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Constraints on inflation from scale-invariant gravity

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The theory of inflation attempts to resolve the need for extreme fine-tuning of the initial conditions of the universe by positing a period of exponential expansion. In this paper, we posit that a compelling inflationary model emerges by demanding local scale invariance (Weyl symmetry). We present a Weyl-invariant single-scalar model of cosmic inflation in which local scale symmetry is gauged by introducing a dilaton ϕ and Weyl gauge vector B_{μ} such that no explicit mass parameters appear at the classical level. This model has the added benefit of observables not depending on the arbitrary energy scale at which scale invariance is broken.

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I. Introduction

Inflationary Theory. — Inflationary theory was originally developed in a series of papers by Guth [1], Linde [2], Steinhardt [3], and Starobinsky [4], and is a paradigm that aims to explain the observed level of homogeneity and isotropy in the Universe today. It posits that in the early universe, a small patch underwent a period of rapid exponential expansion where all the initial inhomogeneities were wiped out. The core concept of the theory is that during the early Universe, a homogeneous, isotropic field pervaded spacetime, such that its potential energy was greater than its kinetic energy. This supplied the negative pressure required in the Einstein Field Equations (EFEs) [5] for gravity to become repulsive and cause the rapid exponential expansion necessary for inflation. Inflation termi-

nates when the slow-roll conditions—characterized by small field acceleration and kinetic energy relative to potential energy—break down, triggering reheating into Standard Model particles [6].

While inflation is phenomenologically successful, it lacks a unique fundamental origin. Most models are ad hoc, constrained only by observational data on the inflaton potential's slope and curvature. This paper argues that a particularly satisfying and vibrant inflationary model emerges by demanding local scale invariance (Weyl symmetry [7]) for the inflatongravity system. Such a symmetry naturally restricts the Lagrangian's form and links to broader theoretical principles like conformal invariance [8].

The Appeal of Scale Invariance. — Scale invariance in cosmology seems quite an attractive hypothesis given the nearly scale-invariant spectrum of primordial fluctuations as measured by Planck and WMAP [9, 10], which might reflect the approximate dilation symmetry of the quasi-de Sitter phase during inflation. We also see that during inflation, which had approximately a de Sitter metric,

$$ds^{2} = \frac{1}{H^{2}\eta^{2}}(d\eta^{2} - d\vec{x}^{2}), \tag{1}$$

remains unchanged for a scale change corresponding to $\vec{x} \to \lambda \vec{x}$ and $\eta \to \lambda \eta$ (where $\mathrm{d}\eta = \frac{\mathrm{d} t'}{a(t')}$ is the conformal time), it therefore compels us to consider that any Effective Field Theory (EFT) defined on this background must be scale invariant too, perhaps even locally. This is in line with how EFTs defined on Minkowski space are expected to obey the symmetries of the Lorentz group $SO^+(1,3)$. Much of the Starobinsky potential's success also arises from including the R^2 term in the Lagrangian, given $[R^2] = 4$ in natural units, its coefficient is naturally dimensionless and therefore invariant under change of scale $g_{\mu\nu} \to \Omega^2 g_{\mu\nu}$, for Ω constant [11, 12].

An alternative approach to the study of inflationary cosmology involves the examination of metric-affine theories, wherein the metric and the connection are treated as separate entities to be varied within the Lagrangian framework. In these theories, there is a violation of the metricity property

 $(\nabla g \neq 0)$, or the connection is not symmetric $(\mathcal{T}^{\alpha}_{\beta\gamma} \neq \mathcal{T}^{\alpha}_{\gamma\beta})$, or both, which implies that the connection is distinct from the Levi-Civita connection. Therefore, the space of operators that can be included in the action is significantly enlarged. One of these terms that is included in the action is the Holst invariant $(\mathcal{R}' \equiv \epsilon^{\mu\nu\rho\sigma}R_{\mu\nu\rho\sigma}/\sqrt{-g})$, which is zero if the connection is Levi-Civita). This is a quantity that is inherently scale-invariant, and has therefore been of keen interest in inflationary model building [13, 14]. The resulting potential shown in [13] is congruent with the one derived through the imposition of scale invariance as discussed in [15], and the translation between the variables and parameters existing in the two respective theories will be elucidated in appendix B. Furthermore, the Standard Model (SM) action without the Higgs mass terms, or augmented by a dilaton field ϕ (also called the compensator field) 1 , is also classically scale invariant [16, 17].

Research has also been conducted on the role of the Higgs field in giving rise to an inflationary scenario via a non-minimal coupling of the Higgs field and the Ricci scalar in the action $(S \propto \int \xi H^\dagger H R)$ [18]. There have also been investigations of models of a similar form wherein the scalar field is non-minimally coupled to the curvature (here the coupling ξ has to take specific values to ensure conformal invariance), such as to render the entire action scale-invariant. Such models are referred to as conformally coupled scalar-gravity models [19, 20].

These investigations may hint towards a fundamental principle out of which these arise as limits - *the inflaton potential arising* due to the gauging of this scale invariance, i.e., Weyl symmetry.

Structure of the Thesis. — This paper is organized as follows: section II elucidates how the introduction of a scalar field that violates the strong energy condition helps us resolve the horizon and flatness problems. It further derives the necessary formulae and analytical tools required to examine the particular model that will be presented in section III and section IV. In section III, we construct the most general Weyl Invariant action that is linear in curvature. However, we will see that the gravitational sector and the scalars are coupled when we do this. The primary objective of this section is to reformulate the action into a more conventional structure, one consisting of an Einstein-Hilbert term and an inflaton action term. Finally, in section IV, we conduct a thorough analysis of the inflaton action and the behavior of the resultant potential it predicts. Additionally, we will align the parameters with empirical observations, demonstrating a satisfactory correspondence. We use the metric signature (+, -, -, -) in this paper.

II. Inflation

The standard Hot Big Bang model successfully describes nucleosynthesis and the CMB. However, there exist some issues with this model, such as the two fine-tuning problems, which are the horizon and the flatness problems (For more details, see appendix A):

Both of these are elegantly resolved if we assume that the early universe underwent a brief phase of accelerated (near-exponential) expansion, referred to as inflation, during which the comoving Hubble radius $(aH)^{-1}$ shrank dramatically. A decreasing comoving horizon directly implies a period of accelerated expansion, as seen by taking its derivative

$$\frac{\mathrm{d}}{\mathrm{d}t} \frac{1}{aH} < 0 \implies \frac{-\ddot{a}}{(aH)^2} < 0 \implies \ddot{a} > 0. \tag{2}$$

Given that $\ddot{a} > 0$ and the Friedmann equations (see eq. (A1)), we get $p < -\frac{\rho}{3} \implies w < -\frac{1}{3}$.

In the following section, we shall describe how such a negative pressure source can arise through the introduction of a scalar field with certain properties.

a Canonical Scalar Field Inflation. — The simplest models of inflation involve a scalar field φ (the inflaton field) that acts as the perfect fluid with $w < -\frac{1}{3}$ such that upon coupling with gravity through the Einstein–Hilbert action, it can provide the repulsive force in the Friedmann equations for the accelerated expansion.

In this section, we shall consider a scalar field in the presence of gravity and derive the equations of motion (EOM) and relevant quantities. This will provide us with the necessary groundwork for what is to come later in our model

$$S = \int \mathrm{d}^4 x \sqrt{-g} \left[-\frac{M_p^2}{2} R + \frac{g^{\mu\nu}}{2} \partial_\mu \varphi \partial_\nu \varphi - V(\varphi) \right] \ . \tag{3}$$

Under certain spacetime conditions, it has been demonstrated that, with given initial conditions, the fields and the spacelike hypersurface exhibit a unique evolution [21]. Consequently, we can write the action eq. (3) as

$$S = \int_{t_1}^{t_2} dt \int_{\mathcal{V}} \alpha a^3(t) \left[-\frac{M_p^2}{2} R + \frac{1}{2} \dot{\varphi}^2 - \frac{1}{2} \gamma^{ij} \partial_i \varphi \partial_j \varphi - V(\varphi) \right]. \tag{4}$$

Since during the inflationary period, we assume an approximately de Sitter space (minus the perturbations), the FLRW metric is given by $g_{\mu\nu} = \alpha^2 \mathrm{d}t^2 - \gamma_{ij} \mathrm{d}x^i \mathrm{d}x^j$ (derived from the full general metric in the appendix D eq. (D3). Using the spatially homogeneous and isotropic nature of the FLRW, we can set $\beta^i = 0$) making $\sqrt{-g} = \alpha a^3(t) \sqrt{\gamma}$. We also assume that the scalar field is homogeneous and, therefore, only varies with time, allowing us to drop the gradient term. Using the form of the Ricci scalar in the FLRW metric, we get:

$$S = \mathcal{V} \int_{t_1}^{t_2} dt \left[-3M_p^2 \left(\frac{-a\dot{a}^2}{\alpha} + \alpha ak \right) + \frac{a^3(t)}{2\alpha} \dot{\varphi}^2 \right]$$

¹ A field $\phi(x)$ with mass dimension introduced such that it absorbs the scale transformation is classically scale-invariant. Then the gauge is fixed to one where the field is no longer dynamical $\phi(x) \to \phi_0$. However, a criticism of these models is the ad hoc introduction of the mass scale ϕ_0 [16]

$$-\alpha a^3(t)V(\varphi)$$
 (5)

Using the Euler-Lagrange Equations for α , a(t) and φ respectively, gives us the EOM

$$\left(\frac{\dot{a}}{\alpha a}\right)^2 + \frac{k}{a^2} = \frac{1}{3M_p^2} \left(\frac{1}{2}\alpha^{-2}\dot{\varphi}^2 + V(\varphi)\right), \quad (6a)$$

$$\frac{2\ddot{a}}{\alpha^2 a} + \left(\frac{\dot{a}}{\alpha a}\right)^2 + \frac{k}{a^2} = -\frac{1}{M_p^2} \left(\frac{1}{2} \alpha^{-2} \dot{\varphi}^2 - V(\varphi)\right), \quad (6b)$$

$$\ddot{\varphi} + 3\left(\frac{\dot{a}}{a}\right)\dot{\varphi} + V_{,\varphi} = 0. \tag{6c}$$

We see that the EOM implies α to be an arbitrary constant, which we can choose to be unity with no loss in generality. Writing them more suggestively

$$H^{2} + \frac{k}{a^{2}} = \frac{1}{3M_{p}^{2}} \left(\frac{\dot{\varphi}^{2}}{2} + V(\varphi)\right),\tag{7}$$

$$\frac{\ddot{a}}{a} = \frac{1}{3M_p^2} \left(\dot{\varphi}^2 - V(\varphi) \right),\tag{8}$$

$$\ddot{\varphi} + 3H\dot{\varphi} + V_{,\varphi} = 0. \tag{9}$$

The first two equations, eq. (7) and eq. (8), correspond to the first two Friedmann equations, respectively, if we make the following identification of the pressure p and density ρ of the

scalar field:

$$\rho = \frac{1}{2}\dot{\varphi}^2 + V(\varphi) \tag{10a}$$

$$p = \frac{1}{2}\dot{\varphi}^2 - V(\varphi) \tag{10b}$$

The third equation, eq. (9), is the scalar field equation of motion for a spin-zero particle in the FLRW metric with the potential $V(\varphi)$.

