehicles.

Parameters

• lanes (Lanes) – includes all vehicles in all lanes

• intersection (Intersection) – inteserction parameters are kept here

Date April-2018

decrement_first_unsrvd_indx (lane, num_served)

When vehicles get served, the first index to the unserved vehicle in a lane should change.

Parameters

- lane the lane in which the vehicles are served
- num served number of served vehicle

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increment_first_unsrvd_indx(lane)

Adds the unserved vehicle index keeper by one.

Parameters lane - the lane index

Date April-2018

increment_last_veh_indx(lane)

Adds the last vehicle index keeper by one.

Parameters lane – the lane index

Date April-2018

reset_first_unsrvd_indx (num_lanes)

This is to reset the most important variable in this module which keeps track of the vehicle in which all ahead of it are served.

Parameters num_lanes - the number of lanes

Date April-2018

decrement_last_veh_indx(lane, n)

Mostly used when vehicles are served. However, other cases due to fusion inaccuracy are possible.

Parameters

- lane the lane index
- n the number of vehicles to be subtracted from index of this lane

Date April-2018

purge_served_vehs (lane, indx)

Deletes vehicles from 0 to indx where indx is the pointer to the last served

Note: deletion also includes vehicle at indx

Parameters

- lane (int) the lane index
- indx The index in which all vehicles with indices less than or equal to this get removed

Date April-2018

all served(num lanes)

Checks if all lanes are empty of vehicles.

Parameters num_lanes - number of lanes

Returns True if all lanes are empty, False otherwise

Date April-2018

Bases: object

Objectives:

- Defines the vehicle object that keeps all necessary information
- Updates/records the trajectory points once they are expired
- · Keeps trajectory indexes updated
- Prints useful info once a plan is scheduled
- Decides if a trajectory re-computation is needed
- Quality controls the assigned trajectory

Date April-2018

__init__ (det_id, det_type, det_time, speed, dist, des_speed, dest, length, amin, amax, indx, intersection)
Initializes the vehicle object.

Attention:

- If the last trajectory point index is less than the first, no trajectory has been computed yet.
- The last trajectory index is set to -1 and the first to 0 for initialization purposes.
- The shape of trajectory matrix is $3 \times n$, where n is the maximum number of trajectory points to be held. The first, second, and third rows correspond to time, distance, and speed profile, respectively.
- The vehicle detection time shall be recorded in init_time. GA depends on this field to compute travel time when computing *badness* of an alternative.

Parameters

- $\det_{id}(str)$ the ID assigned to vehicle by radio or a generator
- det_type 0: *CNV*, 1: *CAV*
- $\det_\mathtt{time}$ detection time in s from reference time
- **speed** detection speed in m/s
- dist detection distance to stop bar in m
- des_speed desired speed in m/s
- dest destination 0: right turn; 1: through; 2: left

- length length of vehicle in m
- amin desirable deceleration rate in m/s^2
- amax desired acceleration rate in m/s^2
- indx the original row index in the input CSV file
- intersection (Intersection) contains all the intersection parameters
- self.trajectory keeps the trajectory points as columns of a $3 \times n$ array that n is MAX_NUM_TRAJECTORY_POINTS
- **self.first_trj_point_indx** points to the column of the trajectory array where the current point is stored. This gets updated as the time goes by.
- **self.last_trj_point_indx** similarly, points to the column of the trajectory where the last trajectory point is stored.
- **self.poly** (*dict*) keeps the reference time and the coefficients to reproduce trajectory of an AV
- self.earliest_departure the earliest arrival time at the stop bar
- **self.scheduled_departure** the scheduled arrival time at the stop bar
- **self.reschedule_departure** (bool) True if a vehicle is open to receive a new departure time, False if want to keep previous trajectory
- **self.freshly_scheduled** (bool) True if a vehicle is just scheduled a **different** departure and is ready to be assigned a trajectory
- **self._times_sent_to_traj_planner** number of times this vehicle is sent to trajectory planner

Note:

- By definition scheduled_departure is always greater than or equal to earliest_arrival.
- Prior to run, make sure the specified size for trajectory array by max_num_traj_points is enough to store all the trajectory points under the worst case.
- A vehicle may be open to being rescheduled but gets the same departure time; in that case, freshly_scheduled should hold False.

Date April-2018

earliest_arrival_connected(max_speed, min_headway=0.0, t_earliest=0.0)

Uses the latest departure time under the following cases to compute the earliest time the connected vehicle can re

- Accelerate/Decelerate to the maximum allowable speed and maintain the speed till departure
- Distance is short, it accelerates/decelerated to the best speed and departs
- Departs at the minimum headway with its lead vehicle (only for followers close enough to their lead)

Warning:

There are two consequences if this method:

- underestimates the earliest departure time: in this case, either the LP for the connected
 vehicles becomes infeasible sue to speed control constraints or the conventional car
 following model yields speed values higher than speed limit or even desired speed of
 the vehicle.
- overestimates the earliest departure time: This case costs efficiency since the vehicle may be scheduled for a time that earlier than that might have been possible.

Parameters

- veh (Vehicle) the subject vehicle
- max_speed maximum speed limit
- min_headway minimum (saturation) headway at the stop bar
- t_earliest earliest timemap_veh_type2str of lead vehicle that is only needed if the vehicle is a follower vehicle

Returns The earliest departure time of the subject connected vehicle in seconds from the reference time

Date April-2018

earliest arrival conventional (max speed, min headway=0.0, t earliest=0.0)

Uses the latest departure time under the following cases to compute the earliest time the conventional vehicle can

- Maintains the *estimated mean speed* till departure
- Departs at the minimum headway with the vehicle in front

Parameters

- veh (Vehicle) subject vehicle
- min_headway when 0, the vehicle is a lead and this constraint relaxes
- t_earliest earliest time of lead vehicle that is only needed if the vehicle is a follower vehicle

Returns The earliest departure time of the subject conventional vehicle in seconds from the reference time

Note:

- Enter min_headway and t_earliest as zeros (default values), if a vehicle is the first in its lane.
- Make sure this is compatible with what implemented under FollowerConventional

Date April-2018

reset_trj_points(sc, lane, time_threshold, file)

Writes the trajectory points in the CSV file if the time stamp is before the time_threshold and then removes those points by updating the pointer to the first trajectory point.

Warning: Before calling this make sure at least the first trajectory point's time stamp is less than provided time threshold or such a call would be meaningless.

Parameters

- sc scenario number being simulated
- lane lane number that is zero-based (it records it one-based)
- **time_threshold** any trajectory point before this is considered expired (normally its simulation time)
- **file** The CSV file to be written. It is initialized in *Traffic.__init__()* method, if None, this does not record points in CSV.

