PERSISTENT HOMOLOGY OF GEOSPATIAL DATA: A CASE STUDY WITH VOTING

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Abstract. A crucial step in the analysis of persistent homology is transformation of data into a simplicial complex. Modern packages for persistent homology often construct Vietoris–Rips or other distance-based simplicial complexes on point clouds because they are relatively easy to compute. We investigate alternative methods of constructing these complexes and the effects of making associated choices during simplicial-complex construction on the output of persistent-homology algorithms. We present two new methods for constructing simplicial complexes from two-dimensional geospatial data (such as maps). We apply these methods to a California precinct-level voting data set, demonstrating that our new constructions can capture geometric characteristics that are missed by distance-based constructions. Our new constructions can thus yield more interpretable persistence modules and barcodes for geospatial data. In particular, they are able to distinguish short-persistence features that occur only for a narrow range of distance scales (e.g., voting behaviors in densely populated cities) from short-persistence noise by incorporating information about other spatial relationships between precincts.

1. Introduction. Historically, the study of algebraic topology has been concerned with classifying topological spaces based on global properties using algebraic invariants [19]. More recently, however, ideas from algebraic topology have also been applied to data sets as a way of examining the "shape" of data [13,17,33]. One way to classify topological spaces is to distinguish them based on their number and types of holes. For example, a circle is distinct from a disc; we distinguish them based on the hole in the center of a circle. For two-dimensional (2D) geospatial data, we can interpret holes as concrete geographical features like lakes or deserts. Some previous geospatial and spatial applications of topological data analysis (TDA) include studies of the geography of country development [3], the spread of social [44] and biological [27] contagions, communication patterns in cities [2], voting in the "Brexit referendum" [42], continuum disk percolation in 2D [41], granular materials [34], flow networks in biological transport [37], and migration networks [22].

To identify holes in a data set, we need to assign a topological structure to the data and compute its homology groups. The homology of a topological space X is a set of topological invariants that are represented by homology groups $\{H_k(X)\}_{k\in\mathbb{N}}$, where $H_k(X)$ describes k-dimensional holes in X. If X is a network, $H_0(X)$ records the number of connected components and $H_1(X)$ records cycles. Because of the 2D nature of our focal data, we mostly restrict ourselves to computing only these homology groups. Homology is particularly useful as a topological invariant because of the existence of efficient combinatorial algorithms for computing the homology groups of simplicial and cellular complexes [33], as other topological invariants are less computationally tractable.

Within the subject of TDA, persistent homology (PH) is the most common method for computing holes in data. Point clouds have an inherent 0-dimensional

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¹Following the conventions of the demography community, we use the term "geospatial data" to refer to information about entities on or near Earth's surface that one can locate using some coordinate system (in our case, using latitude and longitude). In this paper, we use the term "geospatial" interchangeably with "geographic", in contrast to more general spatial data, which need not be based on geographic location.

(0D) structure, and they thus have few interesting topological properties when considered simply as a finite collection of points. However, by turning a point cloud into a higher-dimensional simplicial complex, we can gain more information about its "shape". In PH, we take a point cloud and turn it into a series of simplicial complexes; we then compute the homology of each of these complexes. Intuitively, consider looking at a point cloud and filling in the areas between points that are close to each other to get a manifold. Changing our notion of what it means to be "close to each other" results in a collection of different manifolds, each of which approximates our original point cloud. Most simply, we can take "close" to mean within some Euclidean distance, and we can then progressively increase this distance. Imagine squinting at a point cloud until it blurs and takes on some shape; the harder you squint, the more the edges of the shape blur and expand. This approach is particularly useful because of its ability to encode geographical information. Although topological invariants are useful because of their mathematically rigorous meaning, our intuition about what it means for a data set to have a certain shape includes many concepts that cannot be captured up to homeomorphism. Consider the classical example of a coffee cup and a donut: their homology groups are indistinguishable, yet we may still be interested in identifying differences between them.

Persistent homology has been used in a large variety of problems in numerous disciplines [33]. Applications of PH have included studies in protein compressibility [16, 25, 47, 49], DNA structure [15], computer vision [9], a wealth of different topics in neuroscience [6, 10, 11, 18, 23, 28, 35, 48], and more. One aspect of PH that makes it very appealing is its robustness to noise: because one examines data at multiple scales simultaneously, conventional wisdom suggests that features that persist over a variety of scales should be the result of a signal (rather than of noise). However, in some data sets (as in the case of geographical data sets), several distance scales are represented within a single point cloud, making it difficult to find persistent features. More generally, for both spatial and non-spatial data, some non-persistent features can convey important signals, as illustrated in [43] in an application to neuroscience. In these situations, it can be difficult to distinguish between (1) features that are real but appear only at specific scales and (2) noise.

Data sets that exhibit interesting features at multiple scales are a particularly poor fit for constructions that uses distances to turn point clouds into complexes However, distance-based constructions — especially the Vietoris–Rips (VR) construction — are the most common choice for creating simplicial complexes from point clouds, because of their relatively fast computation times [33,51]. Much of the recent literature on methods for constructing simplicial complexes has focused either on building VR complexes more rapidly or on building approximations to a VR complex using less data and thereby reducing computation time. Computing a VR complex or other distance-based complexes have been very effective for many applications [33], but they can sometimes lead to considerable difficulty in interpreting results, especially in applications where scaling is a major factor. To mitigate the effect of scaling, we propose the construction of simplicial complexes based on the network or contiguity properties of an underlying data set when available, as this allows an interpretation of persistence that does not rely on distance scaling and which is thus easier to interpret for geographical data.

Our paper proceeds as follows. In Section 2, we discuss background information about our data set and the methods of PH. In Section 3, we discuss several methods for the construction of simplicial complexes, including the standard VR construction and two new constructions that are based on the contiguity of geographical data. We also

discuss the differences in geometry between these methods and our intuition about how those differences affect our analysis. In Section 4, we give some computational results that support our intuition and provide guidelines for when each method of construction is appropriate. In Section 5, we discuss future directions for the computation of PH on 2D data. In appendices, we give further background about simplicial homology and additional details about some of our computations and results.

2. Background.

2.1. Voting Data. Throughout our paper, we use data from the LA Times California 2016 Election Precinct Maps [40]. Compiled by the Los Angeles Times Data Visualization Team after the November 2016 elections, this data set has precinct-level results for all of California for every statewide race. Specifically, this encompasses results for the presidential race, California's senatorial race, and 17 statewide propositions. The data covers all of California's 24626 precincts (which are organized into 58 counties); for each one, it includes the number of votes for each choice in each race, along with an associated SHAPEFILE and other metadata. We generate maps for each county, and we classify precincts in the presidential race based on the margin of victory for each candidate. We show two examples in Figure 1.

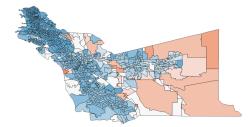
We are interested especially in examining the phenomenon in which a precinct votes differently from the areas that surround it (e.g., "an island of red voters in a sea of blue", or vice versa). Understanding this phenomenon gives one way of quantifying the voting behaviors of counties at large: some counties have rather uniform voting patterns; whereas others may contain clusters of communities that vote unlike their neighbors, potentially signaling the presence of urban areas, demographically distinct neighborhoods, or gerrymandering.

This application is particularly well-suited to PH, because we can interpret counties with distinct voting preferences as holes. Additionally, computing the homologies of these counties allows us to classify them based on their topological features. We can consider a county as a point cloud, where each precinct is a point with some additional data assigned to it (specifically, voting preferences and the geographical space that it occupies). For the remainder of this paper, we consider only votes for the candidates Hilary Clinton and Donald Trump in the presidential election. We use "red" to indicate a voting preference for Trump, and we use "blue" to indicate a preference for Clinton, with darker colors signifying a stronger voting preference in a particular precinct.

2.2. Persistent Homology. We now give a more rigorous discussion of some of the intuitive descriptions of PH from Section 1. Suppose that we have experimental data X, from which we have constructed a sequence $X_1 \subset X_2 \subset \cdots \subset X_l$ of simplicial complexes of dimension d. In Section 3, we will discuss several methods to construct such a sequence. We require that the sequence $\{X_i\}$ is increasing, such that it forms a filtered simplicial complex; and we call each X_i a subcomplex. The filtered simplicial complex, along with inclusion maps between subcomplexes and chain and boundary maps of each subcomplex, is called a "persistence complex". We examine the homology of each subcomplex, noting that the inclusion map $X_i \hookrightarrow X_j$ induces a map $f_{i,j}: H_m(X_i) \to H_m(X_j)$, and that, by functoriality,

$$(2.1) f_{k,j} \circ f_{i,k} = f_{i,j} .$$

Definition 2.1. Let $X = X_0 \subset X_1 \subset \cdots \subset X_l$ be a filtered simplicial complex.



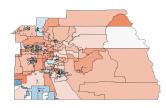


Fig. 1: The counties of (left) Alameda and (right) Tulare. Red precincts voted predominantly for Donald Trump, and blue ones voted predominantly for Hillary Clinton. Darker shading in a precinct indicates a stronger majority for the winning candidate, so Trump won dark-red precincts by a large margin and Clinton won dark-blue precincts by a large margin. We use the color white for precincts with an equal number of votes for the two candidates.

