

# CS 60002: Distributed Systems

## T7: Fault Tolerance

Department of Computer Science  
and Engineering



INDIAN INSTITUTE OF TECHNOLOGY  
KHARAGPUR



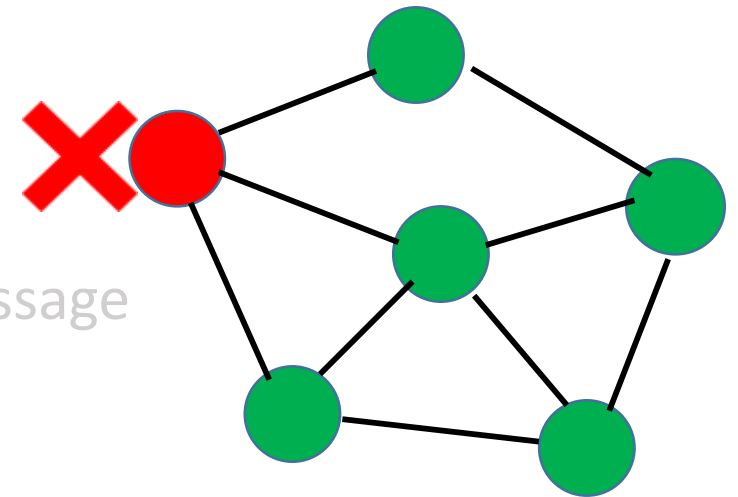
Sandip Chakraborty  
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# Networks and Failures

- Synchronous vs Asynchronous Networks
  - **Synchronous:** I am sure that I'll get the message within a predefined time threshold
  - **Asynchronous:** I am not sure whether and when the message will arrive
- Failures in a network --
  - **Crash Fault:** A node stops responding
  - **Link Fault** (or Network Fault): A link fails to deliver the message
  - **Byzantine Fault:** A node starts behaving maliciously

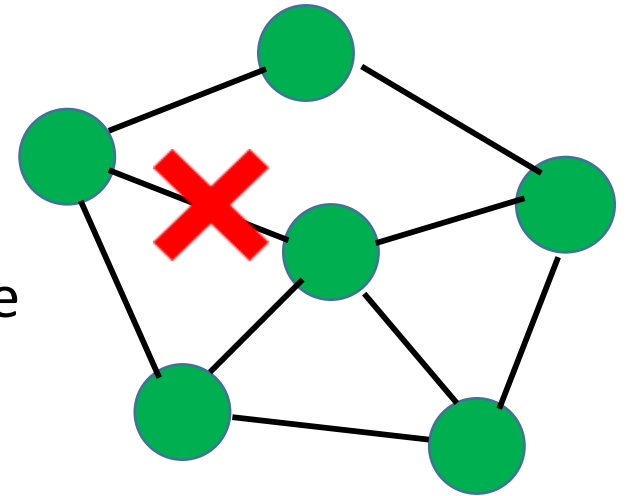
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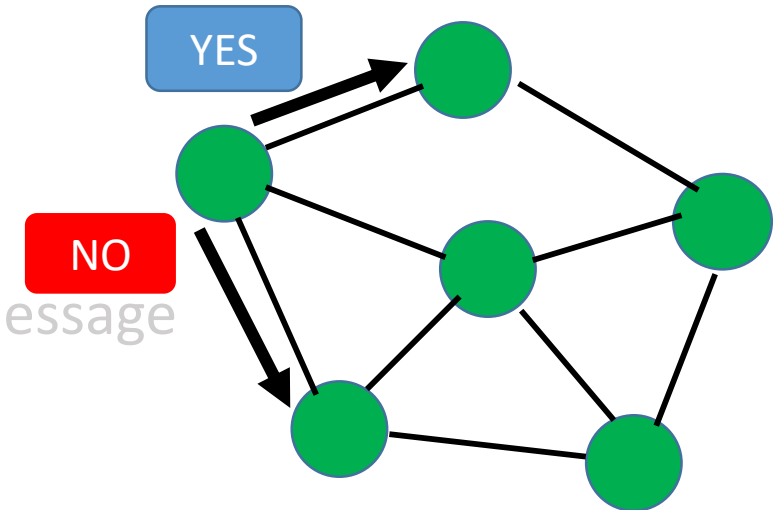
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# Dependability in the Presence of Failures

- A measure of how dependable a system is in the face of failures

These slides are taken from the Distributed Systems course by Prof. Arobinda Gupta, IIT Kharagpur

# Dependability in the Presence of Failures

- A measure of how dependable a system is in the face of failures
  - Reliability
  - Availability
  - Safety

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# Dependability in the Presence of Failures

- A measure of how dependable a system is in the face of failures
  - Reliability
  - Availability
  - Safety
- **Reliability**
  - How often does the system fail?
  - What is the conditional probability that the system will work for the duration  $[0,t]$  given that it is working at time zero?
  - **Measured by:** **MTTF** (Mean Time To Failures), **MTTR** (Mean Time To Repair), **MTBF** (Mean Time Between Failures =  $MTTF + MTTR$ )

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# Dependability in the Presence of Failures

- **Availability**

- How available the system is
- What is the probability that the system is up at time  $t$ ?
- Usually measured by uptime (ex. 99%, maximum downtime of 5 hours in 1 year, etc.)

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- **Availability**

- How available the system is
- What is the probability that the system is up at time  $t$ ?
- Usually measured by uptime (ex. 99%, maximum downtime of 5 hours in 1 year, etc.)

- **Safety**

- How safe the system is, even if it fails
- Does it always maintain some safety property?

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# Reliability vs Availability

- A highly reliable system is also highly available
- A highly available system may or may not be highly reliable
  - Ex: If a system fails for 1 second every hour, it can still be considered highly available (99.97%) but not highly reliable
- The reliability of a system depends on the reliability of the components used to build the system
- Reliability/Availability can be of interest at different component levels
  - A memory chip
  - A disk controller with memory
  - A PC with disks
  - A cluster with a large number of PCs

# Fault Tolerance

- The ability of a system to deliver desired services in spite of faults in its components
- Fault tolerance can be at the level of
  - A full service (specified behavior in fault-free state); ex. A primary-backup server system to tolerate one server failure
  - A degraded service (deviate from the specified behavior in fault-free state, but in a pre-defined manner); ex. A web service with multiple load balanced servers

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  - A degraded service (deviate from the specified behavior in fault-free state, but in a pre-defined manner); ex. A web service with multiple load balanced servers
- Many modern distributed system needs to be highly available
  - Gmail
  - Facebook
  - Airline reservation system

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# Types of Fault Tolerance

- **Masking:** Always behave as per specification even in the presence of faults in the system
- **Non-masking:** System may violate specification in presence of faults, but behave in a well-defined manner
- A fault tolerant system should specify
  - Class of faults tolerated (**Fault Model**)
  - What tolerance is given for each class (**Fault Tolerance**)

# Primitive Operations for Fault Tolerance

- Building reliable storage from unreliable disks
  - RAID
  - Centralized network storage
- Reliable communication over unreliable links
  - Unicast, multicast, broadcast
- Agreement/Consensus
- Enforce atomic actions
- Checkpoint and Recovery

# Agreement Problem

- A set of  $n$  processes,  $m$  of them may be faulty
- Non-faulty processes need to agree on some value(s) even in the presence of faulty processes
- One of the most studied problems in Distributed System
  - Agreement (Typically used for handling Byzantine faults, so use the term **Byzantine agreement** or **Byzantine Generals Problem**)
  - Consensus
  - Interactive Consistency
- All three problems are equivalent; solution of any one of them can be used to solve the other two



# Agreement Protocol

- One process  $x$  broadcast a value  $v$
- All non-faulty processes must agree on a common value (**agreement condition**)
- The agreed upon value must be  $v$  if  $x$  is non-faulty (**validity condition**)
- This idea is used to solve the **Byzantine Generals Problem** → **Byzantine Agreement Protocols**

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# Byzantine Generals Problem



Commander



Lieutenant - 1

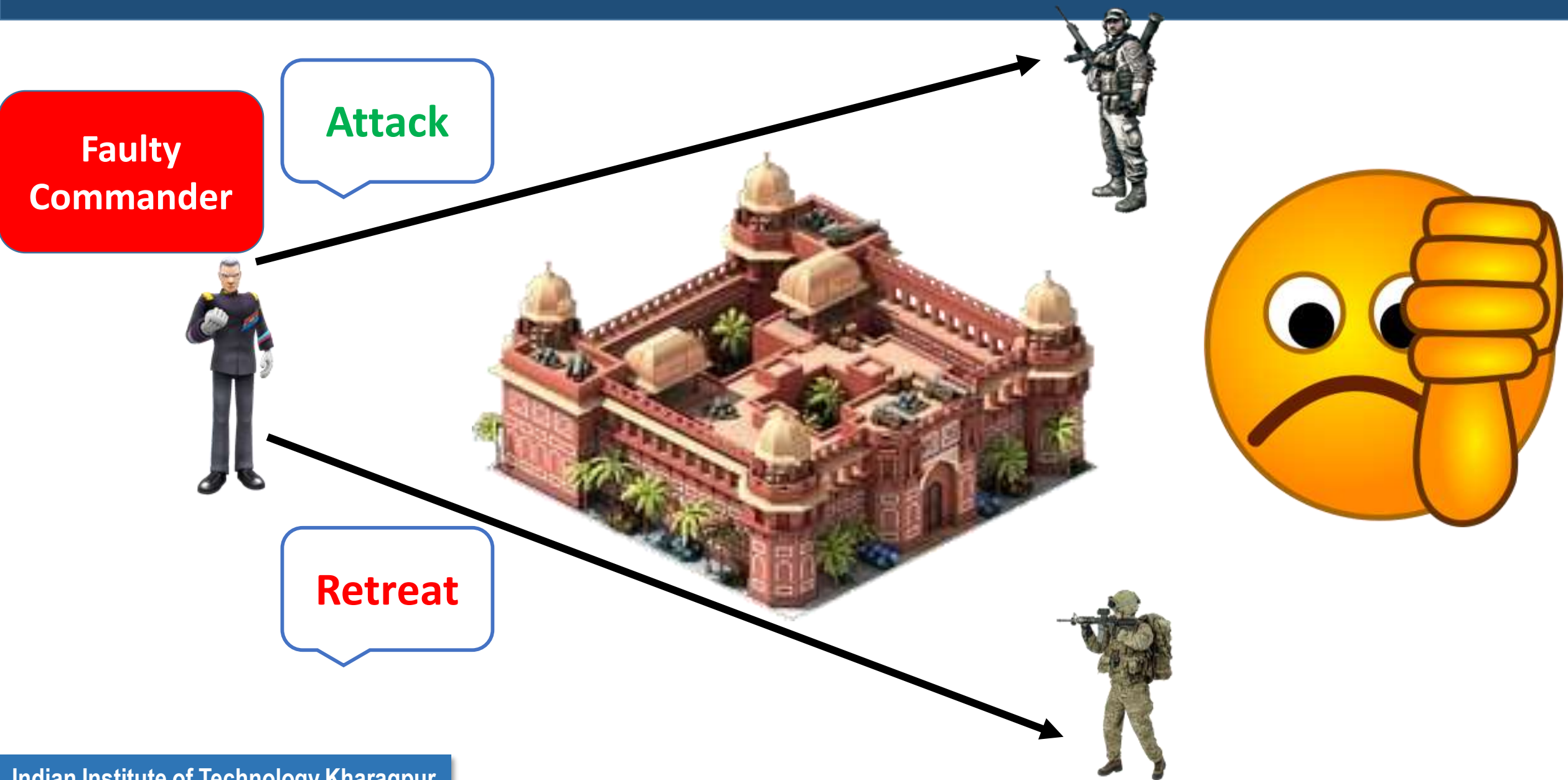


Lieutenant - 2

# Byzantine Generals Problem

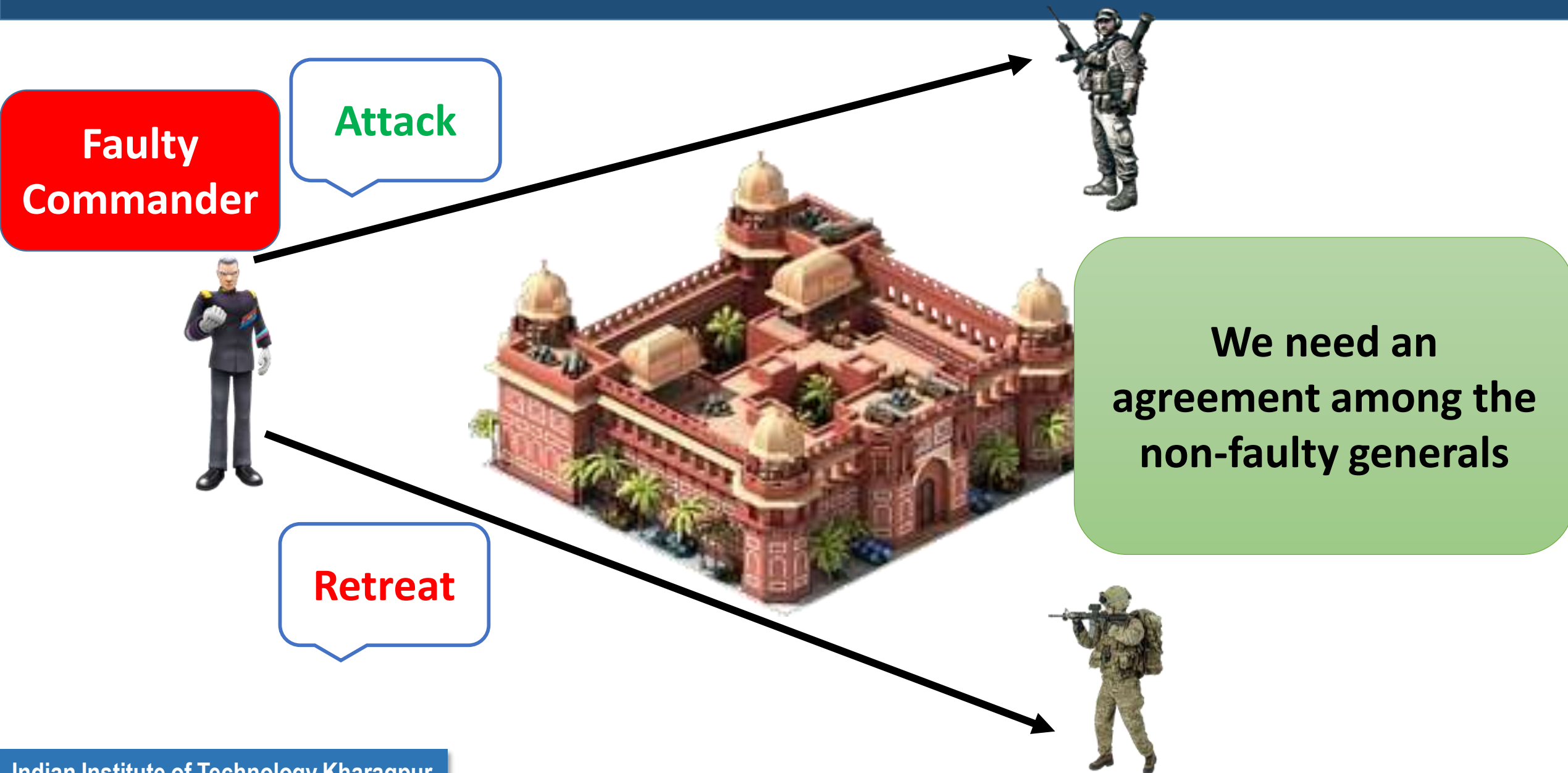


# Byzantine Generals Problem





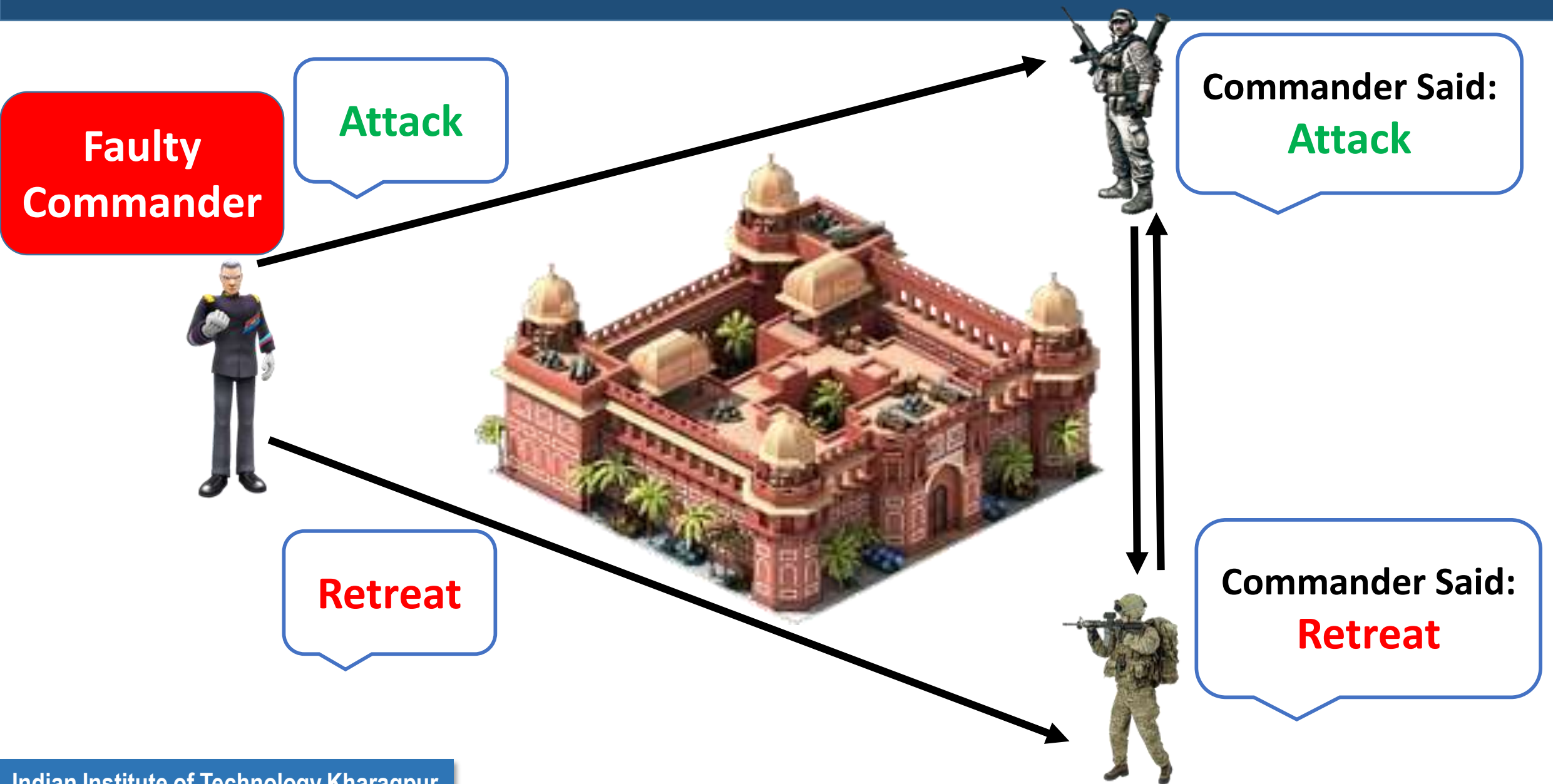
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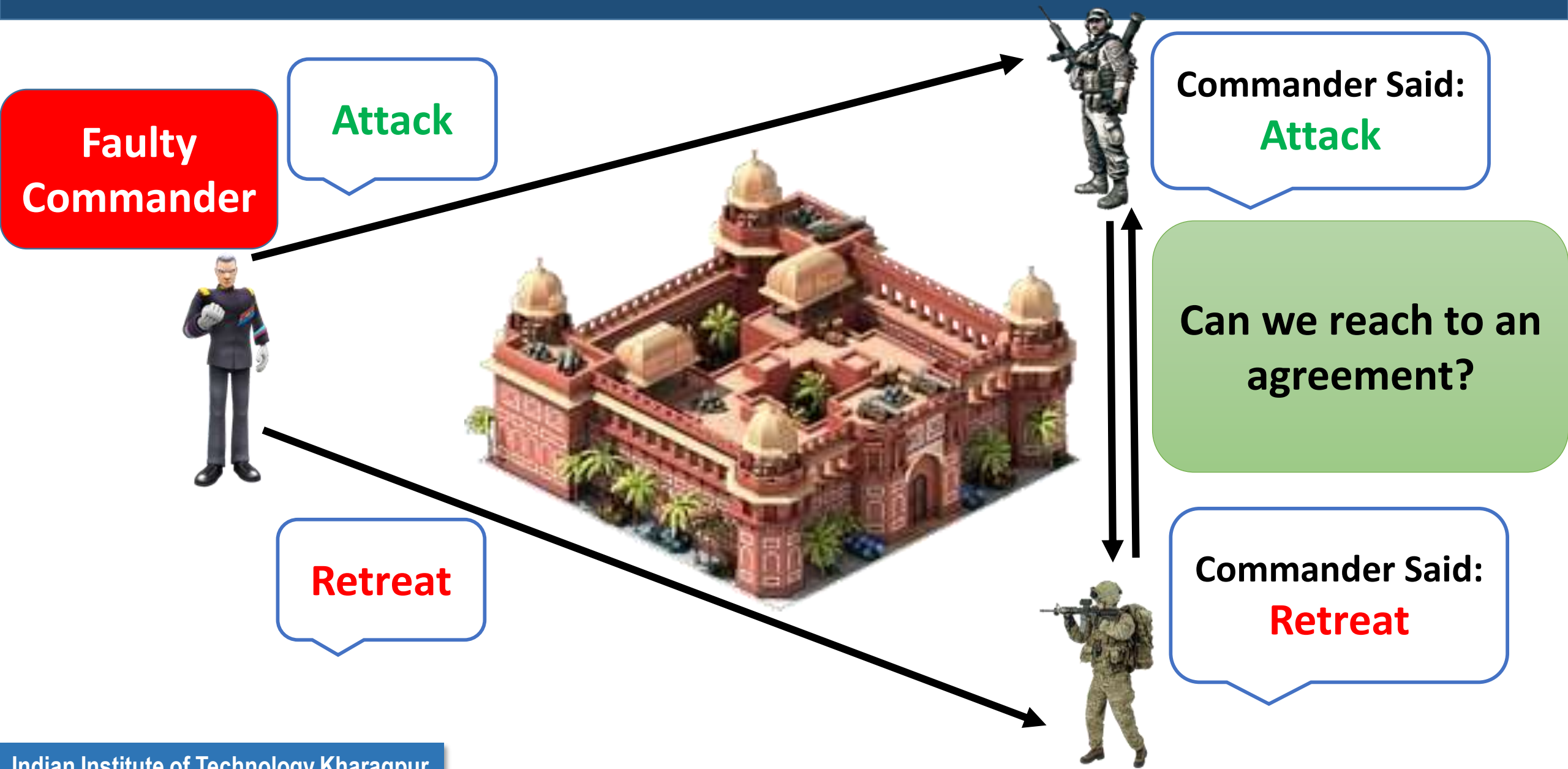
# Byzantine Generals Problem



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# Byzantine Generals Problem

Good  
Commander

Attack



Attack



# Byzantine Generals Problem

Good  
Commander

Attack



The agreement protocol  
solves this problem!