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 $set_scheduled_departure$ ($t_scheduled$, $d_scheduled$, $s_scheduled$, lane, veh_indx , intersection)

It only schedules if the new departure time is different and vehicle is far enough for trajectory assignment

Note:

- When a new vehicle is scheduled, it has two trajectory points: one for the current state and the
 other for the final state.
- If the vehicle is closer than min_dist_to_stop_bar, avoids appending the schedule.
- Set the freshly_scheduled to True only if vehicle is getting a new schedule and trajectory planning might become relevant.
- Moves back the first trajectory point to make best use of limited size to store trajectory points

Parameters

- t_scheduled scheduled departure time (s)
- $d_scheduled$ scheduled departure distance (m)
- **s_scheduled** scheduled departure speed (m/s)
- lane the lane this vehicle is in (for printing purpose only)
- **veh_indx** The index of this vehicle in its lane (*for printing purpose only*)
- intersection (Intersection) -

Date April-2018

set_poly(beta, t_ref)

Sets the coefficients that define the polynomial that defines trajectory of a connected vehicle

Parameters

- beta coefficient vector of the assigned polynomial (after solving the LP with CPLEX)
- t_ref reference time in which the polynomial shall be evaluated from

set_first_trj_point_indx(indx)

Sets the fist column index that points to the trajectory start

Parameters indx – the index to the first trajectory point

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set_last_trj_point_indx(indx)

Sets the last column index that points to the trajectory start

Parameters indx - the index to the last trajectory point

Date April-2018

static map_veh_type2str(code)

For the purpose of printing, this method translates the vehicle codes. Currently, it supports:

- 0 : Conventional Vehicle (*CNV*)
- 1 : Connected and Automated Vehicle (CAV)

Parameters code (int) - numeric code for the vehicle type

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increment_times_sent_to_traj_planner()

Increments the count on how many times sent to trajectory planner

Date April-2018

get_arrival_schedule()

Returns The triple (t, d, s) corresponding to the arrival of subject vehicle

Date April-2018

get_departure_schedule()

Returns The triple (t, d, s) corresponding to the departure of subject vehicle

Date April-2018

$\verb|print_trj_points| (\mathit{lane}, \mathit{veh_indx}, \mathit{identifier})|$

Print the first and last trajectory point information. This may be used either when a plan is scheduled or a trajectory is computed.

Parameters

- lane zero-based lane number
- **veh_indx** index to find the vehicle in its lane array
- identifier is \star for optimized trajectory -0 for scheduled departure.

Example output to the commandline:

```
>@> CNV:xyz004:2nd in L04: (40.0 s, 499.9 m, 7.8 m/s) -> (157.1, 0.0, 17. \leftrightarrow 9), 2 points, 0 attempts
```

which reads a conventional vehicle with ID of xyz004 is the second vehicle in the fourth lane. It was detected at time 40 second, distance to stop bar of 499.9 meters, speed of 7.8 m/s and is scheduled to depart at time 157.1 second, at speed of 17.9 m/s before sent to the trajectory optimizer.

Date April-2018

class src.intersection.Traffic(intersection, sc, start_time_stamp)
 Bases: object

Objectives:

- Adds new vehicles from the CSV file to the lanes.vehlist structure
- Appends travel time and ID columns; saves CSV
- Manages scenario indexing, resetting, and more
- Computes volumes in lanes
- Removes/records served vehicles

Note:

- The CSV should be located under the /data/ directory with the valid name consistent to what was inputted as an argument and what exists in the data.py file.
- The scenario number should be appended to the name of intersection followed by an underscore.

Date April-2018

___init__ (*intersection*, *sc*, *start_time_stamp*)

Objectives:

- Sets the logging behaviour for outputting requested CSV files and auxiliary output vectors
- Imports the CSV file that includes the traffic and sorts it
- Initializes the first scenario number to run

Parameters

- intersection (Intersection) containts intersection parameters
- sc scenario number
- start time stamp local time stamp to include in the CSV filename

Date April-2018

set_row_vehicle_level_csv(dep_time, veh)

Sets the departure time of an individual vehicle that is just served.

Parameters

- veh (Vehicle) subject vehicle to be recorder

save_veh_level_csv (inter_name, start_time_stamp)

Set the recorded values and save the CSV at vehicle level.

Parameters

- inter_name intersection name
- **start_time_stamp** local time stamp to include in the CSV filename

Date April-2018

close_trj_csv()

Closes trajectory CSV file.

last_veh_arrived()

Returns True if all vehicles from the input CSV have been added at some point, False otherwise.

Note:

The fact that all vehicles are *added* does not equal to all *served*. Thus, we check if any vehicle is in any of the incoming lanes before halting the program.

Date April-2018

get_first_detection_time()

Returns The time when the first vehicle in current scenario shows up. Assumes the CSV file is not sorted in arrival time.

Date April-2018

get_traffic_info (lanes, simulation_time, intersection)

Objectives

- Appends arrived vehicles from the CSV file to Lanes
- · Assigns their earliest arrival time

Parameters

- lanes (Lanes) vehicles are added to this data structure
- simulation_time current simulation clock in seconds measured from zero
- intersection (Intersection) intersection

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static get_volumes (lanes, intersection)

Unit of volume in each lane is veh/sec/lane. Uses the fundamental traffic flow equation $F = D \times S$.

Parameters

- lanes (Lanes) includes all vehicles
- intersection -

Return volumes array of volume level per lanes

```
Author Mahmoud Pourmehrab pourmehrab@gmail.com>
Date April-2018
```

serve_update_at_stop_bar (*lanes*, *simulation_time*, *intersection*)

This looks for removing the served vehicles.

Parameters

- lanes (Lanes) includes all the vehicles in all lanes
- **simulation_time** current simulation clock
- intersection (Intersection) -

Date April-2018

```
class src.intersection.TrajectoryPlanner(intersection)
    Bases: object
```

Plans trajectories of all type. This makes calls to **trajectory** classes.

Date April-2018

```
___init___(intersection)
```

Instantiates the **trajectory** classes

plan_trajectory (lanes, veh, lane, veh_indx, intersection, tester, identifier)

Parameters

- lanes (Lanes) -
- veh (Vehicle) -
- lane -
- veh indx -
- intersection -
- identifier Shows type of assigned trajectory
- tester (test.unit_tests.SimTest) the test object

SIGNAL PHASE AND TIMING (SPAT)

class src.signal.Signal(intersection, sc, start_time_stamp)
 Bases: object

The class serves the following goals:

- · Keeps the SPaT decision updated
- Makes SPaT decisions through variety of control methods. It supports:
 - Pre-timed control
 - Genetic Algorithm

Set the class variable lag_on_green to the time (in seconds) that from start of green is not valid to schedule any departure.

Note:

• The signal status is saved under \log\<intersection name>\ directory.

Use Case:

Instantiate like:

```
>>> signal = GA_SPaT/Pretimed(.)
```

Perform *SPaT* computation by:

```
>>> signal.solve(.)
```

Date April-2018

```
__init__(intersection, sc, start_time_stamp)
```

Elements:

- Sequence keeps the sequence of phases to be executed from $\boldsymbol{0}$
- green_dur keeps the amount of green allocated to each phase
- yellow and all-red is a fixed amount at the end of all phases (look at class variables)

Note: *SPaT* starts executing from index 0 to the end of each list.

_set_lane_lane_incidence(num_lanes)

This converts a dictionary of the form: key is a lane and value is a *set* of lanes that are in conflict with key (note numbering starts from 1 not 0) to lane_lane_incidence which includes the conflict matrix $|L| \times |L|$ where element ij is 1 if i and j are conflicting movements

Parameters num_lanes – number of incoming lanes

Date April-2018

_set_phase_lane_incidence()

Sets the phase-phase incidence matrix of the intersection

Date April-2018

_append_extend_phase (phase, actual_green, intersection)

Appends a phase to the SPaT (append/extend a phase and its green to the end of signal array)

Parameters

- phase phase to be added
- actual_green green duration of that phase

Date April-2018

update_SPaT (intersection, time_threshold, sc)

Performs two tasks to update SPaT based on the given clock:

- Removes terminated phase (happens when the all-red is passed)
- · Checks for SPaT to not get empty after being updated

Attention:

• If all phases are getting purged, either make longer SPaT decisions or reduce the simulation steps.

