

# Relational Algebra

# Motivation

- Employees(eid, ename, city, state)  
Departments(did, dname, mid)
- Select E.ename  
From Employees E, Departments D  
Where E.eid = D.mid and E.city = 'Madison'
- How to execute this query?
  - create possible plans
  - estimate their runtimes
  - select and execute the fastest plan

# Five Basic RA Operations

+ union

+ set difference

+ selection

+ projection

+ Cartesian product

# Set Operations: Union

- Union: all tuples in R1 or R2
- Notation:  $R1 \cup R2$
- R1, R2 must have the same schema
- $R1 \cup R2$  has the same schema as R1, R2
- Example:
  - ActiveEmployees  $\cup$  RetiredEmployees

# Set Operations: Difference

- Difference: all tuples in R1 and not in R2
- Notation:  $R1 - R2$
- R1, R2 must have the same schema
- $R1 - R2$  has the same schema as R1, R2
- Example
  - AllEmployees - RetiredEmployees

# Selection

- Returns all tuples which satisfy a condition
- Notation:  $\sigma_c(R)$
- $c$  is a condition:  $=$ ,  $<$ ,  $>$ , and, or, not
- Output schema: same as input schema
- Find all employees with salary more than \$40,000:
  - $\sigma_{Salary > 40000}(\text{Employee})$

# Selection Example

## Employee

SSN	Name	DepartmentID	Salary
9999999999	John	1	30,000
7777777777	Tony	1	32,000
8888888888	Alice	2	45,000

Find all employees with salary more than \$40,000.

$\sigma_{Salary > 40000}$  (Employee)

SSN	Name	DepartmentID	Salary
8888888888	Alice	2	45,000

# Example

Relation Sells:

bar	beer	price
Joe's	Bud	2.50
Joe's	Miller	2.75
Sue's	Bud	2.50
Sue's	Miller	3.00

JoeMenu := SELECT<sub>bar="Joe's"</sub>(Sells):

bar	beer	price
Joe's	Bud	2.50
Joe's	Miller	2.75



# Projection

- Returns certain columns
- Eliminates duplicate tuples!
- Notation:  $\Pi_{A1, \dots, An}(R)$
- Input schema  $R(B1, \dots, Bm)$
- Condition:  $\{A1, \dots, An\} \subseteq \{B1, \dots, Bm\}$
- Output schema  $S(A1, \dots, An)$
- Example: project social-security number and names:
  - $\Pi_{SSN, Name}(Employee)$

## Projection Example

### Employee

SSN	Name	DepartmentID	Salary
999999999	John	1	30,000
777777777	Tony	1	32,000
888888888	Alice	2	45,000

### $\Pi_{\text{SSN, Name}}(\text{Employee})$

SSN	Name
999999999	John
777777777	Tony
888888888	Alice

# Example

Relation Sells:

bar	beer	price
Joe's	Bud	2.50
Joe's	Miller	2.75
Sue's	Bud	2.50
Sue's	Miller	3.00

Prices := PROJ<sub>beer,price</sub>(Sells):

beer	price
Bud	2.50
Miller	2.75
Miller	3.00

# Cartesian Product

- Each tuple in  $R1$  with each tuple in  $R2$
- Notation:  $R1 \times R2$
- Input schemas  $R1(A1, \dots, An)$ ,  $R2(B1, \dots, Bm)$
- Condition:  $\{A1, \dots, An\} \cap \{B1, \dots, Bm\} = \Phi$
- Output schema is  $S(A1, \dots, An, B1, \dots, Bm)$
- Notation:  $R1 \times R2$
- Example: **Employee x Dependents**
- Very rare in practice; but joins are very common

## Cartesian Product Example

### Employee

Name	SSN
John	999999999
Tony	777777777

### Dependents

EmployeeSSN	Dname
999999999	Emily
777777777	Joe

### Employee x Dependents

Name	SSN	EmployeeSSN	Dname
John	999999999	999999999	Emily
John	999999999	777777777	Joe
Tony	777777777	999999999	Emily
Tony	777777777	777777777	Joe

# Example: $R3 := R1 * R2$

R1(

A,	B
1	2
3	4

)

R2(

B,	C
5	6
7	8
9	10

)

R3(

A,	R1.B,	R2.B,	C
1	2	5	6
1	2	7	8
1	2	9	10
3	4	5	6
3	4	7	8
3	4	9	10

)