The mth persistent homology of X is the pair

$$\left(\{H_m(K_i)\}_{1 \le i \le l}, \{f_{i,j}\}_{1 \le i \le j \le l} \right),$$

where $f_{i,j}: H_m(X_i) \to H_m(X_j)$, for all $i \leq j$ and m less than the dimension of X, are the maps that are induced by the action of the homology functor on the inclusion maps $X_i \hookrightarrow X_j$. We refer to the collection of all mth persistent homologies as the **persistent homology (PH)** of X.

Most notably, the PH of a filtered simplicial complex encodes information about the maps between each subcomplex, thereby giving more information than the homologies of the individual subcomplexes. Each homology group $H_m(X_i)$ is a vector space whose generators correspond to holes in X_i , and the maps $f_{i,j}$ allow us to track these generators from $H_m(X_i)$ to $H_m(X_j)$. By picking a convenient basis for $H_m(X_i)$, which we are able to do by the Fundamental Theorem of Persistent Homology [52], we can construct a well-defined and unique collection of disjoint half-open intervals, where each generator $x \in H_p(K_i)$ corresponds to an interval $[b_x, d_x)$, with X_{b_x} denoting the subcomplex in which the generator (and its associated hole) first appears and X_{d_x} denoting the subcomplex in which the generator dies. More precisely, we say that $x \neq 0$ is born in $H_p(X_{b_x})$ if it is not in the image of f_{b_x-1,b_x} ; and it dies in $H_p(X_{d_x})$ if $d_x > b_x$ is the smallest index for which $f_{b_x,d_x}(x) = 0$. If $f_{b_x,j}(x) \neq 0$ for all $b_x < j \leq l$, then x lives forever and we associate the interval $[b_x, \infty)$ to it.

The collection of half-open intervals is known as the "barcode" [17] of X, and we use it to visualize the mth persistent homology. Generators with longer associated half-open intervals are more persistent. In general, one uses the persistence of features to distinguish signal from noise, but recent work indicates that persistence is not always readily interpretable in a meaningful way [21, 43]. In our computations, we find that using traditional distance-based constructions on the LA Times voting data yields ambiguous results about the persistence of features. However, by making the right choice of construction for the persistence complex (see Subsections 3.2 and 3.3), we can construct barcodes for the data in which persistence becomes a useful property for separating genuine features from noise.

Before continuing further, we draw attention to the distinction between the di-

mension of our embedding and the dimension of the topological object that we are studying, as we will be referencing both in the remainder of our paper. When we refer to the dimension of a simplicial complex, we mean the dimension of the highestdimensional simplex in the simplicial complex. Similarly, the dimension of a homological feature refers to the dimension of the homology group in which it sits; that is, a feature in the mth persistent homology has dimension m. By contrast, when we refer to 2D data sets, we mean that the data are embedded in a two-dimensional ambient space. Therefore, a point set in 2D is a 0D object that lives in a 2D space. A feature in H_1 is a 1D feature that we can visualize as a loop that lives in 2D space. A precinct is a 2D object that is embedded in a 2D space using its latitude and longitude. In this paper, we refer to data sets and maps based on the dimension of the space in which they live, whereas we refer to simplicial complexes, homology groups, and homological features based on the dimensionality of the objects themselves.

- 3. Methods for Constructing Filtered Simplicial Complexes. In this section, we describe the various methods that we use for constructing simplicial complexes from the voting data. The geographic data comes in the from of SHAPEFILES: it is a collection of polygons, rather than a point cloud. Although we do include computations based on existing constructions, which use point-cloud data, we also leverage the additional information inherent in the polygon form of maps to suggest two new constructions that are better suited to our application. In Section 3.4, we explain why one should expect these new approaches to yield better results. We perform computations using both these new constructions and two traditional ones, and we compare their performance in Section 4.
- 3.1. Vietoris-Rips, Alpha Complexes, and Other Distance-Based Constructions. We begin by reviewing several common methods of constructing filtered simplicial complexes from point clouds. One of the most prevalent constructions is the Vietoris-Rips (VR) complex, which one constructs using the pairwise distances² between points in a point cloud [13, 46].

Let X be a data set in the form of a point cloud. Given a real number $\epsilon > 0$, we define the VR complex $VR_{\epsilon}(X)$ as follows:

$$VR_{\epsilon}(X) := \{ \sigma \subset X : \ \forall x, y \in \sigma, \ d(x, y) \le \epsilon \}.$$

If there are n points in X, the maximal possible VR complex is the (n-1)-simplex that consists of all points in X and all of its subsimplices. By taking a collection $\{\epsilon_i\}$, with $0 = \epsilon_0 < \epsilon_1 < \epsilon_2 < \cdots < \epsilon_k$, and considering

$$X = VR_{\epsilon_0}(X) \subseteq VR_{\epsilon_1}(X) \subseteq \cdots \subseteq VR_{\epsilon_k}(X)$$
,

we obtain a filtered simplicial complex whose PH we are able to compute. Because we need to compute only pairwise distances to construct a VR complex, it is straightforward to compute; and there are various fast algorithms for constructing it [51]. Unfortunately, for large point clouds, the worst-case VR complex has $2^{|X|} - 1$ simplices and dimension |X|-1. The largest county in our data set is Los Angeles county, which has almost 5000 precincts, resulting in a worst-case complexity of about $2^{5000}-1$ simplices. This is very problematic.

The large number of precincts in several counties make it intractable to compute VR complexes for these counties. For county-candidate combinations with at least

²In the present paper, our measures of distance are metrics in the mathematical sense.

151 precincts, we instead compute alpha complexes. The alpha complex [14], which we denote by $A_{\epsilon}(X)$, also relies on a distance parameter and is defined as follows. Let $\epsilon > 0$, and let $X_{\epsilon} := \bigcup_{x \in X} B(x, \epsilon)$. Additionally, let $(V_x)_{x \in X}$ be the Voronoi diagram of X. Consider the intersection $V_x \cap B(x, \epsilon)$ for each $x \in X$, and note that the collection of these sets covers X_{ϵ} . We then have

$$A_{\epsilon}(X) = \{ \sigma \subset X : \ \forall x_i \in \sigma \,, \, \bigcap_i (V_x \cap B(x, \epsilon)) \neq \emptyset \} \,.$$

Because of the restriction of the ϵ -balls to the Voronoi diagram, the alpha complex restricts the dimension of the space in which X is embedded. In our case, because our data is embedded in \mathbb{R}^2 , the alpha complex of a county has 2D simplices (e.g., faces) as its highest-dimensional simplices.

The two constructions above both require the input data to be the form of a point cloud. To each precinct, we associate its centroid, which we calculate according to (latitude, longitude) coordinates using the centroids plug-in in QGIS [20]. We directly compute the Euclidean distance between the (latitude, longitude) coordinates of precinct centroids. (Therefore, we do not make a choice of map projection.)

Vietoris–Rips complexes are employed commonly, because it is relatively easy to construct them, they are intuitively appealing, they have important theoretical guarantees from the Nerve Theorem [24], and (perhaps most importantly) they have been implemented widely in existing PH packages. In general, ϵ -ball thickenings are a natural way to approach the problem of approximating a space from which one has only a sample of points. Points that are close to each other should be much more likely to be connected in the space than points that are far apart from each other, and thickenings also capture the intuition of blurring an image by squinting at it until the points start to merge. However, for our purposes, the point clouds that we construct do not bear a strong visual resemblance to the maps from which we construct them, and the locations of holes in these maps are independent of distance. In Figs. 2 and 3, we show visualizations of VR and alpha complexes for one of our precincts. In these visualizations, one can observe that the complexes do not visually resemble the underlying maps, and they also appear to have rather different topological properties. To address these issues, we propose two novel constructions in the next two subsections.

3.2. Adjacency Complexes. Our first new type of construction of a filtered simplicial complex is based on the notion of a network adjacency. Consider a network whose nodes are precincts and whose edges are determined by "queen adjacency". We use the definition of queen adjacency from Graphical Information Systems (GIS); two precincts are queen adjacent if they touch at any two points, including corners (which is a somewhat different idea from the movement of queens in chess). This is distinct from "rook adjacency", in which two precincts are adjacent if they share a boundary. Intuitively, we can imagine such a network as one in which any path in it corresponds to an ability to physically walk from one precinct to another in a contiguous fashion. Note that some precincts are not simply connected or have multiple connected components; in Section 4, we will discuss the effects of such features on our results.

