

# SQL

## Lecture #5

# The Main Thing to Remember

```
SELECT  S  
FROM    R1,...,Rn  
WHERE   C1  
GROUP BY a1,...,ak  
HAVING  C2
```

- Later: the devil is in the details

## \* In SELECT clauses

- When there is one relation in the FROM clause, \* in the SELECT clause stands for “all attributes of this relation.”
- Example using Beers(name, manf):

```
SELECT  *  
FROM    Beers  
WHERE   manf = 'Anheuser-Busch' ;
```

# Renaming Attributes

- If you want the result to have different attribute names, use “AS <new name>” to rename an attribute.
- Example based on Beers(name, manf):

```
SELECT name AS beer, manf
FROM Beers
WHERE manf = 'Anheuser-Busch'
```

# Result of Query:

beer	manf
‘Bud’	‘Anheuser-Busch’
‘Bud Lite’	‘Anheuser-Busch’
‘Michelob’	‘Anheuser-Busch’

# Expressions in SELECT Clauses

- Any expression that makes sense can appear as an element of a SELECT clause.
- Example: from Sells(bar, beer, price):

```
SELECT bar, beer,  
       price * 120 AS priceInYen  
FROM Sells;
```

# Result of Query

bar	beer	priceInYen
Joe's	Bud	300
Sue's	Miller	360
...	...	...

# Null Values



# NULL Values

- Tuples in SQL relations can have NULL as a value for one or more components.
- Meaning depends on context. Two common cases:
  - *Missing value* : e.g., we know Joe's Bar has some address, but we don't know what it is.
  - *Inapplicable* : e.g., the value of attribute *spouse* for an unmarried person.

# How to Handle NULL?

Likes(drinker, beer)

a        x

b        y

c        z

- Find all drinkers who frequent bar t

- Find all drinkers who frequent bars that sell beer x.

Frequents(drinker, bar)

a        t

b        u

c        NULL

- So should we be conservative or liberal?

Sells(bar, beer, price)

t,    x,    5

t,    y,    4

u,    x,    7

u,    y,    8

# RDBMSs Today Are Conservative

# Comparing NULL's to Values

- The logic of conditions in SQL is really 3-valued logic: TRUE, FALSE, UNKNOWN.
- When any value is compared with NULL, the truth value is UNKNOWN.
- But a query only produces a tuple in the answer if its truth value for the WHERE clause is TRUE (not FALSE or UNKNOWN).

# This Can Lead to Surprising Scenarios

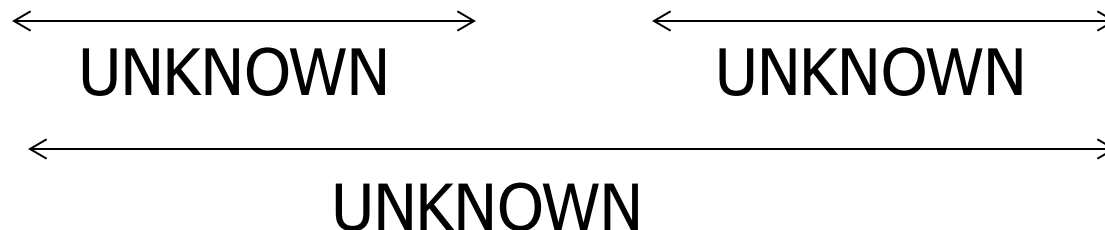
- From the following Sells relation:

bar	beer	price
Joe's Bar	Bud	NULL

SELECT bar

FROM Sells

WHERE price < 2.00 OR price >= 2.00;



# Another Example

Unexpected behavior:

SELECT \*

FROM Person

WHERE age < 25 OR age >= 25

Some Persons are not included !

# Testing for Null

Can test for NULL explicitly:

- x IS NULL
- x IS NOT NULL

```
SELECT *  
FROM   Person  
WHERE  age < 25 OR age >= 25 OR age IS NULL
```

Now it includes all Persons

# Subqueries



# Subqueries

- A parenthesized SELECT-FROM-WHERE statement (*subquery*) can be used as a value in a number of places, including FROM and WHERE clauses.
- Example: in place of a relation in the FROM clause, we can place another query, and then query its result.
  - Better use a tuple-variable to name tuples of the result.

# Subqueries That Return One Tuple

- If a subquery is guaranteed to produce one tuple, then the subquery can be used as a value.
  - Usually, the tuple has one component.
  - Also typically, a single tuple is guaranteed by keyness of attributes.
  - A run-time error occurs if there is no tuple or more than one tuple.