# Renaming

- Does not change the relational instance
- Changes the relational schema only
- Notation:  $\rho_{B1, \dots, Bn}(R)$
- Input schema:  $R(A1, \dots, An)$
- Output schema:  $S(B1, \dots, Bn)$
- Example:

$$\rho_{LastName, SocSocNo}(Employee)$$

# Renaming Example

## **Employee**

Name	SSN
John	999999999
Tony	777777777

## $\rho_{\text{LastName, SocSocNo}}$ (**Employee**)

LastName	SocSocNo
John	999999999
Tony	777777777



# Derived RA Operations

1) Intersection

2) Most importantly: Join

# Set Operations: Intersection

- All tuples both in R1 and in R2
- Notation:  $R1 \cap R2$
- R1, R2 must have the same schema
- $R1 \cap R2$  has the same schema as R1, R2
- Example
  - $\text{UnionizedEmployees} \cap \text{RetiredEmployees}$
- Intersection is derived:
  - $R1 \cap R2 = R1 - (R1 - R2)$     why ?

# Joins

- Theta join
- Natural join
- Equi-join
- Semi-join
- Inner join
- Outer join
- etc.

# Theta Join

- A join that involves a predicate
- Notation:  $R1 \bowtie_{\theta} R2$  where  $\theta$  is a condition
- Input schemas:  $R1(A1, \dots, An)$ ,  $R2(B1, \dots, Bm)$
- $\{A1, \dots, An\} \cap \{B1, \dots, Bm\} = \phi$
- Output schema:  $S(A1, \dots, An, B1, \dots, Bm)$
- Derived operator:

$$R1 \bowtie_{\theta} R2 = \sigma_{\theta}(R1 \times R2)$$

# Example

Sells(

bar,	beer,	price
Joe's	Bud	2.50
Joe's	Miller	2.75
Sue's	Bud	2.50
Sue's	Coors	3.00

)

Bars(

name,	addr
Joe's	Maple St.
Sue's	River Rd.

)

BarInfo := Sells JOIN<sub>Sells.bar = Bars.name</sub> Bars

BarInfo(

bar,	beer,	price,	name,	addr
Joe's	Bud	2.50	Joe's	Maple St.
Joe's	Miller	2.75	Joe's	Maple St.
Sue's	Bud	2.50	Sue's	River Rd.
Sue's	Coors	3.00	Sue's	River Rd.

)

# Natural Join

- Notation:  $R1 \bowtie R2$
- Input Schema:  $R1(A1, \dots, An), R2(B1, \dots, Bm)$
- Output Schema:  $S(C1, \dots, Cp)$ 
  - Where  $\{C1, \dots, Cp\} = \{A1, \dots, An\} \cup \{B1, \dots, Bm\}$
- Meaning: combine all pairs of tuples in R1 and R2 that agree on the attributes:
  - $\{A1, \dots, An\} \cap \{B1, \dots, Bm\}$  (called the **join** attributes)
- Equivalent to a cross product followed by selection
- Example **Employee**  $\bowtie$  **Dependents**

## Natural Join Example

### Employee

Name	SSN
John	9999999999
Tony	7777777777

### Dependents

SSN	Dname
9999999999	Emily
7777777777	Joe

**Employee**  $\bowtie$  **Dependents** =

$\Pi_{\text{Name, SSN, Dname}}(\sigma_{\text{SSN}=\text{SSN2}}(\text{Employee} \times \rho_{\text{SSN2, Dname}}(\text{Dependents})))$

Name	SSN	Dname
John	9999999999	Emily
Tony	7777777777	Joe

# Natural Join

•  $R =$

A	B
X	Y
X	Z
Y	Z
Z	V

$S =$

B	C
Z	U
V	W
Z	V

•  $R \bowtie S =$

A	B	C
X	Z	U
X	Z	V
Y	Z	U
Y	Z	V
Z	V	W



# Natural Join

- Given the schemas  $R(A, B, C, D)$ ,  $S(A, C, E)$ , what is the schema of  $R \bowtie S$  ?
- Given  $R(A, B, C)$ ,  $S(D, E)$ , what is  $R \bowtie S$  ?
- Given  $R(A, B)$ ,  $S(A, B)$ , what is  $R \bowtie S$  ?

# Example

Sells(	bar,	beer,	price	)
	Joe's	Bud	2.50	
	Joe's	Miller	2.75	
	Sue's	Bud	2.50	
	Sue's	Coors	3.00	

Bars(	bar,	addr	)
	Joe's	Maple St.	
	Sue's	River Rd.	

BarInfo := Sells JOIN Bars

Note Bars.name has become Bars.bar to make the natural join “work.”