By considering different levels of voter preferences for Donald Trump or Hillary Clinton, we construct a nested sequence of networks. We define a value

$$\delta_{b,r}(p) = \frac{|V_b(p) - V_r(p)|}{|V_b(p) + V_r(p)|},$$

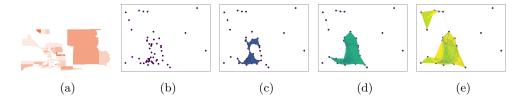


Fig. 2: Illustration of a Vietoris-Rips complex on the voting data. (a) The red precincts (in which more people voted for Donald Trump than for Hillary Clinton) in 2016 of Imperial County. In panels (b)-(e), we show the VR complex that approximates the county, with each successive image showing the VR complex as we increase ϵ . Observe that the contiguous region in the east of the county is not captured by this complex and that the western region includes a large number of 1-simplices and 2-simplices, despite the fact that there are relatively few precincts on the map. Both phenomena occur because the eastern precincts are much larger, so their centroids are much farther apart than the small (but not necessarily contiguous) precincts in the west.

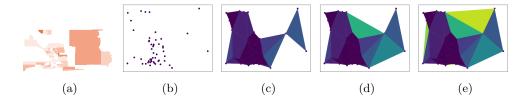


Fig. 3: Illustration of an alpha complex on the voting data. (a) The red precincts (in which more people voted for Donald Trump than for Hillary Clinton) in 2016 of Imperial County. In panels (b)–(e), we show the alpha complex that approximates the county, with each successive image showing the complex as we increase ϵ . Observe that the filtered simplicial complex has much larger 2-simplices than what we obtained for VR complexes (see Fig. 2), and that — unlike in Fig. 2 — once the western region is covered by 2-simplices (which, as one can see in panel (c), occurs fairly early in the filtration), new 2-simplices do not arise as we increase ϵ . However, similarly to the VR complex, the resulting simplicial complex results in a simply-connected region in the west; this does not accurately reflect the underlying map.

where $V_b(p)$ is the number of blue (i.e., Clinton) votes in a precinct p and $V_r(p)$ is the number of red (i.e., Trump) votes in that precinct. For example, for a given county, consider all of its precincts with a majority who voted for Hillary Clinton in 2016. For our first network, we consider only those precincts for which $\delta_{b,r}(p) \geq .95$. As the next network in the sequence, we take all precincts with $\delta_{b,r}(p) \geq .90$. We continue decreasing the strength of voter preference until we have all precincts in which Clinton won. We can stop here and construct a filtered simplicial complex of dimension-1 simplicial complexes. To incorporate faces, we add a 2-simplex between any three nodes that are all pairwise adjacent. This gives a dimension-2 filtered simplicial complex on which we can perform PH computations.

Using network adjacencies allows us to retain spatial information about our precincts that we lose when we consider only the point cloud of precinct centroids. In our particular application, our adjacency construction captures a notion of contiguity that is missing from the existing distance-based constructions. In Fig. 4, we show an example of a filtered simplicial complex, which we construct using adjacencies, that approximates Imperial County. It has better contiguity properties than the VR and alpha complexes that we showed in Figs. 2 and 3. However, this adjacency approach still requires us to associate a single point to each precinct polygon, rather than considering the entire area that it covers. This suggests another possible construction (based on level sets), which we describe in Section 3.3.

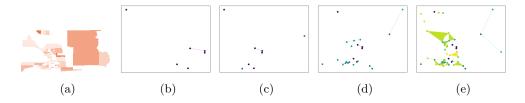


Fig. 4: Illustration of an adjacency complex on the voting data. (a) The red precincts (in which more people voted for Donald Trump than for Hillary Clinton) in 2016 of Imperial County. In panels (b)–(e), we show an associated adjacency complex that approximates the county, where we order the panels based on decreasing strength of preference for Trump. In panel (e), we observe that the eastern region is simply connected and that the western region has many 1-simplices. However, the latter is not covered by 2-simplices, so it is not simply connected. Although the depicted filtered simplicial complex does not seem to closely resemble the map in Figure 4a visually, its topological properties do appear to be similar.

3.3. Level-Set Complexes. The second method that we introduce is one that leverages the manifold nature of our data. For the previous methods (namely, the VR, alpha, and adjacency complexes), we were forced to make choices in how to assign precincts to points. For the VR and alpha constructions (i.e., the distance-based ones), we also had to make a choice of embedding into Euclidean space. We now introduce a complex based on level sets; for it, we use polygon SHAPEFILES as input and evolve them using level-set equations for motion of interfaces.

Let M denote the 2D manifold that consists of the collection of all of a county's precincts that voted for the same candidate. We then construct a sequence of manifolds,

$$M = M_0 \subset M_1 \subset \cdots \subset M_n$$
,

by considering the boundary Γ of M as the 0 level set of a surface ϕ and examining the movement of Γ in the normal direction with speed v. That is,

$$\frac{\partial \phi}{\partial t} = v \left| \nabla \phi \right| \, .$$

Intuitively, we consider the surface ϕ with 0 level set Γ to be moving vertically through space, such that at a fixed time T, the new 0 level set corresponds to some other level

set of ϕ . Applying outward movement in the normal direction gives an expansion of Γ ; using Γ along with its interior, we obtain a new manifold M_T . Continuing to evolve in this way yields a sequence of manifolds. This level-set evolution is based on the level-set method for the motion of an interface. The level-set method was introduced in [32]; for more details on level-set methods and their computation, see [31]

In Fig. 5, we show one such sequence of manifolds. We obtain it by evolving a level set on blue precincts in San Mateo county. The original map has holes of various sizes, and the amount of time that it takes for a given hole to disappear is longer for smaller holes.



Fig. 5: Evolution of a level set on blue precincts in San Mateo County.

To turn this sequence into a filtered simplicial complex, we triangulate the plane and impose each M_T over this triangulation. We use the rule that if all vertices of a 2-simplex lie within M_T , we add that simplex to the corresponding simplicial complex X_T . This yields the filtered simplicial complex

$$X_0 \subset X_1 \subset \cdots \subset X_n$$
.

The greatest strength of the level-set approach is that it gives an explicit triangulation of a map that does not depend on any choice of assignment of precincts to points. The simplicial complexes that we built using the the level-set method thus embed nicely into the plane, and they more closely resemble the underlying maps from which we start than the other examined methods. Moreover, persistence is a nicely interpretable for the level-set method. Any hole that exists in the map also exists in the initial complex (as long as the hole is not finer than the employed triangulation of \mathbb{R}^2), so every hole is a feature that is born at time 0. The persistence of the feature then indicates the distance scale on which it exists. In this manner, we can distinguish between short-persistence true features and short-persistence noise due to the evolution, because short-persistent noise does not appear until later time steps in the level-set evolution. Furthermore, although the level-set complex still suffers from the sensitivity to scale of other distance-based constructions, it does not require us to make a scaling choice, as is necessary for existing distance-based constructions. Both very large and very small holes are captured immediately, because the connectedness of a simplex does not rely on the distance between precinct centroids. In Fig. 6, we show an example of a level-set simplicial complex for a voting map of Imperial County.

3.4. Comparing the Simplicial-Complex Constructions. In the previous subsections, we briefly discussed some of the ideas that the different constructions of simplicial complexes are intended to capture. We now describe in more detail why

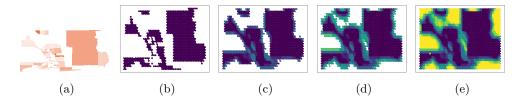


Fig. 6: Illustration of a level-set complex on the voting data. (a) The red precincts (in which more people voted for Donald Trump than for Hillary Clinton) in 2016 of Imperial County. In panels (b)–(e), we show the level-set complex that is associated with the voting map of Imperial county. We order it according to the number of time steps in the level-set evolution. Observe in panel (b) that the complex immediately resembles the original map and that the smaller holes are filled in faster than the large ones. Given enough time steps, the level set will evolve to cover the entire bounding box that we show in the figure.

these ideas are particularly useful for applications to geospatial data. Persistent homology on point clouds is based largely on the idea that the distance between points indicates something meaningful about their similarity or connectedness. Under this assumption, points that are close together have a fundamentally different relationship to each other than points that are far apart. Consequently, features that occur at small distance scales should not represent the same behaviors as features that occur at large ones. However, in our case (and in other applications to geospatial data), we observe two problems: (1) districts with isolated voting patterns occur at a variety of distance scales; and (2) physical distance does not correspond to geographic connectedness. More generally, spatial applications for which the information of interest is not encoded in distances may suffer from both issues. We refer to the first issue as "scaling" and to the second issue as "contiguity".

In the next two subsubsections, we discuss why existing PH constructions struggle with scaling and contiguity, as well as which of our methods address them and how. In Table 1, we summarize the methods and their performance. One potential solution to the problem of physical distance being unrepresentative is to replace it with some other distance and to perform PH using the new distance as the filtration parameter. Unfortunately, this is an undesirable solution for many applications to spatial data: although Euclidean distance between points may not encode the features of interest, the embeddedness of the data into space is often relevant; and changing the notion of distance may not reflect this fact, or it may force one to make a choice for how to combine multiple notions of distance. By contrast, our new methods for computing PH allow us to incorporate the spatial embedding of data without reducing that embedding to a set of pairwise distances between points, while also potentially avoiding the scaling and contiguity issues that arise from distance-based constructions.

