A Simple One-Pass Compiler to Generate Bytecode for the JVM Chapter 2

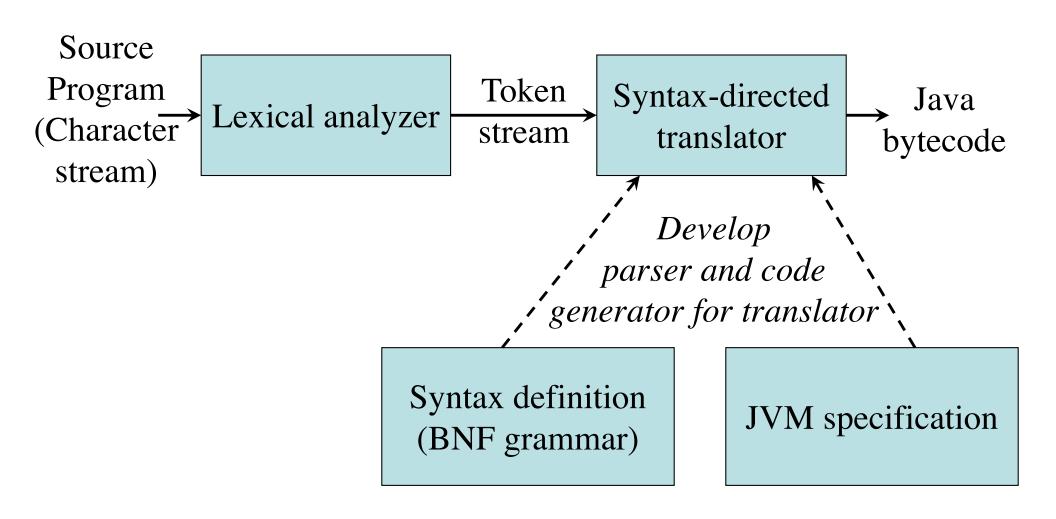
Overview

- This chapter contains introductory material to Chapters 3 to 8 of the Dragon book
- Combined with material on the JVM to prepare for the laboratory assignments

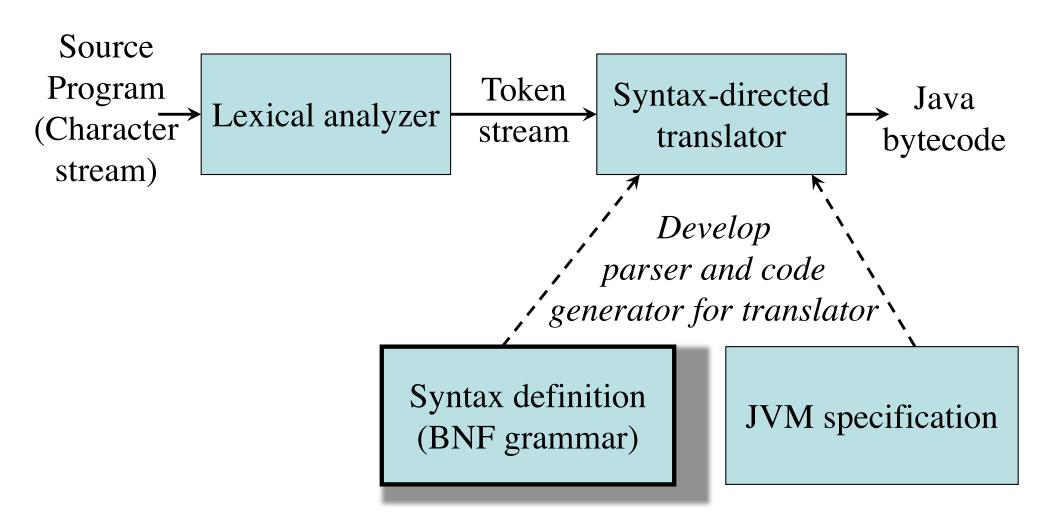
Building a Simple Compiler

- Building our compiler involves:
 - Defining the *syntax* of a programming language
 - Develop a source code parser: for our compiler we will use *predictive parsing*
 - Implementing syntax directed translation to generate intermediate code: our target is the JVM abstract stack machine
 - Generating Java bytecode for the JVM
 - Optimize the Java bytecode (just a little bit...)

The Structure of our Compiler



The Structure of our Compiler



Syntax Definition

- Context-free grammar is a 4-tuple with
 - A set of tokens (terminal symbols)
 - A set of nonterminals
 - A set of productions
 - A designated start symbol

Example Grammar

Context-free grammar for simple expressions:

$$G = \langle \{list, digit\}, \{+,-,0,1,2,3,4,5,6,7,8,9\}, P, list \rangle$$

with productions P =

$$list \rightarrow list + digit$$

$$list \rightarrow list - digit$$

$$list \rightarrow digit$$

$$digit \rightarrow 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9$$

Derivation

- Given a CF grammar we can determine the set of all *strings* (sequences of tokens) generated by the grammar using *derivation*
 - We begin with the start symbol
 - In each step, we replace one nonterminal in the current sentential form with one of the righthand sides of a production for that nonterminal

Derivation for the Example Grammar

```
\frac{list}{\Rightarrow list} + digit
\Rightarrow \underline{list} - digit + digit
\Rightarrow \underline{digit} - digit + digit
\Rightarrow 9 - \underline{digit} + digit
\Rightarrow 9 - 5 + \underline{digit}
\Rightarrow 9 - 5 + 2
```

This is an example *leftmost derivation*, because we replaced the leftmost nonterminal (underlined) in each step.

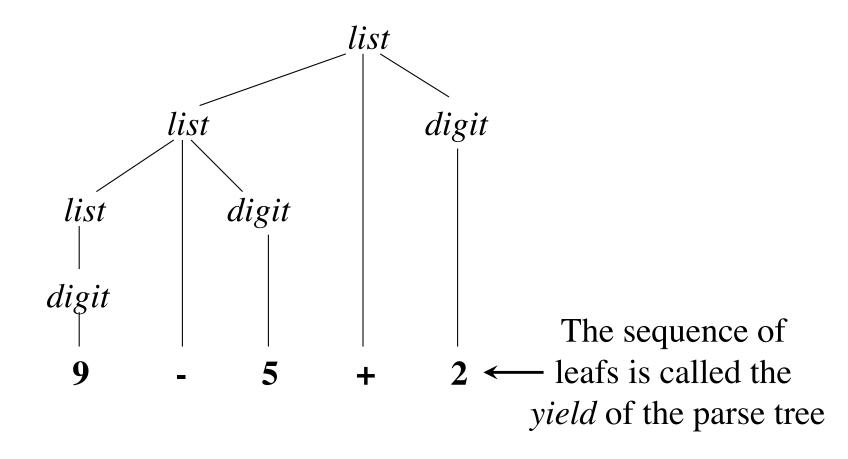
Likewise, a *rightmost derivation* replaces the rightmost nonterminal in each step

Parse Trees

- The *root* of the tree is labeled by the start symbol
- Each *leaf* of the tree is labeled by a terminal (=token) or ε
- Each interior node is labeled by a nonterminal
- If $A \rightarrow X_1 X_2 ... X_n$ is a production, then node A has immediate *children* $X_1, X_2, ..., X_n$ where X_i is a (non)terminal or ε (ε denotes the *empty string*)

