

$$[n] = \{1, 2, 3, \dots, n\}$$

Definition: An one-to-one and onto ~~fn~~  $f_n$

$\pi: [n] \rightarrow [n]$  is called permutation  $f_n$  a simply Permutation

$S_n$ : The set of all permutations from  $[n] \rightarrow [n]$

$$= \left\{ \pi \mid \pi: [n] \xrightarrow[\text{onto}]{} [n] \right\}$$

→ Symmetric set of n symbols

Let an urn contains  $N$  many ~~but~~ labelled balls.

Task: choosing  $n$  many labelled ball from the urn ( $\Omega$ )

Impossible if  $n > N$  & possible if  $1 \leq n \leq N$

## 2 Styles of choices -

A Choose one ball at a time and repeat it for  $n$ -times

B Choose  $n$  many balls in one grip

$$\{E \subset \Omega : |E| = n\}$$

## A. Style of Choosing

Additional : observe the label of the ball at each step

$$(\omega_1, \omega_2, \omega_3, \dots, \omega_n)$$

Addition : You observe the label and put back in  $\mathcal{S}$

$$\left\{ (\omega_1, \omega_2, \dots, \omega_n) : \omega_k \in \mathcal{S} \quad (k=1, 2, 3, \dots) \right\}$$

$$= \mathcal{S}^n$$

Alternatively : Observe the label and do not put it back to the box

$$\left\{ (\omega_1, \omega_2, \omega_3, \dots, \omega_n) : \omega_1 \in \mathcal{S}, \omega_2 \in \mathcal{S} / \{\omega_1\}, \omega_3 \in \mathcal{S} / \{\omega_1, \omega_2\}, \dots \right\}$$

$$\left\{ (\omega_1, \omega_2, \dots, \omega_n) : \begin{array}{l} \forall i \in [n], \omega_i \in \mathcal{S} \\ \forall i, j \in [n], \text{ with } i \neq j \quad \omega_i \neq \omega_j \end{array} \right\}$$



RE: Urn  $\Omega$  contains  $N$  many labeled balls and we are drawing  $n$  many balls from it. Here  $n \leq N$  &  $N$  is a positive integer.  $k > 0$  is an integer.

Choice Style A3: We choose one ball and do not observe the label of the ball but observe all the  $n$  many balls at the end; and do not return it to  $\Omega$ .

$$A = \left\{ (\omega_1, \omega_2, \dots, \omega_n) : \forall i \in [n], \omega_i \in \Omega \quad \forall i, j \in [n] \text{ with } i \neq j \quad \omega_i \neq \omega_j \right\}$$

$$(\alpha_1, \alpha_2, \alpha_3, \dots, \alpha_n) \sim (\beta_1, \beta_2, \dots, \beta_n)$$



$$\exists \pi: [n] \rightarrow [n]$$

$$\text{s.t. } \alpha_1 = \beta_{\pi(1)}$$

$$\alpha_2 = \beta_{\pi(2)}$$

:

$$\alpha_n = \beta_{\pi(n)}$$

Equivalence relation  $\sim$  over  $A$

$$(\alpha_1, \dots, \alpha_n) \sim (\beta_1, \dots, \beta_n) \iff \exists \pi: [n] \rightarrow [n] \quad \text{s.t.} \quad \alpha_i = \beta_{\pi(i)} \quad \forall i \in [n]$$

Example: Show that  $\sim$  is an equivalence relation on  $A$

Let  $A = A_1 \cup A_2 \cup A_3 \dots \cup A_L$

$A_i$  forms an equivalent class wrt  $\sim$   $\forall i \in [n]$

Task:  $L = ?$

$A/\sim$  = The set formed by choosing exactly one element from each equivalent class  $A_i$

= Residue or Quotient set of  $A$  wrt  $\sim$

Example: equivalence relation on  $\mathbb{Z}$

$$\alpha \sim \beta \Leftrightarrow 5 | \alpha - \beta$$

Lemma:  $|A/\sim| = \left| \binom{\mathbb{Z}}{n} \right| = \binom{N}{n}$

Proof: Let  $A = A_1 \cup A_2 \cup A_3 \dots \cup A_L$

$$A/\sim = \left\{ w_{1(1)}, w_{2(1)} \dots, w_{n(1)}, (w_{1(2)}, w_{2(2)} \dots, w_{n(2)}) \dots, (w_{1(L)}, w_{2(L)}, \dots, w_{n(L)}) \right\}$$

$$= \left\{ (w_{1(i)}, w_{2(i)} \dots, w_{n(i)} : i \in [L] \right\}$$

We construct a mapping from  $A/\sim$  to  $\binom{\mathbb{Z}}{n}$

$$(w_{1(i)}, \dots, w_{n(i)}) \xrightarrow{f} \{w_{1(i)}, \dots, w_{n(i)}\}$$

Claim f:  $A/\sim \rightarrow \binom{\mathbb{Z}}{n}$  is 1-1 and onto

Proof of claim: Let  $E \in \binom{\mathbb{Z}}{n}$   $E = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$ .

we choose  $i \in [L]$  s.t  $(\alpha_1, \alpha_2, \alpha_3, \dots, \alpha_n) \in A_i$

choose  $(\omega_1(i), \dots, \omega_n(i)) \in A/\sim$

Note that  $f(\omega_1(i), \dots, \omega_n(i)) = \{\omega_1(i), \dots, \omega_n(i)\}$

$$= \{x_1, x_2, \dots, x_n\}$$
$$= E$$

Thus  $f$  is onto

Let  $i, j \in [L]$  with  $i \neq j$  then

$$(\omega_1(i), \omega_2(i), \dots, \omega_n(i)) \neq (\omega_1(j), \omega_2(j), \dots, \omega_n(j))$$

$\exists k \in [n]$  s.t.  $\forall \pi : [n] \xrightarrow[\text{onto}]{} [n]$

$$\omega_k(i) = \omega_{\pi(k)}(j)$$

Hence,  $\{\omega_1(i), \omega_2(i), \dots, \omega_n(i)\} \neq \{\omega_1(j), \omega_2(j), \dots, \omega_n(j)\}$

i.e.  $f(\omega_1(i), \dots, \omega_n(i)) \neq f(\omega_1(j), \dots, \omega_n(j))$

Thus  $f$  is 1-1

Hence  $L = |A/\sim| = \left| \binom{N}{n} \right| = \binom{N}{n}$

Th (Binomial Theorem) Let A be an a-set and B be an b-set  
i.e.  $|A|=a$  and  $|B|=b$  with  $A \cap B = \emptyset$

Then  $(a+b)^n = \sum_{k=0}^n \binom{n}{k} a^k b^{n-k}$

Let  $X$  be an n-set i.e.  $|X|=n$

$\mathcal{P}(X) =$  The set of all subsets of  $X = \{E : E \subseteq X\}$

$$2^X = \{f \mid f: X \rightarrow \{0,1\}\}$$

Lemma:  $\exists$  1-1 and onto  $f_{n^x}$  from  $2^X$  to  $\mathcal{P}(X)$

Proof: Let  $S = \{(\alpha_1, \dots, \alpha_n) : \forall i \in [n], \alpha_i \in \{0,1\}\}$

$$\Rightarrow |S| = 2^n$$

Let  $X = \{x_1, x_2, \dots, x_n\}$

We construct a map from  $2^X$  to  $S$

$$f \longmapsto (f(x_1), f(x_2), \dots, f(x_n))$$

Let  $(\alpha_1, \alpha_2, \dots, \alpha_n) \in S$  we construct the func

$$f: X \rightarrow \{0,1\}$$

$$f(x_1) = \alpha_1$$

Hence map is onto

$$f(x_n) = \alpha_n$$

Let  $f, g \in 2^X$  with  $f \neq g$

$$\exists x \in X \text{ s.t } f(x) \neq g(x)$$

$$\exists i \in [n] \text{ s.t } x=x_i, f(x_i) \neq g(x_i)$$

Note:

$$f \mapsto (f(x_1), f(x_2), \dots, f(x_i), \dots, f(x_n))$$

$$g \mapsto (g(x_1), g(x_2), \dots, g(x_i), \dots, g(x_n))$$

$$\text{Then, } (f(x_1), f(x_2), \dots, f(x_n)) \neq (g(x_1), \dots, g(x_n))$$

i.e. such map is 1-1

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We construct an 1-1 & onto  $f_{n^k}$  from  $S$  to  $P(S)$

$$(\alpha_1, \alpha_2, \dots, \alpha_n) \mapsto \{x_i : \alpha_i = 0\}$$

(Show that such map is 1-1 & onto)

$$2^x \longrightarrow \mathcal{P}(x)$$

An equivalence relation on  $\mathcal{P}(n)$

$$x \sim y \Leftrightarrow |x| = |y|$$

$$\mathcal{P}(x) = A_0 \sqcup A_1 \sqcup A_2 \dots \sqcup A_n$$

$$A_k = \left\{ E \subset x : |E| = k \right\} = \binom{x}{k}$$

$$2^n = |\mathcal{P}(x)| = |A_0| + |A_1| + \dots + |A_n| \\ = |\binom{x}{0}| + |\binom{x}{1}| + \dots + |\binom{x}{n}|$$

$$2^n = \binom{n}{0} + \binom{n}{1} + \dots + \binom{n}{n}$$

Lemma:  $\exists$  1-1 & onto map between  $\binom{x}{k}$  and  $\binom{x}{n-k}$

Proof: Required map is  $A \mapsto x \setminus A$

Lemma:  $\exists$  1-1 & onto map b/w

$$\binom{x \sqcup y}{n} \text{ and } \bigsqcup_{k=0}^n \binom{x}{k} \times \binom{y}{n-k}$$

where  $x \cap y = \emptyset$  &  $x$  and  $y$  are finite sets

$$|x| + |y| \geq n$$

Plug in  $|x| = |y| = n$

$$\binom{2n}{n} = \sum_{k=0}^n \binom{n}{k} \binom{n}{n-k} = \sum_{k=0}^n \binom{n}{k}^2$$