3.4.1. Scaling. When associating precincts to point clouds, the physical distance between precincts is based mostly on the extent to which the area is urban versus rural. Accordingly, distance constructions result in very few persistent features. For the most part, rural areas are not connected enough at small scales to generate interesting features, whereas urban areas are too connected at large scales to preserve features. This implies that many meaningful features (e.g., a single red

Table 1: Comparison of various methods of constructing simplicial complexes, based on whether they address scaling and contiguity problems.

Issue	VR	Alpha	Adjacency	Level set
Scaling	X	X	✓	X
Contiguity	X	×	\checkmark	\checkmark

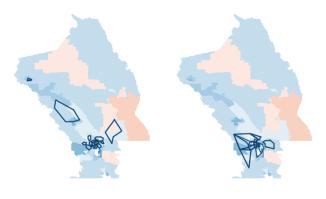
pocket in an urban community) are not persistent. Even worse, the most persistent features give information about whether there are densely populated areas that surround relatively unpopulated ones, but they give little meaningful information about the underlying political inclinations of those regions. These results counter the conventional wisdom about PH that the strongest signals should come from the most persistent features and that non-persistent features are likely to be the result of noise.

This leaves us with two possibilities: either (1) we evaluate the features that result from PH based on some criteria other than persistence; or (2) we must find other ways of constructing simplicial complexes, such that persistence becomes a meaningful signifier. There exists work on the former approach [1,7,8,26,36,50], and our work complements this prior research by adopting the latter approach. In our adjacency method, by letting the filtration parameter be strength of voting preference rather than distance, we are able to interpret persistence as a measure of the difference between the preferences of a "hole" compared to the areas that surround it. That is, more persistent features represent holes with voting results that are very different from their neighbors. Consequently, the most persistent features are exactly the most meaningful ones, as they indicate which regions have the strongest outlying signals.

3.4.2. Contiguity. For our PH computations to be meaningful, we want the simplicial complexes that we build to approximate our data as closely as possible. For the VR and alpha constructions, we assumed that precincts (points) are connected as long as their centroids are close enough. In practice, whether two precincts are adjacent has little to do with the distance between them. In urban areas, precincts that are very close to each other may have other precincts sandwiched between them, such that they are not connected. In rural ones, by contrast, precincts whose centroids are very far apart from each other may in fact be contiguous. Both the adjacency and level-set constructions address this issue.

In the adjacency approach, we define the adjacency matrix of a network based on whether two precincts share a border. As a result, all of the 1-simplices in our filtered simplicial complex come directly from physical contiguity. In the level-set approach, because our input data comes in the form of a manifold, both the 1-simplices and 2-simplices reflect the physical contiguity of the original maps. Both approaches allow us to construct simplicial complexes that seem to better approximate the data than the distance-based approaches. See our illustration in Fig. 7. Note that it may be possible to improve a distance-based construction by using the minimum distance between points in a precinct, rather than the distance between centroids (or between other representative points); but this is a sufficiently difficult computational problem that generally it is not a practical solution.

4. Computational Results. In this section, we summarize our computational results. For the construction of VR and alpha complexes, we use the python package



- (a) Vietoris–Rips complex
- (b) Adjacency complex

Fig. 7: Napa County, with the generators of features in H_1 marked as cycles in dark blue. In (a), we observe at least one "loop" in the eastern part of Napa county that is not contiguous, as it is composed of several small precincts whose union is not connected. In (b), by contrast, the adjacency construction captures several loops, each of which has generators whose union forms a contiguous region.

Gudhi [30,38,39,45]. For the computation of PH and its generators, we use a modified version of Phat [5], a C++ package for the fast computation of barcodes. We implement the adjacency and level-set constructions using an adaptation of the fast incremental VR algorithm [51]. For details about our implementation and links to code, see Appendix B.

4.1. Sizes and Computation Times. Construction of simplicial complexes can be very slow, as one must check all possible simplices; the number of simplices grows as n^d , where n is the number of vertices and d is the maximum simplex dimension that one is considering. Consequently, methods that build smaller simplicial complexes tend to be faster. In Table 2, we compare the number of simplices in the simplicial complexes that we construct using the various methods. To keep computation times tractable, we compute VR complexes only for counties with at most 150 precincts that voted for a certain candidate. If 151 or more precincts voted for the same candidate, we instead compute alpha complexes.

From Table 2, we see that the adjacency and level-set complexes do not scale in size as rapidly as the VR complexes. This arises from how we construct these complexes. In adjacency complexes, the number of neighbors tends to be almost constant for any number of precincts, as realistically there are practical bounds on the number of precincts that can border another precinct. The beneficent scaling of the level-set complexes with respect to the number of precincts arises from our specific choices for how we construct them. Because we take each vertex of a simplicial complex to be a point on a triangular grid, it has at most six neighboring vertices (one for each of its cardinal directions, as well as one to its upper left and one to its lower right), and it can thus be a member of at most six 2-simplices. One can make different choices of triangular grids — in our case, we simply added a northwest/southeast diagonal to each square in a square grid — and the number of neighbors is O(1), as long as the grid is composed of triangles that are roughly the same size and shape

(as is true for many grids). However, even when the number of precincts is rather small, a level-set complex can still be rather large. Even when there are relatively few precincts, if those precincts constitute a large enough portion of a map, they will include many grid points and hence many vertices. We also observe that a relatively large number of the possible 2-simplices in a level-set complex tend to occur in practice, because our maps have large contiguous regions.

In Table 3, we compare the computation times for the construction and computation of PH for several of the larger (and therefore more computationally intensive) complexes. For a complete table of all computation times, see Appendix D. From Table 3, we see that our constructions of the adjacency and level-set complexes are significantly faster than construction of VR complexes, even for relatively small counties like El Dorado (which has only 196 precincts). This is especially striking in light of the fact that we have not optimized our implementations of the new methods to make them as fast as possible. (For the level-set complexes, it is certainly possible to make the computations much faster using existing implementations of level-set dynamics.) We also see that our computations are only slightly slower than or of similar computation time to the construction of alpha complexes. Importantly, these speed gains are due largely to the significantly smaller number of simplices that we need for our new types of complexes. In 2D geospatial applications, the number of simplices is smaller than for other applications because of constraints from our starting maps. In other applications, one does not typically benefit from such a built-in limitation in numbers. (For example, networks in general do not satisfy the property that the degrees of the vertices are roughly constant in the total number of vertices.) However, other spatial applications (e.g., granular materials, transportation networks, and various examples in biology) will likely also benefit from these ideas.

4.2. Barcodes and Maps. In this section, we use examples to illustrate the differences between the results of the various methods. We generate two types of visualizations for our PH results. The first takes the form of barcodes, where we display each feature as a bar whose length corresponds to its persistence. The second is a map visualization, where we mark the locations of the features that we find by computing PH by drawing a cycle that passes through all of the generators of a feature. We color the cycle according to the political party of the candidate (e.g., if we find a blue hole in a sea of red, we draw a red cycle), and darker cycles indicate features with longer persistence. We discuss results for two counties in this section, and we give additional examples in Appendix C.

For our first example, we compare the barcodes and maps that we obtain by computing PH of the alpha, adjacency, and level-set complexes that we generate from red precincts (i.e., those with a majority who voted for Donald Trump) in Tulare county (see Fig. 8). Tulare county is relatively small, with only 250 precincts. The county is predominantly rural, although it has a few small urban areas towards its western side. Politically, Tulare is a strongly Republican county, with only a very small proportion of its precincts who voted blue (i.e., for Hillary Clinton) in the 2016 election. Looking at a map of Tulare, we observe several pockets of blue voters that we hope to be able to detect using PH. To detect these blue pockets, we consider the topological structure of simplicial complexes that we construct using only the part of the map with red precincts, and seek to find holes in these complexes. In Fig. 9, we give the results of the three different constructions.

For the alpha complex, we observe that the dimension-1 barcodes indicate that most features have small persistences. Some of the medium-persistence bars in that

Table 2: Sizes (i.e., number of simplices) of simplicial complexes. We first partition each county into precincts that voted for Clinton (C) and precincts that voted for Trump (T). We do not consider precincts that did not favor one of the two candidates. We then compute VR (or alpha), adjacency, and level-set complexes for each of these sets of precincts. (We compute VR complexes for counties with at most 150 precincts and alpha complexes for counties with 151 or more precincts.)