Attack



Commander Said:  
**Attack**

Commander Said:  
**Retreat**



**Faulty Lieutenant**

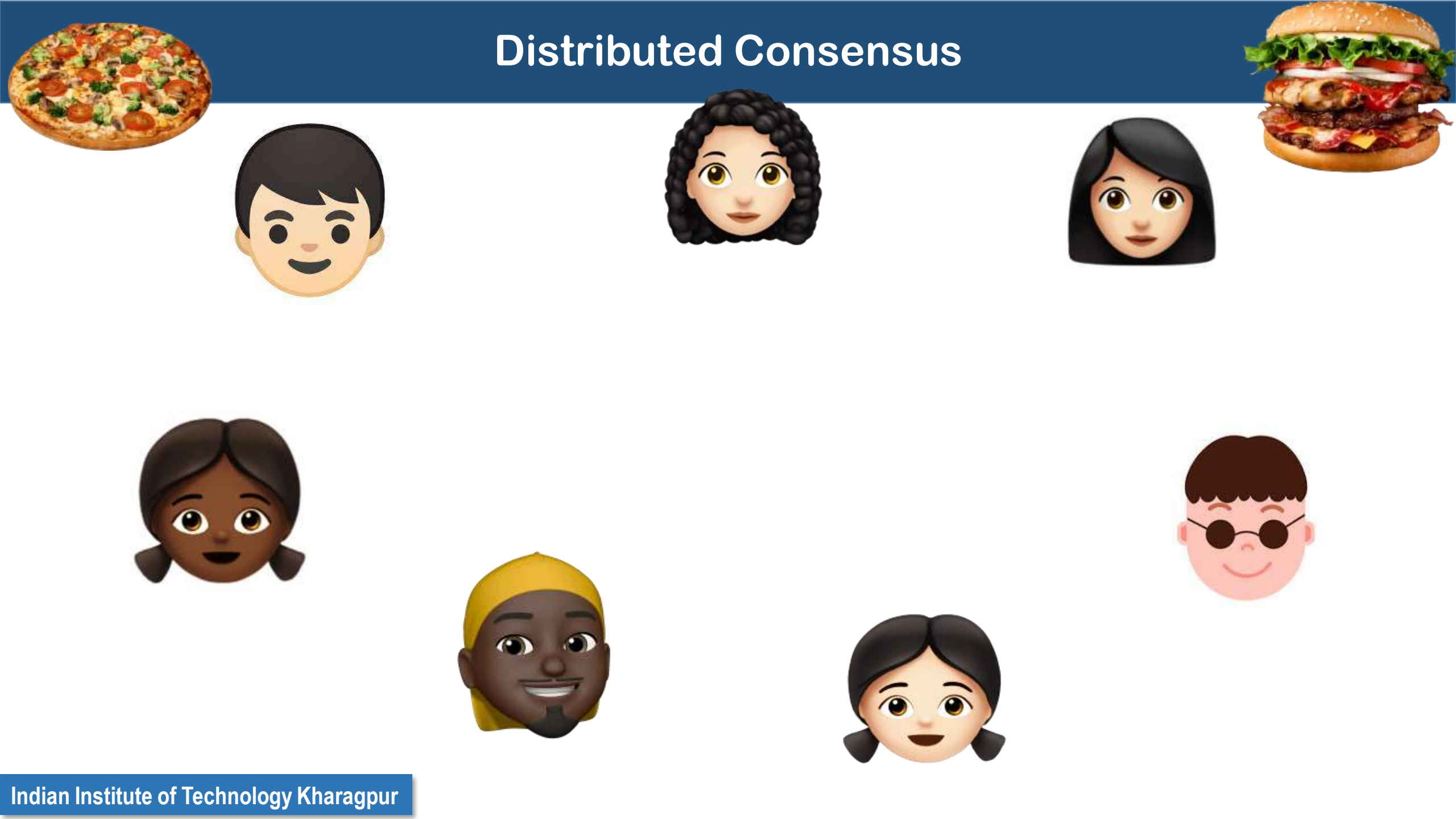
# Consensus

- Each process broadcast its initial value
  - Satisfy agreement condition
  - If initial value of all non-faulty processes is  $v$ , then the agreed upon value must be  $v$

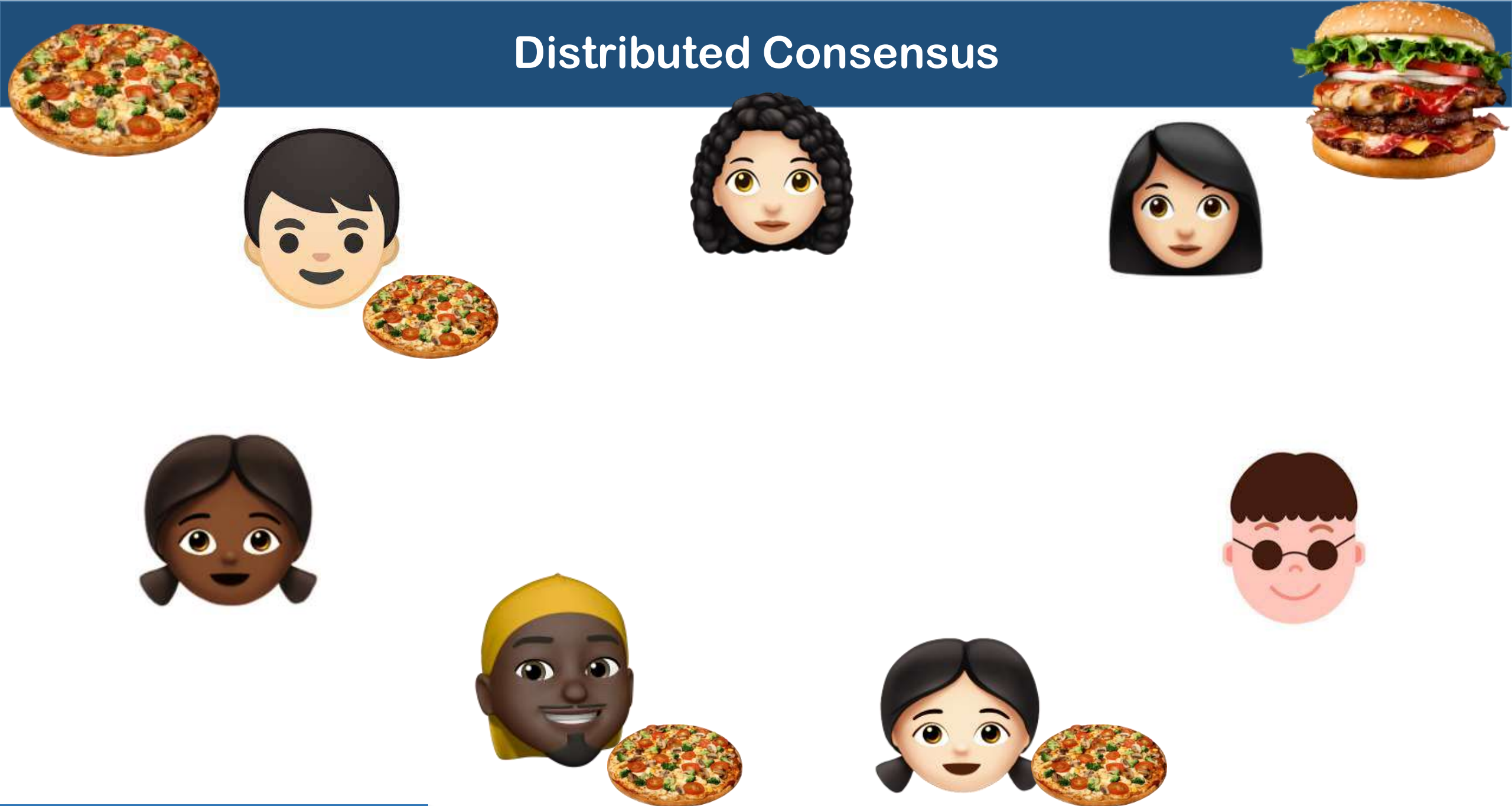
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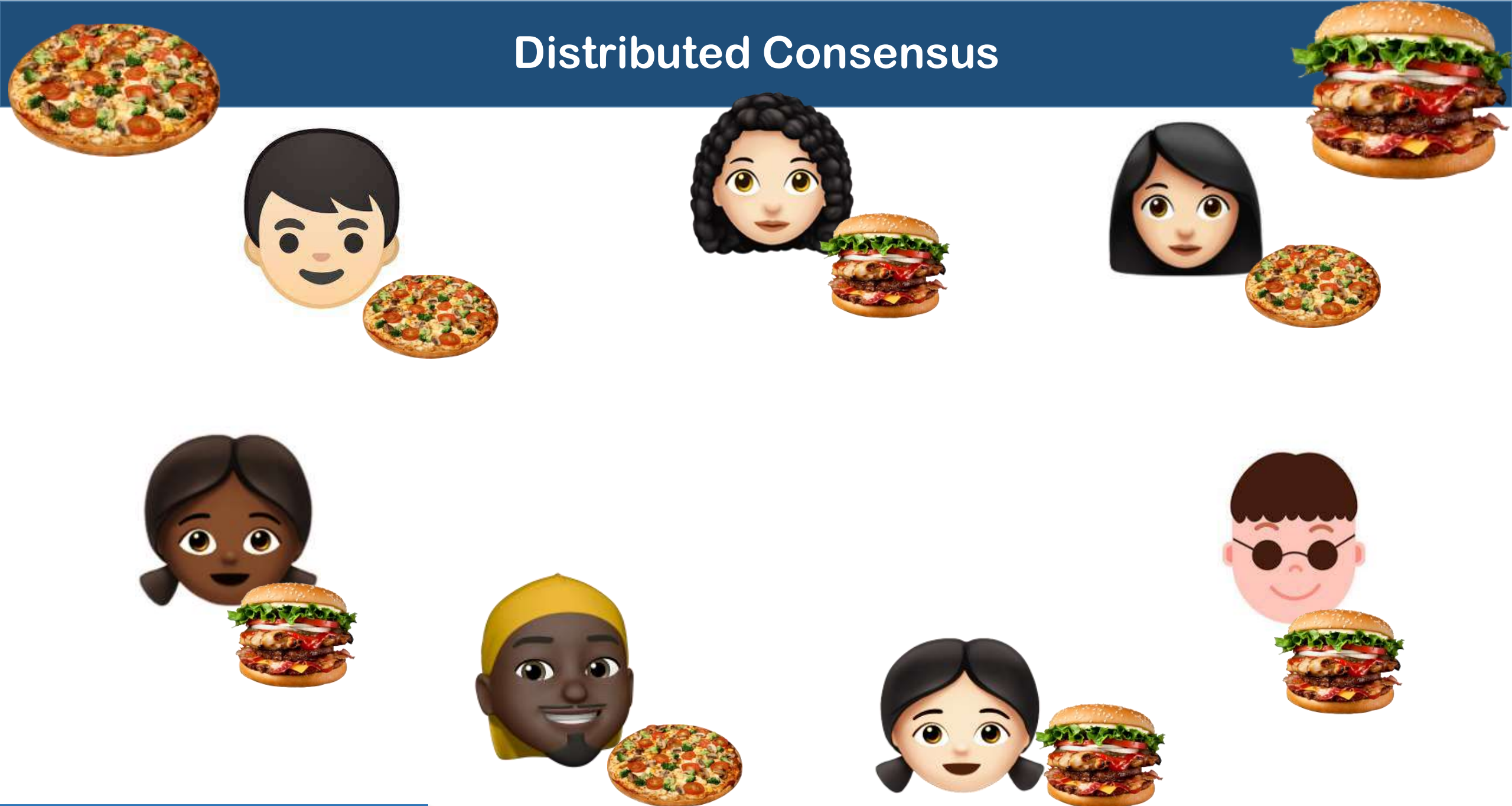


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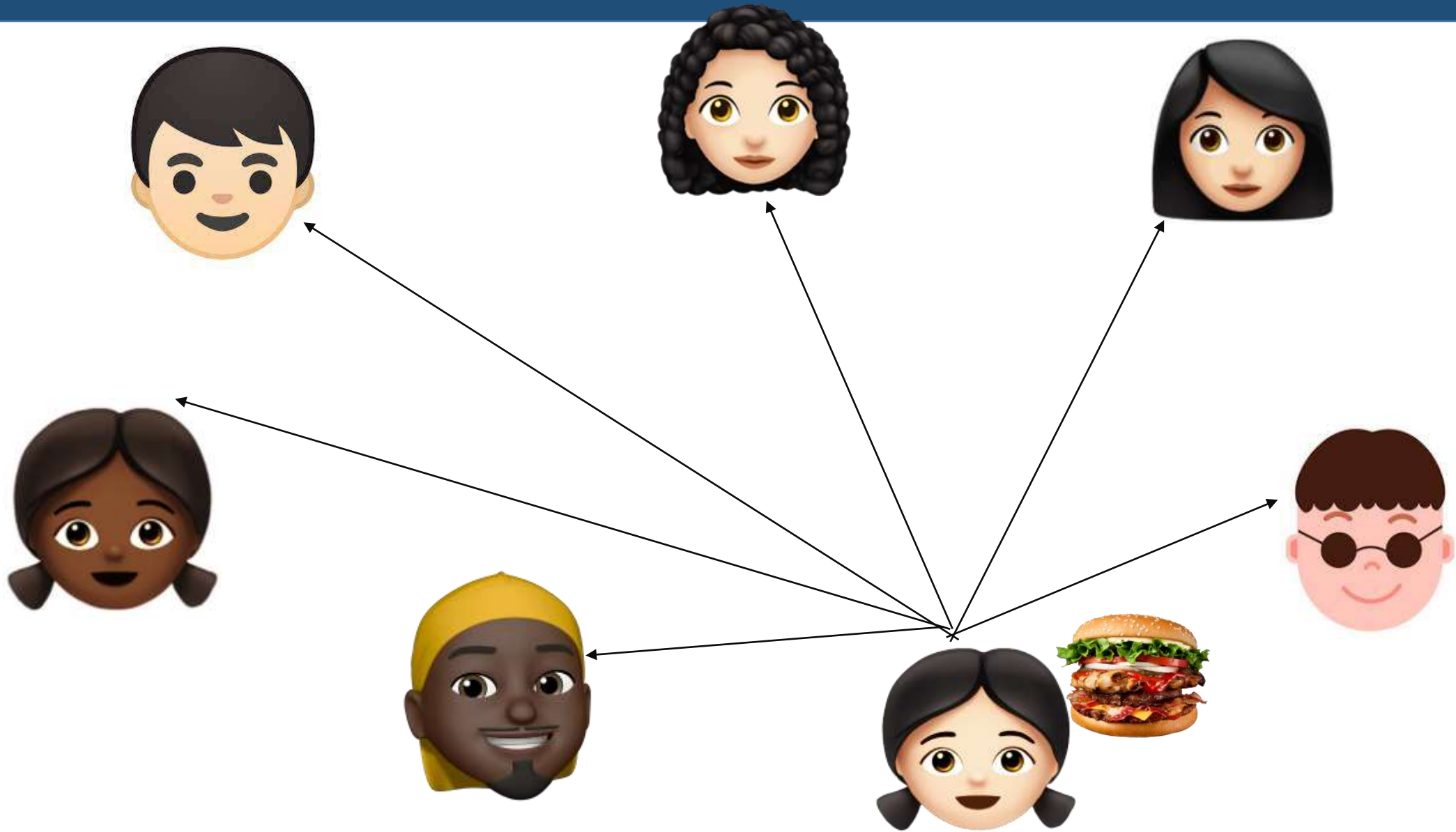
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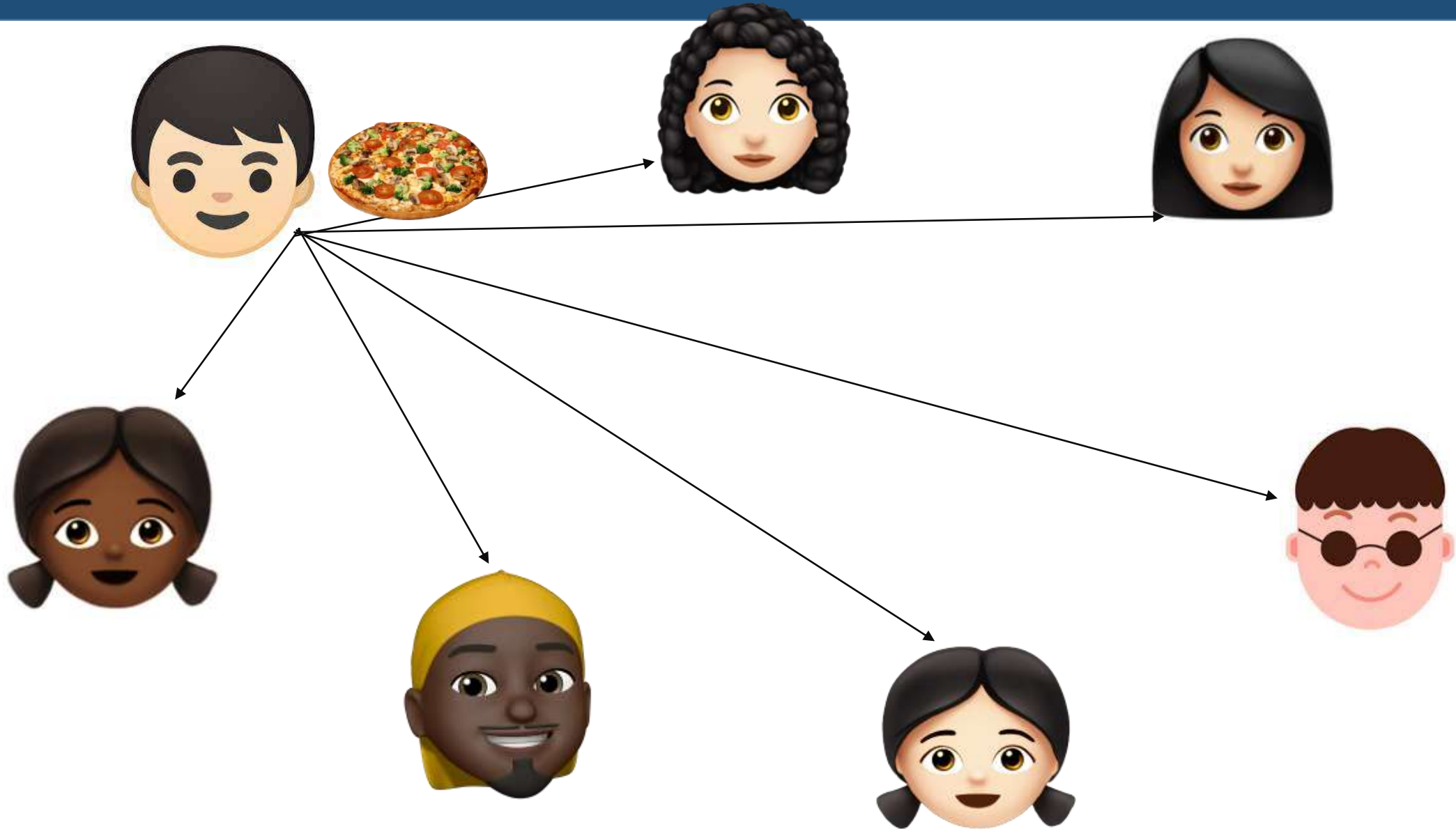
How can we make this  
decision in a distributed  
way?



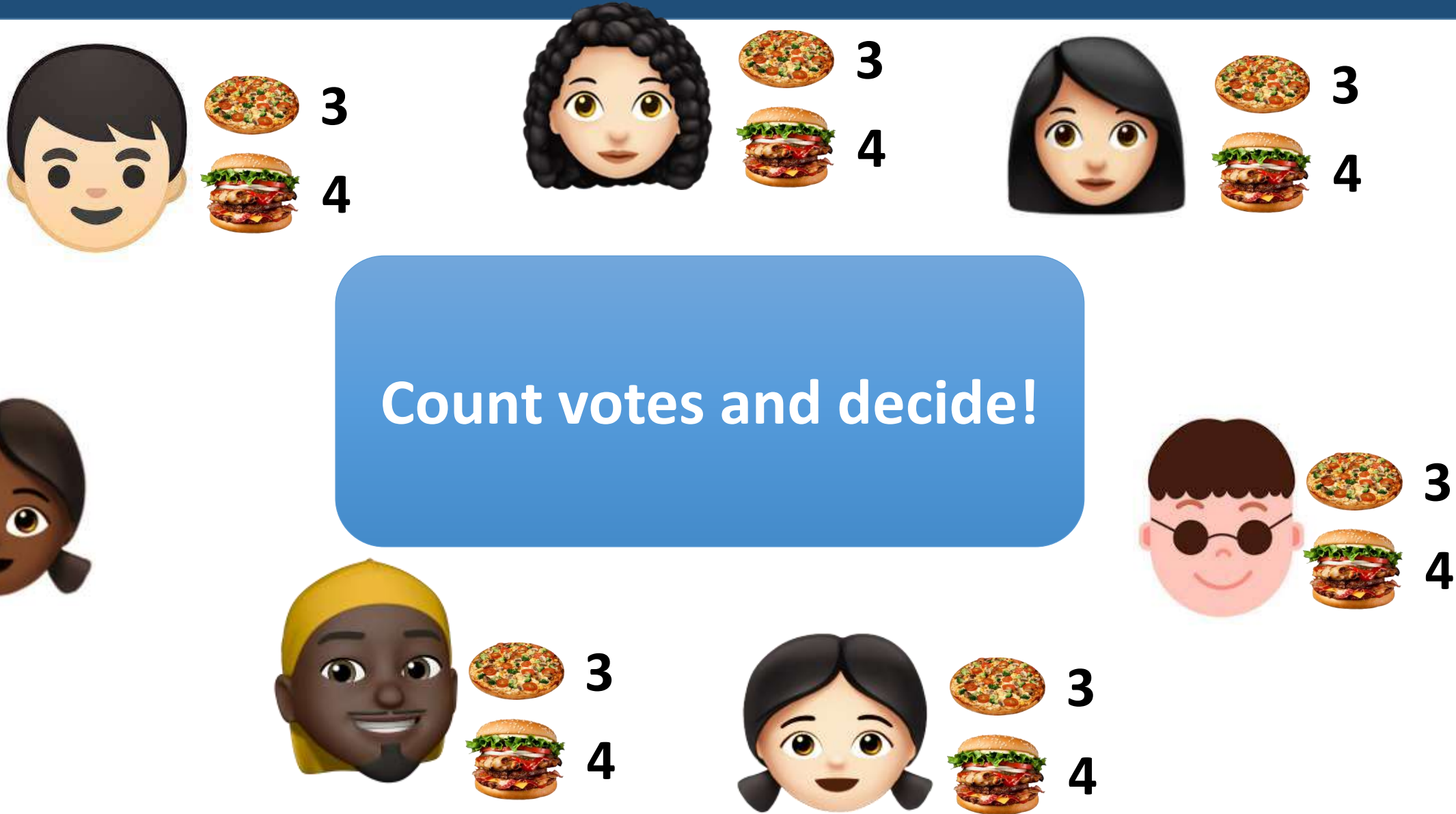
# Distributed Consensus – Message Passing



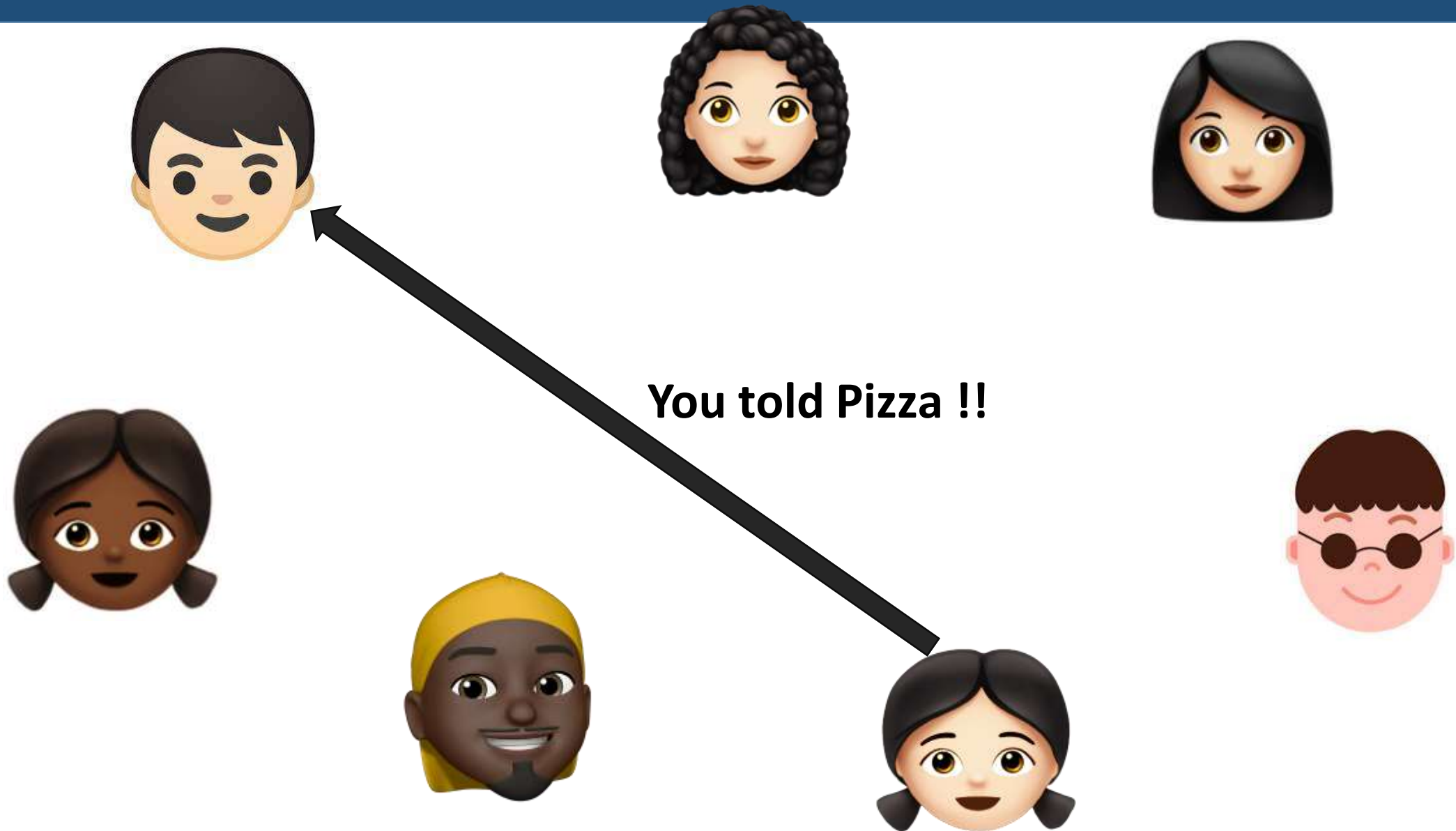
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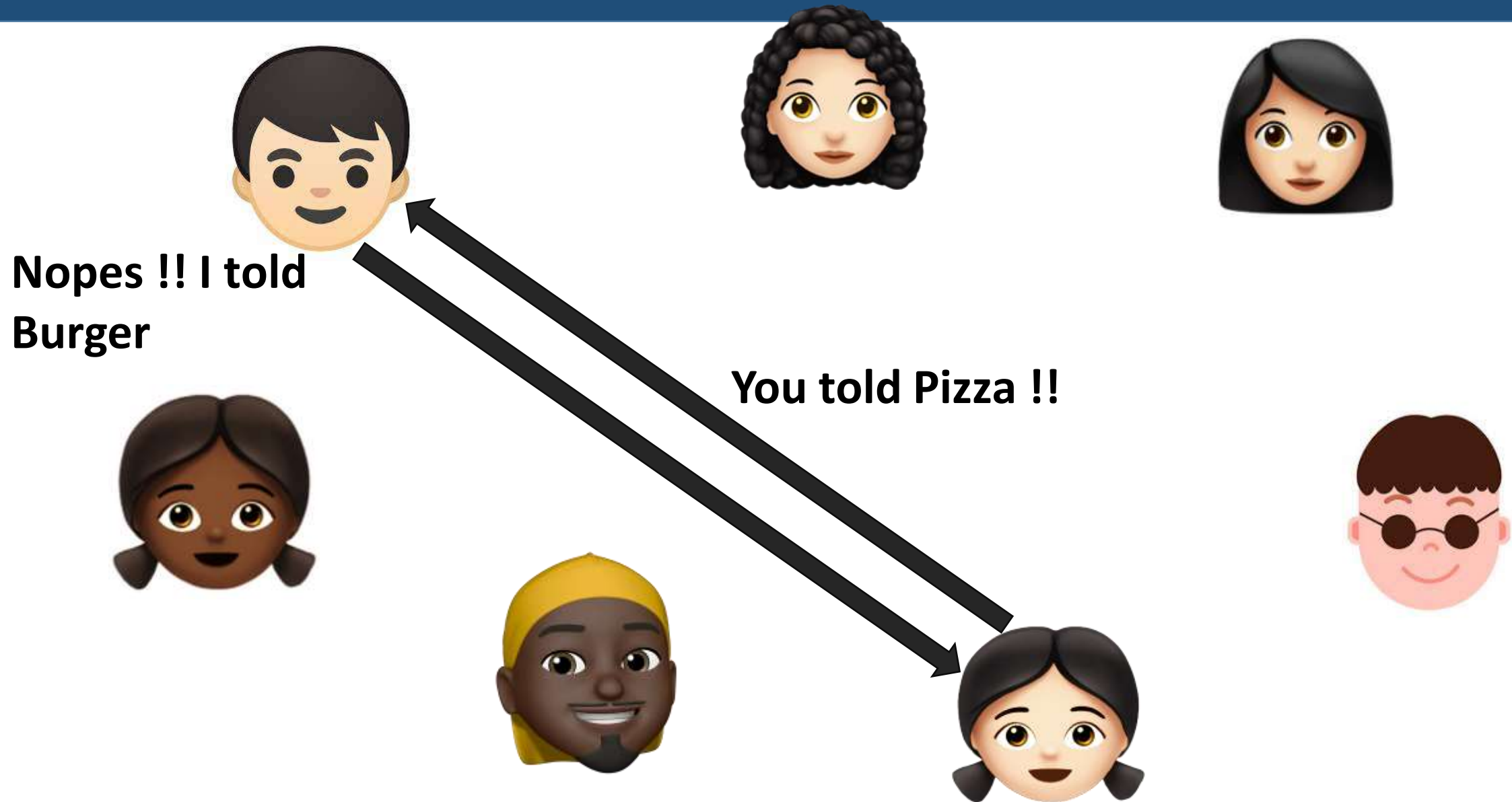


# Distributed Consensus – Message Passing





# Distributed Consensus – Message Passing



# Interactive Consistency

- Each process  $i$  broadcasts its own value  $v_i$ 
  - All non-faulty processes agree on a common vector  $\{v_1, v_2, \dots, v_n\}$
  - If  $i^{\text{th}}$  process is non-faulty, then the  $i^{\text{th}}$  value in the vector agreed upon by non-faulty processes must be  $v_i$

# Distributed Consensus

- 1985: FLP Impossibility Theorem – Fischer, Lynch, Paterson
  - Consensus is impossible in a fully asynchronous system even with a single crash fault



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**Correct processes will  
yield the correct output**

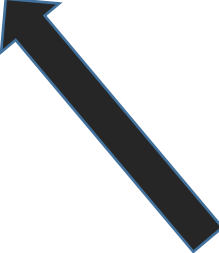
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The output will be  
produced within a finite  
amount of time  
(eventual termination)



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- 1998: Paxos got published in ACM Transactions on Computer Systems

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- 2001: FLP Impossibility paper wins Dijkstra Prize
  - People starts talking about Distributed Systems
- 2009: Zookeeper released
  - Service for managing distributed applications
- 2010's onward: Different types of consensus algorithms released
  - Multi-Paxos
  - Raft
  - Byzantine Fault Tolerance
  - PBFT
  - ...



