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# Segmentation based clustering of Hyperspectral Images

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#### Introduction

Remote sensing refers to obtaining information about an object, area, or phenomenon through the analysis of data acquired by a device that is not in contact with the object, area, or phenomenon under investigation.

- Remote sensing systems are a very important source of information for GIS, as they provide access to spatiotemporal information on surface processes on scales ranging from regional to global.
- The spatial data generally is in the form of maps, which could be showing topography, geology, soil types, forest and vegetation, land use, water resource availability etc., stored as layers in a digital form.
- Integrating many layers of data in a computer can easily generate new thematic maps.

based content prepared by ISRO



# Digital Image

An image is represented by its dimensions (height and width) based on the number of pixels. This pixel is a point on the image that takes on a specific shade, opacity or color. It usually represented in Grayscale, RGB. Digital Image may consists of

- **Spatial component** describes the quality of an image and how detailed objects are in an image. If the grid cells are smaller, this means the spatial resolution has more detail with more pixels.
- **Spectral Component** describes the amount of spectral detail in a band. High spectral resolution means its bands are more narrow.
- Radiometric Component corresponds to the sensitivity of a sensor, i.e. its ability to measure and to enable distinction within the same spectral band of differences in the electromagnetic energy reflected by the elementary ground surfaces.
- **Temporal Component** corresponds a series of images taken at different time to monitor the dynamic changes of the objects





Temporal data showing wildfire in 2010, 2017, and 2020.



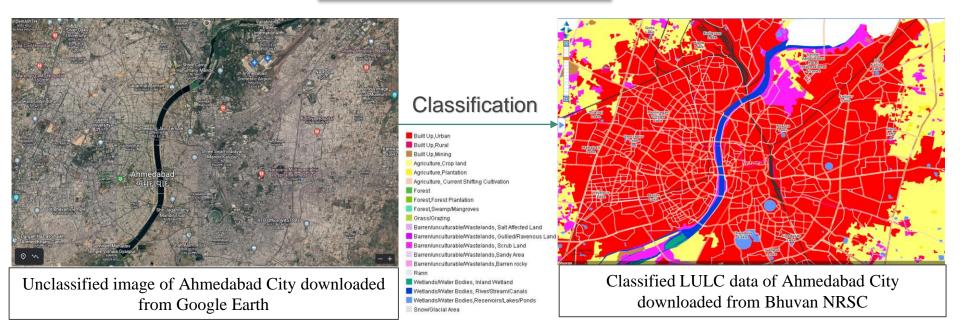
Medium Spatial Resolution

**High Spatial** 



Low Spatial Resolution

#### Information Conversion



#### **Need of Land Use Land Cover Data:**

- Provides a better understanding of land utilization aspects,
- Land cover and use information may be used for planning, monitoring, and evaluation of development, industrial activity, or reclamation.

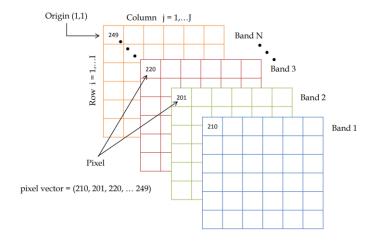
# **Objective**

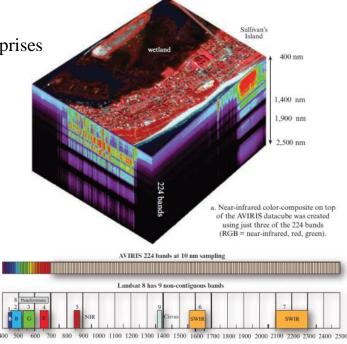
To propose a novel segmentation-based clustering methodology for classification of hyperspectral image.

To improve classification accuracy of the input dataset.

**Hyperspectral image** is considered in the form of a matrix which comprises information in rows and columns with number of pixels and bands.