To derive the EOM of the inflaton field with respect to the e-folding time N defined as,

$$dN = Hdt \tag{11}$$

We use the first Friedmann equation², we get

$$3M_p^2 H^2 = \frac{1}{2}\dot{\varphi}^2 + V(\varphi) \tag{12}$$

Upon differentiating this expression with respect to time and using eq. (9), we get:

$$2M_p^2 \dot{H} = -\dot{\varphi}^2 \tag{13}$$

Now that we have all the relevant parameters as functions of the field, we can replace the time derivatives in eq. (9) with the derivative with respect to the e-folding time N. Using dN = H dt, we get our final answer of the field evolution as a differential equation with respect to N.

$$\frac{\mathrm{d}^2 \varphi}{\mathrm{d}N^2} + 3 \frac{\mathrm{d}\varphi}{\mathrm{d}N} - \frac{1}{2M_p^2} \left(\frac{\mathrm{d}\varphi}{\mathrm{d}N}\right)^3 + \left(3M_p^2 - \frac{1}{2}\left(\frac{\mathrm{d}\varphi}{\mathrm{d}N}\right)^2\right) \frac{\mathrm{d}\ln V(\varphi)}{\mathrm{d}\varphi} = 0 \tag{14}$$

We can now use eq. (14) to determine the evolution of the inflaton field as a function of the e-folding time. We usually require inflation to occur for approximately 60 e-folds. We can verify this is the case for our model using eq. (14) and the slow roll parameters that are defined in the next section.

b Slow Roll Inflation. — Inflation proceeds when the kinetic energy of the scalar field is much smaller than the potential energy, $\dot{\varphi}^2 \ll V(\varphi) \implies \ddot{a} > 0$, and the acceleration is negligible, $|\ddot{\varphi}| \ll 3H\dot{\varphi}$. Under these conditions, the equations eq. (9) and eq. (12) reduce to

$$3H\dot{\varphi} + V_{,\varphi} \approx 0 \; ; \; 3M_p^2 H^2 \approx V(\varphi)$$
 (15)

Using this, we can define the slow-roll parameters (dubbed as such because when this condition is satisfied, it corresponds to

the scalar field slowly rolling down its potential hill) as:

$$\epsilon_V \equiv \frac{M_p^2}{2} \left(\frac{V_{,\varphi}}{V}\right)^2 \quad ; \quad \eta_V \equiv M_p \frac{V_{,\varphi\varphi}}{V} \tag{16} \label{epsilon}$$

Therefore, we can now say that inflation occurs when the slow-roll conditions are satisfied, i.e., $\epsilon_V < 1$ and $|\eta_V| < 1$.

c Inflationary Observables. — Quantum fluctuations of the inflaton field φ and the resulting perturbations in the metric generate scalar (curvature) and tensor (gravitational-wave) perturbations, characterized by spectra [22]

$$P_{\mathcal{R}}(k) \equiv \frac{k^3}{2\pi^2} \langle |\mathcal{R}_{\boldsymbol{k}}|^2 \rangle \; \; ; \quad P_h(k) \equiv \frac{k^3}{2\pi^2} \langle |h_{\boldsymbol{k}}|^2 \rangle \tag{17}$$

where $\mathcal{R}(k)$ is the comoving curvature perturbation and h(k) the transverse-traceless metric modes (For more details, as to how these formulae come about, refer to appendix A 3). One defines the scalar spectral index and tensor-to-scalar ratio by

$$n_s - 1 \equiv \frac{\mathrm{d} \ln P_{\mathcal{R}}(k)}{\mathrm{d} \ln k} \; ; \; r \equiv \frac{P_h(k)}{P_{\mathcal{D}}(k)}$$
 (18)

² The first Friedmann equation is generally given by eq. (7), which includes a term proportional to k. However, a sufficiently long period of inflation drives the curvature term k/a to be negligible. Furthermore, current observational data are highly consistent with a spatially flat universe (k=0)

The scalar spectral index n_s , often referred to as the scalar tilt, measures how the curvature perturbation spectrum scales with wavenumber, that is, the scale-dependence of the power spectrum. The tensor-to-scalar ratio, r, is defined as the normalized value of the amplitude of the tensor fluctuations with respect to the amplitude of the scalar fluctuations.

To leading order in slow roll, we can write these quantities as $n_s \approx 1 - 6\epsilon_V + 2\eta_V$, and $r \approx 16\epsilon_V$ at the horizon crossing scale k = aH [22, 23].

The latest Planck data [9] constrains these values to around $n_s = 0.9649 \pm 0.0042$. However, it still shows that the spectrum is *nearly* scale-invariant, with $P_R(k) \propto k^{-0.0351}$. For r, the Planck data constrains the upper limit to $r_{0.002} < 0.056$ at the pivot scale $k_* = 0.002 \mathrm{Mpc}^{-1}$

III. Scale Invariant Gravity

Theory. — Thus far, these arguments solely impose constraints on the scalar field's potential φ but make no attempt to motivate the form of the potential or the action principle for the field from first principles. Consequently, we see that the inflationary paradigm encompasses a myriad of theories aimed at elucidating the mechanism underpinning the epoch of exponential expansion that transpired when the universe was approximately $\sim 10^{-36} - 10^{-33} s$ old [6, 24].

The objective of this paper is to contend that, given the arguments presented in the introduction section I, local scale invariance emerges as a natural assumption from which to derive an inflaton action. Many actions written down in inflationary physics do include terms that tend to make the action scale invariant at high energies [4, 13, 25–31]. We propose here that this scale invariance is fundamental and a local property of spacetime at high energies that is broken. This is based on the arguments made in the paper [15] about motivating an inflaton field through the principle of local scale invariance. We will follow up on the proposition in this paper and scrutinize the theory with the incorporation of gravitational effects.

So far, all tests of GR are in excellent agreement with the theory, nevertheless, the prevailing consensus posits that GR is an EFT applicable only at low energies [32, 33]. While there have been attempts to UV complete the theory, a naive perturbation expansion introduces new operators with new parameters, thereby reducing its predictive power.

A compelling possibility to consider is that some of the more 'peculiar' aspects of the universe's expansion, namely, inflation, could arise due to the degrees of freedom in the UV theory that have been integrated out.

Specifically, we argue that GR as we know it, with the Einstein–Hilbert Lagrangian being proportional to the Ricci Scalar, is a low-energy approximation of a more fundamental locally scale-invariant theory that describes physics at higher energies. If we demand a minimal departure from classical gravity, this hypothesis necessitates the introduction of a scalar field exhibiting the expected characteristics of an inflaton field.

In the subsequent discussion, we derive the most general lo-

cally scale-invariant action featuring non-irrelevant couplings of the inflaton field and gravity. We do not consider terms proportional to the curvature squared, even though such an extension of the model is completely permissible. It can be readily demonstrated that this leads to a two-field inflaton model [11]. We find, however, that the following model, which we will derive, is quite interesting and matches quite well with what is expected from an inflationary model. We commence our exploration of this possibility with a spin-0 scalar field model that reduces to the Klein-Gordon equation at the lowest order of perturbation

$$S = \int d^4x \sqrt{-g} \frac{1}{2} \left[\partial_\mu \delta \varphi \partial^\mu \delta \varphi - m^2 \delta \varphi^2 \right] - \delta \Sigma(\varphi) \mathring{R}, \quad (19)$$

where $\Sigma(\varphi)$ denotes a generic nonminimal coupling function. The m in this equation is just the coefficient of the quadratic term in the potential. It is there to ensure that the potential has a quadratic minimum suitable for reheating. It is not exactly the mass 3 of the field.

