# **AVIAN Documentation**

Release 1.0.0

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# ONE

# **OVERVIEW**

# 1.1 Introduction

The goal of this program is to simulate the performance of an isolated intersection with traffic from autonomous and conventional vehicles using a variety of signal control methods. It is developed as part of the *AVIAN* project supported by National Science Foundation under grant award 1446813. The base implementation was done in MATLAB programming language in 2015 - 2017 (see<sup>1</sup>). The methodology to use Genetic Algorithm (*GA*) and polynomial *trajectory* optimization is explained in-depth in<sup>2</sup>. The Min Cost Flow (*MCF*) signal optimization is elaborated in<sup>3</sup>. For comments and questions please email me at pourmehrab@gmail.com. For details on the AVIAN project visit AVIAN.ESSIE.UFL.EDU.

#### Note:

• The interpreter version requirement is set to 3.6.0. If using conda, do:

```
>>> conda update conda
>>> conda install python=3.6.4
```

• Update pip and install packages using:

```
>>> python -m pip install --upgrade pip
>>> pip3 install -r requirements.txt
```

• To execute the code:

```
>>> python main.py <intersection_name> <optimization_algo> <run mode>
```

- The printed information in the command line may have the following prefixes:
  - >>> phase addition to the end of SPaT
  - <<< phase removal to the beginning of SPaT</p>
  - >-> phase extension (only can happen to the last phase)
  - \\\ vehicle addition
  - /// vehicle removal
  - >@> vehicle departure scheduled
  - >\*> vehicle trajectory planned through base SPaT
  - >#> vehicle trajectory planned through unserved module (temporary trajectory)

<sup>&</sup>lt;sup>1</sup> Pourmehrab, M., Elefteriadou, L., Ranka, S., & Martin-Gasulla, M. (2017). Optimizing Signalized Intersections Performance under Conventional and Automated Vehicles Traffic. arXiv preprint arXiv:1707.01748 (link).

<sup>&</sup>lt;sup>2</sup> Pourmehrab, M., Elefteriadou, L., Ranka, S. (2018). Dynamic Intersection Manager under Traffic of CAVs and Conventional Vehicles Using Hybrid Genetic Algorithm and Linear Programming.

<sup>&</sup>lt;sup>3</sup> Pourmehrab, M., Elefteriadou, L., Ranka, S. (2018). Real-time Optimizing a Hyper-Intersection Performance under Traffic of Automated Vehicles and Conventional Vehicles.

- Outputs are stored under /log/<intersection name>/ (create the directories if they do not exist):
  - The outputs are stored in CSV format and named by the format of <local timestamp>\_<sc>\_<content identifier>.csv (time is in mm-dd-yyyy\_hh:mm:ss format).
  - <local timestamp>\_<sc>\_vehicle\_level.csv includes input CSV plus
     the departure time, vehicle ID and elapsed time columns
  - <local timestamp>\_<sc>\_trj\_point\_level.csv includes the trajectory
    points
  - <local timestamp>\_<sc>\_sig\_phase\_level.csv includes signalization
     details
- SI units are used (speed in m/s, length in m, time in s, acceleration in  $m/s^2$ )

#### Warning:

- As of now, no traffic generator module is developed as part of the main workflow. The traffic is input in CSV format under /data/<intersection name>/ directory.
- For simulation, the directory /data/<intersection name>/ shall include <local timestamp>\_sc\_sig\_phase\_level, \_trj\_vehicle\_level, \_trj\_point\_level.csv which has the scenarios to be tested. Note the filename should match the intersection name.
- The CSV file must include columns with the following heading:
  - lane: lane index (one-based)
  - type: vehicle type {0: CNV, 1: CAV}
  - arrival time: arrival time at the stop bar measured in second from a fix reference point
  - L: length of vehicle
  - maxAcc, maxDec: maximum acceleration, deceleration rate vehicle can execute
  - dest: destination {0: right turn, 1: through, 2: left}
  - curSpd: detection speed
  - desSpd: desired speed
  - dist: detection distance

Table 1: A few rows of a sample input traffic CSV-file.

lane	type	arrival	L	max-	maxDec	dest	cur-	desSpd	dist
		time		Acc			Spd		
4	1	33.2	4.6	2	-2.5	0	5.7	16.3	500
2	0	27.6	4.8	2	-2.5	1	5.5	18	500
6	1	35.2	4.8	2	-2.5	1	8.1	17.6	500
8	1	41.2	4.6	2	-2.5	2	9.7	17.5	500

Any arbitrary intersection can be added in the src/intersection/data.py. The list of all available intersections is:

- 13th16th: A physical intersection in Gainesville; google map it for the image and lane assignment detail
- TERL: A physical intersection located at 2612 Springhill Road, Tallahassee, FL 32305; google map it for the image and lane assignment detail. Note the lane numbering in the code is 1: Southbound

(all movements), 2: Westbound (through and right turn), 3: Westbound (left turn), 4: Northbound (all movements), 5: Eastbound (through and right turn), 6: Eastbound (left turn).

- reserv: for the reservation based model intersection that has 12 incoming lanes: 3 per approach and all lanes are exclusive (for more detail check UT Texas AIM).
- Some possible intersections to add are RTS, 42nd40th, SolarPark

# You also can choose from the following signal control methods:

- GA: Genetic Algorithms
- pretimed: pretimed control
- MCF: Min Cost Flow (under development)
- actuated: Actuated Control System (under development)

# You can run in either of the following modes (pay attention to the requirements of each run mode):

- simulation
- realtime

The **UML diagram** of the project is as the following (you may want to zoom in):

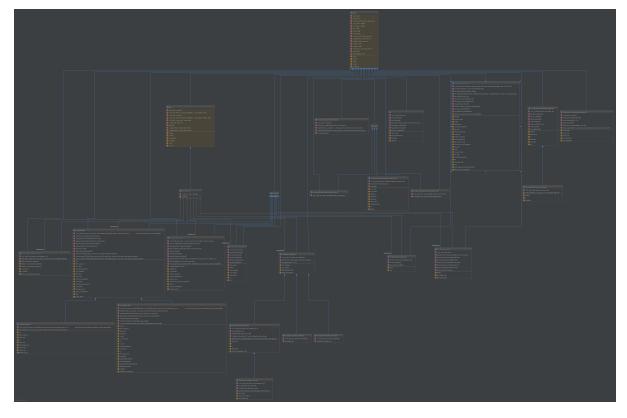


Fig. 1: The UML diagram of project.

# 1.2 Terms

AVIAN Autonomous Vehicles at Intelligent intersections and Advanced Networks. Read more here.

**SPaT** Signal Phase and Timing. SPaT decision can be represented by the sequence and green duration of phases.

CAV Connected and Automated Vehicle

CNV Conventional Vehicle

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**Trajectory** A list of triples (time stamp, distance to stop bar, speed) to describe a vehicle's movement from the detection point to the stop bar.

**LP** Linear Program used to optimize the AVs trajectories. Read more here.

**CPLEX** An optimization solver developed by IBM. Read more here.

**Gipps CF** A car following model developed by in Gipps 1981 that models conventional vehicle movement. Read more here.

**pretimed** Refers to a type of signal control in which the phase sequence and timing is predefined and is executed in a cyclic manner. Read section 5.2.1 of the Traffic Signal Timing Manual.

**GA** Genetic Algorithm used to optimize SPaTs decision. Read more here.

**badness** In the context of GA, badness is defined as the negative of the fitness of an individual. In other words, GA searches for an alternative with the least badness (equivalently, with the highest fitness) value.

MCF Minimum Cost Flow model used to optimize SPaTs decision. Read more here.

# 1.3 Main Script

The main.py file implements the following work flow:

# class main.Singleton

Only to make singleton classes.

The credit for this goes to this stackoverflow post.

main.run\_avian(inter\_name, method, sc, start\_time\_stamp, tester)

## Note:

- Trajectories must end at the stop bar, i.e. the distance to stop bar converges to zero, even if they are temporarily assigned.
- list all the other assumptions here...