- Bands are continuous and regularly spaced.
- Higher spectral resolution, thus giving the opportunity to push further the information extraction capability

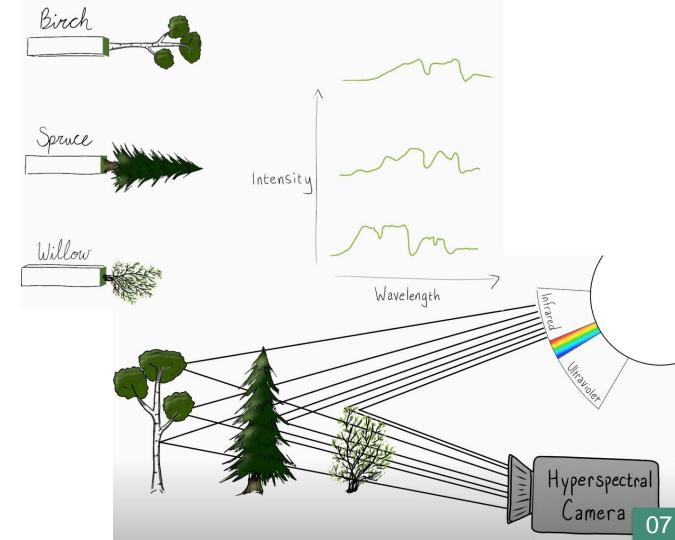




Source: Remote Sensing of the Environment – An Earth Resource Perspective, by John R. Jensen







# **Literature Review**

Sr No.	Year of Publication	Paper/Book	Remarks
1	August, 2022	Yao Ding; Zhili Zhang; Xiaofeng Zhao; Yaoming Cai; Siye Li; Biao Deng; Weiwei Cai, "Self-Supervised Locality Preserving Low-Pass Graph Convolutional Embedding for Large-Scale Hyperspectral Image Clustering", IEEE Transactions on Geoscience and Remote Sensing.	HSI clustering is often a fundamental but challenging task, due to prior knowledge deficiency, large spectral variability,
2	February, 2022	IEEE Transactions on Geoscience and Remote Sensing.	projects the high-dimensional data to a low-dimensional subspace with principal components maximizing the variance of the projected data.
3	April, 2020	J. Chang, G. Meng, L. Wang, S. Xiang and C. Pan, "Deep self-evolution clustering", IEEE Trans. Pattern Anal. Mach. Intel.	The key to the HSI clustering is to measure the similarity between data points.
4	July, 2017	Y. Li, L. Zhang, C. Tian, C. Ding, Y. Zhang and W. Wei, "Hyperspectral image super-resolution extending: An effective fusion based method without knowing the spatial transformation matrix", Proc. IEEE Int. Conf. Multimedia Expo (ICME)	The abundant spectral information of HSIs comes at the cost of greatly reducing spatial resolution which hinders the widespread applications of HSIs.

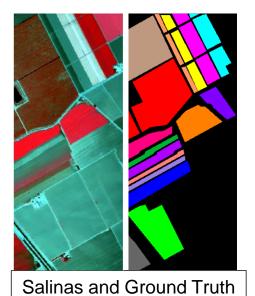
# **Literature Review**

Sr No.	Year of Publication	Paper/Book	Remarks
5	January, 2013	B. Luo, C. Yang, J. Chanussot and L. Zhang, "Crop yield estimation based on unsupervised linear unmixing of multidate hyperspectral imagery", IEEE Trans. Geosci. Remote Sens.	HSIs can provide rich band information from different wavelengths and thus get widely used in various research field, such as biological analysis.
6	October, 2011	J. Khodr and R. Younes, "Dimensionality reduction on hyperspectral images: A comparative review based on artificial datas".	complitational complevity of the classifiers but also may
7	December, 2007	"Clustering-based hyperspectral band selection using information measures" IEEE Trans. Geosci. Remote Sens.	The importance of a band obtained by unsupervised methods is evaluated by various statistical measures or clustering quality assessment which is unrelated to the labeled sample size.

#### **Dataset**

The experiments were conducted over one set of hyperspectral images respectively is Salinas.