Under local rescalings, the metric and therefore the volume element transform as $g_{\mu\nu} \rightarrow e^{2\rho}g_{\mu\nu}$ and $\sqrt{-g} \rightarrow e^{4\rho}\sqrt{-g}$. Scalar fields transform as $\varphi \rightarrow e^{-\rho}\varphi$. To ensure the kinetic terms retain their form, we introduce the Weyl vector B_{μ} which transforms as such $B_{\mu} \rightarrow B_{\mu} - \partial_{\mu}\rho$ under the gauge transformation (going back to the roots of the word) and define the covariant derivative $D_{\mu} = \nabla_{\mu} - B_{\mu}$ such that we can write the purely kinetic part of this action as

$$S = \int d^4x \sqrt{-g} \frac{g^{\mu\nu}}{2} D_{\mu} \varphi D_{\nu} \varphi. \tag{20}$$

Under a local rescaling of the metric, the kinetic term $D_{\mu}\varphi D_{\nu}\varphi \to e^{2\rho}$ while the metric and the volume element transform as indicated above, therefore the combination in the above equation remains invariant, i.e., the action does not change. To remove the explicit mass scale m appearing in the action eq. (19), we add a compensator scalar field $\phi \to e^{-\rho} \phi$ (the dilaton) such that the quadratic term remains Weyl-Invariant provided the coupling is dimensionless,

$$S_{\text{Matter}} \propto \int_{\mathcal{M}} \left[\frac{1}{2} D_{\mu} \varphi D^{\mu} \varphi - \frac{\mu}{2} \phi^2 \varphi^2 + \frac{1}{2} D_{\mu} \phi D^{\mu} \phi \right], \quad (21)$$

implying μ dimensionless. For the gravity sector of the Lagrangian, let us see how the Christoffel symbol transforms under a local rescaling:

$$\Gamma^{\alpha}_{\beta\gamma} \to \Gamma^{\alpha}_{\beta\gamma} + (\delta^{\alpha}_{\beta}\partial_{\gamma}\rho + \delta^{\alpha}_{\gamma}\partial_{\beta}\rho - g_{\beta\gamma}g^{\alpha\tau}\partial_{\tau}\rho). \tag{22}$$

Defining a new connection that is conformally covariant using B_{μ} and using that to define the new curvature scalar, we get

³ The concept of mass is tricky to define in de Sitter space. The mass of a particle is given by one of its eigenvalues of the Casimir operators of the Poincaré group, the full symmetry group of standard Minkowski space. In de Sitter space, this is, however, not the symmetry group we are working with, as a simple look at the de Sitter metric eq. (1) will show that it does not admit timelike Killing vectors, unlike Minkowski.

the conformally covariant curvature scalar \mathring{R}

$$\mathring{\Gamma}^{\alpha}_{\beta\gamma} = \Gamma^{\alpha}_{\beta\gamma} + (\delta^{\alpha}_{\beta}B_{\gamma} + \delta^{\alpha}_{\gamma}B_{\beta} - g_{\beta\gamma}g^{\alpha\tau}B_{\tau}), \tag{23}$$

$$\Rightarrow \mathring{R} = R - 6B_{\mu}B^{\mu} - 6\nabla_{\mu}B^{\mu}. \tag{24}$$

However, under a local rescaling, this new curvature scalar still transforms with only Weyl weight⁴ 2, that is, $\mathring{R} \rightarrow e^{-2\rho}\mathring{R}$. This means that, for the gravitational part of our action, we

must have a non-minimal coupling of our scalar fields and \mathring{R} to give the action Weyl weight 0

$$S_{\text{Gravity}} \propto \int_{\mathcal{M}} \propto (\beta \varphi^2 + \gamma \phi \varphi + \alpha \phi^2) \mathring{R}.$$
 (25)

Putting all this together, we get the most general locally scale action that is linear in curvature, including all non-irrelevant terms:

$$S = \int \mathrm{d}^4 x \, \sqrt{-g} \, \left[-(\beta \varphi^2 + \gamma \phi \varphi + \alpha \phi^2) R + 6(\beta \varphi^2 + \gamma \phi \varphi + \alpha \phi^2) (B_\mu B^\mu + \nabla_\mu B^\mu) \right.$$

$$\left. + \frac{\epsilon}{2} D_\mu \varphi D^\mu \varphi + \frac{\sigma}{2} D_\mu \varphi D^\mu \varphi + \frac{\nu}{2} D_\mu \varphi D^\mu \varphi - \omega \varphi^3 \varphi - \frac{\mu}{2} \varphi^2 \varphi^2 - \chi \varphi \varphi^3 - \frac{\lambda}{2} \varphi^4 - \frac{\xi}{2} \varphi^4 - \frac{\xi}{16} H_{\mu\nu} H^{\mu\nu} \right]$$

$$(26)$$

An action in which the curvature is coupled to an arbitrary function of the scalar fields is referred to as being formulated in the 'Jordan Frame'. Through a conformal transformation, it is possible to transition to the canonical action, wherein the gravitational component of the action assumes the structure of an Einstein–Hilbert term. Such actions are referred to as being formulated in the 'Einstein Frame'. Since the EOMs in the Einstein Frame have been well studied and analysed, we will work in this frame. Though there exists discourse on which frame is "physical", these are irrelevant to the aims of this study and therefore will not be discussed.

Consequently, this section's objective is to reformulate our action eq. (26) into one consistent with form $S_{\rm EH} + S_{\rm INFLATON}$, thereby effecting a transition from the Jordan Frame to the Einstein Frame.

In the action eq. (26), we have also included terms that couple the derivatives of the two fields ϕ and φ and the field strength tensor $\hat{H}_{\mu\nu}\varphi\equiv[D_\mu,D_\nu]\varphi$ as these too remain invariant under local rescalings. The 11 parameters $\{\beta,\gamma,\alpha,\varepsilon,\sigma,\nu,\omega,\mu,\chi,\lambda,\kappa\}$ and ξ are dimensionless parameters. It is noteworthy to mention the abundance of dimensionless parameters. While any two of these parameters could be eliminated through rescaling of the fields, they will be maintained in their current form until essential. This decision will prove advantageous in subsequent analyses. We set $\lambda=\kappa=\omega=\chi=0$; the general case can be restored in the concluding section (section IV), after thoroughly examining the dynamics and unveiling the ultimate inflaton potential.

In the equation above, we have two scalar fields. However, we have a redundant degree of freedom through Weyl invariance. Looking at the units of $[\phi] = 2$, we find that it is an inverse length. Since the action is written down to be invariant under any choice of scale, we can choose a particular local

length scale such that the field ϕ no longer appears dynamical, that is, rescale the metric and the fields such that $\phi(x^{\mu}) = \phi_0$ and "freeze it". The reparametrizations that allow us to do this are:

$$g_{\mu\nu} \to \left(\frac{\phi_0}{\phi}\right)^2 g_{\mu\nu}, \quad \varphi \to \frac{\phi}{\phi_0} \varphi, \quad B_\mu \to B_\mu - \partial_\mu \ln(\phi),$$

$$(27)$$

the resulting action in the Jordan Frame therefore, reads

$$S = \int_{\mathcal{M}} \left[-\left(\beta \varphi^2 + \gamma \phi_0 \varphi + \alpha \phi_0^2\right) \left(R - 6 B_{\mu} B^{\mu} - 6 \nabla_{\mu} B^{\mu}\right) \right. \\ \left. + \frac{\epsilon}{2} \left(\partial_{\mu} \varphi - \left(\varphi + \frac{\sigma}{\epsilon} \phi_0\right) B_{\mu}\right) \left(\partial^{\mu} \varphi - \varphi B^{\mu}\right) \right. \\ \left. - \frac{\mu}{2} \phi_0^2 \varphi^2 + \frac{\nu \phi_0^2}{2} B_{\mu} B^{\mu} - \frac{\xi}{16} H_{\mu\nu} H^{\mu\nu}\right].$$

$$(28)$$

What we have here is that by gauging away the 'unphysical' dynamics of the field ϕ , the gauge field B_{μ} has acquired a Proca mass of $v\phi_0^2$. This is the Stueckelberg mechanism in which a massless vector boson with two degrees of freedom absorbs a scalar degree of freedom and gains mass [37, 38]. Therefore, it appears massive and has three degrees of freedom. Following [15], we send $v/\xi \to \infty$ to give us a Proca field B_{μ} , (which could be a dark matter candidate [39]). In this regime, we can neglect the kinetic term corresponding to the Proca field, and it can be eliminated using the EOM as

$$B_{\mu} = \frac{1}{2} \partial_{\mu} \ln \left((\epsilon - 12\beta) \varphi^{2} + (\sigma - 12\gamma) \phi_{0} \varphi + (\nu - 12\alpha) \phi_{0}^{2} \right). \tag{29}$$

and eq. (28) becomes:

⁴ The Weyl weight of a field Φ is defined as the power of the conformal factor

$$S = \int d^{4}x \sqrt{-g} \left[-\left(\alpha \phi_{0}^{2} + \beta \varphi^{2} + \gamma \phi_{0} \varphi\right) R + \frac{1}{8\left(-12\alpha \phi_{0}^{2} + \nu \phi_{0}^{2} + \varphi(-12\gamma \phi_{0} + \sigma \phi_{0} - 12\beta \varphi + \epsilon \varphi)\right)\right)^{2}} \times \left[\left(576 \alpha^{2} \epsilon - 12 \alpha \left(8 \epsilon \nu + 3 \left(12\gamma - \sigma\right) \left(4\gamma + \sigma\right)\right) + \nu \left(4 \epsilon \nu + \left(12\gamma - \sigma\right) \left(36\gamma + \sigma\right)\right)\right) \phi_{0}^{4} - \left(144 \gamma \left(12\gamma^{2} + \left(12\beta - \epsilon\right) \left(4\alpha - \nu\right)\right) + 4 \left(-36\gamma^{2} + \left(12\beta - \epsilon\right) \left(12\alpha + \nu\right)\right) \sigma - 12 \gamma \sigma^{2} + \sigma^{3}\right) \phi_{0}^{3} \varphi - \left(432 \gamma^{2} \left(20\beta - \epsilon\right) + 48 \alpha \left(144\beta^{2} - \epsilon^{2}\right) - 4 \left(432\beta^{2} - 48\beta \epsilon + \epsilon^{2}\right) \nu + 24 \gamma \left(-36\beta + \epsilon\right) \sigma + \left(12\beta + \epsilon\right) \sigma^{2}\right) \phi_{0}^{2} \varphi^{2} + 96 \beta \left(-12\beta + \epsilon\right) \left(12\gamma - \sigma\right) \phi_{0} \varphi^{3} - 48 \beta \left(-12\beta + \epsilon\right)^{2} \varphi^{4}\right] \left(\partial_{\mu} \varphi \partial^{\mu} \varphi\right) - \frac{\mu}{2} \phi_{0}^{2} \varphi^{2}\right].$$

$$(30)$$

Now, employing the following conformal transformation:

$$g_{\mu\nu} \to \Omega^2 g_{\mu\nu}$$
, with $\Omega^2 = \frac{M_p^2}{2(\alpha \phi_0^2 + \beta \varphi^2 + \gamma \phi_0 \varphi)}$, (31)

