

Scheduling





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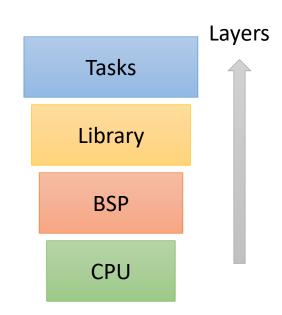
Introduction





Scheduling without a RTOS

- Simple solution with standalone application
- Use of datas from polling or interrupt solutions
- Libraries of deterministic functions
- Scheduling of tasks by hand



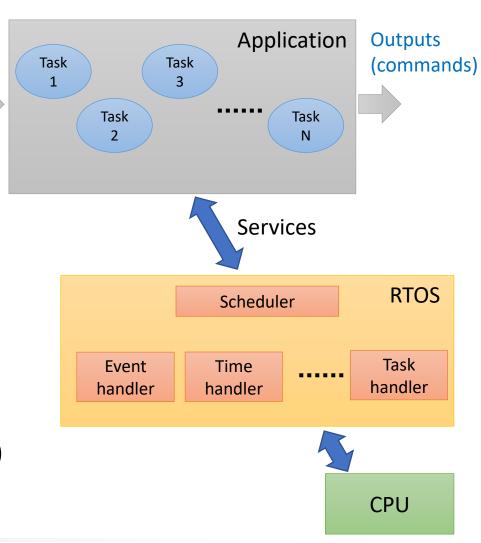


Scheduling with a RTOS

Inputs

(sensors ...)

- Need of services
- Input/Output management
 - Input/output handler
 - Interrupt handler
- Task scheduling
 - Organization in tasks
 - Scheduling policy
 - Time handler
- Inter task communications
 - Synchronization (event)
 - Communication (data)
 - Access to a shared resource (data)
 - Time (counter, watchdog)

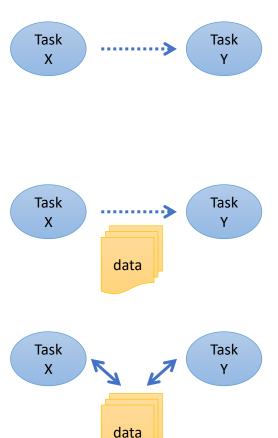


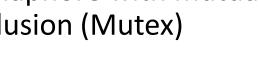




Inter task communications

- Synchronization
 - Event
 - Semaphore (Boolean)
 - Rendezvous
- Communication
 - Mailbox
 - Semaphore with counter
- Shared critical resources
 - Semaphore with mutual exclusion (Mutex)



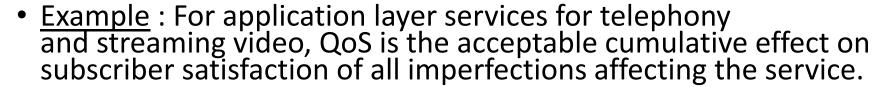






Quality of Service (QoS)

- Subjective notion
- Depend on systems and services
 - Response time (interactive or critical applications)
 - Bit rate (video broadcast)
 - Availability (access to shared services)
 - Packet loss rate (voice or video perception)
 - Signal-to-noise ratio (communication)
 - ...



 High QoS is often confused with a high level of performance (high bit rate, low latency and low bit error rate ...)







Criteria for real-time computing

- Hard real time (QoS = 100%)
 - Missing a deadline = total system failure
 - To be predictable, deterministic and reliable
 - Use of mathematical techniques
- Firm real time $(X\% \le QoS \le 100\%)$
 - Infrequent deadline misses = tolerable (X%)
 - May degrade the system's QoS
 - The usefulness of a result is wrong after its deadline
 - Minimize the probability of missing a deadline several times consecutively
- Soft real time (QoS ≤ 100%)
 - The usefulness of a result degrades the system after its deadline but maybe acceptable





Hard real time - Definitions

Predictability

- The performance of the application must be defined in all possible cases to ensure respect for time constraints
- Use of the worst case

Determinism

- No uncertainty about the behavior of the system
- Behavior is always the same for a given context

Reliability

- Ability of a system to achieve and maintain functionality under normal conditions of use
- Respect of real-time constraints

Fault Tolerance

- Fault-tolerance is the ability of a system to maintain its functionality even in the presence of faults
- Like Reliability even if certain failures have appeared





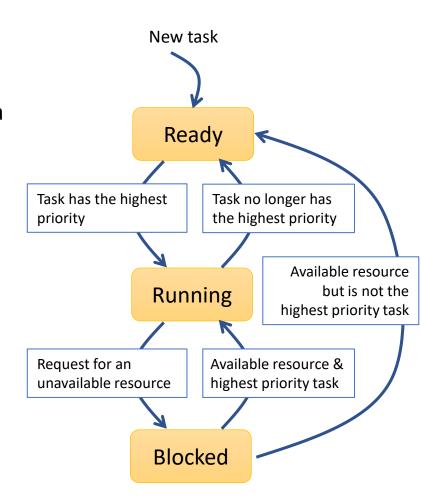
What is a Task?





Typical state of a task

- Ready state
 - The task is ready to run but cannot because a higher priority task is executing
- Running state
 - The task is the highest priority task and is running
- Blocked state
 - The task has requested a resource that is not available
 - The task has requested to wait until some event occurs
 - The task has delayed itself for some duration
- Some kernels (VxWorks, FreeRTOS ...) define more granular states such as suspended, pended, delayed ...







