



Graph Algorithms: Minimum Spanning Tree (Ch 23)

Minimum spanning tree

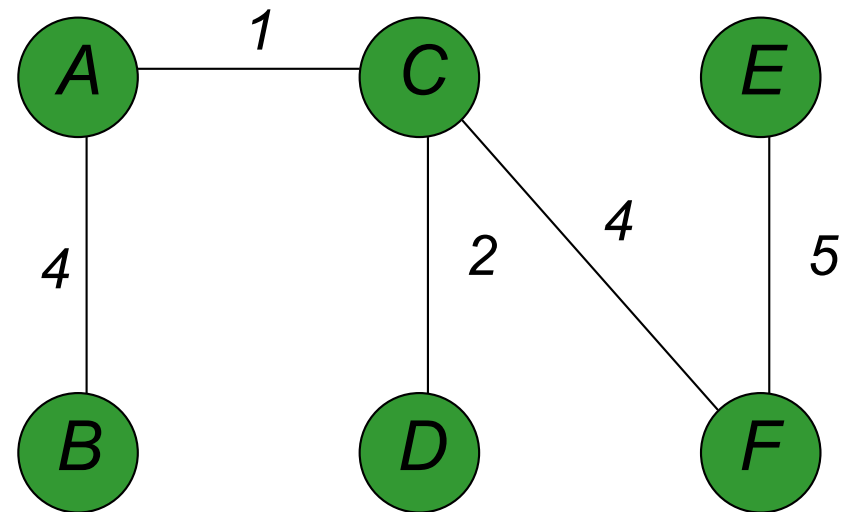
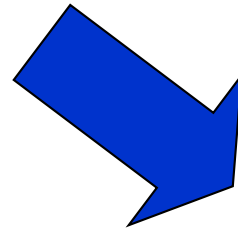
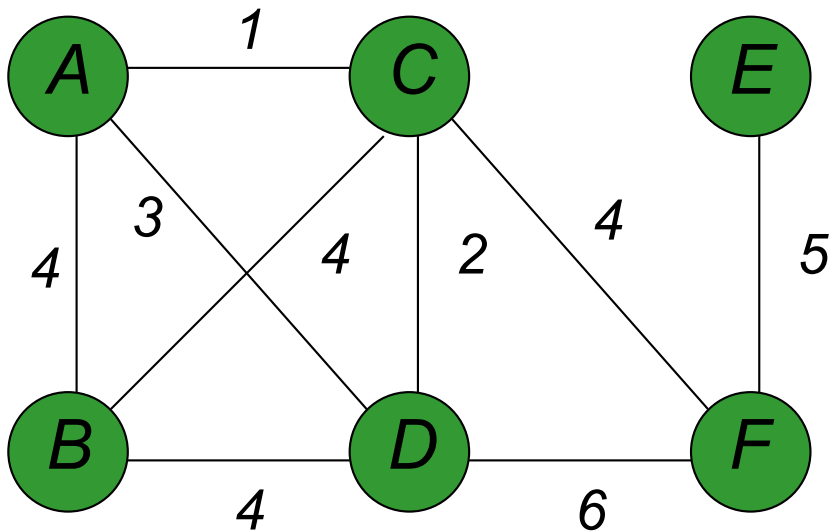
What is the lowest weight set of edges that connects all vertices of an undirected graph with positive weights

Input: An undirected, positive weight graph, $G=(V,E)$

Output: A tree $T=(V,E')$ where $E' \subseteq E$ that minimizes

$$weight(T) = \sum_{e \in E'} w_e$$

MST example



Applications?

Connectivity

- Networks (e.g. communications)
- Circuit design/wiring

hub/spoke models (e.g. flights, transportation)

Traveling salesman problem?

MST construction: Kruskal's method

- ❖ create a forest F (a set of trees), where each node in the graph is a separate (trivial) tree.
- ❖ create a set S containing all the links in the network.
- ❖ while S is nonempty and F is not yet spanned
 - remove a **link with minimum** cost from S
 - if that link **connects two different trees**, then **add** it to the forest, combining two trees into a single tree

