

0/1 Knapsack Problem

1. Introduction

The **0/1 Knapsack Problem** is a classic **combinatorial optimization problem** in which each item can either be selected completely or not selected at all. Fractional selection of items is not allowed.

2. Problem Statement

Given:

- A set of n items
- Each item has a weight w_i and value v_i
- A knapsack with maximum capacity W

The objective is to maximize the total value of selected items such that the total weight does not exceed the knapsack capacity.

3. Mathematical Formulation

$$\text{Maximize } \sum_{i=1}^n v_i x_i$$

Subject to:

$$\sum_{i=1}^n w_i x_i \leq W$$

Where:

$$x_i \in \{0, 1\}$$

4. Why Greedy Approach Fails

Greedy methods based on value, weight, or value-to-weight ratio do not always produce optimal solutions for the 0/1 Knapsack problem. Hence, **Dynamic Programming** is used.

5. Dynamic Programming Approach

The problem is solved by breaking it into smaller overlapping subproblems and storing their results.

6. DP State Definition

Let:

$$dp[i][w]$$

represent the maximum value that can be obtained using the first i items with knapsack capacity w .

7. Recurrence Relation

If $w_i \leq w$:

$$dp[i][w] = \max (dp[i - 1][w], v_i + dp[i - 1][w - w_i])$$

If $w_i > w$:

$$dp[i][w] = dp[i - 1][w]$$

8. Base Conditions

$$dp[0][w] = 0 \quad \forall w$$

$$dp[i][0] = 0 \quad \forall i$$

9. Algorithm Steps

1. Create a DP table of size $(n + 1) \times (W + 1)$
2. Initialize the first row and first column with zeros
3. Fill the table using the recurrence relation
4. The optimal solution is found at $dp[n][W]$

10. Time and Space Complexity

- Time Complexity: $O(nW)$
- Space Complexity: $O(nW)$
- Optimized Space Complexity: $O(W)$ using 1D DP

11. Advantages

- Guarantees optimal solution
- Systematic and reliable approach
- Suitable for moderate problem sizes

12. Disadvantages

- Pseudo-polynomial time complexity
- High memory usage for large W
- Not suitable for very large inputs

13. Applications

- Resource allocation
- Budget planning
- Cargo loading
- Project selection
- Memory management

14. Comparison with Fractional Knapsack

Feature	0/1 Knapsack	Fractional Knapsack
Item selection	Whole or none	Fraction allowed
Approach	Dynamic Programming	Greedy
Optimal solution	Yes	Yes
Time complexity	$O(nW)$	$O(n \log n)$

15. Conclusion

The 0/1 Knapsack problem is an important optimization problem that is efficiently solved using dynamic programming, ensuring an optimal solution under given constraints.