Lemma Pascal Identity For each positive integer  $n$

$$\binom{n}{k} + \binom{n}{k-1} = \binom{n+1}{k} \quad \text{where } 1 \leq k \leq n$$

Proof : Let  $A = \left\{ X \in \binom{[n]}{k} : n+1 \notin X \right\}$

$$B = \left\{ X \in \binom{[n]}{k} : n+1 \in X \right\}$$

Then  $|A| + |B| = \left| \binom{[n+1]}{k} \right|$

Note that  $\forall X \in B, X \subset [n]$ , i.e.  $B \subset \binom{[n]}{k}$

For each  $y \in \binom{[n]}{k}$ , then  $y \in B$

Hence  $B = \binom{[n]}{k} \Rightarrow |B| = \binom{n}{k}$

Again  $X \mapsto X \setminus \{n+1\}$  is an 1-1 & onto map from  $A$  to  $\binom{[n]}{k-1}$

For  $y \in \binom{[n]}{k-1}$ , note that  $y \cup \{n+1\} \in A$

i.e. such map is onto

For  $X \neq Y$ , where  $X, Y \in A$ ,  $\exists \alpha \in [n]$  s.t.  $\alpha \in X$  but  $\alpha \notin Y$ ,

Hence  $X \setminus \{n+1\} \neq Y \setminus \{n+1\}$  i.e. such

map is 1-1

$$\Rightarrow |A| = \left| \binom{[n]}{k-1} \right|$$

$$\Rightarrow |A| + |B| = \left| \binom{[n+1]}{k} \right| \Rightarrow \binom{n}{k} + \binom{n}{k-1} = \binom{n+1}{k}$$

Theorem : Given two positive integers  $N$  and  $i$  there is a unique way to expand  $N$  as a sum of binomial coefficients as follows

$$N = \binom{n_i}{i} + \binom{n_{i-1}}{i-1} + \dots + \binom{n_j}{j}$$

where  $j$  is a positive integer.

$$n_i \geq n_{i-1} \geq \dots \geq n_j \geq j \geq 1$$

Proof:  $n_i = \max \{n : \binom{n}{i} \leq N\}$

$$n_{i-1} = \max \{n : \binom{n}{i-1} \leq N - \binom{n}{i}\}$$

⋮

$$n_{i-k} = \max \left\{ n : \binom{n}{i-k} \leq N - \binom{n}{i} - \binom{n}{i-1} - \dots - \binom{n}{i-k+1} \right\}$$

where  $k \in \{0, 1, 2, 3, \dots\}$

claim:  $n_{i-1} \leq n_i$

Proof of claim: Note that  $\binom{n_i}{i} \leq N < \binom{n_{i+1}}{i} = \binom{n_i}{i} + \binom{n_i}{i-1}$

$$\text{Hence, } 0 \leq N - \binom{n_i}{i} < \binom{n_i}{i-1}$$

Since  $p \geq 1 \wedge m \geq 1$  we have

$$\binom{m}{i-1} \leq \binom{m+1}{i-1}$$

Therefore  $\max \left\{ m : \binom{m}{i-1} \leq N - \binom{n_i}{i} \right\} \leq n_i$

i.e.  $n_{i-1} \leq n_i$

Th (Binomial Theorem) Let  $A$  be an  $a$ -set and  $B$  be a  $b$ -set,  
with  $A \cap B = \emptyset$

$$(a+b)^n = \sum_{i=0}^n \binom{n}{i} a^i b^{n-i}$$

Proof: Let  $S = \{(x_1, x_2, \dots, x_n) : \forall i \in [n], x_i \in A \cup B\}$

We construct an equivalence relation  $\sim$  over  $S$

$$(x_1, x_2, \dots, x_n) \sim (y_1, y_2, \dots, y_n) \Leftrightarrow \exists (x, y) \in [n] \times [n]$$

such that  $|x| = |y|$

$$\forall i \in X \quad x_i \in A, \forall j \in [n] \setminus X, x_j \in B$$

$$\forall i \in Y \quad y_i \in A, \forall j \in [n] \setminus Y, y_j \in B$$

$$S = A_0 \sqcup A_1 \sqcup A_2 \dots \sqcup A_n$$

$A_0$

$\vdots$

$A_k = \{(x_1, x_2, x_3, \dots, x_n) \in S : \text{exactly } k \text{ elements belong to } A\}$

$\vdots = \{(x_1, \dots, x_n) \in S : \exists X \subset [n], \text{ with } |X| = k \text{ s.t. } \forall i \in X \quad x_i \in A \text{ & } \forall j \in [n] \setminus X, x_j \in B\}$

$A_n$

$$|S| = |A_0| + |A_1| + \dots + |A_n|$$

$$\therefore |A_0| = b^n$$

$$|A_1| = \binom{n}{1} a b^{n-1}$$

$$|A_k| = \binom{n}{k} a^k b^{n-k} \quad \begin{array}{l} k \text{ many elements } \in A \\ n-k \text{ many elements } \in B \end{array}$$

Let's construct the triplet

$$\left( \{n_1, n_2, \dots, n_k\}, (a_i)_{i \in \{n_1, n_2, \dots, n_k\}}, (b_j)_{j \in [n] \setminus \{n_1, n_2, \dots, n_k\}} \right)$$

$$A_K \xrightarrow{f} \left\{ (x, (a_i)_{i \in x}, (b_j)_{j \in [n] \setminus x}) : x \in \binom{[n]}{k} \right\}$$

$$(x_1, x_2, \dots, x_n) \longmapsto \left( \{n_1, \dots, n_k\}, (a_i)_{i \in \{n_1, \dots, n_k\}}, (b_j)_{j \in [n] \setminus \{n_1, \dots, n_k\}} \right)$$

\* Bijective function

\* Binomial

Let  $X$  be a  $n$ -set, and  $r, t$  be integers satisfying  $0 \leq r+t \leq n$

$$\binom{X}{r,t} = \left\{ (A, B) : A \subset X, \text{ with } |A|=r, B \subset X \text{ with } |B|=t \right\} \\ A \cap B = \emptyset$$

E.g. show that

$$\left| \binom{X}{r,t} \right| = \frac{n!}{r! t! (n-r-t)!} = \frac{n!}{r! (n-r)!} \cdot \frac{(n-r)!}{t! (n-r-t)!}$$

Th: Multinomial Theorem : Let for each  $i \in [k]$ ,  $A_i$  be an  $a_i$ -set

&  $\forall i, j \in [k]$ , with  $i \neq j \Rightarrow A_i \cap A_j = \emptyset$

$$\text{Then } (a_1 + a_2 + \dots + a_k)^n = \sum_{t_1+t_2+\dots+t_k=n} \frac{n!}{t_1! t_2! \dots t_k!} a_1^{t_1} a_2^{t_2} \dots a_k^{t_k}$$

Here the summation extend over all non-negative integer solns.  
of  $t_1, t_2, \dots, t_k$  satisfies  $t_1+t_2+t_3+\dots+t_k=n$ .

A

### Addition principle of counting

→ if a finite non-empty set  $S$  is partitioned into  $k$  many parts  $B_1, \dots, B_k$  i.e.  $\forall i, j \in [k], B_i \cap B_j = \emptyset$  and

$$B_i \cap B_j = \begin{cases} \emptyset & \text{if } i \neq j \\ B_i & \text{else } (i=j) \end{cases}$$

$$|S| = |B_1| + |B_2| + \dots + |B_k|$$

### Multiplication Principle of Counting

if a finite non-empty set  $S$  can be expressed as

$$S_1 \times S_2 \times \dots \times S_k$$

then

$$|S| = |S_1| \times |S_2| \times \dots \times |S_k| = \prod_{i=1}^k |S_i|$$

### c. One-One and Onto fn<sup>2</sup> (principle of counting)

Let  $R, S$  be two finite non-empty sets if  $\exists$  a 1-1 & onto  $f: S \rightarrow R$  then  $|S| = |R|$

### D. The double counting principle

Let  $A \& B$  be two non-empty finite sets &  $S = A \times B$

The double counting principle is to count  $|S|$  in two ways

First way: We count:  $\sum_{a \in A} |\{b \in B : (a, b) \in S\}|$

Second way: We count:  $\sum_{b \in B} |\{a \in A : (a, b) \in S\}|$

Now we have an identity

$$\sum_{a \in A} |\{b \in B : (a, b) \in S\}| = |S| = \sum_{b \in B} |\{a \in A : (a, b) \in S\}|$$

Example : (Handshaking Lemma) Suppose there are  $n$  many people at a party each of them will shake hands with everyone else

Q: How many handshakes will occur?

Sol<sup>n</sup>: Let  $[n] = \{1, 2, 3, \dots, n\}$  represent the set of one (right) hand of each people

we consider the pair  $(x, \{x, y\})$

where  $x \in [n]$ , and  $\{x, y\}$  represent the handshake let there be  $N$  no. of handshakes.