Parameters

- $time_threshold$ Normally the current clock of simulation or real-time in s
- sc scenario number to be recorded in CSV

Date April-2018

close_sig_csv()

Closes the signal CSV file

_flush_upcoming_SPaTs (intersection)

Just leaves the first SPaT and flushes the rest.

Note: One more severe variant to this is to even reduce the the green time of the first phase.

_do_base_SPaT (lanes, intersection, trajectory_planner, tester)

This method aims to serve as many vehicles as possible given the available SPaT. Depending on the signal method, the set of current SPaT could be different. For example:

- If called by *Pretimed()* solver, the current SPaT may include multiple phases as a pretimed SPaT never gets flushed.
- If called by GA_SPaT() solver, since the SPaT gets flushed before calling. The goal is to serve as many vehicles with only the single current phase in SPaT.
- It plans trajectories if necessary.

The condition to be served is to meet the following criteria:

- Respect the minimum headway to the lead vehicle (if present)
- Respect the initiation of green plus a lag time specified by LAG as a class variable
- Respect the earliest available time at the stop bar controlled by the speed limit acc/dec rates
- Vehicle is allowed to acquire a new trajectory (veh.reschedule_departure holds True)

The method does not compute or return the badness metric since the it does not aim to change current phase and timing.

It may only gets called once per each Signal solve call prior to computation of the new SPaTs.

The schedule keeps the earliest departures at the stop bars of each lane and gets updated when a signal decision goes permanent. It is made by a dictionary of arrays (key is lane, value is sorted earliest departures).

lanes.first_unsrvd_indx and setting the schedule of any possible served vehicles make the main result of this method. The lanes.first_unsrvd_indx will be used after this to avoid reserving and double-counting those already served with base SPaT. This also returns any_unserved_vehicle array that has True if any lane has vehicles that could not be unserved with base SPaT.

Note:

- Since base SPaT never gets changed (for safety and practical reasons), any vehicle served by it has to get reschedule_departure value set to False.
- It is feasible that if fusion algorithm updates the info on this vehicle and wants an update on trajectory, it rolls back the reschedule_departure to be True. However, this should be decided outside this method.
- The reason that this does not return schedule of departures is because they are already set inside this method. Late, the set method skips these.
- If a vehicle gets a schedule and has more than one trajectory point, the last index should reset to the first index so when the trajectory is set there would be two points.
- all-red from the end and LAG time from the beginning of a phase are note utilizes by any vehicle.
- The veh.reschedule_departure is set to False for vehicles that get schedules here, however if decided a vehicle needs to be rescheduled, make it True wherever that decision is being made.

Parameters

- lanes (Lanes) -
- intersection (Intersection) -
- trajectory_planner(src.intersection.TrajectoryPlanner) -

Returns Increments the lanes.first_unsrvd_indx array that keeps index off the first unserved vehicle in each lane, is initialized to zero before calling this method and gets updated by the end of this call. It also returns served_vehicle_time that shows the schedule

Date April-2018

_do_non_base_SPaT (lanes, scheduled_departures, first_unsrvd_indx, intersection)

Most of times the base SPaT prior to running a solve () method does not serve all vehicles. However, vehicles require trajectory to be provided. One way to address this is to assign them the best temporal trajectory which only has some of general qualities necessary for continuation of program. In this method we do the followings to compute the departure times of such trajectories:

- Without use of phases, schedule vehicles one after the other at minimum headway restricted by the saturation headway. This gives an overestimate of teh departure time since one vehicle gets served by intersection at a time, while having allowing to depart in phases let multiple simultaneous departures.
- This may be called after a signal solve() method decided to complete those that did not get served.
- Also this assumes min headway after green starts instead of LAG time which is a simplification.
- If a vehicle gets a schedule and has more than one trajectory point, the last index should reset to the first index so when the trajectory is set there would be two points.

Warning:

- Since the departure times are definitely temporal, DO NOT set reschedule_departure to False.
- The lanes.first_unsrvd_indx cannot be used since it does not keep GA newly served vehicles. However, it would work for pretimed since the method is static.

Parameters

- lanes (Lanes) -
- **scheduled_departures** includes schedule of departures for those served by base SPaT
- **first_unsrvd_indx** keeps the index of first unserved vehicle in lanes.
- intersection (Intersection) -

Returns served_vehicle_time that now includes the schedules of all vehicle except those served through base SPaT

Date April-2018

_set_non_base_scheduled_departures (lanes,

scheduled_departures,

any_unserved_vehicle,
intersection, tester)

trajectory_planner,

Sets the scheduled departure in the trajectory of the vehicle and plans trajectory of vehicle.

Note:

- Departure schedule of those which were served by base SPaT is set in base_badness() and not here.
- A cover phase set for all lanes is needed here.

Parameters

- lanes (Lanes) -
- scheduled_departures -
- trajectory_planner(TrajectoryPlanner) -
- tester (test.unit_tests.SimTest) the test object

Date April-2018

 $\textbf{class} \ \texttt{src.signal.Pretimed} \ (\textit{first_detection_time}, intersection, sc, start_time_stamp)$

Bases: src.signal.Signal

Note: Assumptions: - The sequence and duration are pre-determined - Cycle length is pre-computed using the time budget concept in traffic flow theory

• min and max of 60 and 120 seconds bound the cycle length

Date April-2018

__init__(first_detection_time, intersection, sc, start_time_stamp)
Initializes the pretimed SPaT

solve (lanes, intersection, critical_volume_ratio, trajectory_planner, tester)

The phases sequence is exactly as the provided in data.py. The flow is:

- 1. First serves using the available SPaT
- 2. This simply adds a cycle to SPaT if a cycle is terminated
- 3. Serves unserved vehicles, if any present
- 4. Next it provides the departure schedule

Note: The scheduled_departures is made only to call complete_unserved_vehicles(). It only stores departures for those vehicles nit served bt base SPaT.

Parameters

- lanes (Lanes) -
- intersection (Intersection) -

Date April-2018

_append_extend_phase (phase, actual_green, intersection)

Appends a phase to the *SPaT* (append/extend a phase and its green to the end of signal array)

Parameters

- phase phase to be added
- actual_green green duration of that phase

Date April-2018

_do_base_SPaT (lanes, intersection, trajectory_planner, tester)

This method aims to serve as many vehicles as possible given the available SPaT. Depending on the signal method, the set of current SPaT could be different. For example:

- If called by *Pretimed()* solver, the current SPaT may include multiple phases as a pretimed SPaT never gets flushed.
- If called by GA_SPaT() solver, since the SPaT gets flushed before calling. The goal is to serve as many vehicles with only the single current phase in SPaT.
- It plans trajectories if necessary.