## Another Interesting Impossibility Result

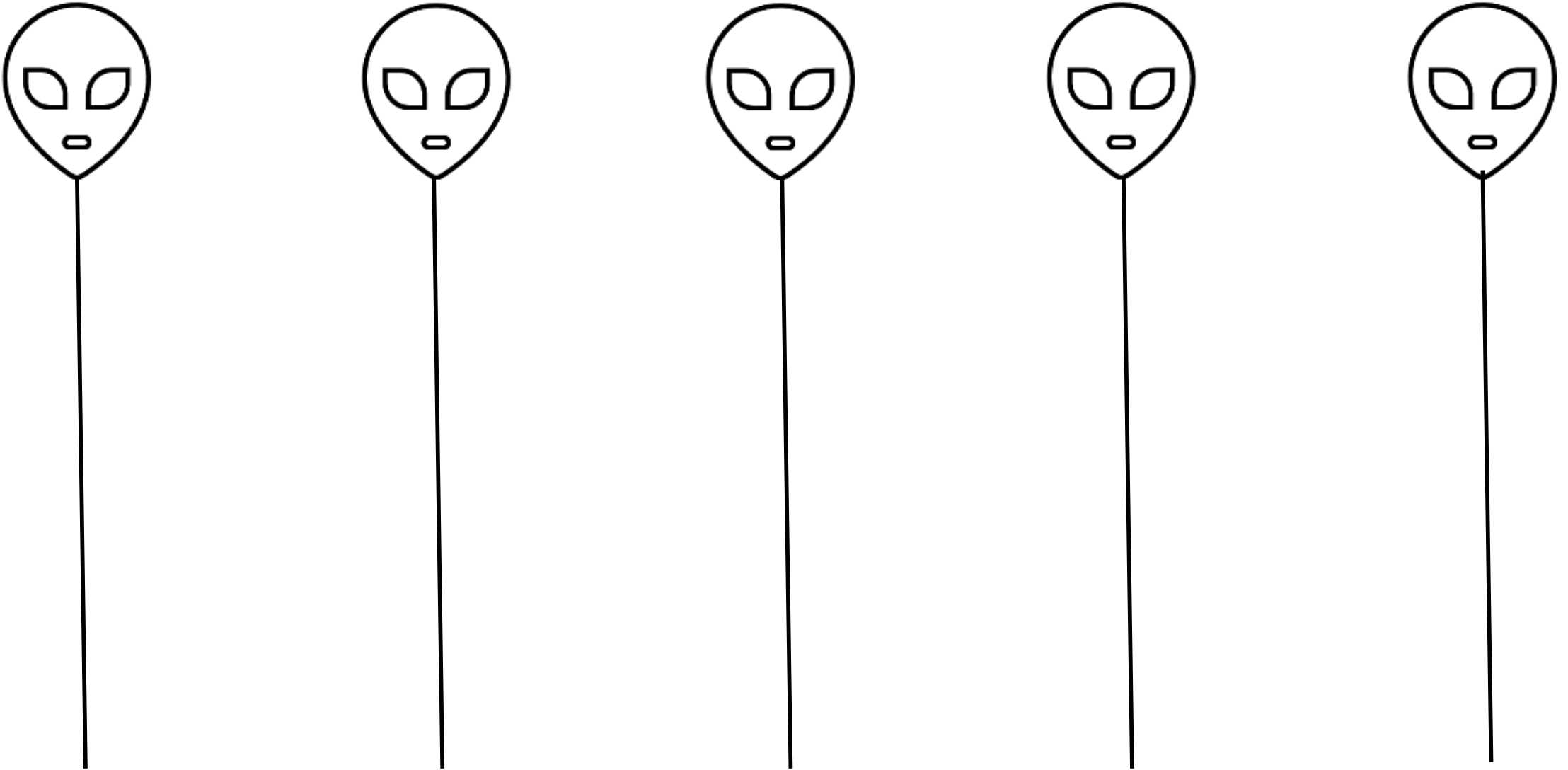
- [Santoro and Widmayer, 1989] **Even in a synchronous model, consensus is not possible even with a single link failure.**

Santoro, Nicola, and Peter Widmayer. "Time is not a healer." *Annual Symposium on Theoretical Aspects of Computer Science*. Springer, Berlin, Heidelberg, 1989.

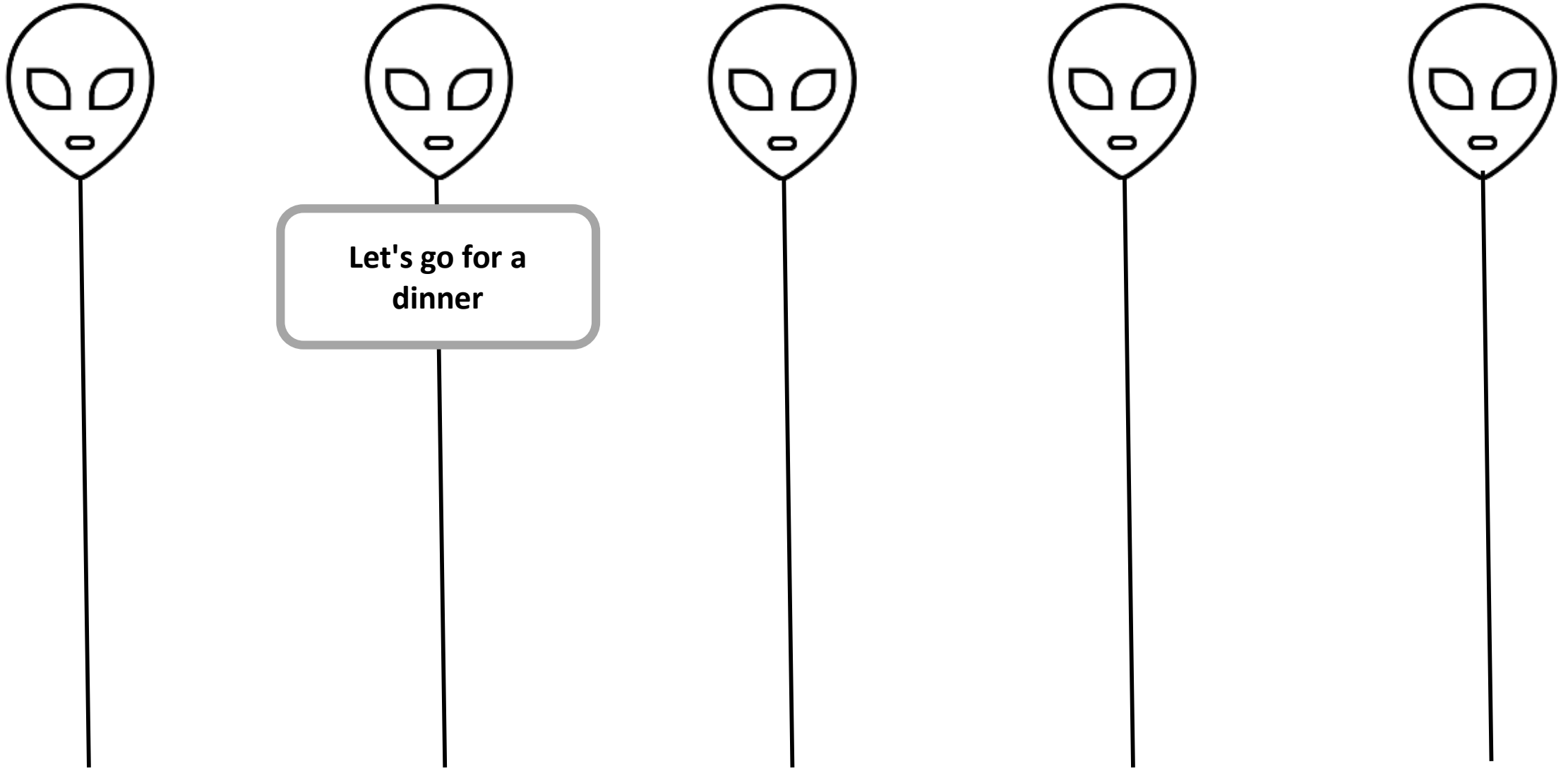
# Asynchronous Consensus with Crash Faults

- Remember the **FLP Impossibility**
  - Give priority to safety over liveness
- Guarantees the followings --
  - **Validity**: If all correct process proposes the same value  $v$ , then any correct process decides  $v$
  - **Agreement**: No two correct processes decide differently
  - **Termination**: Every correct process eventually decides

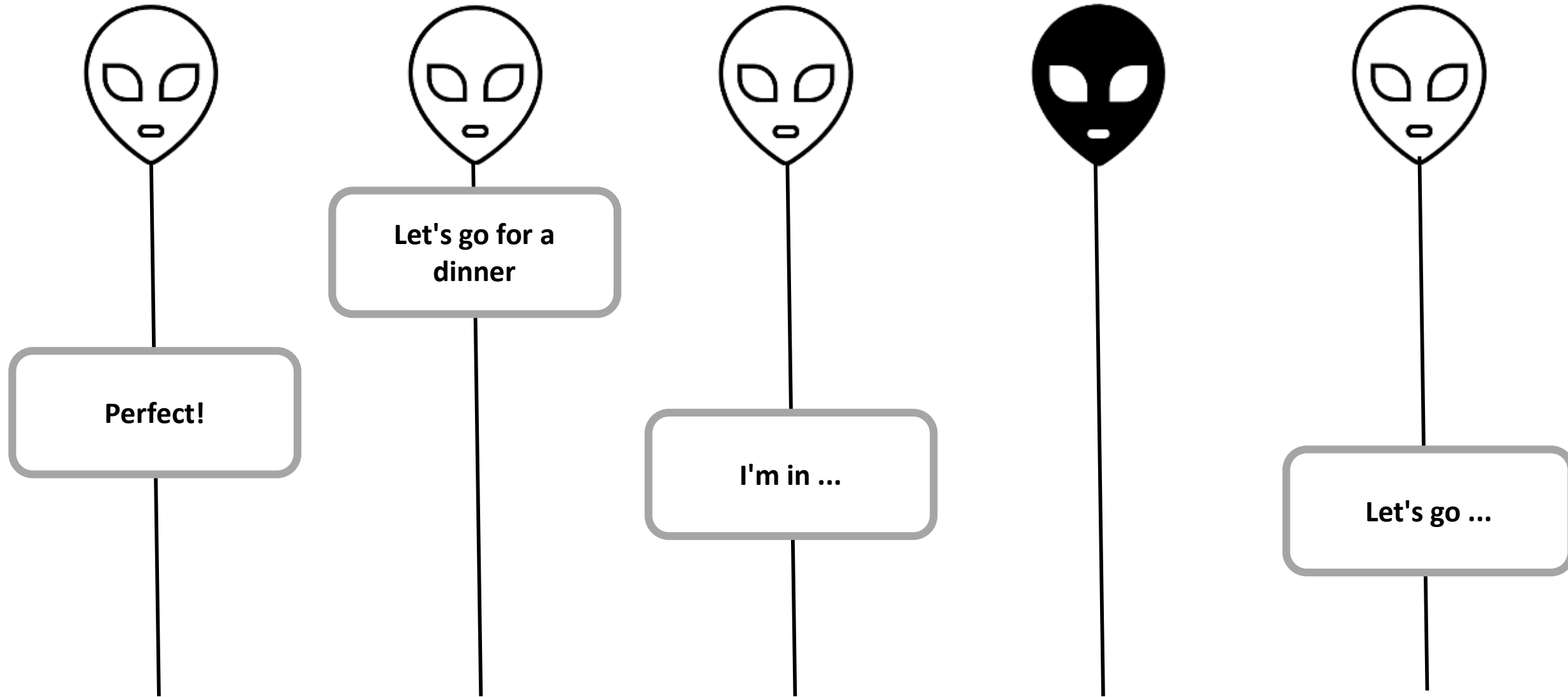
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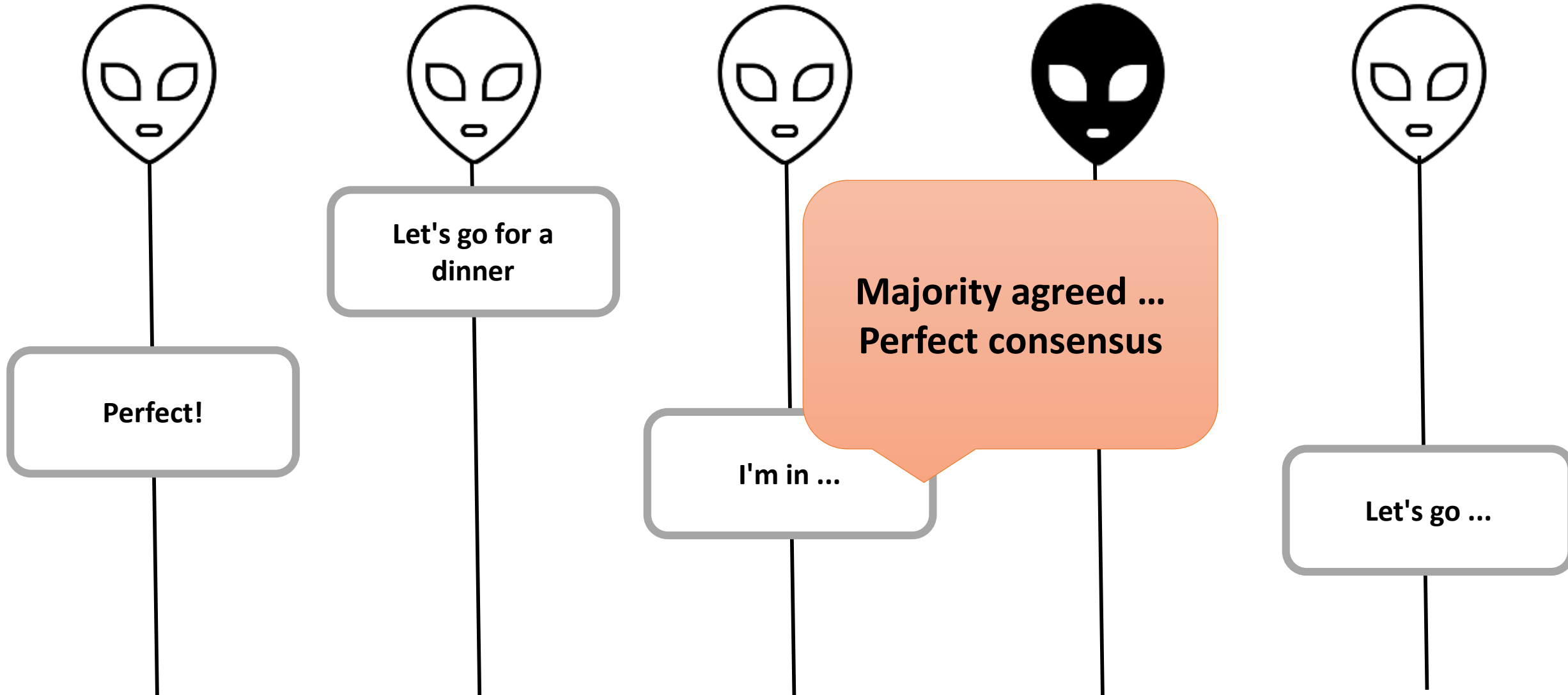
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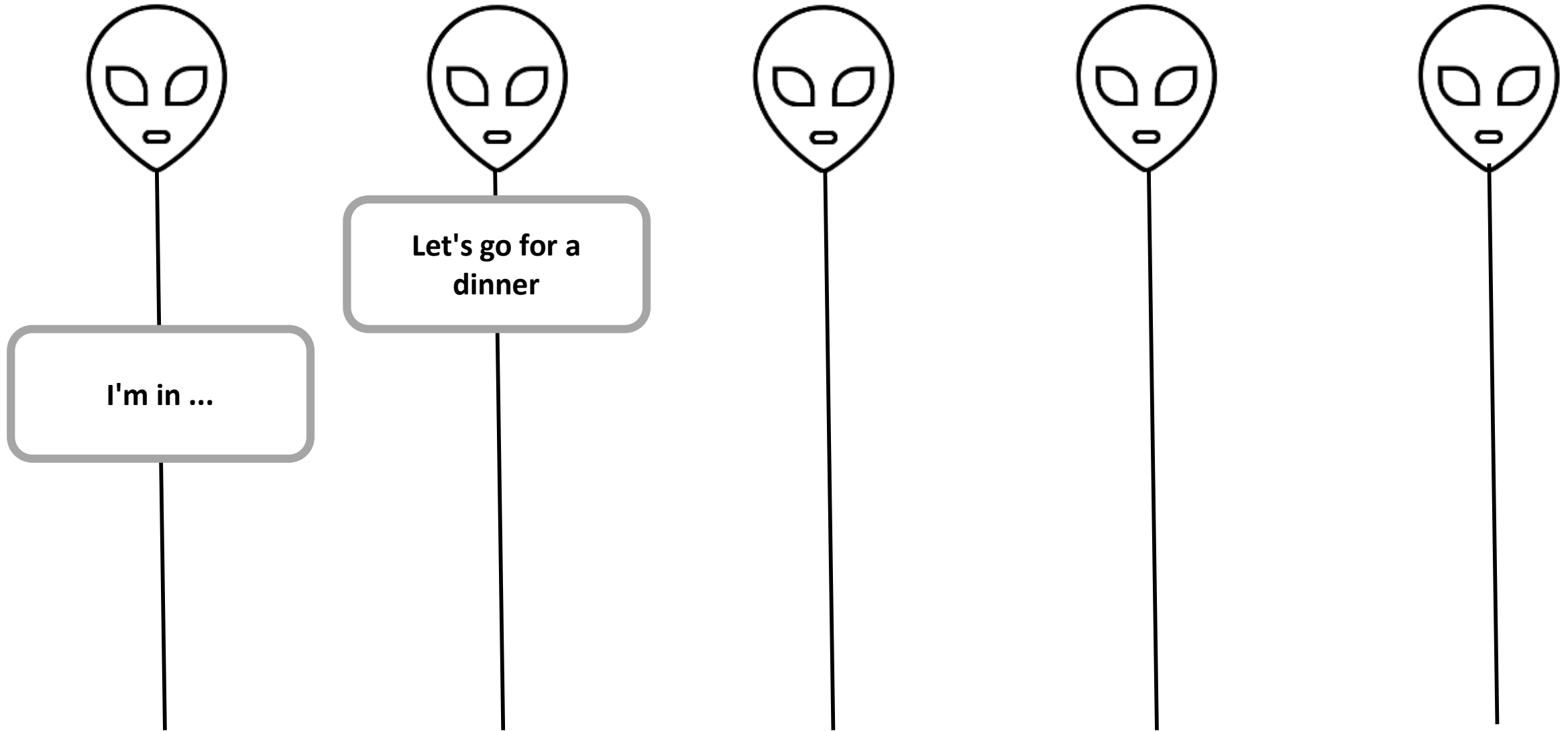
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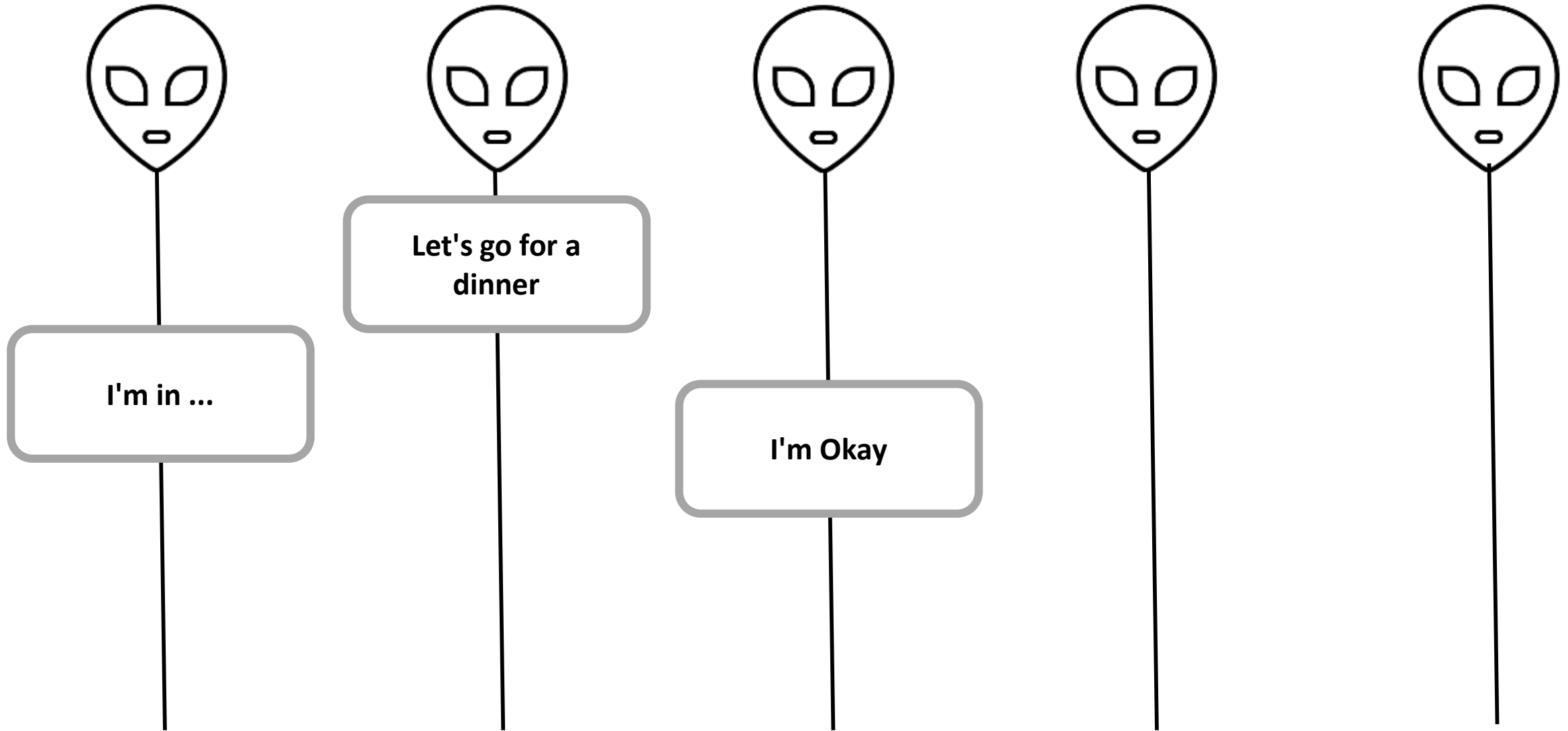