We count the set  $S = \{(x, \{x, y\}) : x \in [n], y \in [n]\}$

First way: There are  $n$  many choices for  $x$  and chosen  $x$  there are  $n-1$  many choices for  $\{x, y\}$

$$|S| = n(n-1)$$

Second way: There are  $N$  many choices for  $\{x, y\}$  Now chosen  $\{x, y\}$ , there are 2 choices such pairs namely  $(x, \{x, y\})$  and  $(y, \{x, y\}) \in S$

$$\text{i.e. } |S| = 2N$$

$$\text{Hence: } 2N = |S| = n(n-1)$$

$$\text{f.e. } N = \frac{n(n-1)}{2}$$

Problem: How many way one can distribute  $n$  identical object into  $k$  many distinguishable / labelled boxes

$0 \ 0 \ 0 \dots 0$   
 ←  $n$  identical objects →

$\boxed{1} \ \boxed{2} \ \boxed{3} \ \dots \ \boxed{k}$   
 ←  $k$  labelled boxes →

Special cases       $0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0$   
 ← 10 biscuits →

$\boxed{\phantom{1}} \ \boxed{\phantom{1}} \ \boxed{\phantom{1}}$   
 Amit      Naveen      Raju

Sol<sup>n</sup>: step-1     $0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0$   
 ← 10 identical biscuits →  
 — — 2 separators

step-2    Place those two separators among 10 biscuits eg. (a)

$0 \ 0 \ 0 \ | \ 0 \ 0 \ 0 \ 0 \ | \ 0 \ 0$        $\boxed{3B} \ \boxed{4B} \ \boxed{3B}$   
 Amit      Naveen      Raju

$1 \ 0 \ 0 \ 0 \ 0 \ 0 \ | \ 0 \ 0 \ 0 \ 0 \ 0$        $\boxed{OB} \ \boxed{5B} \ \boxed{5B}$   
 A      N      R

$1 \ 1 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0$        $\boxed{OB} \ \boxed{OB} \ \boxed{10B}$   
 A      N      R

Step-3 Consider 12 biscuits choose 2 of them and throw those two. Finally replace it by two separators.

$\binom{12}{2}$  ways

*Theorem*  
One can distribute  $n$  identical objects into  $k$  labelled boxes into  $\binom{n+k-1}{k-1}$  many ways.

*Proof:* Let  $B$  be the set of labelled boxes Note  $|B|=k$ .  
For each distribution  $D$  of  $n$  identical objects into  $B$ , we associate a function  $\gamma_D : B \rightarrow \mathbb{Z}$  as  
 $\gamma_D(b) = \text{No. of identical objects received by the box } b \text{ w.r.t } D$

Note  $\sum_{b \in B} \gamma_D(b) = n$

we note that for each  $D \in \mathcal{D}$

$$\sum_{b \in B} \gamma_D(b) = n$$

where  $\mathcal{D}$  denote the set of all distribution of  $n$  identical objects into  $k$  many labelled boxes

$$|\mathcal{D}| = |\{\gamma_D : D \in \mathcal{D}\}|$$

(Ex: show that  $D \mapsto \gamma_D$  from  $\mathcal{D}$  to  $\{\gamma_D : D \in \mathcal{D}\}$  forms an 1-1 and onto fn)

$$\{\gamma_D : D \in \mathcal{D}\} = \{f : [k] \rightarrow \mathbb{Z} \cap [0, \infty) \mid f(1) + \dots + f(k) = n\}$$

claim:  $|\{f : [k] \rightarrow \mathbb{Z} \cap [0, \infty) \mid f(1) + \dots + f(k) = n\}| = \left| \binom{n+k-1}{k-1} \right|$

Proof of claim :  $\forall B \in \binom{[n+k-1]}{k-1}$ , i.e  $|B|=k-1$  say

$$B = \{i_1, i_2, \dots, i_{k-1}\} \subset [n+k-1]$$

we construct the function  $f_B : [k] \rightarrow \mathbb{Z}[0, \infty)$

WLOG

$$i_1 < i_2 < \dots < i_{k-1}$$

$$f_B(1) = |\{i \in [n+k-1] : i < i_1\}|$$

$$f_B(2) = |\{i \in [n+k-1] : i_1 \leq i < i_2\}|$$

$$f_B(3) = |\{i \in [n+k-1] : i_2 < i < i_3\}|$$

⋮

$$f_B(k) = |\{i \in [n+k-1] : i_{k-1} < i\}|$$

Construct for a fn<sup>2</sup>.  $f : [k] \rightarrow \mathbb{Z}[0, \infty)$

$$\text{with } f(1) + \dots + f(k) = n$$

we construct the  $k-1$ -set  $\{i_1, i_2, \dots, i_{k-1}\}$  by setting

$$i_1 = f(1) + 1$$

$$i_2 = f(2) + f(1) + 2$$

$$i_{k-1} = f(1) + \dots + f(k-1) + k-1$$

Thus,  $B \mapsto f_B$  is an 1-1 & onto welldefined function.

Corollary: One can distribute  $n$  identical objects into  $k$  labelled boxes such that each box contains atleast one identical object into  $\binom{n-1}{k-1}$  many ways.

Proof: we first distribute one identical object into each boxes if results exactly  $(n-k)$  ways identical objects are to be distributed among  $k$  labelled boxes

using the Thm we have  $\binom{n-k+k-1}{k-1} = \binom{n-1}{k-1}$  many ways

Exercise: Consider the eqn  $\sum_{i=1}^k x_i = n$ , where  $n$  and  $k$  are positive integer show that

① the number of non-negative integer sol's of the eqn is

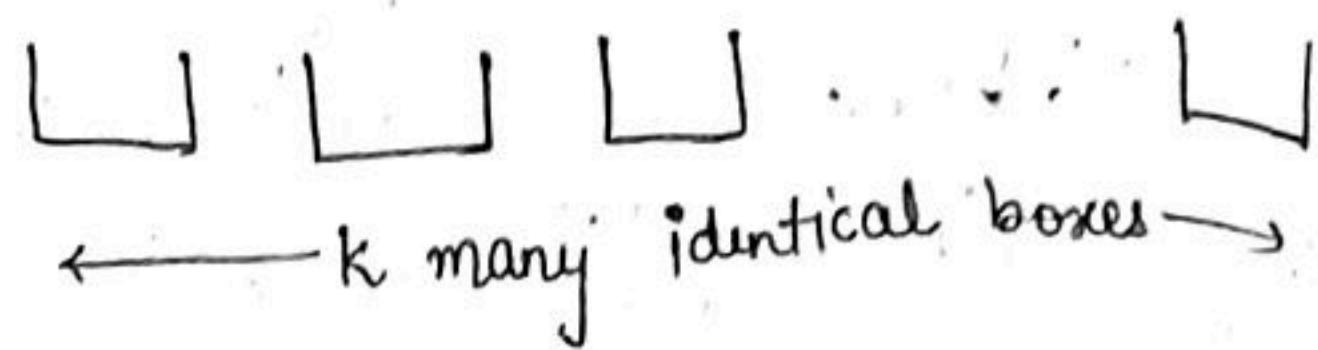
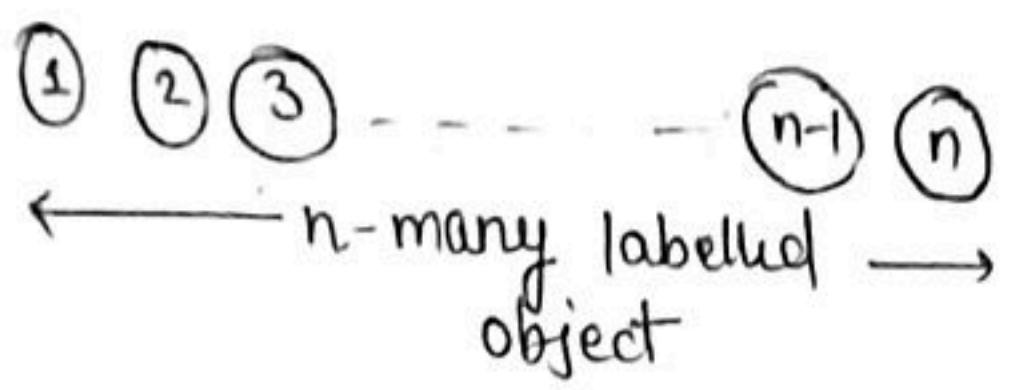
$$\binom{n-k+1}{k-1}$$

② the ~~no~~ number of positive integer sol's of the above eqn is

$$\binom{n-1}{k-1}$$

Defn: Let  $n$  and  $k$  be positive integers with  $n \geq k$  The stirling number of 2nd kind denoted as  $S_k^{(n)} = S(n, k)$  is total no of partition of  $[n]$  into  $k$ -many non-empty sets

The set  $[n]$  is chopped into  $k$ -many parts



$$\text{Thm: } S(n, k) = S(n-1, k-1) + k \cdot S(n-1, k)$$

Proof:  $\mathcal{S}([n], k) \rightarrow$  The set of all partition of  $[n]$  into  
k-many non-empty sets

$$S(n, k) = |\mathcal{S}([n], k)|$$

$\mathcal{A}$  = The set of all partition of  $[n]$  into k - non-empty  
where  $n$  belongs is a singleton set  
i.e. exactly one part of partition of  $[n]$  is  $\{n\}$ .