#### **Parameters**

- inter\_name (str) intersection name
- method (str) pretimed, GA, ...
- **sc** (*int*) scenario number (*should match the appendix of the input CSV filename*)
- start\_time\_stamp The UTC time stamp to name the CSV files

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**Organization** University of Florida

# **SIMULATION**

class src.time\_keeper.TimeKeeper(sim\_start, resolution=2.0)
 Bases: object

# **Objectives:**

- · Keeps the time
- · Moves the simulation clock forward

For time management we use seconds since the **Epoch**, or:

```
>>> import time
>>> time.time()
```

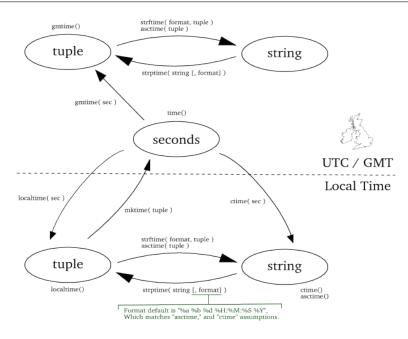


Fig. 1: Time management in python source.

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\_\_init\_\_\_(sim\_start, resolution=2.0)

Clock keeps the simulation starting time in seconds.

#### **Parameters**

- **sim\_start** start time of simulation to be initialized
- resolution Simulation resolution: the time steps to move the simulation forward in seconds

next\_sim\_step()
 Move simulation clock forward
get\_running\_clock()
 Get the current clock

# **THREE**

# **PYTHON DATA**

# data.data.get\_general\_params(inter\_name)

#### Returns

- inter name: intersection name
- max\_speed: maximum speed in m/s
- min\_headway: (s)
- ullet det\_range: detection range in m
- k, m:
- num lanes: total number of incoming lanes
- phase\_cover\_set: a subset of mutually exclusive phases that cover all lanes for use in \_\_set\_\_non\_base\_scheduled\_departures
- small\_positive\_num: small number that lower than that is approximated by zero
- large\_positive\_num: large number
- lag\_on\_green: The lag time from start of green when a vehicle can depart to allow vehicle cross after green (in seconds).
- max\_num\_traj\_points: check if it's enough to preallocate the trajectory
- min\_dist\_to\_stop\_bar: lower than this (in m) do not update schedule
- do\_traj\_computation:
- trj\_time\_resolution: time difference between two consecutive trajectory points in seconds used in <code>discretize\_time\_interval()</code> (be careful not to exceed max size of trajectory)
- log\_csv:
- print commandline:

#### Note:

- The distance to stop bar will be input from either CSV file or fusion. However, the number provided here is used for generic computations.
- odd degree of polynomial is recommended: k to be even and at least 5
- LARGE\_NUM is a large number to initialize badness of alternatives in GA. Make sure cannot be beaten by worst alternative.
- Make sure the MAX\_NUM\_TRAJECTORY\_POINTS to preallocate the trajectories is enough for a
  given problem

**Warning:** All the parameters defined here are required for running the program.

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#### data.data.get\_pretimed\_parameters(inter\_name)

This returns the parameters needed for pre-timed control.

#### Note:

- The sequence field includes the phases and is zero-based.
- You need to compute green splits, yellows, and all-reds based on traffic flow theory.

**Warning:** Must choose NUM\_CYCLES at least 2.

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#### data.data.get\_GA\_parameters(inter\_name)

- max\_phase\_length: do not include more than this in a phase sequence (is exclusive of the last: 1,2, ..., MAX\_PHASE\_LENGTH-1)
- population\_size: this is the maximum size of individuals per iteration of GA
- max\_iteration\_per\_phase:
- crossover\_size: this specifies how many of the individuals from POPULATION\_SIZE to be computed using crossover..
- lambda: The weight factor to convert average travel time to throughput and give the *badness* of an individual.
- badness\_accuracy: 10 raised to the number of digits we want to keep when hashing the *badness* of an individual
- allowable\_phases: subset of all possible phases to be used.

## Returns

#### data.data.get\_conflict\_dict(inter\_name)

Returns a dictionary of sets where the keys are lane numbers and must be one-based. The value for each key is a set of lane numbers that are in conflict with the key lane (again, must be one-based).

An intersection configuration can be specified by its lanes and movements (left, through, right) that are allowed in each lane. The lane-lane incidence matrix of an intersection is a squared matrix that holds 1 (shown by solid circles in the figures), if two lanes are in conflict. The standard types of conflicts that may want to be avoided are cross, merge, and diverge conflicts.

Depending on the design, the definition of conflicts points can be broader or more limited. For instance, if volume of a lane is too low and extensive gaps can be found, some of conflict points can be considered as non-conflicting points. In the following figures, only cross and merge conflict points are indicated.

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data.data.get\_phases(inter\_name)

	1	2	3	4	5	6
1						
2						
3						
4						
5						
6						

Fig. 1: The TERL facility.

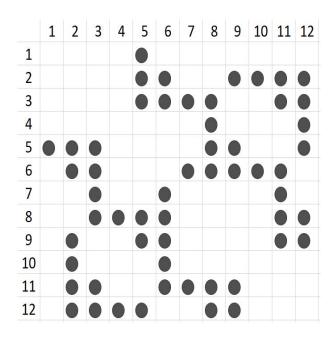


Fig. 2: The reservation-based intersection.

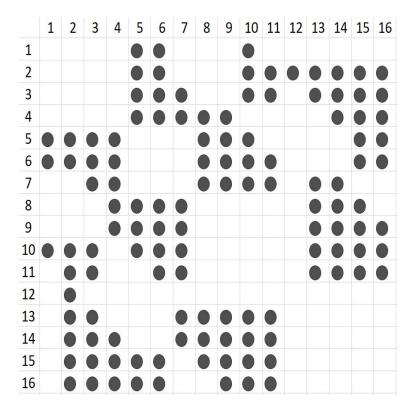


Fig. 3: The intersection of 13th and 16th, Gainesville, FL.

**Returns** A dictionary of sets. The key is the phase number and is one-based. The value to a key is a set of lanes included in that phase (lanes are also one-based).

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# data.data.get\_signal\_params(inter\_name)

Required for GA signal control. ALL yellow, all-red, min green, and max green times are in seconds.

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data.data.get\_sig\_ctrl\_interface\_params(inter\_name)

# Note:

• Account for SNMP lag time. Depending on the processor capability: [0.1s-0.9s]

# Returns

• Proper phases to be called

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# **FOUR**

# INTERSECTION

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· Checks if all lanes are empty

```
__init___(intersection)
```

Data Structure for keeping vehicles in order in the lanes in the form of a dictionary of arrays

· Removes served vehicles, and update first unserved and last vehicle's indices accordingly

Parameters intersection (Intersection) – keeps parameters related to the intersection

```
static refresh_earliest_departure_times (lanes, intersection)
```

"Computes the earliest departure time for all vehicles

```
decrement_first_unsrvd_indx (lane, num_served)
```

When vehicles get served, the first index to the unserved vehicle in a lane should change.

## **Parameters**

- **n** number of served vehicle
- lane the lane in which the vehicles are served

```
increment_first_unsrvd_indx(lane)
```

```
increment_last_veh_indx (lane)
reset_first_unsrvd_indx (num_lanes)
decrement_last_veh_indx (lane, n)
purge_served_vehs (lane, indx)
    Deletes vehicles from 0 to indx where indx is the pointer to the last served
```

Note: deletion also includes vehicle at indx

#### **Parameters**

- lane (int) the lane number
- indx The index in which all vehicles with indices less than or equal to this get removed

all\_served(num\_lanes)

**Returns** True if all lanes are empty, False otherwise

Bases: object

# **Objectives:**

- Defines the vehicle object that keeps all necessary information
- Updates/records the trajectory points once they are expired
- · Keeps trajectory indexes updated
- Prints useful info once a plan is scheduled
- · Decides if a trajectory re-computation is needed
- Quality controls the assigned trajectory

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\_\_init\_\_ (det\_id, det\_type, det\_time, speed, dist, des\_speed, dest, length, amin, amax, indx, intersection)
Initializes the vehicle object.