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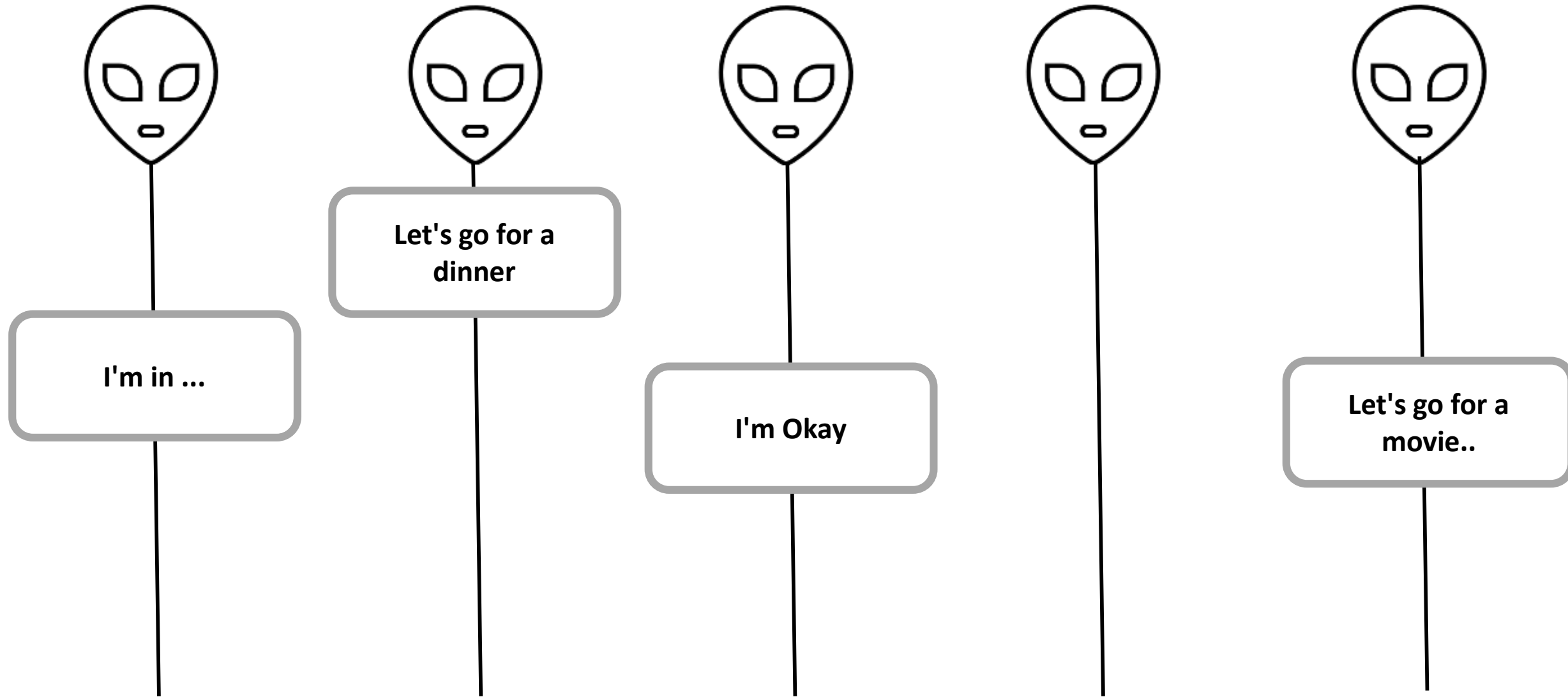




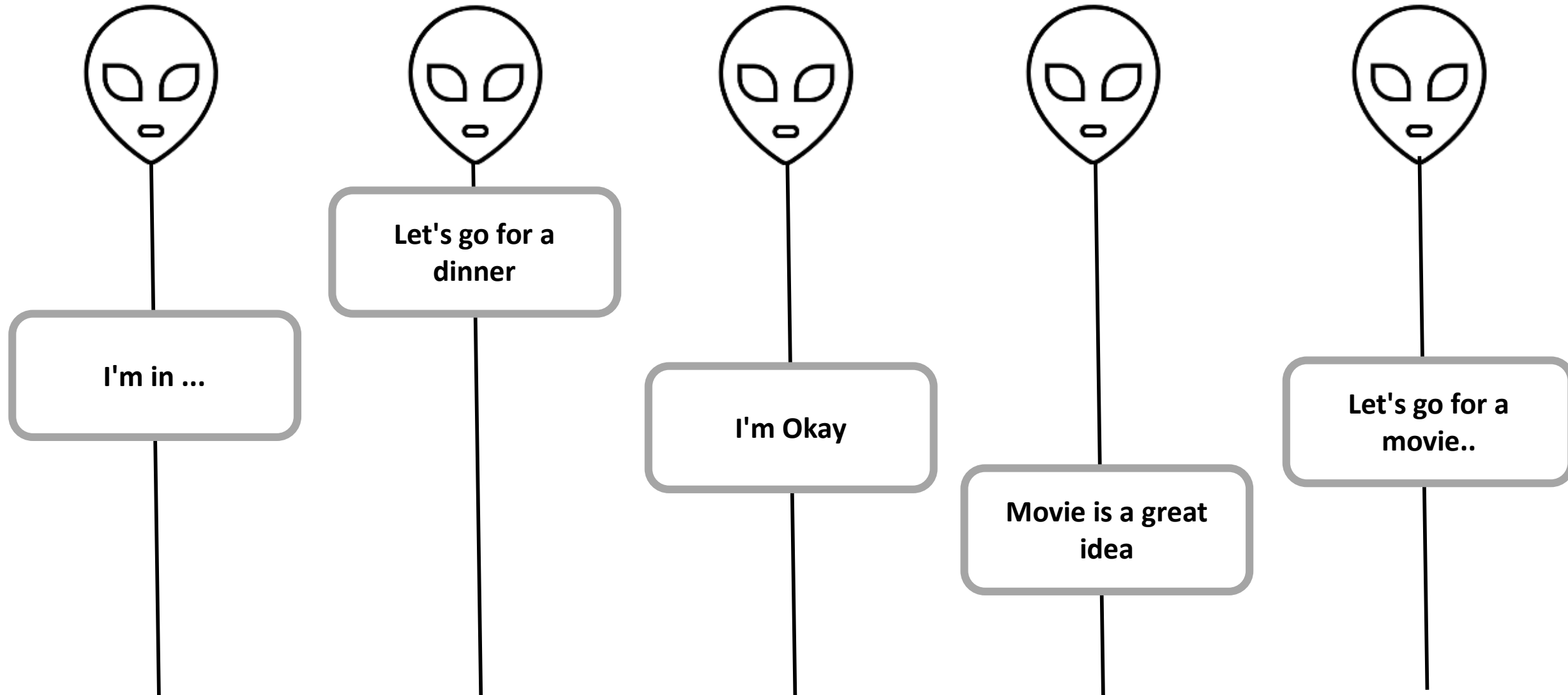
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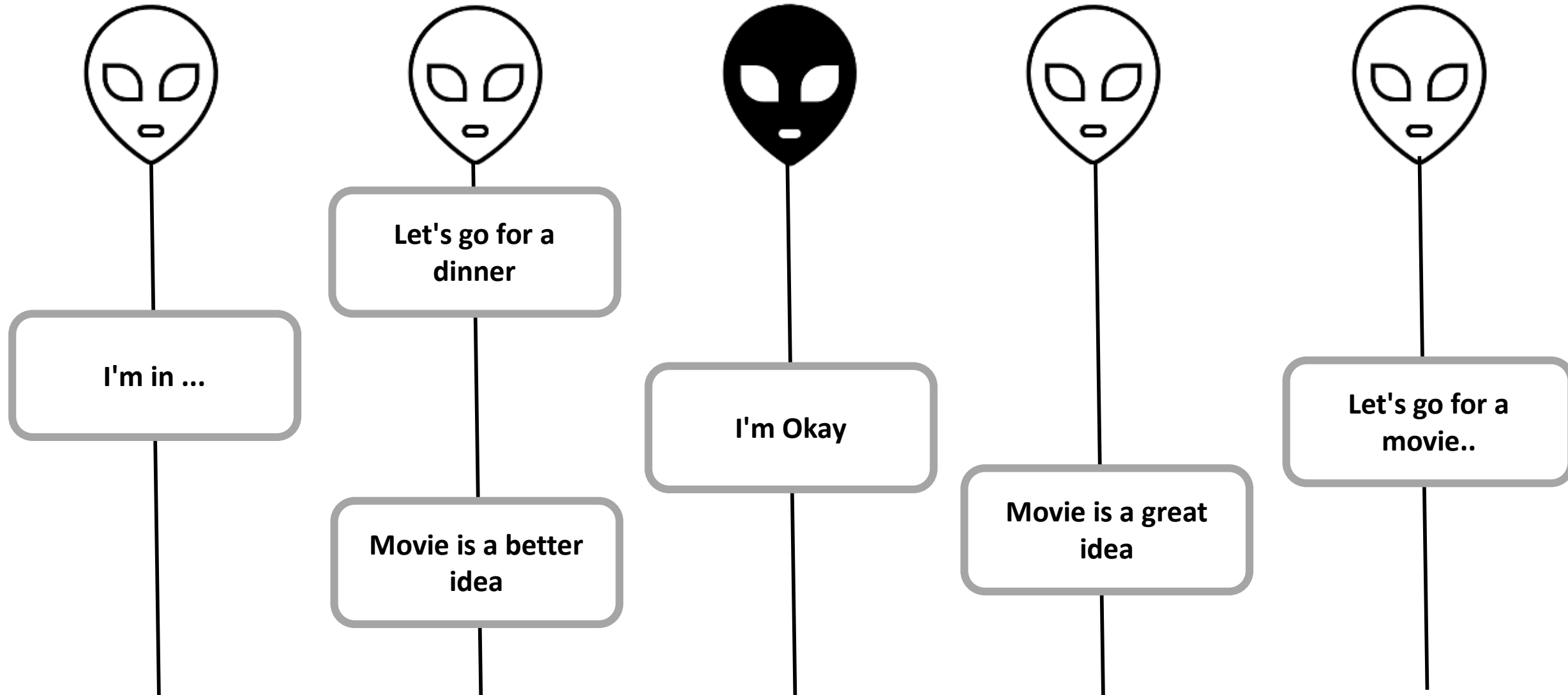
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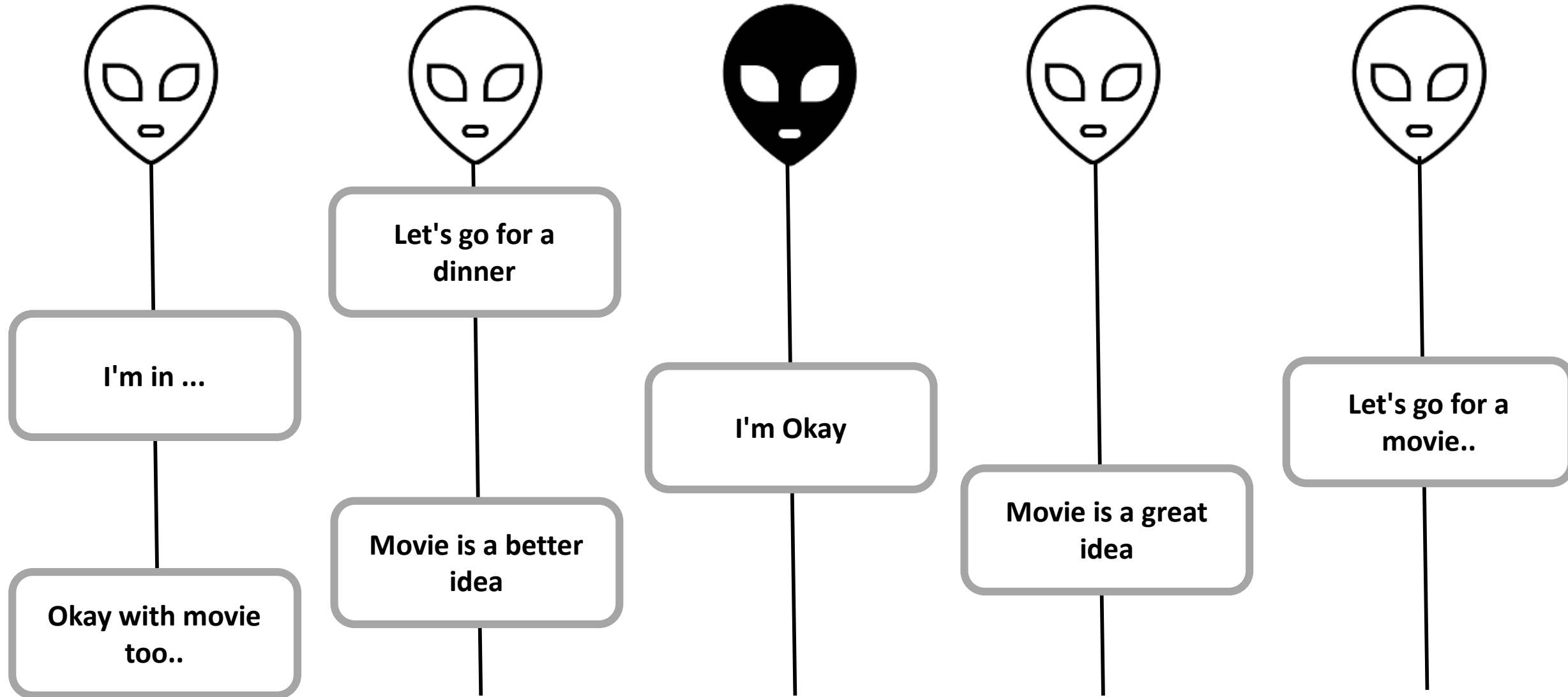
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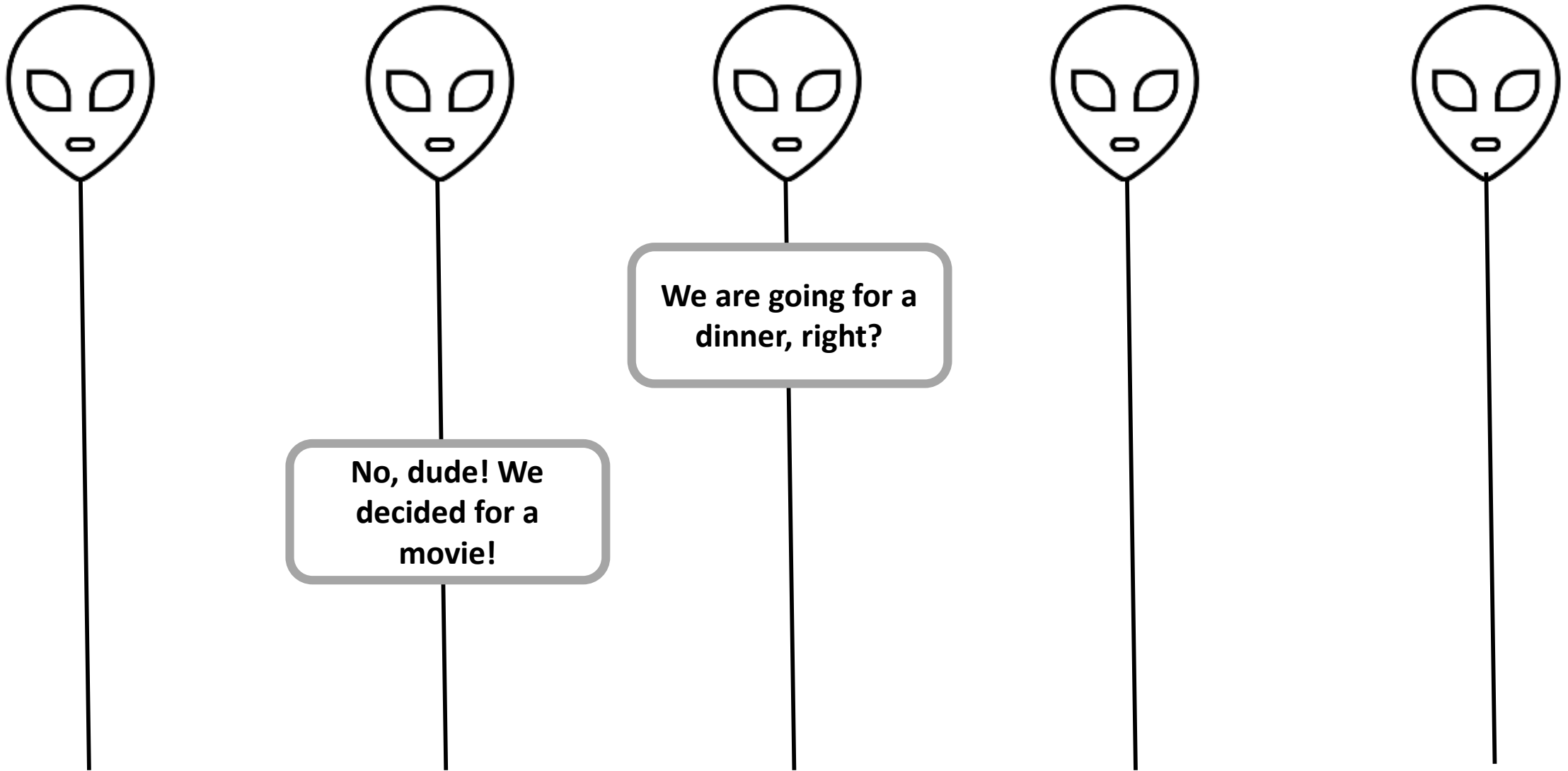
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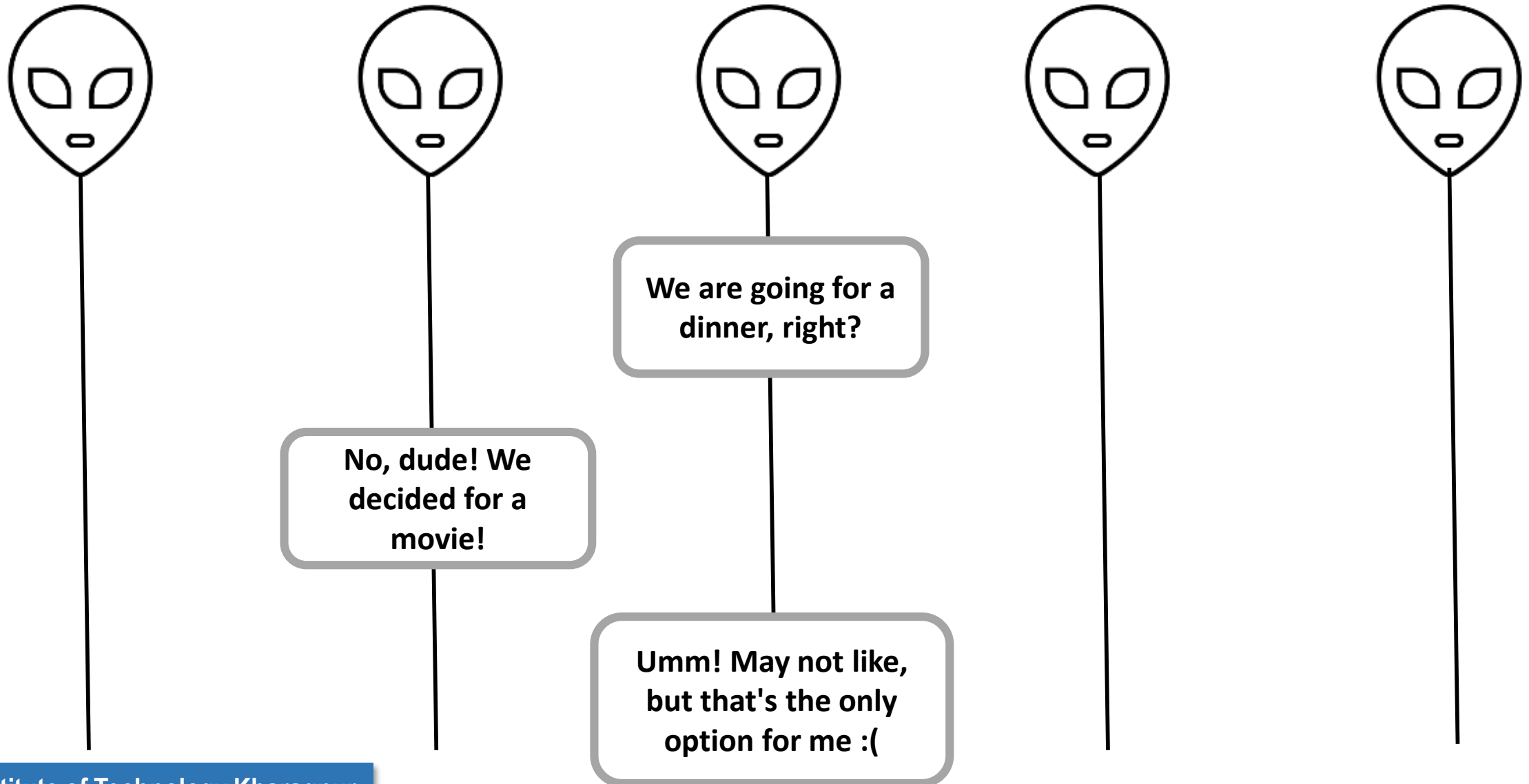
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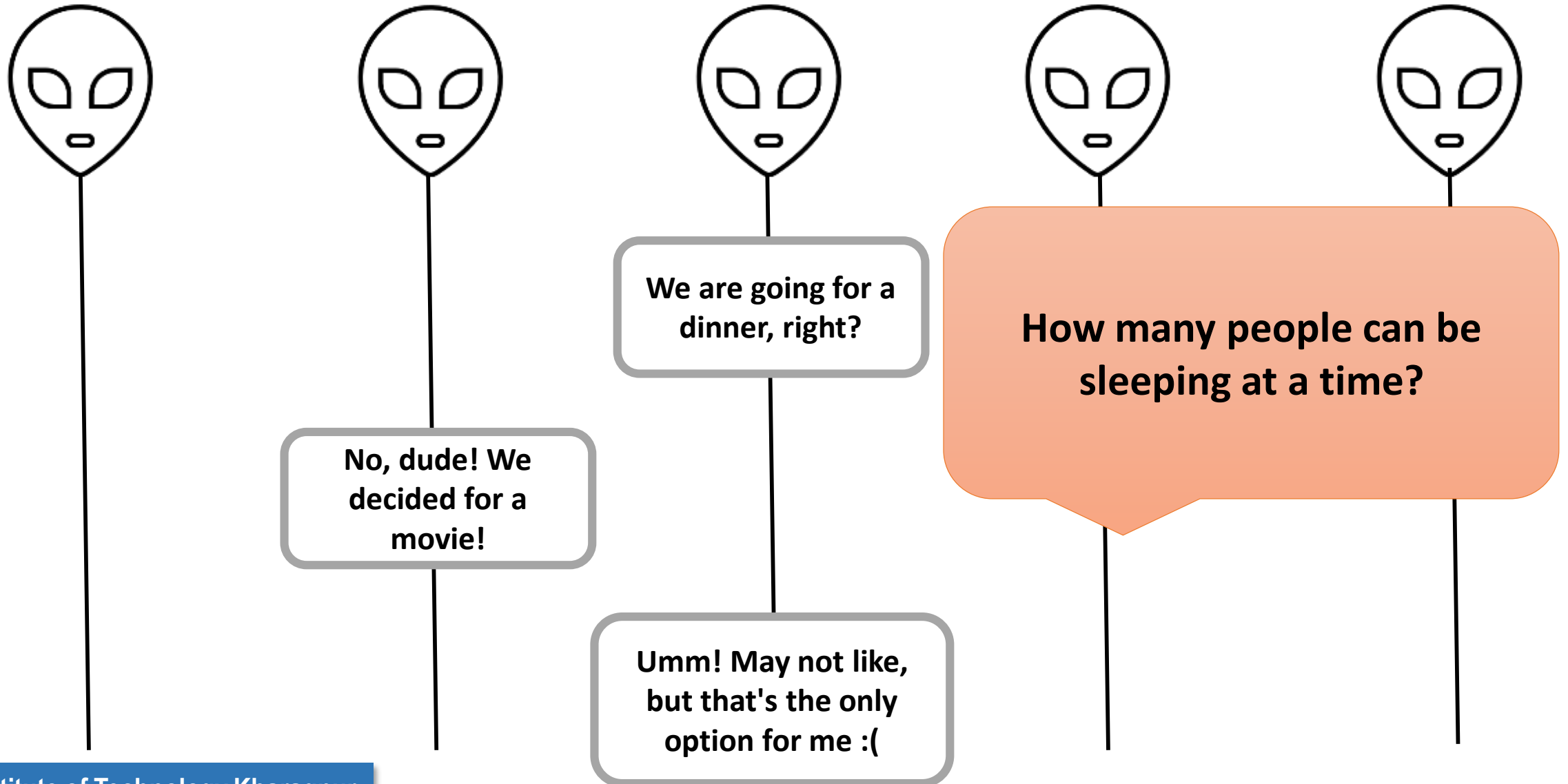