The condition to be served is to meet the following criteria:

- Respect the minimum headway to the lead vehicle (if present)
- Respect the initiation of green plus a lag time specified by LAG as a class variable
- Respect the earliest available time at the stop bar controlled by the speed limit acc/dec rates
- Vehicle is allowed to acquire a new trajectory (veh.reschedule_departure holds True)

The method does not compute or return the badness metric since the it does not aim to change current phase and timing.

It may only gets called once per each Signal solve call prior to computation of the new SPaTs.

The schedule keeps the earliest departures at the stop bars of each lane and gets updated when a signal decision goes permanent. It is made by a dictionary of arrays (key is lane, value is sorted earliest departures).

lanes.first_unsrvd_indx and setting the schedule of any possible served vehicles make the main result of this method. The lanes.first_unsrvd_indx will be used after this to avoid reserving and double-counting those already served with base SPaT. This also returns any_unserved_vehicle array that has True if any lane has vehicles that could not be unserved with base SPaT.

Note:

- Since base SPaT never gets changed (for safety and practical reasons), any vehicle served by it has to get reschedule_departure value set to False.
- It is feasible that if fusion algorithm updates the info on this vehicle and wants an update on trajectory, it rolls back the reschedule_departure to be True. However, this should be decided outside this method.
- The reason that this does not return schedule of departures is because they are already set inside this method. Late, the set method skips these.
- If a vehicle gets a schedule and has more than one trajectory point, the last index should reset to the first index so when the trajectory is set there would be two points.
- all-red from the end and LAG time from the beginning of a phase are note utilizes by any vehicle.
- The veh.reschedule_departure is set to False for vehicles that get schedules here, however if decided a vehicle needs to be rescheduled, make it True wherever that decision is being made.

Parameters

• lanes (Lanes) -

- intersection (Intersection) -
- trajectory_planner(src.intersection.TrajectoryPlanner) -

Returns Increments the lanes.first_unsrvd_indx array that keeps index off the first unserved vehicle in each lane, is initialized to zero before calling this method and gets updated by the end of this call. It also returns served_vehicle_time that shows the schedule

Date April-2018

_do_non_base_SPaT (lanes, scheduled_departures, first_unsrvd_indx, intersection)

Most of times the base SPaT prior to running a solve () method does not serve all vehicles. However, vehicles require trajectory to be provided. One way to address this is to assign them the best temporal trajectory which only has some of general qualities necessary for continuation of program. In this method we do the followings to compute the departure times of such trajectories:

- Without use of phases, schedule vehicles one after the other at minimum headway restricted by the saturation headway. This gives an overestimate of teh departure time since one vehicle gets served by intersection at a time, while having allowing to depart in phases let multiple simultaneous departures.
- This may be called after a signal solve() method decided to complete those that did not get served.
- Also this assumes min headway after green starts instead of LAG time which is a simplification.
- If a vehicle gets a schedule and has more than one trajectory point, the last index should reset to the first index so when the trajectory is set there would be two points.

Warning:

- Since the departure times are definitely temporal, DO NOT set reschedule_departure to False.
- The lanes.first_unsrvd_indx cannot be used since it does not keep GA newly served vehicles. However, it would work for pretimed since the method is static.

Parameters

- lanes (Lanes) -
- scheduled_departures includes schedule of departures for those served by base SPaT
- **first_unsrvd_indx** keeps the index of first unserved vehicle in lanes.
- intersection (Intersection) -

Returns served_vehicle_time that now includes the schedules of all vehicle except those served through base SPaT

Date April-2018

_flush_upcoming_SPaTs (intersection)

Just leaves the first SPaT and flushes the rest.

Note: One more severe variant to this is to even reduce the the green time of the first phase.

Date April-2018

_set_lane_lane_incidence (num_lanes)

This converts a dictionary of the form: key is a lane and value is a *set* of lanes that are in conflict with key (note numbering starts from 1 not 0) to lane_lane_incidence which includes the conflict matrix $|L| \times |L|$ where element ij is 1 if i and j are conflicting movements

Parameters num_lanes – number of incoming lanes

Date April-2018

_set_non_base_scheduled_departures (lanes,

scheduled_departures,

any_unserved_vehicle,

trajectory_planner,

intersection, tester)

Sets the scheduled departure in the trajectory of the vehicle and plans trajectory of vehicle.

Note:

- Departure schedule of those which were served by base SPaT is set in base_badness() and not here.
- A cover phase set for all lanes is needed here.

Parameters

- lanes (Lanes) -
- scheduled_departures -
- trajectory_planner(TrajectoryPlanner)-
- tester (test.unit_tests.SimTest) the test object

Date April-2018

_set_phase_lane_incidence()

Sets the phase-phase incidence matrix of the intersection

Date April-2018

close_sig_csv()

Closes the signal CSV file

update_SPaT (intersection, time_threshold, sc)

Performs two tasks to update SPaT based on the given clock:

- Removes terminated phase (happens when the all-red is passed)
- Checks for SPaT to not get empty after being updated

Attention:

• If all phases are getting purged, either make longer SPaT decisions or reduce the simulation steps.

Parameters

ullet time_threshold - Normally the current clock of simulation or real-time in s

• sc – scenario number to be recorded in CSV

Date April-2018

class src.signal.GA_SPaT (first_detection_time, intersection, sc, start_time_stamp)
 Bases: src.signal.Signal

Under this class, the *SPaT* is decided optimally by a *GA*.

Warning:

- allowable_phases **must** cover all lanes or some would not get green at all.
- allowable_phases must be zero-based unlike what is provided in data.py

Date April-2018

___init__ (first_detection_time, intersection, sc, start_time_stamp)

Parameters

- inter_name -
- first_detection_time -
- intersection (Intersection) -
- sc -
- start_time_stamp -

Date April-2018

solve(lanes, intersection, critical_volume_ratio, trajectory_planner, tester)

This method implements Genetic Algorithm to determine SPaT. The high-level work flow is as the following:

- 1. From the available *SPaT*, only keep the ongoing one due to safety and practical reasons (*Here we do not change the timing of the first phase, however a variant is to reduce the timing to the minimum green time*).
- 2. Serve as many as possible with the remaining phase.
- 3. If any unserved vehicle is present, do GA.

Attention:

- We define *badness* (the opposite of fitness) as the measure that less of it is preferred for choosing a SPaT.
- GA has access to only the given subset of phases provided by allowable_phases from the full set in data.py file.
- If an alternative beats the best known SPaT, it takes the __best_SPaT spot inside the __evaluate_badness call.
- GA tries cycles with 1 up to the defined number of phases and for each it computes the cycle length using the time budget concept in traffic flow theory.

- GA keeps the alternative in a sorted dictionary that the key is badness and the value keeps the corresponding SPaT decision. This helps when we want to replace worse individuals with new ones from crossover.
- The phase sequence are randomly drawn from the set of phases without replacement.
- The timings are random but respects the minimum and maximum green. They also sum to the cycle length.
- Note since the dictionary hashes individuals based on their *badness*, it may overwrite one individual with anther. Hence the population may fall less than what defined initially.
- The crossover step is in-place, meaning it replaces the individuals with higher badness with crossovered ones. This way elite selection step is implemented at the same time crossover executes.
- Eventually, the best SPaT may not serve all vehicles. In that case, _schedule_unserved_vehicles method gets called to provide temporary schedule for the unserved vehicles.