Greedy algorithm

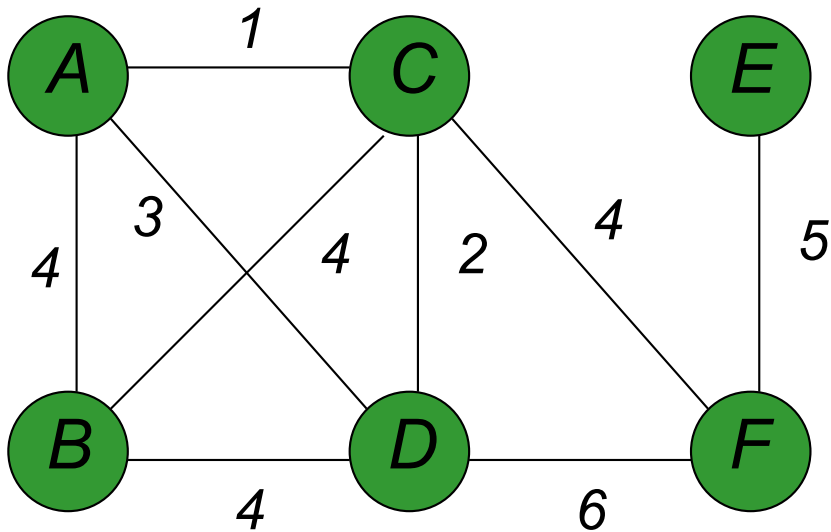
MST construction: Kruskal's method

MST-KRUSKAL(G, w)

```
1   $A = \emptyset$ 
2  for each vertex  $v \in G.V$ 
3      MAKE-SET( $v$ )
4  sort the edges of  $G.E$  into nondecreasing order by weight  $w$ 
5  for each edge  $(u, v) \in G.E$ , taken in nondecreasing order by weight
6      if FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ ) // Test if  $u$  and  $v$  belong to same tree
7           $A = A \cup \{(u, v)\}$ 
8          UNION( $u, v$ ) // Combine two trees
9  return  $A$ 
```

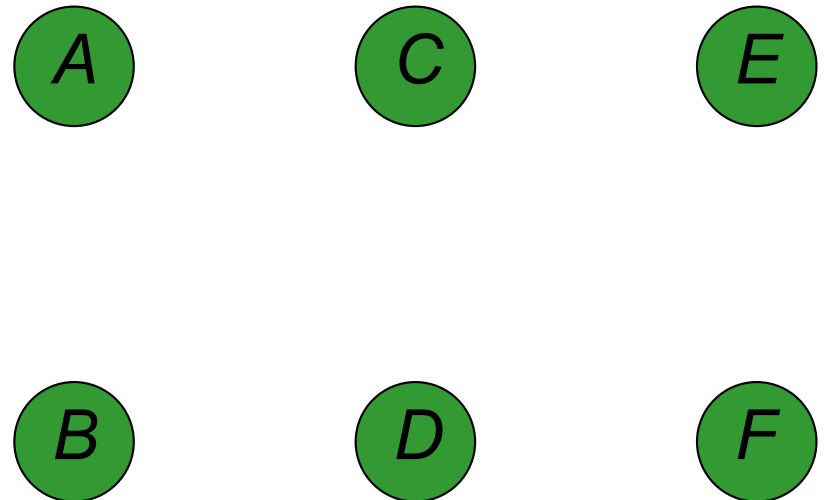
Kruskal's algorithm

Add smallest edge that connects two sets not already connected



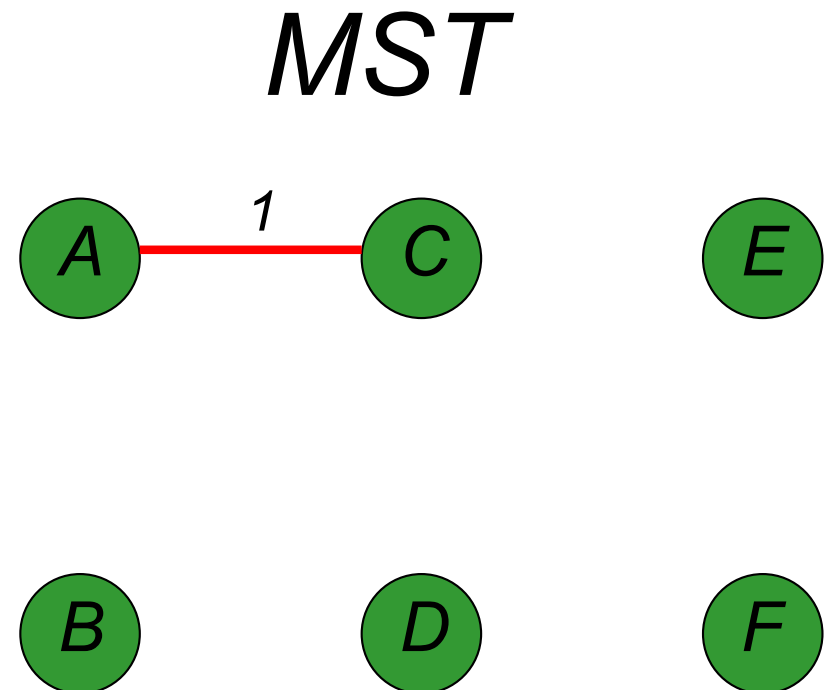
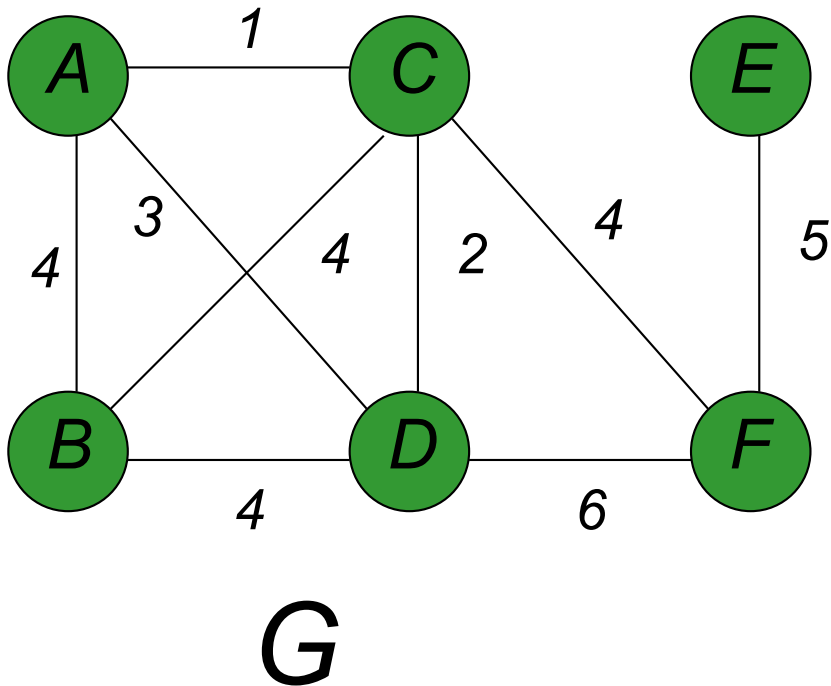
G