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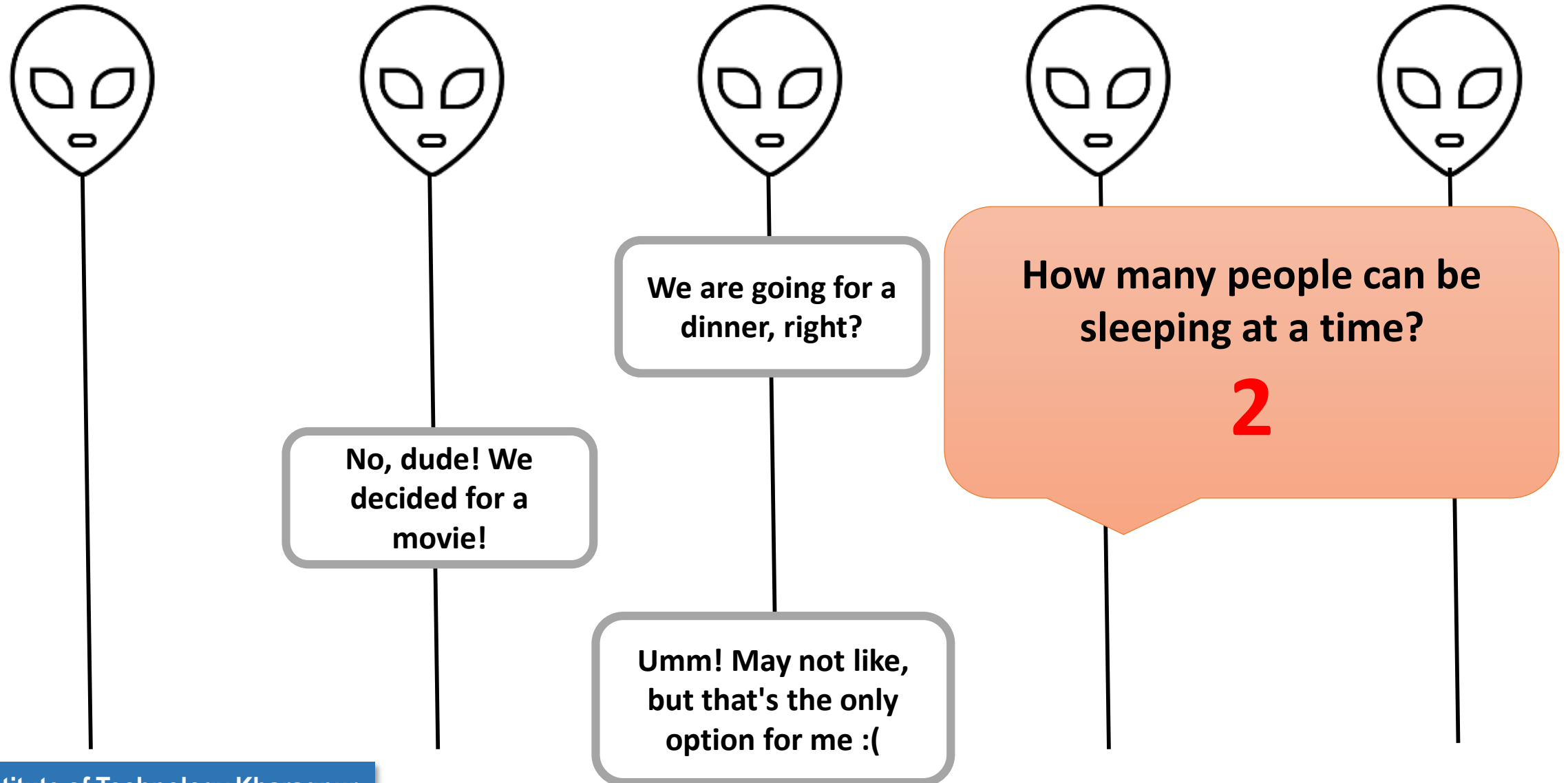




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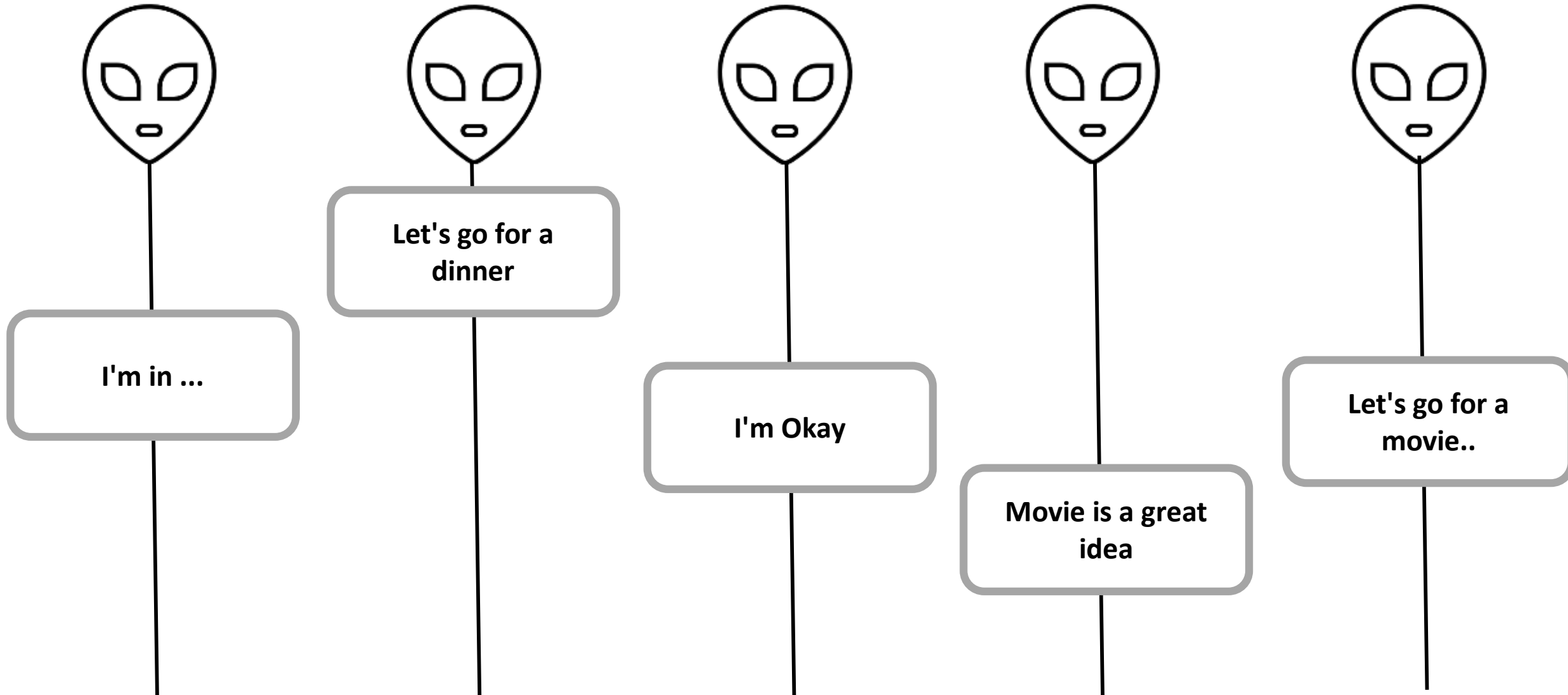
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- If there are  $F$  faulty nodes (crash fault), we need at least  $2F+1$  nodes to reach consensus
- **Paxos:** A family of distributed algorithms to reach consensus in an asynchronous CFT

# Asynchronous CFT

- If there are  $F$  faulty nodes (crash fault), we need at least  $2F+1$  nodes to reach consensus
- **Paxos:** A family of distributed algorithms to reach consensus in an asynchronous CFT
  - We'll discuss vanilla Paxos
  - Proposed by Lamport in 1989
  - Received a lot of criticism about its proof of correctness
  - Accepted in ACM Transactions on Computer Systems in 1998, titled "*The Part-time Parliament*"
  - Lamport received the Turing award in 2013

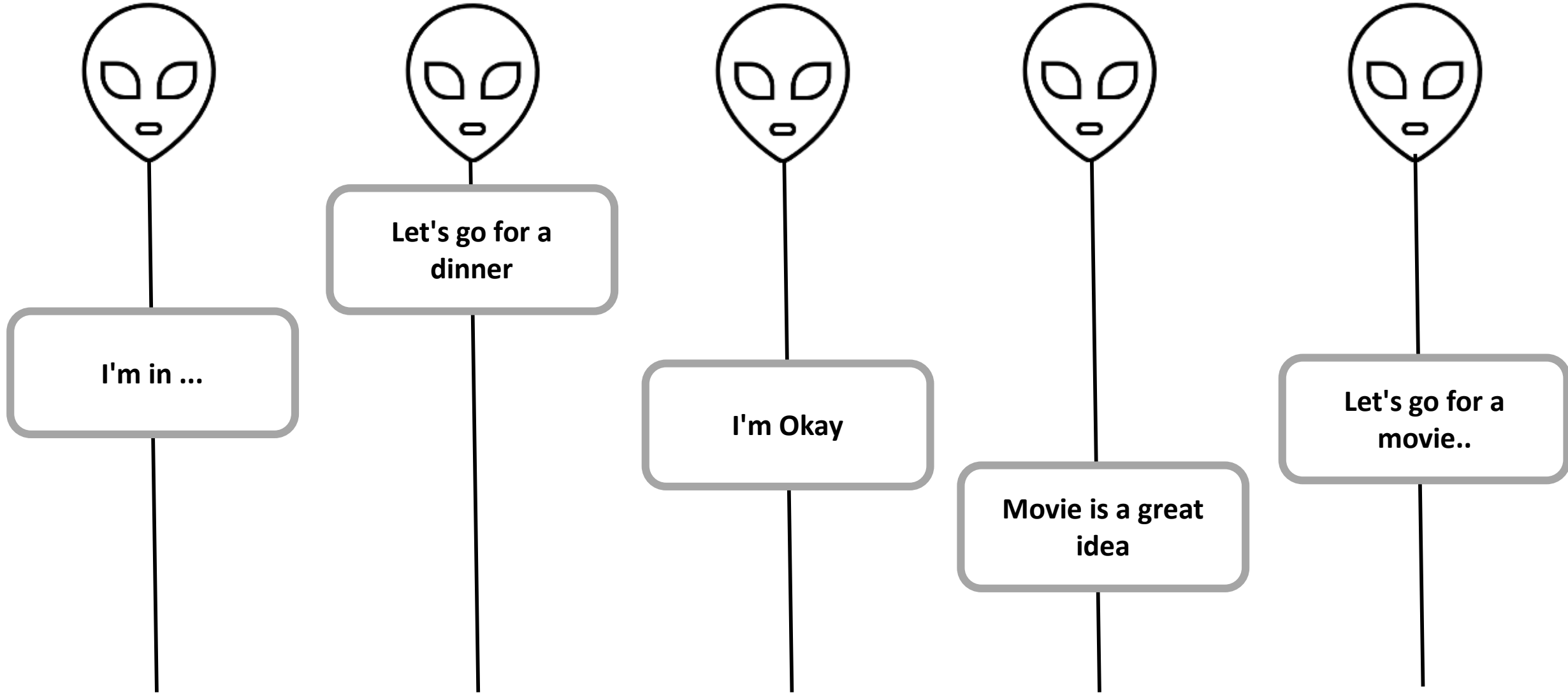
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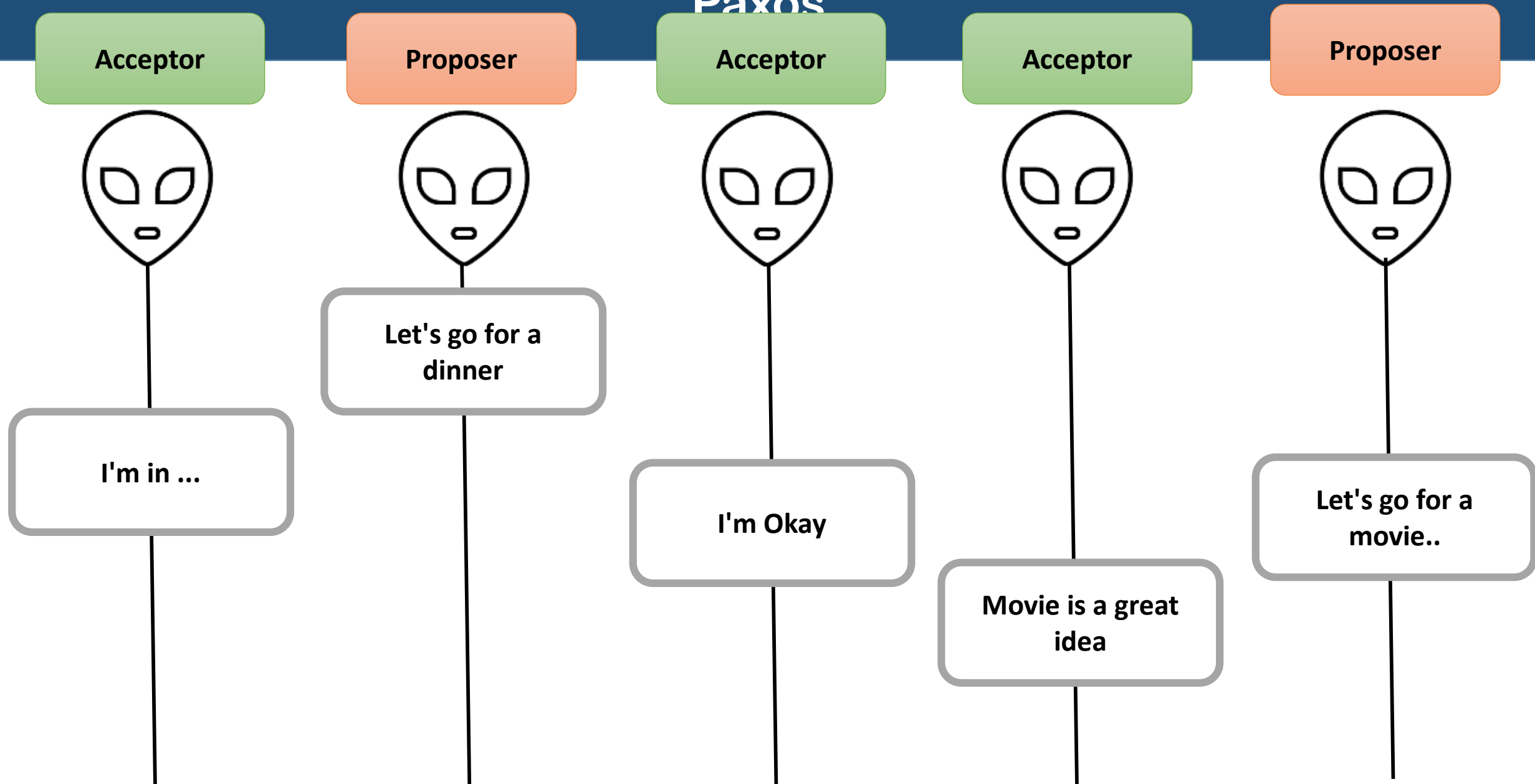
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Proposer

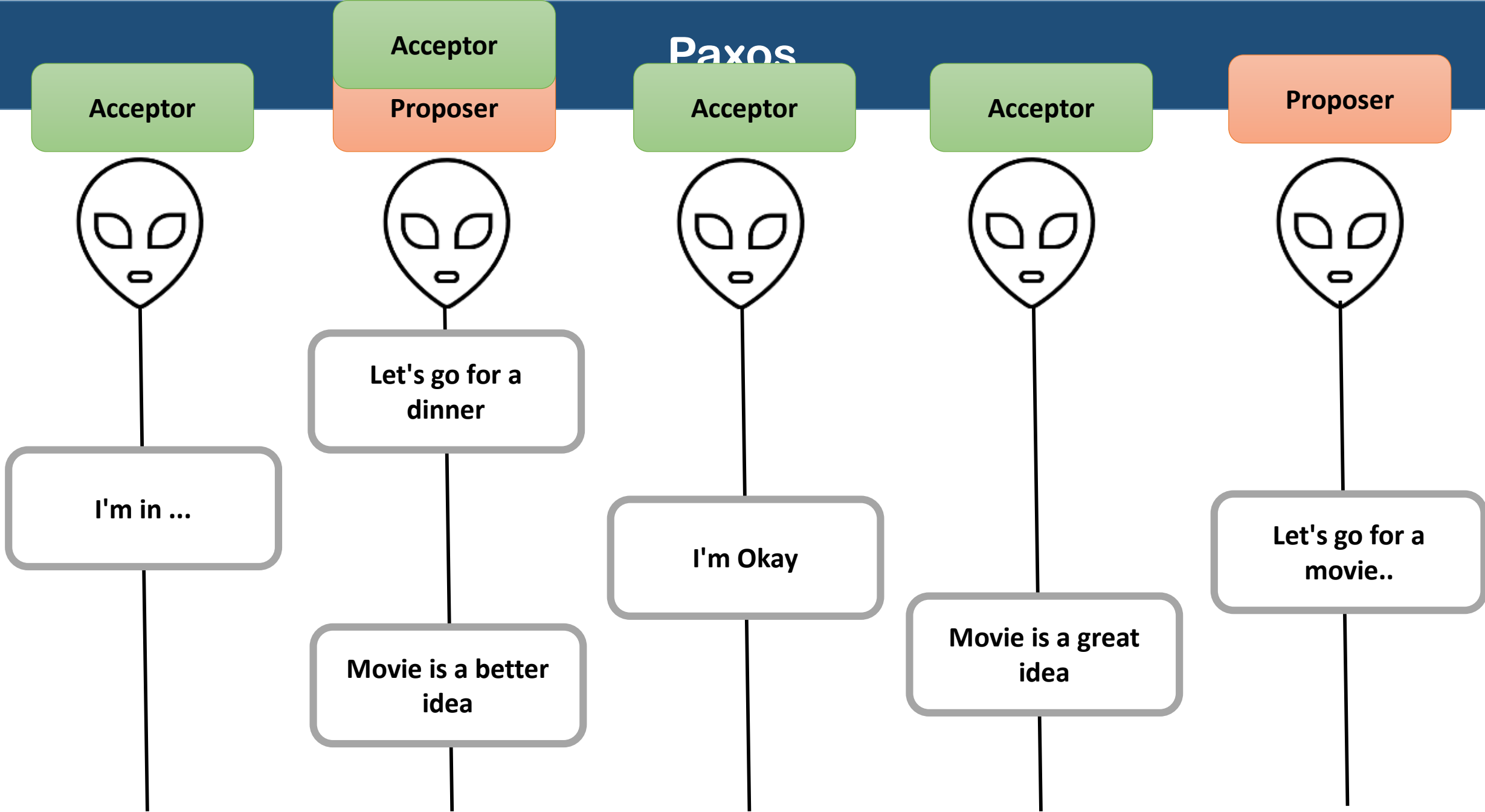
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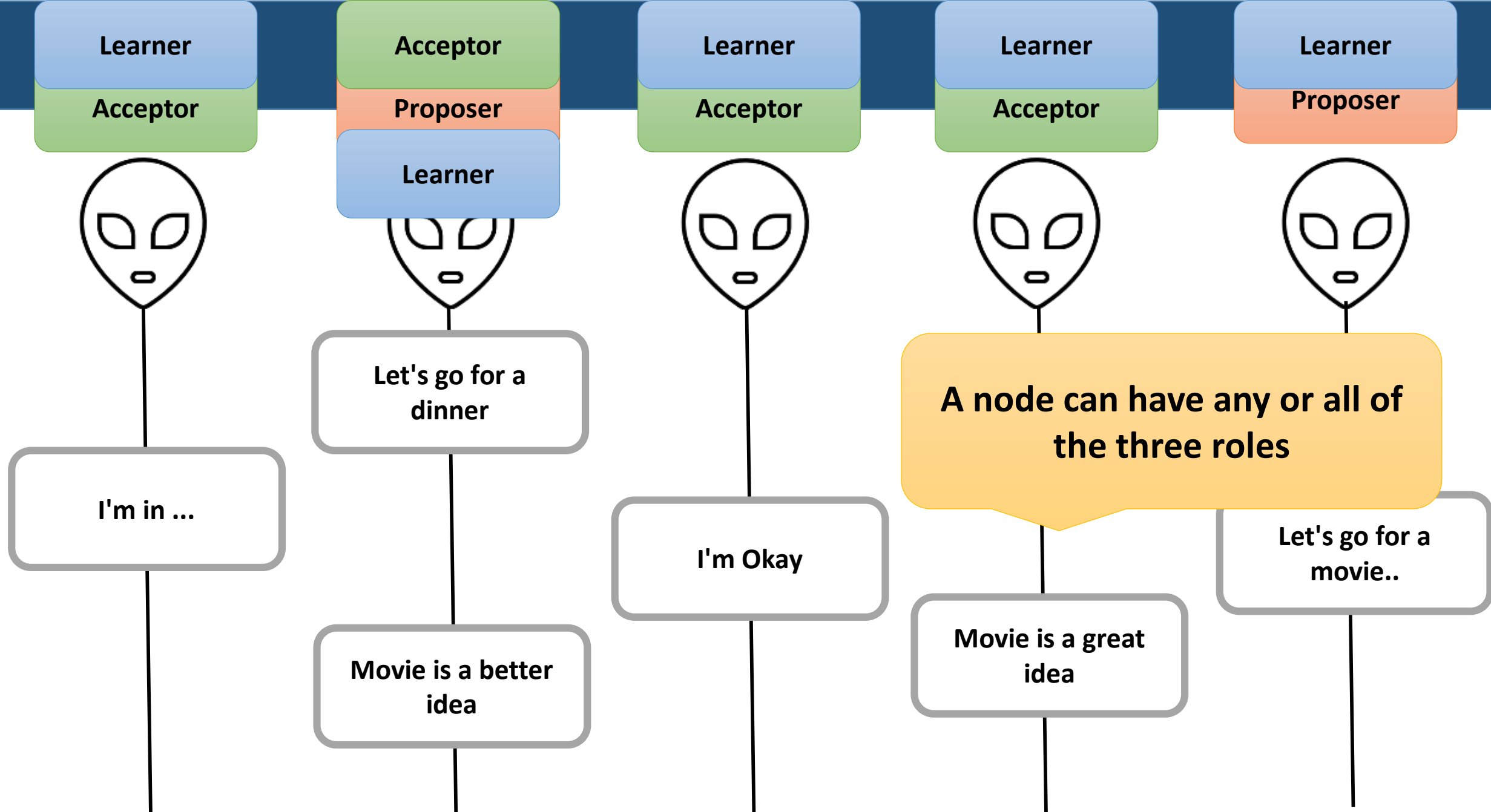
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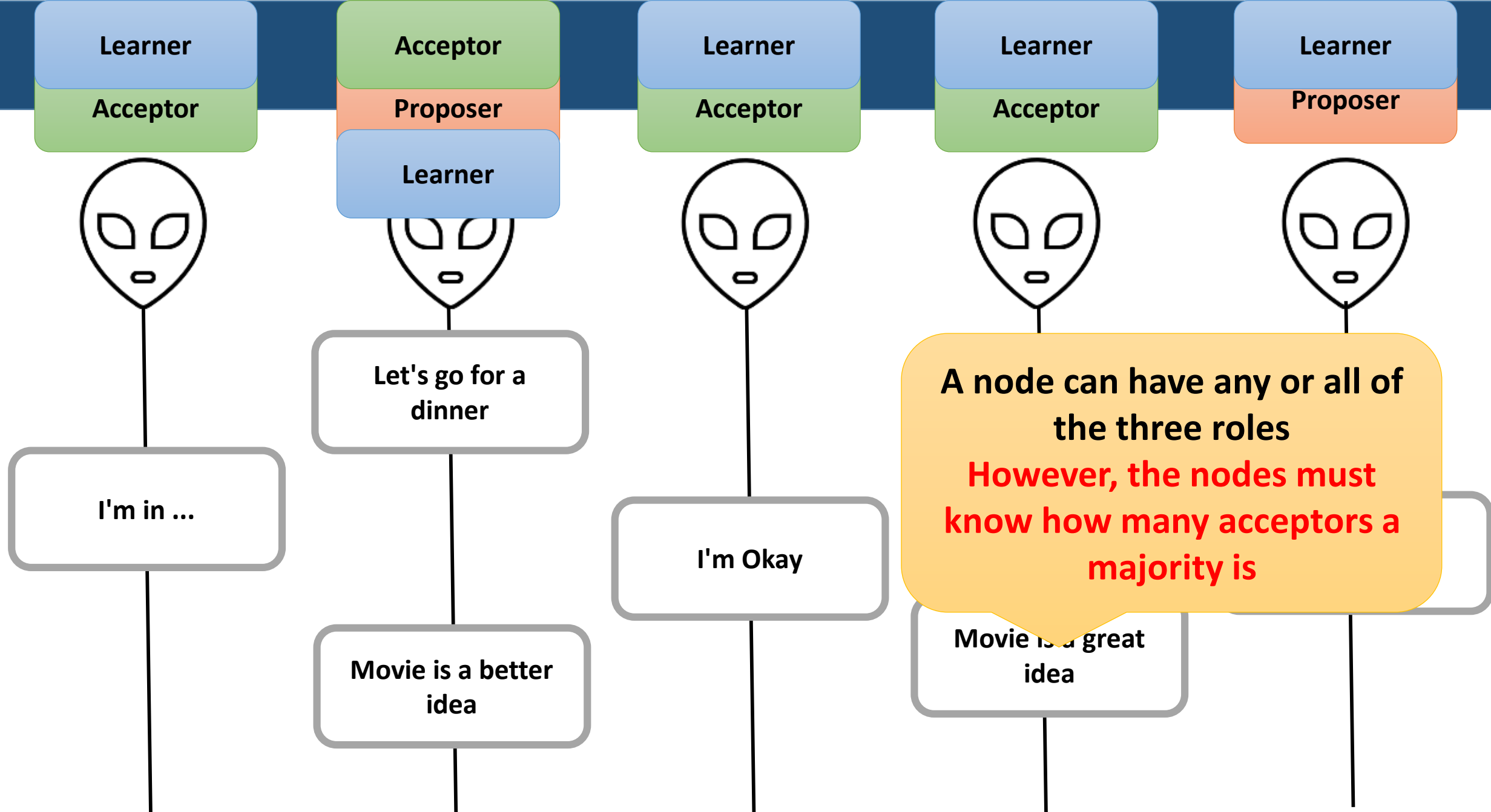