Priority of tasks

- The priority level allows you to define who has access to
 - CPU
 - Shared resources
- The kernel (or tick OS) has the highest priority
- Idle task has the lowest priority (normally zero)
- FreeRTOS Example
 - Each task is assigned a priority from 0 to configMAX_PRIORITIES-1
 - Low priority numbers denote low priority tasks

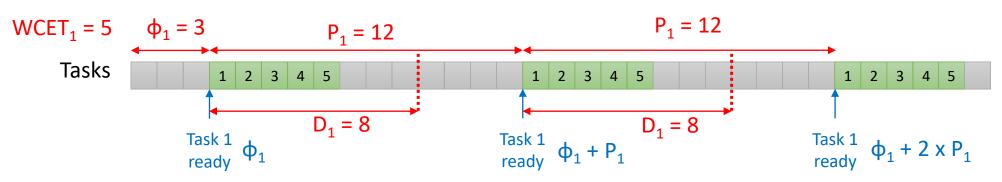




Periodic Tasks

- Task T_i repeats after a certain fixed time interval (period)
- Characteristics of T_i
 - ϕ_i : phase (from 0s till the first execution of the task i)
 - P_i: Period
 - WCET_i: Worst Case Execution Time
 - D_i: relative Deadline

Example of periodic task T₃

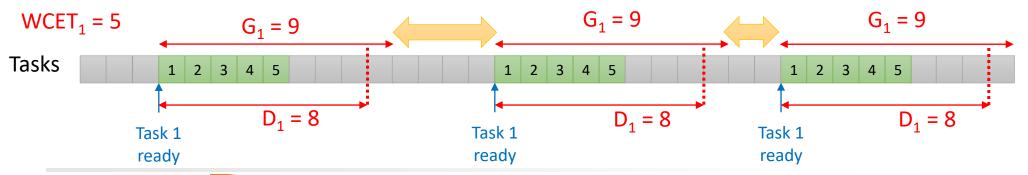




Aperiodic / Sporadic Tasks

- Sporadic task
 - Task T_i recurs at random instants with a minimum separation between 2 consecutive instances of task T_i
 - Characteristics of T_i
 - G_i: next instance cannot occur before G_i
 - WCET; : worst case execution time
 - D_i: relative deadline
- Aperiodic task
 - Task T_i can arise at random instants (G_i = 0s)
 - Two or more instances of a task T_i might occur at the same time
 - Might lead to a few deadline misses (used for firm/soft real-time)

Example of sporadic task T₃



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Hyper period - set of periodic tasks

- The execution of any set of periodic tasks is <u>cyclic</u>
- Hyper period of N tasks = LCM(Period₁, ..., Period_N)
- The Least Common Multiple of periods of all the tasks
- Example

T1:
$$P_1 = 29$$
, WCET₁= 7
T2: $P_2 = 5$, WCET₂= 1
T3: $P_3 = 10$, WCET₃= 2



Hyper period = LCM(29, 5, 10) = 290

2 values: $LCM(a,b) = A \cdot B / GCF(a,b)$ (using the Greatest Common Factor)

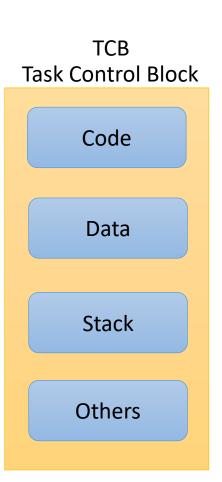
3 values: LCM(a, b, c) = LCM(LCM(a, b), c) = LCM(a, LCM(b, c))





Task descriptor / Task Control Block

- Data structure describing a task = TCB (Task Control Block)
- Scheduler uses TCB for the management of multitask environment
- Code
 - Instructions, constants
- Data
 - Shared with the tasks of the same process
- Stack
 - Contains temporary information
 - Local variables, context, registers
 - Program counter of subroutine
- Others
 - Identifier
 - State
 - Task priority
 - Expected events (rendezvous)
 - ...







How to manage tasks?

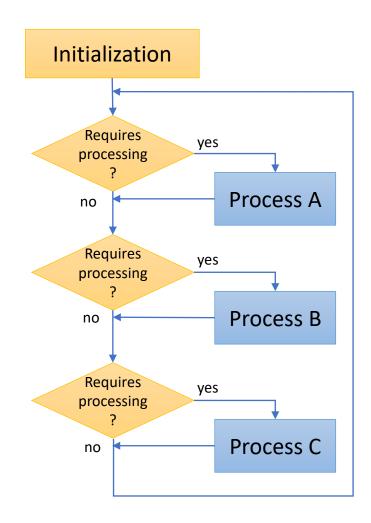
The task management is done through a scheduler which defines the order of the tasks with their priority.