# Example

- From Sells(bar, beer, price), find the bars that serve Miller for the same price Joe charges for Bud.
- Two queries would surely work:
  1. Find the price Joe charges for Bud.
  2. Find the bars that serve Miller at that price.

# Query + Subquery Solution

SELECT bar

FROM Sells

WHERE beer = 'Miller' AND

price = (SELECT price

FROM Sells

WHERE bar = 'Joe's Bar'

AND beer = 'Bud');

The price at  
which Joe  
sells Bud



# Boolean Operators IN, EXISTS, ANY, ALL

# The IN Operator

- $\langle \text{tuple} \rangle \text{ IN } \langle \text{relation} \rangle$  is true if and only if the tuple is a member of the relation.
  - $\langle \text{tuple} \rangle \text{ NOT IN } \langle \text{relation} \rangle$  means the opposite.
- IN-expressions can appear in WHERE clauses.
- The  $\langle \text{relation} \rangle$  is often a subquery.

# Example

- From Beers(name, manf) and Likes(drinker, beer), find the name and manufacturer of each beer that Fred likes.

SELECT \*

FROM Beers

WHERE name IN

The set of  
beers Fred  
likes



(SELECT beer  
FROM Likes  
WHERE drinker = 'Fred');

# The Exists Operator

- EXISTS( <relation> ) is true if and only if the <relation> is not empty.
- Being a boolean-valued operator, EXISTS can appear in WHERE clauses.
- Example: From Beers(name, manf), find those beers that are the unique beer by their manufacturer.



# Example Query with EXISTS

SELECT name

FROM Beers b1

WHERE NOT EXISTS (

Notice scope rule: manf refers to closest nested FROM with a relation having that attribute.

SELECT \*

FROM Beers

WHERE manf = b1.manf AND

name <> b1.name);

Set of beers with the same manf as b1, but not the same beer

Notice the SQL "not equals" operator

# The Operator ANY

- $x = \text{ANY}(\langle \text{relation} \rangle)$  is a boolean condition meaning that  $x$  equals at least one tuple in the relation.
- Similarly,  $=$  can be replaced by any of the comparison operators.
- Example:  $x \geq \text{ANY}(\langle \text{relation} \rangle)$  means  $x$  is not smaller than all tuples in the relation.
  - Note tuples must have one component only.

# The Operator ALL

- Similarly,  $x \neq \text{ALL}(\text{<relation>})$  is true if and only if for every tuple  $t$  in the relation,  $x$  is not equal to  $t$ .
  - That is,  $x$  is not a member of the relation.
- The  $\neq$  can be replaced by any comparison operator.
- Example:  $x \geq \text{ALL}(\text{<relation>})$  means there is no tuple larger than  $x$  in the relation.

# Example

- From Sells(bar, beer, price), find the beer(s) sold for the highest price.

SELECT beer

FROM Sells

WHERE price >= ALL(  
SELECT price  
FROM Sells);

price from the outer  
Sells must not be  
less than any price.

# Defining a Database Schema

# Defining a Database Schema

- A database schema comprises declarations for the relations (“tables”) of the database.
- Many other kinds of elements may also appear in the database schema, including views, indexes, and triggers, which we’ll mention later.

# Declaring a Relation

- Simplest form is:

```
CREATE TABLE <name> (  
    <list of elements>  
);
```

- And you may remove a relation from the database schema by:

```
DROP TABLE <name>;
```

# Elements of Table Declarations

- The principal element is a pair consisting of an attribute and a type.
- The most common types are:
  - INT or INTEGER (synonyms).
  - REAL or FLOAT (synonyms).
  - CHAR( $n$ ) = fixed-length string of  $n$  characters.
  - VARCHAR( $n$ ) = variable-length string of up to  $n$  characters.



# Example: Create Table

```
CREATE TABLE Sells (  
    bar          CHAR(20) ,  
    beer         VARCHAR(20) ,  
    price        REAL  
);
```

# Declaring Keys

- An attribute or list of attributes may be declared **PRIMARY KEY** or **UNIQUE**.
- There are a few distinctions to be mentioned later.

