

WEEK 3

L1 | L1 | L1 | L1

Quicksort

- Definitely a "greatest hit" algorithm
- Prevalent in practice
- Beautiful Analysis
- $O(n \log n)$ time "on average", works in place (not much extra memory needed)

Back at the Sorting Problem.

Input : 3|8|2|5|1|4|7|6|

Output : 1|2|3|4|5|6|7|8|

Assume all array elements are distinct
(extend to handle duplicates as exercise)

Key idea: partition around a pivot element.

- pick first element of arry(0)(first)

3|8|2|5|1|4|7|6|

← rearrange array s.t. all elements left of the pivot are ^{smaller} than the pivot,
all elements to the right are ^{greater} than the pivot

1|2|3|6|7|4|5|8|

Then Note: pivot is in the right position now

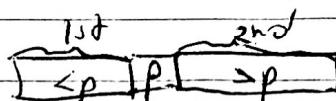
- This all happens in $O(n)$ linear time, and requires no extra memory
- It reduces the problem size

Quicksort High Level Description

D&C

Quicksort (Array A of length n)

- if $n=1$ return
- $p = \text{Choose Pivot}(A, n)$ (implemented later)
- Partition A around p
- recursively sort 1st and 2nd parts



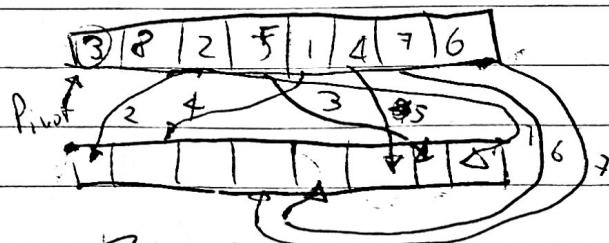
L2 | L2 | L2 | L2

Partitioning around a pivot in detail

Two cool facts about partition:

- ① linear time, no extra memory
- ② Reduces problem size

Easy way out (not in place), using $O(n)$ extra memory



Scan LTR, check if $n < \text{Pivot}$? fill from left \Rightarrow , else fill from right

We can do it without additional memory!

Assume: pivot = 1st element of array

[if not, swap pivot with 1st element or preprocessing step]

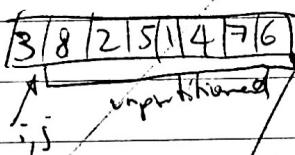
High-Level Idea:



shift we've
looked at shift we haven't
 looked at

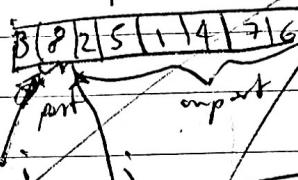
- single scan through array
- invariant: everything looked at so far is partitioned