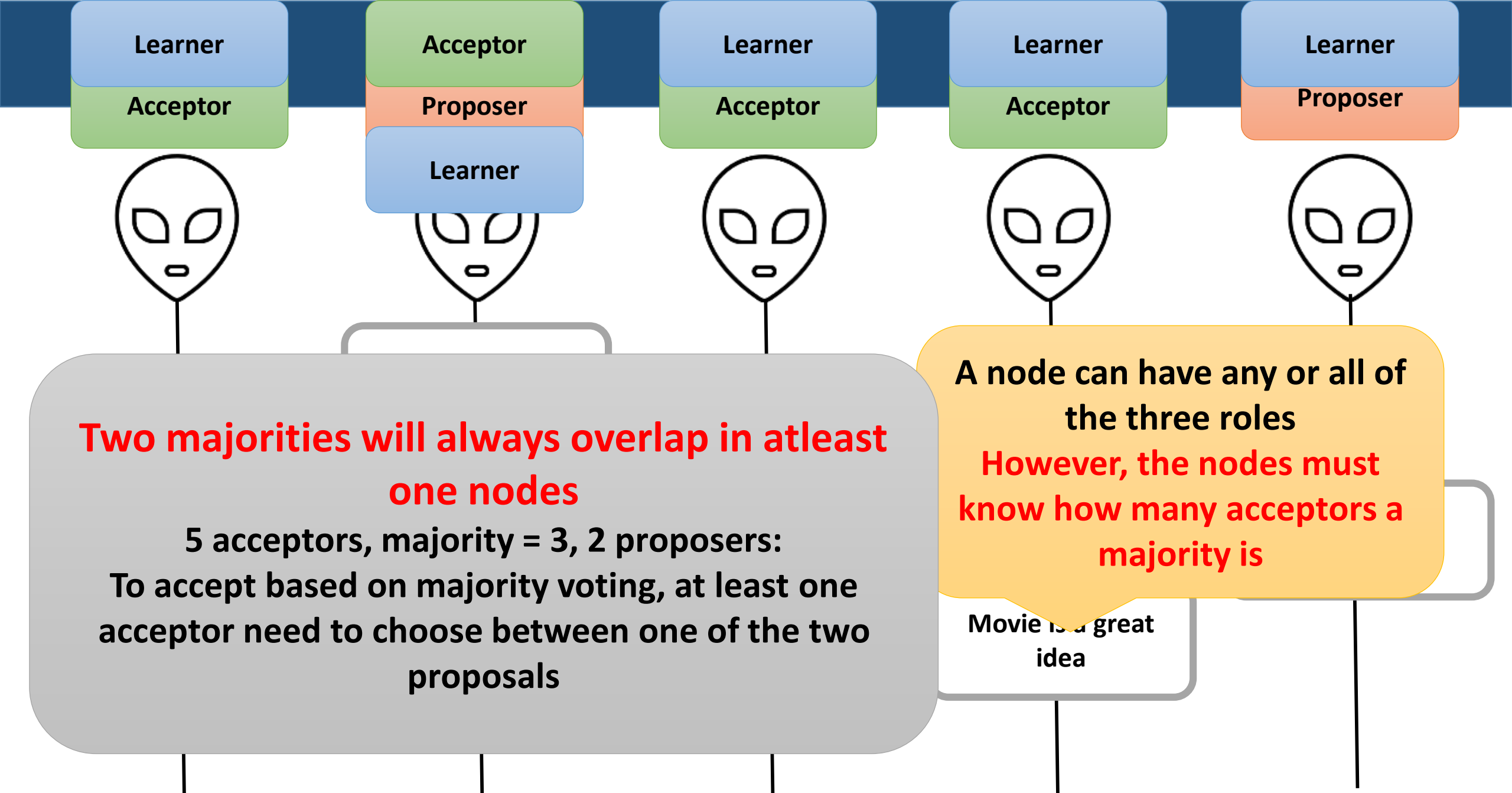
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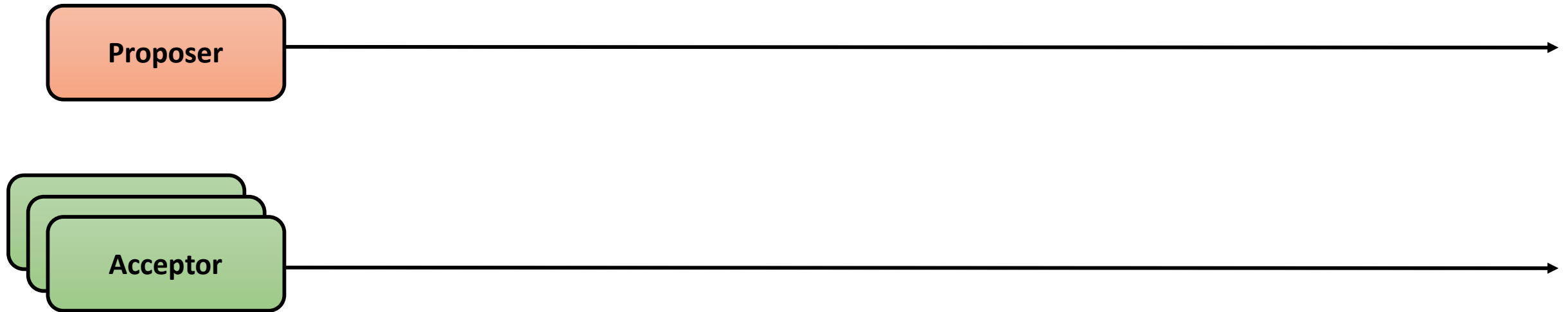




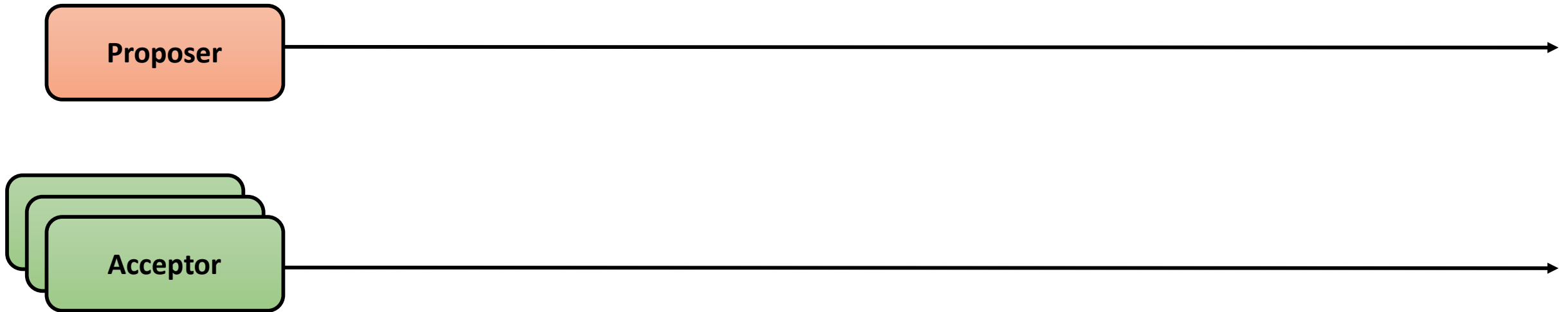
# Paxos Basics

- Paxos is based on state-machine replication
  - Proposers and Acceptors maintain a state of the running epochs
  - Uses a variable  $ID_p$  where  $p$  is an epoch number – maintains the state
  - **We'll see the concept of state-machine replication later in details**
- A Paxos run aims at reaching a **single consensus**
  - Once a consensus is reached, Paxos cannot progress to another consensus
  - To reach multiple consensus, you need to run Paxos in rounds (Multi-Paxos)

# Paxos Algorithm



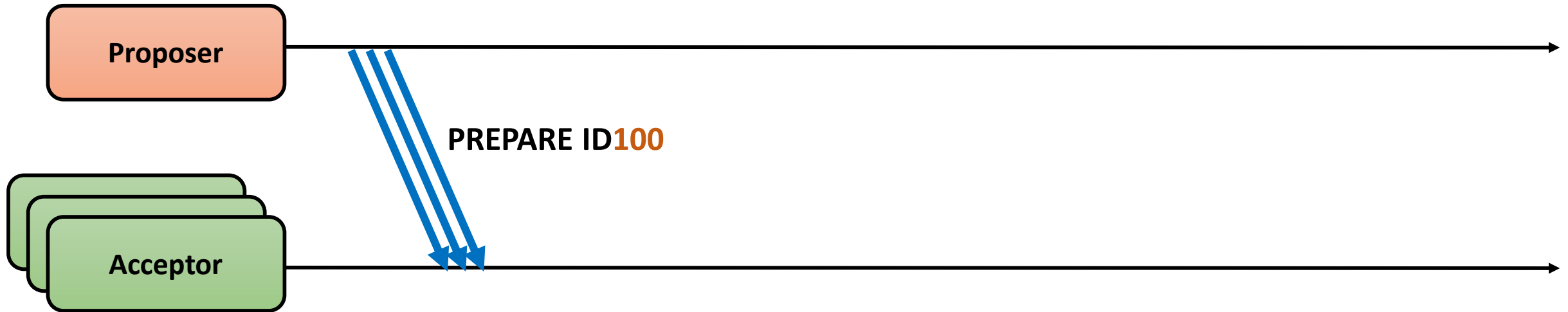
# Paxos Algorithm



**Proposer** wants to propose its choice (values):

- Sends PREPARE IDp to a majority (or all) of the **acceptors**

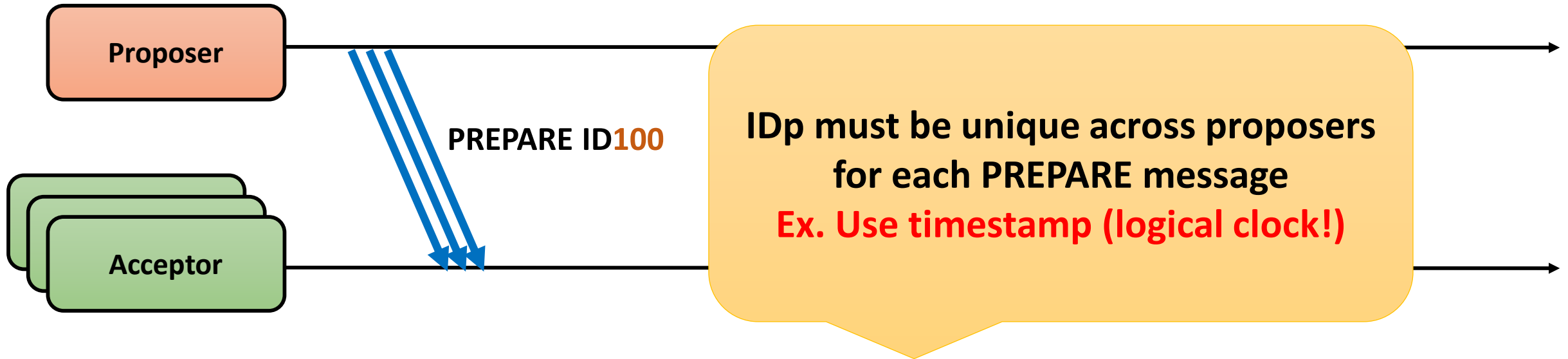
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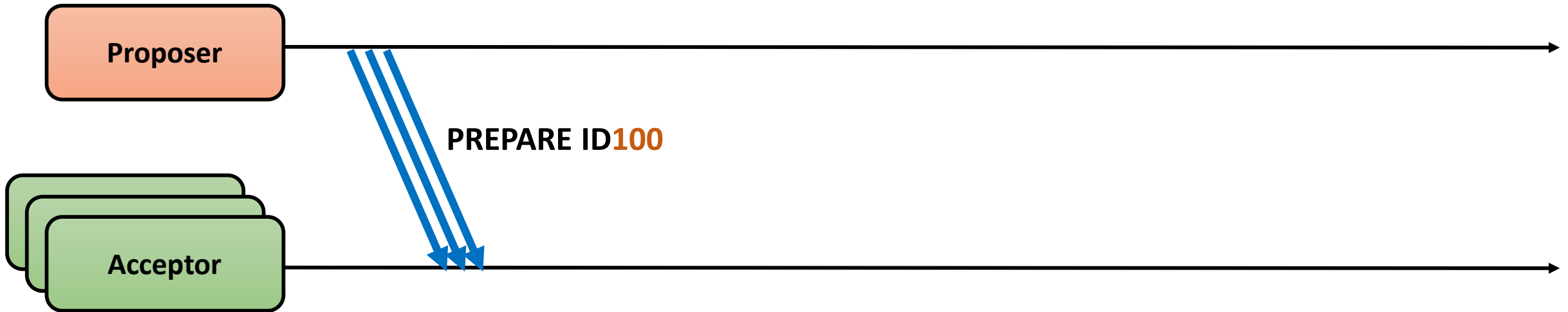


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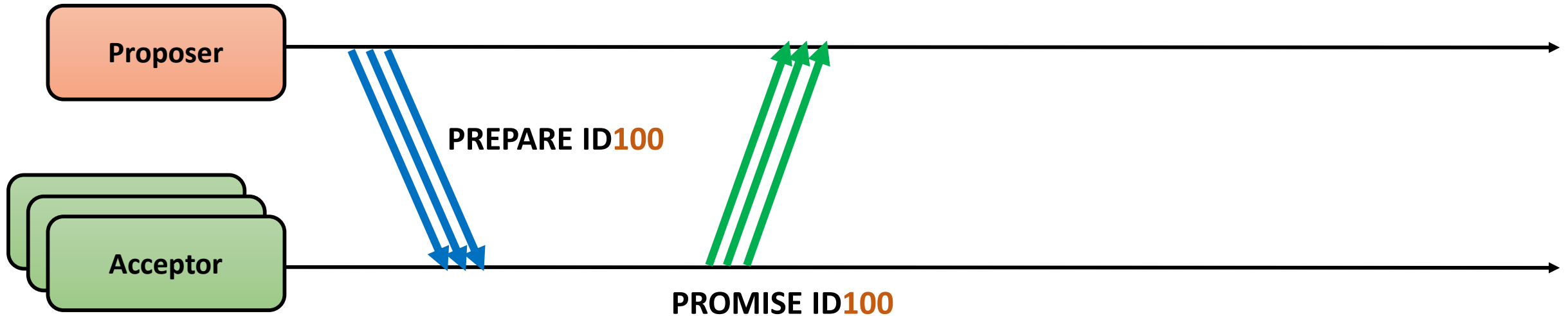
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**Acceptor** received a PREPARE message with ID<sub>p</sub>:

- Did it promised to ignore requests with this ID<sub>p</sub>?
  - **YES:** Ignore
  - **NO:** Will promise to ignore any request lower than ID<sub>p</sub>
    - (?) Reply with PROMISE ID<sub>p</sub>

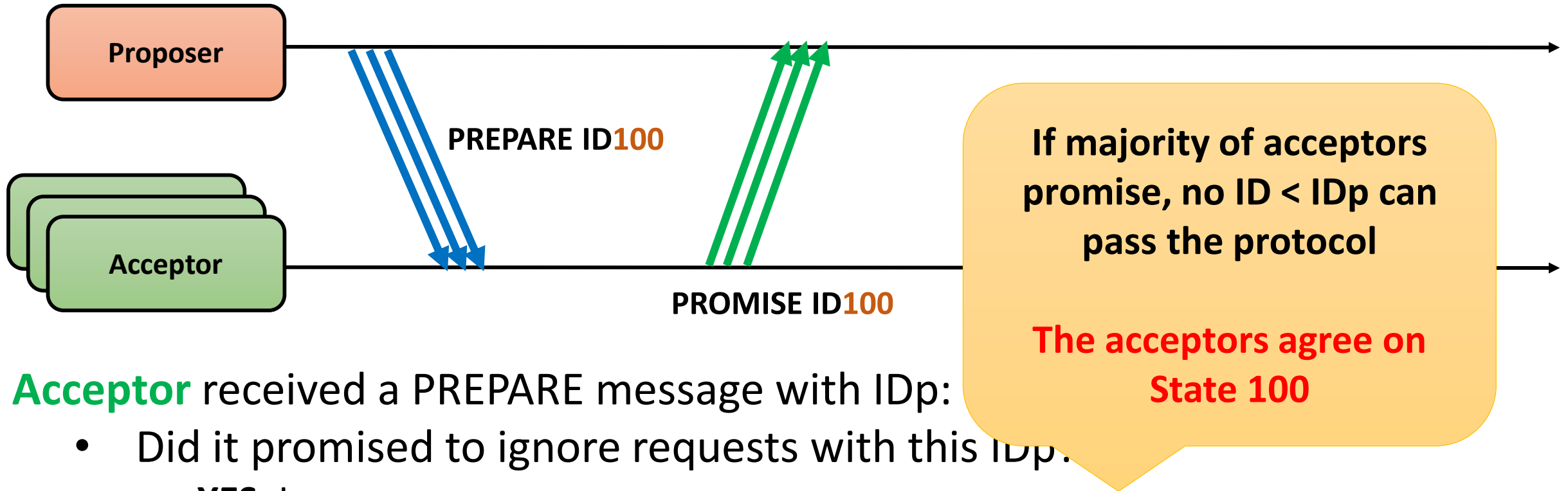
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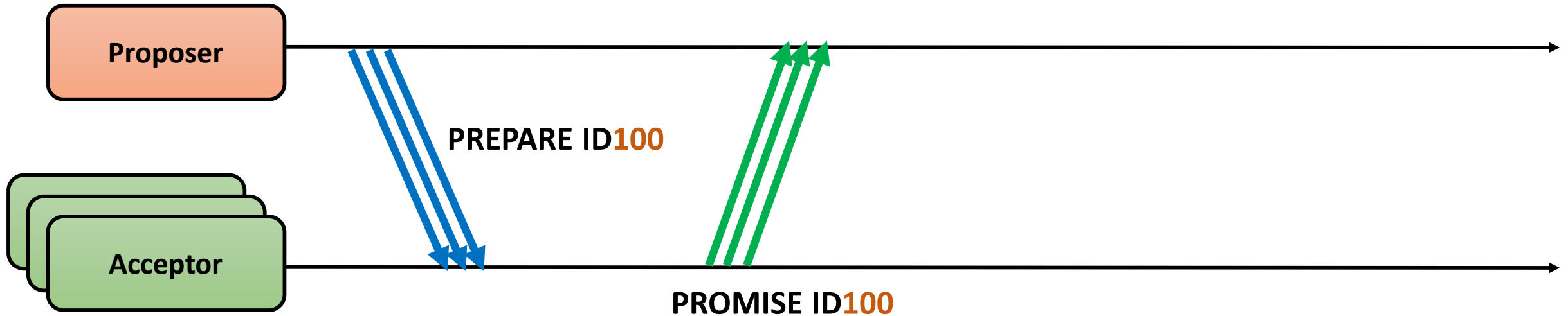
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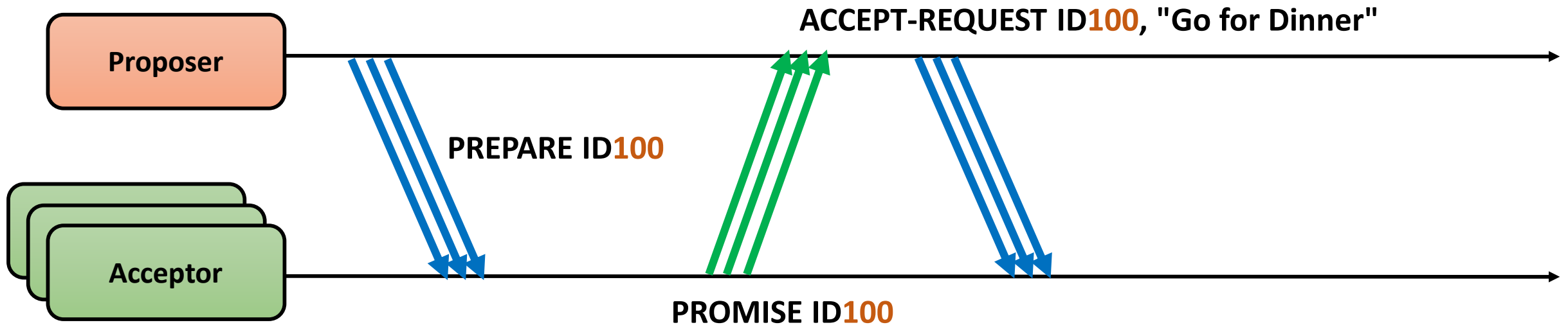
# Paxos Algorithm



**Proposer** gets majority of PROMISE messages for a specific ID<sub>p</sub>:

- Sends **ACCEPT-REQUEST ID<sub>p</sub>, VALUE** to a majority (or all) of **Acceptors**
  - (?) It picks any value of its choice

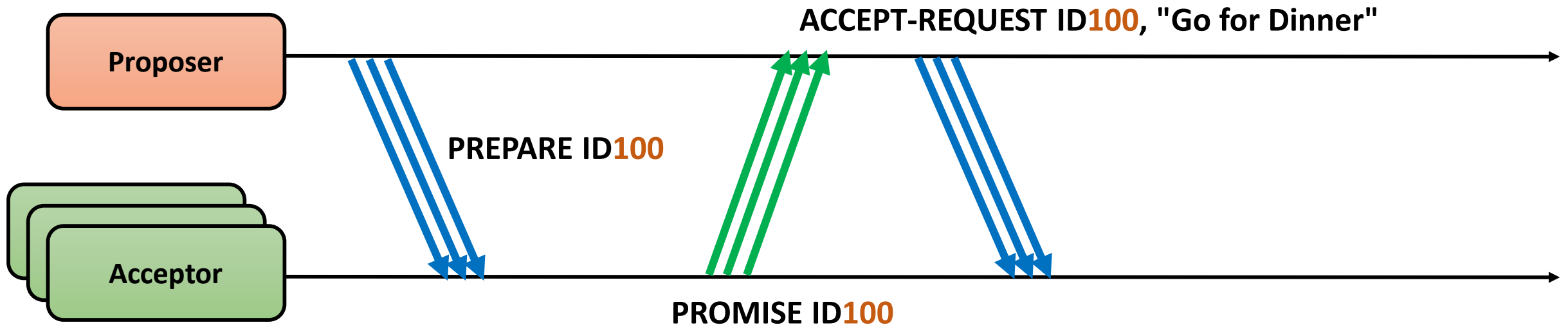
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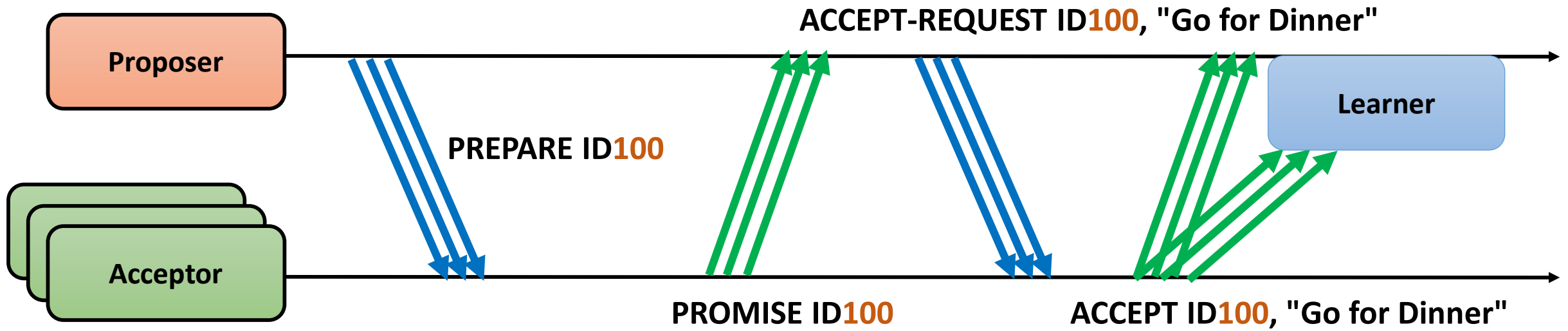
# Paxos Algorithm



**Acceptor** receives an ACCEPT-REQUEST ID<sub>p</sub>, VALUE :

- Did it promised to ignore request with this ID<sub>p</sub>?
  - **YES:** Ignore
  - **NO:** Reply with **ACCEPT ID<sub>p</sub>, VALUE**; Also send it to all learners

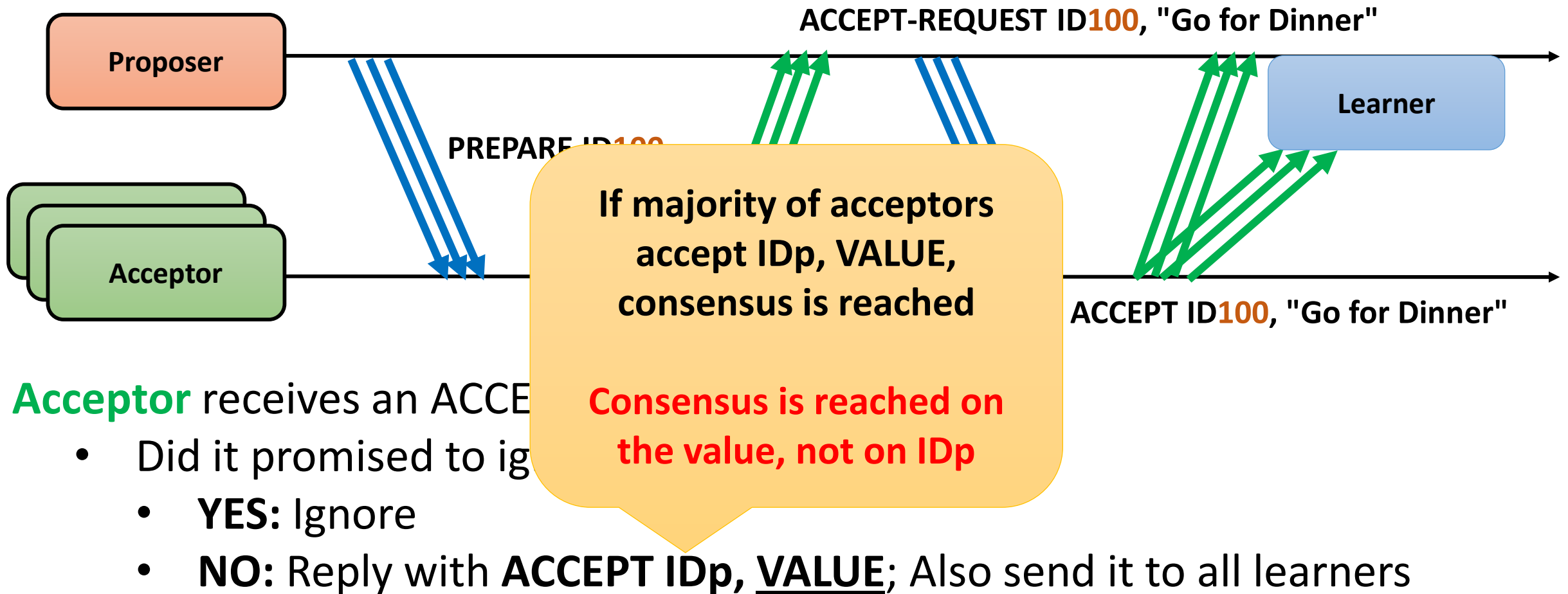
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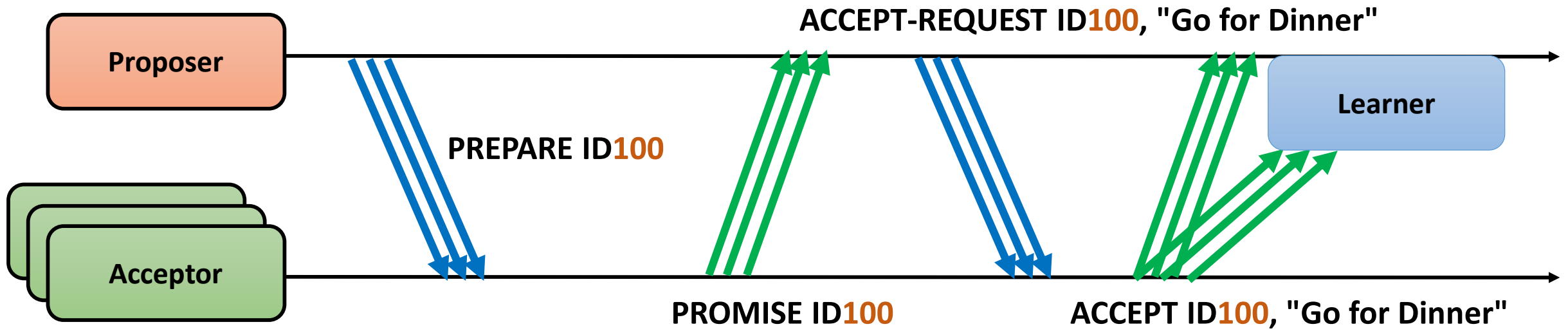
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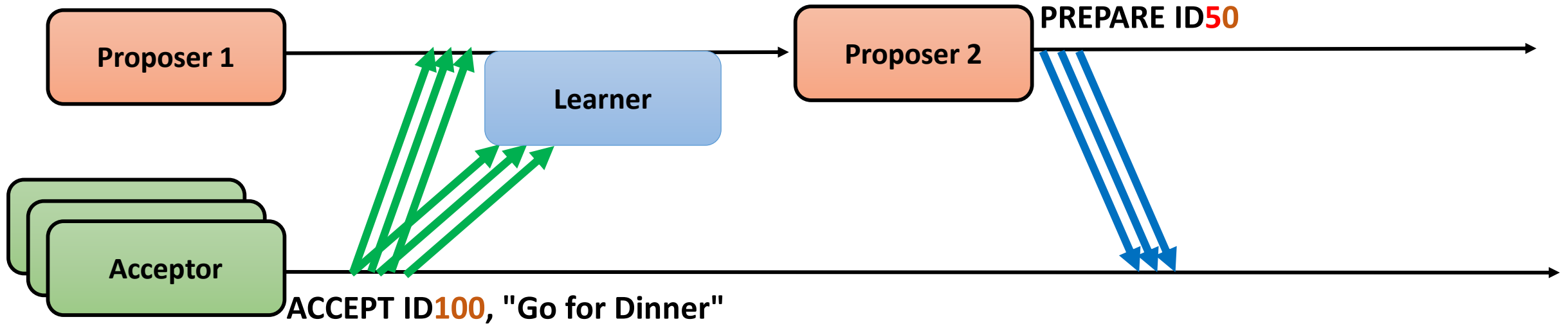
# Paxos Algorithm



