

# WEEK 2 L1

- ① Divide into smaller sub-problems
- ② Conquer via recursive calls
- ③ Combine solutions of subproblems into one for the original problem

## EXAMPLE 1

Input: A, say A containing numbers 1, 2, 3, ..., in some arbitrary order

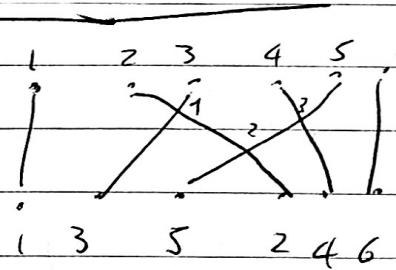
Output: number of inversions = number of pairs  $(i, j)$  such that  $i < j$  and  $A_i > A_j$

$((\dots), i, j, A_i > A_j)$



## EXAMPLE 2

Example 2 ~~→ 3 5 2 4 6~~  $\rightarrow (1, 3, 5, 2, 4, 6)$

Inversions	
$(3, 2)$	
$(5, 4)$	
$(5, 2)$	

Motivation - numerical  
similarity measure between  
two lists e.g.

Online shopping, people that bought  
your shirt also bought:

Largest number of inversions in a size  $n$  set is:

$$\binom{n}{2} = \frac{n(n-1)}{2}$$

Approaches:

Brute force double loop,  $O(n^2)$

Can we do better? Yes, with divide and conquer.

(21) inversion  $(i, j)$ :

- x(1) - left inversion if  $i, j \leq n/2$   $\rightarrow$  can compute recursively
- x(2) - right if  $i, j > n/2$   $\rightarrow$
- z(3) - split if  $i \leq n/2, j > n/2$  How? Clever.

For  $[1, 3, 5, 2, 4, 6]$  (1) and (2) both return 0!

So all of our answers are split and come from (3)

if  $i \geq j$  then,  $O(n \log(n))$

L2

To do that, we'll piggyback on Merge sort.

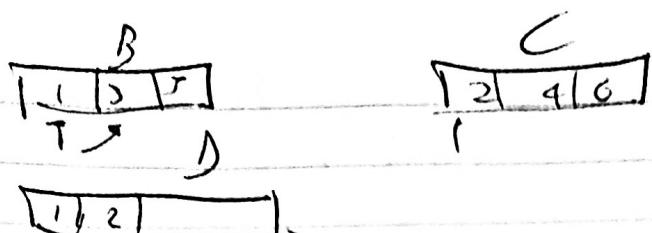
for every recursive call, we don't only count the inversions but also order the array.

We can do that because it doesn't change the number of splits.

~~2 merges in time  $n$ , they take  $\frac{n}{2}$  moves by the right~~



~~every time you compare i and j, if i < j you move i to the right. if i > j you can't move i and move j to the left~~



when carrying 2 from the right array, you are exposing 2 different splits!  $(3, 2)$  and  $(5, 2)$ .

Later when 8 gets copied it only exposes 1.

### General claim:

The split measures involving an element  $y$  of the 2nd (right) array  $C$  are precisely the numbers left in the first array  $B$  when  $y$  is copied to the output  $D$ .

(cited)

This is because they are ordered.

Proof: let  $x \in B$ ,

① if  $x$  is copied to  $D$  before  $y$ ,  $x < y$ , no measures

② if  $y$  is copied to  $D$  before  $x$ , then  $y < x$ , and all measures since  $x \leq x_1 \leq x_2 \dots \leq x_n$  additional n splits in  $D$  are exposed

Run time is  $O(n) + O(n) = O(n)$

L3

We'll be talking about  $n \times n$  matrices, but applies to non-square ones as well

$$\begin{bmatrix} x \end{bmatrix} \cdot \begin{bmatrix} y \end{bmatrix} = \begin{bmatrix} z \end{bmatrix}$$

$$z_j = (\text{ith row}) \cdot (\text{jth column}) = \sum_{k=1}^n x_{ik} \cdot y_{kj}$$

12

Let us assume that the best algorithm for this will run in  $\Omega(n^2)$ .

BDP

②  $\Theta(n^3)$ ,

$n$  elements by  $n$  elements to compare,  
each requiring  $n$  operations.