Parse Tree for the Example Grammar

Parse tree of the string 9-5+2 using grammar G



Ambiguity

Consider the following context-free grammar:

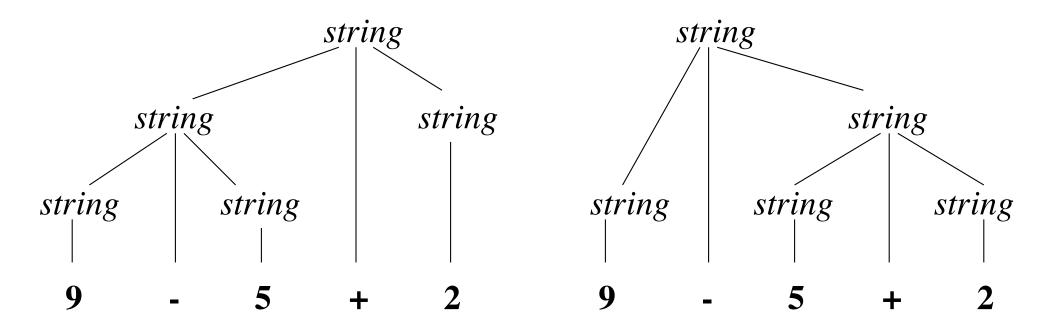
$$G = \langle \{string\}, \{+,-,0,1,2,3,4,5,6,7,8,9\}, P, string \rangle$$

with production P =

$$string \rightarrow string + string | string - string | 0 | 1 | ... | 9$$

This grammar is *ambiguous*, because more than one parse tree represents the string **9-5+2**

Ambiguity (cont' d)



Associativity of Operators

Left-associative operators have left-recursive productions

$$left \rightarrow left + term \mid term$$

String **a+b+c** has the same meaning as **(a+b)+c**

Right-associative operators have right-recursive productions

$$right \rightarrow term = right \mid term$$

String a=b=c has the same meaning as a=(b=c)

Precedence of Operators

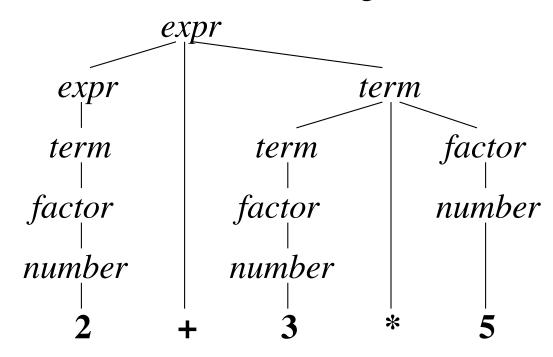
Operators with higher precedence "bind more tightly"

```
expr \rightarrow expr + term \mid term

term \rightarrow term * factor \mid factor

factor \rightarrow number \mid (expr)
```

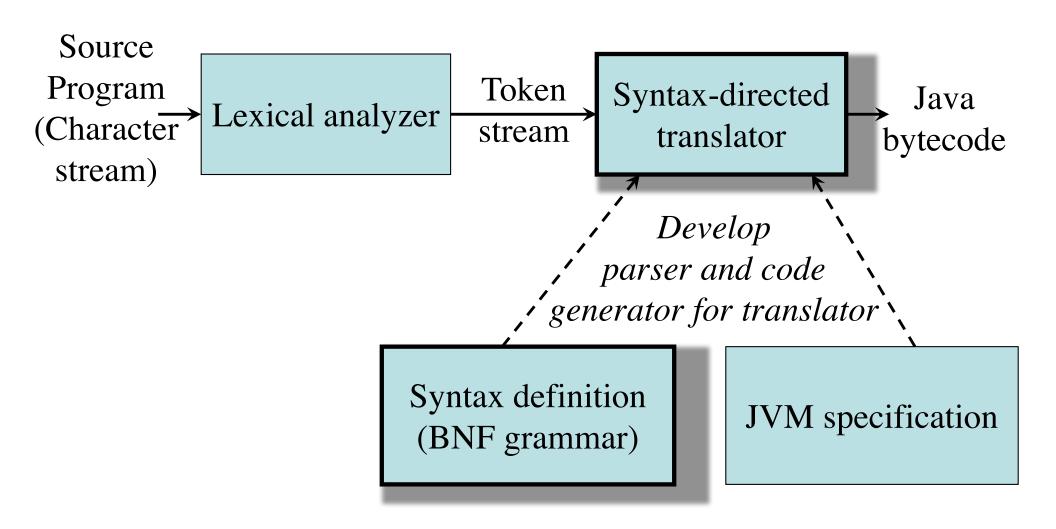
String 2+3*5 has the same meaning as 2+(3*5)



Syntax of Statements

```
stmt \rightarrow id := expr
| if expr then stmt
| if expr then stmt else stmt
| while expr do stmt
| begin opt_stmts end
opt_stmts \rightarrow stmt ; opt_stmts
| \epsilon
```

The Structure of our Compiler



Syntax-Directed Translation

- Uses a CF grammar to specify the syntactic structure of the language
- AND associates a set of *attributes* with the terminals and nonterminals of the grammar
- AND associates with each production a set of *semantic rules* to compute values of attributes
- A parse tree is traversed and semantic rules applied: after the tree traversal(s) are completed, the attribute values on the nonterminals contain the translated form of the input

Synthesized and Inherited Attributes

- An attribute is said to be ...
 - synthesized if its value at a parse-tree node is determined from the attribute values at the children of the node
 - inherited if its value at a parse-tree node is determined by the parent (by enforcing the parent's semantic rules)

String concat operator

Example Attribute Grammar

Production

 $expr \rightarrow expr_1 + term$ $expr \rightarrow expr_1 - term$ $expr \rightarrow term$ $term \rightarrow 0$ $term \rightarrow 1$

. . .

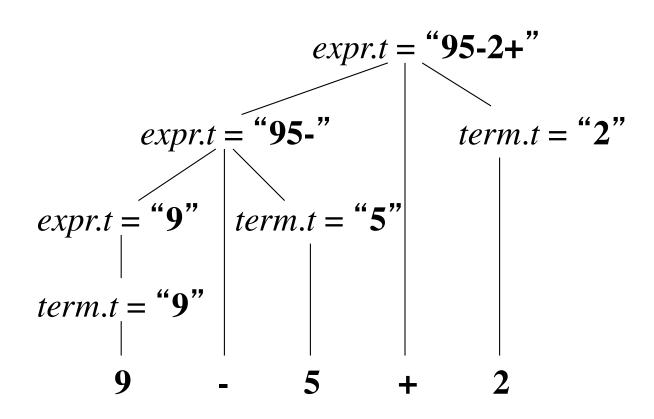
 $term \rightarrow 9$

Semantic Rule

 $expr.t := expr_1.t // term.t // "+"$ $expr.t := expr_1.t // term.t // "-"$ expr.t := term.t term.t := "0" term.t := "1"

term.t := "9"