Partition Example



unpartitioned

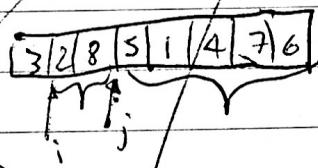
i
j



part unpart

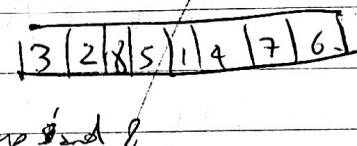
i
j

$\Rightarrow j \pm 1$

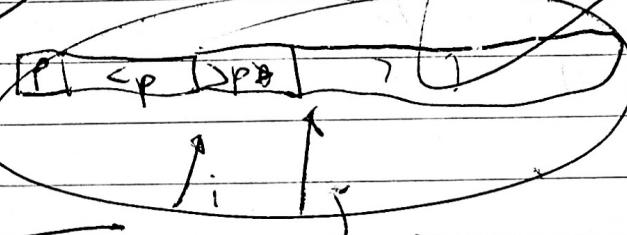


swap 8, 2
i
j

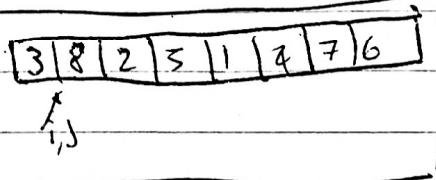
$j \pm 1$



swapped 8
i
j

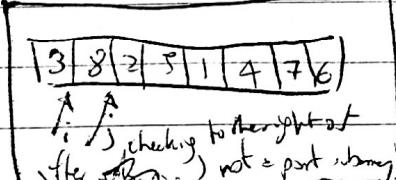


This stands



i
j

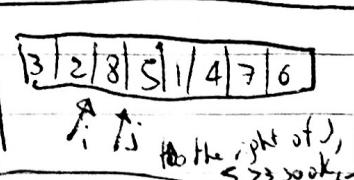
advance j



i
j
checking to the right of
the current not = part, why?
advancing i and j

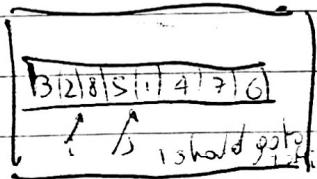
swap 2, 8

advancing i and j

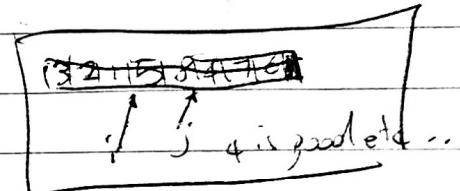


i
j
to the right of j,
5 >> swap

advance j

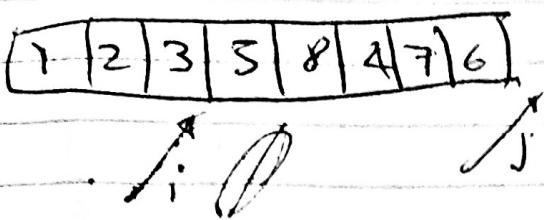


i
j
i should go to



i
j
4 is greatest ...

say pivot to the right of ;



Pseudocode for this

partition (A, l, r) input = $A[l \dots r]$

- $p := A[l]$

- $i = l + 1$

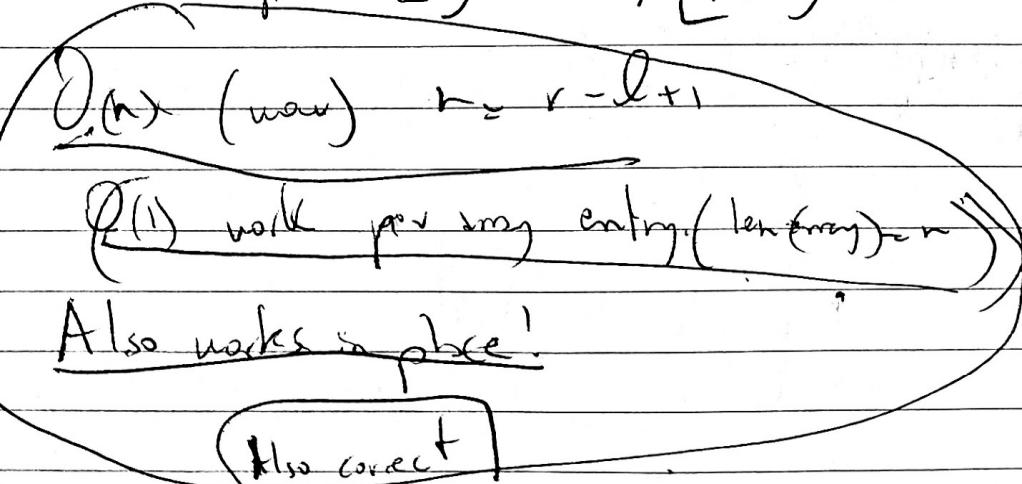
{ - for $j = l + 1$ to r :

{ - if $A[j] < p$:

{ - swap $A[i], A[j]$

- $i = i + 1$

- Swap $A[l]$ and $A[i - 1]$



L4

L4

L4

L4

Choosing a good Pivot.
Any element

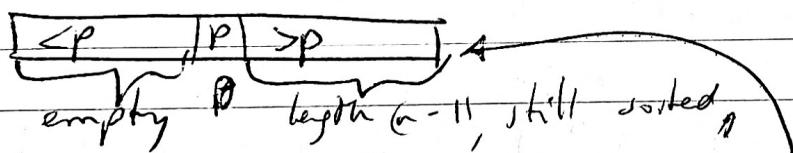
(choose Pivot (t, n))

The importance of the Pivot.

Q: running time of Quicksort? Can't discuss now, depends
crucially on quality of the pivot chosen

For a Choosepivot = 1st elem, $O(n^2)$ for already sorted array

Why?



: Quicksort calls itself again on array of len $(n-1)$, then $(n-2)$
etc $n \underbrace{(n-1)}_z = O(n^2)$

If ChoosePivot found Median element at each subarray,
 $O(n \log(n))$ (like merge sort, split in two etc)

BIG IDEA: Random Pivots!

Randomised algorithm will run differently even with the same input because randomness is integral to it

Why could it possibly work?

- ① Hopefully a random pivot is "pretty good" often enough
- ② Intuition: approximately balanced split will give good results too. If always get 25-75% still $\mathcal{O}(n \log n)$

Intuitively

$$a = 1$$

$$b = 1$$

$$d = 0.2$$

$$a = 2$$

$$b = \left(\frac{1}{0.25} + \frac{1}{0.75} \right) / 2 = 1.2$$

$$0.75^3 \approx 0.25, \text{ after 3 levels, } 5+2, b \approx 1.5$$

$$b \approx 1.4, \text{ for } \mathcal{O}(\log n)$$

/ \

/ \

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? does it actually work?