**Salinas** scene was collected by the 204-band AVIRIS sensor over Salinas Valley, California, and is characterized by high spatial resolution (3.7-meter pixels). The area covered comprises 512 lines by 217 samples. This image was available only as at-sensor radiance data. It includes vegetables, bare soils, and vineyard fields. Salinas ground truth contains 16 classes.



Broccoli gre	en weeds 1	Broccoli	green weeds 2	Fallow	Fallow rou	ugh plow	Fallow smooth			
Stubble	Celery	Grape	s untrained	Soil vineyar	d develop	Corn se	Corn senesced green weeds			
Lettuce	romaine 4 v	veeks	Lettuce r	omaine 5 we	eeks	Lettuc	ce romaine 6 weeks			
Lettuce	romaine 7 v	veeks	Vineyard u	ntrained	Vineya	rd vertical	trellis	Unlabeled		

Source: Salinas Dataset, 2021: <a href="www.ehu.eus/Hyperspectral\_Remote\_Sensing\_Scenes">www.ehu.eus/Hyperspectral\_Remote\_Sensing\_Scenes</a>

# Methodology

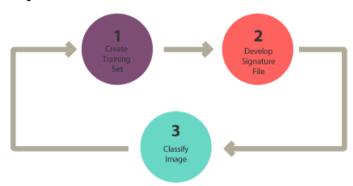
## Image Classification

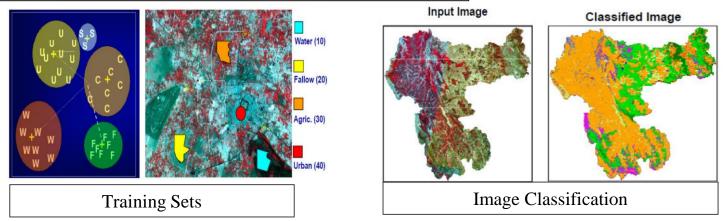
Image classification is the process of categorizing and labeling groups of pixels or vectors within an image based on specific rules. The categorization law can be devised using one or more spectral or textural characteristics.

- Each pixel of the image is assigned to a particular class.
- Classification transforms the image data into an information

#### 1. Supervised Classification

- Identify training set (information class),
- Select sample pixels in an image representing specific class,
- Direct the image processing software to use training sets as references for the classification of all other pixels in the image.





**Source:** <a href="https://gisgeography.com/supervised-unsupervised-classification-arcgis/">https://gisgeography.com/supervised-unsupervised-classification-arcgis/</a>

#### 2. Unsupervised classification

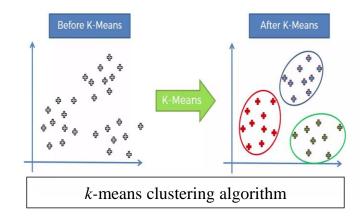
Unsupervised classification requires no advance information about the classes of interest. Rather, it examines the data and breaks it into the most prevalent natural spectral groupings, or clusters, present in the data.

# *k*-means clustering

k-means is a commonly used partitioning based clustering technique that tries to find a user specified number of clusters (k), which are represented by their centroids, by minimizing the square error function. Although k-means is simple and can be used for a wide variety of data types.

#### The steps of the k-means algorithm are written below:

- Initialization: choose randomly *k* pixel vectors (data points) to initialize the clusters.
- Nearest-neighbor search: for each input vector, find the cluster center that is closest, and assign that input vector to the corresponding cluster.
- Mean update: update the cluster centers in each cluster using the mean (centroid) of the input vectors assigned to that cluster.



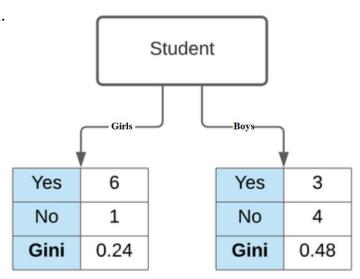
## Gini Impurity

Gini Impurity measures the probability for a random instance being misclassified when chosen randomly. The

lower the Gini Impurity, the lower the likelihood of misclassification.