Polling mode

- Polling mode repeatedly checks whether a device needs servicing
- Polling cycle is the time in which each element is monitored once
- Disadvantages
 - if there are too many devices to check, you risk missing a state change of devices.
 - Increases CPU rate (wastes lots of CPU cycles)

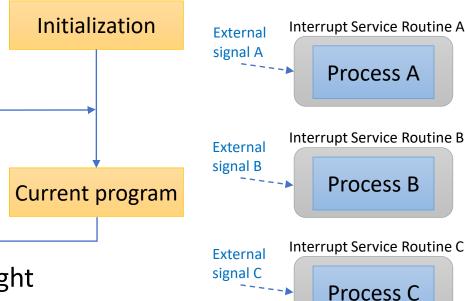






Interrupt mode

- An interrupt is a signal from a device or from a program
 - Stops the current program
 - Saves registers
 - Runs an interrupt routine
 - Restores registers
- For each interrupt
 - Priority assignment
 - Masking to disable interrupt
- Advantages
 - Each process is triggered at the right time
 - Decreases CPU rate (saves CPU cycles)

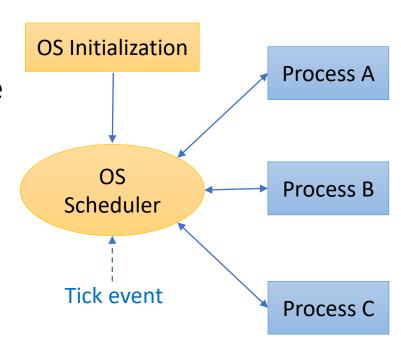




RTOS mode

Scheduler

- Manages process/tasks
- Can be seen as an interrupt routine
- Manages context switch (save/restore context in TCB)
- The highest priority
- Tick event
 - Wake up the scheduler periodically
 - Comes from a timer
 - Tick period is configurable (FreeRTOS = 10 ms by default)





Scheduler



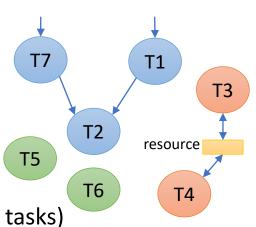


Some definitions

- Tasks
- Independent tasks share only the processor
 - Dependent tasks
 - share other resources
 - Have precedence constraints (waiting for data of other tasks)



- Offline scheduling is pre-calculated before execution
- Online scheduling decides dynamically of the execution of tasks
- Cooperative/Preemptive
 - A <u>cooperative scheduler</u> cannot interrupt a task
 - A <u>preemptive scheduler</u> can interrupt a task (and save this context) to execute a higher priority task (restore this context)

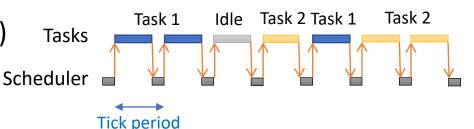




Goals of scheduler

- Scheduler manages process/tasks
- Scheduler handles
 - the selection of a process/tasks for the processor based on a scheduling algorithm
 - the removal of a process/tasks from the processor
- Ensure properties
 - Equity (all processes must run)
 - Load balancing (multi-core context)

• ...

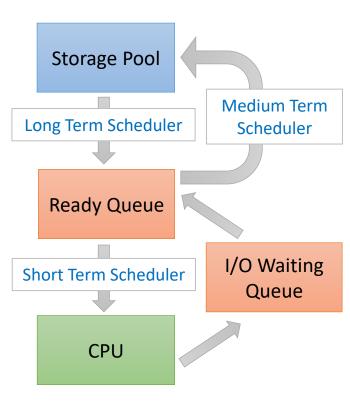


- Objectives are often opposed
 - Minimize response time but ...
 - Maximize CPU ratio



Types of Schedulers

- Types of Processes
 - I/O-bound process it spends his time doing I/O operations; a lot of little CPU bursts
 - CPU-bound process it spends its time doing calculation; small number of very large CPU bursts
- Long-term scheduler / job scheduler
 - Select in the storage pool the processes must be add in the ready queue
 - It must select a careful mixture of I/O bound and CPU bound processes to yield optimum system throughput
 - Not invoked very often (seconds, minutes)
- Short-term scheduler / CPU scheduler
 - Select in the ready queue which process/task should be implemented soon and reserve the CPU
 - Invoked very frequently (in milliseconds), quick response time
- Medium Term Scheduler
 - Remove the processes from the main memory
 - In-charge of handling the swapped out (roll out)-processes
 - Suspended process is moved from ready queue to the storage pool







Scheduling Policy





Objectives

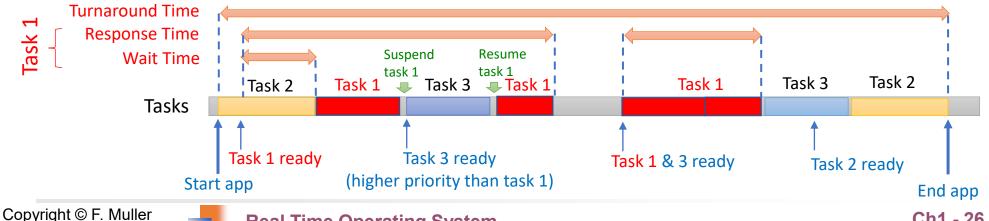
- Improving efficiency of the system
 - Reducing delays and wait times, response times to the system
 - Managing CPU resources better
- Fairness
 - Scheduler should not give unfair advantage to a process/task
 - Important to balance long-running tasks and ensure that the lighter tasks can be run quickly
- Heuristic algorithms for scheduling
 - Trade-off between decision time and optimality
 - Heuristic does not always reach optimality
 - Multi-criteria algorithms
- Lot of scheduling policies exist!