MST



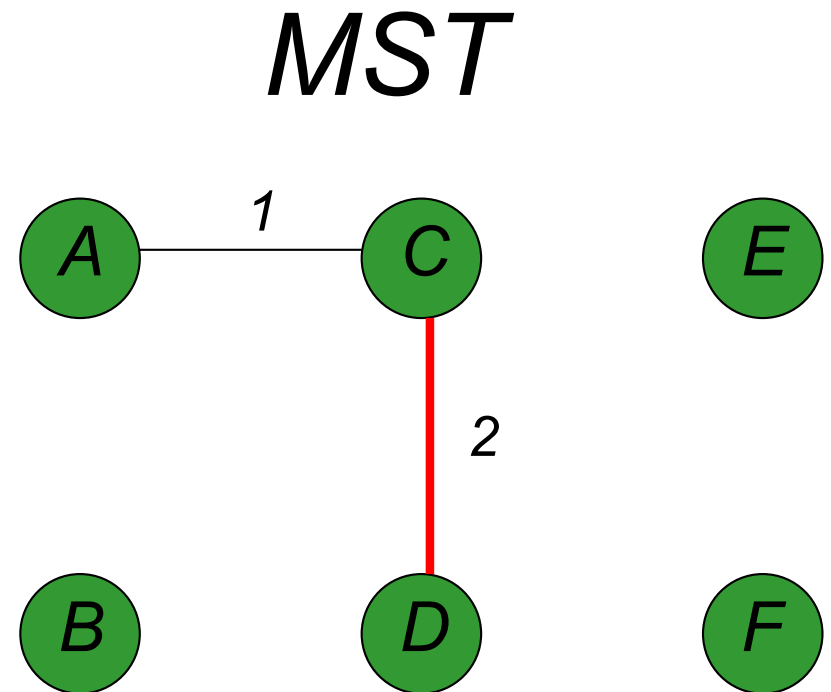
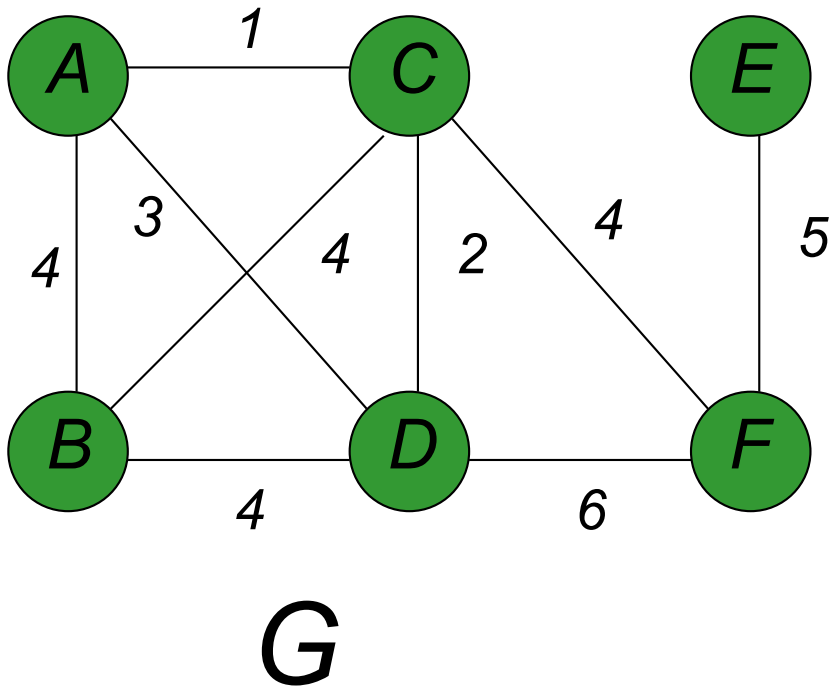
Kruskal's algorithm

