

Harrington Legal Counsel

9 Willow Bend, Suite 12

HL/REC/2025/207

SCANNED

Document Production Unit

Date: October 11, 2025

Request for Limited Document Production for Audit

Our office represents a corporate client engaged in a routine compliance audit that necessitates limited access to historical account correspondence and transaction logs within a defined timeframe. We request *production of documents pertaining to the period* between January 1, 2023 and December 31, 2024 that relate to account authorizations, recorded consents, and third-party agent notices. This request is narrowly scoped to items necessary for the audit and does not seek exhaustive disclosure of privileged communications. Please indicate any standard forms you require to process this document production request or any costs associated with retrieval. We appreciate receiving a custodian declaration identifying the records generated and maintained. Our client prefers electronic copies delivered via secure file transfer. If there are legal constraints to providing particular documents, kindly communicate those constraints.



Attorney for the Client