We can move from the Jordan frame to the Einstein frame and separate the Einstein-Hilbert action and the inflaton action (For more details, see appendix E). Denoting $M_p/\sqrt{2} = M$, the denominator and the numerator of the kinetic term in eq. (30) by \mathcal{A} and \mathcal{N} , respectively, we get the following:

$$S = \int d^{4}x \sqrt{-g} \left[-M^{2} R + \frac{M^{2}}{(\alpha \phi_{0}^{2} + \beta \varphi^{2} + \gamma \phi_{0} \varphi)} \frac{\mathcal{N}}{\mathcal{A}} (\partial_{\mu} \varphi \partial^{\mu} \varphi) + \frac{3M^{2}}{2} \frac{(2\beta \varphi + \gamma \phi_{0})^{2}}{(\alpha \phi_{0}^{2} + \beta \varphi^{2} + \gamma \phi_{0} \varphi)^{2}} (\partial_{\mu} \varphi \partial^{\mu} \varphi) - \frac{M^{4}}{(\alpha \phi_{0}^{2} + \beta \varphi^{2} + \gamma \phi_{0} \varphi)^{2}} \frac{\mu}{2} \phi_{0}^{2} \varphi^{2} \right].$$
(32)

Denoting $A(\varphi) = (\beta \varphi^2 + \gamma \phi_0 \varphi + \alpha \phi_0^2)$ and comparing it with $\mathcal{A}(\varphi) = (\varepsilon - 12\beta)\varphi^2 + (\sigma - 12\gamma)\phi_0\varphi + (\nu - 12\alpha)\phi_0^2$, we see there are 6 free parameters here $\{\beta, \gamma, \alpha, \varepsilon, \sigma, \nu\}$. Using the redundancy in our choice of parameters in eq. (26), we can reparametrize the fields φ , ϕ_0 , and the parameters to absorb two of these dimensionless parameters. Therefore, we can choose

$$\mathcal{A}(\varphi) = \left[(\epsilon - 12\beta) \,\varphi^2 + (\sigma - 12\gamma) \,\phi_0 \,\varphi + (\nu - 12\alpha) \,\phi_0^2 \right]$$

$$= k \, * \left[\beta \,\varphi^2 + \gamma \,\phi_0 \,\varphi + \alpha \,\phi_0^2 \right], \tag{33}$$

now only 4 dimensionless parameters remain free; $\{k, \beta, \gamma, \alpha\}$,

$$\{\epsilon, \sigma, \nu\} = (12 + k) \times \{\beta, \gamma, \alpha\}. \tag{34}$$

and the discriminant of $\mathcal{A}(\varphi)$ is $D = (\sigma - 12\gamma)^2 - 4(\nu - 12\alpha)(\epsilon - 12\beta) = k^2(\gamma^2 - 4\alpha\beta)$. This simplifies eq. (32) and we can write it as:

$$S = \int d^{4}x \sqrt{-g} \left[-\frac{M_{p}^{2}}{2} R + \frac{1}{2} \left[\frac{M_{p}^{2} (12+k)(4\alpha\beta - \gamma^{2})\phi_{0}^{2}}{8(\beta\varphi^{2} + \gamma\phi_{0}\varphi + \alpha\phi_{0}^{2})^{2}} \right] (\partial_{\mu}\varphi\partial^{\mu}\varphi) - \frac{M_{p}^{4}}{4A^{2}} \left(\frac{\mu}{2}\phi_{0}^{2}\varphi^{2} \right) \right],$$
(35)

where we have used $M = M_p/\sqrt{2}$ and $A = \beta \varphi^2 + \gamma \phi_0 \varphi + \alpha \phi_0^2$. The first term in the above action,

$$S = -\frac{M_p^2}{2} \int d^4x \sqrt{-g} R,$$

is just the Einstein–Hilbert action ($S_{\rm EH}$) of GR. The remaining part of the action is associated with the inflaton field. It has the form of a non-canonical scalar field.

by which it transforms under a local rescaling. It is Δ if $\Phi \to e^{-\Delta\rho}\Phi$ ⁵ There is ongoing discourse concerning which frame is the physical one, i.e., which one accurately represents the system's physics; it is established that at least classically, the Jordan Frame gives rise to negative energy solutions. Therefore, the energy density of the theory is not bounded from below [34–36]. Others argue that the fact that particles do not move on geodesics in the Einstein frame is a serious drawback that must be considered seriously.

IV. The Inflaton Action

We now have all the relevant pieces to analyze the model, given the information in section II and section III. For the starting action eq. (26), using a suitable parameter and field redefinition (which we have done with no loss in generality) given by eq. (34), we were able to simplify it to one of the form $S_{\rm EH} + S_{\rm INFLATON}$. Now, we can analyse the inflaton action by itself,

$$S_{\text{INFLATON}} = \int d^4x \left[\frac{K(\varphi)}{2} \partial_{\mu} \varphi \partial^{\mu} \varphi - V(\varphi) \right],$$
 (36)

where, the kinetic and potential terms, $K(\varphi)$ and $V(\varphi)$ are given by:

$$K(\varphi) = \left[\frac{M_p^2 (12 + k)(4\alpha\beta - \gamma^2)\phi_0^2}{8(\beta \varphi^2 + \gamma \phi_0 \varphi + \alpha \phi_0^2)^2} \right],$$
 (37)

$$V(\varphi) = M_p^4 \frac{\kappa \phi_0^4 + 2\omega \phi_0^3 \varphi + \mu \phi_0^2 \varphi^2 + 2\chi \phi_0 \varphi^3 + \lambda \varphi^4}{8(\beta \varphi^2 + \gamma \phi_0 \varphi + \alpha \phi_0^2)^2}. \tag{38}$$

Where, in the final potential formula, we have reinstated λ , κ , ω , and χ . To canonicalize the action, that is, to write it in the form with no kinetic coefficient, we will need to redefine the field as

$$\tilde{\varphi}(\varphi) = \int_0^{\varphi} \sqrt{K(x)} dx, \tag{39}$$

for this, we require $4\alpha\beta > \gamma^2$ to be satisfied, to ensure $K(\varphi) > 0$ everywhere. This is because we need a positive kinetic coefficient to avoid ghost instabilities. Using eq. (37), we get,

$$\tilde{\varphi}(\varphi) = \sqrt{\frac{12+k}{2}} M_p \arctan\left(\frac{2\varphi\beta + \gamma\phi_0}{\phi_0\sqrt{4\alpha\beta - \gamma^2}}\right), \quad (40)$$

we can now invert this relation as,

$$\varphi(\tilde{\varphi}) = \frac{\phi_0}{2\beta} \left[\sqrt{4\alpha\beta - \gamma^2} \tan\left(\sqrt{\frac{2}{12 + k}} \frac{\tilde{\varphi}}{M_p}\right) - \gamma \right]. \quad (41)$$

This redefinition also tells us that the original range $\varphi \in (-\infty, \infty)$ gets mapped to $\tilde{\varphi} \in \left(-\sqrt{\frac{12+k}{2}}M_p\frac{\pi}{2}, \sqrt{\frac{12+k}{2}}M_p\frac{\pi}{2}\right)$. Therefore using this inside the potential eq. (38), we get the potential $V(\varphi(\tilde{\varphi}))$, and can write the final canonical inflaton action as

$$S_{\text{INFLATON}} = \int d^4x \sqrt{-g} \left[\frac{1}{2} \partial_{\mu} \tilde{\varphi} \partial^{\mu} \tilde{\varphi} - V(\varphi(\tilde{\varphi})) \right]. \quad (42)$$

An immediate consequence is that in the final formula, ϕ_0 drops out, and we only have the dimensionless parameters to tune. This is interesting as this implies the energy scale at which scale-invariance is broken does not affect the final potential. We can make this explicit by writing the final potential as:

$$V(X(\tilde{\varphi})) = \frac{M_p^4}{8} \frac{\kappa + 2\omega X + \mu X^2 + 2\chi X^3 + \lambda X^4}{(\beta X^2 + \gamma X + \alpha)^2},$$
 (43)

$$X(\tilde{\varphi}) = \frac{1}{2\beta} \left[\sqrt{4\alpha\beta - \gamma^2} \tan\left(\sqrt{\frac{2}{12 + k}} \frac{\tilde{\varphi}}{M_p}\right) - \gamma \right]. \tag{44}$$

Where again we require
$$\tilde{\varphi} \in \left(-\sqrt{\frac{12+k}{2}}M_p\frac{\pi}{2}, \sqrt{\frac{12+k}{2}}M_p\frac{\pi}{2}\right)$$
.

The potential also exhibits the desired behaviour of $V^{1/4} \sim M_p$. This is desirable as it prevents the Universe from collapsing within a Planck time scale if the density parameter starts out bigger than unity $(\Omega > 1)$. It also ensures that if in the beginning $\Omega < 1$, an initially homogeneous region will not be invaded by its inhomogeneous surroundings [40].

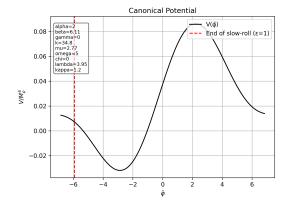


FIG. 1. $V(\tilde{\varphi})$ vs $\tilde{\varphi}$ (Un-Optimized)

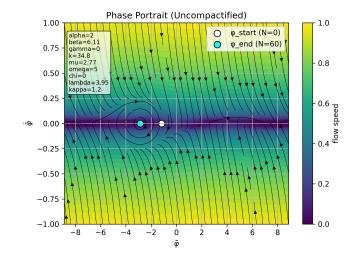


FIG. 2. $\dot{\tilde{\varphi}}$ vs $\tilde{\varphi}$

A. Asymptotic Limits

Analysing this potential, we can see at the limits, $\lim_{X \to \pm \infty} V(X) \approx \frac{M_p^4}{8} \frac{\lambda}{\beta^2} = V_\infty$. This shows that it plateaus at large X or as $\tilde{\varphi} \to \frac{\pi}{2C_k}$, where $C_k = \sqrt{\frac{2}{12+k}} \frac{1}{M_p} = \tilde{\varphi}_{\max}$.