Criteria for Scheduling

- Reduce the strain on the **CPU Utilization**
 - Manage the percentage of time the CPU is busy
- Optimize the **Throughput**
 - Increase the number of processes completed in a given time frame
- Reduce the (Average) Wait Time
 - Waiting time is amount of time a process has been waiting in the ready queue
- Reduce the **Response Time**
 - The response time of a task/thread is defined as the time elapsed between the dispatch (time when task is ready to execute) to the time when it finishes its job (one dispatch)
- Respect the **Turnaround Time**
 - Total time a process takes to run, from start to finish (includes all waiting time)





Main Execution Times of a task

Depend on

- Target architecture (processor, memory accesses, ...)
- Algorithms (conditions, loops)

Worst-Case Execution-Times (WCET)

- Maximum length of time the task could take to execute on a specific hardware platform
- Assess resource needs for real-time systems
- Ensure meeting deadlines
- Perform schedulability analysis

Best-Case Execution-Times (BCET)

- Assess code quality
- Assess resource needs for non/soft real-time systems
- Benchmark hardware

Average-Case Execution-Times (ACET)

Assess behavior of the real-time systems from simulations

Algorithm

```
val : range 0 to 255

void f1() {

int val = read(PortA);

if (val = 0) {

write(PortB, -1);

}

else {

for (int i=0; i<val, i++)

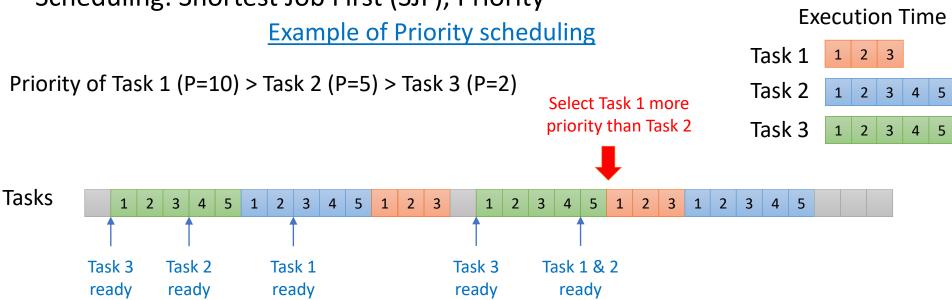
write(PortB, var[i]);

}
```



Non-Preemptive Scheduling

- Task runs on CPU till it gets terminated or it reaches a blocked state
- Scheduler does not interrupt a task in running state in middle of the execution
- Cooperative scheduler
- Scheduling: Shortest Job First (SJF), Priority





First Come First Serve (FCFS) Non-Preemptive version

- First Come First Serve is just like FIFO (First in First out) Queue
- Selects task from the head of the queue and new task enters through the tail of the queue
- Average Waiting Time (AWT)
 - Crucial parameter to judge its performance
 - Lower the Average Waiting Time, better the scheduling algorithm
- Disadvantages
 - Non-Preemptive algorithm which means the process priority doesn't matter
 - Not optimal Average Waiting Time
 - Resources utilization in parallel is not possible

Task	Execution Time (ms)
T1	21
T2	3
Т3	6
T4	2

Waiting Time

WT(T1) = 0 ms

WT(T2) = 21 ms

WT(T3) = 24 ms

WT(T4) = 30 ms



Shortest Job First (SJF) Non-Preemptive version

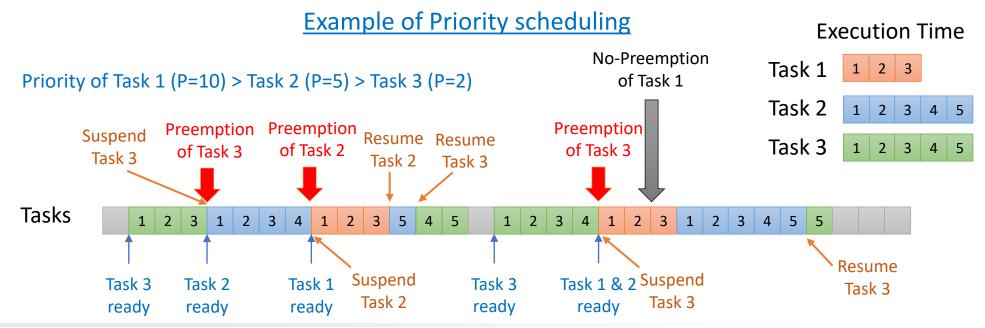
- Select the ready task with the smallest execution time to execute next
- Also known as Shortest job next (SJN)
- **Greedy Algorithm**
- SJF has the advantage of having minimum average waiting time among all scheduling algorithms
- May cause starvation if shorter processes keep coming. This problem can be solved using the concept of aging
- Practically infeasible as Operating System may not know execution time
 - Predict online execution time from previous executions
 - Estimate off-line the execution time for a job for a specific architecture

Execution Time Task 1 1 2 3 Priority of Task 1 > Task 2 > Task 3 (according to execution time) Task 2 1 2 3 Select Select Select Select Select Select Task 2 Task 1 Task 2 Task 3 Task 1 Task 3 Task 1 Ready Queue (T1,T2,T3) (T2,T3) (T1,T3) (T2,T3)(T3) **Tasks** 1 2 3 Ťο 3 14 Task 1,2,3 Task 1 Task 2 Task 1 AWT = (0 + 3 + 14) / 3 = 7.7 msready ready ready ready