LS | CS | CS | CS | CS | CS | LS

Proof that Θ of quicksort is $\Theta(n \log n)$

Fix input Array of length n

* Sample space $\Omega =$ all possible outcomes of random choices in Quicksort

Key notion: for $\sigma \in \Omega$, $C(\sigma) = \# \text{ of comparisons made by quicksort between two input elements}$

Lemma: running time of Quicksort or TimSort

Note: Can't apply master method (random, unbalanced subproblems)

BUILDING BLOCKS

Notation: $z_i = i\text{th smallest element of } t$

z_i is not the element in the $i\text{th position of the array}$ (usually)

For $\sigma \in \mathcal{P}$, indices $i < j$, let $x_{ij}(\sigma) = \# \text{ of times}$

z_i, z_j get compared with pivot σ

can be 0 or 1,
if ~~not~~ pivot then 1,
it not, then 0.

$$C(\sigma) = \sum_{i=1}^{n-1} \sum_{j=i+1}^n x_{ij}(\sigma), \text{ by linearity of expectation}$$

$$\underset{\text{Complicated!}}{\textcircled{E[C]}} = \sum_{i=1}^{n-1} \sum_{j=2+i}^n \underset{\text{Simple!}}{\textcircled{E[X_{ij}]}}$$

~~$$\mathbb{E}[\bar{X}_{ij}] = 0 \cdot \text{Not pivot} + 1 \cdot P[X_{ij}=1] = P[\bar{X}_{ij}=1]$$~~

General Decomposition Principle (might say some help!)

1 Identify RVar X

2 Express X in terms of indicator RVs

3 Apply linearity of expectation

3e



Quicksort theorem: $O(n \log n)$ for every input.

Key claim: $\forall i < j, \Pr(z_i, z_j \text{ get compared}) = x_{ij} = \frac{1}{j-i}$

Proof of Key claim:

Fix $z_i, z_j, i < j$

Consider $z_i, z_{i+1}, z_{i+2}, \dots, z_{j-1}, z_j$

Inductively: \Rightarrow large, none of these mid!

If pivot smaller than all of these will end up on the right
" " bigger " "

∴ do no comparisons

Comparison happens when pivot is picked within these

These $(j-i+1)$ elements

Consider pivot is z_i or z_j , they will get compared

If not, no comparison EVER.

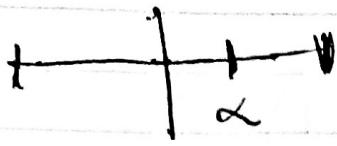
$\frac{2}{j-i+1}$ chances of this happening.

$$\text{So } E(C) = \sum_{i=1}^{n-1} \sum_{j=i+1}^n \left(\frac{2}{j-i+1} \right) =$$

$$= \left(2 \sum_{i=1}^{n-1} \right) \cdot \left(\sum_{j=i+1}^n \frac{1}{j-i+1} \right) = \frac{1}{2} + \frac{1}{3} + \frac{1}{4} + \dots$$

$$= S_0 \cdot E(C) \leq 2 \cdot n \text{ (choices for i)} \cdot \sum_{K=2}^n \frac{1}{K} = \frac{1}{2} + \frac{1}{3} + \dots = \log n, \text{ smaller than integral}$$

Scribbles from Problems VIIK3



$$\begin{array}{c} \alpha \\ \hline 0,5 \end{array}$$

$$\frac{\alpha}{0,5} = \frac{1}{2}$$

$$2\alpha = 1 \Rightarrow \alpha$$

$$1 - 2\alpha$$

$n(1 - \alpha)$ will take longer for $\alpha < 0,5$

$$n(2 - \alpha)^d = 1$$

~~$\log n$~~

$$\log(2 - \alpha) + d \log(1 - \alpha) = 0$$

$$d = \frac{\log n}{\log(1 - \alpha)} = -\log(1 - \alpha)(n)$$

$$\alpha = 0,5$$

$$x_{ij} = \{ \}$$

$$1 = E[\bar{x}] = \sum_{i=1}^n \sum_{j=i+1}^n x_{ij} \Pr(i \text{ and } j \text{ have same birthday})$$