Building on the ideas of [41, 42], which analyse the two universality classes of single-field inflation, we can attempt to get a rough idea of this model's prediction of n_s vs N and r vs N. Expanding the potential around the plateau (large X or as $\tilde{\varphi} \to \tilde{\varphi}_{\max}$),

$$V(X) \approx \frac{M_p^4}{8} \frac{\lambda}{\beta^2} \left[1 + \frac{B}{X} \right] + \mathcal{O}(X^{-2}), \tag{45}$$

where $B = 2(\frac{\chi}{\lambda} - \frac{\gamma}{\beta})$. Denoting $\delta \tilde{\varphi} = \tilde{\varphi}_{\text{max}} - \tilde{\varphi}$, for small $\delta \tilde{\varphi}$, we get,

$$\tan(C_k \tilde{\varphi}) \approx \frac{2\beta}{D} X,$$
 (46)

since $\delta \tilde{\varphi} \ll 1$, we get $\tan(C_k \tilde{\varphi}_{\max} - C_k \delta \tilde{\varphi}) = \tan(\frac{\pi}{2} - C_k \delta \tilde{\varphi}) \approx \frac{1}{C_k \delta \tilde{\varphi}}$. Implying therefore, $\frac{1}{C_k \delta \tilde{\varphi}} \approx \frac{2\beta}{D} X$, using this in eq. (45), we get

$$V \approx V_{\infty} [1 - B'(\tilde{\varphi}_{\text{max}} - \tilde{\varphi}) + \cdots], \tag{47}$$

where $V_{\infty} = \frac{M_p^4}{8^{\beta}} \frac{\lambda}{\beta^2}$ and $B' = \frac{4C_k}{D} (\gamma - \frac{\beta \chi}{\lambda})$. Then rewriting eq. (47) as $V(\delta \tilde{\varphi}) \approx V_{\infty} \exp\left[\ln(1 - B'(\delta \tilde{\varphi})\right] \approx V_{\infty} e^{-B'\delta \tilde{\varphi}}$. Finally, shifting $\delta \tilde{\varphi} \to \tilde{\varphi}$, giving us the familiar plateau–exponential form:

$$V(\tilde{\varphi}) \approx V_{\infty} [1 - e^{-B'\tilde{\varphi}}] = M^4 (1 - e^{-q\tilde{\varphi}/M_p}).$$
 (48)

In the second equality, we have compared it to the result from models derived in the context of SUSY [43], string compactification [44], brane inflation [45], and summarized in [46], using similar analysis, we get:

$$n_s \approx 1 - \frac{2}{N},\tag{49}$$

$$r \approx \frac{8}{q^2 N^2} = \frac{(12+k)(4\alpha\beta - \gamma^2)}{4} \left(\frac{\lambda}{\lambda\gamma - \chi\beta}\right)^2 \frac{1}{N^2}.$$
 (50)

B. Simplification of the model

We observe that this model exhibits behaviour roughly in line with what we would expect from inflationary models. For a more precise analysis, we would need to examine the exact form of the potential eq. (43). This has nine free dimensionless parameters. However, the previous analysis shows us that only certain combinations of parameters affect the observables. To make this exact, we define the new variable Y as:

$$Y(\tilde{\varphi}) = X(\tilde{\varphi}) + \frac{\gamma}{2\beta} \tag{51}$$

Denoting $A(X) = \beta X^2 + \gamma X + \alpha$ and $D = \sqrt{4\alpha\beta - \gamma^2}$, we get:

$$A(Y) = \beta Y^2 + \frac{D^2}{4\beta} \tag{52}$$

For the numerator $N(X) = \kappa + 2\omega X + \mu X^2 + 2\chi X^3 + \lambda X^4$, following a similar procedure as the denominator above, we get the following redefinition of parameters:

$$\kappa \to \kappa - \frac{\gamma \omega}{\beta} + \frac{\gamma^2 \mu}{4\beta^2} - \frac{\gamma^3 \chi}{4\beta^3} + \frac{\gamma^4 \lambda}{16\beta^4}$$
 (53a)

$$2\omega \to 2\omega - \frac{\gamma\mu}{\beta} + \frac{3\gamma^2\chi}{2\beta^2} - \frac{\gamma^3\lambda}{2\beta^3}$$
 (53b)

$$\mu \to \mu - \frac{3\gamma\chi}{\beta} + \frac{3\gamma^2\lambda}{2\beta^2} \tag{53c}$$

$$2\chi \to 2\chi - \frac{2\gamma\lambda}{\beta} \tag{53d}$$

$$\lambda \to \lambda$$
 (53e)

Using this redefinition of parameters, we can write the numerator as before, however, with Y as the primary variable, $N(Y) = \kappa + 2\omega Y + \mu Y^2 + 2\chi Y^3 + \lambda Y^4$. Writing down the equations eq. (43) and eq. (44), we see

$$V(Y) = \frac{M_p^4}{8} \left(\frac{\lambda Y^4 + 2\chi Y^3 + \mu Y^2 + 2\omega Y + \kappa}{(Y^2 + D^2)^2} \right), \quad (54)$$

$$Y(\tilde{\varphi}) = D \tan \left(\frac{C\tilde{\varphi}}{M_p}\right),\tag{55}$$

where, we have performed another implicit redefiniton as $D \to \frac{D}{2\beta}$, $\{\lambda, 2\chi, \mu, 2\omega, \kappa\} \to \{\lambda, 2\chi, \mu, 2\omega, \kappa\}/\beta^2$ and denoted $\sqrt{\frac{2}{12+k}} = C$. As a last step, we see that a factor of D^4 can be factored from both the numerator and denominator provided we make the identification $\{Y, \lambda, \chi, \mu, \omega, \kappa\} \to \{Y/D, \lambda, \chi/D, \mu/D^2, \omega/D^3, \kappa/D^4\}$ to get:

$$V(Y) = \frac{M_p^4}{8} \left(\frac{\lambda Y^4 + 2\chi Y^3 + \mu Y^2 + 2\omega Y + \kappa}{(Y^2 + 1)^2} \right), \quad (56)$$

$$Y(\tilde{\varphi}) = \tan\left(\frac{C\tilde{\varphi}}{M_p}\right). \tag{57}$$

Therefore, starting from the equations eq. (43) and eq. (44) with nine parameters, we were able to reduce our model to one with only six, as shown in eq. (56) and eq. (57). $\{\alpha, \gamma, \beta, k, \mu, \omega, \chi, \lambda, \kappa\} \rightarrow \{C, \mu, \omega, \chi, \lambda, \kappa\}$. The original conditions on the parameters and variables get inherited by this new set as $\tilde{\varphi} \in (-\frac{\pi M_p}{2C}, \frac{\pi M_p}{2C})$, $Y \in (-\infty, \infty)$ and C > 0. We can simplify the potential eq. (56) and write it directly as a function of $\tilde{\varphi}$ as:

$$V(\tilde{\varphi}) = \frac{M_p^4}{8} \left(\lambda \sin^4 \left(\frac{C\tilde{\varphi}}{M_p} \right) + 2\chi \sin^3 \left(\frac{C\tilde{\varphi}}{M_p} \right) \cos \left(\frac{C\tilde{\varphi}}{M_p} \right) + \mu \sin^2 \left(\frac{C\tilde{\varphi}}{M_p} \right) \cos^2 \left(\frac{C\tilde{\varphi}}{M_p} \right) + 2\omega \sin \left(\frac{C\tilde{\varphi}}{M_p} \right) \cos^3 \left(\frac{C\tilde{\varphi}}{M_p} \right) \right) + \kappa \cos^4 \left(\frac{C\tilde{\varphi}}{M_p} \right) \right).$$

$$(58)$$

The only assumption in this process was that $\beta \neq 0$, which is required for D > 0. The mapping of these new dimensionless parameters, as shown in eq. (58), to the original ones we started with in eq. (43) is given in appendix F. Denoting $\frac{C\tilde{\varphi}}{M_p}$

as θ , the first and second derivatives of this potential are given by:

$$\frac{\mathrm{d}V}{\mathrm{d}\tilde{\varphi}} = \frac{CM_P^3}{8} \left[(-\chi + \omega)\cos 4\theta + (-\kappa + \lambda)\sin 2\theta + \cos 2\theta(\chi + \omega - (\kappa + \lambda - \mu)\sin 2\theta) \right],\tag{59a}$$

$$\frac{\mathrm{d}^2 V}{\mathrm{d}\tilde{\omega}^2} = -\frac{C^2 M_P^2}{4} \left[(\kappa - \lambda)\cos 2\theta + (\kappa + \lambda - \mu)\cos 4\theta + (\chi + \omega)\sin 2\theta + 2(-\chi + \omega)\sin 4\theta \right],\tag{59b}$$

from which we can find the slow roll parameters using the equations eq. (16). To estimate the effective mass of the inflaton, we shall make the simplifying assumption that the minimum of the potential occurs at zero (i.e., $V_{,\tilde{\varphi}}=0$, this is not a general analysis and is a particular regime of solutions we are choosing to focus on) for that, we require $\omega=0$. Using this in the formula for the second derivative, we get:

$$m_{\tilde{\varphi}}^2 \approx \frac{\mathrm{d}^2 V}{\mathrm{d}\tilde{\varphi}^2} \bigg|_{0} = \frac{C^2 M_p^2}{4} (\mu - 2\kappa) \tag{60}$$

This result is exact for the given potential if we set $\omega=0$. Again, by mass, we refer to the coefficient of the quadratic term in the potential (See footnote 3). We require $m_{\tilde{\varphi}}^2>0$ to allow for reheating, as this corresponds to $V_{,\tilde{\varphi}\tilde{\varphi}}>0$; a minimum around which the inflaton field can oscillate.

Numerical Analysis. — Optimizing the parameters such that at N = 60, we get $n_s = 0.9649$, we get the graphs fig. 3, fig. 4, and fig. 5 (We have set $M_p = 1$ for these graphs and code):

The phase space portrait fig. 4 clearly shows attractor behaviour at the minima of the potential fig. 3 at $\tilde{\varphi}_0 \approx -4.9157 M_p$. For these parameters, the mass of the inflaton is $m_{\tilde{\varphi}}^2 \approx 0.1965 M_p^2$. For the value of C = 0.2063, we get that the range of the canonical field is $\tilde{\varphi} \in (-7.61414, 7.61414)$. The only caveat with the portrait fig. 4 is that it shows trajectories exiting and entering this range. We would expect something akin to the trajectories in the compactified phase space fig. 5. However, this is not a failure of the theory. While plotting, we have used the EOMs eq. (9) and eq. (12), which means treating $\tilde{\varphi}$ as the fundamental field without any bounds.