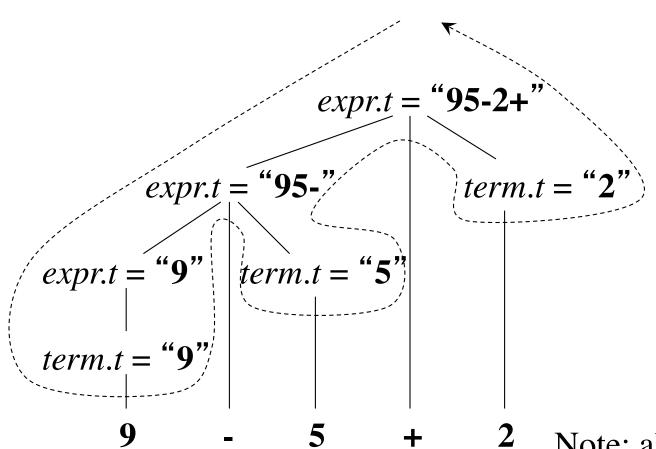
Example Annotated Parse Tree



Depth-First Traversals

```
procedure visit(n : node);
begin
  for each child m of n, from left to right do
    visit(m);
  evaluate semantic rules at node n
end
```

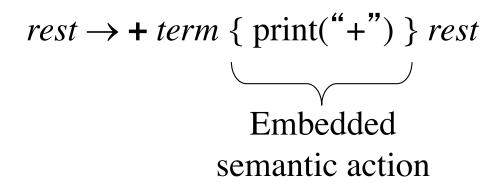
Depth-First Traversals (Example)

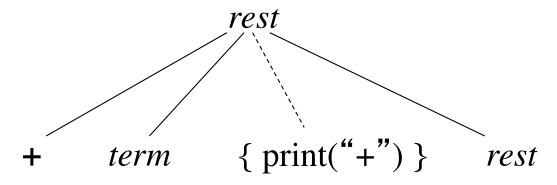


Note: all attributes are of the synthesized type

Translation Schemes

• A translation scheme is a CF grammar embedded with semantic actions

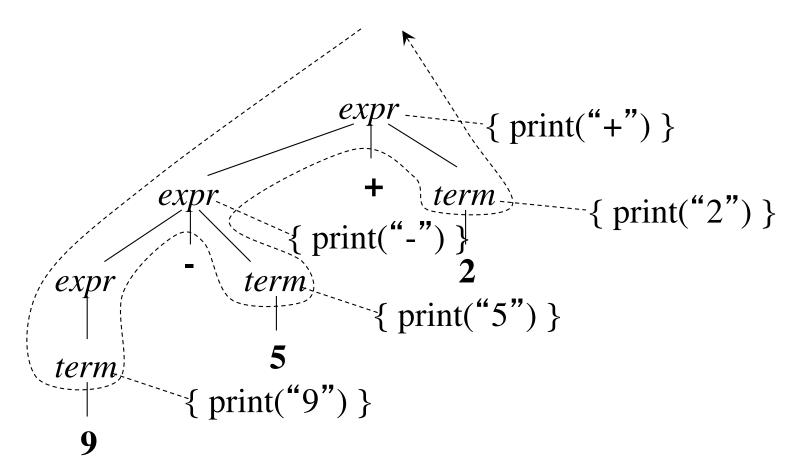




Example Translation Scheme

```
expr \rightarrow expr + term \quad \{ print("+") \}
expr \rightarrow expr - term \quad \{ print("-") \}
expr \rightarrow term
term \rightarrow 0 \quad \{ print("0") \}
term \rightarrow 1 \quad \{ print("1") \}
...
term \rightarrow 9 \quad \{ print("9") \}
```

Example Translation Scheme (cont'd)



Translates 9-5+2 into postfix 95-2+

Parsing

- Parsing = process of determining if a string of tokens can be generated by a grammar
- For any CF grammar there is a parser that takes at most $O(n^3)$ time to parse a string of n tokens
- Linear algorithms suffice for parsing programming language source code
- Top-down parsing "constructs" a parse tree from root to leaves
- Bottom-up parsing "constructs" a parse tree from leaves to root

Predictive Parsing

- Recursive descent parsing is a top-down parsing method
 - Each nonterminal has one (recursive) procedure that is responsible for parsing the nonterminal's syntactic category of input tokens
 - When a nonterminal has multiple productions, each production is implemented in a branch of a selection statement based on input look-ahead information
- *Predictive parsing* is a special form of recursive descent parsing where we use one lookahead token to unambiguously determine the parse operations

Example Predictive Parser (Grammar)

```
type → simple
| ^ id
| array [ simple ] of type
simple → integer
| char
| num dotdot num
```

Example Predictive Parser (Program Code)

```
procedure match(t : token);
begin
  if lookahead = t then
    lookahead := nexttoken()
  else error()
end;
procedure type();
begin
  if lookahead in { 'integer', 'char', 'num' } then
    simple()
  else if lookahead = '^' then
    match(`^{'}); match(id)
  else if lookahead = 'array' then
    match('array'); match('['); simple();
    match(']'); match('of'); type()
  else error()
end:
```

```
procedure simple();
begin
  if lookahead = 'integer' then
    match('integer')
  else if lookahead = 'char' then
    match('char')
  else if lookahead = 'num' then
    match('num');
    match('dotdot');
    match('num')
  else error()
end;
```

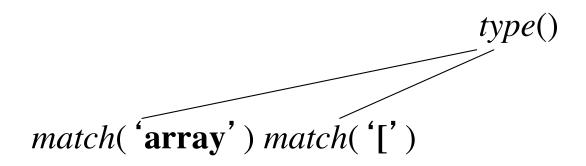
Example Predictive Parser (Execution Step 1)

```
Check lookahead and call match

match('array')
```

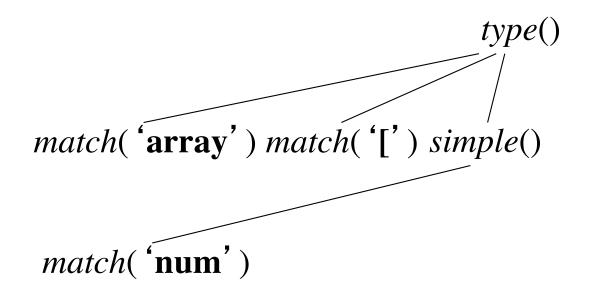
```
Input: array [ num dotdot num ] of integer lookahead
```

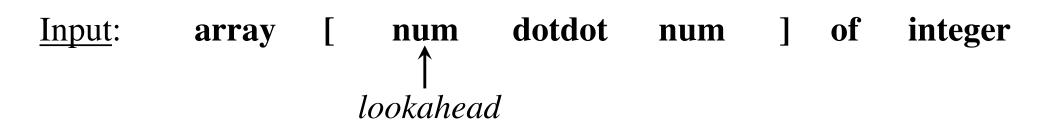
Example Predictive Parser (Execution Step 2)