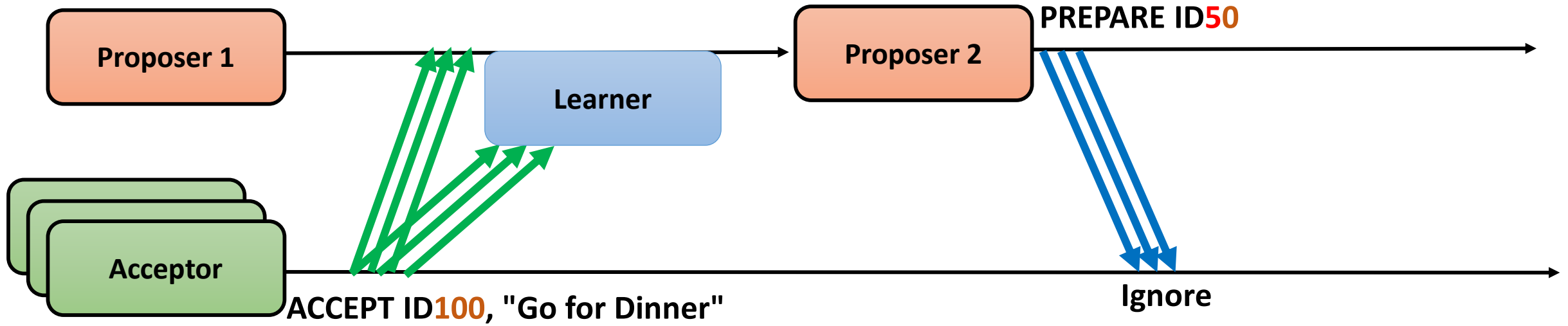
**Proposer** or **Learner** gets ACCEPT message with ID<sub>p</sub>, VALUE:

- If a proposer/learner gets majority of accept for a specific ID<sub>p</sub>, they know that consensus is reached for the value (not ID<sub>p</sub>).

# Paxos Algorithm – Multiple Proposers



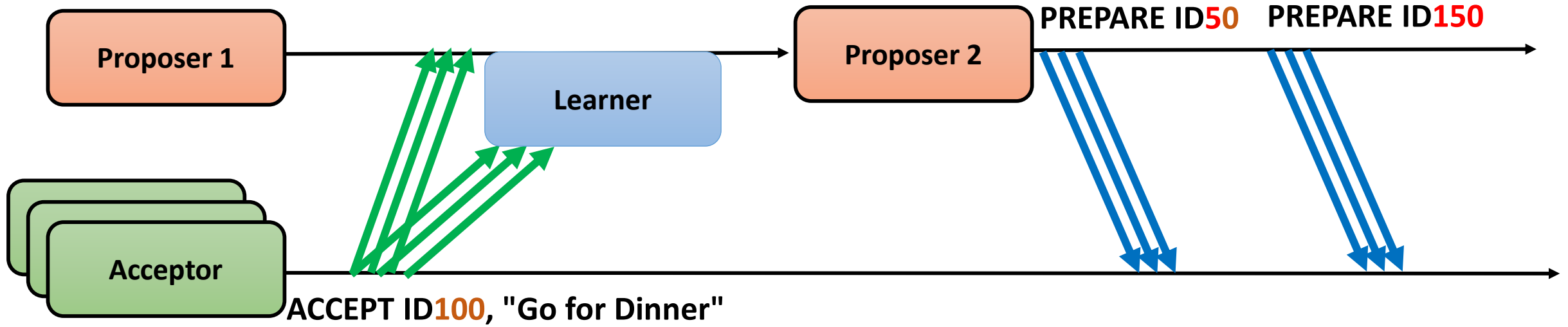
# Paxos Algorithm – Multiple Proposers



**Acceptor** received a PREPARE message with ID<sub>p</sub>:

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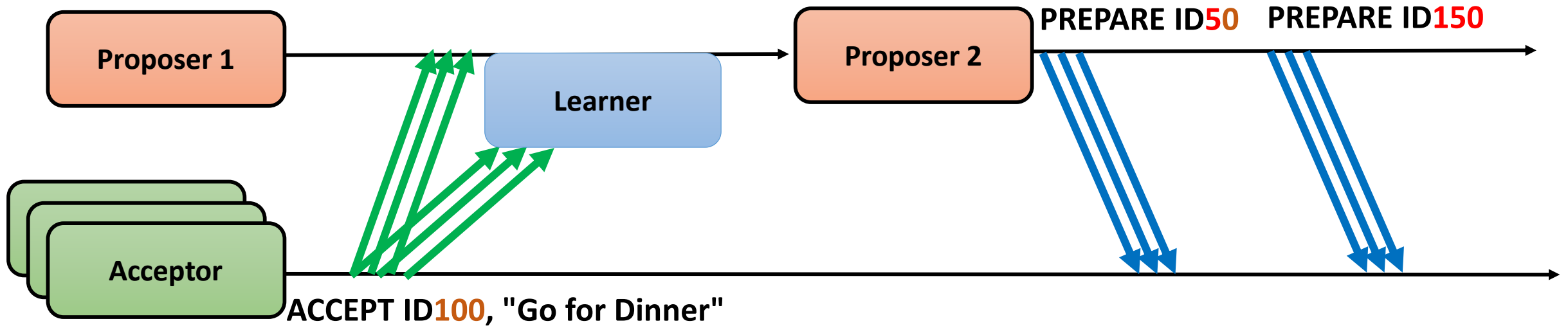
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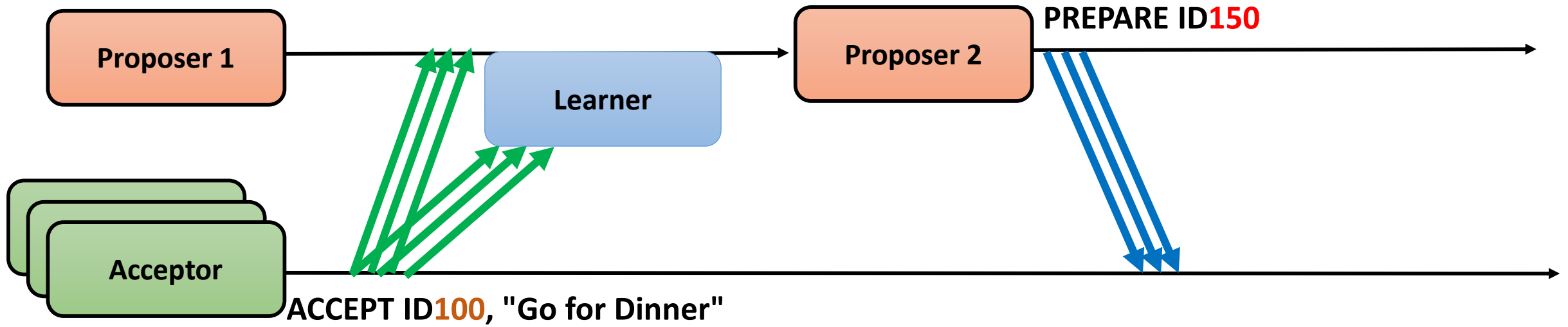
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    - Has it ever accepted anything? (Assume accepted ID = ID<sub>a</sub>)
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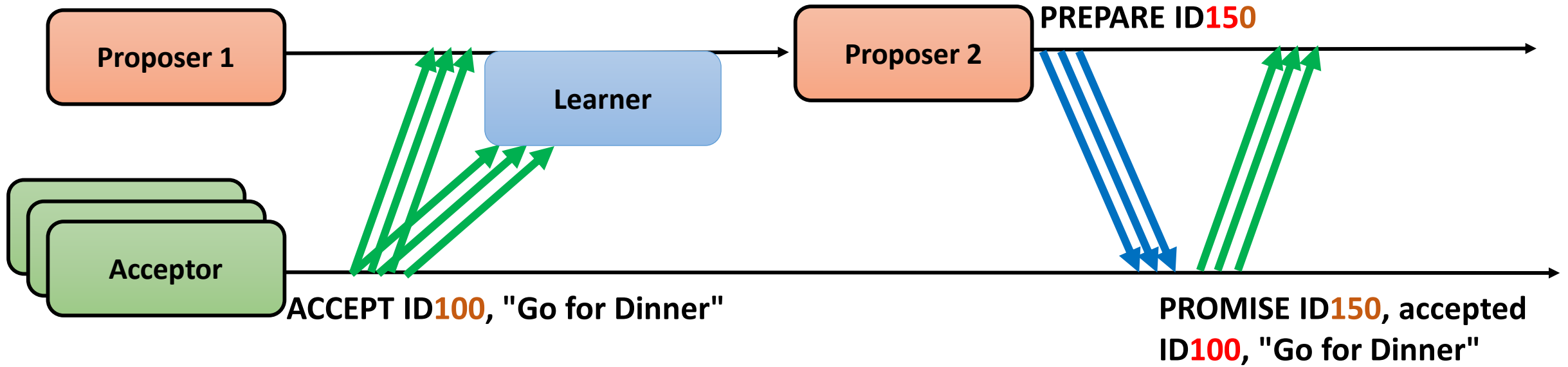
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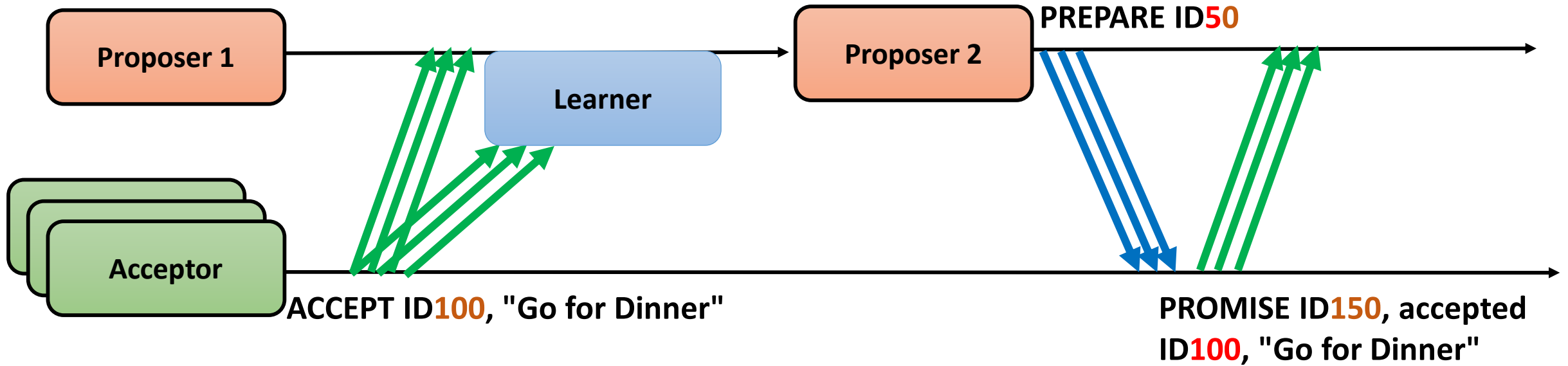
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# Paxos Algorithm – Multiple Proposers



What the proposer will do?

# Paxos Algorithm – Multiple Proposers

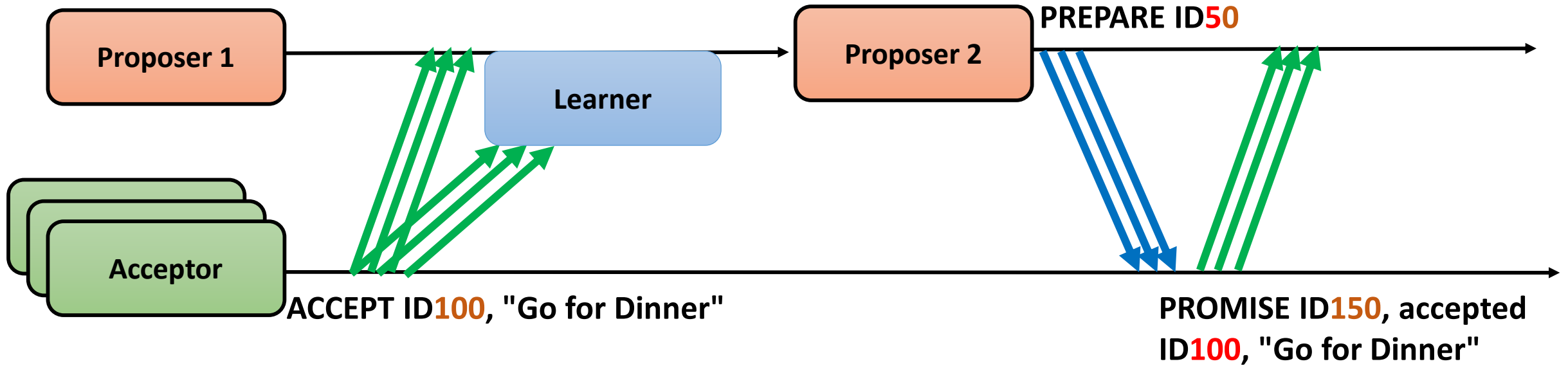


**Proposer** gets majority of PROMISE messages for a specific ID<sub>p</sub>:

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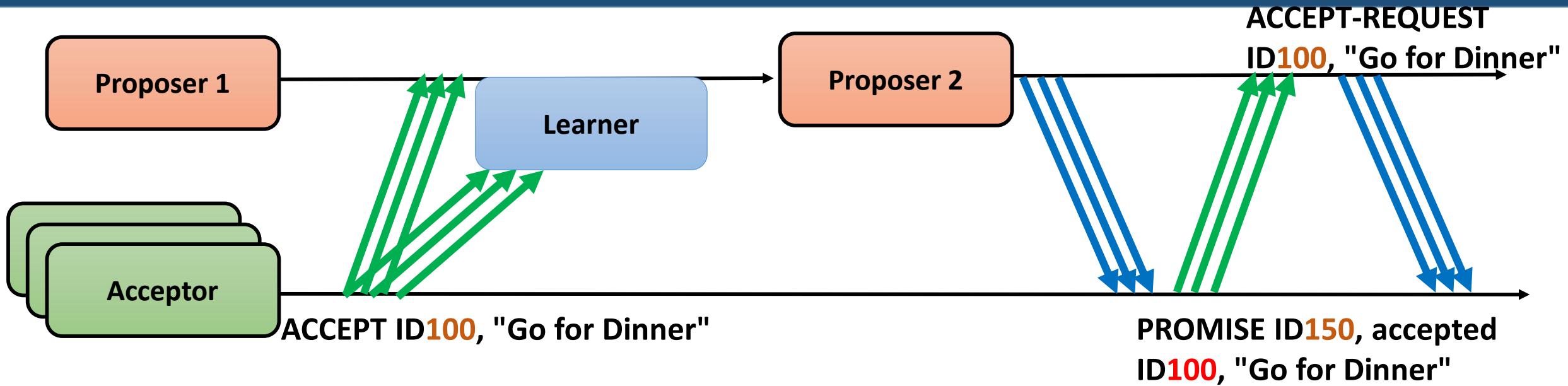
# Paxos Algorithm – Multiple Proposers



**Proposer** gets majority of PROMISE messages for a specific ID<sub>p</sub>:

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  - Has it got any already accepted value from promises?
    - **YES:** Picks the value with the highest ID<sub>a</sub>
    - **NO:** Picks the value of its choice

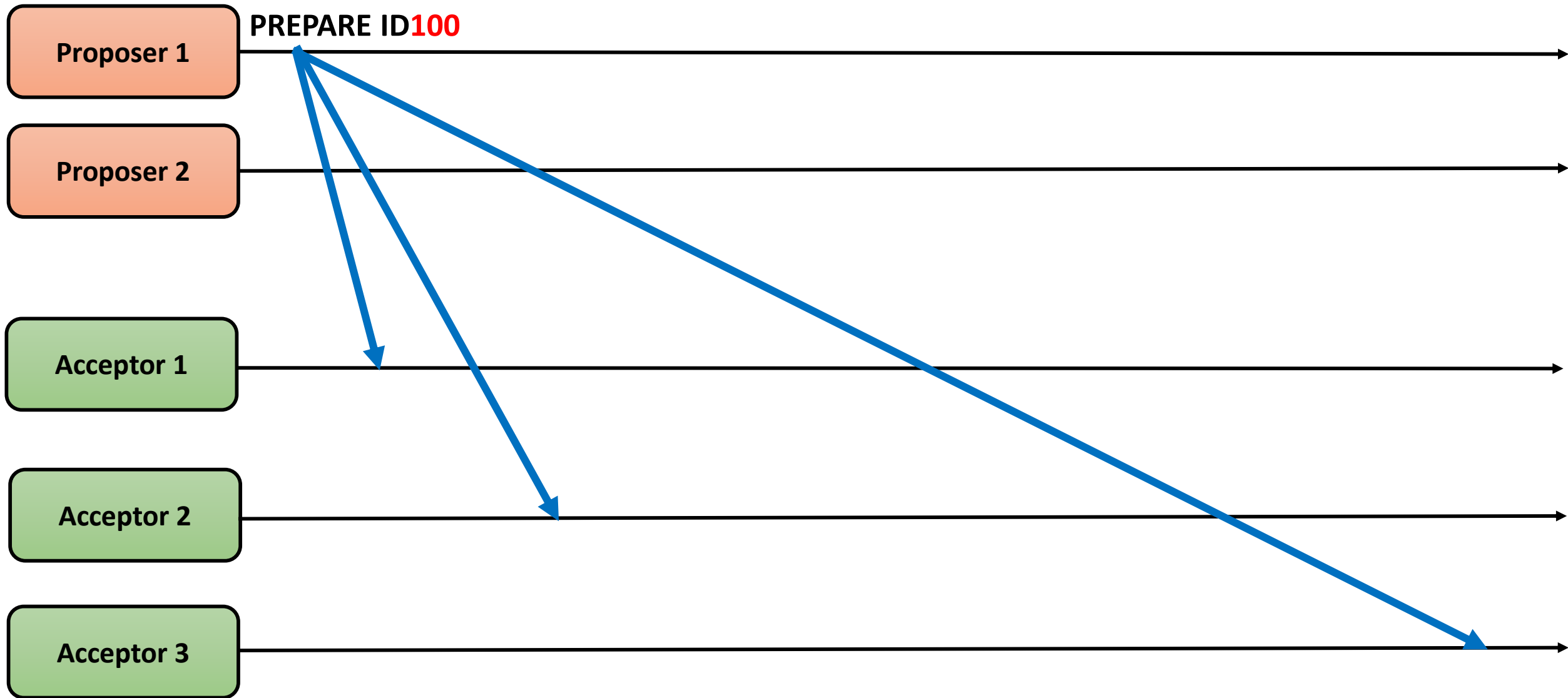
# Paxos Algorithm – Multiple Proposers



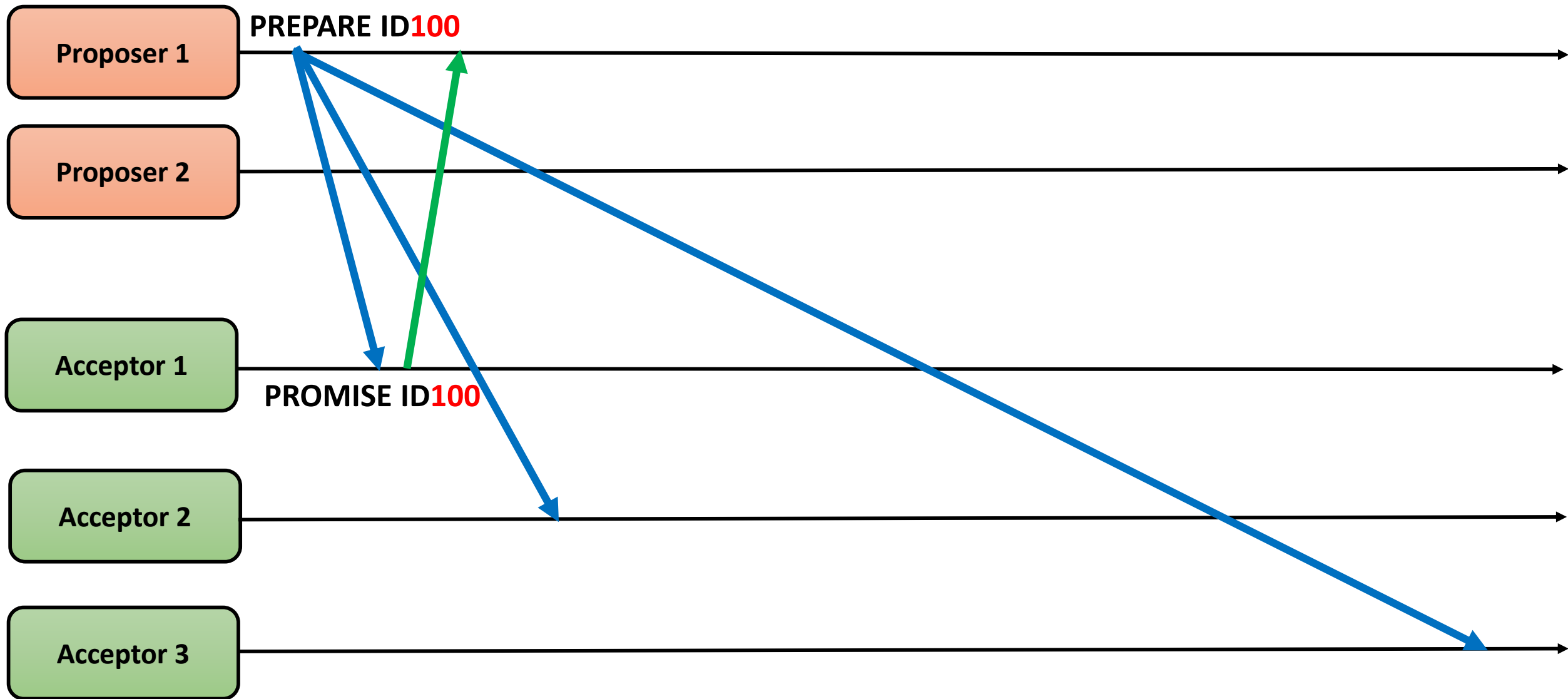
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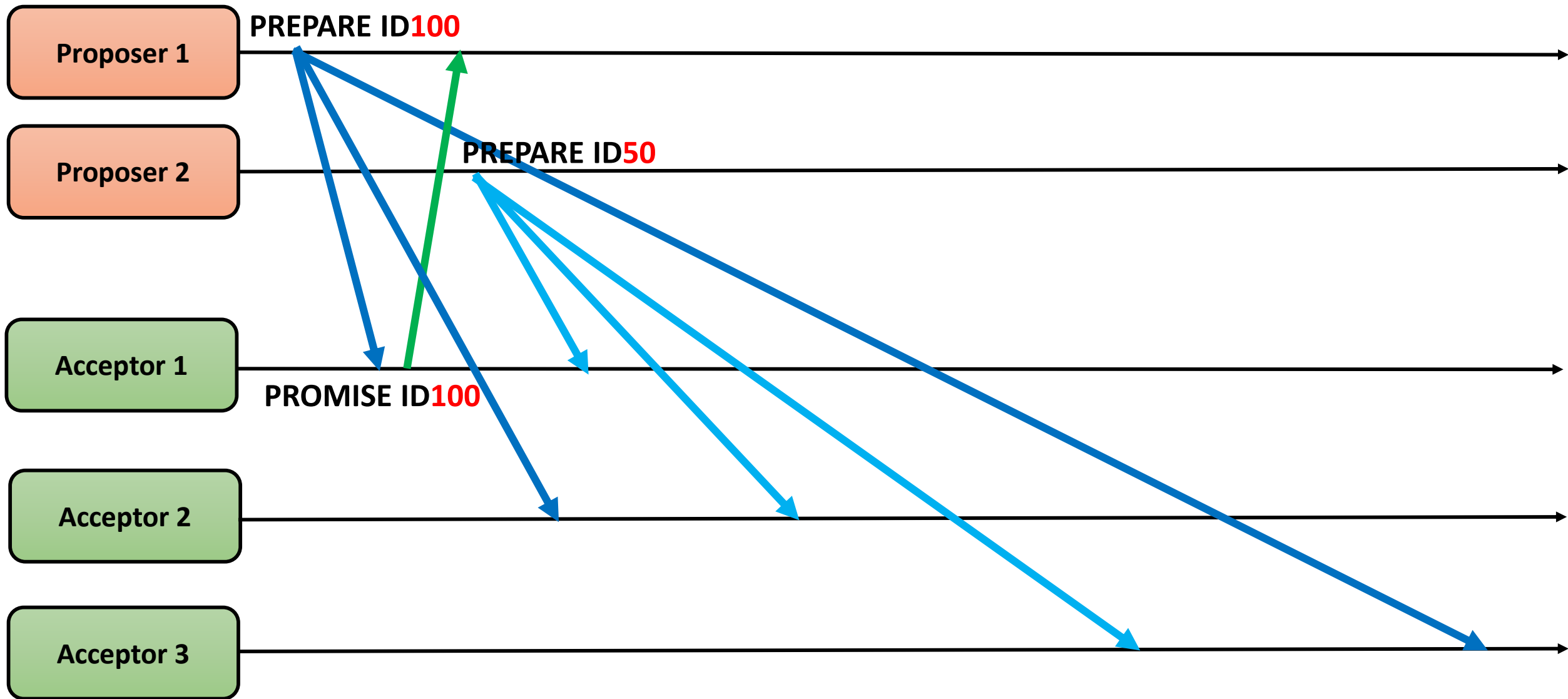
# Paxos – How Majority Works