BarInfo(	bar,	beer,	price,	addr	)
	Joe's	Bud	2.50	Maple St.	
	Joe's	Milller	2.75	Maple St.	
	Sue's	Bud	2.50	River Rd.	
	Sue's	Coors	3.00	River Rd.	

# Equi-join

- Most frequently used in practice:

$$R1 \bowtie_{A=B} R2$$

- Natural join is a particular case of equi-join
- A lot of research on how to do it efficiently

# Relational Algebra

- Five basic operators, many derived
- Combine operators in order to construct queries:  
**relational algebra expressions**, usually shown as trees

# Building Complex Expressions

- Algebras allow us to express sequences of operations in a natural way.
- Example
  - in arithmetic algebra:  $(x + 4) * (y - 3)$
- Relational algebra allows the same.
- Three notations, just as in arithmetic:
  1. Sequences of assignment statements.
  2. Expressions with several operators.
  3. Expression trees.

# Sequences of Assignments

- Create temporary relation names.
- Renaming can be implied by giving relations a list of attributes.
- Example:  $R3 := R1 \text{ JOIN}_C R2$  can be written:  
     $R4 := R1 * R2$   
     $R3 := \text{SELECT}_C(R4)$

# Expressions with Several Operators

- Example: the theta-join  $R3 := R1 \text{ JOIN}_C R2$  can be written:  $R3 := \text{SELECT}_C (R1 * R2)$
- Precedence of relational operators:
  1. Unary operators --- select, project, rename --- have highest precedence, bind first.
  2. Then come products and joins.
  3. Then intersection.
  4. Finally, union and set difference bind last.
- But you can always insert parentheses to force the order you desire.

# Expression Trees

- Leaves are operands --- either variables standing for relations or particular, constant relations.
- Interior nodes are operators, applied to their child or children.