Preemptive Scheduling

- Scheduler can interrupt a task in running state in middle of the execution
- Task switches
 - from running state to ready state
 - from blocked state to ready state (but not in running state due to a higher priority task)
- Scheduling: Round Robin (RR), Priority or Rate-Monotonic (RM), Earliest Deadline First (EDF), Shortest Remaining Time First (SRTF)





Rate-Monotonic scheduling Schedulability

- Priority assignment algorithm
- Properties of tasks
 - No resource sharing = independent tasks
 - Deadlines are exactly equal to periods, Di = Pi
 - Static priorities: tasks with shorter periods/deadlines are given higher priorities
 - Task with the highest static priority that is runnable immediately preempts all other tasks
 - Context switch times and other thread operations are free and have no impact on the model
- Schedulability
 - Liu & Layland (1973) proved a feasible schedule that will always meet deadlines exists if the CPU
 utilization is below a specific bound

$$U=\sum_{i=1}^n rac{C_i}{T_i} \le n(2^{1/n}-1)$$
 n: number of task Ci : Computation time or Execution time (WCET) Ti : Release period (Pi)

• If n tends towards infinity

$$\lim_{n o\infty}n(\sqrt[n]{2}-1)=\ln 2pprox 0.693147\ldots$$

- RM Scheduling can meet all of the deadlines if CPU utilization is less than 69.32%.
- 30.7% of the CPU can be used to lower-priority non-real-time tasks.





Shortest Remaining Time First (SRTF) scheduling

- Preemptive version of Shortest Job First
- Task with the smallest amount of time remaining until completion is selected to execute
- Decisions are made when
 - Task finished
 - New task added
- Advantages
 - Short tasks are handled very quickly
 - Little overhead through decision steps



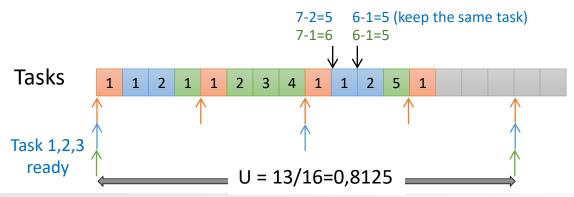


Earliest Deadline First (EDF) scheduling

- Dynamic priority scheduling algorithm and preemptive scheduling
- Search for the task closest to its deadline whenever a scheduling event occurs (task finishes, new task released ...)
- Schedulability
 - If the deadlines equal to their periods, EDF scheduling has a utilization bound of 100%

$$U = \sum_{i=1}^n \frac{C_i}{T_i} \leq 1, \quad \begin{array}{l} \text{n: number of task} \\ \text{Ci: Computation times or Execution times} \\ \text{Ti: Release periods (equal to relative deadlines)} \end{array}$$

- EDF scheduling can guarantee all the deadlines in the system at higher loading!
- Difficult to implement because the relative deadline is not precise to compute
- EDF scheduling is not commonly use in industrial real-time computer systems



Schedulability:

$$U = (1/4+2/8+5/16)$$

$$U = (4/16 + 4/16 + 5/16)$$

$$U = 0.8125 < 1$$

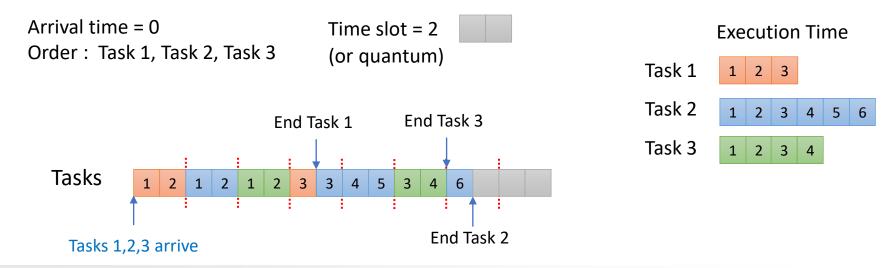
Least common multiple of the period : **16**





Time Sharing - Round Robin Scheduling

- CPU scheduling algorithm and preemptive scheduling
- Each task is assigned a fixed time slot in a cyclic way
- Time slot or Quantum
 - Short quantum: overhead of context switching
 - Long quantum: long response time (infinite quantum = FIFO algorithm)
 - Set a quantum (statistic behavior) when 80% of tasks finish their CPU usage before the end of the quantum







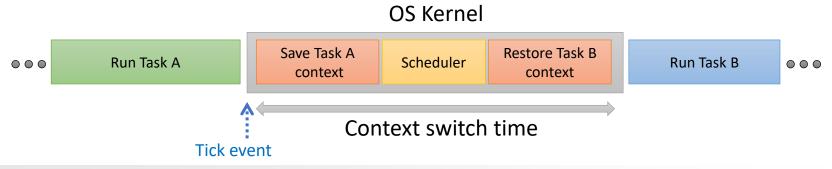
Context Switch





Context Switch

- A task must be switch out of the CPU to perform another tasks
- Context switch time depends on the architecture
- Save and restore the context
 - On Stack or/and Task Control Block (TCB)
 - Some processors can internally backup and restore the process context