County	# Precincts	VR		Alpha		Adjacency		Level-set	
		$\overline{\mathrm{C}}$	Т	$\overline{\mathrm{C}}$	Т	$\overline{\mathrm{C}}$	Т	$\overline{\mathrm{C}}$	Т
Alameda	1156	_	1967	5843	_	5755	70	3327	3578
Alpine	5	2	1	_	_	11	1	11962	1505
Amador	30	3	884	_	_	2	168	46	3979
Calaveras	29	8	641	_	_	6	92	1897	5195
Colusa	17	19	74	_	_	10	46	1665	5329
Contra Costa	711	_	3551	3561	_	3240	126	4135	3215
Del Norte	18	5	204	_	_	4	61	3584	6385
El Dorado	196	2397	89301	_	_	136	1123	782	4965
Fresno	592	_	_	1825	1431	1540	1192	2031	4788
Glenn	34	8	1152	_	_	4	156	329	5247
Humboldt	127	45998	680	_	_	504	119	15211	7323
Imperial	179	32496	6320	_	_	313	129	4375	6223
Inyo	25	33	216	_	_	14	51	4169	2242
Kern	642	_	_	1125	2119	928	2083	1429	5033
Kings	183	6305	69786	_	_	155	599	4849	7338
Lake	70	2279	779	_	_	99	73	4468	1127
Lassen	51	1	5920	_	_	1	250	193	1143
Los Angeles	4988	_	-	26551	1747	27705	1067	8587	6686
Madera	67	927	1947	_	_	103	132	925	5139
Marin	182	<i>921</i> –	3	1037	_	103 1074	3	7893	621
Mariposa	25	5	401	-	_	7	91	2241	4485
Mendocino	250 250	- -	692	-1115	_	946	91 51	$\frac{2241}{11901}$	1400
Merced	268	-139832	54664	-	_	546	435	$\frac{11901}{2213}$	6999
Modoc	208		399	_				0	
		0		_	_	0	94	-	7995
Mono	12	41	5			35	4	2499	3452
Monterey	467		13887	2297	_	1059	135	3597	4370
Napa	170	170093	56	_	_	858	15	10414	4968
Nevada	82	2569	2242	- 5901	-	230	201	2946	2495
Orange	1668	-	_	5391	3811	4373	2632	5719	6513
Placer	363	5085	-	_	1685	141	1902	1210	3354
Plumas	30	8	618	-	-	6	102	723	6609
Riverside	1126	_	_	2291	2833	1602	2081	2231	2617
Sacramento	1267	-	_	2935	1275	15893	3459	4263	6748
San Benito	54	1804	276	-	-	152	67	699	6357
San Bernardino	2654	_	_	6206	4953	3658	2465	1700	6487
San Diego	2111	_	_	8007	3329	7480	2977	4680	7447
San Francisco	599	_	0	3499	_	3728	0	6826	0
San Joaquin	500	_	_	1659	1091	1490	902	7115	1341
San Luis Obispo	161	24600	14301	_	_	307	351	1319	4321
San Mateo	467	_	8	2573	_	2457	4	13865	782
Santa Barbara	250	_	11950	971	_	835	287	3488	6542
Santa Cruz	267	-	28	1307	_	1301	7	4737	295
Shasta	121	3	75177	_	_	2	745	941	5973
Sierra	22	3	233	_	_	2	57	417	3677
Solano	258	125438	13096	_	_	727	338	4589	5891
Sonoma	491	-	886	2355	_	2204	32	6031	899
Stanislaus	218	45984	51289	_	_	420	493	2536	6219
Sutter	52	62	3558	_	_	23	266	588	1068
Tehama	46	0	4261	_	_	0	241	0	5007
Trinity	25	25	243	_	_	12	60	5485	1034
Tulare	250	13096	_	_	921	235	1032	2242	7763
Tuolomne	68	18	10605	_	_	6	334	3380	3997
Yolo	129	49597	486	_	_	559	70	5089	4597
Yuba	46	5	3422	_	_	3	199	1909	8521

Table 3: Computation times of selected county—candidate pairs, where we show the fastest method for each example in bold. We present several larger counties to show that our methods are substantially faster than computing VR complexes. For small counties, such as Imperial and Tulare, the improvement in computation time is less noticeable. Note that computing level-set complexes is not substantially faster for small counties than for large counties, as the number of simplices in a level-set complex is based on the resolution of the map, rather than on the number of precincts.

County	VR		Alpha		Adjacency		Level-set	
	Complex	РН	Complex	PH	Complex	PH	Complex	PH
El Dorado (T)	180.426 s	$0.783 \ s$	_	_	0.090 s	0.008 s	2.580 s	0.011 s
Imperial (C)	$0.739 \ s$	$0.154 \mathrm{\ s}$	_	_	$0.0137 \mathrm{\ s}$	$0.009 \mathrm{\ s}$	$9.29 \ s$	$0.007 \mathrm{\ s}$
Los Angeles (C)	_	_	15.479 s	$0.065 \mathrm{\ s}$	39.264 s	$0.069 \mathrm{\ s}$	$8.842 \mathrm{\ s}$	$0.045 \mathrm{\ s}$
Merced (C)	488.823 s	$0.669 \mathrm{\ s}$	_	_	$0.0217 \mathrm{\ s}$	$0.009 \mathrm{\ s}$	$6.677 \mathrm{\ s}$	$0.025 \mathrm{\ s}$
Napa (C)	654.803 s	$0.980 \mathrm{\ s}$	_	_	$0.048 \mathrm{\ s}$	$0.010 \mathrm{\ s}$	$9.161 \ s$	$0.042 \mathrm{\ s}$
San Bernardino (C)	_	_	$1.765 { m \ s}$	$0.032 \mathrm{\ s}$	$0.691 \mathrm{\ s}$	$0.030 \mathrm{\ s}$	$4.385 \ s$	$0.019 \mathrm{\ s}$
Tulare (T)	-	-	$0.0515~\mathrm{s}$	$0.016~\mathrm{s}$	$0.129~\mathrm{s}$	$0.015~\mathrm{s}$	$5.180~\mathrm{s}$	$\mathbf{0.006~s}$

barcode correspond to the visible blue holes in the corresponding map. The darkness of the loop indicates the persistence of the feature to which it corresponds. Most of the loops surrounding blue holes are a fairly light red, indicating that they are not very persistent. Additionally, we observe a loop in the northwest part of the map; it connects two precincts whose union is disconnected, and it does not surround any blue areas. It thus exhibits both the scaling and contiguity problems that we discussed in Section 3.4. These two precincts are spaced roughly evenly apart from each other, but this spacing is at a distance that is larger than the precincts themselves, causing them to form a loop even though they are not adjacent to each other on the map. Additionally, the distance between the two precincts is fairly large, and that particular loop corresponds to one of the medium-persistence bars in the barcode, making it difficult to use persistence to distinguish fake loops like this one from real loops in the western region of the map. Overall, the alpha complex does detect some pockets (of voting results that are surrounded by different voting results), but it misses a few of them just southeast of the central area; and it also detects many features that are not real.

In contrast to our observations with the alpha complex, generator precincts in the adjacency complex mostly form contiguous loops; by virtue of our construction, edges cannot occur between the centroids of precincts that are not adjacent. A few features that are disconnected from their (graph-theoretic) neighbors do still appear on the resulting map of homological features, largely because the precincts themselves have complicated shapes. For example, some of them are not simply connected and others have multiple connected components. Some work in mathematical gerrymandering has focused on tackling some of these issues by quantifying the idea that electoral districts ought to be "compact" [4, 12]. However, for the most part, the generator precincts surround blue and light red holes in the map. Additionally, compared to the alpha complex, there are fewer bars in the dimension-1 barcode, and most of those bars have medium to long persistences. The longest bar corresponds to the large hole in the middle that includes both blue and light red precincts. Although these light red precincts are eventually added to the filtered simplicial complex, the blue precincts in the middle ensure that this hole never closes. Keeping in mind that

the generators of a feature are not necessarily unique, the particular algorithm that we use to compute PH selects the group of darker red precincts that surround that area. We also observe several small light red holes, which correspond to the medium length, earlier birth bars; and several blue holes, which correspond predominantly to the bars in the barcode that are born late. The adjacency complex is able to locate most of the blue areas of the map, except for a few near the edges (several of which we have no hope of detecting as holes, because they are on the county's borders and thus cannot be surrounded); and it has little noise. The noise that does occur has shorter persistence than the true features, and we can therefore do a better job of distinguishing signal from noise in the adjacency complex than in the alpha complex.

Lastly, we examine the level-set barcode and feature map. Observe that the dimension-1 barcode has several persistence features of medium to long lengths that start at time 0; and there is one feature that starts at a much later step of the filtration. These bars correspond to several of the holes in the western area of the map. We detect only eight of these holes, as some of them occur on size scales that are too small for us to capture in our level-set complex because of our choice of grid resolution during construction. Note additionally that the persistence of a bar is correlated positively with the size of its associated hole. Overall, the level-set complex captures most of the blue areas on the map and avoids most of the noise, although it does fail to detect some of the smaller regions.

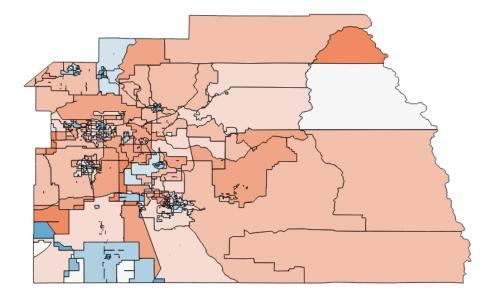


Fig. 8: Tulare County, which we color based on presidential voting. Red precincts have a majority who voted for Trump, and blue precincts have a majority who voted for Clinton. Darker colors indicate stronger majorities.