Parameters

- lanes (Lanes) -
- intersection (Intersection) -
- critical volume ratio -
- trajectory_planner (TrajectoryPlanner) -

Date April-2018

evaluate badness (phase seq, time split, lanes, intersection, tester)

This method computes the badness (opposite if fitness) of an alternative using the equation $\lambda \times t - c$, where:

- c is the count of served vehicles in veh
- λ is weight factor in veh/s
- t is the average travel time in s, under the given SPaT.

Attention:

- A rough approximate for λ is the inverse of the detection range.
- Here we do not account for the vehicles served with base SPaT as they are already served.
- We create a copy of first_unsrvd_indx since there is no guarantee this *SPaT* is the best by the end of *GA*.
- The vehicle to be served by this method should have had veh. reschedule_departure set to True.
- An individual which has throughput of zero is not qualified for comparison to best known *SPaT*.
- Please note base on the provided definition badness can acquire negative values.
- Recursively computes the average travel time

Parameters

- phase_seq-
- time_split -
- lanes (Lanes) holds the traffic intended to be served
- intersection (Intersection) -
- tester (test.unit_tests.SimTest) the test object

Returns The corresponding *badness* for given SPaT defined by phase_seq and time_split to be added to the population. It also sets, if qualified, this individual as the best known so far.

Date April-2018

_get_optimal_cycle_length(critical_volume_ratio, phase_length)

Uses the time budget concept from traffic flow theory to compute the cycle length $C = \frac{n \times ar}{1 - V_{ro}}$.

See also:

Refer to HCM 2010 for more details.

Parameters

- critical_volume_ratio -
- phase_length -

Returns

Date April-2018

_mutate_seq(phase_length)

Generates a randomized sequence from the provided subset of allowable phases.

Parameters phase_length -

Returns seq

Date April-2018

_mutate_timing (cycle_length, phase_length)

Creates the random phase split. A valid timing should respect the min/max green requirement unless it conflicts with the cycle length requirement which in that case we should adjust the maximum green to avoid the slack in time.

Note: A phase timing should be between $g_{min} + y + ar$ and $g_{max} + y + ar$

Parameters

- cycle_length -
- phase_length -

Returns time_split

Date April-2018

_append_extend_phase (phase, actual_green, intersection)

Appends a phase to the *SPaT* (append/extend a phase and its green to the end of signal array)

Parameters

- phase phase to be added
- actual_green green duration of that phase

Date April-2018

 $\verb|_cross_over| (\textit{left_parent}, \textit{right_parent}, \textit{phase_length}, \textit{half_max_indx})$

Performs the crossover operation in GA.

Parameters

- left parent -
- right_parent -
- phase_length -
- half max indx -

Returns child with valid SPaT inherited from provided parents.

Date April-2018

_do_base_SPaT (lanes, intersection, trajectory_planner, tester)

This method aims to serve as many vehicles as possible given the available SPaT. Depending on the signal method, the set of current SPaT could be different. For example:

- If called by <code>Pretimed()</code> solver, the current SPaT may include multiple phases as a pretimed SPaT never gets flushed.
- If called by GA_SPaT() solver, since the SPaT gets flushed before calling. The goal is to serve as many vehicles with only the single current phase in SPaT.
- It plans trajectories if necessary.

The condition to be served is to meet the following criteria:

- Respect the minimum headway to the lead vehicle (if present)
- Respect the initiation of green plus a lag time specified by LAG as a class variable
- Respect the earliest available time at the stop bar controlled by the speed limit acc/dec rates
- Vehicle is allowed to acquire a new trajectory (veh.reschedule_departure holds True)

The method does not compute or return the badness metric since the it does not aim to change current phase and timing.

It may only gets called once per each Signal solve call prior to computation of the new SPaTs.

The schedule keeps the earliest departures at the stop bars of each lane and gets updated when a signal decision goes permanent. It is made by a dictionary of arrays (key is lane, value is sorted earliest departures).

lanes.first_unsrvd_indx and setting the schedule of any possible served vehicles make the main result of this method. The lanes.first_unsrvd_indx will be used after this to avoid reserving and double-counting those already served with base SPaT. This also returns any_unserved_vehicle array that has True if any lane has vehicles that could not be unserved with base SPaT.

Note:

- Since base SPaT never gets changed (for safety and practical reasons), any vehicle served by it has to get reschedule_departure value set to False.
- It is feasible that if fusion algorithm updates the info on this vehicle and wants an update on trajectory, it rolls back the reschedule_departure to be True. However, this should be decided outside this method.
- The reason that this does not return schedule of departures is because they are already set inside this method. Late, the set method skips these.
- If a vehicle gets a schedule and has more than one trajectory point, the last index should reset to the first index so when the trajectory is set there would be two points.
- all-red from the end and LAG time from the beginning of a phase are note utilizes by any vehicle.
- The veh.reschedule_departure is set to False for vehicles that get schedules here, however if decided a vehicle needs to be rescheduled, make it True wherever that decision is being made.

Parameters

- lanes (Lanes) -
- intersection (Intersection) -
- trajectory_planner (src.intersection.TrajectoryPlanner) -

Returns Increments the lanes.first_unsrvd_indx array that keeps index off the first unserved vehicle in each lane, is initialized to zero before calling this method and gets updated by the end of this call. It also returns served_vehicle_time that shows the schedule

Date April-2018

_do_non_base_SPaT (lanes, scheduled_departures, first_unsrvd_indx, intersection)

Most of times the base SPaT prior to running a solve () method does not serve all vehicles. However, vehicles require trajectory to be provided. One way to address this is to assign them the best temporal trajectory which only has some of general qualities necessary for continuation of program. In this method we do the followings to compute the departure times of such trajectories:

- Without use of phases, schedule vehicles one after the other at minimum headway restricted by the saturation headway. This gives an overestimate of teh departure time since one vehicle gets served by intersection at a time, while having allowing to depart in phases let multiple simultaneous departures.
- This may be called after a signal solve() method decided to complete those that did not get served.
- Also this assumes min headway after green starts instead of LAG time which is a simplification.
- If a vehicle gets a schedule and has more than one trajectory point, the last index should reset to the first index so when the trajectory is set there would be two points.

Warning:

- Since the departure times are definitely temporal, DO NOT set reschedule_departure to False.
- The lanes.first_unsrvd_indx cannot be used since it does not keep GA newly served vehicles. However, it would work for pretimed since the method is static.

- lanes (Lanes) -
- scheduled_departures includes schedule of departures for those served by base SPaT
- first_unsrvd_indx keeps the index of first unserved vehicle in lanes.
- intersection (Intersection) -

Returns served_vehicle_time that now includes the schedules of all vehicle except those served through base SPaT

Date April-2018

_flush_upcoming_SPaTs (intersection)

Just leaves the first SPaT and flushes the rest.

Note: One more severe variant to this is to even reduce the the green time of the first phase.

Date April-2018

_set_lane_lane_incidence(num_lanes)

This converts a dictionary of the form: key is a lane and value is a *set* of lanes that are in conflict with key (note numbering starts from 1 not 0) to lane_lane_incidence which includes the conflict matrix $|L| \times |L|$ where element ij is 1 if i and j are conflicting movements

Parameters num_lanes - number of incoming lanes

Date April-2018

_set_non_base_scheduled_departures (lanes,

scheduled_departures,

any_unserved_vehicle, trajectory_planner, intersection, tester)

Sets the scheduled departure in the trajectory of the vehicle and plans trajectory of vehicle.