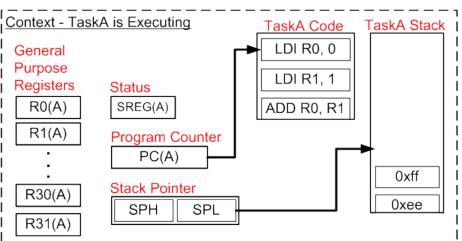




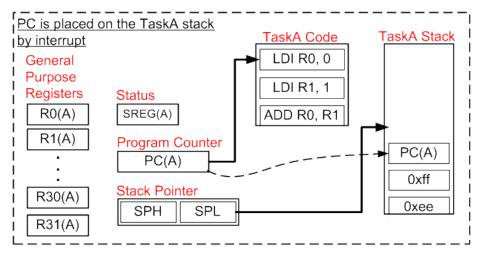
Context Switch — FreeRTOS Example Steps 1 & 2

- Task A is running
- Assume that Task B has previously been suspended (context has already been stored on the Task B stack)
- When the interrupt occurs, the CPU automatically places the current program counter onto the stack before jumping to the start of the RTOS tick ISR.





Step 2

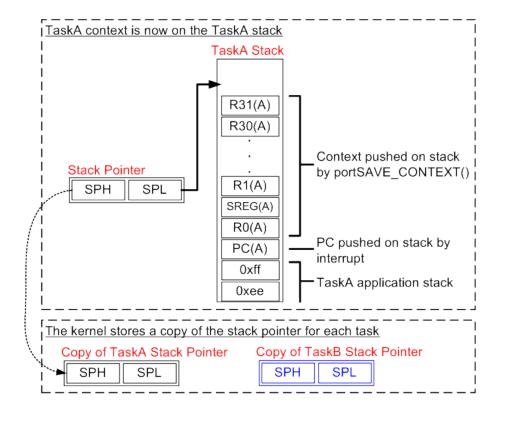




Context Switch — FreeRTOS Example Step 3

- IG_OUTPUT_COMPARE1A() and vPortYieldFromTick() are naked functions
- portSAVE_CONTEXT() pushes the entire CPU execution context onto the stack of Task A and store a copy of the stack pointer

Step 3





void vPortYieldFromTick(void) {

portSAVE CONTEXT();

vTaskIncrementTick(); vTaskSwitchContext();

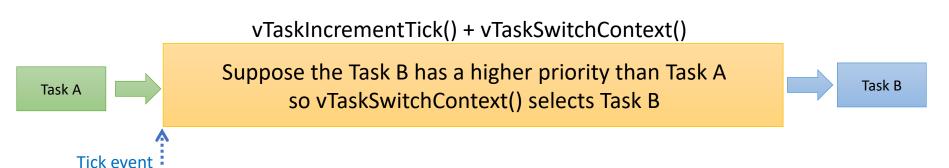
asm volatile ("ret");

portRESTORE CONTEXT();

Context Switch – FreeRTOS Example Step 4

- vTaskIncrementTick()
 - Takes care of all aspects related to tick timer
 - Updating the current time
 - Checking whether some task timeouts have expired ...
- vTaskSwitchContext()
 - Looks at which tasks are in the ready state
 - Selects the higher priority tasks depending on scheduling policy

Step 4







Context Switch — FreeRTOS Example Steps 5 & 6

- The Task B context must be restored
- Retrieve the Task B stack pointer from the copy taken when Task B was suspended
- Task B stack pointer is loaded into the processor stack pointer
- CPU stack points to the top of the Task B context
- Restoring the Task B context from its stack into the appropriate processor registers (only the program counter remains on the stack.).

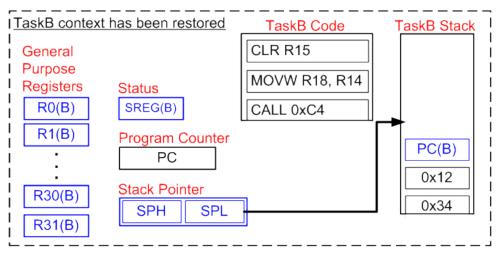
```
Stack pointer now points to the top of the TaskB context
Step 5
                                               R31(B)
                                              R30(B)
                    Stack Pointer
                                                            TaskB context saved when
                                               R1(B)
                      SPH(B) | SPL(B)
                                                             TaskB was suspended
                                              SREG(B)
                                               R0(B)
                                               PC(B)
                                               0x12
                                               0x34
                 The kernel stores a copy of the stack pointer for each task
                                                   Copy of TaskB Stack Pointer
                   Copy of TaskA Stack Pointer
```

```
void vPortYieldFromTick(void) {
    portSAVE_CONTEXT();

vTaskIncrementTick();
    vTaskSwitchContext();
    portRESTORE_CONTEXT();

asm volatile ("ret");
}
```

Step 6

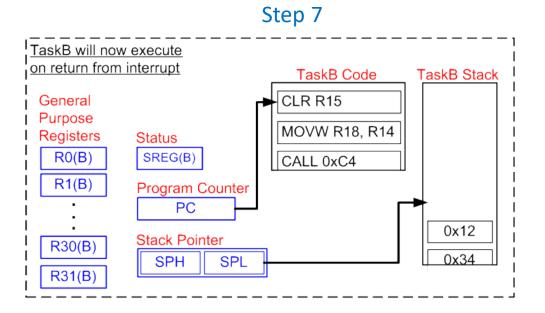






Context Switch — FreeRTOS Example Step 7

- vPortYieldFromTick() returns to SIG_OUTPUT_COMPARE1A()
- RETI instruction assumes the next value on the stack is a return address placed onto the stack when the interrupt occurred
- Task B is running!



```
/* Interrupt service routine for the RTOS tick. */

void SIG_OUTPUT_COMPARE1A(void) {
    vPortYieldFromTick();
    asm volatile ("reti");
}

void vPortYieldFromTick(void) {
    portSAVE_CONTEXT();

vTaskIncrementTick();
    vTaskSwitchContext();
    portRESTORE_CONTEXT();

asm volatile ("ret");
}
```



Critical Section & Shared Resources





What is a Critical Section?

