

# MERGE SORT

## 1. Definition

**Merge Sort** is a **divide-and-conquer, recursive**, comparison-based sorting algorithm. It divides the array into two halves, sorts each half recursively, and then **merges** the two sorted halves.

## 2. Key Idea (Divide → Conquer → Combine)

1. **Divide:** Split the array into two halves
2. **Conquer:** Recursively sort both halves
3. **Combine:** Merge the two sorted halves into one sorted list

## 3. Example (Step-by-Step)

Array: [8, 4, 7, 3, 2, 6, 5, 1]

### ✓ Step 1: Divide

[8, 4, 7, 3] and [2, 6, 5, 1]

### ✓ Step 2: Divide further

Left → [8, 4], [7, 3]

Right → [2, 6], [5, 1]

### ✓ Step 3: Sort single elements

[8] [4] → merge → [4, 8]

[7] [3] → merge → [3, 7]

[2] [6] → merge → [2, 6]

[5] [1] → merge → [1, 5]

### ✓ Step 4: Merge pairs

[4, 8] + [3, 7] → [3, 4, 7, 8]

[2, 6] + [1, 5] → [1, 2, 5, 6]

✓ Step 5: Merge the final two halves

[3, 4, 7, 8] + [1, 2, 5, 6]  
 → Sorted array: [1, 2, 3, 4, 5, 6, 7, 8]

## 4. Merge Sort Algorithm

```
// Algo MergeSort
// Input: Array A with indexes low and high
// Output: Sorted array A
```

```
MergeSort(A, low, high)
{
  if (low < high)
  {
    mid = (low + high) / 2

    MergeSort(A, low, mid)
    MergeSort(A, mid + 1, high)

    Merge(A, low, mid, high)
  }
}
```

```
// Algo Merge
// Input: Array A, indexes low, mid, high
// Output: Merged sorted subarray A[low...high]
```

```
Merge(A, low, mid, high)
{
  create temporary array B
  i = low
  j = mid + 1
  k = low
  while (i ≤ mid AND j ≤ high)
  {
    if (A[i] ≤ A[j])
    {
      B[k] = A[i]
      i = i + 1
    }
  }
}
```

```

else
{
    B[k] = A[j]
    j = j + 1
}
k = k + 1
}

// Copy the remaining left subarray
while (i ≤ mid)
{
    B[k] = A[i]
    i = i + 1
    k = k + 1
}
// Copy the remaining right subarray
while (j ≤ high)
{
    B[k] = A[j]
    j = j + 1
    k = k + 1
}
// Copy B back to A
for (x = low; x ≤ high; x = x + 1)
    A[x] = B[x]
}

```

## 5. Properties

Property	Value
Algorithm Type	Divide & Conquer
Stable	✓ Yes
In-place	✗ No (needs extra space)
Adaptive	✗ No
Recursive	✓ Yes
Suitable for large data	✓ Yes

## 6. Summary of Worst-Case Efficiency

<u>Component</u>	<u>Worst Case</u>
Recursive Levels	$\log n$
Work per Level	$n$
Total Time Complexity	$O(n \log n)$
Space Complexity	$O(n)$
Comparisons	$\leq n \log n$
Stable?	Yes
In-place?	No

## 9. Advantages

- Very efficient for large datasets
- Guaranteed  $O(n \log n)$  performance
- Stable
- Parallelizable
- Perfect for linked lists

## 10. Disadvantages

- Requires extra space  $O(n)$
- Slower than quicksort in practice for arrays
- Not in-place
- Heavy recursive overhead

## 11. Real-Life Analogy

Sorting papers by splitting them into smaller piles, sorting each pile, and merging them back.

## 12. Short Exam Notes

- Merge sort uses **divide and conquer**.
- Splits recursively until a single element remains.
- Merges sorted halves into a single sorted array.
- Time complexity (all cases) =  $O(n \log n)$ .
- Space complexity =  $O(n)$ .
- Stable but not in place.
- Efficient for large datasets.

## 13. Efficiency Analysis

Joint complexity

$$T(n) = T(n/2) + T(n/2) + n$$

$$= 2T(n/2) + n. \quad \text{--- (1)}$$

$$T(n/2) = 2T(n/4) + n/2 \quad \text{--- (2)}$$

$$T(n/4) = 2T(n/8) + n/4 \quad \text{--- (3)}$$

$$\begin{aligned}
 T(n) &= 2(2T(n/2) + n/2) + n \\
 &= 4T(n/2) + 2n \\
 &= 4(2T(n/4) + n/4) + 2n \\
 &= 8T(n/4) + 3n
 \end{aligned}$$

for  $k^{th}$  iteration.

$$T(n) = 2^k T(n/2^k) + kn.$$

$$\text{subst } 2^k = n.$$

$$\begin{aligned}
 T(n) &= nT(1) + kn \\
 &= n + kn.
 \end{aligned}$$

$$2^k = n$$

$$\log_2 2^k = \log_2 n.$$

$$k \log_2 2 = \log_2 n$$

$$\boxed{k = \log_2 n}$$

$$\Rightarrow T(n) = n + n(\log_2 n)$$

$$\therefore \boxed{\underline{\underline{O(n \log n)}}}$$