Consider a dataset D that contains samples from k classes. The probability of samples belonging to class i at a given node can be denoted as pi. Then the Gini Impurity of is defined as:

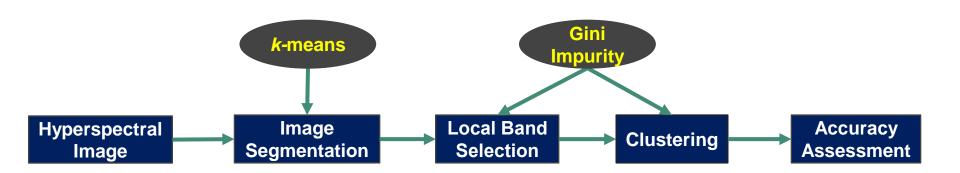
Gini (D) = 
$$1 - \sum_{i=1}^{k} p_i^2$$



Gini Impurity for Student is 0.367

<u>Source:</u> https://www.learndatasci.com/glossary/gini-impurity/

# **Proposed Methodology**



## **NMI** and Purity

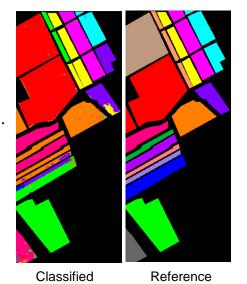
- **Purity** is a measure of the extent to which clusters contain a single class.
- **Mutual Information** is a function that measures the agreement of the two assignments.

$$NMI(\Omega, \mathbb{C}) = \frac{MI(\Omega, \mathbb{C})}{\operatorname{mean}(H(\Omega), H(\mathbb{C}))}$$

$$MI(\Omega, \mathbb{C}) = \sum_{k} \sum_{j} p(\omega_{k} \cap c_{j}) \log_{2} \frac{p(\omega_{k} \cap c_{j})}{p(\omega_{k})p(c_{j})}$$

$$H(\Omega) = -\sum_{k} p(\omega_{k}) \log_{2} p(\omega_{k})$$

$$Purity(\Omega, \mathbb{C}) = \frac{1}{N} \sum_{k} \max_{j} \left| \omega_{k} \cap c_{j} \right|$$



	Broccoli gre	en weeds 1	Broccoli	green weeds 2	Fallow	Fallow ro	ugh plow	Fallov	/ smooth
$\Omega = \{ \omega_1, \ \omega_2, \ \omega_3, \dots, \ \omega_k \}$ is the set of clusters.	Stubble	Stubble Celery Grapes untrained Sc		Soil vineya	Soil vineyard develop		Corn senesced green wee		
$\omega_1, \ \omega_2, \ \omega_3, \ldots, \ \omega_k$ is the set of elasters.	Lettuce	romaine 4	weeks	Lettuce	romaine 5 w	eeks	Lettuce romaine 6 weeks		
$C = \{a, a, a, a, a, b\}$ is the set of classes	Lettuce	romaine 7	weeks	Vineyard u	ntrained	Vineya	rd vertical	trellis	Unlabele
$\mathbb{C}=\left\{c_1,\ c_2,\ c_3,\ldots,\ c_j\right\}$ is the set of classes				_					•

obtained from reference image

N is total number of pixel sample image

http://nlp.stanford.edu/IR-book/html/htmledition/evaluation-of-clustering-1.html

Cai, D., X. He, and J. Han. 2005. "Document Clustering Using Locality Preserving Indexing." IEEE Transactions on Knowledge and Data Engineering, 17 (12): 1624-1637.

## Image Segmentation

Image segmentation is the process of assigning a label to every pixel in an image such that pixels with the same label share certain characteristics. The result of image segmentation is a set of segments that collectively cover the entire image, or a set of contours extracted from the image.