#### **Attention:**

- If the last trajectory point index is less than the first, no trajectory has been computed yet.
- The last trajectory index is set to -1 and the first to 0 for initialization purposes.
- The shape of trajectory matrix is  $3 \times n$ , where n is the maximum number of trajectory points to be held. The first, second, and third rows correspond to time, distance, and speed profile, respectively.
- The vehicle detection time shall be recorded in init\_time. GA depends on this field to compute travel time when computing *badness* of an alternative.

#### **Parameters**

- **det\_id** (str) the *ID* assigned to vehicle by radio or a generator
- det\_type 0: CNV, 1: CAV

- **det\_time** detection time in s from reference time
- **speed** detection speed in m/s
- $\operatorname{dist}$  detection distance to stop bar in m
- des\_speed desired speed in m/s
- dest destination 0: right turn; 1: through; 2: left
- length length of vehicle in m
- amin desirable deceleration rate in  $m/s^2$
- amax desired acceleration rate in  $m/s^2$
- indx the original row index in the input CSV file
- intersection -
- self.trajectory keeps the trajectory points as columns of a  $3 \times n$  array that n is MAX\_NUM\_TRAJECTORY\_POINTS
- **self.first\_trj\_point\_indx** points to the column of the trajectory array where the current point is stored. This gets updated as the time goes by.
- **self.last\_trj\_point\_indx** similarly, points to the column of the trajectory where the last trajectory point is stored.
- **self.poly** (*dict*) keeps the reference time and the coefficients to reproduce trajectory of an AV
- **self.earliest\_departure** the earliest arrival time at the stop bar
- **self.scheduled\_departure** the scheduled arrival time at the stop bar
- **self.reschedule\_departure** (bool) True if a vehicle is open to receive a new departure time, False if want to keep previous trajectory
- **self.freshly\_scheduled** (bool) True if a vehicle is just scheduled a **different** departure and is ready to be assigned a trajectory
- **self.\_times\_sent\_to\_traj\_planner** number of times this vehicle is sent to trajectory planner

#### Note:

- By definition scheduled\_departure is always greater than or equal to earliest\_arrival.
- Prior to run, make sure the specified size for trajectory array by MAX\_NUM\_TRAJECTORY\_POINTS is enough to store all the trajectory points under the worst case.
- A vehicle may be open to being rescheduled but gets the same departure time; in that case, freshly\_scheduled should hold False.

earliest\_arrival\_connected (veh, max\_speed, min\_headway=0, t\_earliest=0)

Uses the latest departure time under the following cases to compute the earliest time the connected vehicle can re

- · Accelerate/Decelerate to the maximum allowable speed and maintain the speed till departure
- Distance is short, it accelerates/decelerated to the best speed and departs
- Departs at the minimum headway with its lead vehicle (only for followers close enough to their lead)

#### **Parameters**

- veh (Vehicle) -
- max\_speed -
- min\_headway -
- t\_earliest earliest timemap\_veh\_type2str of lead vehicle that is only needed if the vehicle is a follower vehicle

Returns The earliest departure time of the subject connected vehicle

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earliest\_arrival\_conventional (veh, max\_speed, min\_headway=0, t\_earliest=0)

Uses the latest departure time under the following cases to compute the earliest time the conventional vehicle can

- Maintains the detected speed till departure
- Departs at the minimum headway with the vehicle in front

#### **Parameters**

- veh (Vehicle) -
- min\_headway -
- t\_earliest earliest time of lead vehicle that is only needed if the vehicle is a follower vehicle

**Returns** The earliest departure time of the subject conventional vehicle

**Note:** Enter min\_headway and t\_earliest as zeros (default values), if a vehicle is the first in its lane.

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reset\_trj\_points (sc, lane, time\_threshold, file)

Writes the trajectory points in the CSV file if the time stamp is before the time\_threshold and then removes those points by updating the pointer to the first trajectory point.

**Warning:** Before calling this make sure at least the first trajectory point's time stamp is less than provided time threshold or such a call would be meaningless.

# **Parameters**

- sc scenario number being simulated
- lane lane number that is zero-based (it records it one-based)
- **time\_threshold** any trajectory point before this is considered expired (normally its simulation time)
- **file** The CSV file to be written. It is initialized in *Traffic.\_\_init\_\_()* method, if None, this does not record points in CSV.

**set\_scheduled\_departure** (*t\_scheduled*, *d\_scheduled*, *s\_scheduled*, *lane*, *veh\_indx*, *intersection*)

It only schedules if the new departure time is different and vehicle is far enough for trajectory assignment

#### Note:

- When a new vehicle is scheduled, it has two trajectory points: one for the current state and the
  other for the final state.
- If the vehicle is closer than MIN\_DIST\_TO\_STOP\_BAR, avoids appending the schedule.
- Set the freshly\_scheduled to True only if vehicle is getting a new schedule and trajectory planning might become relevant.
- Moves back the first trajectory point to make best use of limited size to store trajectory points

#### **Parameters**

- t\_scheduled scheduled departure time (s)
- **d\_scheduled** scheduled departure distance (m)
- $s_scheduled$  scheduled departure speed (m/s)
- lane the lane this vehicle is in (for printing purpose only)
- **veh\_indx** The index of this vehicle in its lane (*for printing purpose only*)
- print\_signal\_detail True if we want to print schedule

```
set_poly (beta, t_ref)
```

Sets the coefficients that define the polynomial that defines trajectory of a connected vehicle

```
set_first_trj_point_indx(indx)
```

Sets the fist column index that points to the trajectory start

```
set_last_trj_point_indx(indx)
```

Sets the last column index that points to the trajectory start

```
static map_veh_type2str(code)
```

For the purpose of printing, this method translates the vehicle codes. Currently, it supports:

- 0 : Conventional Vehicle (CNV)
- 1 : Connected and Automated Vehicle (CAV)

**Parameters** code (int) – numeric code for the vehicle type

```
increment_times_sent_to_traj_planner()
```

Increments the count on how many times sent to trajectory planner

```
get_arrival_schedule()
```

**Returns** The triple (t, d, s) corresponding to the arrival of subject vehicle

```
get_departure_schedule()
```

**Returns** The triple (t,d,s) corresponding to the departure of subject vehicle

```
print_trj_points (lane, veh_indx, identifier)
```

Print the first and last trajectory point information. This may be used either when a plan is scheduled or a trajectory is computed.

#### **Parameters**

• lane – zero-based lane number

- **veh\_indx** index to find the vehicle in its lane array
- identifier use \* for optimized trajectory, and @ for scheduled departure

class src.intersection.Traffic(intersection, sc, start\_time\_stamp)
 Bases: object

# **Objectives:**

- Adds new vehicles from the CSV file to the lanes.vehlist structure
- Appends travel time, ID, and elapsed time columns; saves CSV
- Manages scenario indexing, resetting, and more
- Computes volumes in lanes
- · removes/records served vehicles

#### Note:

- The CSV should be located under the /data/ directory with the valid name consistent to what was inputted as an argument and what exists in the data.py file.
- The scenario number should be appended to the name of intersection followed by an underscore.

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**\_\_\_init\_\_** (intersection, sc, start\_time\_stamp)

#### **Objectives:**

- Sets the logging behaviour for outputting requested CSV files and auxiliary output vectors
- Imports the CSV file that includes the traffic and sorts it
- · Initializes the first scenario number to run

# set\_row\_vehicle\_level\_csv (departure\_time, veh)

Sets the departure time of an individual vehicle that is just served.

# **Parameters**

- **departure\_time** departure time in seconds
- veh (Vehicle) vehicle to be recorder

# set\_elapsed\_sim\_time (elapsed\_t)

Sets the elapsed time for one simulation of scenario.

Parameters elapsed\_t - elapsed time in seconds

```
save_veh_level_csv (inter_name, start_time_stamp)
```

Set the recorded values and save the CSV at vehicle level.

```
close_trj_csv()
```

Closes trajectory CSV file.

```
last_veh_arrived()
```

**Returns** True if all vehicles from the input CSV have been added at some point, False otherwise.

**Note:** The fact that all vehicles are *added* does not equal to all *served*. Thus, we check if any vehicle is in any of the incoming lanes before halting the program.

```
get_first_detection_time()
```

**Returns** The time when the first vehicle in current scenario shows up.

update\_vehicles\_info (lanes, simulation\_time, intersection)

## **Objectives**

- Appends arrived vehicles from the CSV file to Lanes
- · Assigns their earliest arrival time

#### **Parameters**

- lanes (Lanes) vehicles are added to this data structure
- simulation\_time current simulation clock in seconds measured from zero
- intersection (Intersection) intersection

#### static get\_volumes (lanes, intersection)

Unit of volume in each lane is veh/sec/lane. Uses the fundamental traffic flow equation  $F = D \times S$ .