# Declaring Single-Attribute Keys

- Place PRIMARY KEY or UNIQUE after the type in the declaration of the attribute.
- Example:

```
CREATE TABLE Beers (  
    name        CHAR(20)  UNIQUE,  
    manf        CHAR(20)  
);
```

# Declaring Multiattribute Keys

- A key declaration can also be another element in the list of elements of a `CREATE TABLE` statement.
- This form is essential if the key consists of more than one attribute.
  - May be used even for one-attribute keys.

# Example: Multiattribute Key

- The bar and beer together are the key for Sells:

```
CREATE TABLE Sells (  
    bar          CHAR(20) ,  
    beer         VARCHAR(20) ,  
    price        REAL,  
    PRIMARY KEY (bar, beer)  
);
```

# PRIMARY KEY Versus UNIQUE

- The SQL standard allows DBMS implementers to make their own distinctions between PRIMARY KEY and UNIQUE.
  - Example: some DBMS might automatically create an *index* (data structure to speed search) in response to PRIMARY KEY, but not UNIQUE.

# Required Distinctions

- However, standard SQL requires these distinctions:
  1. There can be only one PRIMARY KEY for a relation, but several UNIQUE attributes.
  2. No attribute of a PRIMARY KEY can ever be NULL in any tuple. But attributes declared UNIQUE may have NULL's, and there may be several tuples with NULL.

# Other Declarations for Attributes

- Two other declarations we can make for an attribute are:
  1. NOT NULL means that the value for this attribute may never be NULL.
  2. DEFAULT <value> says that if there is no specific value known for this attribute's component in some tuple, use the stated <value>.



# Example: Default Values

```
CREATE TABLE Drinkers (  
    name CHAR(30) PRIMARY KEY,  
    addr CHAR(50)  
        DEFAULT '123 Sesame St.',  
    phone CHAR(16)  
);
```

# Effect of Defaults -- 1

- Suppose we insert the fact that Sally is a drinker, but we know neither her address nor her phone.
- An INSERT with a partial list of attributes makes the insertion possible:

```
INSERT INTO Drinkers (name)  
VALUES ( 'Sally' ) ;
```

## Effect of Defaults -- 2

- But what tuple appears in Drinkers?

name	addr	phone
'Sally'	'123 Sesame St'	NULL

- If we had declared phone NOT NULL, this insertion would have been rejected.

# Adding Attributes

- We may change a relation schema by adding a new attribute (“column”) by:

```
ALTER TABLE <name> ADD  
    <attribute declaration>;
```

- Example:

```
ALTER TABLE Bars ADD  
phone CHAR(16) DEFAULT 'unlisted';
```

# Deleting Attributes

- Remove an attribute from a relation schema by:

`ALTER TABLE <name>`

`DROP <attribute>;`

- Example: we don't really need the license attribute for bars:

```
ALTER TABLE Bars DROP license;
```

# Database Modification

# Database Modifications

- A modification command does not return a result as a query does, but it changes the database in some way.
- There are three kinds of modifications:
  1. *Insert* a tuple or tuples.
  2. *Delete* a tuple or tuples.
  3. *Update* the value(s) of an existing tuple or tuples.

# Insertion

- To insert a single tuple:

```
INSERT INTO <relation>  
VALUES ( <list of values> );
```

- Example: add to Likes(drinker, beer) the fact that Sally likes Bud.

```
INSERT INTO Likes  
VALUES ( 'Sally', 'Bud' );
```



# Specifying Attributes in INSERT

- We may add to the relation name a list of attributes.
- There are two reasons to do so:
  1. We forget the standard order of attributes for the relation.
  2. We don't have values for all attributes, and we want the system to fill in missing components with NULL or a default value.

# Example: Specifying Attributes

- Another way to add the fact that Sally likes Bud to Likes(drinker, beer):

```
INSERT INTO Likes (beer, drinker)  
VALUES ( 'Bud',   'Sally' );
```

# Inserting Many Tuples

- We may insert the entire result of a query into a relation, using the form:

```
INSERT INTO <relation>  
( <subquery> );
```

## Example: Insert a Subquery

- Using `Frequents(drinker, bar)`, enter into the new relation `PotBuddies(name)` all of Sally's "potential buddies," i.e., those drinkers who frequent at least one bar that Sally also frequents.

# Solution

The other  
drinker

INSERT INTO PotBuddies

(SELECT d2.drinker

FROM Frequents d1, Frequents d2  
WHERE d1.drinker = 'Sally' AND  
d2.drinker <> 'Sally' AND  
d1.bar = d2.bar

);

Pairs of Drinker  
tuples where the  
first is for Sally,  
the second is for  
someone else,  
and the bars are  
the same.