Add smallest edge that connects two sets not already connected



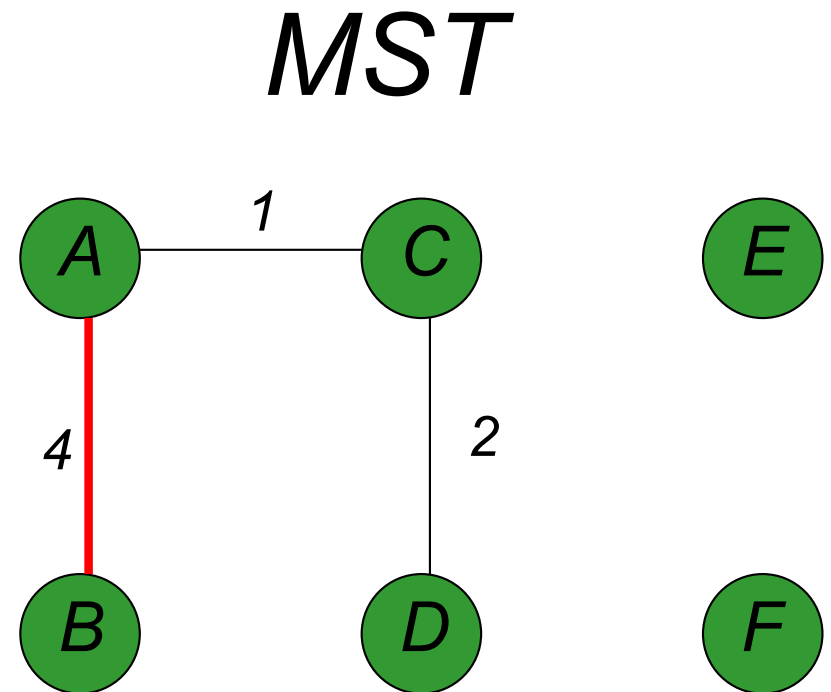
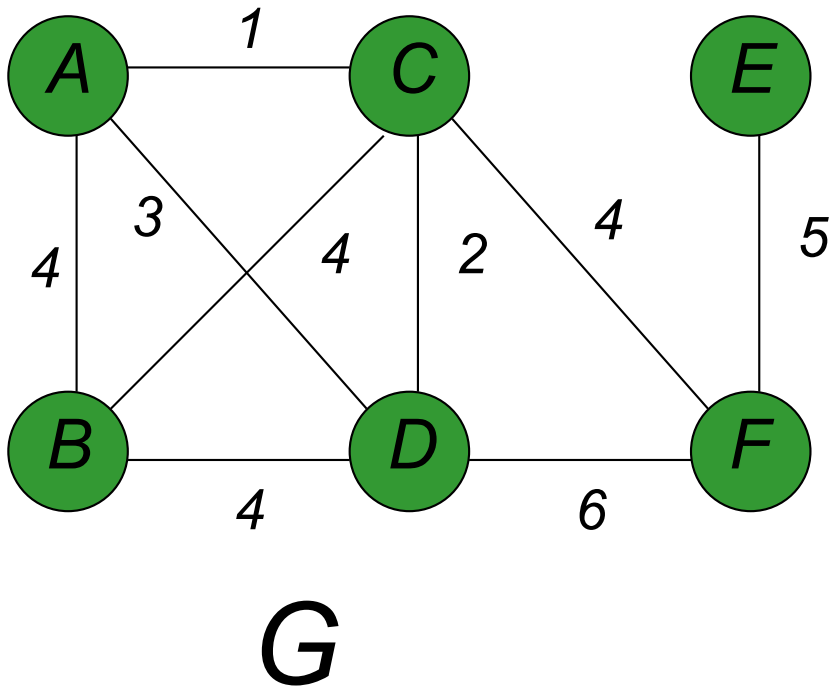
Kruskal's algorithm