$\mathcal{B}$  = The set of all partitions of  $[n]$  into k non-empty  
sets where  $n$  belongs is not a singleton set

$$\text{claim 1: } |\mathcal{A}| = S(n-1, k-1)$$

Proof of claim: Let  $x_1, \dots, x_k$  be a partition of  $[n]$  into  
k non-empty parts and exactly one of  
 $x_1, \dots, x_k$  is  $\{n\}$

WLOG, let  $x_k = \{n\}$

$$\begin{aligned} \text{i.e. } [n] &= x_1 \sqcup x_2 \dots \sqcup x_{k-1} \sqcup x_k \\ &= x_1 \sqcup \dots \sqcup x_{k-1} \sqcup \{n\} \end{aligned}$$

$$\forall i \in [k-1], x_i \neq \emptyset \quad \& \quad x_k = \{n\}$$

This induces a partition of  $[n-1]$  into  $(k-1)$ -non-empty set  
 namely if  $y_1, \dots, y_{k-1}$  is a partition of  $[n-1]$  into  $(k-1)$   
 many non-empty parts, then  $y_1, y_2, \dots, y_{k-1}, \{n\}$  is a partition  
 of  $[n]$  into  $k$ -many part with exactly one part  
 equals  $\{n\}$ .

$$A \longleftrightarrow S([n-1], k-1)$$

Hence,  $\exists$  a bijection corresponds between  $A$  and  $S([n-1], k-1)$   
 This establish the claim

claim 2:  $|B| = k \cdot s(n-1, k)$

Proof of claim: We note that

$$\{x_1, x_2, \dots, x_k\} \longmapsto \{x_1 \setminus \{n\}, \dots, x_k \setminus \{n\}\}$$

where  $x_1, \dots, x_k$  is a partition of  $[n]$  into  $k$  many non-empty set and where  $n$  belongs is not a singleton set

Note:  $x_1 \setminus \{n\}, \dots, x_k \setminus \{n\}$  yeilds a partition of  $[n-1]$  into  $k$ -many non-empty sets

Again each partition of  $[n-1]$  onto  $k$ -many non-empty sets

$$y_1, y_2, \dots, y_k$$

has exactly  $k$  many preimages w.r.t the said map namely

$$\{y_1 \sqcup \{n\}, y_2, \dots, y_k\}$$

$$\{y_1, y_2 \sqcup \{n\}, \dots, y_k\}$$

$$\longrightarrow \{y_1 \setminus \{n\}, y_2 \setminus \{n\}, \dots, y_k \setminus \{n\}\}$$

:

$$\{y_1, y_2, \dots, y_k \sqcup \{n\}\}$$

Hence such map is  $k$  to 1 & onto map

Hence,  $|B| = k |\mathcal{S}([n-1], k)| = k S(n-1, k)$

Thm: for each integer  $n \geq 2$   $S(n, 2) = 2^{n-1} - 1$

Proof-1: Note that  $S(2, 2) = 1$

$$\begin{aligned} S(n, 2) &= 1 + 2 S(n-1, 2) \\ &= 1 + 2(1 + 2 S(n-2, 2)) \\ &= \vdots \\ &= 1 + 2 + 2^2 + \dots + 2^{n-2} S(2, 2) \\ &= 1 + 2 + 2^2 + \dots + 2^{n-2} \\ &= 2^{n-1} - 1 \end{aligned}$$

Proof-2  $\mathcal{S}([n], 2)$  = The set of all of  $[n]$  into 2 non-empty sets

$$\mathcal{P} = \{(A, B) : A \cup B = [n], A \neq \emptyset, B \neq \emptyset\}$$

$$|\mathcal{P}| \rightarrow \text{Exercise} = 2^n - 2$$

if  $(A, B) \in \mathcal{P}$ , then  $(B, A) \in \mathcal{P}$

but  $(A, B) \& (B, A)$  represents one partition of  $[n]$  namely

Hence such mapping from  $\mathcal{P}$  to  $S([n], 2)$  is a  
2-to-1 mapping and onto, hence  $2|\mathcal{S}([n], 2)| = |\mathcal{P}|$

$$\text{i.e. } 2 S(n, 2) = 2^n - 2$$

$$\Leftrightarrow S(n, 2) = 2^{n-1} - 1$$

$$s(n, n-1) = \binom{n}{2}$$

Then:  
Proof: Ex

Def<sup>n</sup> The Bell no.  $B(n)$ , where  $n$  is a positive integer,  
is the to number of partitions of  $[n]$  i.e.  $B(n)$

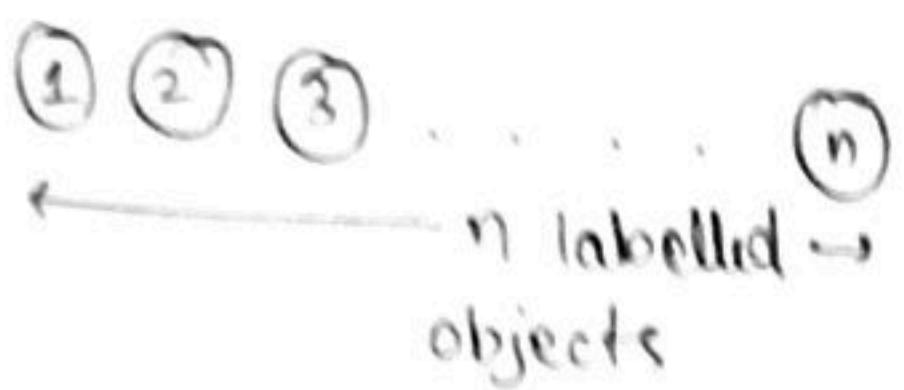
$$B(n) = \sum_{i=1}^n s(n, i)$$

Th<sup>m</sup>: One can distribute  $n$  labelled objects into  $k$ -identical boxes  
such that each box contains atleast one object, into  $s(n, k)$  ways

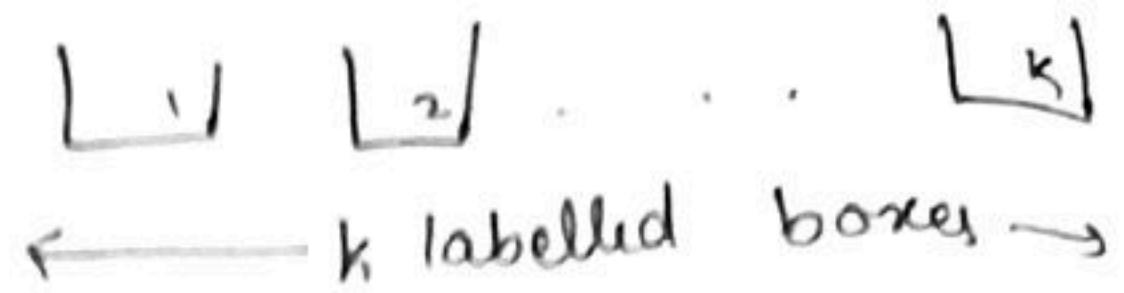
Proof: Exercise

Th<sup>m</sup>: One can distribute  $n$ -labelled objects into  $k$ -identical  
boxes, into  $s(n, 1) + s(n, 2) + \dots + s(n, k)$  many ways

Proof: Exercise



$n \geq k$



Thm: One can distribute n labelled objects into k labelled boxes into  $k^n$  ways

Proof: A distribution of "such" yields a  $f^n$   $d: [n] \rightarrow [k]$

$d(i)$  = label of box where i object is distributed

Conversely if  $f: [n] \rightarrow [k]$  is a  $f^n$

then  $f^{-1}(1), f^{-1}(2), \dots, f^{-1}(k)$  forms a partition of  $[n]$  into k many labelled sets

Thm: One can distribute n. labelled objects into k labelled boxes show that each box contains at least one object into  $k! s(n, k)$  many ways

Proof:  $O([n], [k]) = \{f: [n] \rightarrow [k] \mid f \text{ is onto}\}$

we construct an equivalence relation  $\sim$  over  $O([n], [k])$

$f \sim g$ , where  $f, g \in O([n], [k])$ , iff  $\exists \pi: [k] \xrightarrow{\text{onto}} [k]$   
 $s.t. -g = \pi \circ f$

Let  $[f]$  denote an equivalent class containing  $f \in O([n], [k])$

$$|[f]| = k!$$

$$\Omega([n], [k]) = A_1 \sqcup A_2 \sqcup \dots \sqcup A_N$$

$$|A| = |A_1| = |A_2| = \dots = |A_N|$$

claim: There are  $S(n, k)$  no. of equivalence classes wrt to  $\sim$

$$f \sim g : \Leftrightarrow \exists \pi, g = \pi \circ f$$

$$(f^{-1}(1), f^{-1}(2), \dots, f^{-1}(k))$$

$$(g^{-1}(1), g^{-1}(2), \dots, g^{-1}(k))$$

unordered  $k$ -tuple

$$\{f^{-1}(1), \dots, f^{-1}(k)\} = \{g^{-1}(1), g^{-1}(2), \dots, g^{-1}(k)\}$$

$$\text{WLOG } |f^{-1}(1)| \leq |f^{-1}(2)| \leq \dots \leq |f^{-1}(k)|$$

$$|g^{-1}(1)| \leq |g^{-1}(2)| \leq \dots \leq |g^{-1}(k)|$$

$$\pi = \begin{pmatrix} 1 & 2 & 3 & \dots & k \\ i_1 & i_2 & i_3 & \dots & i_k \end{pmatrix}$$

Proof of claim: We first establish that if  $f, g \in \Omega([n], [k])$

s.t. the unordered  $k$ -tuple subset of  $[n]$   $(f^{-1}(1), f^{-1}(2), \dots, f^{-1}(k))$  and  $(g^{-1}(1), g^{-1}(2), \dots, g^{-1}(k))$  are same i.e.