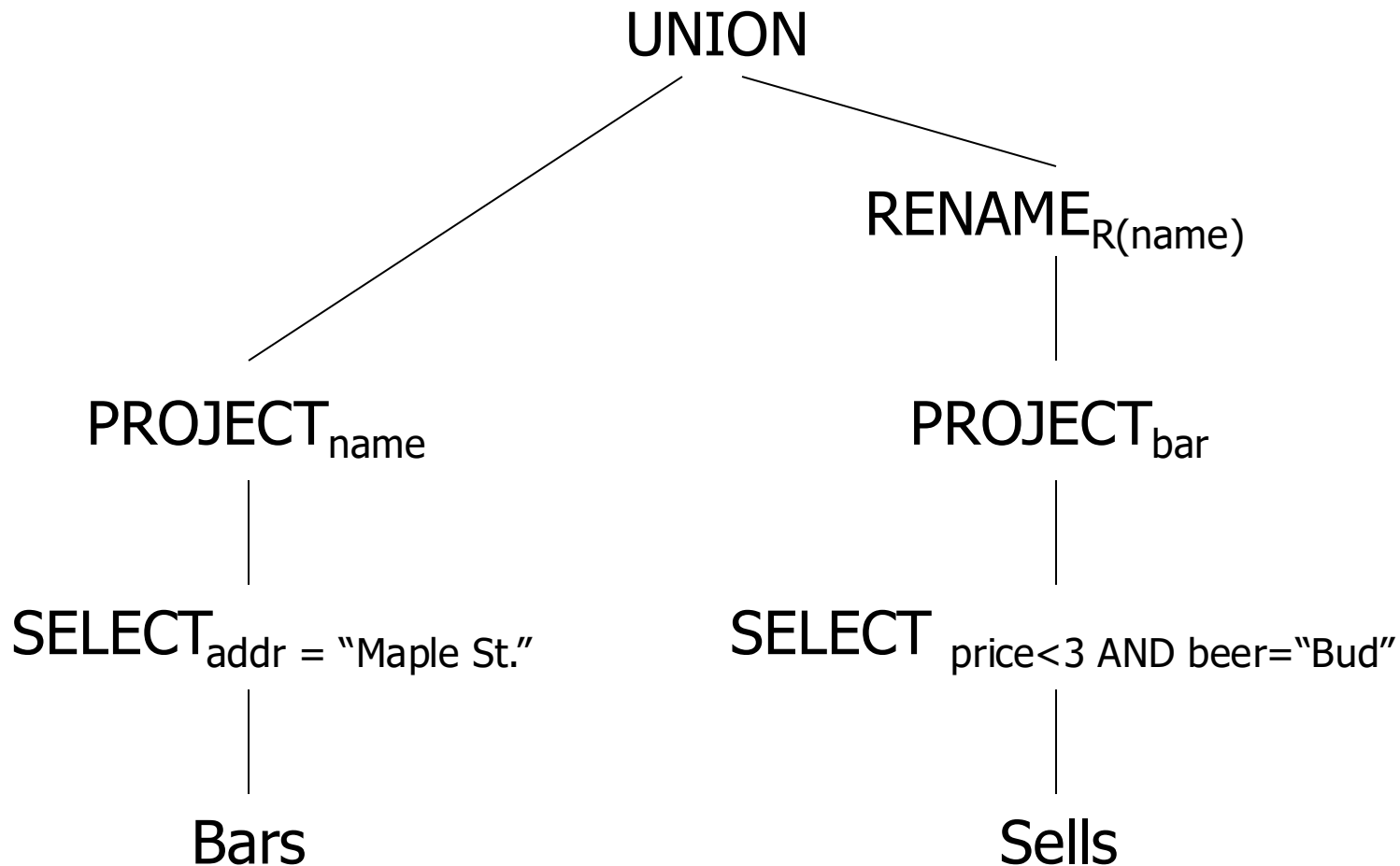


# Example

- Using the relations Bars(name, addr) and Sells(bar, beer, price), find the names of all the bars that are either on Maple St. or sell Bud for less than \$3.

# As a Tree:

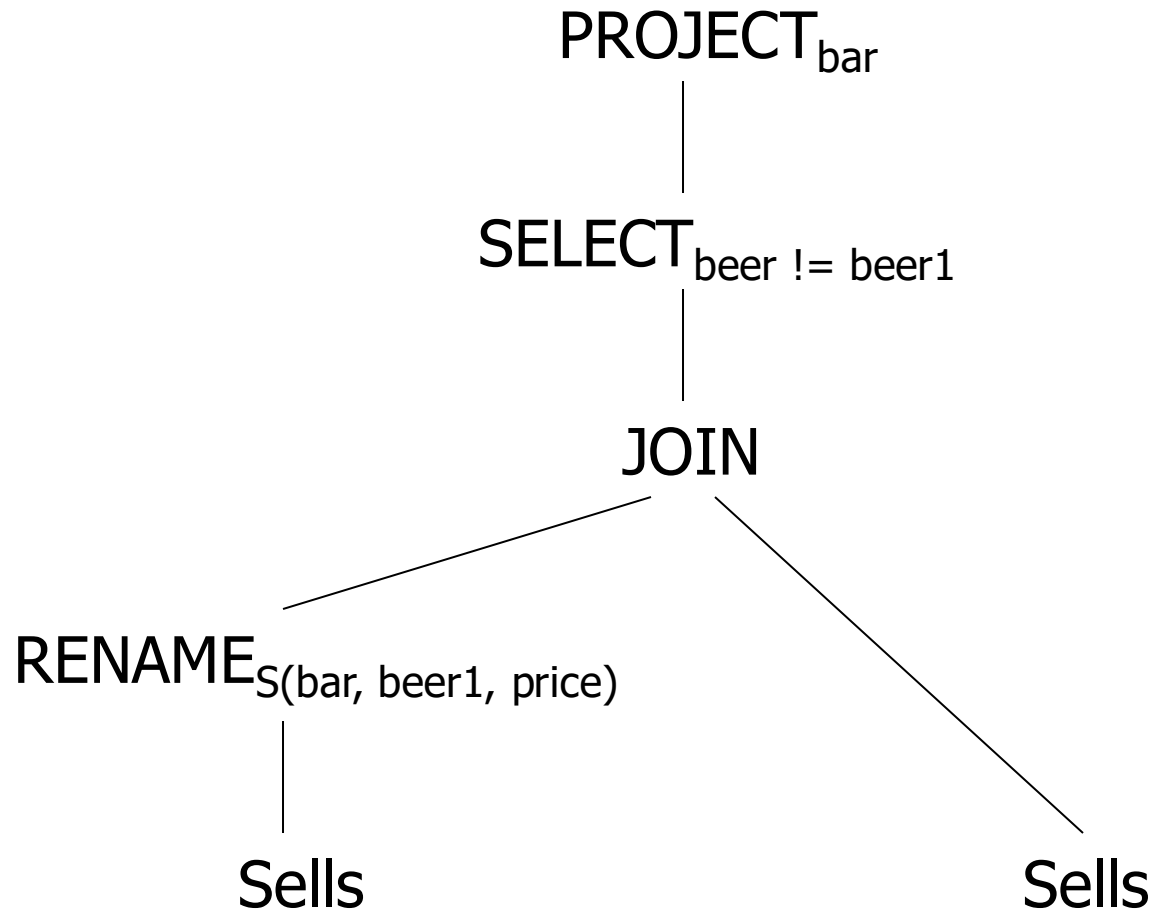
- Using the relations Bars(name, addr) and Sells(bar, beer, price), find the names of all the bars that are either on Maple St. or sell Bud for less than \$3.