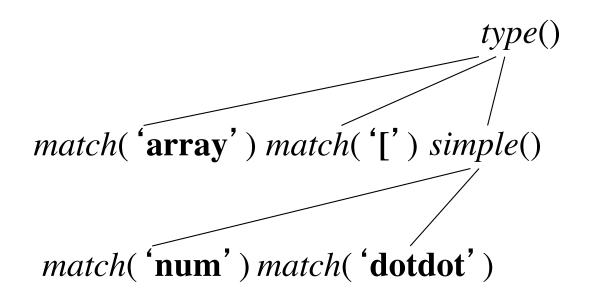
Input: array [num dotdot num] of integer lookahead

Example Predictive Parser (Execution Step 3)

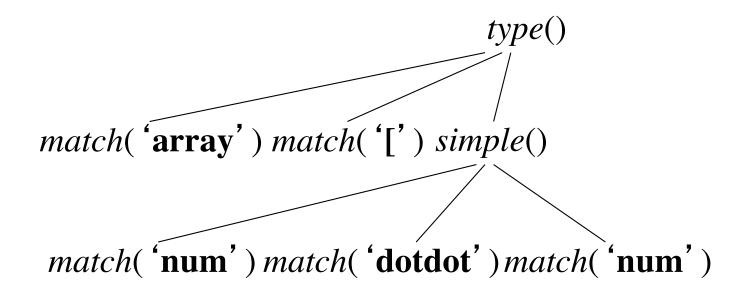




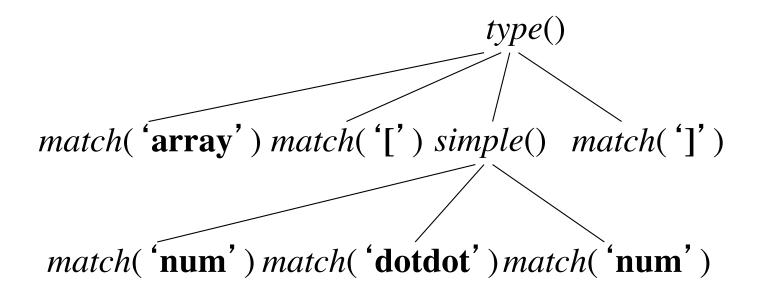
Example Predictive Parser (Execution Step 4)



Example Predictive Parser (Execution Step 5)

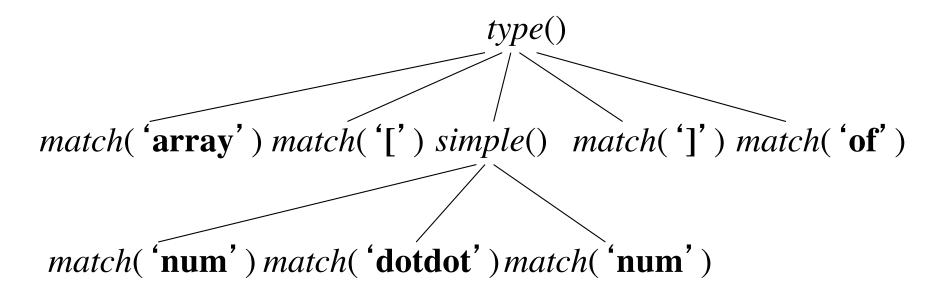


Example Predictive Parser (Execution Step 6)

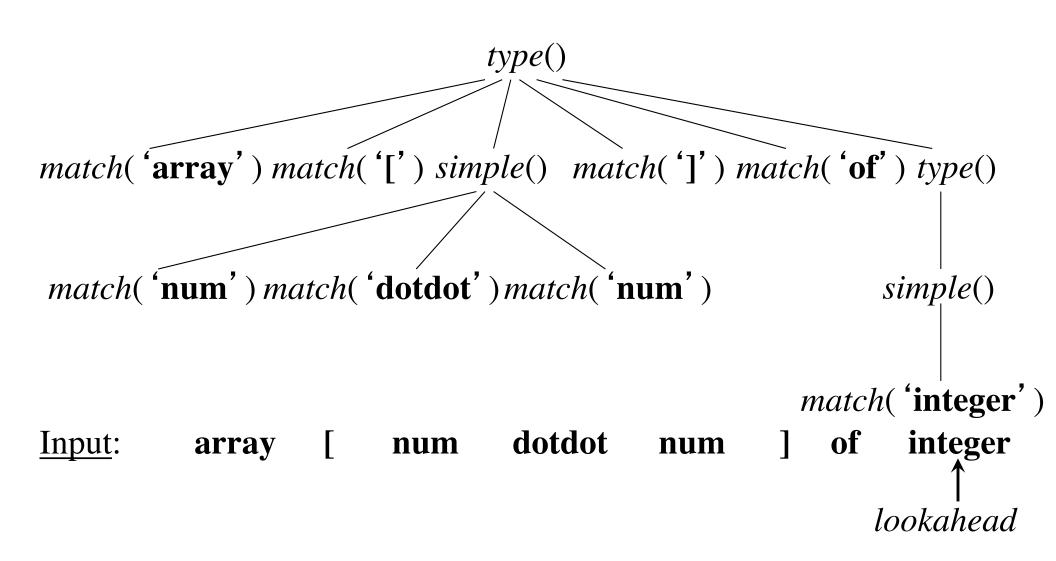


Input: array [num dotdot num] of integer lookahead

Example Predictive Parser (Execution Step 7)



Example Predictive Parser (Execution Step 8)



FIRST

FIRST(α) is the set of terminals that appear as the first symbols of one or more strings generated from α

```
FIRST(simple) = { integer, char, num }
FIRST(^ id) = { ^ }
FIRST(type) = { integer, char, num, ^, array }
```

How to use FIRST

We use FIRST to write a predictive parser as follows

```
procedure rest();

expr 	o term \ rest

rest 	o + term \ rest

| - term \ rest|

| \varepsilon 

if lookahead in FIRST(+ term \ rest) then

match(`+'); term(); rest()

else if lookahead in FIRST(- term \ rest) then

match(`-'); term(); rest()

else return

end;
```

When a nonterminal A has two (or more) productions as in

$$A \rightarrow \alpha$$

 β

Then FIRST (α) and FIRST(β) must be disjoint for predictive parsing to work

Left Factoring

When more than one production for nonterminal A starts with the same symbols, the FIRST sets are not disjoint

 $stmt \rightarrow if \ expr \ then \ stmt \ endif$ | if expr then $stmt \ else \ stmt \ endif$

We can use *left factoring* to fix the problem

 $stmt \rightarrow if expr then stmt opt_else$ $opt_else \rightarrow else stmt endif$ | endif