Add smallest edge that connects two sets not already connected



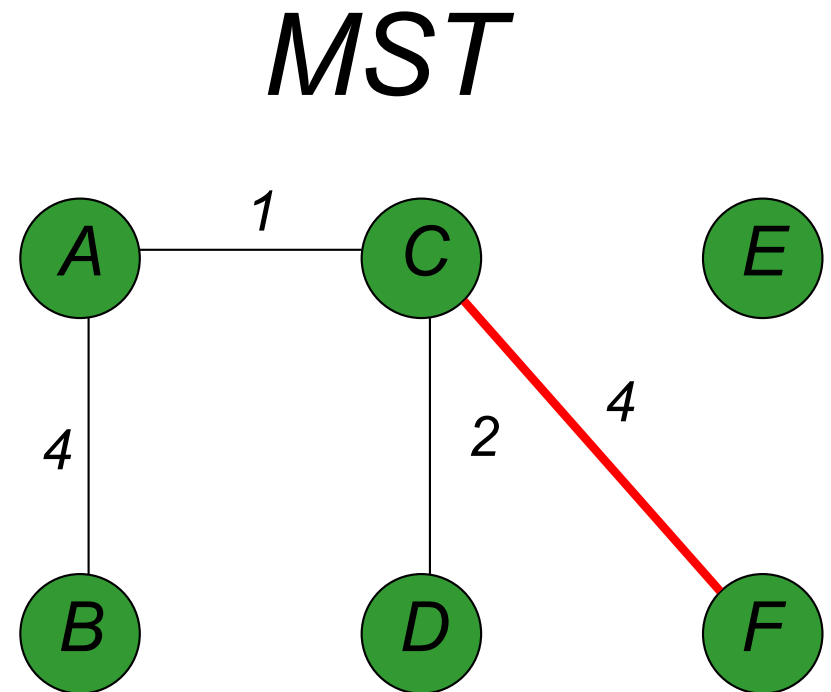
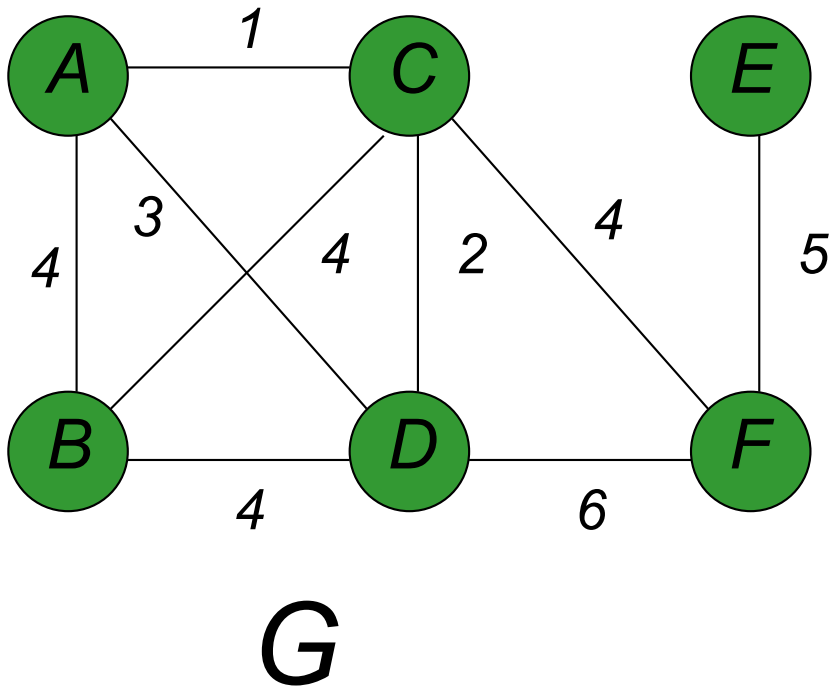
Kruskal's algorithm

Add smallest edge that connects two sets not already connected



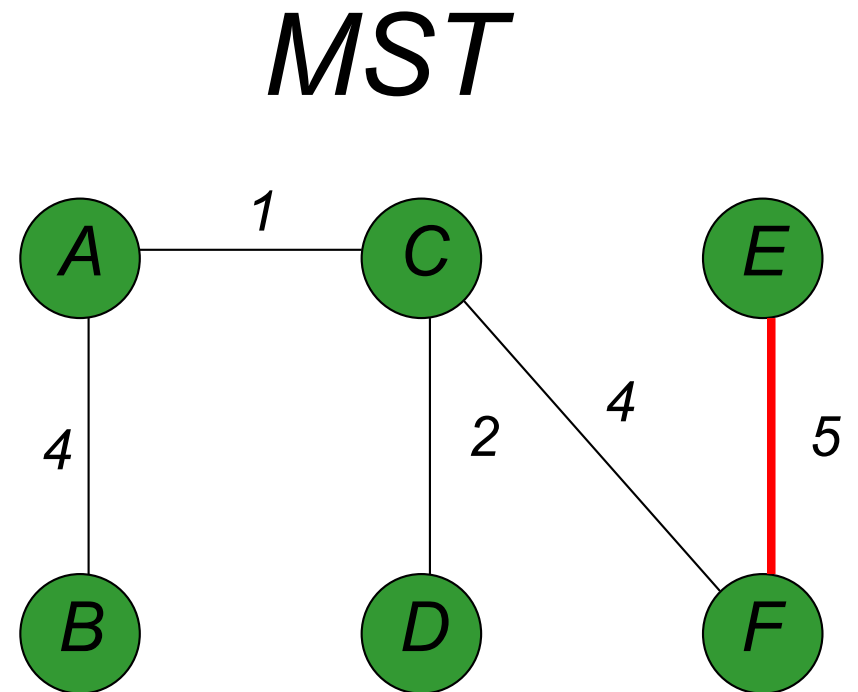
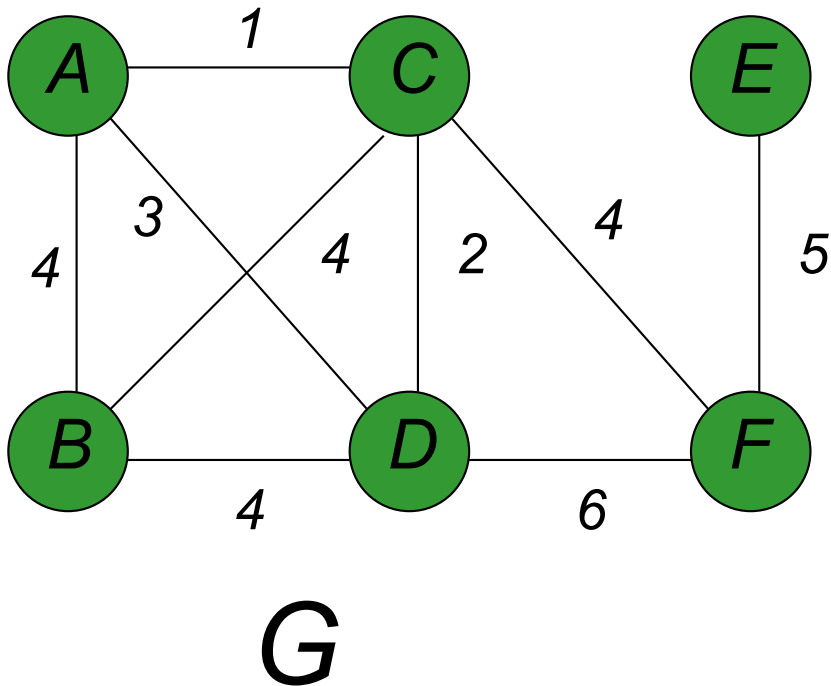
Kruskal's algorithm