# Deletion

- To delete tuples satisfying a condition from some relation:

```
DELETE FROM <relation>  
WHERE <condition>;
```

## Example: Deletion

- Delete from Likes(drinker, beer) the fact that Sally likes Bud:

```
DELETE FROM Likes  
WHERE drinker = 'Sally' AND  
      beer = 'Bud';
```

# Example: Delete all Tuples

- Make the relation Likes empty:

```
DELETE FROM Likes;
```

- Note no WHERE clause needed.



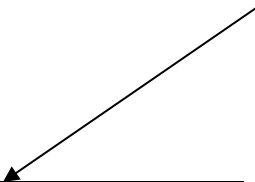
# Example: Delete Many Tuples

- Delete from Beers(name, manf) all beers for which there is another beer by the same manufacturer.

```
DELETE FROM Beers b  
WHERE EXISTS (
```

```
SELECT name FROM Beers  
WHERE manf = b.manf AND  
name <> b.name);
```

Beers with the same manufacturer and a different name from the name of the beer represented by tuple b.



# Semantics of Deletion -- 1

- Suppose Anheuser-Busch makes only Bud and Bud Lite.
- Suppose we come to the tuple  $b$  for Bud first.
- The subquery is nonempty, because of the Bud Lite tuple, so we delete Bud.
- Now, When  $b$  is the tuple for Bud Lite, do we delete that tuple too?

# Semantics of Deletion -- 2

- The answer is that we *do* delete Bud Lite as well.
- The reason is that deletion proceeds in two stages:
  1. Mark all tuples for which the WHERE condition is satisfied in the original relation.
  2. Delete the marked tuples.

# Updates

- To change certain attributes in certain tuples of a relation:

UPDATE <relation>

SET <list of attribute assignments>

WHERE <condition on tuples>;

# Example: Update

- Change drinker Fred's phone number to 555-1212:

```
UPDATE Drinkers  
SET phone = '555-1212'  
WHERE name = 'Fred';
```

# Example: Update Several Tuples

- Make \$4 the maximum price for beer:

```
UPDATE Sells
```

```
SET price = 4.00
```

```
WHERE price > 4.00;
```

# Constraints

Foreign Keys

Local and Global Constraints

# Constraints and Triggers

- A *constraint* is a relationship among data elements that the DBMS is required to enforce.
  - Example: key constraints.
- *Triggers* are only executed when a specified condition occurs, e.g., insertion of a tuple.
  - Easier to implement than many constraints.



# Kinds of Constraints

- Keys.
- Foreign-key, or referential-integrity.
- Value-based constraints.
  - Constrain values of a particular attribute.
- Tuple-based constraints.
  - Relationship among components.
- Assertions: any SQL boolean expression.

# Foreign Keys

- Consider Relation Sells(bar, beer, price).
- We might expect that a beer value is a real beer --- something appearing in Beers.name .
- A constraint that requires a beer in Sells to be a beer in Beers is called a *foreign -key* constraint.

# Expressing Foreign Keys

- Use the keyword REFERENCES, either:
  1. Within the declaration of an attribute, when only one attribute is involved.
  2. As an element of the schema, as:  
**FOREIGN KEY ( <list of attributes> )**  
**REFERENCES <relation> ( <attributes> )**
- Referenced attributes must be declared **PRIMARY KEY** or **UNIQUE**.

# Example: With Attribute

```
CREATE TABLE Beers (  
    name      CHAR(20) PRIMARY KEY,  
    manf      CHAR(20) );
```

```
CREATE TABLE Sells (  
    bar       CHAR(20) ,  
    beer      CHAR(20) REFERENCES Beers(name) ,  
    price     REAL );
```

## Example: As Element

```
CREATE TABLE Beers (  
    name      CHAR(20) PRIMARY KEY,  
    manf      CHAR(20) );
```

```
CREATE TABLE Sells (  
    bar       CHAR(20),  
    beer      CHAR(20),  
    price     REAL,  
    FOREIGN KEY (beer) REFERENCES  
        Beers (name) );
```

# Enforcing Foreign-Key Constraints

- If there is a foreign-key constraint from attributes of relation  $R$  to the primary key of relation  $S$ , two violations are possible:
  1. An insert or update to  $R$  introduces values not found in  $S$ .
  2. A deletion or update to  $S$  causes some tuples of  $R$  to “dangle.”