$$[n] = f^{-1}(1) \sqcup f^{-1}(2) \sqcup \dots \sqcup f^{-1}(k)$$

the set of  $\{f^{-1}(1), f^{-1}(2), \dots, f^{-1}(k)\} = \{g^{-1}(1), g^{-1}(2), \dots, g^{-1}(k)\}$

Then  $\exists$  a permutation on  $\pi: [k] \rightarrow [k]$  s.t.  $f = \pi \circ g$

To see this WLOG

$$|f^{-1}(1)| \leq |f^{-1}(2)| \leq \dots \leq |f^{-1}(k)|$$

Suppose  $|g^{-1}(i_1)| \leq |g^{-1}(i_2)| \leq \dots \leq |g^{-1}(i_k)|$

We construct  $\pi = \begin{pmatrix} 1 & 2 & 3 & \dots & k \\ i_1 & i_2 & i_3 & \dots & i_k \end{pmatrix}$

Here we have,

$$|f^{-1}(1)| = |g^{-1}(i_1)|$$

$$|f^{-1}(2)| = |g^{-1}(i_2)|$$

$$\vdots$$

$$|f^{-1}(k)| = |g^{-1}(i_k)|$$

Note that  $\forall x \in [n]$

$$x \in f^{-1}(\alpha) \text{ for some } \alpha \in [k]$$

i.e.  $f(x) = \overset{\circ}{\alpha}$

$$\pi \circ f(\alpha) = \pi(\alpha) = i_\alpha$$

i.e.  $x \xrightarrow{\pi \circ f} i_\alpha$

Also  $x \in g^{-1}(i_\alpha)$

$$\text{i.e. } g(x) = i_\alpha$$

i.e.  $x \xrightarrow{g} i_\alpha$

$$g = \pi \circ f$$

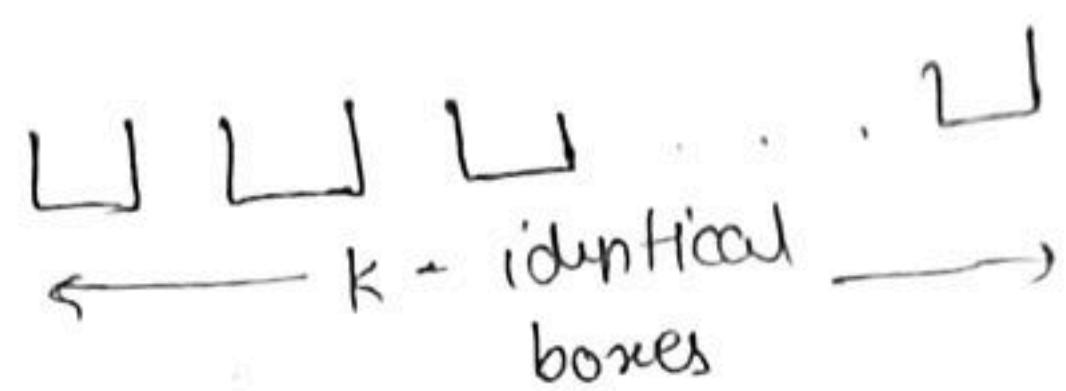
This means two different undirected  $k$ -tuples of subset of  $[n]$   $(f^{-1}(1), f^{-1}(2), \dots, f^{-1}(k))$  and  $(g^{-1}(1), \dots, g^{-1}(k))$  are not same  $\Leftrightarrow \# \pi: [k] \xrightarrow{\text{onto}} [k] \text{ s.t. } g = \pi \circ f \Leftrightarrow f \neq g$

Recall that undirected  $k$ -tuple  $(f^{-1}(1), \dots, f^{-1}(k))$  represents a partition of  $[n]$  into  $k$ -non-empty sets

Hence the claim is established

So. no. of "such" distribution are  $|P([n], [k])| = k! s(n, k)$

Ⓐ Ⓛ Ⓜ ... Ⓞ  
n identical → objects



Def<sup>n</sup>: Let  $n, k$  be positive integer with  $n \geq k$ . An unordered  $k$ -tuple  $(a_1, a_2, \dots, a_k)$  is said to be partition of integer  $n$  into  $k$ -positive integers if  $a_1, a_2, \dots, a_k$  are positive integer satisfy

$$a_1 + a_2 + a_3 + \dots + a_k = n$$

The integer  $P_{\leq k}(n) = P(n, k)$  denotes the total no. of way in which the integer  $n$  can be partitioned into  $k$  positive integer

Proof  $\mathcal{P}(n, k) = \text{The set of partitions of the integer } n \text{ into } k \text{ positive integers}$

$$= \left\{ (a_1, a_2, \dots, a_k) : (a_1, \dots, a_k) \text{ is an unordered } k\text{-tuple of positive integers satisfy } a_1 + a_2 + \dots + a_k = n \right\}$$

$$A = \left\{ (a_1, a_2, \dots, a_k) \in \mathcal{P}(n, k) : a_i = 1, \text{ for some } i \in [k] \right\}$$

$$B = \left\{ (a_1, a_2, \dots, a_k) \in \mathcal{P}(n, k) : \forall i \in [k], a_i > 2 \right\}$$

$$A \longleftrightarrow \mathcal{P}(n-1, k-1)$$

let  $(a_1, a_2, \dots, a_k) \in A$ , WLOG  $a_k = 1$

Note that  $a_1 + a_2 + \dots + a_{k-1} = n-1$

i.e. unordered  $k-1$  tuple  $(a_1, a_2, \dots, a_{k-1})$  is a partition of

Integer  $n-1$  into  $k-1$  positive integers

i.e.  $(a_1, a_2, \dots, a_{k-1}) \in \wp(n-1, k-1)$

Conversely, if  $(b_1, b_2, \dots, b_{k-1}) \in \wp(n-1, k-1)$  then the unordered  $k$ -tuple  $(b_1, \dots, b_{k-1}, 1) \in \mathcal{X}$

Hence, There exist bijective correspondence exists b/w  $\mathcal{X}$  and  $\wp(n-1, k-1)$ . Hence,

$$|\mathcal{A}| = |\wp(n-1, k-1)| = p(n-1, k-1)$$

We note that,

$$(a_1, a_2, \dots, a_k) \mapsto (a_1-1, a_2-1, \dots, a_{k-1})$$

is an 1-1 & onto map from  $\mathcal{B}$  to  $\wp(n-k, k)$  (Ex)

$$|\mathcal{B}| = |\wp(n-k, k)| = p(n-k, k)$$

□

Thm: One can distribute  $n$ -identical objects into  $k$  identical boxes into  $\sum_{i=1}^k p(n, i)$  many ways

## statistical Mechanics

Placing  $n$  particles into  $k$ -different energy levels

THREE different statistics are obtained by making three different assumptions

• Maxwell - Boltzman : Here  ~~$n$~~   $n$  labelled particles are distributed into  $k$ -labelled boxes (energy levels)

• Bose - Einstein : Here  $n$  identical particles are distributed into  $k$  labelled boxes (energy levels)

• Fermi - Dirac : Here  $n$ -identical particles are distributed into  $k$  labelled energy levels but no box can hold more than ~~one~~ one particle

$$\rightarrow \binom{k}{n}$$

## \* Principle of inclusion & exclusion

Th (IEP - Ver II) : Let  $\mu: 2^{[n]} \rightarrow \mathbb{R}$  be a fn and we construct  
 $v: 2^{[n]} \rightarrow \mathbb{R}$

$$v(E) = \sum_{S \subseteq E} \mu(S) = \sum_{S \in 2^E} \mu(S)$$

$$\text{Then, } \mu(E) = \sum_{S \subseteq E} (-1)^{|E|-|S|} v(S)$$

Th (IEP - Ver III) Let  $\mu: 2^{[n]} \rightarrow \mathbb{R}$  be a fn and

$$v: 2^{[n]} \rightarrow \mathbb{R}$$

$$v(E) = \sum_{S \subseteq E} \mu(S)$$

$$\text{Then } \mu(E) = \sum_{S \subseteq E} (-1)^{|S|-|E|} v(S) \quad S \subseteq E$$

$$\text{Proof: } \sum_{S \subseteq E} (-1)^{|S|-|E|} v(S)$$

$$= \sum_{S \subseteq E} (-1)^{|S|-|E|} \left( \sum_{C \subseteq S} \mu(C) \right)$$

$$= \sum_{S \subseteq E} \sum_{C \subseteq S} (-1)^{|S|-|E|} \mu(C)$$

\* Principle of inclusion & exclusion

Theorem - Ver II : Let  $\mu : 2^{[n]} \rightarrow \mathbb{R}$  be a fn and we construct  
 $v : 2^{[n]} \rightarrow \mathbb{R}$

$$v(E) = \sum_{S \subseteq E} \mu(S) = \sum_{S \in 2^E} \mu(S)$$

$$\text{Then, } \mu(E) = \sum_{S \subseteq E} (-1)^{|S| - |E|} v(S)$$

Theorem - Ver III : Let  $\mu : 2^{[n]} \rightarrow \mathbb{R}$  be a fn and

$$v : 2^{[n]} \rightarrow \mathbb{R}$$

$$v(E) = \sum_{E \subseteq S} \mu(S)$$

$$\text{Then } \mu(E) = \sum_{E \subseteq S} (-1)^{|S| - |E|} v(S)$$

$$\underline{\text{Proof:}} \quad \sum_{E \subseteq S} (-1)^{|S| - |E|} v(S)$$

$$= \sum_{E \subseteq S} (-1)^{|S| - |E|} \left( \sum_{S \subseteq C} \mu(C) \right)$$

$$= \sum_{E \subseteq S} \sum_{S \subseteq C} (-1)^{|S| - |E|} \mu(C)$$

$$(c, s) \longrightarrow ((-1)^{|E|-|S|}, \mu(c))$$

$$\mu(c) \sum_{S \in c} (-1)^{|S| - |E|}$$

$$\sum_{c \in S} \mu(c) \sum_{S \in c} (-1)^{|E|-|S|}$$

$$\Rightarrow \mu(c) \sum_{S \in c} (-1)^{|E|-|S|} = \mu(c) \sum_{Z \subseteq c \setminus E} (-1)^{|E|-|E|-|Z|}$$