Add smallest edge that connects two sets not already connected



Kruskal's algorithm

Add smallest edge that connects two sets not already connected



Kruskal Alg's Complexity

MST-KRUSKAL(G, w)

```
1   $A = \emptyset$ 
2  for each vertex  $v \in G.V$ 
3      MAKE-SET( $v$ )
4  sort the edges of  $G.E$  into nondecreasing order by weight  $w$ 
5  for each edge  $(u, v) \in G.E$ , taken in nondecreasing order by weight
6      if FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ )     $O(1)$ 
7           $A = A \cup \{(u, v)\}$ 
8          UNION( $u, v$ )                     $O(\lg |V|)$  per iteration (amortized)
9  return  $A$ 
```

Sorting: $O(|E| \lg |E|)$

For loop: $O(|E| \lg |E|)$

Thus, total time = $O(|E| \lg |E|)$

$= O(|E| \lg |V|)$ since $(\lg |E|) = O(\lg |V|)$ as $|E| < |V|^2$

MST construction: Prim's method

- Initialize a tree with a single node, chosen arbitrarily from the network.
- **Grow the tree by one edge**: of the edges that connect the tree to nodes not yet in the tree, find the **minimum-cost link**, and transfer it to the tree.
- Repeat step 2 (until all nodes are in the tree).

Greedy Algorithm

Prim's Algorithm

MST-PRIM(G, w, r)

```
1  for each  $u \in G.V$ 
2       $u.key = \infty$ 
3       $u.\pi = \text{NIL}$       Parent
4   $r.key = 0$ 
5   $Q = G.V$       Priority queue
6  while  $Q \neq \emptyset$ 
7       $u = \text{EXTRACT-MIN}(Q)$ 
8      for each  $v \in G.Adj[u]$ 
9          if  $v \in Q$  and  $w(u, v) < v.key$ 
10              $v.\pi = u$ 
11              $v.key = w(u, v)$ 
```