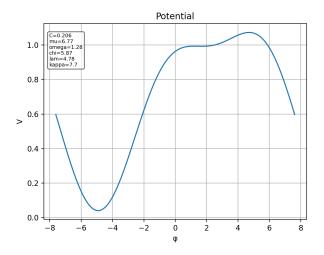


FIG. 3. $V(\tilde{\varphi})$ vs $\tilde{\varphi}$ (Optimal Coefficients)

V. Results

Discussion. — By imposing local scale invariance within a gravity-scalar framework and examining the most general action linear in curvature, we have found a potential that can be uniquely interpreted as an inflaton potential. This was achieved by introducing a gauge boson to account for the Weyl gauge symmetry. Additionally, a compensator field (the dilaton ϕ_0) was introduced to establish the desired quadratic minima of our potential, which determines the energy scale at which scale invariance is broken.

A significant consequence of our findings is that this breaking energy scale is not reflected in our observables. This ap-

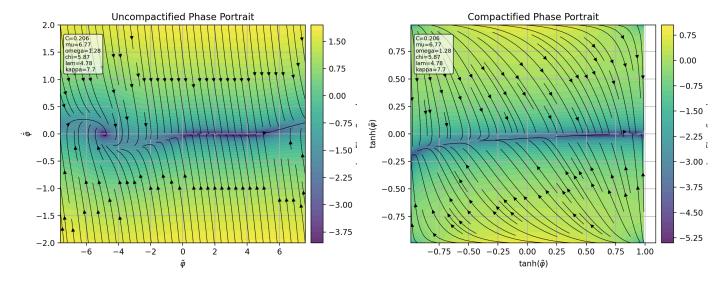


FIG. 4. $\dot{\tilde{\varphi}}$ vs $\tilde{\varphi}$

FIG. 5. $\dot{\tilde{\varphi}}$ vs $\tilde{\varphi}$ (Compactified)

proach circumvents the typical pitfalls associated with the arbitrary introduction of energy scales in our theory, thus addressing critiques similar to those mentioned in footnote 1. We have further established that, at least at the classical level, such models exhibit redundant parameter choices that produce equivalent physical outcomes. The Python optimization code, plotting tools, and Mathematica notebooks used to verify these calculations are available in the GitHub Repository (Part-III-Inflation-Project) as supplementary material.

Further Work. — The current analysis has been limited to the classical regime. We aim to expand this model to examine its behavior under quantum corrections and to explore the effective field theory aspect. This would involve understanding the reheating phase of the model.

Our immediate objective is to identify the specific symmetries and characteristics of this model that enable the invariance of observables with respect to the energy scale set by the dilaton field ϕ_0 , and to investigate whether this characteristic persists under quantum corrections. We also aim to understand the class of extended gravity models that exhibit similar behaviour and categorize them.

Current preliminary investigations hint towards the possibility that Weyl Symmetry may introduce a gauge redundancy, which we have characterized through equivalence classes of fields B_{μ} and ϕ [47, 48]. However, further investigation is required to verify this claim.

Conclusion. — A distinct inflationary potential was formulated by positing a scale-invariant inflaton-gravity action. This formulation did not necessitate the inflaton to be massless, contrary to conformally coupled scalar gravity models, provided that a compensator scalar (dilaton) and a Weyl vector are introduced in tandem. Moreover, we have shown that it demonstrates consistency with experimental observations. This approach also mitigates the critiques directed at such models, as the resultant potential does not exhibit dependence on the energy scale of scale-invariance breaking, thereby affirming its naturalness.

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A. A Primer on Inflaton

1. Horizon Problem

In this section, we will show that given the standard assumed expansion rate in the Big Bang model, we cannot satisfactorily explain the near-perfect uniformity of the CMB. This is because, given the rate of expansion, there is no way for distant regions of the CMB to have once been causally connected. Given the Friedmann Equations and defining the Hubble constant $H = \frac{\dot{a}}{a}$,

$$\ddot{a} = -\frac{4\pi G}{3}(\rho + 3p)a\tag{A1}$$

$$H^2 + \frac{k}{a^2} = \frac{8\pi G}{3}\rho$$
 (A2)

$$\dot{\rho} = -3(\rho + p)H\tag{A3}$$

From the continuity equation eq. (A3), we have:

$$\frac{\mathrm{d}\ln(\rho)}{\mathrm{d}\ln(\alpha)} = -3(1+w) \tag{A4}$$

Where, $w = \frac{p}{\rho}$. We can solve this equation to get $\rho \propto a^{-3(1+w)}$. In combination with the Friedmann equation eq. (A2) for a flat universe k = 0, we get the scale factor a as a function of time a(t).

$$a(t) = \begin{cases} t^{2/3(1+w)} & w \neq -1 \\ e^{Ht} & w = -1 \end{cases}$$
 (A5)

Now, we can define the comoving horizon (τ) as the causal horizon or the maximum distance a light ray can travel between

times 0 and t.

$$\tau = \int_0^t \frac{dt'}{a(t')} = \int_0^a \frac{da}{Ha^2} = \int_0^a d\ln(a) \frac{1}{aH}$$
 (A6)

Therefore, for a conventional Big Bang model, the causal horizon τ for a universe with $w \geq 0$ increases with time. This means that the fraction of the universe in contact with each other increases with time.

$$\tau \propto a^{1/2(1+3w)} \implies \tau = \begin{cases} a & \text{Radiation Dominated} \\ a^{1/2} & \text{Matter Dominated} \end{cases}$$
(A7)

The comoving horizon increasing with time implies that comoving scales (comoving scale is not the same as the physical scale) entering the cosmic horizon now were not in causal contact during the CMB decoupling! However, the anisotropy of the CMB is about one part in 10^{-5} , posing a problem to the conventional big bang model to explain how these distant regions of the CMB managed to regulate their temperatures to such an accurate degree.

2. Flatness Problem

Despite the presence of mass and energy in our universe, the large-scale structure of our spacetime is approximately Euclidean (flat). To see whether this is a stable equilibrium, we return to Friedmann equation eq. (A2) and defining $\rho_{\rm crit}=3H^2(a)$ and $\Omega(a)=\frac{\rho(a)}{\rho_{\rm crit}}$, we get

$$1 - \Omega(a) = \frac{-k}{(aH)^2} \tag{A8}$$

Differentiating this equation and using the Friedmann equations, we get,

$$\frac{\mathrm{d}\Omega}{\mathrm{d}\ln a} = (1 + 3w)\Omega(\Omega - 1) \tag{A9}$$

Looking at this, we can see that $\Omega=1 \Rightarrow \rho=\rho_{crit}$ is an unstable equilibrium and slight perturbations can make the universe not flat, i.e., $k\neq 0$. This means that in the standard big bang model, matter density has to be extremely fine-tuned to fit the requirement $\rho=\rho_{crit}$, which seems unlikely.

The theory of inflation attempts to resolve the need for extreme fine-tuning of the initial conditions of the universe by positing a period of exponential expansion. As stated in section Π , a decreasing comoving horizon elegantly resolves both these issues.

3. Inflationary Observables

Inflation is a robust theory not only because it solves the horizon and flatness problems, but it also makes predictions about the inhomogeneities in the early universe. These primordial density fluctuations are a result of the inherent quantum nature of the inflaton field. The fluctuations in the inflaton field $\delta \varphi$

cause different patches of spacetime to have different amounts of inflation as the field has not exited slow-roll in certain regions yet.

These fluctuations can be treated as perturbations around a background field $(\delta \varphi(t, \mathbf{x}) = \varphi(t, \mathbf{x}) - \bar{\varphi}(t))$. However, as is known, by the EFEs $\delta G_{\mu\nu} = \frac{1}{M_p^2} \delta T_{\mu\nu}$, perturbations in the matter density cause perturbations in the metric too. Therefore, we can expand the metric around it's background value similarly as $g_{\mu\nu}(t,\mathbf{x}) = \bar{g}_{\mu\nu}(t) + \delta g_{\mu\nu}(t,\mathbf{x})$. Generally, these metric perturbations $\delta g_{\mu\nu}(t,\mathbf{x})$ have 10 independent components, but these can be decomposed into scalar, vector, and tensor (SVT) perturbations [23, 49]. Using conformal time and writing the background metric in the same form as eq. (1), we get the perturbation to the metric to be of the form

$$\delta g_{\mu\nu} = -a^2 \begin{pmatrix} -2\Phi & \partial_i B \\ \partial_i B & (1 - 2\Psi)\delta_{ij} + E_{ij} \end{pmatrix}$$
(A10)

Where $E_{ij} = 2\partial_i\partial_j E + h_{ij}$. There are 4 independent contributions (Φ, B, Ψ, E) which behave as scalars under transformation of frames and the transverse, traceless $(h_i^i = \partial^i h_{ij} = 0)$, and symmetric tensor contribution h_{ij} to the perturbed metric. We have neglected terms corresponding to the vector perturbations as they are not created by inflation, and as they also decay with the expansion of the universe. The reason we would prefer to split the metric perturbation into these three types is that at linear order, the Fourier modes decouple, and we can analyse them separately.