Left Recursion

When a production for nonterminal A starts with a self reference then a predictive parser loops forever

$$A \rightarrow A \alpha$$

$$\mid \beta$$

$$\mid \gamma$$

We can eliminate *left recursive productions* by systematically rewriting the grammar using *right recursive productions*

$$A \to \beta R$$

$$\mid \gamma R$$

$$R \to \alpha R$$

$$\mid \varepsilon$$

A Translator for Simple Expressions

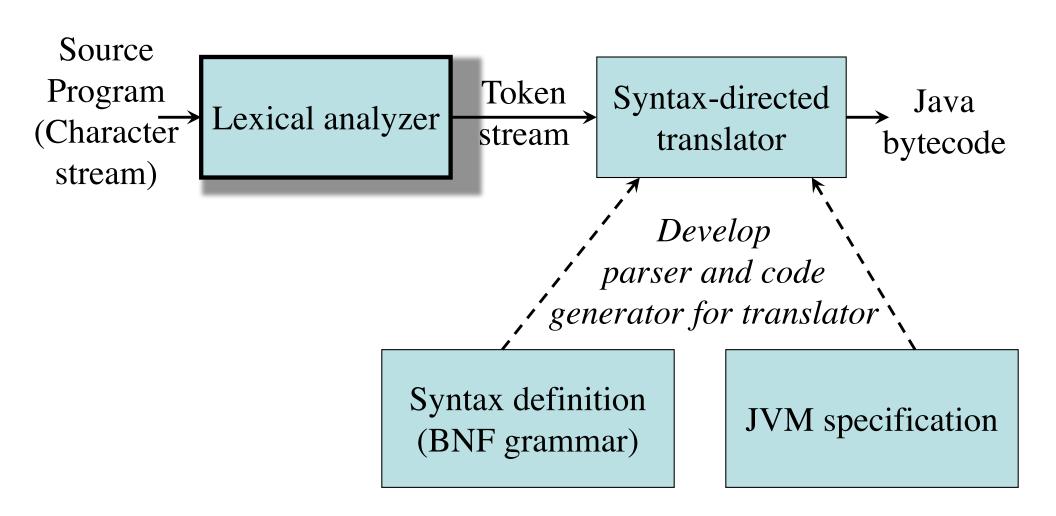
```
expr \rightarrow expr + term \quad \{ print("+") \}
expr \rightarrow expr - term \quad \{ print("-") \}
expr \rightarrow term
term \rightarrow \mathbf{0} \qquad \{ print("0") \}
term \rightarrow \mathbf{1} \qquad \{ print("1") \}
...
term \rightarrow \mathbf{9} \qquad \{ print("9") \}
```

After left recursion elimination:

```
expr \rightarrow term \ rest
rest \rightarrow + term \{ \ print("+") \} \ rest \mid - term \{ \ print("-") \} \ rest \mid \varepsilon
term \rightarrow 0 \{ \ print("0") \}
term \rightarrow 1 \{ \ print("1") \}
...
term \rightarrow 9 \{ \ print("9") \}
```

```
main()
                                                                                             44
                                             lookahead = getchar();
                                             expr();
                                        expr()
                                             term();
                                             while (1) /* optimized by inlining rest()
             expr \rightarrow term \ rest
                                                             and removing recursive calls */
                                                  if (lookahead == '+')
                                                      match('+'); term(); putchar('+');
rest \rightarrow + term \{ print("+") \} rest
       | - term { print("-") } rest
                                                  else if (lookahead == '-')
                                                      match('-'); term(); putchar('-');
       3
                                                  else break;
                                         term()
     term \rightarrow \mathbf{0} \{ print("0") \}
                                             if (isdigit(lookahead))
                                                  putchar(lookahead); match(lookahead);
     term \rightarrow 1 \{ print("1") \}
                                             else error();
     term \rightarrow 9 \{ print("9") \}
                                        match(int t)
                                             if (lookahead == t)
                                                  lookahead = getchar();
                                             else error();
                                        error()
                                             printf("Syntax error\n");
                                             exit(1);
```

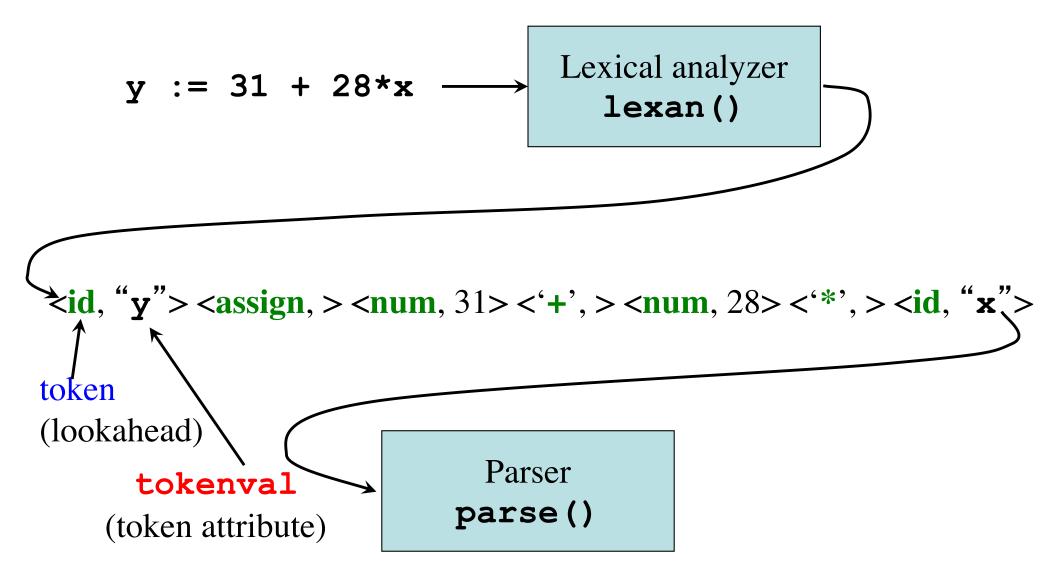
The Structure of our Compiler



Adding a Lexical Analyzer

- Typical tasks of the lexical analyzer:
 - Remove white space and comments
 - Encode constants as tokens
 - Recognize keywords
 - Recognize identifiers and store identifier names in a global symbol table

The Lexical Analyzer "lexer"



Token Attributes

```
factor \rightarrow (expr)
        | num { print(num.value) }
#define NUM 256 /* token returned by lexan */
factor()
    if (lookahead == '(')
       match('('); expr(); match(')');
    else if (lookahead == NUM)
        printf(" %d ", tokenval); match(NUM);
    else error();
```

Symbol Table

The symbol table is globally accessible (to all phases of the compiler)

```
Each entry in the symbol table contains a string and a token value:
struct entry
{    char *lexptr; /* lexeme (string) for tokenval */
    int token;
};
struct entry symtable[];
insert(s, t): returns array index to new entry for string s token t
lookup(s): returns array index to entry for string s or 0
```

Possible implementations:

- simple C code as in the project
- hashtables

Identifiers

```
factor \rightarrow (expr)
                   lid { print(id.string) }
#define ID 259 /* token returned by lexan() */
factor()
    if (lookahead == '(')
        match('('); expr(); match(')');
    else if (lookahead == ID)
        printf(" %s ", symtable[tokenval].lexptr);
        match(ID);
    else error();
                              provided by the lexer for ID
```

