<u>CSL2050 - Pattern Recognition and Machine Learning</u> <u>Project Mid-Report</u>

Stroke Prediction Using Machine Learning

Problem Statement

Stroke is a leading cause of death and disability worldwide, making early detection critical for effective intervention. This project aims to develop a ML model to predict stroke occurrence based on patient data, including demographic, lifestyle, and health-related features. Accurate prediction can help healthcare professionals identify at-risk individuals, prioritizing sensitivity (recall) to minimize missed cases while balancing precision to reduce false alarms. The challenge lies in handling a highly imbalanced dataset, where stroke cases are rare compared to non-stroke cases, requiring robust techniques to ensure reliable performance on the minority class.

Dataset

The dataset, sourced from Kaggle as "Healthcare Dataset Stroke Data" (healthcare-dataset-stroke-data.csv), contains 5,110 patient records with 12 initial features:

• id, gender, age, hypertension, heart_disease, ever_married, work_type, Residence_type, avg_glucose_level, bmi, smoking_status, and stroke (target variable).

After preprocessing, the dataset is saved as cleaned_dataset.csv with 16 features and no missing values.

Preprocessing Steps

- **Data Cleaning:** Dropped the id column, imputed missing bmi values (201 instances, 3.93%) with the median, and retained all 5,110 rows.
- Encoding: Converted categorical features (gender, ever_married, work_type, Residence_type, smoking_status) to numerical values using LabelEncoder.
- **Feature Engineering:** Added categorical features:
 - age_group (Young, Middle-Aged, Senior, Elderly)
 - bmi_category (Underweight, Normal, Overweight, Obese)
 - o glucose_category (Low, Normal, Prediabetes, Diabetes, High Risk)
 - Created interaction terms: age_bmi_interaction and hypertension_heart_disease.
- Scaling: Standardized numerical features (age, avg_glucose_level, bmi) using StandardScaler.
- **Target Distribution:** The dataset is imbalanced, with 95.13% "No Stroke" (4,861 instances) and 4.87% "Stroke" (249 instances), posing a challenge for model training.

Exploratory Analysis

- **Stroke Distribution:** Only 4.9% of patients had a stroke, confirming severe imbalance.
- Categorical Insights: Higher stroke risk observed in:
 - Married individuals (5.97%)
 - Self-employed workers (7.80%)
 - o Former smokers (7.63%)
- Numerical Features: Older age and higher glucose levels linked to increased stroke risk.
- Correlation: Moderate correlations exist between:
 - \circ age and stroke (0.25)
 - hypertension and heart_disease (0.13)

Early Results

Two models were implemented and evaluated on a test set of 1,022 samples (20% of the dataset, stratified split).

k-Nearest Neighbors (k-NN)

Implementation:

- Pipeline with StandardScaler, OneHotEncoder, SMOTE, SelectKBest (ANOVA F-test), and KNeighborsClassifier.
- Tuned n_neighbors, weights, metric, and feature selection k, optimizing for ROC AUC.

Results:

- Accuracy: 0.8474
- Precision (Stroke): 0.23, Recall (Stroke): 0.92, F1-Score (Stroke): 0.37
- Specificity: 0.8436, ROC AUC: 0.9561, Average Precision: 0.6635
- Confusion Matrix: [[0.84, 0.16], [0.08, 0.92]]

Analysis:

k-NN excels at recall (0.92), identifying 92% of stroke cases, and achieves a high ROC AUC (0.9561), indicating strong discriminative power. However, precision (0.23) is low due to many false positives, reflecting SMOTE's effect on the imbalanced data.

Gaussian Naive Bayes (GNB)

Implementation:

- Initial pipeline with OneHotEncoder, SMOTE, SelectKBest (mutual information), and GaussianNB, optimized for ROC AUC.
- Re-trained with ImbPipeline, SMOTE adjustments (sampling_strategy=0.5), and scoring='f1' in GridSearchCV, tuning var_smoothing and k.

Updated Results (F1 Optimization):

• **Accuracy:** 0.7671

• Precision (Stroke): 0.15, Recall (Stroke): 0.78, F1-Score (Stroke): 0.25

• Specificity: 0.7665, ROC AUC: 0.8205, Average Precision: 0.1973

• Confusion Matrix: [[0.77, 0.23], [0.22, 0.78]]

Analysis:

Post-retraining, GNB's recall (0.78) remains strong, catching 78% of strokes, and its F1-score improved to 0.25 from 0.19. Precision (0.15) is still low, but specificity (0.7665) and accuracy (0.7671) increased significantly from 0.6276 and 0.6389, respectively, showing better balance.

Comparison and Prioritization

• Recall: k-NN (0.92) outperforms GNB (0.78), critical for minimizing missed strokes.

• **Precision/F1:** k-NN (0.23, F1: 0.37) is better than GNB (0.15, F1: 0.25).

• AUC: k-NN (0.9561) surpasses GNB (0.8205), suggesting superior ranking ability.

• Accuracy: k-NN (0.8474) beats GNB (0.7671), though less relevant due to imbalance.

Which Is Better?

• **Medical Priority (High Recall):** k-NN is preferable due to higher recall (0.92 vs. 0.78), but both models have low precision, leading to false positives.

• Balanced Performance (F1-Score): k-NN's higher F1-score (0.37 vs. 0.25) indicates a better balance, though GNB's retraining shows progress.

Proposed Approaches

1. Further Refine GNB

• Adjust SMOTE ratios (0.3–0.7) and decision thresholds (0.6) to improve precision and F1-score.

2. Enhance k-NN

 Modify SMOTE settings and test different thresholds (e.g., 0.7) to balance recall and precision.

3. Explore Advanced Techniques

• Implement SVM (with class weights), Decision Trees (with pruning), and ANN to better capture complex patterns.

Next Steps

Immediate focus is on refining GNB and k-NN with further SMOTE and threshold adjustments. Subsequent steps involve implementing SVM, Decision Trees, and ANN, alongside enhanced feature engineering. Performance will be evaluated using recall, precision, F1-score, and AUC, aiming for a robust stroke prediction model by the project's conclusion.