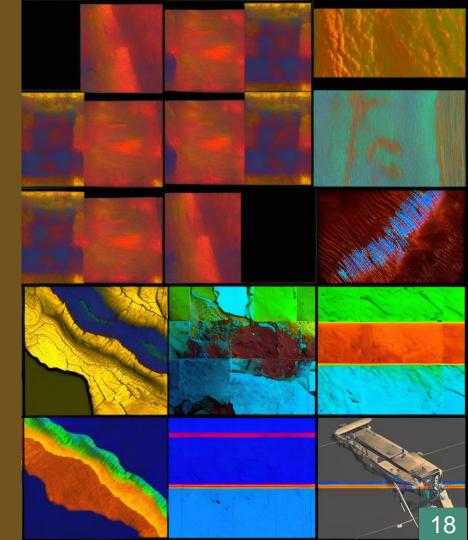
Each of the pixels in a region is similar with respect to some characteristic or computed property, such as color, intensity, or texture.

Object-based Image Analysis (OBIA) of Estuarine Intertidal Habitat near Bluffton, SC



John R. Jensen. 2016.Introductory digital image processing: A remote sensing perspective. Pearson, 4<sup>th</sup> edition.

# LOCAL BAND SELECTION



#### **Local Band Selection**

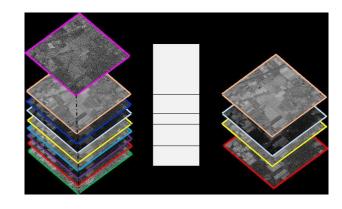
A hyperspectral image has a very high spectral resolution due to the number of pixels and bands it contains. As a result, it's important to arrange number of bands in a dataset without drastically reducing the amount of information maintained.

#### It is necessary to perform band selection method as:

- These bands make training extremely slow.
- Harder to find a good solution.

#### Steps for selecting top-ranked bands:

- Gini impurity is used as a ranking criteria to select the top-ranked bands in a sorted sequence containing relevancy and less redundancy.
- A distance-weighted parameter called score is introduced to prevent bands with same gini indices.



**Band Selection Technique** 

#### Source:

#### **Local Band Selection**

To calculate *score*, *delta* function is used that stores maximum distance for a test band from all bands whose gini index is higher than that of test band.

```
Formally, score is formulated in equation as:
                         delta = \max d
                                    GI_i > GI_i
                       score = (delta) \times (GI_i)
where,
d is distance between mean vectors of all pixels in a cluster for particular
segment to remaining bands of the segment,
GI is the gini index for corresponding bands,
i and j are band indexes.
```

```
t = int(sig gini[i])
    b dist = np.zeros((d,d)) # to store euclidean distance among all band for a particular segment
    for x in range(d):
        for y in range(d):
            b_dist[x,y] = np.linalg.norm(seg_nxk[t,x] - seg_nxk[t,y])
    for j in range(d):
        max = 0
        for k in range(d):
            #first condition for band whose gini greater than jth band
            if gini_band[t,k] > gini_band[t,j] :
                #finding max distance among band whose gini is greater than jth band
                if b_dist[j,k] > max :
                    max = b_dist[j,k]
        temp array[i,j] = max
                                     Calculation of delta function, that stores maximum
                                     distance for a test band from all bands whose gini
scaler = MinMaxScaler()
m = scaler.fit(temp array)
                                     index is higher than that of test band.
delta = m.transform(temp array)
score = np.zeros((k cl,d))
                               #creating a parameter for sorting by multiplying gini and max distance.
for i in range(k cl):
                                          Calculation of score, that stores delta x Gini Index
    t = int(sig gini[i])
                                         for each band.
    for j in range(d):
        score[i,j] = gini_band[t,j] * delta[i,j]
```

gini band=np.argsort(gini band)

score = np.argsort(-score) #sorting in decreasing order

for i in range(k cl):

#### Bands and their Ginni index →

195	196	197	198	199	200	201	202	203
0.021692	0.0230723	0.0335522	0.0219146	0.0144928	0.020979	0.0384615	0.0880503	0.0229885
0.00789323	0.00562622	0.00534442	0.0134615	0.00415007	0.0141213	0.0131356	0.0331633	0.0212264
8.20811e-06	8.94757e-06	1.29875e-05	1.45632e-05	1.02886e-05	1.32118e-05	2.68666e-05	5.76632e-05	6.85619e-05
0.000737585	0.00070852	0.000668785	0.000666158	0.00067554	0.000713212	0.000872111	0.000294318	0.000585651