Handling Reserved Keywords

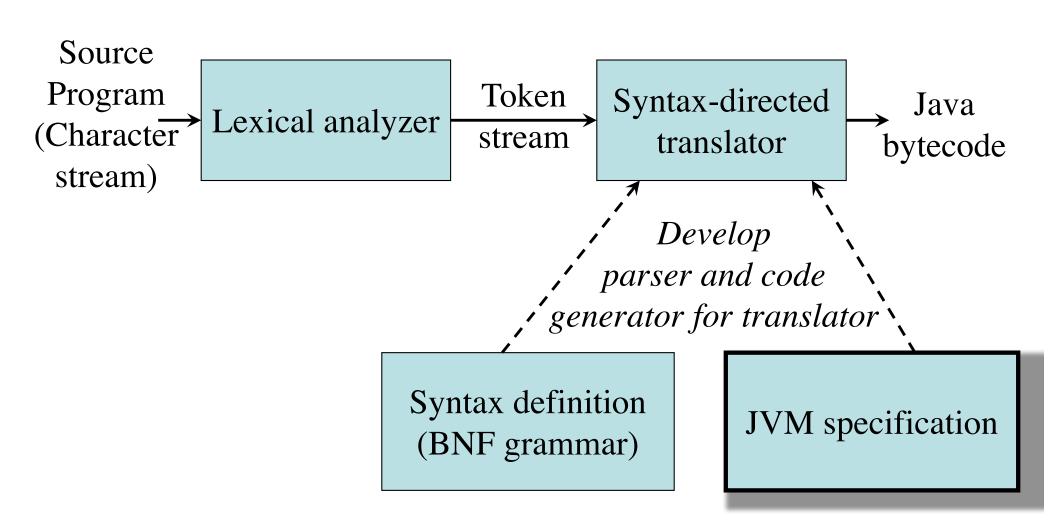
We simply initialize the global symbol table with the set of keywords

```
/* global.h */
#define DIV 257 /* token */
#define MOD 258 /* token */
#define ID 259 /* token */
/* init.c */
insert("div", DIV);
insert("mod", MOD);
/* lexer.c */
int lexan()
    tokenval = lookup(lexbuf);
    if (tokenval == 0) /* not found */
        tokenval = insert(lexbuf, ID);
    return symtable[p].token;
```

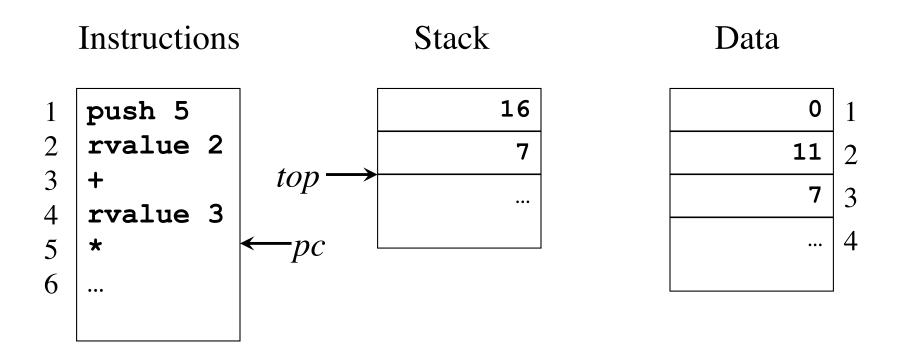
Handling Reserved Keywords (cont'd)

```
morefactors → div factor { print('DIV') } morefactors
             | mod factor { print( 'MOD' ) } morefactors
/* parser.c */
morefactors()
    if (lookahead == DIV)
        match(DIV); factor(); printf("DIV"); morefactors();
    else if (lookahead == MOD)
        match(MOD); factor(); printf("MOD"); morefactors();
    else
```

The Structure of our Compiler



Abstract Stack Machines



Generic Instructions for Stack Manipulation

```
push constant value v onto the stack
push v
rvalue l push contents of data location l
lvalue l
           push address of data location l
             discard value on top of the stack
pop
             the r-value on top is placed in the l-value below it
               and both are popped
             push a copy of the top value on the stack
copy
             add value on top with value below it
               pop both and push result
             subtract value on top from value below it
               pop both and push result
*, /, ... ditto for other arithmetic operations
<, &, ... ditto for relational and logical operations
```

Generic Control Flow Instructions

label *l* label instruction with *l*

goto l jump to instruction labeled l

gofalse l pop the top value, if zero then jump to l

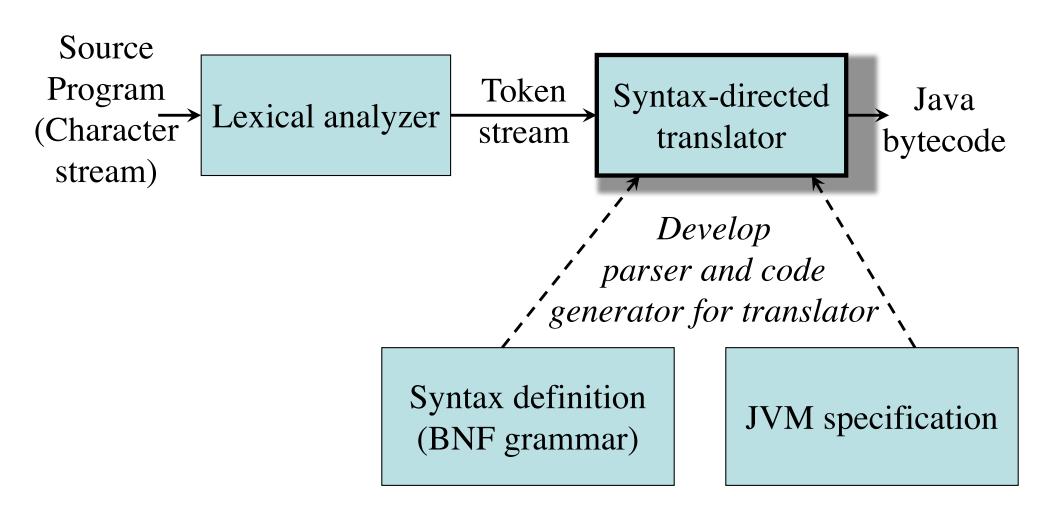
gotrue l pop the top value, if nonzero then jump to l