Note:

- Departure schedule of those which were served by base SPaT is set in base_badness() and not here.
- A cover phase set for all lanes is needed here.

Parameters

- lanes (Lanes) -
- scheduled_departures -
- trajectory_planner(TrajectoryPlanner) -
- tester (test.unit_tests.SimTest) the test object

Date April-2018

_set_phase_lane_incidence()

Sets the phase-phase incidence matrix of the intersection

Date April-2018

close_sig_csv()

Closes the signal CSV file

update_SPaT (intersection, time_threshold, sc)

Performs two tasks to update SPaT based on the given clock:

- Removes terminated phase (happens when the all-red is passed)
- Checks for SPaT to not get empty after being updated

Attention:

• If all phases are getting purged, either make longer SPaT decisions or reduce the simulation steps.

Parameters

- $\operatorname{time_threshold}$ Normally the current clock of simulation or real-time in s
- sc scenario number to be recorded in CSV

CHAPTER

SIX

TRAJECTORY

class src.trajectory.Trajectory(intersection)

Is the abstract class for computing the trajectory points. Four subclasses inherited from this parent class:

- LeadConventional
- FollowerConnected
- LeadConnected
- FollowerConventional

Any solve method under each class shall invoke set_trajectory method at the end or does the assignment in-place.

Note: If want to limit the trajectory planning, there are two options: - If a particular vehicle is intended to be skipped, simply set <code>vehicle.reschedule_departure</code> to <code>False</code> - If the whole simulation is intended to be run without trajectory planer, set <code>vehicle.reschedule_departure</code> in <code>main.py</code> to False.

Date April-2018

discretize_time_interval (start_time, end_time)

Discretizes the given time interval at the granularity level of *trj_time_resolution* to an array of time stamps.

Warning: It is inclusion-wise of the beginning and end of the interval.

Date April-2018

$static set_trajectory(veh, t, d, s)$

Sets trajectory of the vehicle and updates the first and last trajectory point index.

Note:

- t,d,s should keep the whole trajectory incusion-wise of the first and the last points because we areseting the first trajectory point index here.
- An assigned trajectory always is indexed from zero as the veh. set_first_trj_point_indx.

Parameters

- **veh** (Vehicle) the vehicle object that is owns the trajectory
- t time stamps (seconds from the reference time)
- **d** distances at each time stamp (in meters from the stop bar)
- **s** speed at each time stamp (in m/s)

Date April-2018

class src.trajectory.LeadConventional(intersection)

Computes the trajectory for a lead conventional vehicle assuming the vehicle tends to maintain its arrival speed.

Use Case:

Instantiate like:

```
>>> lead_conventional_trj_estimator = LeadConventional(intersection)
```

Perform trajectory computation by:

```
>>> lead_conventional_trj_estimator.solve(veh)
```

Date April-2018

solve(veh)

Constructs the trajectory of a lead conventional vehicle assuming the driver maintains its speed

Parameters veh (Vehicle) - the lead conventional vehicle

Warning: Make sure the assumptions here are compatible with those in earliest_arrival_conventional

Date April-2018

class src.trajectory.FollowerConventional(intersection)

Estimates the trajectory for a follower conventional vehicle assuming a car following model. In the current implementation, Gipps car-following model¹ is used.

Use Case:

Instantiate like:

```
>>> follower_conventional_trj_estimator = FollowerConventional(intersection)
```

Perform trajectory computation by:

```
>>> follower_conventional_trj_estimator.solve(veh, lead_veh)
```

¹ Gipps, Peter G. A behavioural car-following model for computer simulation. Transportation Research Part B: Methodological 15.2 (1981): 105-111 (link).

Parameters

- lead_d lead vehicle distance to stop bar
- lead_s lead vehicle speed
- lead_a lead vehicle acceleration rate
- lead_1 length of lead vehicle
- foll d follower vehicle distance to stop bar
- foll_s follower vehicle speed
- foll_s_des follower vehicle desired speed
- cc0 Standstill Distance in m
- cc1 Spacing Time in s
- cc2 Following Variation (max drift) in m
- cc3 Threshold for Entering 'Following' in s
- cc4 Negative *Following* Threshold in m/s
- **cc5** Positive *Following* Threshold in m/s
- cc6 Speed Dependency of Oscillation in $10^-4rad/s$
- cc7 Oscillation Acceleration in m/s^2
- cc8 Standstill Acceleration in m/s^2
- cc9 Acceleration at $80 \ km/h$ in m/s^2

Returns follower next acceleration rate

Date May-2018

gipps (lead_d, lead_s, lead_l, foll_d, foll_s, foll_s_des, foll_a_min, foll_a_max, lead_a_min, dt)

Gipps car following model is implemented. It is written in-place (does not call set_trajectory)

$$\begin{split} v_{n_{l}}(t+\Delta t) &= \min \left\{ v_{n_{l}}(t) + 2.5a_{n_{l}}^{acc} \times \Delta t \times (1 - \frac{v_{n_{l}}(t)}{V_{n_{l}}^{des}}) \times \sqrt{0.025 + \frac{v_{n_{l}}(t)}{V_{n_{l}}^{des}}}, \\ a_{n_{l}}^{dec} \times \Delta t + \sqrt{a_{n_{l}}^{dec} \times \left(2 \times \left(d_{(n-1)_{l}}(t) - d_{n_{l}}(t) + L_{n_{l}}\right) + \Delta t \times \left(a_{n_{l}}^{dec} \times \Delta t + v_{n_{l}}(t)\right) + \frac{v_{(n-1)_{l}}(t)^{2}}{a_{n_{l}}^{dec}}}\right) \right\} \end{split}$$

Fig. 1: Gipps car following formula.

Warning: Theoretically, caution needed to address the cases where either the term under the square root or one of the speed values in the model becomes negative.

- lead_d lead vehicle distance to stop bar
- **lead_s** lead vehicle speed
- lead_a lead vehicle acceleration

- lead_1 lead vehicle length
- **foll_d** follower vehicle distance to stop bar
- foll_s follower vehicle speed
- foll_s_des follower desired speed
- foll a min follower maximum deceleration rate
- foll a max follower maximum acceleration rate
- lead_a_min lead maximum deceleration rare
- dt length of time interval the acceleration shall be calculated

Returns follower next acceleration rate

Date April-2018

solve (veh, lead_veh)

Note:

- The only trajectory point index that changes is follower's last one.
- This method relies on the fact that lead vehicle's first trajectory point is current.
- Assumed the gap to lead vehicle cannot get lower than a full length of the lead vehicle.
- Compared to W99 requires acc/dec on follower, dec on lead, dt, and does not need lead acc.
- to compute acceleration for lead, we need at least two points to take speed over time difference

Parameters

- veh (Vehicle) The follower conventional vehicle
- lead_veh (Vehicle) The vehicle in front of subject conventional vehicle

Date April-2018

static comp_speed_distance (t0, d0, v0, a, t, $foll_a_min$, $foll_a_max$, $next_lead_d$, $lead_l$) If car-following models yielded unreasonable acceleration, fixes it.