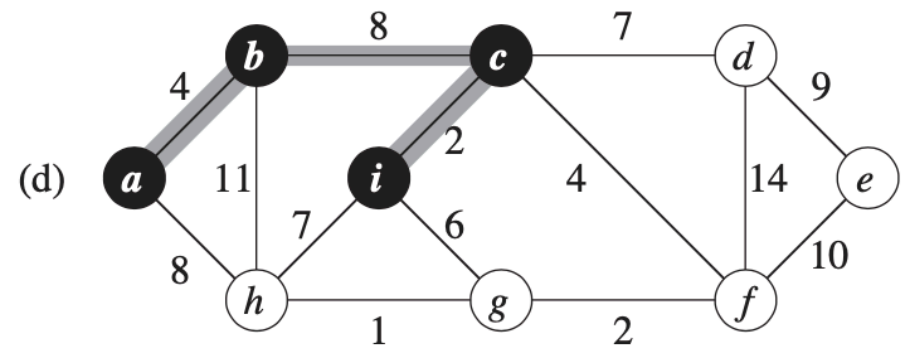
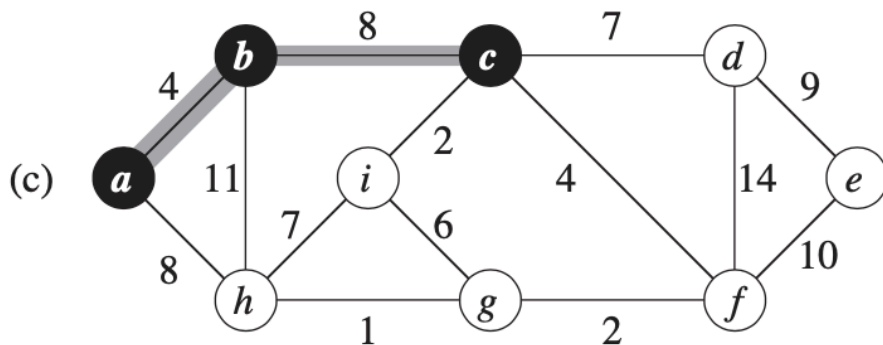
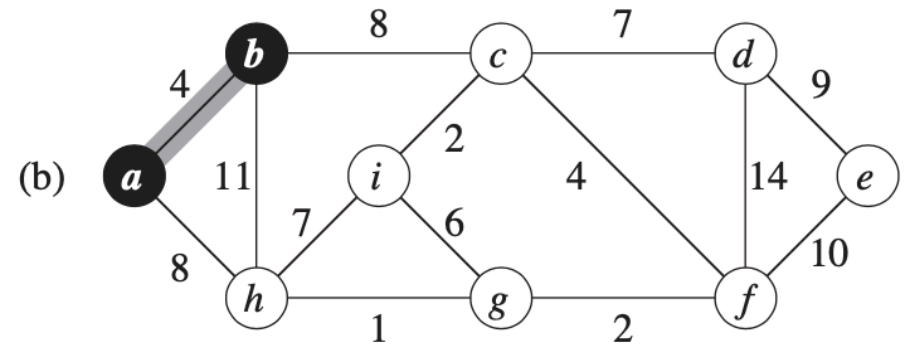
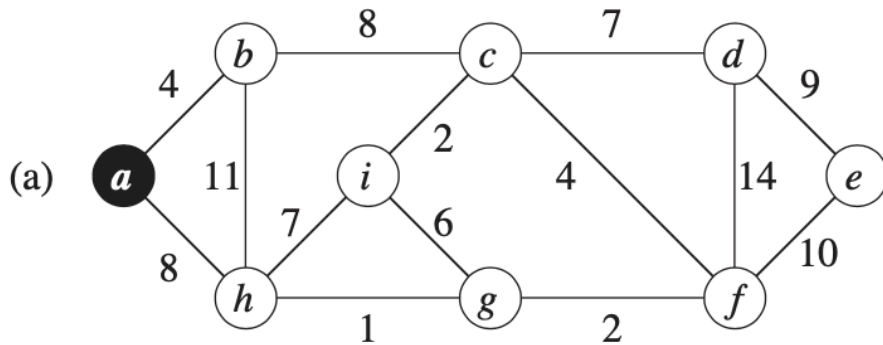
Set of vertices is partitioned into two subsets: V-Q and Q.

Set V-Q indicates current MST.

Extract u in Q incident on least weight edge between V-Q and Q . And add u in V-Q.