#### Top 12 Significant Bands (L = 12) →

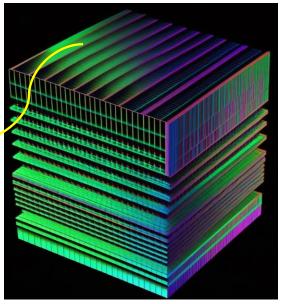
					4	5	6	7	8		10	11	â
	203	31	30	33	29	28	27	32	34	1	26	25	
		130	131	132	133	134	135	136	137	138	139	140	
	203	56	59	57	55	54	51	74	58	47	52	50	
	203	31	30	33	29	28	27	32	34		26	25	
	203	31	30	33	29	28	27	32	34	1	26	25	
		130	131	132	133	134	135	136	137	138	139	140	
	203	31	30	33	29	28	27	32	34	1	26	25	
	203	31	30	33	29	28	27	32	34		26	25	
		130	131	132	133	134	135	136	137	138	139	140	
		130	131	132	133	134	135	136	137	138	139	140	
	203	31	30	33	29	28	27	32	34	1	26	25	
11	203	31	30	33	29	28	27	32	34	1	26	25	

# SEGMENTATION



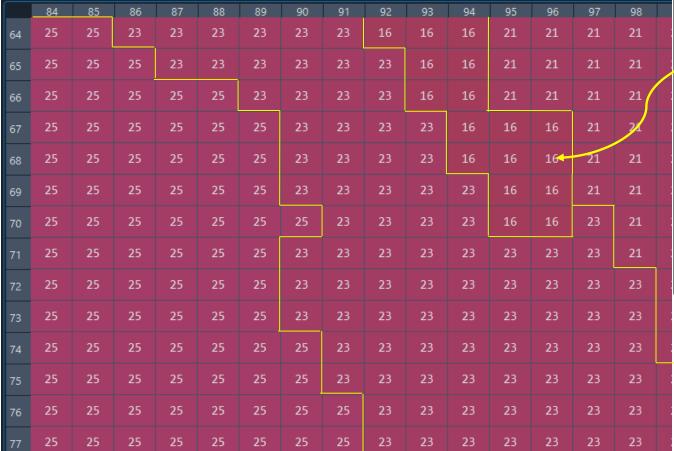
# Input image (in matrix form of size 512 x 217)

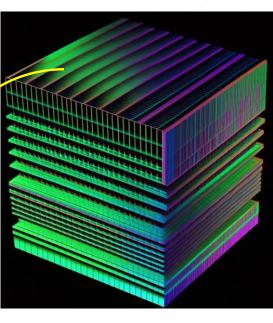
	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100	101	102	103
64	304	304	375	375	375	375	304	446	304	304	375	304	304	375	375	304	304	304	375	375
65	374	231	303	374	303	303	303	231	303	303	231	303	374	303	374	374	303	303	303	303
66	308	308	379	308	308	308	308	308	379	308	308	308	379	308	451	308	308	308	308	379
67	308	308	298	298	298	369	298	298	298	298	298	298	298	298	441	298	298	298	298	369
68	369	298	298	298	298	369	298	298	298	298	298	298	298	298	441	298	298	298	298	369
69	369	227	369	298	298	298	298	441	298	298	369	298	298	227	298	227	298	298	298	298
70	365	365	294	365	294	365	365	365	365	365	294	365	294	365	294	222	365	294	294	436
71	300	300	372	372	372	300	300	300	372	300	300	300	372	300	372	300	372	372	300	372
72	300	300	372	372	372	300	300	300	372	300	300	300	305	305	233	305	305	376	305	447
73	305	305	305	233	305	305	376	376	305	376	376	376	305	305	233	305	305	376	305	447
74	316	245	387	316	387	316	316	316	387	316	387	245	316	316	316	316	316	316	387	387
75	306	306	306	306	235	449	377	377	306	306	306	306	306	306	377	306	306	306	306	306
76	306	306	306	306	305	376	376	305	305	305	305	305	376	305	233	233	376	305	305	305
77	305	233	305	305	305	376	376	305	305	305	305	305	376	305	233	233	308	308	308	308