#### **Parameters**

- lanes (Lanes) includes all vehicles
- intersection -

Return volumes array of volume level per lanes

serve\_update\_at\_stop\_bar (lanes, simulation\_time, intersection)

This looks for/removes the served vehicles.

#### **Parameters**

- lanes (Lanes) includes all vehicles
- **simulation\_time** current simulation clock
- intersection (Intersection) -

```
class src.intersection.TrajectoryPlanner(intersection)
```

Bases: object

Plans trajectories of all type. This makes calls to trajectory classes' methods.

```
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```

```
___init___(intersection)
```

Instantiates the trajectory classes

 $\verb"plan_trajectory" (lanes, veh, lane, veh\_indx, intersection, tester, identifier)$ 

### **Parameters**

- tester -
- lanes (Lanes) -
- veh (Vehicle) -
- lane -
- veh\_indx -
- intersection -
- identifier Shows type of assigned trajectory

# **SIGNAL PHASE AND TIMING (SPAT)**

class src.signal.Signal(intersection, sc, start\_time\_stamp)
 Bases: object

#### The class serves the following goals:

- · Keeps the SPaT decision updated
- Makes SPaT decisions through variety of control methods. For now it supports:
  - Pre-timed control
  - Genetic Algorithm

Set the class variable LAG to the time (in seconds) that from start of green is not valid to schedule any departure.

# Note:

• The signal status is saved under \log\<intersection name>\ directory.

# Use Case:

### Instantiate like:

```
>>> signal = GA_SPaT/Pretimed(.)
```

# Perform SPaT computation by:

```
>>> signal.solve(.)
```

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**\_\_init**\_\_(intersection, sc, start\_time\_stamp)

## **Elements:**

- Sequence keeps the sequence of phases to be executed from  $\boldsymbol{0}$
- green\_dur keeps the amount of green allocated to each phase
- yellow and all-red is a fix amount at the end of all phases (look at class variables)

**Note:** SPaT starts executing from index 0 to the end of each list.

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#### \_set\_lane\_lane\_incidence(num\_lanes)

This converts a dictionary of the form: key is a lane and value is *set* of lanes that are in conflict with key (note numbering starts from 1 not 0) to lane\_lane\_incidence which includes the conflict matrix  $|L| \times |L|$  where element ij is 1 if i and j are conflicting movements

#### Parameters num\_lanes -

#### \_set\_phase\_lane\_incidence()

Sets the phase-phase incidence matrix of the intersection

# \_append\_extend\_phase (phase, actual\_green, intersection)

Appends a phase to the SPaT (append/extend a phase and its green to the end of signal array)

#### **Parameters**

- phase phase to be added
- actual\_green green duration of that phase

update\_SPaT (intersection, time\_threshold, sc)

#### Performs two tasks to update SPaT based on the given clock:

- Removes terminated phase (happens when the all-red is passed)
- · Checks for SPaT to not get empty after being updated

#### **Attention:**

• If all phases are getting purged, either make longer SPaT decisions or reduce the simulation steps.

#### **Parameters**

- ullet time\_threshold Normally the current clock of simulation or real-time in s
- sc scenario number to be recorded in CSV

#### close\_sig\_csv()

Closes the signal csv file

# \_flush\_upcoming\_SPaTs(intersection)

Just leaves the first SPaT and flushes the rest. One more severe variant to this is to even reduce the the green time of first phase.

# \_do\_base\_SPaT (lanes, intersection, trajectory\_planner, tester)

This method aims to serve as many vehicles as possible given the available SPaT. Depending on the signal method, the set of current SPaT could be different. For example:

- If called by *Pretimed()* solver, the current SPaT may include multiple phases as a pretimed SPaT never gets flushed.
- If called by GA\_SPaT() solver, since the SPaT gets flushed before calling. The goal is to serve as many vehicles with only the single current phase in SPaT.
- It plans trajectories if necessary.

# The condition to be served is to meet the following criteria:

- Respect the minimum headway to the lead vehicle (if present)
- Respect the initiation of green plus a lag time specified by LAG as a class variable
- Respect the earliest available time at the stop bar controlled by the speed limit acc/dec rates
- Vehicle is allowed to acquire a new trajectory (veh.reschedule\_departure holds True)

The method does not compute or return the badness metric since the it does not aim to change current phase and timing.

It may only gets called once per each Signal solve call prior to computation of the new SPaTs.

The schedule keeps the earliest departures at the stop bars of each lane and gets updated when a signal decision goes permanent. It is made by a dictionary of arrays (key is lane, value is sorted earliest departures).

lanes.first\_unsrvd\_indx and setting the schedule of any possible served vehicles make the main result of this method. The lanes.first\_unsrvd\_indx will be used after this to avoid reserving and double-counting those already served with base SPaT. This also returns any\_unserved\_vehicle array that has True if any lane has vehicles that could not be unserved with base SPaT.

#### Note:

- Since base SPaT never gets changed (for safety and practical reasons), any vehicle served by it has to get reschedule\_departure value set to False.
- It is feasible that if fusion algorithm updates the info on this vehicle and wants an update on trajectory, it rolls back the reschedule\_departure to be True. However, this should be decided outside this method.
- The reason that this does not return schedule of departures is because they are already set inside this method. Late, the set method skips these.
- If a vehicle gets a schedule and has more than one trajectory point, the last index should reset to the first index so when the trajectory is set there would be two points.
- all-red from the end and LAG time from the beginning of a phase are note utilizes by any vehicle.
- The veh.reschedule\_departure is set to False for vehicles that get schedules here, however if decided a vehicle needs to be rescheduled, make it True wherever that decision is being made.

#### **Parameters**

- lanes (Lanes) -
- intersection (Intersection) -
- trajectory\_planner(src.intersection.TrajectoryPlanner)-

**Returns** The lanes.first\_unsrvd\_indx array that keeps index off the first unserved vehicle in each lane, is initialized to zero before calling this method and gets updated by the end of this call. It also returns served\_vehicle\_time that shows the schedule