- Also called critical region
- Useful for concurrent programming
- Protected accesses to a shared resource
 - Data structures
 - Peripheral devices
 - Network connections
- Critical section may be protected by different mechanisms
 - Semaphore
 - Mutex (mutual exclusion)
 - Dedicated functions generally by interrupt masking





Critical Section – P()/V() operations

- Operate on a mutex-semaphore variable
- P operation
 - Dutch word Proberen (to attempt)
 - For wait operation
 - Require a resource and if not available waits for it
- V operation
 - Dutch word Verhogen (to increase)
 - For signal passing operation
 - Pass to the OS that the resource is now free to other tasks
- How implemented?
 - Test & Set instruction
 - Fetch & Add instruction
- Use of standard POSIX 1003.1b, IEEE standard





Critical Section — P()/V() operations Test & Set instruction

- Implement read/write/test on a shared variable
- Used for Boolean semaphore
- Test & Set instruction
 - Used to both test and (conditionally) write to a memory location as part of a single atomic (i.e. non-interruptible) operation
- No other process may begin another test-and-set until the first process's test-and-set is finished
- CPU itself may offer a test-and-set instruction
- http://en.wikipedia.org/wiki/Test-and-set

```
boolean lock = false;

void P(void) {
    /* Active wait */
    while (TestAndSet(lock));
}

void V(void) {
    lock = false;
```





Critical Section — P()/V() operations Fetch & Add instruction

- Implement read/write/test on a shared resource
- Used for mutex and counter semaphore
- Fetch & Set instruction
 - atomically (i.e. non-interruptible) modifies the contents of a memory location by a specified value
 - increment the value at address ADDR by VALUE and return the original VALUE at ADDR
- When this instruction is executed by one process in a concurrent system, no other process will ever see an intermediate result
- CPU itself may offer a fetch & Set instruction
- http://en.wikipedia.org/wiki/Fetch-and-add

```
int FetchAndAdd(int* addr) {
    int value = *addr;
    *addr = value + 1;
    return value;
}
struct PVType {
    int number;
    int turn;
}
```

Example of Mutex

```
void PVInit(PVType* pv) {
    pv->number = 0;
    pv->turn = 0;
}
void P(PVType* pv) {
    int turn = FetchAndAdd(&pv.number);
    /* Active wait */
    while (pv.turn != turn);
}
void V((PVType* pv) {
    FetchAndAdd(&pv.turn);
}
```



Shared Resources - Peterson's algorithm

- Formulated by Gary L. Peterson in 1981
- Concurrent programming algorithm for mutual exclusion
- Based on active wait (polling mode)
- Share a single-use resource without conflict from two or more processes

Example for 2 process

```
Process N°1
           Process N°0
                                                                          enter[1] = true;
enter[0] = true;
                                                                          turn = 0;
turn = 1;
                                                                          while (enter[0] && turn == 0) {};
while (enter[1] && turn == 1) {};
                                            Shared resource
                                                                          // critical section
// critical section
                                                                          data[...] = ...
data[...] = ...
                                                 int data[]
                                                                          // end of critical section
// end of critical section
                                                                          enter[1] = false;
enter[0] = false;
```





Shared Resources - Priority Inversion

- A high priority task is indirectly preempted by a lower priority task
- Inverting the relative priorities of the two tasks!
- Does not take care of priority inversion can have disastrous effects
 - Response to emergency situations
 - System can be blocked ...
- Limitation of priority inversion
 - Allow access to critical sections only to tasks with the same priority
 - Use specific semaphores: priority inheritance semaphores or ceiling priority semaphores.





Shared Resources - Priority Inversion Two tasks, no choice!

- Task 1 acquires the mutex M1
- An event wakes Task 3 which preempts Task 1
- Task 3 tries to get the mutex; since it is already acquired by Task 1, Task 3 is suspended.
- Task 1 runs and releases the mutex 1

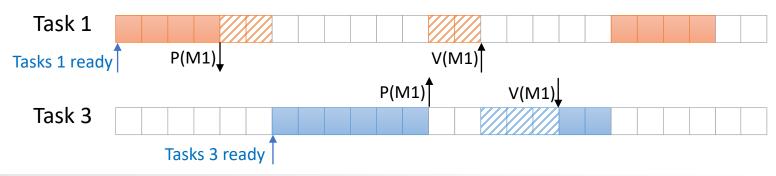
Task 1 was performed first instead of task 3 because of the shared resource!