## Segmented image (in matrix form of size 512 x 217)

#### **Total 170 Segments**





```
n pix = np.zeros((numRegns,1))
k cl = 16
i = 0
L = 12 \# Top bands
#for significant segments
                                  Calculation of Gini index for each segments.
for prop in regions:
    pxIdLst = prop.coords
    matrix = np.zeros((len(pxIdLst),d))
    for j in range(d):
        band = mat[pxIdLst[:,0],pxIdLst[:,1],j];
        for aa in range(len(band)):
            matrix[aa,j] = band[aa] #matrix for each segment
    gni mat[i] = gini(matrix) #gini for each segment
    n pix[i] = len(pxIdLst)
    i = i + 1
sig_gini = np.zeros(numRegns)
```

gni\_mat = np.zeros((numRegns,1)) #gini index for all segment

sig gini = np.argsort(sig gini)

```
for i in range(numRegns):
    sig_gini[i] = 50
    if(n_pix[i] > 5):
        sig_gini[i] = gni_mat[i]

Considering only those segments in which no. of pixels is greater than 5 and calculating Significant Segments on the basis of Gini Index and number of pixels.
```

# Segments are arranged (Ascending) based on their Ginni index

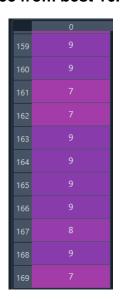
Ginni Index S	No. of segments		Ginni Index	No. of Segments
0	1		0	1
0.000524715	0	0	1.1574e-05	120
0.000280672	1	1	2.46516e-05	98
5.01864e-05	2	2	2.54236e-05	162
8.64598e-05	3	3	2.57029e-05	115
0.000393657	4	4	2.633e-05	135
0.000217093	5	5	3.01953e-05	21
0.000101229	6	6	3.22963e-05	130
0.00185795	7	7	3.43106e-05	12
0.000696704	8	8	3.48677e-05	108
7.55814e-05	9	9	3.75538e-05	125
7.44806e-05	10	10	3.77923e-05	136

Pairwise distances of remaining segments from best 16 Segments.

Remaining Segments (16 to 169) →

			ng ooginio.	10 (10 10 10	- , -	
	164	165	166	167	168	169
4	14766.9	12539.6	15167	16576.8	15707.1	22521.2
5	14938.9	12559.9	15317.2	14621.5	15873.9	23154.6
6	15332	12879.2	15689.3	13183	16255.2	23814.5
7	9925.26	8490.33	10352.3	21179.7	10766.6	16306.1
8	15810.6	13306.8	16150.9	11852.6	16712.8	24468.2
9	9334.36	6868.82	9632.57	14259.5	10174.3	18345.8
10	14626.6	12303.9	15013.8	15421	15567	22685.2
11	14833.3	12702.4	15241.6	17580	15767.7	22305.2
12	9836.59	7815.7	10249.1	18398.5	10781.3	17427.4
13	14978.2	12641.6	15363.9	15235.1	15914.7	23048.5
14	15284.5	12840.3	15647.7	13453.2	16206.1	23705.5
15	14475.1	12440.1	14891.1	18426.5	15404.8	21708.9

Labels are assigned to remaining segments according to minimum distance from best 16.