```
_do_non_base_SPaT (lanes, num_lanes, first_unsrvd_indx, served_vehicle_time, any_unserved_vehicle, intersection)
```

Most of times the base SPaT prior to running a solve () method does not serve all vehicles. However, vehicles require trajectory to be provided. One way to address this is to assign them the best temporal trajectory which only has some of general qualities necessary for continuation of program. In this method we do the followings to compute the departure times of such trajectories:

- Without use of phases, schedule vehicles one after the other at minimum headway restricted by the saturation headway. This gives an overestimate of teh departure time since one vehicle gets served by intersection at a time, while having allowing to depart in phases let multiple simultaneous departures.
- This may be called after a signal solve() method decided to complete those that did not get served.
- Also this assumes min headway after green starts instead of LAG time which is a simplification.

• If a vehicle gets a schedule and has more than one trajectory point, the last index should reset to the first index so when the trajectory is set there would be two points.

#### Warning:

- Since the departure times are definitely temporal, DO NOT set reschedule\_departure to False.
- The lanes.first\_unsrvd\_indx cannot be used since it does not keep GA newly served vehicles. However, it would work for pretimed since the method is static.

#### **Parameters**

- intersection (Intersection) -
- lanes (Lanes) -
- num lanes -
- **first\_unsrvd\_indx** keeps the index of first unserved vehicle in lanes.
- served\_vehicle\_time includes schedule of departures for those served by base SPaT
- **any\_unserved\_vehicle** *Has 'False'* for the lane that has all vehicles scheduled through base SPaT and the solve(), True otherwise.

**Returns** served\_vehicle\_time that now includes the schedules of all vehicle except those served through base SPaT

\_set\_non\_base\_scheduled\_departures (lanes, scheduled\_departure, trajectory\_planner, intersection, tester)

Sets the scheduled departure in the trajectory of the vehicle and plans trajectory of vehicle.

#### Note:

- Departure schedule of those which were served by base SPaT is set in base\_badness() and not here.
- A cover phase set for all lanes is needed here.

#### **Parameters**

- tester -
- lanes (Lanes) -
- scheduled\_departure -
- trajectory\_planner(TrajectoryPlanner)-

class src.signal.Pretimed (first\_detection\_time, intersection, sc, start\_time\_stamp)
 Bases: src.signal.Signal

**Note:** Assumptions: - The sequence and duration are pre-determined - Cycle length is pre-computed using the time budget concept in traffic flow theory

• min and max of 60 and 120 seconds bound the cycle length

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Date April-2018

\_\_init\_\_ (first\_detection\_time, intersection, sc, start\_time\_stamp)
Initializes the pretimed SPaT

solve(lanes, intersection, critical\_volume\_ratio, trajectory\_planner, tester)

# The phases sequence is exactly as the provided in data.py. The flow is:

- 1. First serves using the available SPaT
- 2. This simply adds a cycle to SPaT if a cycle is terminated
- 3. Serves unserved vehicles, if any present
- 4. Next it provides the departure schedule

**Note:** The scheduled\_departures is made only to call complete\_unserved\_vehicles(). It only stores departures for those vehicles nit served bt base SPaT.

#### **Parameters**

- lanes (Lanes) -
- intersection (Intersection) -

#### append extend phase (phase, actual green, intersection)

Appends a phase to the SPaT (append/extend a phase and its green to the end of signal array)

#### **Parameters**

- phase phase to be added
- actual\_green green duration of that phase

# \_do\_base\_SPaT (lanes, intersection, trajectory\_planner, tester)

This method aims to serve as many vehicles as possible given the available SPaT. Depending on the signal method, the set of current SPaT could be different. For example:

- If called by <code>Pretimed()</code> solver, the current SPaT may include multiple phases as a pretimed SPaT never gets flushed.
- If called by GA\_SPaT() solver, since the SPaT gets flushed before calling. The goal is to serve as many vehicles with only the single current phase in SPaT.
- It plans trajectories if necessary.

## The condition to be served is to meet the following criteria:

- Respect the minimum headway to the lead vehicle (if present)
- Respect the initiation of green plus a lag time specified by LAG as a class variable
- Respect the earliest available time at the stop bar controlled by the speed limit acc/dec rates
- Vehicle is allowed to acquire a new trajectory (veh.reschedule\_departure holds True)

The method does not compute or return the badness metric since the it does not aim to change current phase and timing.

It may only gets called once per each Signal solve call prior to computation of the new SPaTs.

The schedule keeps the earliest departures at the stop bars of each lane and gets updated when a signal decision goes permanent. It is made by a dictionary of arrays (key is lane, value is sorted earliest departures).

lanes.first\_unsrvd\_indx and setting the schedule of any possible served vehicles make the main result of this method. The lanes.first\_unsrvd\_indx will be used after this

to avoid reserving and double-counting those already served with base SPaT. This also returns any\_unserved\_vehicle array that has True if any lane has vehicles that could not be unserved with base SPaT.

#### Note:

- Since base SPaT never gets changed (for safety and practical reasons), any vehicle served by it has to get reschedule departure value set to False.
- It is feasible that if fusion algorithm updates the info on this vehicle and wants an update on trajectory, it rolls back the reschedule\_departure to be True. However, this should be decided outside this method.
- The reason that this does not return schedule of departures is because they are already set inside this method. Late, the set method skips these.
- If a vehicle gets a schedule and has more than one trajectory point, the last index should reset to the first index so when the trajectory is set there would be two points.
- all-red from the end and LAG time from the beginning of a phase are note utilizes by any vehicle.
- The veh.reschedule\_departure is set to False for vehicles that get schedules here, however if decided a vehicle needs to be rescheduled, make it True wherever that decision is being made.

#### **Parameters**

- lanes (Lanes) -
- intersection (Intersection) -
- trajectory\_planner(src.intersection.TrajectoryPlanner) -

**Returns** The lanes.first\_unsrvd\_indx array that keeps index off the first unserved vehicle in each lane, is initialized to zero before calling this method and gets updated by the end of this call. It also returns served\_vehicle\_time that shows the schedule

\_do\_non\_base\_SPaT (lanes, num\_lanes, first\_unsrvd\_indx, served\_vehicle\_time, any unserved vehicle, intersection)

Most of times the base SPaT prior to running a solve () method does not serve all vehicles. However, vehicles require trajectory to be provided. One way to address this is to assign them the best temporal trajectory which only has some of general qualities necessary for continuation of program. In this method we do the followings to compute the departure times of such trajectories:

- Without use of phases, schedule vehicles one after the other at minimum headway restricted by the saturation headway. This gives an overestimate of teh departure time since one vehicle gets served by intersection at a time, while having allowing to depart in phases let multiple simultaneous departures.
- This may be called after a signal solve() method decided to complete those that did not get served.
- Also this assumes min headway after green starts instead of LAG time which is a simplification.
- If a vehicle gets a schedule and has more than one trajectory point, the last index should reset to the first index so when the trajectory is set there would be two points.

# Warning:

• Since the departure times are definitely temporal, DO NOT set reschedule\_departure to False.

• The lanes.first\_unsrvd\_indx cannot be used since it does not keep GA newly served vehicles. However, it would work for pretimed since the method is static.

#### **Parameters**

- intersection (Intersection) -
- lanes (Lanes) -
- num lanes -
- **first\_unsrvd\_indx** keeps the index of first unserved vehicle in lanes.
- served\_vehicle\_time includes schedule of departures for those served by base SPaT
- any\_unserved\_vehicle Has 'False' for the lane that has all vehicles scheduled through base SPaT and the solve(), True otherwise.

**Returns** served\_vehicle\_time that now includes the schedules of all vehicle except those served through base SPaT

# \_flush\_upcoming\_SPaTs (intersection)

Just leaves the first SPaT and flushes the rest. One more severe variant to this is to even reduce the the green time of first phase.

```
set lane lane incidence(num lanes)
```

This converts a dictionary of the form: key is a lane and value is *set* of lanes that are in conflict with key (note numbering starts from 1 not 0) to lane\_lane\_incidence which includes the conflict matrix  $|L| \times |L|$  where element ij is 1 if i and j are conflicting movements

Parameters num lanes -

\_set\_non\_base\_scheduled\_departures (lanes, scheduled\_departure, trajectory\_planner, intersection, tester)

Sets the scheduled departure in the trajectory of the vehicle and plans trajectory of vehicle.

#### Note:

- Departure schedule of those which were served by base SPaT is set in base\_badness() and not here.
- A cover phase set for all lanes is needed here.

# **Parameters**

- tester -
- lanes (Lanes) -
- scheduled\_departure -
- trajectory\_planner (TrajectoryPlanner) -

# \_set\_phase\_lane\_incidence()

Sets the phase-phase incidence matrix of the intersection

#### close\_sig\_csv()

Closes the signal csv file

update\_SPaT (intersection, time\_threshold, sc)

# Performs two tasks to update SPaT based on the given clock:

• Removes terminated phase (happens when the all-red is passed)

· Checks for SPaT to not get empty after being updated

#### **Attention:**

• If all phases are getting purged, either make longer SPaT decisions or reduce the simulation steps.

#### **Parameters**

- ullet time\_threshold Normally the current clock of simulation or real-time in s
- sc scenario number to be recorded in CSV