# Example

- Using  $\text{Sells}(\text{bar}, \text{beer}, \text{price})$ , find the bars that sell two different beers at the same price.
- Strategy: by renaming, define a copy of  $\text{Sells}$ , called  $\text{S}(\text{bar}, \text{beer1}, \text{price})$ . The natural join of  $\text{Sells}$  and  $\text{S}$  consists of quadruples  $(\text{bar}, \text{beer}, \text{beer1}, \text{price})$  such that the bar sells both beers at this price.

# The Tree



# Complex Queries

Product ( pid, name, price, category, maker-cid)

Purchase (buyer-ssn, seller-ssn, store, pid)

Company (cid, name, stock price, country)

Person(ssn, name, phone number, city)

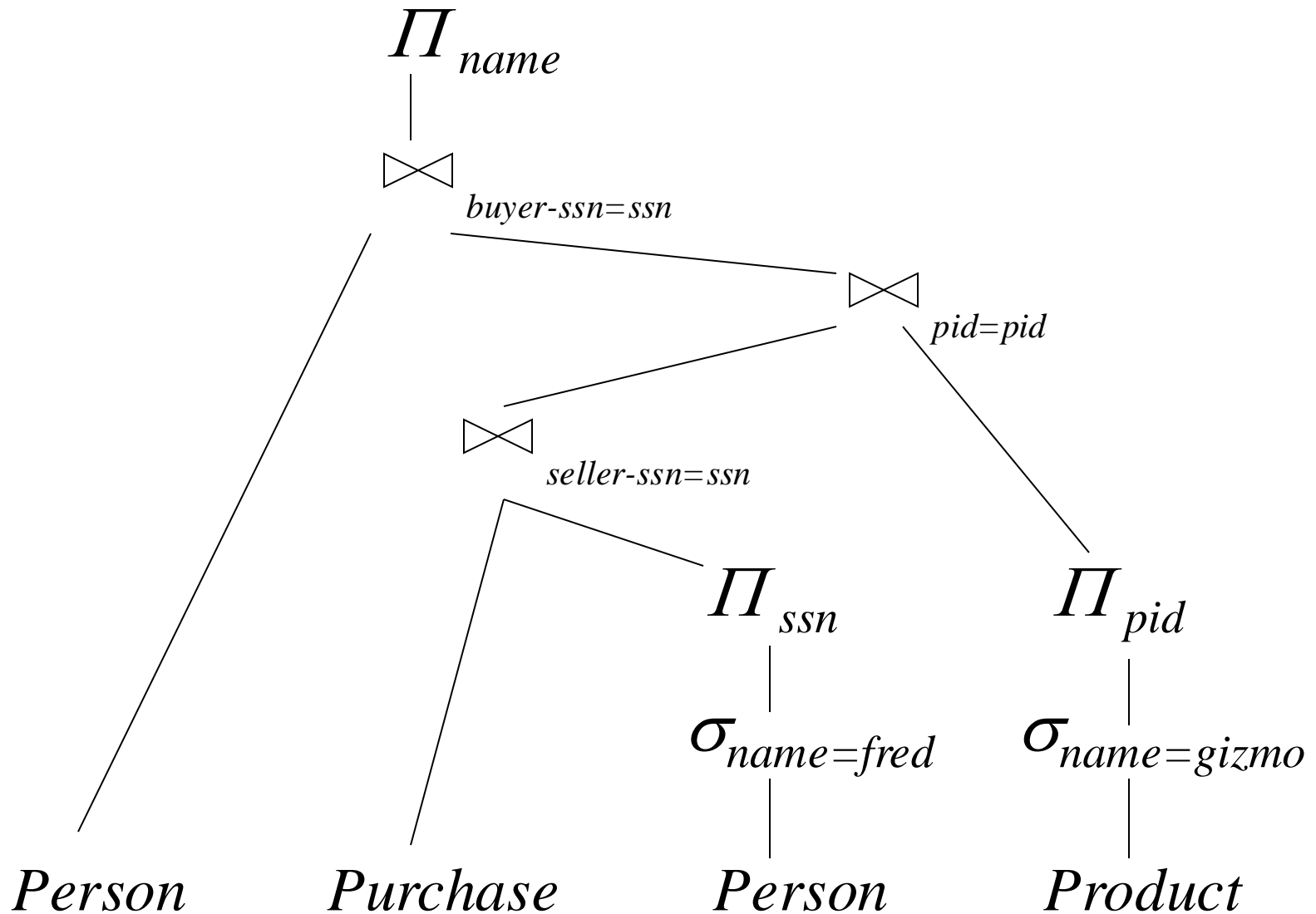
Note:

- in **Purchase**: buyer-ssn, seller-ssn are **foreign keys** in **Person**, pid is **foreign key** in **Product**;
- in **Product** maker-cid is a **foreign key** in **Company**

Find phone numbers of people who bought gizmos from Fred.

Find telephony products that somebody bought

# Expression Tree



# Exercises

Product ( pid, name, price, category, maker-cid)

Purchase (buyer-ssn, seller-ssn, store, pid)

Company (cid, name, stock price, country)

Person(ssn, name, phone number, city)

Ex #1: Find people who bought telephony products.

Ex #2: Find names of people who bought American products

# Exercises

Product ( pid, name, price, category, maker-cid)

Purchase (buyer-ssn, seller-ssn, store, pid)

Company (cid, name, stock price, country)

Person(ssn, name, phone number, city)

**Ex #3:** Find names of people who bought American products and did not buy French products

**Ex #4:** Find names of people who bought American products and they live in Champaign.



# Exercises

Product ( pid, name, price, category, maker-cid)

Purchase (buyer-ssn, seller-ssn, store, pid)

Company (cid, name, stock price, country)

Person(ssn, name, phone number, city)

**Ex #5:** Find people who bought stuff from Joe or bought products from a company whose stock prices is more than \$50.

# Operations on Bags (and why we care)

- Union:  $\{a,b,b,c\} \cup \{a,b,b,b,e,f,f\} = \{a,a,b,b,b,b,c,e,f,f\}$ 
  - *add* the number of occurrences
- Difference:  $\{a,b,b,b,c,c\} - \{b,c,c,c,d\} = \{a,b,b,d\}$ 
  - subtract the number of occurrences
- Intersection:  $\{a,b,b,b,c,c\} \cap \{b,b,c,c,c,c,d\} = \{b,b,c,c\}$ 
  - minimum of the two numbers of occurrences
- Selection: preserve the number of occurrences
- Projection: preserve the number of occurrences (no duplicate elimination)
- Cartesian product, join: no duplicate elimination

Read the book for more detail

# Summary of Relational Algebra

- Why bother ? Can write any RA expression directly in C++/Java, seems easy.
- Two reasons:
  - Each operator admits sophisticated implementations (think of  $\bowtie$  ,  $\sigma_C$ )
  - Expressions in relational algebra can be rewritten:  
**optimized**

# Glimpse Ahead: Efficient Implementations of Operators

- $\sigma_{(\text{age} \geq 30 \text{ AND } \text{age} \leq 35)}(\mathbf{Employees})$ 
  - Method 1: scan the file, test each employee
  - Method 2: use an index on **age**
  - Which one is better ? Depends a lot...
- **Employees**  $\bowtie$  **Relatives**
  - Iterate over Employees, then over Relatives
  - Iterate over Relatives, then over Employees
  - Sort Employees, Relatives, do “merge-join”
  - “hash-join”
  - etc

# Glimpse Ahead: Optimizations

Product ( pid, name, price, category, maker-cid)

Purchase (buyer-ssn, seller-ssn, store, pid)

Person(ssn, name, phone number, city)

- Which is better:

$\sigma_{\text{price} > 100}(\text{Product}) \bowtie (\text{Purchase} \bowtie \sigma_{\text{city} = \text{sea}} \text{Person})$

$(\sigma_{\text{price} > 100}(\text{Product}) \bowtie \text{Purchase}) \bowtie \sigma_{\text{city} = \text{sea}} \text{Person}$

- Depends ! This is the optimizer's job...

# Finally: RA has Limitations !

- Cannot compute “transitive closure”

Name1	Name2	Relationship
Fred	Mary	Father
Mary	Joe	Cousin
Mary	Bill	Spouse
Nancy	Lou	Sister

- Find all direct and indirect relatives of Fred
- Cannot express in RA !!! Need to write C program