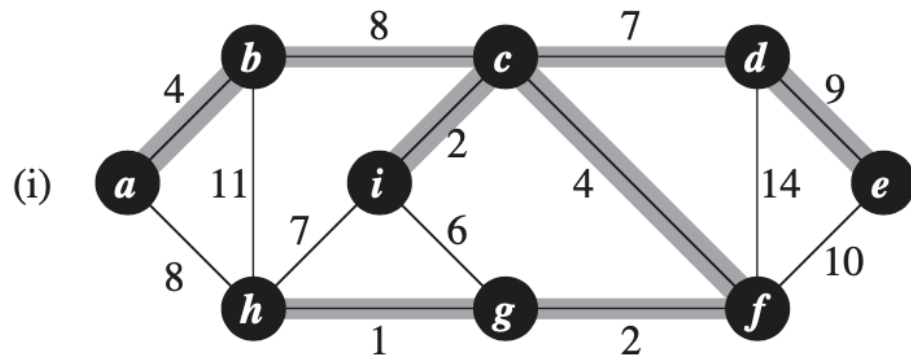
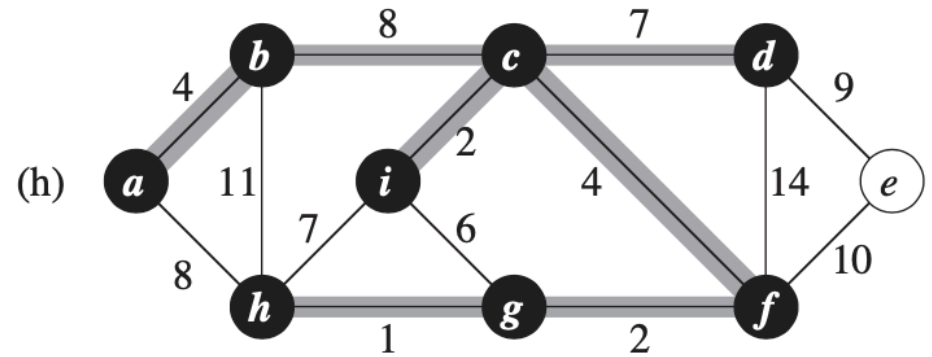
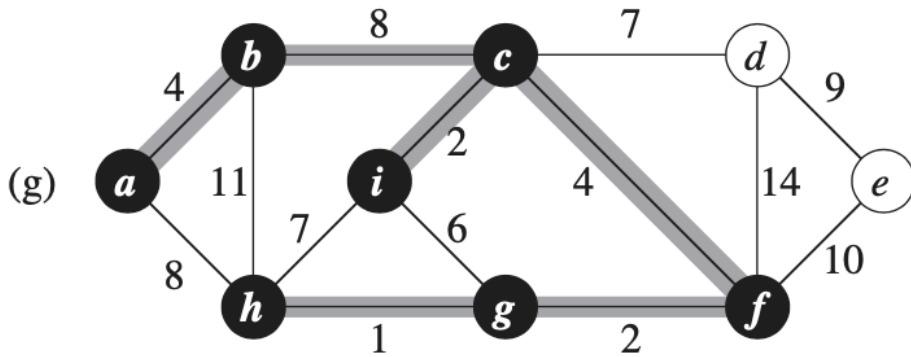
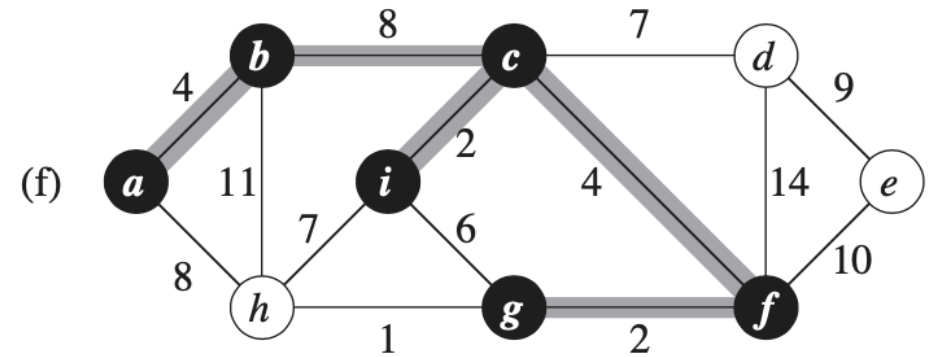
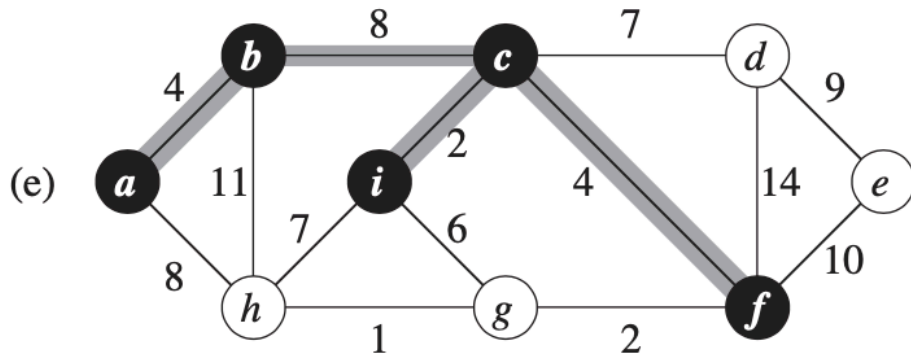
update the key and parent of each node v adjacent to u but not in the tree.

Prim's Algorithm



V-Q indicates current MST and is shaded in black.

Prim's Algorithm



Prim's Algorithm: Time Complexity

MST-PRIM(G, w, r)

```
1  for each  $u \in G.V$ 
2       $u.key = \infty$ 
3       $u.\pi = \text{NIL}$ 
4   $r.key = 0$ 
5   $Q = G.V$    Buildheap:  $O(|V|)$ 
6  while  $Q \neq \emptyset$ 
7       $u = \text{EXTRACT-MIN}(Q)$ 
8      for each  $v \in G.Adj[u]$ 
9          if  $v \in Q$  and  $w(u, v) < v.key$ 
10              $v.\pi = u$ 
11              $v.key = w(u, v)$ 
```

*Depends on how we
implement priority queue*

$|V|$ calls to Extract-Min, each of $O(\lg|V|)$

*lines 8–11 executes $O(|E|)$
times altogether, since the
sum of the lengths of all
adjacency lists is $2|E|$*

Line 11 involves Decrease-Key: $O(\lg|V|)$ for binary heap and $O(1)$ for fibonacci heap.

Using binary heap, $\text{time} = O(|V| \lg |V|) + O(|E| \lg |V|) = O(|E| \lg |V|)$

Using fibonacci heap, $\text{time} = O(|V| \lg |V| + |E|)$

Prim's Algorithm: Correctness

- Straightforward using induction