However, with a change in coordinates, while the tensor contributions remain the same, the scalar functions change. Therefore, by a suitable choice of coordinates, we could remove the inhomogeneities in the inflaton field $\delta \varphi(\mathbf{x}',t')=0$. To analyse these perturbations, it is therefore important to consider gauge-invariant quantities. An obvious choice would be to consider the intrinsic curvature of the spatial hypersurface (3) R (See eq. (D4)). However, it is easier to work with a related quantity that makes no reference to the scale factor and is normalised. This quantity can therefore be defined as

$$\mathcal{R} \equiv 4 \frac{k^2}{a^2} {}^{(3)} R = \Psi - \frac{H}{\dot{\bar{\varphi}}} \delta \varphi \tag{A11}$$

This is called the comoving curvature perturbation (k here corresponds to the wavenumber of the Fourier mode). Another quantity of interest is the two polarization modes of the tensor perturbation h_{ij} , that is, $h \equiv h^+, h^\times$, these are the gravitational waves generated due to inflation. The observables we can constrain from these two quantities are the scalar and tensor power spectra ($P_R(k)$ and $P_h(k)$), respectively (Note that the definitions used here are different from the ones used in section II, as over there, we wrote down the dimensionless version of these quantities, to be defined later as $\Delta_c^2(k)$ and $\Delta_c^2(k)$)

$$\langle \mathcal{R}_{\mathbf{k}} \mathcal{R}_{\mathbf{k'}} \rangle = (2\pi)^3 \delta(\mathbf{k} + \mathbf{k'}) P_{\mathcal{R}}(k)$$
 (A12)

$$\langle h_{\mathbf{k}} h_{\mathbf{k}'} \rangle = (2\pi)^3 \delta(\mathbf{k} + \mathbf{k}') P_h(k) \tag{A13}$$

Where k is the Fourier wavevector corresponding to that mode in the decomposition. Defining $\Delta_s^2 = \frac{k^3}{2\pi^2} P_R(k)$ and $\Delta_t^2 =$

 $\frac{k^3}{\pi^2}P_h(k)$ (upon summing over the two polarizations, we lose a factor of 2 in the denominator in the definition of Δ_t^2), we get the scale-dependence of the power spectrum, often called the scalar tilt as follows

$$n_s - 1 \equiv \frac{\mathrm{d} \ln \Delta_s^2}{\mathrm{d} \ln k} \tag{A14}$$

and the tensor-to-scalar ratio, which is defined as the normalized value of the amplitude of the tensor fluctuations with respect to the amplitude of the scalar fluctuations

$$r \equiv \frac{\Delta_t^2(k)}{\Delta_s^2(k)} \tag{A15}$$

The scalar tilt (also called the scalar spectral index) measures how the curvature perturbation spectrum scales with wavenumber $P_{\mathcal{R}}(k) = A_s \left(\frac{k}{k_*}\right)^{n_s-1}$, where A_s is the amplitude of the scalar perturbation and k_* is the pivot scale, the comoving wavenumber at which the the amplitude is defined. $n_s = 1$ corresponds to a scale-invariant spectrum (Harrison-Zeldovich [50–52]) . $n_s < 1$ implies that there is more power at smaller k (larger scales) and n_s > 1 implies that there is more power at larger k (smaller scales). The latest Planck data [9] constrains these values to around $n_s = 0.9649 \pm 0.0042$, which strongly rules out the Harrison-Zeldovich spectrum with a confidence of 8.4σ . However, it still shows that the spectrum is nearly scaleinvariant, with $P_{\mathcal{R}}(k) \propto k^{-0.0351}$. For r, the Planck data constrains the upper limit to $r_{0.002}$ < 0.056 at the pivot scale $k_* = 0.002 \text{Mpc}^{-1}$ At leading order, the power spectrum is related to the slow-roll parameters by [23]

$$P_{\mathcal{R}}(k) \approx \frac{1}{24\pi^2 M_p^4} \frac{V}{\epsilon_V}$$
 (A16)

Using the slow roll condition $dt = -(3H/V')d\varphi$, we find

$$\frac{\mathrm{d}}{\mathrm{d} \ln k} \epsilon_V = -M_p^2 \frac{V'}{V} \frac{\mathrm{d}}{\mathrm{d} \varphi} \epsilon_V = 2\epsilon_V \eta_V - 4\epsilon_V^2 \tag{A17}$$

Putting this together, we get $n_s \approx 1 - 6\epsilon_V + 2\eta_V$, and similar analysis [22] gives us $r \approx 16\epsilon_V$ at the horizon crossing scale k = aH.

B. Translating variables

As explained in the section I, the papers [13] and [15] arrive at the same form of the potential, through different theoretical starting points. In the paper [13], the authors derived an inflaton potential using metric-affine gravity. The motivation here is to give inflation a geometrical explanation. In the paper [15], the authors posit a locally scale-invariant action for the inflaton supplemented by the dilaton and the Weyl gauge vector to maintain Weyl Symmetry.

In [13], they start with the action,

$$S = \int d^4x \sqrt{-g} (\alpha \mathcal{R} + \beta \mathcal{R}' + c' \mathcal{R}'^2)$$
 (B1)

Where \mathcal{R}' is the Holst Invariant, a *scale-invariant* quantity. The potential derived finally is:

$$U_S(\omega) = \frac{1}{4c'} \left[\frac{M_p^2}{4} \sinh(X_S(\omega)) - \beta \right]^2$$
 (B2)

where

$$X_S(\omega) = \sqrt{\frac{2}{3}} \frac{\omega}{M_p} + \tanh^{-1} \left(\frac{4\beta}{\sqrt{M_p^4 + 16\beta^2}} \right)$$
 (B3)

In [15], the authors derive a similar form of the potential starting with a scale-invariant scalar field action. The resulting potential is:

$$U_B(\varphi) = \frac{\mu^2 \phi_0^4}{2} \left[\frac{\sigma}{2} + \sqrt{\nu - \frac{\sigma^2}{4}} \sinh\left(X_B(\varphi)\right) \right]^2$$
 (B4)

where

$$X_B(\varphi) = \frac{\varphi}{\phi_0 \sqrt{v - \frac{\sigma^2}{4}}} - \frac{c}{\phi_0 \sqrt{v - \frac{\sigma^2}{4}}}$$
 (B5)

The substitutions necessary to transform back and forth from these models are:

$$\phi_0 = g \sqrt{\frac{3}{2}} M_p, \tag{B6a}$$

$$\mu = g^{-1} \frac{1}{6\sqrt{2c'}},\tag{B6b}$$

$$\sigma = -g^{-1} \frac{8\beta}{M_p^2},\tag{B6c}$$

$$v = g^{-2} \left(1 + \frac{16\beta^2}{M_p^4} \right), \tag{B6d}$$

$$c = -\sqrt{\frac{3}{2}} M_p \tanh^{-1} \left(\frac{4\beta}{\sqrt{M_p^4 + 16\beta^2}} \right).$$
 (B6e)

Where g is a dimensionless parameter, this tells us that the model in [15] has an extra redundant dimensionless parameter that we can absorb into the definitions of the other variables and parameters.

C. Non-Canonical Scalar Field Inflation

A good part of this project was spent analysing a noncanonical scalar field. To do so, machinery distinct from the one displayed in section II was required. The following is the derivation for the same. Some of the equations derived have not been found elsewhere in literature (like the EOM for φ as a function of N eq. (C7a))

$$S = \int \mathrm{dtd}^3 \mathbf{x} \ \mathbf{a}^3(t) \left[\frac{K(\varphi)}{2} \dot{\varphi}^2 - V(\varphi) \right] \tag{C1}$$
 We vary the action, and upon integrating by parts, we get,

$$\delta S = -\int dt d^3 \mathbf{x} \left[a^3 K \ddot{\varphi} + a^3 K_{,\varphi} \frac{\dot{\varphi}^2}{2} + 3\dot{a}a^2 K \dot{\varphi} + a^3 V_{,\varphi} \right] \delta \varphi, \tag{C2}$$

setting this variation to zero, we get the equation of motion for a non-canonical scalar field.

$$K\ddot{\varphi} + \dot{\varphi}\left(\frac{K_{,\varphi}\dot{\varphi}}{2} + 3HK\right) + V_{,\varphi} = 0.$$
 (C3)

We see this aligns with the canonical scalar field when we set K = 1, giving us eq. (9). To derive the EOM of the inflaton field with respect to the e-folding time $(N = \int H dt)$, we use the energy density and the pressure of the fluid, which are as follows:

$$\rho = \frac{K(\varphi)}{2}\dot{\varphi}^2 + V(\varphi),$$

$$p = \frac{K(\varphi)}{2}\dot{\varphi}^2 - V(\varphi),$$
(C4)

using the third Friedmann eq. (A2), we get,

$$3M_p^2 H^2 = \frac{K(\varphi)}{2} \dot{\varphi}^2 + V(\varphi),$$
 (C5)

upon differentiating this expression with respect to time and using eq. (C3),

$$2M_p^2 \dot{H} = -K\dot{\varphi}^2. \tag{C6}$$

Now that we have all the relevant parameters as functions of the field, we can replace the time derivatives in eq. (C3) with the derivative with respect to the e-folding time N. Using dN = Hdt, we get our final answer of the field evolution as a differential equation with respect to N. (Setting K = 1 in the below equation also gives us eq. (14))

$$K\frac{\mathrm{d}^2\varphi}{\mathrm{d}N^2} + 3K\frac{\mathrm{d}\varphi}{\mathrm{d}N} - \frac{K^2}{2M_p^2} \left(\frac{\mathrm{d}\varphi}{\mathrm{d}N}\right)^3 + \frac{K_{\varphi}}{2} \left(\frac{\mathrm{d}\varphi}{\mathrm{d}N}\right)^2 + \left(3M_p^2 - \frac{K}{2} \left(\frac{\mathrm{d}\varphi}{\mathrm{d}N}\right)^2\right) \frac{\mathrm{d}\ln\mathrm{V}(\varphi)}{\mathrm{d}\varphi} = 0 \tag{C7a}$$

a Slow Roll Inflation for noncanonical scalar field. — The acceleration equation for a universe dominated by the inflaton field with the energy density ρ_{φ} and pressure p_{φ} , as de-

fined in eq. (C4), is given by the Friedmann equation eq. (A1),

$$\frac{\ddot{a}}{a} = -\frac{4\pi G}{3}(\rho + 3p) = -\frac{8\pi G}{3}(K(\varphi)\dot{\varphi}^2 - V(\varphi))$$
 (C8)

For the non-canonical scalar field, the Hamilton-Jacobi equation is:

$$H'^{2} - \frac{3K(\varphi)}{2M_{p}^{2}}H^{2} = -\frac{K(\varphi)V(\varphi)}{2M_{p}^{4}}.$$
 (C9)