halt stop execution

jsr l jump to subroutine labeled l, push return address

return pop return address and return to caller

The Structure of our Compiler

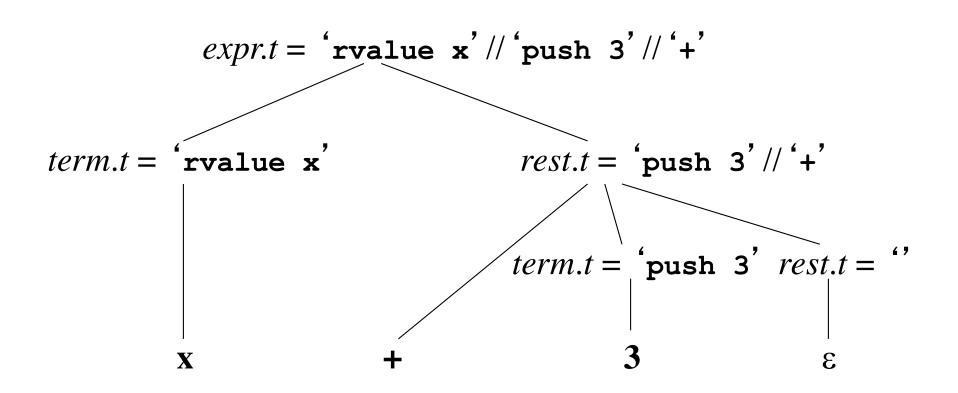


Translation of Expressions to Abstract Machine Code

To produce code by string concatenation, we augment the left-factored and left-recursion-eliminated grammar for expressions as follows:

```
expr \rightarrow term \ rest \ \{ \ expr.t := term.t \ | \ rest.t \ \}
rest \rightarrow + term \ rest_1 \ \{ \ rest.t := term.t \ | \ '+' \ | \ rest_1.t \ \}
rest \rightarrow - term \ rest_1 \ \{ \ rest.t := term.t \ | \ '-' \ | \ rest_1.t \ \}
rest \rightarrow \varepsilon \ \{ \ rest.t := \ ' \ \}
term \rightarrow \mathbf{num} \ \{ \ term.t := \ '\mathbf{push} \ ' \ | \ \mathbf{num}.value \ \}
term \rightarrow \mathbf{id} \ \{ \ term.t := \ '\mathbf{rvalue} \ ' \ | \ '\mathbf{id}.lexeme \ \}
```

Syntax-Directed Translation of Expressions (cont' d)



```
As an alternative to producing code by string
concatenation, we can emit code "on the fly" as follows
        expr \rightarrow term\ more terms
 moreterms \rightarrow + term \{ print('+') \} moreterms
 moreterms → - term { print('-') } moreterms
 moreterms \rightarrow \epsilon
        term \rightarrow factor\ morefactors
morefactors → * factor { print('*') } morefactors
morefactors → div factor { print('piv') } morefactors
morefactors → mod factor { print('MOD') } morefactors
morefactors \rightarrow \varepsilon
      factor \rightarrow (expr)
      factor → num { print('push' // num.value) }
      factor → id { print('rvalue' // id.lexeme) }
```

```
stmt → id := { print('lvalue ' // id.lexeme) } expr { print(':=') }
```

lvalue id.lexeme

code for expr

:=

```
stmt → if expr { out := newlabel(); print('gofalse' // out) }
then stmt { print('label' // out) }
```

code for expr

gofalse out

code for stmt

label out

```
stmt \rightarrow while { test := newlabel(); print( 'label ' // test) }
    expr { out := newlabel(); print( 'gofalse ' // out) }
    do stmt { print( 'goto ' // test // 'label ' // out ) }
```

label test

code for expr

gofalse out

code for stmt

goto test

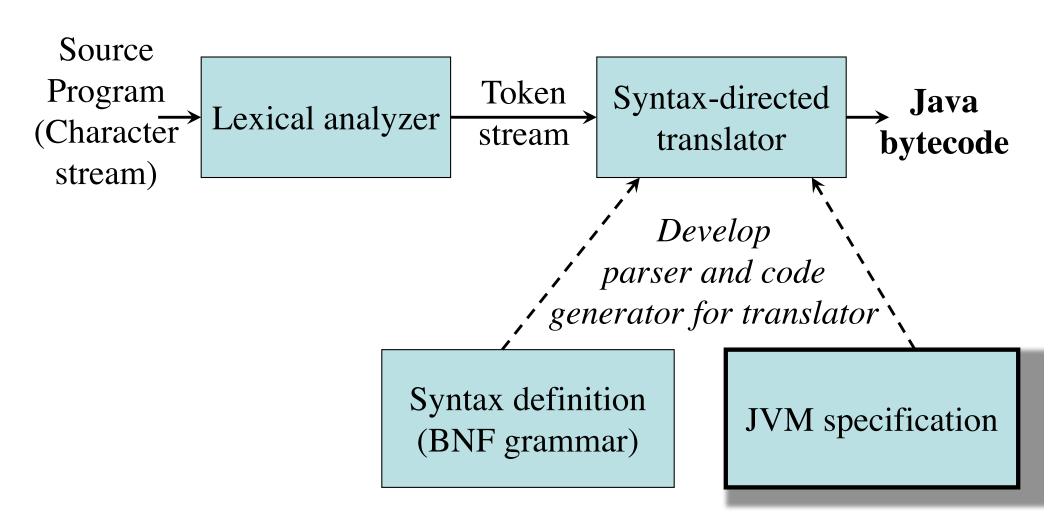
label out

```
start \rightarrow stmt \{ print('halt') \}

stmt \rightarrow begin opt\_stmts end

opt\_stmts \rightarrow stmt ; opt\_stmts \mid \epsilon
```

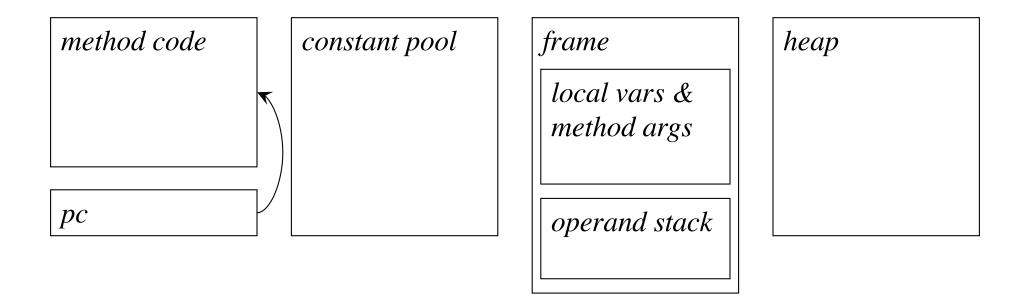
The Structure of our Compiler



The JVM

- Abstract stack machine architecture
 - Emulated in software with JVM interpreter
 - Just-In-Time (JIT) compilers
 - Hardware implementations available
- Java *bytecode*
 - Platform independent
 - Small
 - Safe
- The JavaTM Virtual Machine Specification http://docs.oracle.com/javase/specs/

Runtime Data Areas (§ 2.5)



Constant Pool (§ 2.5.5)

- Serves a function similar to that of a symbol table
- Contains several kinds of constants
- Method and field references, strings, float constants, and integer constants larger than 16 bit (because these cannot be used as operands of bytecode instructions and must be loaded on the operand stack from the constant pool)
- Java bytecode verification is a pre-execution process that checks the consistency of the bytecode instructions and constant pool

Frames (§ 2.6)

- A new *frame* (also known as *activation record*) is created each time a method is invoked
- A frame is destroyed when its method invocation completes
- Each frame contains an array of variables known as its *local variables* indexed from 0
 - Local variable 0 is "this" (unless the method is static)
 - Followed by method parameters
 - Followed by the local variables of blocks
- Each frame contains an *operand stack*

Data Types (§ 2.2, § 2.3, § 2.4)

byte a 8-bit signed two's complement integer

short a 16-bit signed two's complement integer

int a 32-bit signed two's complement integer

long a 64-bit signed two's complement integer

char a 16-bit Unicode character

float a 32-bit IEEE 754 single-precision float value

double a 64-bit IEEE 754 double-precision float value

boolean a virtual type only, int is used to represent true (1) false (0)

returnAddress the location of the pc after method invocation

reference a 32-bit address reference to an object of *class type*,

array type, or interface type (value can be NULL)