Task 3 preempts Task 1

P(Sx): Start of the critical section of mutex x V(Sx): End of the critical section of mutex x

Resource used by task i

Priority(Task 3) > Priority (Task 1)

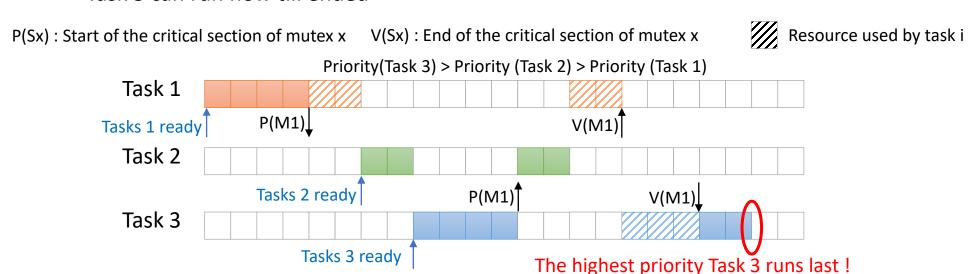






Shared Resources - Priority Inversion Three tasks, problem!

- Task 1 acquires the mutex M1
- An event wakes Task 2 which preempts Task 1
- Task 3 becomes in ready stage and preempts Task 2
- Task 3 tries to get the mutex. Since it is already acquired by task 1, Task 3 is suspended and Task 2 runs till ended
- Task 1 runs and releases the mutex 1
- Task 3 can run now till ended







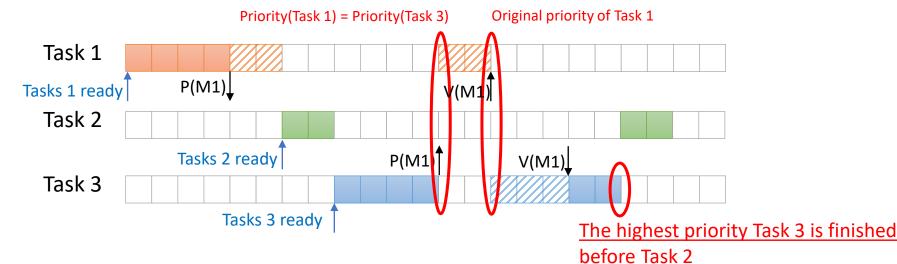
Shared Resources - Priority Inversion Three tasks, Basic Priority inheritance

- Priority inheritance
 - Priority inheritance raises the priority of the blocking task to the blocked one
 - Once the semaphore is released, the blocked task returns to its original priority

P(Sx): Start of the critical section of mutex x V(Sx): End of the critical section of mutex x Resource

Resource used by task i

Priority(Task 3) > Priority (Task 2) > Priority (Task 1)







Shared Resources - Priority Inversion Basic Priority inheritance

- Advantage
 - Bounded Priority inversion
 - Reasonable Run-time performance
- Disadvantage
 - Potential deadlocks
 - Chain-blocking many preemptions





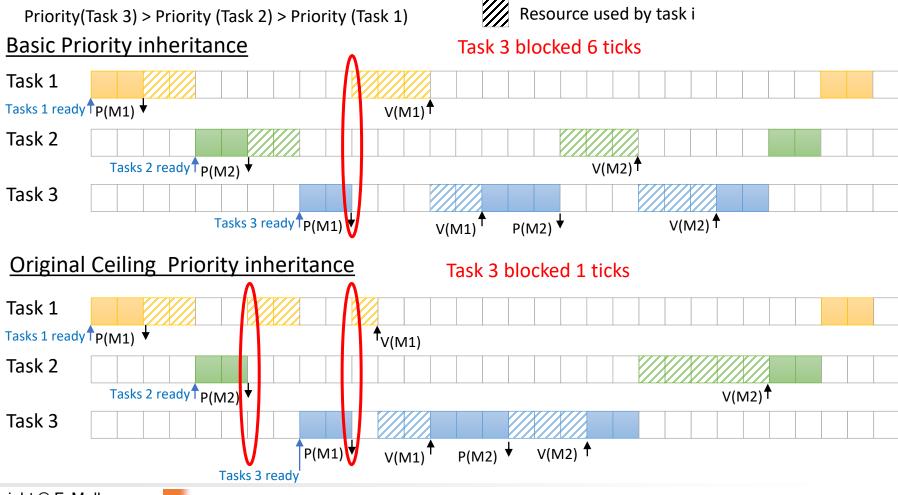
Shared Resources - Priority Inversion OCPP - Original Ceiling Priority Protocol

- A Task 1's priority is raised when a higher-priority Task 2 tries to acquire a resource that Task 1 has locked
- The task's priority is then raised to the priority ceiling of the resource, ensuring that Task 1 quickly finishes its critical section, unlocking the resource
- A task is only allowed to lock a resource if its dynamic priority is higher than the priority ceilings of all resources locked by other tasks. Otherwise, the task becomes blocked, waiting for the resource
- OCPP changes priority only if an actual block has occurred





Shared Resources - Priority Inversion Original Ceiling Priority Protocol





Shared Resources - Priority Inversion Immediate Ceiling Priority Protocol

- Also called Highest Locker's priority Protocol (HLP)
- A task's priority is immediately raised when it locks a resource
- The task's priority is set to the priority ceiling of the resource, thus no task that may lock the resource is able to get scheduled.
- A task can only lock a resource if its dynamic priority is higher than the priority ceilings of all resources locked by other tasks
- ICPP changes immediately priority





Shared Resources - Priority Inversion Immediate Ceiling Priority Protocol