```
class src.signal.GA_SPaT (first_detection_time, intersection, sc, start_time_stamp)
    Bases: src.signal.Signal
```

Under this class, the *SPaT* is decided optimally by a *GA*.

## Warning:

- allowable\_phases **must** cover all lanes or some would not get green at all.
- allowable\_phases must be zero-based unlike what is provided in data.py

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**\_\_\_init\_\_** (first\_detection\_time, intersection, sc, start\_time\_stamp)

#### **Parameters**

- inter\_name -
- first\_detection\_time -
- intersection (Intersection) -
- sc -
- start\_time\_stamp -

solve (lanes, intersection, critical\_volume\_ratio, trajectory\_planner, tester)

This method implements Genetic Algorithm to determine *SPaT*. The high-level work flow is as the following:

- 1. From the available *SPaT*, only keep the ongoing one due to safety and practical reasons (*Here we do not change the timing of the first phase, however a variant is to reduce the timing to the minimum green time*).
- 2. Serve as many as possible with the remaining phase.
- 3. If any unserved vehicle is present, do GA.

#### **Attention:**

- We define *badness* (the opposite of fitness) as the measure that less of it is preferred for choosing a SPaT.
- GA has access to only the given subset of phases provided by allowable\_phases from the full set in data.py file.

- If an alternative beats the best known SPaT, it takes the \_\_best\_SPaT spot inside the evaluate badness() call.
- GA tries cycles with 1 up to the defined number of phases and for each it computes the cycle length using the time budget concept in traffic flow theory.
- GA keeps the alternative in a sorted dictionary that the key is badness and the value keeps the corresponding SPaT decision. This helps when we want to replace worse individuals with new ones from crossover.
- The phase sequence are randomly drawn from the set of phases without replacement.
- The timings are random but respects the minimum and maximum green. They also sum to the cycle length.
- Note since the dictionary hashes individuals based on their badness, it may overwrite one individual with anther. Hence the population may fall less than what defined initially.
- The crossover step is in-place, meaning it replaces the individuals with higher badness with crossovered ones. This way elite selection step is implemented at the same time crossover executes.
- Eventually, the best SPaT may not serve all vehicles. In that case, \_schedule\_unserved\_vehicles() method gets called to provide temporary schedule for the unserved vehicles.

#### **Parameters**

- lanes (Lanes) -
- intersection (Intersection) -
- critical\_volume\_ratio -
- trajectory\_planner(TrajectoryPlanner) -

\_evaluate\_badness (phase\_seq, time\_split, lanes, intersection, tester)

This method computes the badness (opposite if fitness) of an alternative using the equation  $\lambda \times t - c$ , where:

- c is the count of served vehicles in veh
- $\lambda$  is weight factor in veh/s
- t is the average travel time in s, under the given SPaT.

# **Attention:**

- A rough approximate for  $\lambda$  is the inverse of the detection range.
- Here we do not account for the vehicles served with base SPaT as they are already served.
- We create a copy of first\_unsrvd\_indx since there is no guarantee this *SPaT* is the best by the end of *GA*.
- The vehicle to be served by this method should have had veh. reschedule\_departure set to True.
- An individual which has throughput of zero is not qualified for comparison to best known *SPaT*.
- Please note base on the provided definition badness can acquire negative values.
- Recursively computes the average travel time

#### **Parameters**

- tester -
- phase\_seq-
- time\_split -
- lanes (Lanes) holds the traffic intended to be served
- intersection (Intersection) -

**Returns** The corresponding *badness* for given SPaT defined by phase\_seq and time\_split to be added to the population. It also sets, if qualified, this individual as the best known so far.

# \_get\_optimal\_cycle\_length (critical\_volume\_ratio, phase\_length)

Uses the time budget concept from traffic flow theory to compute the cycle length  $C = \frac{n \times ar}{1 - V_{obs}}$ .

#### See also:

Refer to HCM 2010 for more details.

#### **Parameters**

- critical\_volume\_ratio -
- phase\_length -

#### Returns

```
_mutate_seq(phase_length)
```

Generates a randomized sequence from the provided subset of allowable phases.

Parameters phase\_length -

Returns seq

# \_mutate\_timing(cycle\_length, phase\_length)

Creates the random phase split. A valid timing should respect the min/max green requirement unless it conflicts with the cycle length requirement which in that case we should adjust the maximum green to avoid the slack in time.

**Note:** A phase timing should be between  $g_{min} + y + ar$  and  $g_{max} + y + ar$ 

#### **Parameters**

- cycle\_length -
- phase length -

Returns time\_split

# \_append\_extend\_phase (phase, actual\_green, intersection)

Appends a phase to the *SPaT* (append/extend a phase and its green to the end of signal array)

#### **Parameters**

- phase phase to be added
- actual\_green green duration of that phase

\_cross\_over (left\_parent, right\_parent, phase\_length, half\_max\_indx)

Performs the crossover operation in GA.

#### **Parameters**

• left\_parent -

- right\_parent -
- phase\_length -
- half\_max\_indx -

**Returns** child with valid SPaT inherited from provided parents.

#### \_do\_base\_SPaT (lanes, intersection, trajectory\_planner, tester)

This method aims to serve as many vehicles as possible given the available SPaT. Depending on the signal method, the set of current SPaT could be different. For example:

- If called by *Pretimed()* solver, the current SPaT may include multiple phases as a pretimed SPaT never gets flushed.
- If called by GA\_SPaT() solver, since the SPaT gets flushed before calling. The goal is to serve as many vehicles with only the single current phase in SPaT.
- It plans trajectories if necessary.

## The condition to be served is to meet the following criteria:

- Respect the minimum headway to the lead vehicle (if present)
- Respect the initiation of green plus a lag time specified by LAG as a class variable
- Respect the earliest available time at the stop bar controlled by the speed limit acc/dec rates
- Vehicle is allowed to acquire a new trajectory (veh.reschedule\_departure holds True)

The method does not compute or return the badness metric since the it does not aim to change current phase and timing.

It may only gets called once per each Signal solve call prior to computation of the new SPaTs.

The schedule keeps the earliest departures at the stop bars of each lane and gets updated when a signal decision goes permanent. It is made by a dictionary of arrays (key is lane, value is sorted earliest departures).

lanes.first\_unsrvd\_indx and setting the schedule of any possible served vehicles make the main result of this method. The lanes.first\_unsrvd\_indx will be used after this to avoid reserving and double-counting those already served with base SPaT. This also returns any\_unserved\_vehicle array that has True if any lane has vehicles that could not be unserved with base SPaT.

#### Note:

- Since base SPaT never gets changed (for safety and practical reasons), any vehicle served by it has to get reschedule\_departure value set to False.
- It is feasible that if fusion algorithm updates the info on this vehicle and wants an update on trajectory, it rolls back the reschedule\_departure to be True. However, this should be decided outside this method.
- The reason that this does not return schedule of departures is because they are already set inside this method. Late, the set method skips these.
- If a vehicle gets a schedule and has more than one trajectory point, the last index should reset to the first index so when the trajectory is set there would be two points.
- all-red from the end and LAG time from the beginning of a phase are note utilizes by any vehicle.
- The veh.reschedule\_departure is set to False for vehicles that get schedules here, however if decided a vehicle needs to be rescheduled, make it True wherever that decision is being made.

#### **Parameters**

- lanes (Lanes) -
- intersection (Intersection) -
- trajectory\_planner(src.intersection.TrajectoryPlanner) -

Returns The lanes.first\_unsrvd\_indx array that keeps index off the first unserved vehicle in each lane, is initialized to zero before calling this method and gets updated by the end of this call. It also returns served\_vehicle\_time that shows the schedule

\_do\_non\_base\_SPaT (lanes, num\_lanes, first\_unsrvd\_indx, served\_vehicle\_time, any\_unserved\_vehicle, intersection)

Most of times the base SPaT prior to running a solve () method does not serve all vehicles. However, vehicles require trajectory to be provided. One way to address this is to assign them the best temporal trajectory which only has some of general qualities necessary for continuation of program. In this method we do the followings to compute the departure times of such trajectories:

- Without use of phases, schedule vehicles one after the other at minimum headway restricted by the saturation headway. This gives an overestimate of teh departure time since one vehicle gets served by intersection at a time, while having allowing to depart in phases let multiple simultaneous departures.
- This may be called after a signal solve() method decided to complete those that did not get served.
- Also this assumes min headway after green starts instead of LAG time which is a simplification.
- If a vehicle gets a schedule and has more than one trajectory point, the last index should reset to the first index so when the trajectory is set there would be two points.

## Warning:

- Since the departure times are definitely temporal, DO NOT set reschedule\_departure to False.
- The lanes.first\_unsrvd\_indx cannot be used since it does not keep GA newly served vehicles. However, it would work for pretimed since the method is static.

## **Parameters**

- intersection (Intersection) -
- lanes (Lanes) -
- num lanes -
- **first\_unsrvd\_indx** keeps the index of first unserved vehicle in lanes.
- served\_vehicle\_time includes schedule of departures for those served by base SPaT
- **any\_unserved\_vehicle** *Has 'False'* for the lane that has all vehicles scheduled through base SPaT and the solve(), True otherwise.

**Returns** served\_vehicle\_time that now includes the schedules of all vehicle except those served through base SPaT

#### \_flush\_upcoming\_SPaTs (intersection)

Just leaves the first SPaT and flushes the rest. One more severe variant to this is to even reduce the the green time of first phase.

#### \_set\_lane\_lane\_incidence(num\_lanes)

This converts a dictionary of the form: key is a lane and value is set of lanes that are in conflict with

key (note numbering starts from 1 not 0) to lane\_lane\_incidence which includes the conflict matrix  $|L| \times |L|$  where element ij is 1 if i and j are conflicting movements

#### Parameters num\_lanes -

\_set\_non\_base\_scheduled\_departures (lanes, scheduled\_departure, trajectory\_planner, intersection, tester)

Sets the scheduled departure in the trajectory of the vehicle and plans trajectory of vehicle.

#### Note:

- Departure schedule of those which were served by base SPaT is set in base\_badness() and not here.
- A cover phase set for all lanes is needed here.

#### **Parameters**

- tester -
- lanes (Lanes) -
- scheduled\_departure -
- trajectory\_planner(TrajectoryPlanner)-

# \_set\_phase\_lane\_incidence()

Sets the phase-phase incidence matrix of the intersection

# close\_sig\_csv()

Closes the signal csv file

update\_SPaT (intersection, time\_threshold, sc)

#### Performs two tasks to update SPaT based on the given clock:

- Removes terminated phase (happens when the all-red is passed)
- · Checks for SPaT to not get empty after being updated

# **Attention:**

• If all phases are getting purged, either make longer SPaT decisions or reduce the simulation steps.

# **Parameters**

- $\operatorname{time\_threshold}$  Normally the current clock of simulation or real-time in s
- sc scenario number to be recorded in CSV

# **TRAJECTORY**

class src.trajectory.Trajectory(intersection)

Is the abstract class for computing the trajectory points. Four subclasses inherited from this parent class:

- LeadConventional
- FollowerConnected
- LeadConnected
- FollowerConventional

Any solve method under each class shall invoke set\_trajectory method at the end or does the assignment in-place.

**Note:** If want to limit the trajectory planning, there are two options: - If a particular vehicle is intended to be skipped, simply set <code>vehicle.reschedule\_departure</code> to <code>False</code> - If the whole simulation is intended to be run without trajectory planer, set <code>vehicle.reschedule\_departure</code> in <code>main.py</code> to False.

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discretize\_time\_interval(start\_time, end\_time)

Discretize the given time interval to an array of time stamps

Warning: It is inclusion-wise of the beginning and end of the interval.

# static set\_trajectory(veh, t, d, s)

Sets trajectory of the vehicle and updates the first and last trajectory point index.

**Note:** An assigned trajectory always is indexed from zero as the veh.  $set\_first\_trj\_point\_indx.$ 

# **Parameters**

- veh (Vehicle) the vehicle object that is owns the trajectory
- t time stamps (seconds from the reference time)
- **d** distances at each time stamp (in meters from the stop bar)
- $\mathbf{s}$  speed at each time stamp (in m/s)

## class src.trajectory.LeadConventional(intersection)

Computes the trajectory for a lead conventional vehicle assuming the vehicle tends to maintain its arrival speed.

Use Case:

Instantiate like:

```
>>> lead_conventional_trj_estimator = LeadConventional(.)
```

Perform trajectory computation by:

```
>>> lead_conventional_trj_estimator.solve(veh)
```

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solve (veh)

Constructs the trajectory of a lead conventional vehicle assuming the driver maintains its speed

Parameters veh (Vehicle) - the lead conventional vehicle

```
class src.trajectory.FollowerConventional(intersection)
```

Estimates the trajectory for a follower conventional vehicle assuming a car following model. In the current implementation, Gipps car-following model<sup>1</sup> is used.

Use Case:

Instantiate like:

```
>>> follower_conventional_trj_estimator = FollowerConventional(.)
```

Perform trajectory computation by:

```
>>> follower_conventional_trj_estimator.solve(veh, .)
```

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## **Parameters**

- lead\_d lead vehicle distance to stop bar
- lead\_s lead vehicle speed
- lead\_a -
- lead\_l length of lead vehicle
- foll\_d follower vehicle distance to stop bar
- foll\_s follower vehicle speed
- foll\_s\_des -
- ${\tt cc0}$  Standstill Distance in m
- cc1 Spacing Time in s

<sup>&</sup>lt;sup>1</sup> Gipps, Peter G. A behavioural car-following model for computer simulation. Transportation Research Part B: Methodological 15.2 (1981): 105-111 (link).

- cc2 Following Variation (max drift) in m
- cc3 Threshold for Entering 'Following' in s
- cc4 Negative *Following* Threshold in m/s
- cc5 Positive *Following* Threshold in m/s
- ${\tt cc6}$  Speed Dependency of Oscillation in  $10^-4rad/s$
- cc7 Oscillation Acceleration in  $m/s^2$
- cc8 Standstill Acceleration in  $m/s^2$
- cc9 Acceleration at  $80 \ km/h$  in  $m/s^2$

#### Returns follower next acceleration rate

Gipps car following model is implemented. It is written in-place (does not call set\_trajectory)

$$\begin{split} v_{n_{l}}(t+\Delta t) &= \min \left\{ v_{n_{l}}(t) + 2.5a_{n_{l}}^{acc} \times \Delta t \times (1 - \frac{v_{n_{l}}(t)}{V_{n_{l}}^{des}}) \times \sqrt{0.025 + \frac{v_{n_{l}}(t)}{V_{n_{l}}^{des}}}, \\ a_{n_{l}}^{dec} \times \Delta t + \sqrt{a_{n_{l}}^{dec} \times \left(2 \times \left(d_{(n-1)_{l}}(t) - d_{n_{l}}(t) + L_{n_{l}}\right) + \Delta t \times \left(a_{n_{l}}^{dec} \times \Delta t + v_{n_{l}}(t)\right) + \frac{v_{(n-1)_{l}}(t)^{2}}{a_{n_{l}}^{dec}}}\right) \right\} \end{split}$$

Fig. 1: Gipps car following formula.

**Returns** follower next acceleration rate

solve (veh, lead\_veh)

#### Note:

- The only trajectory point index that changes is follower's last one.
- This method relies on the fact that lead vehicle's first trajectory point is current.
- Assumed the gap to lead vehicle cannot get lower than half length of the lead vehicle.
- Compared to W99 requires acc/dec on follower, dec on lead, dt, and does not need lead acc.

## **Parameters**

- **veh** (Vehicle) The follower conventional vehicle
- lead\_veh (Vehicle) The vehicle in front of subject conventional vehicle

static comp\_speed\_distance(t0, d0, v0, a, t, foll\_a\_min, foll\_a\_max)

If car-following models yielded unreasonable acceleration, fixes it.

## Note:

- Speed should be positive
- · Acceleration/deceleration constraints should be met.

# **Parameters**

• t0 -

- d0 -
- v0 -
- a -
- t -

Returns distance to stop bar and speed

class src.trajectory.LeadConnected(intersection)

#### Note:

- Trajectory function:  $f(t) = \sum_{n=0}^{k-1} b_n \times (t/t_0)^n$
- Negative of speed profile:  $f'(t) = \sum_{n=1}^{k-1} n \times b_n \times (t/t_0)^{n-1}$
- Negative of acceleration profile:  $f''(t) = \sum_{n=2}^{k-1} n \times (n-1) \times b_n \times (t/t_0)^{n-2}$

#### **Parameters**

- **NUM\_DIGS** The accuracy to keep decimals
- **SPEED\_DECREMENT\_SIZE** The final speed decrements from maximum to 0 by step-size defined by maximum speed divided by this

Use Case:

Instantiate like:

```
>>> lead_connected_trj_optimizer = LeadConnected(.)
```

Perform trajectory computation by:

```
>>> lead_conventional_trj_estimator.solve(veh)
```

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```
set_model(veh)
```

Overrides the generic coefficients to build the specific *LP* model for the AV trajectory.

