

# ECN+, ECN+/Wait, ECN+/TryOnce and Alternative Backoff with ECN (ABE)

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#### Overview

- ECN+
  - Extends ECN marking to SYN/ACK packet (i.e., second packet in TCP handshake)
  - Reason: SYN/ACK packet drop leads to wastage of resources. How?
- ECN+/Wait [RFC 5562]
  - Extends ECN+ mechanism
  - Suitable for mild to moderate level congestion
- ECN+/TryOnce [RFC 5562]
  - Extends ECN+ mechanism
  - Suitable for high level of congestion
- Alternative Backoff with ECN (ABE) [RFC 8511]
  - Differentiates the congestion response for a marked packet and dropped packet 2

#### ECN+

- SYN/ACK packet is permitted to carry ECT(0) or ECT(1) in IP header
  - It means SYN/ACK packet can get marked by the router if there is congestion
  - ECN+ is a server-side mechanism

```
TCP Node A
                       Router
                                                TCP Node B
(initiator)
                                                (responder)
ECN-setup SYN packet --->
                                  ECN-setup SYN packet --->
                       <--- <--- ECN-setup SYN/ACK, not ECT
                                                                  Original ECN
                                         3-second timer set
                    SYN/ACK dropped
                                     3-second timer expires
                            <--- ECN-setup SYN/ACK, not ECT
<--- ECN-setup SYN/ACK
Data/ACK --->
                                              Data/ACK --->
                           <--- Data (one to four segments)
```

## ECN+ (contd ...)

```
TCP Node A
                                                TCP Node B
                       Router
(initiator)
                                               (responder)
ECN-setup SYN packet --->
                                ECN-setup SYN packet --->
                              <--- ECN-setup SYN/ACK, ECT
                                        3-second timer set
                   <--- Sets CE on SYN/ACK
                                                                 This is ECN+
<--- ECN-setup SYN/ACK, CE
ACK, ECN-Echo --->
                                       ACK, ECN-Echo --->
                           Window reduced to one segment.
                          <--- ECN-setup SYN/ACK, not ECT
<--- ECN-setup SYN/ACK
Data/ACK, ECT --->
                                        Data/ACK, ECT --->
                        <--- Data, ECT (one segment only)
```

### ECN+/Wait

 If an ACK arrives with a ECN-Echo, the server reduces the congestion window to 1 segment and waits for one RTT before sending the data packet.

```
TCP Node A
                       Router
                                                TCP Node B
(initiator)
                                               (responder)
ECN-setup SYN packet --->
                                ECN-setup SYN packet --->
                              <--- ECN-setup SYN/ACK, ECT
                                        3-second timer set
                   <--- Sets CE on SYN/ACK
<--- ECN-setup SYN/ACK, CE
                                                                  ECN+/Wait
ACK, ECN-Echo --->
                                       ACK, ECN-Echo --->
                           Window reduced to one segment.
                          <--- ECN-setup SYN/ACK, not ECT
<--- ECN-setup SYN/ACK
Data/ACK, ECT --->
                                        Data/ACK, ECT --->
                        <--- Data, ECT (one segment only) 	— Wait for 1 RTT before sending
                                                               this data packet
```

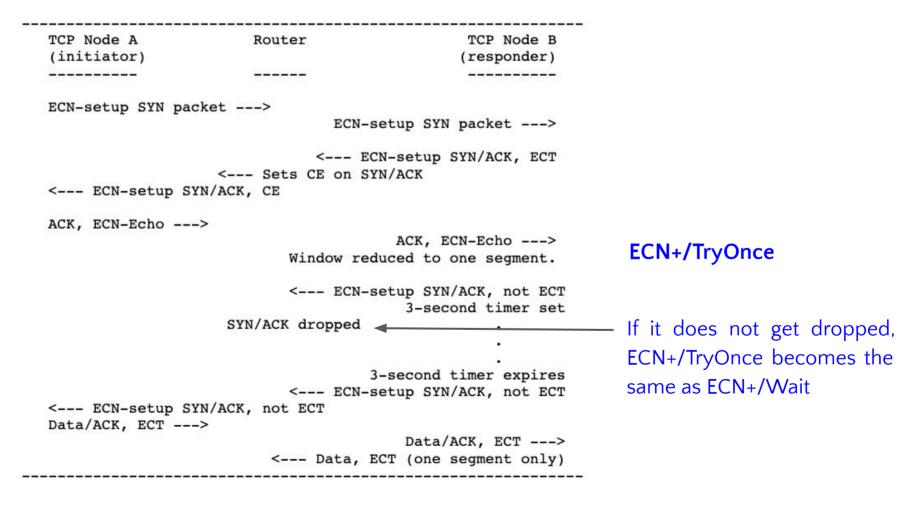
# ECN+/TryOnce

• If an ACK arrives with a ECN-Echo, the server reduces the congestion window to 1. Besides, it first retransmits the SYN/ACK without ECT and confirms that the network is not heavily congested

```
TCP Node A
                                               TCP Node B
                       Router
(initiator)
                                              (responder)
ECN-setup SYN packet --->
                                ECN-setup SYN packet --->
                              <--- ECN-setup SYN/ACK, ECT
                   <--- Sets CE on SYN/ACK
<--- ECN-setup SYN/ACK, CE
ACK, ECN-Echo --->
                                       ACK, ECN-Echo --->
                                                                  ECN+/TryOnce
                           Window reduced to one segment.
                           <--- ECN-setup SYN/ACK, not ECT
                                        3-second timer set
                    SYN/ACK dropped
                                    3-second timer expires
                           <--- ECN-setup SYN/ACK, not ECT
<--- ECN-setup SYN/ACK, not ECT
Data/ACK, ECT --->
                                        Data/ACK, ECT --->
                         <--- Data, ECT (one segment only)
```

# ECN+/TryOnce

• If an ACK arrives with a ECN-Echo, the server reduces the congestion window to 1. Besides, it first retransmits the SYN/ACK without ECT and confirms that the network is not heavily congested



## Alternative Backoff with ECN (RFC 8511)

- ECN signals are treated same as 'packet drop' signals by the TCP senders
  - This behavior was recommended in RFC 3168 (the original ECN mechanism)
- ABE defines an alternative behavior for ECN marked packets
  - Because 'ECN marked' packets are not 'actually' dropped.
  - So the congestion window reduction can be less aggressive
  - Note: a concept similar to ABE was proposed in DECBit paper (by the author of ECN)
- Recommendations by RFC 8511 for congestion response by a TCP Sender using ABE:
  - o For CUBIC: multiply cwnd by 0.85 if packet is ECN marked, 0.7 if it is dropped
  - For Reno: multiply cwnd by [0.7, 0.85] if packet is ECN marked, 0.5 if it is dropped

## Recommended Reading

RFC 5562: Adding Explicit Congestion Notification (ECN) Capability to TCP's SYN/ACK Packets

Link: <a href="https://datatracker.ietf.org/doc/html/rfc5562">https://datatracker.ietf.org/doc/html/rfc5562</a>

RFC 8511: TCP Alternative Backoff with ECN (ABE)

Link: <a href="https://datatracker.ietf.org/doc/html/rfc8511">https://datatracker.ietf.org/doc/html/rfc8511</a>