The slow roll parameters, therefore, are:

$$\epsilon_{H} = -M_{p}^{2} \left(\frac{\dot{H}}{H}\right) = \frac{M_{p}^{2}}{2K(\varphi)} \left(\frac{V_{\varphi}}{V}\right)^{2} \tag{C10}$$

$$\eta_V = \frac{M_p^2}{K(\varphi)} \left(\frac{V_{,,\varphi}}{V}\right)^2 - \frac{M_p^2}{2} \frac{K_{,\varphi}}{K^2} \frac{V_{,\varphi}}{V}$$
(C11)

D. 3+1 decomposition of spacetime

In general relativity, spacetime is modeled as a fourdimensional manifold equipped with a Lorentzian metric, where space and time are fundamentally intertwined. However, for many physical and cosmological problems, it is both natural and advantageous to disentangle space and time. Given that the FLRW metric supposes spatial homogeneity and isotropy, a natural choice to analyse the metric and dynamics of this spacetime would be to slice the 4D spacetime \mathcal{M}_4 into a time-ordered sequence of 3D spacelike hypersurfaces Σ_t such that on each hypersurface, time is constant [22, 53]. By considering such a family of non-intersecting hypersurfaces, we can recast Einstein's equations and a general action like the one written down in eq. (3) into a form that treats time evolution as a dynamical process. This approach underpins both the initial value (Cauchy) formulation of general relativity and modern numerical relativity, and it provides a direct connection to the Newtonian intuition of evolving initial data forward in time [21]. The spatial hypersurfaces Σ_t and the splitting are defined as such:

$$\forall t \in \mathbb{R} : \Sigma_t = \{ p \in \mathcal{M}_\Delta \mid \hat{t}(p) = t \}$$

Since *t* (time) is always increasing and we want this slicing to cover all of spacetime, we require:

$$\Sigma_t \cap \Sigma_{t'} = \emptyset \text{ for } t \neq t'$$

$$\mathcal{M}_4 = \bigcup_{t \in \mathbb{R}} \Sigma_t$$

This allows us to decompose the 4D manifold into a non-intersecting family of spacelike hypersurfaces such that $\mathcal{M}_4 \to \mathbb{R} \times \Sigma$, where $t \in \mathbb{R}$. On each hypersurface Σ_t , one can introduce a coordinate system $(x^t) = (x^1, x^2, x^3)$. For a well-behaved coordinate system on \mathcal{M}_4 , we will require that this coordinate system varies smoothly between neighbouring hypersurfaces. Given a spacelike Σ_t , we can naturally define a unit normal 1-form

$$\mathbf{n} = \alpha \mathbf{d}t \tag{D1}$$

(Standard literature on this material uses N to denote the proportionality constant between the gradient 1-form and the 1-form \underline{n} , but since we are going to be using N for the efolding time, we will use α to avoid confusion.) Using the full metric, we can also define a vector in $\mathcal{T}(\mathcal{M}_4)$ denoted by n.

By looking at the orthogonal projection operator $\vec{\gamma}$: $\mathcal{T}(\mathcal{M}_4) \to \mathcal{T}(\Sigma_t)$, we can define an extended induced metric $\gamma_{\alpha\beta} = g_{\alpha\beta} + n_\alpha n_\beta$ (since $g^{\mu\nu}n_\mu n_\nu = 1$, we can define the inverse metric $\gamma^{\alpha\beta} = g^{\alpha\beta} + n^\alpha n^\beta$). This defines an induced metric on Σ_t , ensuring that vectors normal to the surface give a zero dot product and tangential vectors maintain the same dot product structure inherited from the full manifold.

Choosing the natural basis of $\mathcal{T}_p(\mathcal{M}_4)$ associated with the coordinates $(x^\alpha) = (t, x^i)$ as $(\partial_\alpha) = (\partial_t, \partial_i)$. Given that this is dual to the 1-form basis $(\mathbf{d}x^\alpha) = (\mathbf{d}t, \mathbf{d}x^i)$, we have $\langle \mathbf{d}t, \partial_t \rangle = 1$, so given eq. (D1), we get $\partial_t = \alpha \mathbf{n} + \boldsymbol{\beta}$, where the vector $\boldsymbol{\beta}$ is the "shift" vector tangent to the hypersurface $(\boldsymbol{\beta} = \beta^i \partial_i)$.

Now using the components of the 3-metric γ_{ij} with respect to the coordinates (x^i) , we can write $\beta_i = \gamma_{ij}\beta^j$. The components of the metric \mathbf{g} with respect to the coordinates (x^α) therefore are $\mathbf{g} \equiv g_{\alpha\beta}\mathbf{d}x^\alpha \otimes \mathbf{d}x^\beta$ By this definition, we see that we can extract the components of the metric by looking at its action on the basis of the vector space.

$$g_{\alpha\beta} = \mathbf{g}(\partial_{\alpha}, \partial_{\beta}) \tag{D2}$$

This gives upon substitution of the $\partial_t = \alpha \mathbf{n} + \boldsymbol{\beta}$:

$$g_{\mu\nu}\mathrm{d}x^{\mu}\mathrm{d}x^{\nu} = \alpha^2\mathrm{d}t^2 - \gamma_{ij}(\mathrm{d}x^i + \beta^i\mathrm{d}t)(\mathrm{d}x^j + \beta^j\mathrm{d}t) \quad (D3)$$

The vector αn defines a vector field on \mathcal{M}_4 , t, normal to Σ_t , such that under its flow, points on Σ_t flow to the neighbouring hypersurface $\Sigma_{t+\delta t}$. The shift vector $\boldsymbol{\beta}$ defines how coordinates "shift" from neighbouring spacelike hypersurfaces on the plane (tangent to Σ_t).

The spatial slices Σ_t , homogeneous and isotropic 3-spaces, have constant 3-curvature. We can therefore choose one of three embeddings such that the curvature is either negative, zero, or positive.

We can also define the intrinsic Ricci Scalar $(^{(3)}R)$ of the spatial hypersurface Σ_t using the induced metric γ_{ij} . This metric naturally has it's own connection $^{(3)}\Gamma^k_{ij}$ and Riemman Tensor $^{(3)}R^k_{ijl}$ defined in the usual way. Contracting this twice gives us the 3-Ricci scalar:

$$^{(3)}R = \gamma^{ij} \,^{(3)}R_{ij} \tag{D4}$$

E. Conformal Transformation

Under a local scale transformation $g_{\mu\nu} \to \Omega^2 g_{\mu\nu}$, we have:

$$\sqrt{-g} \to \Omega^4 \sqrt{-g}$$
 and $R \to \Omega^{-2} \left[R - \frac{6 \square \Omega}{\Omega} \right]$

For the action eq. (30), looking at only the gravitational part of the action, we have,

$$S = -\int d^4x \, \sqrt{-g} \, \left(\alpha \, \phi_0^2 + \beta \, \varphi^2 + \gamma \, \phi_0 \, \varphi \right) R \qquad (\text{E1})$$

Under the conformal transformation where $\Omega^2 = \frac{M^2}{(\alpha \phi_0^2 + \beta \varphi^2 + \gamma \phi_0 \varphi)}$ and denoting $A(\varphi) = (\alpha \phi_0^2 + \beta \varphi^2 + \gamma \phi_0 \varphi)$, we get:

$$S \to -\int d^4x \sqrt{-g} \Omega^4 A(\varphi) \Omega^{-2} \left[R - \frac{6 \square \Omega}{\Omega} \right]$$

$$\Rightarrow S = -\int d^4x \sqrt{-g} \left[\Omega^2 A(\varphi) R + 6 \partial_\mu [\Omega A(\varphi)] \partial^\mu \Omega \right]$$
(E2)

We have used $M = M_p/\sqrt{2}$ throughout. The first term simplifies to the Einstein-Hilbert part of the action, whereas the second term:

$$-6M^{2}\partial_{\mu}A^{-1/2}\partial_{\mu}A^{1/2}$$

$$\Rightarrow \frac{3M^{2}}{2} \left(\frac{2\beta\varphi + \gamma\phi_{0}}{\alpha\phi_{0}^{2} + \beta\varphi^{2} + \gamma\phi_{0}\varphi}\right)^{2} \partial_{\mu}\varphi\partial^{\mu}\varphi \qquad (E3)$$

Which is exactly the additional term we get in eq. (32)

F. Mapping of Parameters

In eq. (58), we have the parameters $(p_f = \{C, \lambda, 2\chi, \mu, 2\omega, \kappa\})$ (Which shall be denoted with an

underscore f), which we got from algebraic manipulations of the parameters in eq. (43) $(p = \{\beta, \gamma, \alpha, \lambda, k, 2\chi, \mu, 2\omega, \kappa\})$ (Which shall be denoted as is). The mapping from $p \to p_f$ is given by (where $D = \sqrt{4\alpha\beta - \gamma^2}$)):

$$\kappa_f = \frac{16\beta^2}{D^4} \left(\kappa - \frac{\gamma \omega}{\beta} + \frac{\gamma^2 \mu}{4\beta^2} - \frac{\gamma^3 \chi}{4\beta^3} + \frac{\gamma^4 \lambda}{16\beta^4} \right), \quad (F1a)$$

$$2\omega_f = \frac{8\beta}{D^3} \left(2\omega - \frac{\gamma\mu}{\beta} + \frac{3\gamma^2\chi}{2\beta^2} - \frac{\gamma^3\lambda}{2\beta^3} \right), \tag{F1b}$$

$$\mu_f = \frac{4}{D^2} \left(\mu - \frac{3\gamma\chi}{\beta} + \frac{3\gamma^2\lambda}{2\beta^2} \right), \tag{F1c}$$

$$2\chi_f = \frac{2}{D\beta} \left(2\chi - \frac{2\gamma\lambda}{\beta} \right),\tag{F1d}$$

$$\lambda_f = \frac{1}{\beta^2} \lambda,\tag{F1e}$$

$$C = \sqrt{\frac{2}{12 + k}}. (F1f)$$

This derivation assumes $4\alpha\beta > \gamma^2$ and $\beta \neq 0$. The non-injective nature of these mappings (e.g., multiple (α, β, γ) combinations yield the same $D = \sqrt{4\alpha\beta - \gamma^2}$) reveals a redundancy in the parameters p in equations, eq. (26) and eq. (43), demonstrating that observables depend only on the parameters p_f in the final potential eq. (58) without any loss in generality or physics.