DIV CONQ:

$$x = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \begin{pmatrix} E & F \\ G & H \end{pmatrix}$$

$$x \cdot y = \begin{pmatrix} AE + BG & AF + BH \\ CE + DG & CF + DH \end{pmatrix}$$

① 3 products

② 2 additions ( $\Omega(n^2)$  time)

Fact: Run time is still  $\Theta(n^3)$

DIFFERENT APPROACH? ~~NEEDS~~

③ Step 1: 7 products is ~~the best~~ with Strassen

Step 2: find 8th  
plus  $\Omega(n^2)$  time

more adds and subs but

It's like the ~~a big time!~~

The seven products are:

$$P_1 = A(F - H)$$

$$P_2 = (A + B)H$$

$$P_3 = (C + D)E$$

$$P_4 = D(G - E)$$

$$P_5 = (A + D)(E + H)$$

$$P_6 = (B - D)(G - H)$$

$$P_7 = (A - C)(E + F)$$

$$X \cdot Y = \begin{pmatrix} AE + BG & AF + BH \\ CE + DG & CF + DH \end{pmatrix} = \begin{pmatrix} P_5 + P_4 - P_2 + P_6 & P_1 + P_2 \\ P_3 + P_4 & P_1 + P_5 - P_3 - P_7 \end{pmatrix}$$

$$= \begin{pmatrix} P_5 + P_4 - P_2 + P_6 & P_1 + P_2 \\ P_3 + P_4 & P_1 + P_5 - P_3 - P_7 \end{pmatrix}$$

$$AE + AF + DE + DH + DG - FE - AH - BH + BG + DG - BG - DH$$

$$= AE + BG$$

$$\cancel{AF} \cancel{AH} + \cancel{AE} + \cancel{BG} + \cancel{DH} - \cancel{FE} - \cancel{DE} - \cancel{AF} + \cancel{AF} + \cancel{CE} + \cancel{CF}$$

$$\cancel{AF} \cancel{AH} + \cancel{AE} + \cancel{BG} + \cancel{DH} - \cancel{FE} - \cancel{DE} - \cancel{AF} + \cancel{AF} + \cancel{CE} + \cancel{CF}$$

L6

L6

L6

The master method is a general tool to determine the running time of D&C Algorithms.

A Recursive Algorithm

Express  $T(n)$  in terms of running time of recursive calls.

Base case:  $T(1) \leq \text{constant}$

$$\text{For } \forall n > 1 : T(n) \leq 4 \underbrace{T\left(\frac{n}{2}\right)}_{\text{Work done by rec. calls}} + O(n)$$

Work done by rec. calls

A Better Recursive Algorithm

Algorithm #2 (Gauss): recursively compute  $a, b, f(a), f(b)$  from

Base case:  $T(1) \leq K$

$$\text{For } \forall n > 1, T(n) \leq 3 T\left(\frac{n}{2}\right) + O(n)$$

L7

General Master Method

Assumption: all of subproblems have same size

Recursive formula:

① Base case:  $T(n) \leq \text{constant}$  for all sufficiently small  $n$

② for all larger  $n$ :

$$T(n) \leq a T\left(\frac{n}{b}\right) + O(n^d)$$

(a) number of subproblems at recursive calls

(b) factor by which problem shrinks

(d) exponents in the running time, outside of recursive calls

combine step (and split it)

of the original problem if it takes time )

Independent of  $n$

$T(n)$  is upper bounded by 1 of 3 cases.

$$T(n) = \begin{cases} \text{1st} & O(n^d \log(n)) \quad \text{if } \alpha = b^d \\ \text{2nd} & O(n^d) \quad \text{if } \alpha < b^d \\ \text{3rd case} & O(n^{d+\frac{b-1}{2}}) \quad \text{if } \alpha > b^d \end{cases}$$

L7

(L7)

Example 1: Merge sort

$$\alpha = 2 \quad (\text{2 calls})$$

$$b = 2 \quad (\text{size of subpart} = \text{size of part}/2)$$

$$d \geq 1 \quad (\text{linear time})$$

$$\underbrace{2^d}_{\text{size}} = 2 \rightarrow O(n^d \log(n)) = O(n \log(n))$$

2) For binary search of sorted array:

$$a, b = 1, 2, \dots \leftarrow \text{one comparison, not dependent on } n$$

$\frac{1}{2} \text{ size}$  half the size

$$b^d = 2^0 = 1 = d$$

$$O(n^d \log(n)) \underset{d=0}{=} O(\log(n))$$

3) Multiplication of integers:

Without Gauss's trick:  $\rightarrow$  With:

$$a = 4$$

$$b = 2$$

$$c = 1$$

$$b^d < a, T(n) = O(n^{(b^d)(c)}) = O(n^{b^d c}) = O(n^2)$$

$$a = 3$$

$$b = 2$$

$$c = 1$$

$$O(n^{(\log_b(a))}) = O(n^{\log_2 3}) = O(n^{1.58})$$

5  
4

## Strassen's Matrix Multiplication

$$a = 7$$

$$b = 2$$

$$d = 2$$

$b^d < 2^d$  since  $d < 7$   
(use 3)

$$T(n) = O(n \log_2 7) = O(n^{2.81}) \text{ instead of } O(n^3)$$

### 6) Fictitious Recurrence

$$T(n) \geq 2 T\left(\frac{n}{2}\right) + O(n^2)$$

$$a = 2$$

$$b = 2$$

$$d = 2$$

$$b^d = 4 > 2 = a$$

(use 2)

$$T(n) = O(n^0) = O(n^2)$$

Proof of the master method

(LSP)

# Proof of the master method

L8

$$T(n) \leq c T\left(\frac{n}{b}\right) + O(n^d)$$

Preamble:

Assume recurrence is

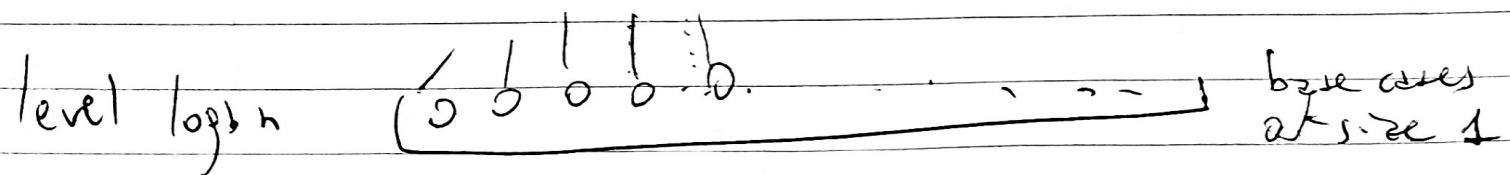
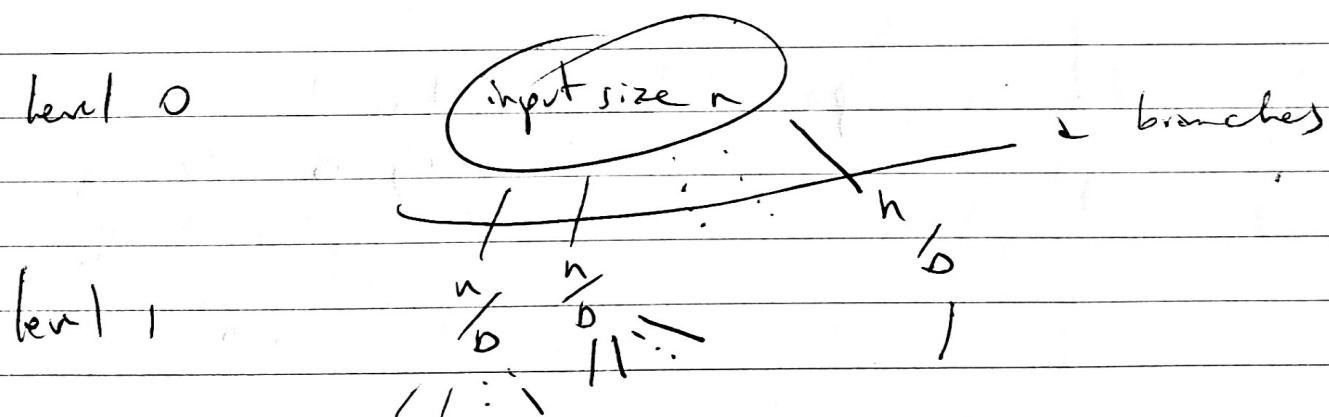
$\therefore T(1) = c$

$$T(n) \leq c T\left(\frac{n}{b}\right) + c n^d \quad (\text{for some constant } c \text{ which we previously ignore})$$

and  $n$  is a power of  $b$  (general case more tedious)

Ideas: generalize analysis used with merge sort (~~recursion tree~~)

The Rec Tree:



Total work at level  $j$  (ignoring work in recursive calls)  
 is  ~~$c n^d$~~   $\leq c j$ :  $\left(\frac{n}{b^j}\right)$  work per level  $j$  subproblem  
 # of level  $j$  subproblems  $\left(\frac{n}{b^j}\right)$  size of each level  $j$  subproblem

$$= c n^d \cdot \left(\frac{2}{b^d}\right)$$

Sum over all levels:

$$\text{total work} \leq cn^d \cdot \sum_{j=0}^{\log b^n} \left( \frac{a}{b^d} \right)^j$$

TBC

Upper bound on work at level  $j$ :

$$cn^d \cdot \left( \frac{a}{b^d} \right)^j$$

Interpretation



Type of war between forces of good ( $b, d$ ) and devil ( $a$ )

$a$  = rate at which subproblems proliferate as you go deeper in the recursive tree (RSP)

$b^d$  = rate of work shrinkage (and time for problem of size  $n$ , size shrinks by  $b$  work grows and work  $\propto \left(\frac{1}{b}\right)^d$ )  
work shrinkage is  $\propto b^{-d}$

Intuition for 3 Cases

same work at root & ...

①  $RSP = RWS$  same amount of work at each level  $O(n^d \log n)$

②  $RSP < RWS$  less work each level (most work at root)

$O(n^d)$  because  $n^d$  grows faster over later not slower in subproblems

③  $RSP > RWS$ , the amount of work increases at each subproblem, leaves of tree dominate.

one might expect  $O^{(\# \text{ leaves})}$ , but ~~but not ...~~ ~~not ...~~ (not to be done) (22)

Completion of the proof of the master method. L10

$$\text{Total work} \leq Cn^d \cdot \sum_{j=0}^{\log_b(n)} \left(\frac{c}{b^d}\right)^j \quad (*)$$

1) If  $c = b^d$ , then  $\frac{c}{b^d} = 1$ ,  $\downarrow = \log_b(n)$ ,  $(*) = (n^d \log_b(n)) = \Theta(n \log n)$

Remember:  $1+r+r^2+\dots+r^k = \frac{r^{k+1}-1}{r-1}$

For sums, if  $r < 1$  is constant,  $\sum r^k \leq \frac{1}{1-r} = \text{constant}$ .  
if  $r > 1$  is constant,  $\sum r^k \leq r^k \cdot (1 + \frac{1}{r-1})$  (Independent of K, levels)

2)  $c < b^d$ , so  $r < 1$ ,  $(*) \leq Cn^d \cdot \sum_{k=1}^{\log_b(n)} (r)^k \stackrel{\text{whichever}}{\leq} Cn^d \cdot \frac{1}{r-1} \leq Cn^d \cdot \text{constant}$   
 $= O(n^d)$

3)  $(*) \leq Cn^d \cdot \sum_{j=0}^{\log_b(n)} (r)^j \stackrel{r > 1}{\leq} Cn^d \cdot \left(\frac{c}{b^d}\right)^{\log_b(n)} \cdot \text{constant} \Rightarrow =$   
 $C_2 = C \cdot \text{constant}$   
 $\Rightarrow \leq C_2 n^d \cdot (2)^{\log_b(n)} \cdot b^{-d \log_b n} = C_2 n^d \cdot (2)^{\log_b(n)} \cdot n^{-d}$   
 $= O(2^{\log_b(n)}) = O(n^{\log_b(2)})$

$\Delta \log_b(n) = b^{\log_b(2) \log_b(n)} = n^{\log_b(2)}$

Problem scribbles for WEEK 2.

$\Delta = 7$

$b = 3$

$d = 2$

$b^d = 3^2 > 7 = \Delta$ , case 2,  $O(n^2)$

22

$$z = 3$$

$$b = 3$$

$$d = 2$$

$$z = b^d = 3 \rightarrow \text{case 1} \quad O(n^{d \log(n)}) = O(n^2 \log(n))$$

$$z = 5$$

$$b = 3$$

$$d = 1$$

$$z < b^d, \text{ case 2, } O(n^d)$$

$$z = z > b^d = 3, \text{ case 3, } O(n^{\log_b(z)}) = O(n^{\log_3 5})$$

Case 2,

$$z = 1$$

$$b = 2$$

$$c = 0$$

$$n^d \log(n) = \log(n)$$

~~for~~

$$T(n) < T(2n)$$

~~for  $n \geq 2^m$  and  $m \geq \log n$~~

$$T(2^m) < T(2^{m+1})$$

$$T(2^m) = T(2^{\log n})$$

$$z = 1$$

$$b = 2$$

$$d = 0$$

$$T(2^{\frac{b \log n}{2}}) < T(2^{\frac{(b+d)x}{2}})$$