Note: Checks for: - Speed should be positive - Acceleration/deceleration constraints should be met. - Enforce a gap of equal to length of lead to the lead vehicle

Parameters

- t0 the time at the beginning of the small interval that acceleration is constant
- **d0** the distance at the beginning of the interval
- **v0** the speed at the beginning of the interval
- a the constant acceleration rate within the interval
- t the end time of the interval

Returns the distance to stop bar and speed at the end of the interval

Date April-2018

class src.trajectory.LeadConnected(intersection)

Note:

- Trajectory function: $f(t) = \sum_{n=0}^{k-1} b_n \times (t/t_0)^n$
- Negative of speed profile: $f'(t) = \sum_{n=1}^{k-1} n \times b_n \times (t/t_0)^{n-1}$
- Negative of acceleration profile: $f''(t) = \sum_{n=2}^{k-1} n \times (n-1) \times b_n \times (t/t_0)^{n-2}$

Use Case:

Instantiate like:

```
>>> lead_connected_trj_optimizer = LeadConnected(.)
```

Perform trajectory computation by:

```
>>> lead_conventional_trj_estimator.solve(veh)
```

Date April-2018

set_model(veh)

Overrides the generic coefficients to build the specific *LP* model for the AV trajectory.

This model solves an *LP* model to compute trajectory of AVs.

Parameters veh (Vehicle) – vehicle object that its trajectory is meant to be computed

Returns CPLEX LP model. Should return the model since the follower optimizer adds constraints to this model

Date April-2018

solve (veh, lead_veh, model)

Solves an *LP* model for connected vehicle (both lead and follower)

Parameters

- veh (Vehicle) subject vehicle
- lead_veh (Vehicle) lead vehicle which could be *None* if no vehicle is in front.
- model (CPLEX) -

Returns coefficients of the polynomial for the veh object and trajectory points to the trajectory attribute of it

Date April-2018

compute_trj_points (f, f_prime, departure_time_relative)

Converts the polynomial trajectory to the trajectory points.

- **f** the coefficients to define trajectory polynomial
- f_prime the coeeficients to define the speed polynomial

```
1 ENCODING=ISO-8859-1
     2 \Problem name: CAV trajectory optimization
             obj: 44.05 b_0 + 22.025 b_1 + 14.6833333333333 b_2 + 11.0125 b_3 + 8.81 b_4 
+ 7.34166666666667 b_5 + 6.29285714285714 b_6 + 5.50625 b_7 
+ 4.89444444444444 b_8 + 4.405 b_9 + 4.00454545454545 b_10 
+ 3.67083333333333 b_11 + 3.38846153846154 b_12 + 3.14642857142857 b_13
                                         2.93666666666667 b_14
10 Subject To
            det_dist:
det_speed:
dep_dist:
                                                                     b 0 = 499.871984004097
b_0 = 499.8/19440097

b_1 = -238.274160236752

b_0 + b_1 + b_2 + b_3 + b_4 + b_5 + b_6 + b_7 + b_8 + b_9 + b_10

+ b_11 + b_12 + b_13 + b_14 = 0

b_11 + 2 b_2 + 3 b_3 + 4 b_4 + 5 b_5 + 6 b_6 + 7 b_7 + 8 b_8

+ 9 b_9 + 10 b_10 + 11 b_11 + 12 b_12 + 13 b_13 + 14 b_14

= -787.68448
             dep_speed:
              ub_speed_0: 0.0227014755959137 b_1 + 0.00216204529484893 b 2
                                                                            .022/014/5595913/ b_1 + 0.002/62/4529484893 b_2 

0.000154431806774923 b_3 + 0.00000980519408094751 b_4 

5.83642504818304e-7 b_5 + 3.33510002753317e-8 b_6 

1.85283334862954e-9 b_7 + 1.00834467952628e-10 b_8 

5.40184649746221e-12 b_9 + 2.85811983992709e-13 b_10 

1.49711039234276e-14 b_11 + 7.77719684333903e-16 b_12
                                                                      + 4.0120459906114e-17 b_13 + 2.05745948236482e-18 b_14
                                                                 + 4.0120459906114e-17 b_13 + 2.05745948236482e-18 b_14 >= 17.8816  
0.0227014755959137 b_1 + 0.00432409058969785 b_2 + 0.00061772727099693 b_3 + 0.0000784415526475801 b_4 + 0.00000933828007709287 b_5 + 0.000001067732200881061 b_6 + 1.1858133431229e-7 b_7 + 1.29068118979364e-8 b_8 + 1.38287270335032e-9 b_9 + 1.46335735804267e-10 b_10 + 1.53304104175899e-11 b_11 + 1.59276991351583e-12 b_12 + 1.64333403775443e-13 b_13 + 1.68547080795326e-14 b_14 >= -17.8816
              ub_speed_1:
           -17.8816
                                                               + 6.73109621864215e-10 \bar{b}_13 + 1.38073768587531e-10 b_14 >= -17.8816  
0.0227014755959137 b_1 + 0.0108102264742446 b_2  
+ 0.00386079516937308 b_3 + 0.00122564926011844 b_4  
+ 0.00036477656551144 b_5 + 0.000104221875860411 b_6  
+ 0.0000289505210723365 b_7 + 0.00000787769280879905 b_8  
+ 0.00000211009628807117 \bar{b}_9 + 5.58226531235761e-7 b_10  
+ 1.46202186752223e-7 b_11 + 3.79745939616164e-8 b_12  
+ 9.79563415676612e-9 b_13 + 2.51154721968362e-9 b_14 >= -17.8816  
0.0227014755959137 b_1 + 0.0129722717690936 b_2  
+ 0.00555954504389724 b_3 + 0.00211792192148466 b_4  
+ 0.000756400686244522 b_5 + 0.000259337378140979 b_6  
+ 0.00009097302780668148 b_9 + 0.0000288032628783539 b_10  
+ 9.05245404748266e-7 b_11 + 2.82154411869589e-7 b_12  
+ 8.73335084358258-8 b_13 + 2.68718487494847e-8 b_14 >= -17.8816  
0.0227014755959137 b_1 + 0.0151343176039425 b_2  
+ 0.00756715853197124 b_3 + 0.003363181569765 b_4  
+ 0.00140132565406875 b_5 + 0.00083041526241111 b_8  
+ 0.00075675853197124 b_3 + 0.003363181569765 b_4  
+ 0.0012798399632916 b_7 + 0.000083041450244111 b_8  
+ 0.0000311405700904166 b_9 + 0.0000153780593039094 b_12  
+ 5.55318880819673e-7 b_13 + 1.9345213198826e-7 b_14 >= -17.8816  
6.0227014755959137 b_1 + 1 + 1.8162335243198826e-7 b_14 >= -17.8816  
6.027613783880819673e-7 b_13 + 1.9345213198826e-7 b_14 >= -17.8816  
6.0277614755959137 b_1 + 1 + 1.8172963623587914 b_2
                                                                                  -17.8816
              ub_speed_4:
               ub_speed_5:
              ub_speed_6:
             uh speed 7:
```

Fig. 2: Part of a sample CPLEX model.

• departure_time_relative - span of the trajectory

Returns t, d, s

Date April-2018

optimize_lead_connected_trj(veh)

Computes a linear trajectory for the vehicle. This case should not happen except for the case the LP has no solution.