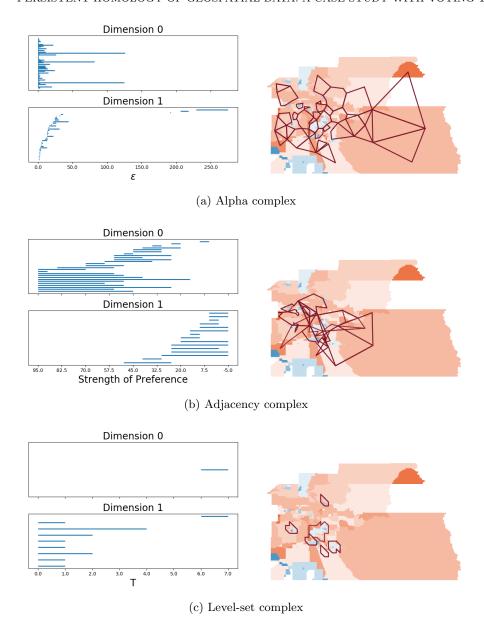


Fig. 9: Barcodes and generated loops for red precincts in Tulare county. We mark more-persistent features using darker loops with thicker line widths. For Tulare county, many of the features are of similar persistence, so most of the loops are not very distinct visually.

For our second example, we consider Imperial county's blue precincts, which one can see in the map in Fig. 10. For a visualization of the various simplicial complexes that we built with Imperial county's red precincts, see Section 3. Unlike Tulare county, it is less immediately evident for Imperial County where there may be holes. There

do seem to be a few very small red precincts that are surrounded by blue precincts, so we hope to be able to capture some of those. Overall, however, we expect to observe relatively few features.

Examining the results from the various constructions, we observe that the VR complex picks up some noise, and it does not detect the holes that we seek. Instead, it finds several areas that have a mixture of blue and red precincts, where the blue precincts are tightly clustered together but do not seem to surround any red precincts. The persistence of these features is so short that perhaps it is reasonable to dismiss them as noise. Unfortunately, because so many of the precincts in Imperial county are small, even when a hole is real, it is likely to have a short persistence, and it is thus difficult to distinguish from noise. However, our findings from the adjacency complex and level-set complex imply that the VR complex is not picking up any real holes.

The adjacency complex picks up three long-persistence features. On inspection, these appear to be small white or light blue holes that are surrounded by darker blue districts. All three of the holes do occur in very similar areas to the holes that were found by the VR complex, but two of these holes are around light blue districts, a type of feature that the VR complex is unable to detect, as all blue districts (regardless of strength of voting preference) are part of the smallest filtration step in the VR complex. Accordingly, the similarity in areas detected is only accidental, rather than a confirmation that the VR complex is detecting genuine features (rather than noise).

In contrast to the adjacency and VR complexes, which include very few features, the level-set complex picks up a large number of dimension-1 features, but none of them start at time 0. This occurs because, as the level set evolves, the separate connected components eventually join up, creating a larger number of holes than the ones that actually exist in the original map. This illustrates one of the problems with the level-set complex: as time passes, the simplicial complex tends toward becoming progressively more connected, which can create some false features when the simplicial complex starts with many connected components. However, if one considers only those features that exist at time 0, one can distinguish between genuine and false features. Most of the counties have relatively homogeneous voting patterns, with small pockets of dissimilarity, so few of the California counties exhibit this behavior in practice; and including only features that begin after time 0 results in reasonable maps.

5. Conclusions. Analyzing persistent homology in geospatial data can often lead to results that are difficult to interpret, because of the heterogeneity of distance scales within such data. A particularly difficult aspect of this situation is that barcodes of a similar length may represent either signal or noise, in stark contrast to the conventional wisdom that the features persist the longest also carry the most meaningful information about a data set. The difficulty in identifying interesting features from a barcode can make PH a challenging tool to apply effectively, even in applications in which topological holes seem like an intuitive way of framing a research problem. It is thus extremely important to further explore signal versus noise in PH, especially for multiscale problems. In this paper, we introduced two methods for constructing a filtered simplicial complex that approximates a map, and we discussed the effects that different types of complexes have on the resulting PH. Our approaches attempt to address the difficulties of applying topological data analysis (TDA) to data that is not well-represented by traditional point clouds. Our adjacency complex allowed us to incorporate data about relationships other than distance between points, while preserving the embedding of the map in space and without having to make specific choices of distance transformations for different counties. Our level-set complex al-

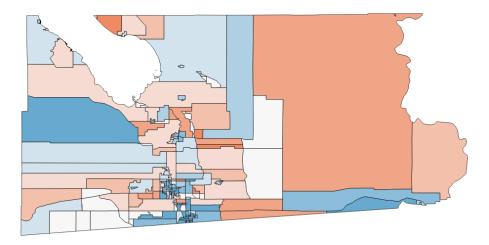


Fig. 10: Imperial County, which we color based on presidential voting. Red precincts have a majority who voted for Trump, and blue precincts have a majority who voted for Clinton. Darker colors indicate stronger majorities.

lowed us to compute, in a relatively inexpensive way, complexes that are very similar in intuition to the traditional VR constructions without having to start from a point cloud.

Both the adjacency and level-set complexes do a better job of encoding information about the contiguity of the maps, thereby making it possible to interpret differences in the distance scale of features. An adjacency complex does this by ignoring distance entirely in its construction. For a level-set complex, by contrast, the persistence of the features that we detect encodes the distance scaling of those features, but with fewer concerns than in VR or alpha complexes about noise due to precinct sizes. As a result, the barcodes for the adjacency and level-set complexes are somewhat more interpretable than those from traditional PH constructions for our geospatial data, allowing us to better understand the topology of voting patterns in counties from the barcodes alone.

Although we tailored our methods to yield improvements for the particular problem of detecting voting patterns from SHAPEFILE data, one can use an adjacency construction on data sets with a network structure, and the level-set construction is appropriate for any type of 2D manifold data (and one can extend it to higher dimensions with some programming adjustments). More generally, given the ubiquity of 2D spatial data, the insights that we highlighted with the voting application are relevant for a broad range of problems, including transportation networks, spatial demography, granular materials, many structures in biology, and others.

Appendix A. Simplicial Homology.

In this appendix, we discuss the formalism of simplicial homology, which we discussed at an intuitive level in the main text. There are many different homology theories that are are studied in algebraic topology. We give context for our particular choice of simplicial homology, and we explain some of the differences between simplicial homology and other common homology theories. For more information, see [19].

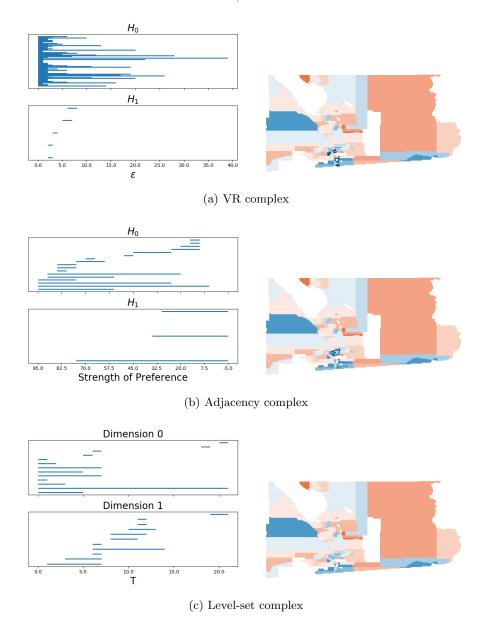


Fig. 11: Barcodes and generated loops for blue precincts in Imperial county. The VR complex results in several false "features"; the adjacency complex detects some light blue holes (which neither the VR complex nor level-set complex can detect, by virtue of their construction) and one white hole; and the level-set complex is unable to detect any holes because there do not exist sufficiently large white or red holes.

We begin by defining some of the basic building blocks of simplicial homology.

Definition A.1. An n-simplex is an n-dimensional polytope that is the convex hull of its n+1 vertices.

DEFINITION A.2. An orientation of an n-simplex is an ordering of the vertices, written as (v_0, \ldots, v_k) , with the rule that two orderings define the same orientation if and only if they differ by an even permutation.

DEFINITION A.3. An m-face is the convex hull of a subset of cardinality m+1 of an n-simplex, with m < n and the orientation preserved. A face refers to an m-face of any dimension m.

Definition A.4. A simplex A is a **coface** of a simplex B if B is a face of A.

DEFINITION A.5. A simplicial complex S is a set of simplices that satisfies the following conditions:

- 1. Every face of a simplex from S is also in S;
- 2. the intersection of any two simplices $\sigma_1, \sigma_2 \in S$ is a face of both σ_1 and σ_2 .

Our definition of simplicial complex makes no use of orientation. However, in our discussion of simplicial homology, we will see that orientation of simplices becomes rather important.

Definition A.6. Let S be a simplicial complex. A **simplicial** k-chain is a finite formal sum

$$\sum_{i=1}^{N} c_i \sigma_i \,,$$

where σ_i is an oriented k-simplex and each $c_i \in F$ for some field F.

We denote the group of k-chains on S by C_k . (We can also consider this as the free Abelian group on the basis of k-simplices in S with a consistent choice of orientation.)