$S = E \sqcup Z$

$$Z \subseteq c \setminus E$$

$$= \mu(c) \sum_{Z \subseteq c \setminus E} (-1)^{|Z|}$$

$$= \mu(c) \cdot 0$$

Hence, by DCP, we have

$$\mu(E) = \sum_{S \subseteq E} (-1)^{|E|-|S|} \nu(S)$$

$$\sum_{S \subseteq [n]} (-1)^{|S|} = \sum_{i=0}^n \binom{n}{i} (-1)^i$$

$$2 \sum_{i=0}^n \binom{n}{i} (-1)^i (1)^{n-i}$$

$$2 - (n+1)^n = 0$$



Theorem (IEP - Ver IV) Let  $A$  be a finite non-empty set and  $\forall i \in [m]$ ,  $P_i$  denote denote a property for each  $x \in A$ , and  $i \in [m]$  either  $x$  satisfies the property  $P_i$  or (exclusive or)  $x$  does not satisfy property  $P_i$ .

let  $S \subseteq [m]$

$$N(S) = \{x \in A : x \text{ satisfies property } P_i \forall i \in S\}$$

The no. of elements of  $A$  that satisfy none of the properties  $P_1, P_2, \dots, P_m$  is given by

$$\sum_{S \subseteq [m]} (-1)^{|S|} |N(S)|$$

## APP-I ONTO FUNCTION COUNTING

Let  $s(n, k)$  denote the stirling no. of 2nd kind where  $n \geq k$  are positive integers with  $n \geq k$  the  $s(n, k) = \frac{1}{k!} \sum_{i=0}^k (-1)^i \binom{n}{i} (k)_i^{(n)}$

Proof  $A([n], [k])$  = The set of all  $f^{n \times k}$  from  $[n]$  to  $[k]$

$$|A([n], [k])| = k^n$$

$O([n], [k])$  = The set of all onto  $f^{n \times k}$  from  $[n]$  to  $[k]$

we define the properties  $P_1, P_2, \dots, P_k$

$\forall i \in [k]$   $P_i$  denote the property that  $i$  does not belong to image of  $f \in A([n], [k])$

Note that the set of all elements of  $A([n], [k])$  that satisfy none of the properties  $P_1, P_2, \dots, P_k$  is that set of all onto  $f^{n \times k}$

$sc[k]$

$$N(s) = \{f \in A([n], [k]) : f \text{ satisfies property } P_i \forall i \in s\}$$

claim: for  $sc[k]$ ,  $|N(s)| = (k - |s|)^n$

Proof of claim: we note the following

$f \in N(s) \Leftrightarrow f \text{ satisfies Prop. } P_i \forall i \in s$

$\Leftrightarrow i \notin \text{Im } f \quad \forall i \in s$

$\Leftrightarrow s \text{ not in the Im } f$

$\Leftrightarrow s \text{ is disjoint from } \text{im } f$

$\Leftrightarrow f \text{ is a fn}^* \text{ from } [n] \text{ to } [k] \setminus s$

Hence,  $|N(s)| = (k - |s|)^n$  This establishes the claim

Using the IEP-Vari  $\Rightarrow$

$$|O([n], [k])| = \sum_{\substack{\text{sc}[k] \\ sc[k]}} (-1)^{|s|} |N(s)| = \sum_{sc[k]} (-1)^{|s|} (k - |s|)^n$$

$$= \sum_{i=0}^k (-1)^i \binom{n}{i} (k-i)^n$$

Then, we know,  $|O([n], [k])| = k! s(n, k)$

$$s(n, k) = \frac{1}{k!} \sum_{i=0}^k (-1)^i \binom{n}{i} (k-i)^n$$

Proof : let  $x \in A$ , we construct  $S_x \{ i \in [m] : x \text{ satisfies } p_{i,p} \}$

$$N(S) = \{x \in A : S \subseteq S_x\}$$

$$= \sum_{S \subseteq [m]} (-1)^{|S|} |N(S)|$$

$$= \sum_{S \subseteq [m]} (-1)^{|S|} |\{x \in A : S \subseteq S_x\}|$$

$$= \sum_{S \subseteq [m]} (-1)^{|S|} \left( \sum_{\substack{x \in A \\ S \subseteq S_x}} 1 \right)$$

$$= \sum_{S \subseteq [m]} \sum_{\substack{x \in A \\ S \subseteq S_x}} (-1)^{|S|} = \sum_{S \subseteq S_x \subseteq [m]} \sum_{x \in A} (-1)^{|S|}$$

$$= \sum_{x \in A} \sum_{S \subseteq S_x} (-1)^{|S|}$$

$$= \sum_{x \in A} \sum_{S \subseteq S_x = \emptyset} (-1)^{|S|} + \sum_{x \in A} \sum_{S \subseteq S_x \neq \emptyset} (-1)^{|S|}$$

$$= \sum_{x \in A} \sum_{S_x = \emptyset} 1 + \sum_{x \in A} (-1+1)^{|S_x|}$$

$$= \sum_{x \in A} \sum_{S_x \neq \emptyset} 1$$

$$= |\{x \in A : S_x = \emptyset\}|$$

$$= |\{x \in A : x \text{ does not satisfy property } p_1, p_2, \dots, p_m\}|$$

## App-II : Derangement Permutation counting

Def: A permutation  $\pi: [n] \xrightarrow{\text{onto}} [n]$  is called derangement if  $\forall i \in [n], \pi(i) \neq i$

The set of all derangements on  $[n]$  is denoted by  $D_n$

claim  $S(v_j) = T(v_j) \quad \forall j \in \{1, 2, \dots, n\}$

### APP-III Establishment of Euler PHI Function

Th: if  $n = p_1^{n_1} p_2^{n_2} \dots p_k^{n_k}$  where  $\forall i \in [k]$ ,  $n_i \geq 1$

$n > 1$  are integer and  $p_i$  are positive prime integers, then

$$\phi(n) = n \left(1 - \frac{1}{p_1}\right) \left(1 - \frac{1}{p_2}\right) \dots \left(1 - \frac{1}{p_k}\right)$$

Here  $\phi(n)$  denotes Euler's phi function defined by

$$\phi(n) = |\{x \in [n] : \gcd(x, n) = 1\}|$$

Proof: For each  $i \in [k]$ , let  $P_i$  denote the property that  $x$  is divisible by the positive prime integer  $p_i$

claim: The set of elements of  $[n]$  that satisfies the none of the properties of  $P_1, P_2, \dots, P_k$

then

$$R = \{x \in [n] : \gcd(x, n) = 1\}$$

Proof of claim: Note that if  $x$  does not satisfy the  $P_i$  for some  $i \in [n]$  then  $\gcd(x, p_i) = 1$

$$\begin{aligned} \Rightarrow \exists u, v \in \mathbb{Z} \text{ s.t } xu + p_i v = 1 \\ \Rightarrow (xu + p_i v)^{n_i} = 1 \\ \Leftrightarrow x^{n_i} + p_i^{n_i} v^{n_i} = 1 \\ \Rightarrow \gcd(x, p_i^{n_i}) = 1. \end{aligned}$$

Therefore  $x$  does not satisfies property  $\# P_i$  then  $\gcd(x, p_i^{n_i}) = 1$

so, if  $x$  does not satisfy  $P_1, P_2, \dots, P_k$  then  $\exists u_i, v_i \in \mathbb{Z}$

$$\begin{aligned} \forall i \in [k] \text{ s.t. } (xu_1 + p_1^{n_1} v_1)(xu_2 + p_2^{n_2} v_2) + \dots + (xu_k + p_k^{n_k} v_k) = 1 \\ \Leftrightarrow xv + nv = 1 \quad \text{for some } v, u \in \mathbb{Z} \end{aligned}$$

$$\text{claim } s(v_j) = \prod_{i \in j} p_i \quad \forall j \in \{1, 2, \dots, n\}$$

$$\Leftrightarrow \gcd(x, n) = 1$$

$$\Leftrightarrow x \in \mathbb{Z}/n\mathbb{Z} \quad (\text{this establishes the claim})$$

claim:  $s \in [k]$

$$|N(s)| = \frac{n}{\prod_{i \in s} p_i}$$

Proof of claim:  $x \in N(s) \Leftrightarrow x \text{ satisfies property } p_i \forall i \in s$

$$\Leftrightarrow p_i | x \forall i \in s$$

$$\Leftrightarrow \prod_{i \in s} p_i | x$$

Hence,  $N(s) = \left\{ x \in [n], x = \prod_{i \in s} p_i, g_i = 1, 2, \dots, \frac{n}{\prod_{i \in s} p_i} \right\}$

Using IEP - Verteilung and the claim we have

$$\begin{aligned} \phi(n) &= |R| = \sum_{s \subseteq [k]} (-1)^{|s|} |N(s)| \\ &= \sum_{s \subseteq [k]} (-1)^{|s|} \frac{n}{\prod_{i \in s} p_i} = n \prod_{i=1}^k \left(1 - \frac{1}{p_i}\right) \end{aligned}$$

$$\left(1 - \frac{1}{p}\right) \left(1 - \frac{1}{q}\right) = 1 + (-1) \left(\frac{1}{p} + \frac{1}{q}\right) + \frac{1}{pq}$$