Parameters veh (Vehicle) - subject vehicle

Returns trajectory of subject lead CAV

Date April-2018

class src.trajectory.FollowerConnected(intersection)

Optimizes the trajectory of a follower CAV.

Use Case:

Instantiate like:

```
>>> follower_connected_trj_optimizer = FollowerConnected(intersection)
```

Perform trajectory computation by:

```
>>> model = follower_connected_trj_optimizer.set_model(veh, lead_veh)
>>> follower_connected_trj_optimizer.solve(veh, lead_veh)
```

Parameters GAP_CTRL_STARTS – This is the relative time (in seconds) when gap control constraints get added

Date April-2018

set_model (veh, lead_veh)

Sets the LP model using the extra constraints to enforce the safe headway. Either three cases happen here:

- The lead is a CNV and its trajectory overlaps and it has enough trajectory points
 - Enforce the constraint on last m trajectory points of the lead vehicle
- The lead is a *CAV* and its trajectory overlaps
 - Evaluate the polynomial over m points as defined in the paper
- Otherwise
 - Relax the constraints $(0.0 \ge -1.0$ is always true)

Parameters

- **veh** (Vehicle) follower connected vehicle that the trajectory model is constructed for
- lead_veh (Vehicle) the vehicle in front

Returns the CPLEX LP model to be solved by solve() method

```
solve (veh, lead_veh, model)
```

The only reason this class method exists is to access $optimize_follower_connected_trj$ method.

Parameters

- **veh** (Vehicle) subject vehicle
- lead_veh (Vehicle) the vehicle in front of the subject
- model the follower CPLEX model

Date April-2018

optimize_follower_connected_trj(veh, lead_veh)

Works based on the concept of hypothetical trajectory.

Parameters

- **veh** (Vehicle) subject vehicle
- lead_veh (Vehicle) lead vehicle which could be *None* if no vehicle is in front.

Returns trajectory of the subject follower AV in case the LP has no solution.

OPTIMIZERS/SIMULATOR UNIT TESTING

```
class test.unit_tests.SimTest (methodName='runTest')
```

A series of test methods for the optimization and part of the planner that was implemented for the simulation purpose.

Date April-2018

 $py_version_test(req=(3, 6, 0))$

Parameters req – python version to be checked against

Date April-2018

arguments_check (req=({'13th16th', 'reserv', 'TERL'}, {'GA', 'pretimed'}, {'simulation', 'realtime'}))

Parameters req – set of available intersections, signal opt methods, and run modes to choose

Date April-2018

test_departure_of_trj (lanes, intersection, start_indx, end_indx)

Checks scheduled arrivals for:

- being processed by planner
- relative departure to be after arrival
- range of average speed to be feasible
- min headway with the lead vehicle (if exists)

Parameters

- lanes -
- intersection -
- start_indx -
- end_indx -

Date April-2018

 $\begin{tabular}{ll} \textbf{test_SPaT_alternative} (scheduled_departures, & start_unsrvd_indx, & end_vehicle_indx, \\ & last_vehicle_indx, min_headway) \end{tabular}$

Checks for min headway to be respected in the schedule.

```
• scheduled_departures -
```

- start_unsrvd_indx -
- end_vehicle_indx -
- last_vehicle_indx -
- min_headway -

Date April-2018

test_planned_departure(veh)

Tests if actually the departure from trajectory matches the planned departure.

Parameters veh (Vehicle) -

Date April-2018

test_trj_points(veh)

Checks all trajectory assigned to a vehicle for:

- time difference.
- · distance difference.
- speed & distance non-negativity.
- any null & nan values.

```
Parameters veh (Vehicle) -
```

Date April-2018

check_order_in_lanes(lanes)

Tests, after updating the trajectories, if the order in each lane is right.

```
Parameters lanes (Lanes) -
```

Date May-2018

static check_for_collision(veh, lead_veh)

Tests every pair of trajectory points to respect zero gap.

Parameters

- veh (Vehicle) follower
- lead_veh (Vehicle) leader

Returns

Date May-2018

CHAPTER

EIGHT

SIGNAL CONTROLLER INTERFACE

src.sig_ctrl_interface.snmpSet (OID, Value)

Author Aschkan Omidvar <aschkan@ufl.edu>

Date Jan-2017

src.sig_ctrl_interface.snmpTranslate(List)

Example: 2^^3 phase translation breakdown:

- Bit 7 = Ring number = (ringControlGroupNumber * 8)
- Bit 6 = Ring number = (ringControlGroupNumber * 8) 1
- Bit 5 = Ring number = (ringControlGroupNumber * 8) 2
- Bit 4 = Ring number = (ringControlGroupNumber * 8) 3
- Bit 3 = Ring number = (ringControlGroupNumber * 8) 4
- Bit 2 = Ring number = (ringControlGroupNumber * 8) 5
- Bit 1 = Ring number = (ringControlGroupNumber * 8) 6
- Bit 0 = Ring number = (ringControlGroupNumber * 8) 7

Author Aschkan Omidvar <aschkan@ufl.edu>

Date Jan-2017

Note: This module translates the phase numbers in a given list into snmp legible integers according to NTCIP 1202. The code encripts the list of the phases into a binary string and then parses it to an snmp int value.

src.sig_ctrl_interface.snmpOmit(List)

Author Aschkan Omidvar <aschkan@ufl.edu>

Date Jan-2017

Note: This module transforms the bit matrix values for OID enterprise::1206.4.2.1.1.5.1.2.1 to the corresponding phase number and omit it. Hold is a command that causes omission of a selected phase.

 ${\tt src.sig_ctrl_interface.snmpHold}\,({\it List})$

Author Aschkan Omidvar <aschkan@ufl.edu>

Date Jan-2017

Note: This module transforms the bit matrix values for OID enterprise::1206.4.2.1.1.5.1.4.1 to the corresponding phase number and hold it. Hold is a command that retains the existing Green interval.

src.sig_ctrl_interface.snmpForceOff(List)

Author Aschkan Omidvar <aschkan@ufl.edu>

Date Jan-2017

Note: This module transforms the bit matrix values for OID enterprise::1206.4.2.1.1.5.1.5.1 to the corresponding phase number and Force Off it. Force off is A command to force the termination of the green interval in the actuated mode or Walk Hold in the nonactuated mode of the associated phase. Termination is subject to the presence of a serviceable conflicting call. The Force Off function shall not be effective during the timing of the Initial, Walk, or Pedestrian Clearance. The Force Off shall only be effective as long as the condition is sustained. If a phase specific Force Off is applied, the Force Off shall not prevent the start of green for that phase

src.sig_ctrl_interface.snmpVehCall(List)

Author Aschkan Omidvar <aschkan@ufl.edu>

Date Jan-2017

Note: This module transforms the bit matrix values for OID enterprise::1206.4.2.1.1.5.1.6.1 to the corresponding phase number and call a vehicle on it.

src.sig_ctrl_interface.snmpTerminate()

Author Aschkan Omidvar <aschkan@ufl.edu>

Date Jan-2017

Note: This module terminates all the commands and resets the signal controller to the default mode (actauted)

src.sig_ctrl_interface.snmp_phase_ctrl (Phase, inter_name)

Author Aschkan Omidvar <aschkan@ufl.edu>

Date Jan-2017

Note: Send command to ASC

CHAPTER

NINE

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