Definition A.7. Let $\sigma = (v_0, \dots, v_k)$ be an oriented k-simplex. The **boundary** operator

$$\delta_k: C_k \to C_{k-1}$$

is the homomorphism defined by

$$\delta_k(\sigma) = \sum_{i=0}^k (-1)^i (v_0, \dots, \hat{v_i}, \dots, v_k),$$

where $(v_0, \ldots, \hat{v_i}, \ldots, v_k)$ is the oriented (k-1)-simplex obtained by deleting the ith vertex of σ .

Elements of $Z_k = \ker \delta_k$ are called **cycles**, and elements of $B_k = \operatorname{im} \delta_{k+1}$ are called **boundaries**.

One can show by direct computation that $\delta^2 = 0$, so the groups (C_k, δ_k) form a chain complex.

DEFINITION A.8. The kth homology group H_k of S over F is the quotient group

$$H_k(S;F) = Z_k/B_k$$
.

Note that $H_k(S; F)$ is nontrivial precisely when there are k-cycles on S that are not boundaries; this occurs when there are k-dimensional holes. For example, a cycle

between three points gives a 2-cycle³, and it is also a boundary precisely when the triangle with vertices at those three points is in the simplicial complex.

In our particular application (and in many applications of TDA), we compute homology groups over the field \mathbb{F}_2 . Crucially, $1 = -1 \in \mathbb{F}_2$, so we do not need to consider the orientation of our simplicial complexes.

The final definition that we introduce is that of a simplicial map.

DEFINITION A.9. Let S and T be simplicial complexes. A simplicial map $f: S \to T$ is a function from the vertex set of S to the vertex set of T that preserves simplices.

A simplicial map $f: S \to T$ also induces a homomorphism $f_*: H_k(S) \to H_k(T)$ for each integer k. The homomorphism f_* is also associated to a chain map from the k-chain complex of S to the k-chain complex of T. This chain map is

$$(v_0,\ldots,v_k)\mapsto (f(v_0),\ldots,f(v_k)),$$

where $(f(v_0), \ldots, f(v_k)) = 0$ if any of $f(v_0), \ldots, f(v_k)$ are not distinct.

This construction gives a functor from simplicial complexes to Abelian groups, which is essential to the theory of PH that we discussed in Section 2.2.

Appendix B. Algorithms and Implementations.

In this appendix, we discuss the algorithms that we developed to construct our simplicial complexes. All implementations that we discuss in this section are available at https://github.com/mhcfeng/precinct. For the computation of VR and alpha complexes, we used built-in functionality of the software package GUDHI [29]. For the adjacency and level-set constructions, we implemented (in PYTHON) the incremental VR algorithm that is described in [51]. This algorithm adds one vertex at a time to a simplicial complex, and it then checks all possible co-faces of that vertex; it adds them if all other vertices of a co-face are already part of the simplicial complex. To use this algorithm, we need to do some preprocessing, which we discuss in the next two subsections.

B.1. Adjacency Complex. The incremental VR algorithm that we used requires the following items as input: a list of vertices; a list of neighbors for each vertex; and some method of ordering the vertices to compare whether or not a neighbor is a "lower neighbor" (i.e., a neighbor that appears prior to the vertex in the ordering). In particular, the ordering of the vertices must respect the entry times of those vertices. To determine the neighboring precincts for each precinct, we wrote code in QGIS that checks for queen adjacency. (Recall from the main text that two precincts are queen adjacent if they have corners that touch.)

We then sort precincts by strength of preference for a particular candidate, as the precincts with the strongest preferences enter the filtered simplicial complex first. Once we set this ordering, we compare a precinct to its neighbors to determine whether its neighbors are already in the simplicial complex. It is then straightforward to apply the incremental VR algorithm.

B.2. Level-Set Complex. Constructing a level-set complex requires several steps. First, we rasterize our Shapefiles to obtain maps in image format of all precincts in a county that voted for the same candidate. We denote this image data by X, and we constrain these images to have dimension no more than 250×250 . We

³This notion of "cycle" is somewhat different from the one in network analysis.

then define a function $\phi(X,0)$, where $\phi(x,0)$ gives the distance from a point $x \in \mathbb{R}^2$ to the boundary, such that the boundary is the 0-level set of $\phi(X,0)$. We then implement a level-set method with motion according to normal forces [31] to generate the evolved map $\phi(X,T)$ at each time T. To convert ϕ to a simplicial complex S, we implement Algorithm B.1, which takes the following items as input: $\phi(X,T)$; a list V of vertices that are already in the simplicial complex S; a list t of entry times for all vertices that are already in S; and the current time step T.

Algorithm B.1 Generate ordered vertices from ϕ .

```
Given \phi, V, t, T

V' = \{v : v \notin V ; \phi(v,T) < 0 ; \text{row}(v) = 0 \text{ (mod 5)}, \text{col}(v) = 0 \text{ (mod 5)} \}

for v \in V' do

V = V + \{v\}

t(v) = T

end for

return V, t
```

As vertices, we use only pixels that are in rows and columns that are multiples of 5 (see Algorithm B.1). This prevents us from having more than 50×50 potential vertices, which would significantly increase computation time. It also reduces the amount of noise in the barcodes, because holes must be sufficiently large in diameter for us to detect them. Once we have a list of vertices along with their entry times, we generate 1-simplices using Algorithm B.2.

Algorithm B.2 Generate level-set adjacencies

```
Given V, height h of image, width w of image for v \in V do

Set N(v) to the set of six possible neighbors of v (the four cardinal neighbors, along with the northwest and southeast diagonal neighbors)

N(v) = N(v) \cap V

end for

return N
```

Once we have generated the 1-simplices, we use the entry times t from Algorithm B.1 to compare whether or not a neighbor of a given vertex is a lower neighbor in the incremental VR algorithm.

Appendix C. Additional Examples.

In Figs. 12 and 13, we show barcodes and maps for Napa county and Los Angeles county, further illustrating some of the problems with barcode interpretability that we discussed in Section 3.4.

Appendix D. Complete Tables.

In Table 4, we give the computation times for the constructions of all computed simplicial complexes.

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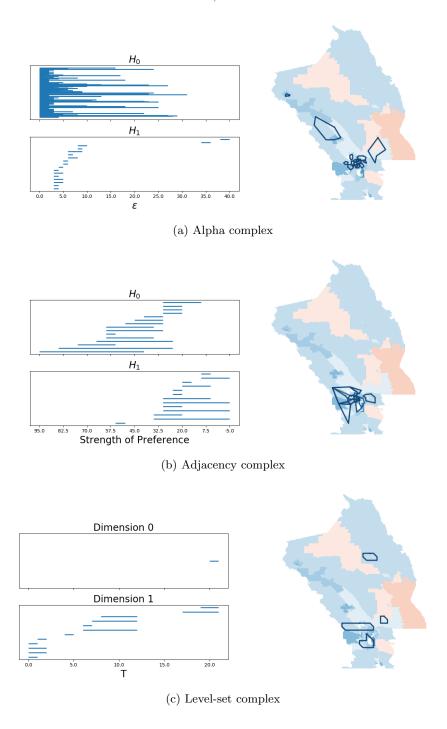


Fig. 12: Barcodes and generated loops for blue precincts in Napa county. There are many short-persistence bars in the H_1 barcode of the VR complex. Some of these correspond to real holes in the densely populated areas in the southern region of the county, but others correspond to contiguous blue regions without holes, making it difficult to distinguish signal from noise. By contrast, the H_1 barcode for the adjacency complex has more long-persistence features, all of which indicate light blue or white holes. Similarly, the H_1 barcode for the level-set complex has only four features that start at time 0 and correspond to visible white or red holes. There is a red hole in the eastern part of the region that is detected by the alpha and level-set complexes but not by the adjacency complex. This is due to the shape of the blue precinct, which is wrapped partially around a red precinct in such a way that it covers precisely enough grid points in the level-set complex to register as a hole, despite not actually fully surrounding the red precinct. In practice, this occurs rarely, but it does give an example of a potential problem with the level-set construction.

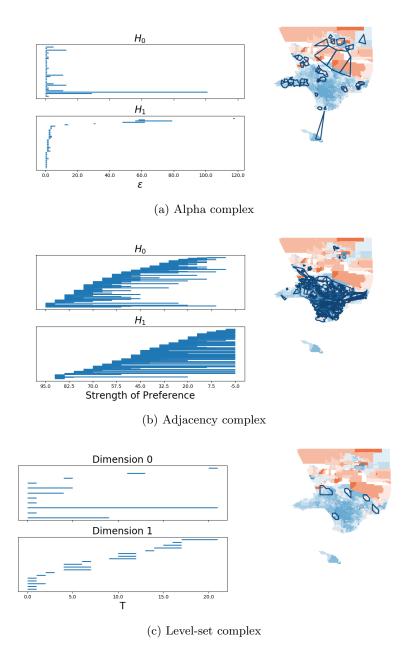


Fig. 13: Barcodes and generated loops for blue precincts in Los Angeles county. We again observe many short-persistence features in the H_1 barcode of the alpha complex. This arises from the fact that the southern part of the county has a much higher population density than the northern part. The large number of precincts in this county makes it difficult to interpret many of the cycles in the highly populated precincts, but the alpha complex includes several cycles that traverse red swaths of the map that do not appear to be holes, whereas neither the adjacency complex nor the level-set complex exhibit this behavior.