Th: For any integer  $n \geq 1$

$$n = \sum_{d|n} \phi(d)$$

Th: for each positive integer  $n$

$$n = \sum_{d|n} \phi(d)$$

$$\sum_{d|n} \phi(d) = \sum_{d|n} \phi\left(\frac{n}{d}\right)$$

Proof : Let  $\gcd(x, n) = d$

Then  $\exists u, v \in \mathbb{Z}$  s.t.  $xu + nv = d$

$$= \frac{x}{d}u + \frac{n}{d}v = 1$$

so  $x \mapsto \frac{x}{d}$  forms a bijective

mapping between set  $\{x \in [n] : \gcd(x, n) = d\}$

and  $\left\{y \in \left[\frac{n}{d}\right] : \gcd(y, \frac{n}{d}) = 1\right\}$

Hence,

$$\left| \{x \in [n] : \gcd(x, n) = d\} \right| = \phi\left(\frac{n}{d}\right)$$

Now,  $x \sim y$ , where  $x, y \in [n]$  for a equivalence reln

iff  $\gcd(x, n) = \gcd(y, n)$

$$\text{Hence } n = |[n]| = \left| \bigsqcup_{d=1}^n \{x \in [n] : \gcd(x, n) = d\} \right|$$

$$\sum_{d=1}^n \left| \{x \in [n] : \gcd(x, n) = d\} \right|$$

$$n = \sum_{d|n} \phi\left(\frac{n}{d}\right) = \sum_{d|n} \left| \{x \in [n] : \gcd(x, n) = d\} \right|$$

$$d \nmid n \quad \left\{x \in [n] : \gcd(x, n) = d\right\} = \emptyset \Rightarrow \emptyset = \phi(0)$$

## MOBIUS FUNCTION

Def<sup>n</sup>: The Mobius fn<sup>x</sup>  $\mu: \{n \in \mathbb{Z}: n \geq 1\} \rightarrow \{-1, 0, 1\}$  is defined by

$$\mu(1) = 1$$

If  $n = p_1^{n_1} p_2^{n_2} \dots p_k^{n_k}$  then

$$\mu(n) = \begin{cases} (-1)^k & \text{if } n_1 = n_2 = \dots = n_k \\ 0 & \text{if } \exists i \in [k] \ n_i \geq 2 \ (\text{else}) \end{cases}$$

Th: for each integer  $n$

$$\sum_{d|n} \mu(d) = \begin{cases} 1 & \text{if } n=1 \\ 0 & \text{else } n \neq 1 \end{cases}$$

Proof: if  $n=1$  then only divisor of  $n=1$  is  $d=1$  Hence

$$\sum_{d|n} \mu(d) = 1 = \mu(1) = 1$$

So suppose  $n \geq 2$  &  $n = p_1^{n_1} p_2^{n_2} \dots p_k^{n_k}$

Then

$$\sum_{d|n} \mu(d) = \sum_{d|p_1 p_2 \dots p_k} \mu(d) = \sum_{S \subseteq [k]} (-1)^{|S|} = 0$$

Corollary: For each integer  $n = p_1^{n_1} p_2^{n_2} \cdots p_k^{n_k}$

$$\frac{\phi(n)}{n} = \sum_{d|n} \frac{\mu(d)}{d}$$

Proof:  $\phi(n) = n \prod_{i=1}^k \left(1 - \frac{1}{p_i}\right)$

$$= n \sum_{S \subseteq [k]} \frac{(-1)^{|S|}}{\prod_{i \in S} p_i} = n \sum_{d|p_1 p_2 \cdots p_k} \frac{\mu(d)}{d}$$

Def<sup>r</sup>: A real valued sequence  $\{x_n\}_{n=0}^{\infty}$  is said to satisfy  $k$ -term recurrence relation or simply recurrence relation

if  $\exists \lambda \in \mathbb{R}^k \rightarrow \mathbb{R}$  s.t  $x_0, x_1, \dots, x_{k-1}$  is known (referred as initial cond<sup>n</sup>) and  $\forall$  integer  $n \geq 0$

$$x_{n+k} = \lambda(x_n, x_{n+1}, \dots, x_{n+k-1})$$

$$x_k = \lambda(x_0, x_1, \dots, x_{k-1})$$

$$x_{k+1} = \lambda(x_1, x_2, \dots, x_k)$$

$$x_{k+2} = \lambda(x_2, x_3, \dots, x_{k+1})$$

We say the fn<sup>x</sup>  $\lambda$  as  $k$ -term recurrence fn<sup>x</sup> is simply recurrence fn<sup>x</sup>

If  $\lambda$  is linear i.e.

$$\left. \begin{array}{l} \lambda(\bar{x} + \alpha\bar{y}) = \lambda(\bar{x}) + \alpha\lambda(\bar{y}) \\ \bar{x} = (x_1, x_2, \dots, x_k) \in \mathbb{R}^k \\ \bar{y} \in \mathbb{R}^k \\ \alpha \in \mathbb{R} \end{array} \right\}$$

&  $\lambda$  is a  $k$ -term recurrence relation

then such  $\lambda$  is called  $k$ -term linear recurrence relation

if  $\lambda$  is homogeneous of degree  $n$

$$\begin{aligned} \bar{x} &= \{x_1, x_2, \dots, x_k\} \\ \alpha\bar{x} &= \{\alpha x_1, \alpha x_2, \dots, \alpha x_k\} \\ \lambda(\alpha\bar{x}) &= \lambda(\alpha x_1, \alpha x_2, \dots, \alpha x_k) = \alpha^n \lambda(x_1, x_2, \dots, x_k) \\ \lambda(\alpha\bar{x}) &= \alpha^n \lambda(\bar{x}) \end{aligned}$$

&  $\lambda$  is a  $k$ -term recurrence relation  
then such  $\lambda$  is called  $k$ -term homogeneous recurrence relation

Example :  $\lambda: \mathbb{R}^2 \rightarrow \mathbb{R}$

$$\lambda(x, y) = x + y \quad \leftarrow \text{Linear}$$

$$\lambda(x, y) = (x+y)^2 \quad \leftarrow \text{homogeneous of degree 2}$$



problem: Solve the linear recurrence relation

$$x_0 = 0 \quad x_1 = 1 \quad \text{and} \quad x_{n+2} = x_{n+1} + x_n \quad \forall \text{ integer } n \geq 0 \quad \} \rightarrow *$$

Soln

We first note that

$$(R^2 - R - I) (\{x_n\}_{n=0}^{\infty}) = \{0_n (=0)\}_{n=0}^{\infty}$$

step-1 : Identity the characteristic polynomial with the recurrence relation Here it is  $x^2 - x - 1$

step 2: find the roots of characteristic polynomial

$$\text{Here } x^2 - x - 1 = 0$$

$$\Leftrightarrow (x - \tau)(x + \frac{1}{\tau}) = 0 \quad \tau = \frac{1 + \sqrt{5}}{2}$$

Roots are  $\tau$  and  $-\frac{1}{\tau}$

step 3: formation of general soln

$$\text{Here it is } x_n = c_1 \tau^n + c_2 \left(-\frac{1}{\tau}\right)^n$$

where  $c_1, c_2 \in \mathbb{C}$

step 4: Apply initial cond'n

$$\text{Here } x_0 = 0 \quad x_1 = 1$$

$$0 = c_1 + c_2$$

$$1 = c_1 \tau + c_2 \left(-\frac{1}{\tau}\right)$$

Final : Unique solution of \* is :  $x_n = \left(-\frac{1}{\sqrt{5}}\right) \tau^n + \left(\frac{1}{\sqrt{5}}\right) \left(-\frac{1}{\tau}\right)^n$

Problem: Solve the linear recurrence relation

$$x_0 = 0, x_1 = 1, x_2 = 3$$
$$x_{n+3} = 5x_{n+2} - 8x_{n+1} + 4x_n \quad \forall \text{ integer } n \geq 0 \quad \star$$

$$\text{Soln: } (R^3 - 5R^2 + 8R - 4I) (\{x_n\}_{n=0}^{\infty}) = \{0_n (=0)\}_{n=0}^{\infty}$$

Step 1 - identify the characteristic polynomial associated with the recurrence relation

$$x^3 - 5x^2 + 8x - 4$$

Step 2 roots of the eqn

$$x^3 - 5x^2 + 8x - 4 = 0$$

$$(x-2)^2(x-1) = 0$$

Roots 2, 2, 1

Step 3 formation of general soln

$$x_n = (c_0 + c_1 n) 2^n + (c_3) 1^n$$

Step 4 apply initial cond'n of \*

$$x_0, x_1 = 1 \quad x_2 = 3$$

Rough

$$c_0 + c_3 = 0$$

$$2(c_0 + c_1) + c_3 = 1$$

$$(c_0 + 2c_1) 4 + c_3 = 3$$

$$4\underbrace{(c_0 + 2c_1)}_{6c_1 + 2c_0} + 1 - 2c_0 - 2c_1 = 3$$

$$6c_1 + 2c_0 = 2$$

Let  $\alpha_1, \alpha_2, \dots, \alpha_k$  are roots with multiplicities

Remark sup  $(R - \alpha_1 I)^{\alpha_1} (R - \alpha_2 I)^{\alpha_2} \cdots (R - \alpha_k I)^{\alpha_k} (\{x_n\}_{n=0}^{\infty}) = \{0_k (=0)\}_{n=0}^{\infty}$

general solution =  $(\underbrace{\quad}_{\substack{\text{Polynomial} \\ \text{of } n \text{ with degree} \\ \alpha_1-1}}) \alpha_1^n + (\underbrace{\quad}_{\substack{\text{Polynomial} \\ \text{of } n \text{ with} \\ \text{degree} \\ \alpha_2-1}}) \alpha_2^n + \dots$