# Actions Taken -- 1

- Suppose  $R = \text{Sells}$ ,  $S = \text{Beers}$ .
- An insert or update to Sells that introduces a nonexistent beer must be rejected.
- A deletion or update to Beers that removes a beer value found in some tuples of Sells can be handled in three ways.

# Actions Taken -- 2

- The three possible ways to handle beers that suddenly cease to exist are:
  1. *Default* : Reject the modification.
  2. *Cascade* : Make the same changes in Sells.
    - Deleted beer: delete Sells tuple.
    - Updated beer: change value in Sells.
  3. *Set NULL* : Change the beer to NULL.



# Example: Cascade

- Suppose we delete the Bud tuple from Beers.
  - Then delete all tuples from Sells that have beer = 'Bud'.
- Suppose we update the Bud tuple by changing 'Bud' to 'Budweiser'.
  - Then change all Sells tuples with beer = 'Bud' so that beer = 'Budweiser'.

## Example: Set NULL

- Suppose we delete the Bud tuple from Beers.
  - Change all tuples of Sells that have beer = 'Bud' to have beer = NULL.
- Suppose we update the Bud tuple by changing 'Bud' to 'Budweiser'.
  - Same change.

# Choosing a Policy

- When we declare a foreign key, we may choose policies SET NULL or CASCADE independently for deletions and updates.
- Follow the foreign-key declaration by:  
ON [UPDATE, DELETE][SET NULL  
CASCADE]
- Two such clauses may be used.
- Otherwise, the default (reject) is used.

# Example

```
CREATE TABLE Sells (  
    bar    CHAR(20),  
    beer   CHAR(20),  
    price  REAL,  
    FOREIGN KEY (beer)  
        REFERENCES Beers (name)  
    ON DELETE SET NULL  
    ON UPDATE CASCADE ) ;
```

# Attribute-Based Checks

- Put a constraint on the value of a particular attribute.
- CHECK( <condition> ) must be added to the declaration for the attribute.
- The condition may use the name of the attribute, but any other relation or attribute name must be in a subquery.

# Example

```
CREATE TABLE Sells (  
    bar    CHAR(20),  
    beer   CHAR(20)    CHECK ( beer IN  
        (SELECT name FROM Beers) ),  
    price  REAL CHECK ( price <= 5.00 )  
);
```

# Timing of Checks

- An attribute-based check is checked only when a value for that attribute is inserted or updated.
  - Example: CHECK (price  $\leq$  5.00) checks every new price and rejects it if it is more than \$5.
  - Example: CHECK (beer IN (SELECT name FROM Beers)) not checked if a beer is deleted from Beers (unlike foreign-keys).

# Tuple-Based Checks

- CHECK ( <condition> ) may be added as another element of a schema definition.
- The condition may refer to any attribute of the relation, but any other attributes or relations require a subquery.
- Checked on insert or update only.



# Example: Tuple-Based Check

- Only Joe's Bar can sell beer for more than \$5:

```
CREATE TABLE Sells (  
    bar          CHAR(20) ,  
    beer         CHAR(20) ,  
    price        REAL,  
    CHECK (bar = 'Joe' 's Bar' OR  
           price <= 5.00)  
);
```

# Assertions

- These are database-schema elements, like relations or views.
- Defined by:

CREATE ASSERTION <name>

CHECK ( <condition> );

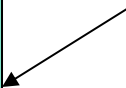
- Condition may refer to any relation or attribute in the database schema.

# Example: Assertion

- In Sells(bar, beer, price), no bar may charge an average of more than \$5.

```
CREATE ASSERTION NoRipoffBars CHECK  
(  
  NOT EXISTS (  
    SELECT bar FROM Sells  
    GROUP BY bar  
    HAVING 5.00 < AVG(price)  
  ));
```

Bars with an  
average price  
above \$5



# Example: Assertion

- In Drinkers(name, addr, phone) and Bars(name, addr, license), there cannot be more bars than drinkers.

```
CREATE ASSERTION FewBar CHECK (  
    (SELECT COUNT(*) FROM Bars) <=  
    (SELECT COUNT(*) FROM Drinkers)  
);
```

# Timing of Assertion Checks

- In principle, we must check every assertion after every modification to any relation of the database.
- A clever system can observe that only certain changes could cause a given assertion to be violated.
  - Example: No change to Beers can affect FewBar. Neither can an insertion to Drinkers.