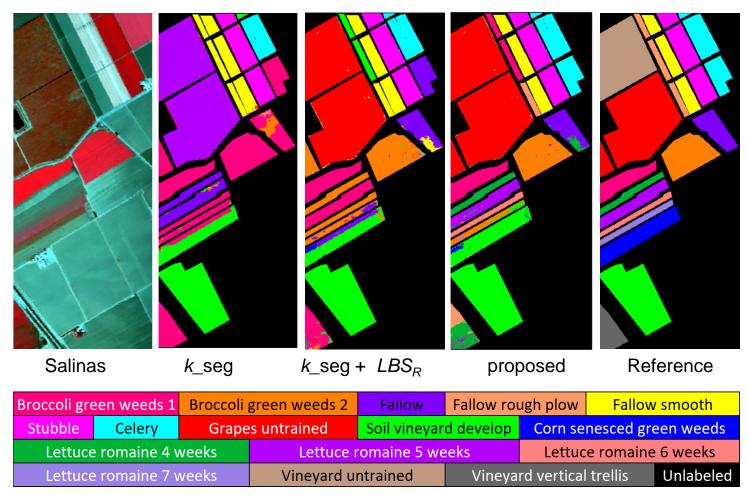
**Best 16 Segments** 

- Best 16 out 170 segments are identified.
- Labels (0 to 15) are given to remaining segments according to minimum distance from best 16.

# Relabelled Segmented image (in matrix form of size 512 x 217) Total 16 Segments

	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100	101	102	103
64	9	9	14	14	14	14	14	14	15	15	15	4	4	4	4	4	4	4	4	4
65	9	9	9	14	14	14	14	14	14	15	15	4	4	4	4	4	4	4	4	4
66	9	9	9	9	9	14	14	14	14	15	15	4	4	4	4	4	4	4	4	4
67	9	9	9	9	9	9	14	14	14	14	15	15	15	4	4	4	4	4	4	4
68	9	9	9	9	9	9	14	14	14	14	15	15	15	4	4	4	4	4	4	4
69	9	9	9	9	9	9	14	14	14	14	14	15	15	4	4	4	4	4	4	4
70	9	9	9	9	9	9	9	14	14	14	14	15	15	14	4	4	4	4	4	4
71	9	9	9	9	9	9	14	14	14	14	14	14	14	14	4	4	4	4	4	4
72	9	9	9	9	9	9	14	14	14	14	14	14	14	14	14	4	4	4	4	4
73	9	9	9	9	9	9	14	14	14	14	14	14	14	14	14	4	4	4	4	4
74	9	9	9	9	9	9	9	14	14	14	14	14	14	14	14	4	4	4	4	4
75	9	9	9	9	9	9	9	14	14	14	14	14	14	14	14	14	4	4	4	4
76	9	9	9	9	9	9	9	9	14	14	14	14	14	14	14	14	4	4	4	4
77	9	9	9	9	9	9	9	9	14	14	14	14	14	14	14	14	14	14	14	4

#### **Results And Discussion**



# **←** Methods

#### **Results And Discussion**

Datasets	Sa	linas
Methods	NMI	Purity
<i>k</i> -means	0.7242	0.6734
<i>k</i> _seg	0.7921	0.6738
k_seg + LBS <sub>R</sub>	0.7932	0.6426
proposed (k_seg+ LBS)	0.8130	0.7011

#### **Parameter Settings**

Variables →	k_seg	<i>k</i> _cl	L
<i>k</i> -means	-	16	All bands
k_seg	5	28	All bands
k_seg + LBS <sub>R</sub>	7	18	12
proposed (k_seg+ LBS)	6	26	12

k\_seg is number of segments,
k\_cl is number of significant clusters,
L is number of significant bands taken,
LBS<sub>R</sub> is Local Band Selection with Redundant bands,
LBS is Local Band Selection without Redundancy.

# **Summary**

In this study several approaches of image classification based on **segmentation clustering** with **local band selection** techniques through **gini impurity** are preformed in order to enhance relevancy and remove redundancy.

Experiments were performed on Salinas dataset and the results were compared on the basis of accuracy assessment.

- Among the evaluated methods, higher accuracy is achieved by segments consisting less redundant bands.
- The accuracy measurements in terms of NMI for  $k\_seg + LBS$  for dataset Salinas is **0.8130** respectively.
- While, the other methods have comparatively less accuracy than above mentioned.

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