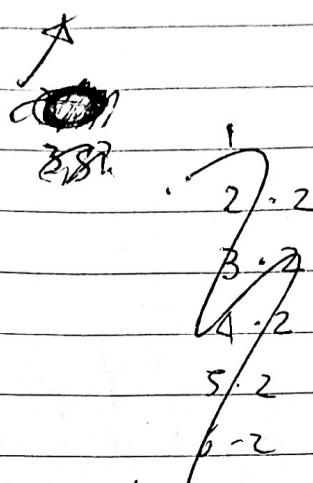
$$= \left(\frac{1}{365} \sum_{i=1}^{n-1} \right) \sum_{j=i+1}^n 1, \quad \text{as } n = i + i + 1 + \dots + i + k$$

$$\frac{2 + \sqrt{2900}}{2} \approx 125.28$$

product of x_1 and x_2
product of x_1 and x_3

not independent (consider $\frac{x_1=1}{x_1=0} \cdot x_1 = 1$)

$$E[YZ] = E[Y] \cdot E[Z]$$



Fixing X_1 , yes, but no!

Diagram illustrating a permutation of the set $\{1, 2, 3, 4, 5, 6\}$. The original set is shown in a row with vertical bars separating the elements. A new arrangement is shown in a row below, with arrows indicating the mapping from the original index i to the new index j .

3	8	2	5	1	4	7	6
i	j	i	j	i	j	i	j

Diagram illustrating another permutation of the set $\{1, 2, 3, 4, 5, 6\}$. The original set is shown in a row with vertical bars separating the elements. A new arrangement is shown in a row below, with arrows indicating the mapping from the original index i to the new index j .

3	2	8	5	1	4	7	6
i	j	i	j	i	j	i	j

WEEK 3 PROBABILITY REVIEW

) Sample Spaces

Sample space Ω is a collection of all the things that could happen all possible outcomes
~~will be dealing with this a finite set in algos~~

Also each outcome $i \in \Omega$ has a probability $p(i) \geq 0$

Constraint $\sum_{i \in \Omega} p(i) = 1$

Example: 2 dice rolls $\Omega \{ (1,1), (1,2), \dots, (6,6) \}$

) EVENTS

An event is a subset $S \subseteq \Omega$

Example: sum of rolls is 11, $S \subseteq \Omega$, $S = \{(5,6), (6,5)\}$

) RANDOM VARIABLES

A random variable X is a real-valued function $X: \Omega \rightarrow \mathbb{R}$

Example: sum of the two dice

) EXPECTATION

Average value, weighted by probability

$$E[X] \text{ of } X = \sum_{i \in \Omega} X(i) \cdot p(i)$$

6

5)

LINERARITY OF EXPECTATION

Let x_1, \dots, x_n be R.V.s defined on \mathbb{R} .

$$E\left[\sum_{i=1}^n x_i\right] = \sum_{i=1}^n E[x_i]$$

Sum of two slice ✓

Product ✗

Prob
Sample

LOADING PROBLEM

Sample space $\Omega = \{\text{all } n^n \text{ possible assignments of processes to servers, each equally likely}\}$

Let $Y = \text{# of processes assigned to the first server}$

Goal: compute $E(Y)$

Let $X_j = \begin{cases} 1 & \text{if } j^{\text{th}} \text{ process assigned to first server.} \\ 0 & \text{otherwise} \end{cases}$

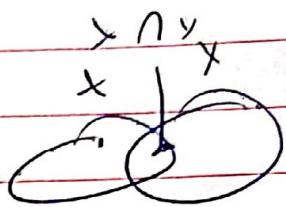
$E(Y) = \sum_{j=1}^n X_j$, and linearly, we don't have to find X_j , $\frac{1}{n}$

$$\therefore \frac{1}{n} \left(\frac{(n+1)n}{2} \right) = \frac{(n+1)}{2} \sum_{j=1}^n \frac{1}{n} = \boxed{1}$$

WEEK 3 PROBABILITY REVIEW 2

1) CONDITIONAL PROBABILITY

Let $X, Y \subseteq \mathbb{R}$ be events

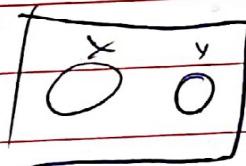


$$\Pr[X|Y] = \frac{\Pr[X \cap Y]}{\Pr[Y]}$$

Given
If Y happened, what's the probability of X ?

2) INDEPENDENCE OF EVENTS

X, Y are independent if and only if $\Pr[X \cap Y] = \frac{\Pr[X]}{\Pr[Y]}$



3) INDEPENDENCE OF RAND VARS

Definition: for A, B R.V.s, independent if $\Pr[\bar{A} = a] \cdot \Pr[\bar{B} = b]$

are independent for all a, b

Not between sum n product of dice, product has prob of being all of if sum = 12

$$E[A \cdot B] = E[\bar{A}] \cdot E[\bar{B}]$$