Table 4: Computation times for the constructions of our simplicial complexes.

County	VR		Alpha		Adjacency		Level set	
County	$\overline{\mathbf{C}}$	T	C	Т	C	T	$\overline{\mathrm{C}}$	Т
Alameda	_	0.191 s	0.742 s	_	1.62 s	0.0019 s	4.97 s	4.76 s
Alpine	0.00169 s	0.015 s	_	_	$0.00174 \mathrm{\ s}$	0.000727 s	$12.3 \; s$	$15.5 \mathrm{\ s}$
Amador	0.000706 s	0.0323 s	_	_	$0.00281 \mathrm{\ s}$	0.00591 s	$5.18 \; s$	5.24 s
Calaveras	0.00117 s	0.0172 s	_	_	0.000872 s	0.00248 s	$9.66 \; s$	$7.41 { m s}$
Colusa	0.00097 s	$0.00251 \mathrm{\ s}$	_	_	$0.00184 \mathrm{\ s}$	0.00175 s	4.96 s	$6.31 \; s$
Contra Costa	_	$0.593 \ s$	$0.468 \; \mathrm{s}$	_	$0.619 \mathrm{\ s}$	0.0033 s	4.81 s	5.12 s
Del Norte	$0.0011 \mathrm{\ s}$	$0.0187 \mathrm{\ s}$	_	_	0.00265 s	0.0039 s	13.1 s	10.6 s
El Dorado	0.302 s	182 s	_	_	0.00363 s	0.0905 s	5.46 s	$5.62 \mathrm{\ s}$
Fresno	_	_	0.143 s	0.0952 s	0.123 s	0.102 s	7.73 s	$8.54 \mathrm{\ s}$
Glenn	0.00116 s	0.0433 s	_	_	0.000836 s	0.00421 s	5.45 s	5.3 s
Humboldt	43.7 s	0.0214 s	_	_	0.0309 s	0.00644 s	10.1 s	10.6 s
Imperial	20.7 s	0.756 s	_	_	0.0137 s	0.00291 s	9.29 s	6.2 s
Invo	0.00102 s	0.00329 s	_	_	0.00112 s	0.00215 s	7.32 s	8.02 s
Kern	-	-	0.0737 s	$0.221 \ s$	0.109 s	0.388 s	3.34 s	4.26 s
Kings	$0.93 \; s$	108 s	-	-	0.103 s 0.104 s	0.0847 s	10.5 s	17.4 s
Lake	0.131 s	0.0264 s	_	_	0.00672 s	0.0041 s	10.5 s	11.7 s
Lassen	0.00195 s	0.0204 S 0.81 s	0.000417 s	0.0234 s	0.00343 s	0.0108 s	10.7 s	11.9 s
Los Angeles	0.00133 8	0.01 5	15.5 s	0.0234 s 0.133 s	39.3 s	0.0100 s 0.0602 s	9.96 s	12.9 s
Madera	0.0344 s	0.13 s	10.0 S	0.133 S -	0.0046 s	0.0002 s 0.00399 s	5.24 s	6.03 s
Marin	0.0344 8		0.0705 s	_	0.063 s		8.46 s	7.56 s
	0.0010 -	0.00196 s	0.0705 s	_		0.000784 s		
Mariposa	0.0012 s	0.0155 s	- 0.055		0.00323 s	0.00215 s	5.32 s	5.62 s
Mendocino	-	0.0317 s	0.0857 s	-	0.0571 s	0.00148 s	10.3 s	9.52 s
Merced	489 s	59.4 s	_	_	0.0217 s	0.0154 s	6.68 s	7.18 s
Modoc	$1.91 \times 10^{-6} \text{ s}$	0.0112 s	_	-	$2.15 \times 10^{-6} \text{ s}$	0.00271 s	3.81 s	4.37 s
Mono	0.00116 s	0.00194 s	-	-	0.00152 s	0.000919 s	5.8 s	5.78 s
Monterey		4.23 s	0.272 s	-	0.0766 s	0.00302 s	$5.49 \mathrm{\ s}$	$5.6 \mathrm{\ s}$
Napa	655 s	0.00569 s	_	-	0.0478 s	0.00115 s	8.31 s	8.47 s
Nevada	0.168 s	0.134 s		-	0.00751 s	0.00543 s	$3.24 \mathrm{\ s}$	3.11 s
Orange	_	_	0.844 s	$0.693 \ s$	1.1 s	0.613 s	$8.1 \mathrm{\ s}$	$8.47 \mathrm{\ s}$
Placer	0.736 s	_	_	0.184 s	0.0172 s	0.553 s	$3.1 \mathrm{\ s}$	$3.35 \mathrm{\ s}$
Plumas	0.00138 s	0.0269 s	_	_	0.00109 s	0.00401 s	$4.65 \mathrm{\ s}$	$5.52 \mathrm{\ s}$
Riverside	_	_	$0.263 \ s$	$0.422 \ s$	0.483 s	0.554 s	$2.21 \mathrm{\ s}$	$1.99 \ s$
Sacramento	_	_	0.516 s	0.0841 s	12.3 s	0.606 s	$8.48 \mathrm{\ s}$	$9.5 \mathrm{\ s}$
San Benito	$0.1 \mathrm{\ s}$	0.00899 s	_	_	0.00662 s	0.00339 s	$6.14 \mathrm{\ s}$	$6.79 \mathrm{\ s}$
San Bernardino	-	_	$1.77 \ s$	$0.833 \ s$	0.691 s	0.476 s	$4.39 \ s$	$5.25 \mathrm{\ s}$
San Diego	_	_	$1.63 \ s$	0.492 s	3.13 s	0.416 s	$6.11 \mathrm{\ s}$	$6.87 \mathrm{\ s}$
San Francisco	_	0 s	0.353 s	-	0.707 s	1.19 e-06 s	$5.99 \mathrm{\ s}$	$5.13 \ s$
San Joaquin	_	_	0.0857 s	$0.0487~\mathrm{s}$	0.108 s	0.052 s	$8.22 \mathrm{\ s}$	$13.2 \mathrm{\ s}$
San Luis Obispo	$14.4 \mathrm{\ s}$	$4.45 \mathrm{\ s}$	_	-	0.016 s	0.0115 s	$3.61 \mathrm{\ s}$	$3.88 \mathrm{\ s}$
San Mateo	_	$0.00359 \ s$	$0.266 \ s$	-	$0.45 \; s$	0.00442 s	$8.28 \mathrm{\ s}$	$5.84 \mathrm{\ s}$
Santa Barbara	_	$3.35 \mathrm{\ s}$	0.0551 s	_	0.0612 s	$0.0101 \mathrm{\ s}$	$6.35 \mathrm{\ s}$	$7.36 \mathrm{\ s}$
Santa Cruz	_	0.0017 s	0.0625 s	_	0.207 s	0.00239 s	$5.7 \mathrm{\ s}$	$10.4 \mathrm{\ s}$
Shasta	0.00118 s	120 s	_	_	0.00526 s	0.0426 s	$3.9 \mathrm{\ s}$	$4.47 \ s$
Sierra	0.00342 s	0.00752 s	_	_	0.0046 s	0.00311 s	$2.86 \mathrm{\ s}$	$3.3 \mathrm{\ s}$
Solano	401 s	$3.59 \ s$	_	_	0.0438 s	0.013 s	$6.24 \mathrm{\ s}$	$5.86 \mathrm{\ s}$
Sonoma	_	0.0299 s	$0.25 \ s$	_	0.516 s	0.0175 s	6.26 s	5.46 s
Stanislaus	46.1 s	52.1 s	-	_	0.0173 s	0.0325 s	8.25 s	9.41 s
Sutter	0.00187 s	0.244 s	_	_	0.00494 s t	0.0193 s	8.75 s	9.79 s
Tehama	$1.91 \times 10^{-6} \text{ s}$	0.244 s 0.372 s	_	_	$3.1 \times 10^{-6} \text{ s}$	0.0601 s	2.32 s	2.78 s
Trinity	0.000981 s	0.0038 s	_	_	0.00276 s	0.0001 s 0.0225 s	8.44 s	8.86 s
Tulare	0.000981 s 3.57 s	0.0038 s	-	- 0.0515 s	0.00276 s 0.0562 s	0.0225 s 0.129 s	8.44 s 4.81 s	5.18 s
Tulare Tuolomne			_	0.0010 S				5.18 s 4.5 s
	0.000928 s	2.15 s	_	_	0.00328 s	0.0117 s	4.76 s	
Yolo	51.4 s	0.0142 s	_	_	0.0635 s	0.00449 s	5.92 s	6.1 s
Yuba	0.00109 s	$0.266 \; s$	_	_	0.00988 s	0.0096 s	$7.97 { m \ s}$	$8.72 \ s$

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