Operand stack has 32-bit slots, thus **long** and **double** occupy two slots

Instruction Set (§ 2.11, § 6)

opcode	byte	short	int	long	float	double	char	reference
Tipush	bipush	sipush						
Tconst			iconst	lconst	fconst	dconst		aconst
Tload			iload	lload	fload	dload		aload
Tstore			istore	lstore	fstore	dstore		astore
Tinc			iinc					
Taload	baload	saload	iaload	laload	faload	daload	caload	aaload
Tastore	bastore	sastore	iastore	lastore	fastore	dastore	castore	aastore
Tadd			iadd	ladd	fadd	dadd		
Tsub			isub	lsub	fsub	dsub		
Tmul			imul	lmul	fmul	dmul		
Tdiv			idiv	ldiv	fdiv	ddiv		
Trem			irem	lrem	frem	drem		
Tneg			ineg	lneg	fneg	dneg		
Tshl			ishl	lshl				
Tshr			ishr	lshr				
Tushr			iushr	lushr				
Tand			iand	land				
Tor			ior	lor				
Txor			ixor	lxor				
i2T	i2b	i2s		i2l	i2f	i2d		
l2T			l2i		l2f	l2d		
f2T			f2i	f2l		f2d		
d2T			d2i	d2l	d2f			
Тстр				lcmp				
Tcmpl					fcmpl	dcmpl		
Tempg					fcmpg	dcmpg		
if_TcmpOP			if_icmpOP					if_acmpOP
Treturn			ireturn	lreturn	freturn	dreturn		areturn

Actual Type	Computational Type	Category	
<u>boolean</u>	int	category 1	
<u>byte</u>	int	category 1	
<u>char</u>	int	category 1	
<u>short</u>	int	category 1	
int	int	category 1	
float	float	category 1	
reference	reference	category 1	
returnAddress	returnAddress	category 1	
long	long	category 2	
double	double	category 2	

The Class File Format (§ 4)

- A class file consists of a stream of 8-bit bytes
- 16-, 32-, and 64-bit quantities are stored in 2, 4, and 8 consecutive bytes in *big-endian* order
- Contains several components, including:
 - Magic number 0xCAFEBABE
 - Version info
 - Constant pool
 - "This" (self) and super class refs (indexed in the pool)
 - Class fields
 - Class methods

javac, javap, java

Hello.java

```
import java.lang.*;
public class Hello
{ public static void main(String[] arg)
  { System.out.println("Hello World!");
              Compiler
                                      Hello.class
          javac Hello.java
                         Disassembler
                                                     JVM
                        javap -c Hello
                                                  java Hello
```

javap -c Hello

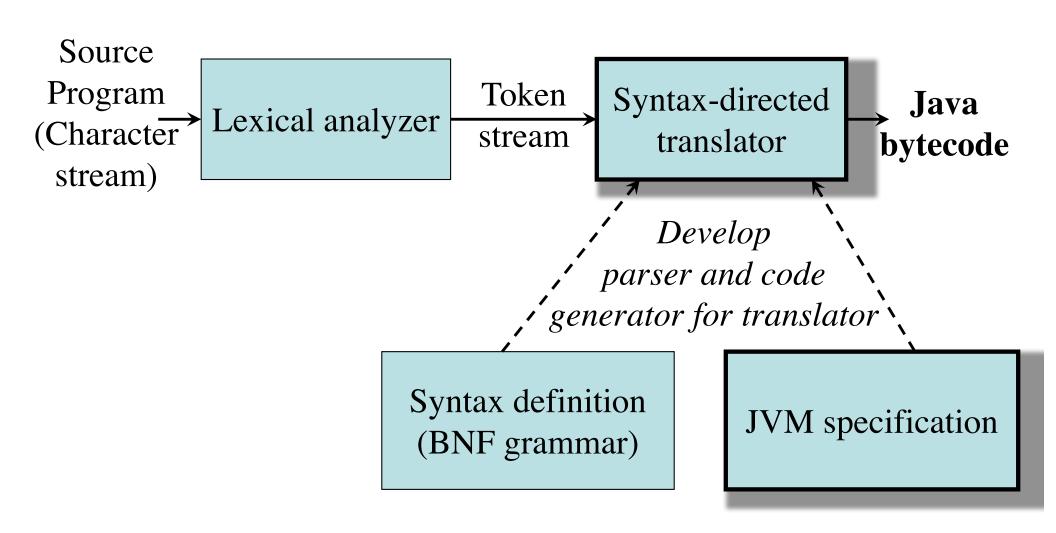
```
Local variable 0 = "this"
                                   Index into constant pool
                                                                Method descriptor
  Compiled from "Hello.java"
  public class Hello extends java.lang.Object{
  public Helld();
    Code:
          aload 0
     0:
                          #1; //Method java/lang/Object."<init>":() V
     1:
          invokespecial
     4:
          return
  public static void main(java.lang.String[]);
    Code:
     0:
          getstatic
                          #2; //Field java/lang/System.out:Ljava/io/PrintStream;
     3:
          ldc
                          #3; //String Hello World!
                          #4; //Method java/io/PrintStream.println: (Ljava/lang/String;) V
     5:
          invokevirtual
     8:
          return
  }
                                             Field descriptor
            String literal
```

Field/Method Descriptors (§ 4.3)

FieldType:

BaseType Character	Type	Interpretation
В	<u>byte</u>	signed byte
C	char	Unicode character
D	double	double-precision floating-point value
F	float	single-precision floating-point value
I	int	integer
J	long	long integer
L <classname>;</classname>	reference	an instance of class <a hr<="" td="">
S	short	signed short
Z	boolean	true or false
1	reference	one array dimension

The Structure of our Compiler



Generating Code for the JVM

```
expr \rightarrow term\ more terms
 moreterms \rightarrow + term \{ emit(iadd) \} moreterms
 moreterms \rightarrow - term \{ emit(isub) \} moreterms
 moreterms \rightarrow \varepsilon
         term \rightarrow factor\ morefactors
morefactors \rightarrow * factor \{ emit(imul) \} morefactors
morefactors → div factor { emit(idiv) } morefactors
morefactors → mod factor { emit(irem) } morefactors
more factors \rightarrow \varepsilon
       factor \rightarrow (expr)
       factor \rightarrow int16 \{ emit3(sipush, int16.value) \}
       factor \rightarrow id \{ emit2(iload, id.index) \}
```

Generating Code for the JVM (cont'd)

```
stmt \rightarrow id := expr \{ emit2(istore, id.index) \}
```

code for expr

istore id.index

```
stmt \rightarrow if \ expr \ \{ \ emit(iconst\_0); \ loc := pc; \\ emit3(if\_icmpeq, 0) \ \} 
then stmt \ \{ \ backpatch(loc, pc-loc) \}
```

code for expr

iconst_0

loc: if_icmpeq off_l off_2

code for stmt

pc:

backpatch() sets the offsets of the relative branch when the target *pc* value is known