$S(\mathbb{C})$  = The set of all Complex valued seq

$$\left\{ \{x_n\}_{n=0}^{\infty} \mid \forall \text{ integer } n \geq 0, x_n \in \mathbb{C} \right\}$$

claim:  $S(\mathbb{C})$  form a vector space over  $\mathbb{C}$

$$\alpha \in \mathbb{C}$$

$$\alpha \{x_n\}_{n=0}^{\infty} = \{\alpha x_n\}_{n=0}^{\infty}$$

Defn: A linear operator  $T: S(\mathbb{C}) \rightarrow S(\mathbb{C})$  is a map  
satisfies

$$\textcircled{a} \quad T \left( \{x_n\}_{n=0}^{\infty} + \{y_n\}_{n=0}^{\infty} \right) = T \left( \{x_n\}_{n=0}^{\infty} \right) + T \left( \{y_n\}_{n=0}^{\infty} \right)$$

$$\textcircled{b} \quad T \left( \alpha \{x_n\}_{n=0}^{\infty} \right) = \alpha T \left( \{x_n\}_{n=0}^{\infty} \right)$$

Example:  $\{x_n\}_{n=0}^{\infty} \xrightarrow{} \{x_{i+n}\}_{n=0}^{\infty}$

$$\{x_0, x_1, x_2, \dots\} \xrightarrow{} \{x_1, x_2, \dots, x_n\}$$

claim:  $R: S(\mathbb{C}) \rightarrow S(\mathbb{C})$

is a linear operator

$$\{x_0, x_1, x_2, \dots\} \xrightarrow{R} \{x_1, x_2, \dots\} \xrightarrow{R} \{x_2, x_3, x_4, \dots\}$$

$R^2$ ,  $R \circ R$

$$R^2 \left( \{x_n\}_{n=0}^{\infty} \right) = \{x_{2+n}\}_{n=0}^{\infty}$$

claim:  $R^2$  is also a linear operator

claim:  $R^K$

$$(R^3 - 5R^2 + 8R - 4I) \left( \{x_n\}_{n=0}^{\infty} \right)$$

$$= \{x_{3+n}\}_{n=0}^{\infty} - 5\{x_{2+n}\}_{n=0}^{\infty} + 8\{x_{1+n}\}_{n=0}^{\infty} - 4\{x_n\}_{n=0}^{\infty} = \{0_n\}_{n=0}^{\infty}$$


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Def' The set

$$\left\{ \{x_n\}_{n=0}^{\infty} \in S(c) \mid T(\{x_n\}_{n=0}^{\infty}) = \{0_n\}_{n=0}^{\infty} \right\}$$

is called kernel of  $T$  denoted as  $\ker T$

Here,  $S(c)$  is an example of infinite dim. vector space

$$R(\{\alpha^n\}_{n=0}^{\infty}) = \alpha \{\alpha^n\}_{n=0}^{\infty}$$

$$R(\langle \{\alpha^n\}_{n=0}^{\infty} \rangle) = \langle \{\alpha^n\}_{n=0}^{\infty} \rangle$$

Def<sup>n</sup>: Let  $H$  be an vector space over  $\mathbb{C}$  and  $T: H \rightarrow H$  be a linear operator. A non-zero vector  $x \in H$  is called eigen (I-gen) vector if  $\exists \alpha \in \mathbb{C}$  s.t.

$$T(x) = \alpha x$$

such  $\alpha$  is called eigen value.

$$\text{Note: } T(\langle x \rangle) = \langle x \rangle$$

Note:  $R^k(\{\alpha^n\}_{n=0}^{\infty}) = \alpha^k (\{\alpha^n\}_{n=0}^{\infty})$   
 Thus  $\forall \alpha \in \mathbb{C}$ , and  $\forall$  integer  $k \geq 0$   $\{\alpha^n\}_{n=0}^{\infty}$  is the eigen vector of  ~~$\{\alpha^{n+k}\}_{n=0}^{\infty}$~~   $R^k$  with eigen value  $\alpha^k$

Lemma: Let  $H$  be a vector space over  $\mathbb{C}$  and  $T: H \rightarrow H$  be a linear operator. Then  $\ker T = \{x \in H : T(x) = 0\}$  for a vector subspace

over  $\mathbb{C}$

Proof: let  $x, y \in \ker T$  and  $\alpha \in \mathbb{C}$

$$\text{The } T(x+\alpha y) = T(x) + T(\alpha y) = T(x) + \alpha T(y) = 0 + 0 = 0.$$

i.e.  $x+\alpha y \in \ker T$

Hence the result □

Cor: Let  $p(x) \in \mathbb{C}[x]$  be a polynomial  
 $R : S(\mathbb{C}) \rightarrow S(\mathbb{C})$  be a right shift linear operator  
 $R(\{x_n\}_{n=0}^{\infty}) = \{x_{1+n}\}_{n=0}^{\infty}$

Then:  $p(R) : S(\mathbb{C}) \rightarrow S(\mathbb{C})$  form a Linear operator &  
 $\ker p(R)$  forms a vector space over  $\mathbb{C}$

Proof: Exercise

Hint:  $T_1 : H \rightarrow H$   
 $T_2 : H \rightarrow H$   
 then  $T_1 + T_2$  is a Linear operator

\* Exercise

$$\text{Im } T = \{T(x) : x \in H\}$$

is vector subspace of  $H$  over  $\mathbb{C}$

Lemma: Let  $x_{n+k} = \sum_{i=1}^k c_i x_{n+k-i}$

$$= c_1 x_{n+k-1} + c_2 x_{n+k-2} + \dots + c_k x_n$$

where  $\forall i \in [k]$ ,  $c_i \in \mathbb{C}$ , be a  $k$ -term linear homogeneous recurrence relation with initial condition  $x_0, x_1, \dots, x_{k-1}$

Then  $p(R)(\{x_n\}_{n=0}^{\infty}) = \{0_n (=0)\}_{n=0}^{\infty}$   $R^0 = I$

Where  $p(R) = R^k - \sum_{i=1}^k c_i R^{k-i}$

Moreover  $\ker p(R)$  is a  $k$ -dimensional vector subspace of  $S(\mathbb{C})$  over  $\mathbb{C}$

$$p(R)(\{x_n\}_{n=0}^{\infty}) = \{0_n(=0)\}_{n=0}^{\infty}$$

$$(R^k - \sum_{i=1}^k c_i R^{k-i})(\{x_n\}_{n=0}^{\infty}) = \{0_n(=0)\}_{n=0}^{\infty}$$

we construct the map

$$\Lambda : \ker p(R) \rightarrow \mathbb{C}^k$$

$$\Lambda(\{x_n\}_{n=0}^{\infty}) = (x_0, x_1, \dots, x_{k-1})$$

For each  $\alpha \in \mathbb{C}$  and  $\{x_n\}_{n=0}^{\infty}, \{y_n\}_{n=0}^{\infty} \in \ker p(R)$

$$\Lambda(\{x_n\}_{n=0}^{\infty} + c\{y_n\}_{n=0}^{\infty})$$

$$\Lambda(\{x_n + cy_n\}_{n=0}^{\infty}) = (x_0 + cy_0, x_1 + cy_1, \dots, x_{k-1} + cy_{k-1})$$

$$\begin{aligned} \Lambda(\{x_n\}_{n=0}^{\infty}) + c\Lambda(\{y_n\}_{n=0}^{\infty}) &= (x_0, x_1, \dots, x_{k-1}) + c(y_0, y_1, \dots, y_{k-1}) \\ &= (x_0 + cy_0, x_1 + cy_1, \dots, x_{k-1} + cy_{k-1}) \end{aligned}$$

$$\text{i.e. } \Lambda(\{x_n\}_{n=0}^{\infty} + c\{y_n\}_{n=0}^{\infty}) = \Lambda(\{x_n\}_{n=0}^{\infty}) + c\Lambda(\{y_n\}_{n=0}^{\infty})$$

Thus  $\Lambda$  is a linear map

$$\text{if } \Lambda(\{x_n\}_{n=0}^{\infty}) = \Lambda(\{y_n\}_{n=0}^{\infty})$$

$$(x_0, x_1, \dots, x_{k-1}) = (y_0, y_1, \dots, y_{k-1})$$

$$\Leftrightarrow x_0 = y_0, y_1 = x_1, \dots, y_{k-1} = x_{k-1}$$

$\Lambda$  is 1-1

Let  $(a_0, a_1, \dots, a_{k-1}) \in \mathbb{C}^k$

$$a_{n+k} = \sum_{i=1}^k c_i a_{n+k-i}$$

Then  $\{a_n\}_{n=0}^{\infty} \in \text{ker } \phi(R)$

$$\Lambda(\{a_n\}_{n=0}^{\infty}) = (a_0, a_1, \dots, a_{k-1})$$

$\Lambda$  is onto

Thus  $\Lambda$  establishes the vector space isomorphism between  $\text{ker } \phi(R)$  and  $\mathbb{C}^k$

Since  $\mathbb{C}^k$  is a  $k$ -dimensional vector space over  $\mathbb{C}$

we have  $\text{ker } \phi(R)$  is  $k$ -dimensional vs over  $\mathbb{C}$