This model solves an *LP* model to compute trajectory of AVs.

Parameters veh (Vehicle) - vehicle object that its trajectory is meant to be computed

**Returns** CPLEX LP model. Should return the model since the follower optimizer adds constraints to this model

solve (veh, lead\_veh, model)

Solves an *LP* model for connected vehicle (both lead and follower)

# **Parameters**

- veh (Vehicle) subject vehicle
- lead\_veh (Vehicle) lead vehicle which could be *None* if no vehicle is in front.
- model (CPLEX) -

**Returns** coefficients of the polynomial for the veh object and trajectory points to the trajectory attribute of it

```
1 ENCODING=ISO-8859-1
        2 \Problem name: CAV trajectory optimization
                obj: 44.05 b_0 + 22.025 b_1 + 14.6833333333333 b_2 + 11.0125 b_3 + 8.81 b_4 
+ 7.341666666666667 b_5 + 6.29285714285714 b_6 + 5.50625 b_7 
+ 4.8944444444444444 b_8 + 4.405 b_9 + 4.00454545454545 b_10 
+ 3.67083333333333 b_11 + 3.38846153846154 b_12 + 3.14642857142857 b_13
                                          2.93666666666666 b_14
b_0 = 499.871984004097
                det_dist:
det_speed:
dep_dist:
                                                                b_0 = 499.8198400497

b_1 = -238.274160236752

b_0 + b_1 + b_2 + b_3 + b_4 + b_5 + b_6 + b_7 + b_8 + b_9 + b_10

+ b_11 + b_12 + b_13 + b_14 = 0

b_1 + 2 b_2 + 3 b_3 + 4 b_4 + 5 b_5 + 6 b_6 + 7 b_7 + 8 b_8

+ 9 b_9 + 10 b_10 + 11 b_11 + 12 b_12 + 13 b_13 + 14 b_14

= -787.68448
                 dep_speed:
                 ub_speed_0: 0.0227014755959137 b_1 + 0.00216204529484893 b 2
                                                                        .022/014/5595913/ b_1 + 0.002102/04529484893 b_2 

0.000154431806774923 b_3 + 0.00000980519408094751 b_4 

5.83642504818304e-7 b_5 + 3.33510002753317e-8 b_6 

1.85283334862954e-9 b_7 + 1.00834467952628e-10 b_8 

5.40184649746221e-12 b_9 + 2.85811983992709e-13 b_10 

1.49711039234276e-14 b_11 + 7.77719684333903e-16 b_12 

4.0120459906114e-17 b_13 + 2.05745948236482e-18 b_14 

= -17.8816
                                                                + 4.0120459906114e-17 b_13 + 2.05745948236482e-18 b_14
=-17.8816

0.0227014755959137 b_1 + 0.00432409058969785 b_2
+ 0.00061772727099063 b_3 + 0.0000784415526475801 b_4
+ 0.00000933828007799287 b_5 + 0.00000106723200881061 b_6
+ 1.1858133431229e-7 b_7 + 1.29068118979364e-8 b_8
+ 1.38287270335032e-9 b_9 + 1.46335735804267e-10 b_10
+ 1.53304104175899e-11 b_11 + 1.59276991351583e-12 b_12
+ 1.64333403775443e-13 b_13 + 1.68547080795326e-14 b_14
=-17.8816
                 ub_speed_1:
                                                               \begin{array}{l} \text{>=-17.8816} \\ \text{0.027014755959137} \ b\_1 \ + \ 0.00648613588454678} \ b\_2 \\ \text{+ 0.00138988626997431} \ b\_3 \ + \ 0.000264740240185583} \ b\_4 \\ \text{+ 0.0000472759428902827} \ b\_5 \ + \ 0.00000810429306699656} \ b\_6 \\ \text{+ 0.00000135071551115093} \ b\_7 \ + \ 2.20524981412397e-7 \ b\_8 \\ \text{+ 3.54415148698495e-8} \ b\_9 \ + \ 5.6256372809285e-9 \ b\_10 \\ \text{+ 8.84628715573478e-10} \ b\_11 \ + \ 1.37770708920698e-10 \ b\_12 \\ \text{+ 2.13216573329652e-11} \ b\_13 \ + \ 3.28025497430233e-12 \ b\_14 \\ \text{= -17.8816} \end{array}
                                                                            -17.8816
                 ub_speed_2:
                                                               + 2.13216573329652e-11 b_13 + 3.28025497430233e-12 b_14 >= -17.8816  
0.0227014755959137 b_1 + 0.00864818117939571 b_2 + 0.00247090890839877 b_3 + 0.000627532421180641 b_4 + 0.0001499412481233486 b_5 + 0.0000341514242819396 b_6 + 0.00000758920539598658 b_7 + 0.00000155207192293585 b_8 + 3.54015412057683e-7 b_9 + 7.49238967317848e-8 b_10 + 1.56983402676121e-8 b_11 + 3.26199278288043e-9 b_12 + 6.73109621864215e-10 b_13 + 1.38073768587531e-10 b_14 >= -17.8816
                 ub speed 3:
                                                             ub_speed_4:
                 ub_speed_5:
                 ub_speed_6:
                 uh sneed 7:
```

Fig. 2: Part of a sample CPLEX model.

```
compute_trj_points (f, f_prime, departure_time_relative)
```

Converts the polynomial trajectory to the trajectory points :param f: :param f\_prime: :param departure\_time\_relative: span of the trajectory :return: t, d, s

```
optimize_lead_connected_trj(veh)
```

Computes a linear trajectory for the vehicle. This case should not happen except for the case the LP has no solution.

Parameters veh (Vehicle) - subject vehicle

Returns trajectory of subject lead CAV

#### class src.trajectory.FollowerConnected(intersection)

Optimizes the trajectory of a follower CAV.

Use Case:

Instantiate like:

```
>>> follower_connected_trj_optimizer = FollowerConnected(.)
```

Perform trajectory computation by:

```
>>> model = follower_connected_trj_optimizer.set_model(.)
>>> follower_connected_trj_optimizer.solve(veh, .)
```

#### **Parameters**

- GAP\_CTRL\_STARTS This is the relative time when gap control constraints get added
- **SAFE\_MIN\_GAP** The minimum safe distance to keep from lead vehicles (in m) [can be speed dependent]

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```
set_model (veh, lead_veh)
```

Sets the LP model using the extra constraints to enforce the safe headway. Either three cases happen here:

- The lead is a *CNV* and its trajectory overlaps and it has enough trajectory points
  - Enforce the constraint on last m trajectory points of the lead vehicle
- The lead is a CAV and its trajectory overlaps
  - Evaluate the polynomial over m points as defined in the paper
- Otherwise
  - Relax the constraints  $(0.0 \ge -1.0)$  is always true

#### **Parameters**

- veh (Vehicle) follower connected vehicle that the trajectory model is constructed for
- lead\_veh (Vehicle) the vehicle in front

**Returns** the CPLEX LP model to be solved by solve() method

```
solve (veh, lead_veh, model)
```

The only reason this class method exist is to access  $optimize\_follower\_connected\_trj$  method.

## **Parameters**

- **veh** (Vehicle) subject vehicle
- lead\_veh (Vehicle) the vehicle in front of the subject
- model the follower's CPLEX model

# $\verb"optimize_follower_connected_trj" (\textit{veh}, \textit{lead\_veh})$

Works based on the concept of hypothetical trajectory.

#### **Parameters**

- **veh** (Vehicle) subject vehicle
- lead\_veh (Vehicle) lead vehicle which could be *None* if no vehicle is in front.

Returns trajectory of the subject follower AV in case the LP has no solution.

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# **SEVEN**

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