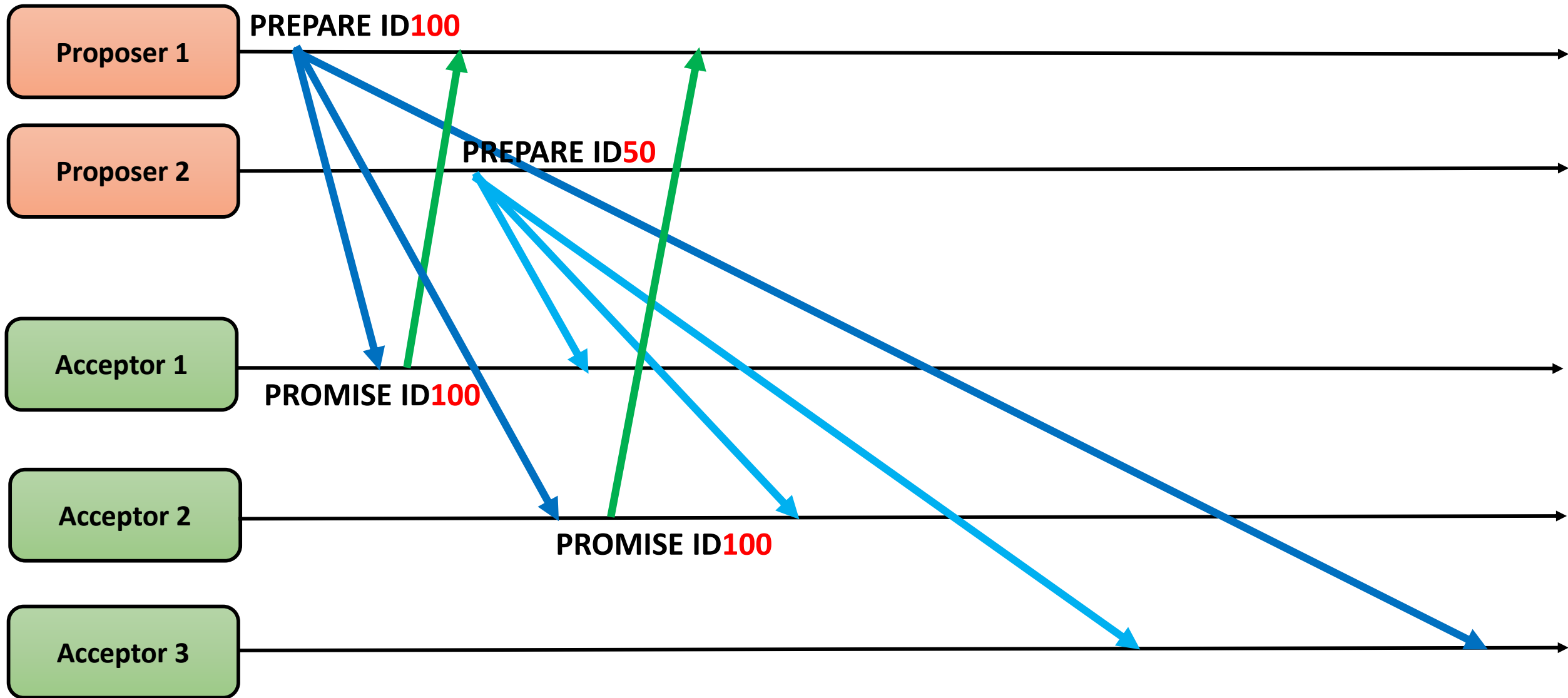
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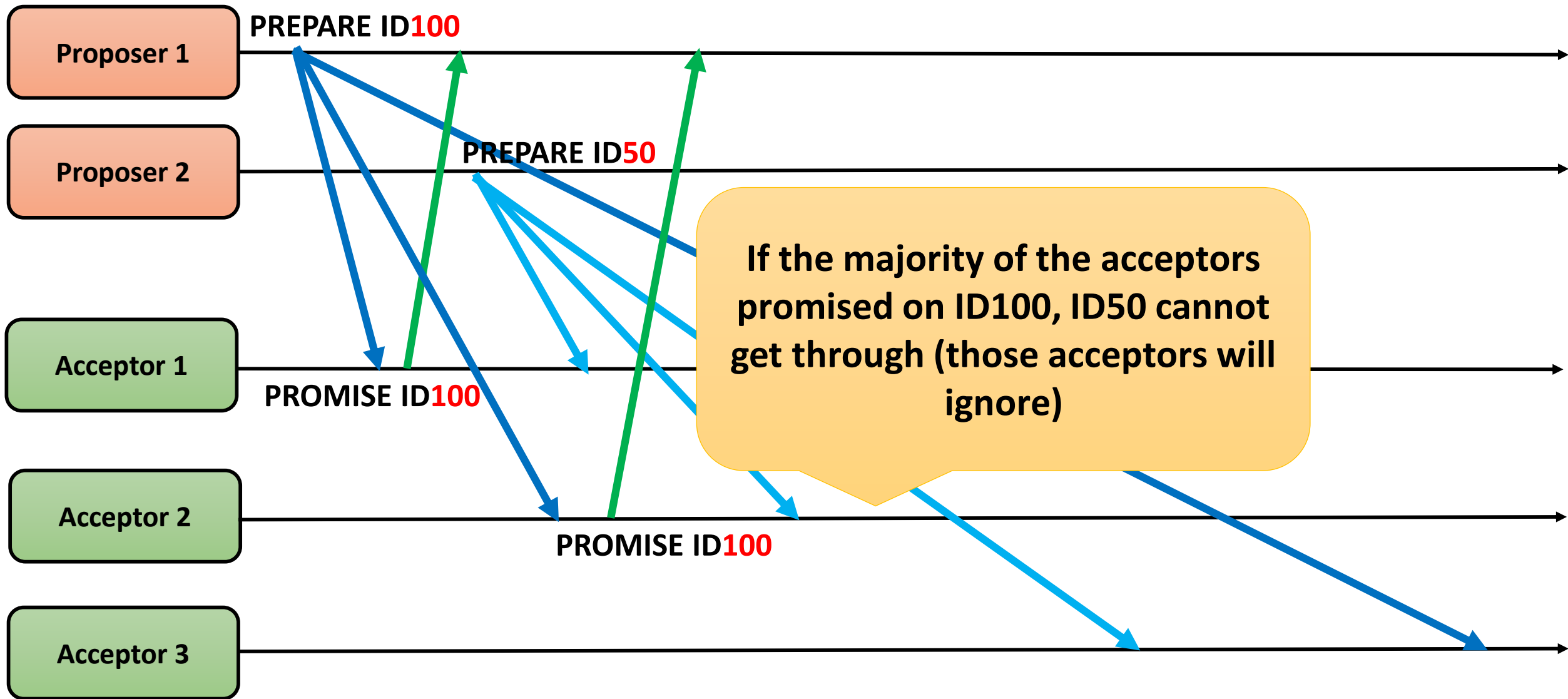
# Paxos – How Majority Works



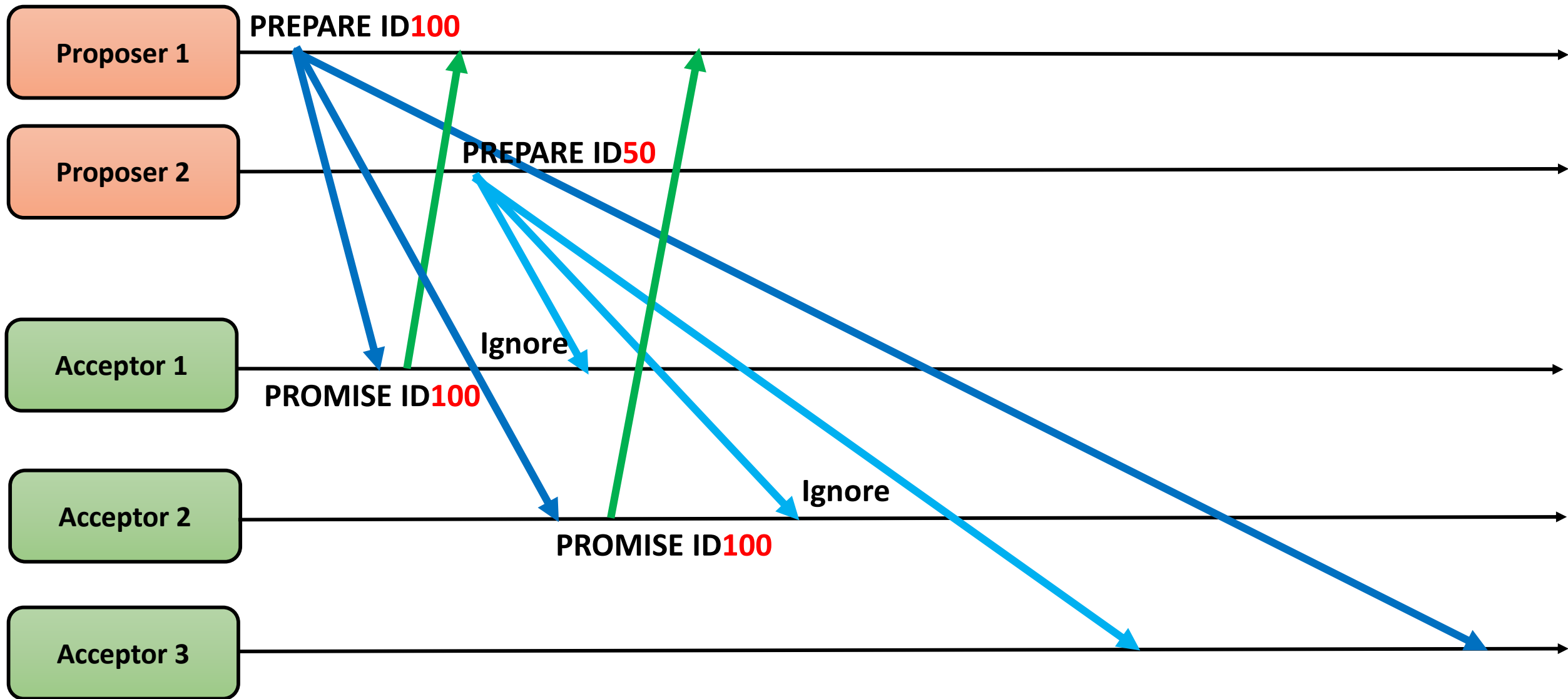
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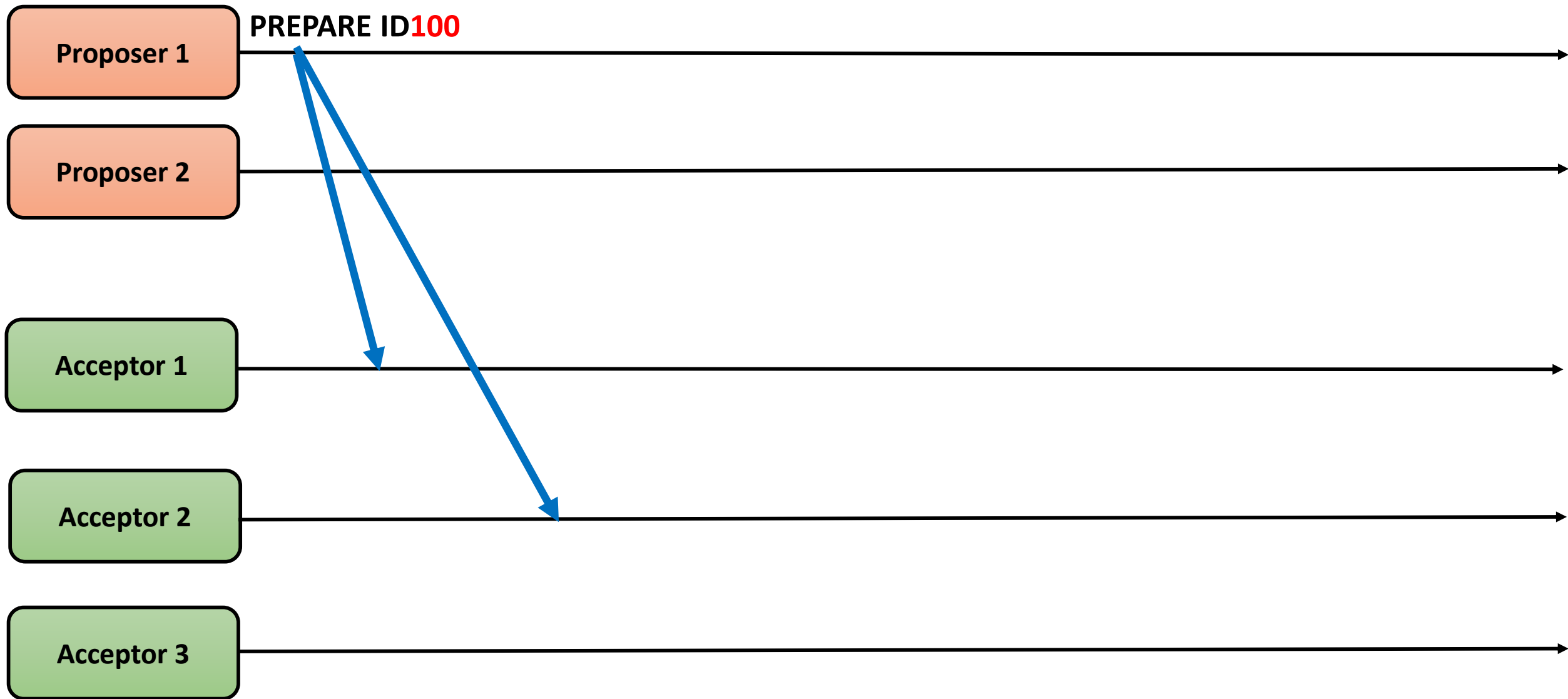


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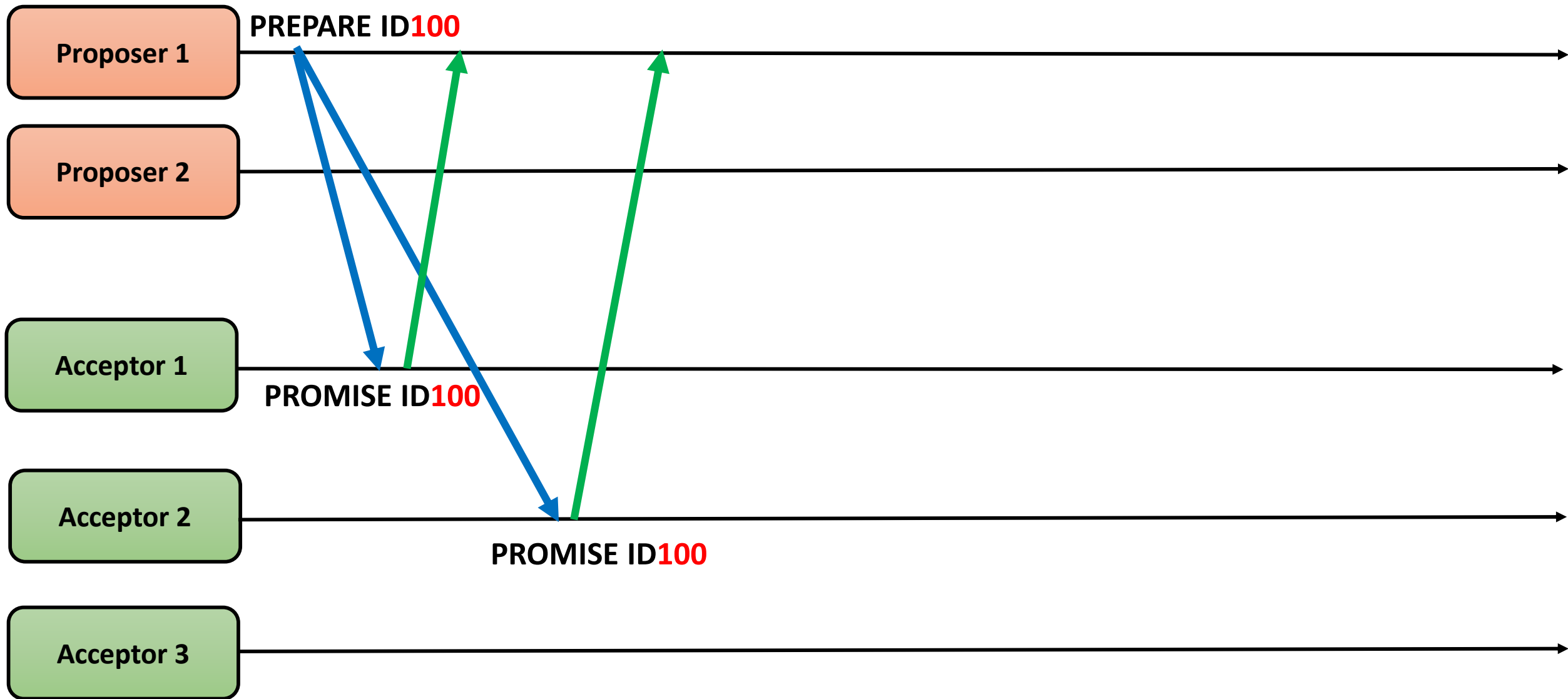




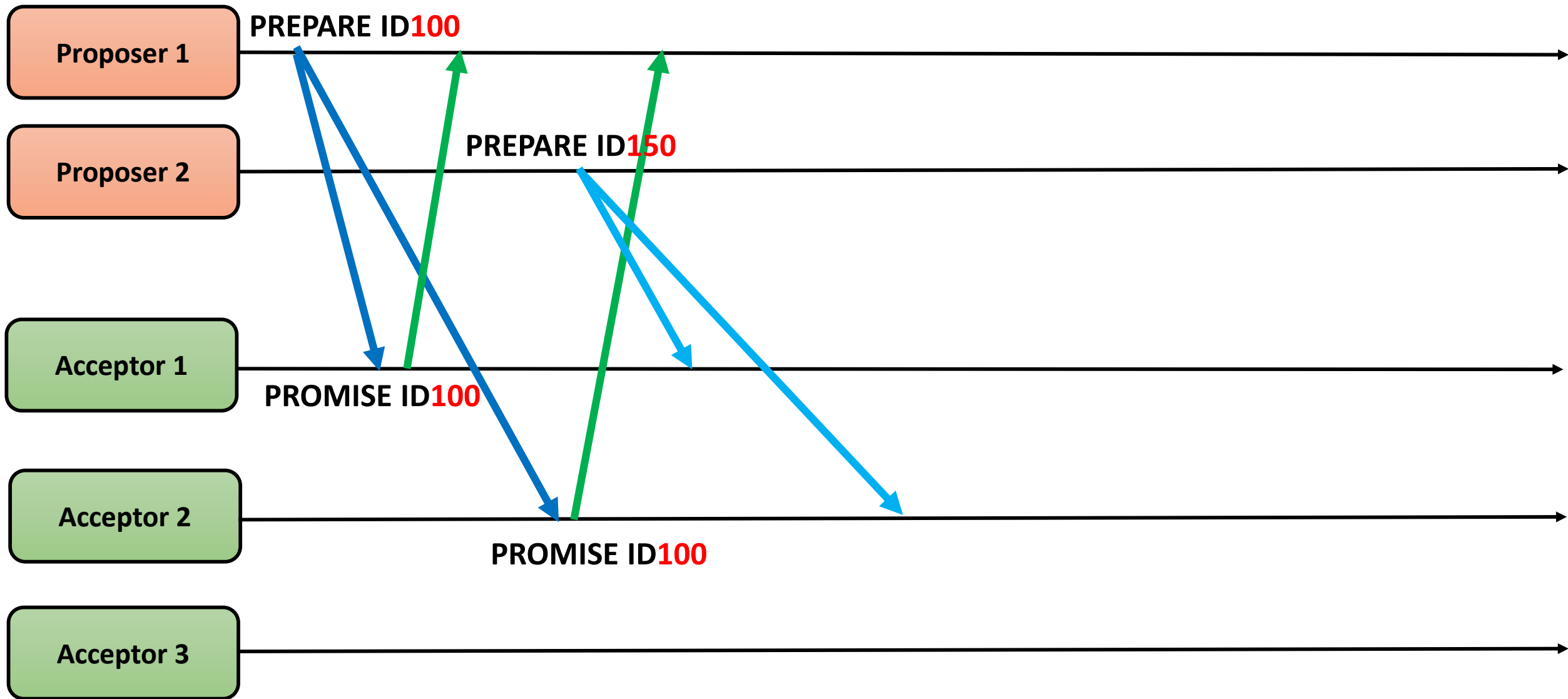
# Paxos – Impact on Liveness



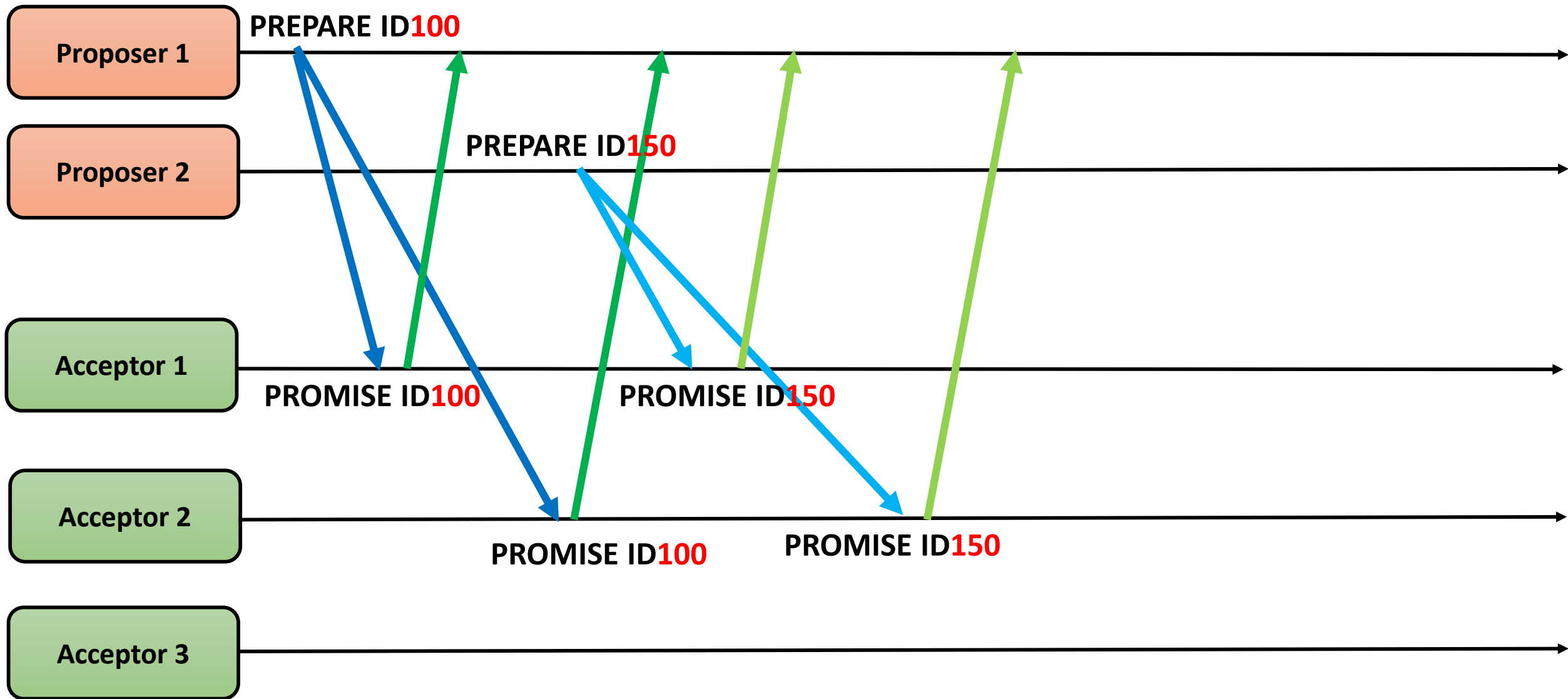
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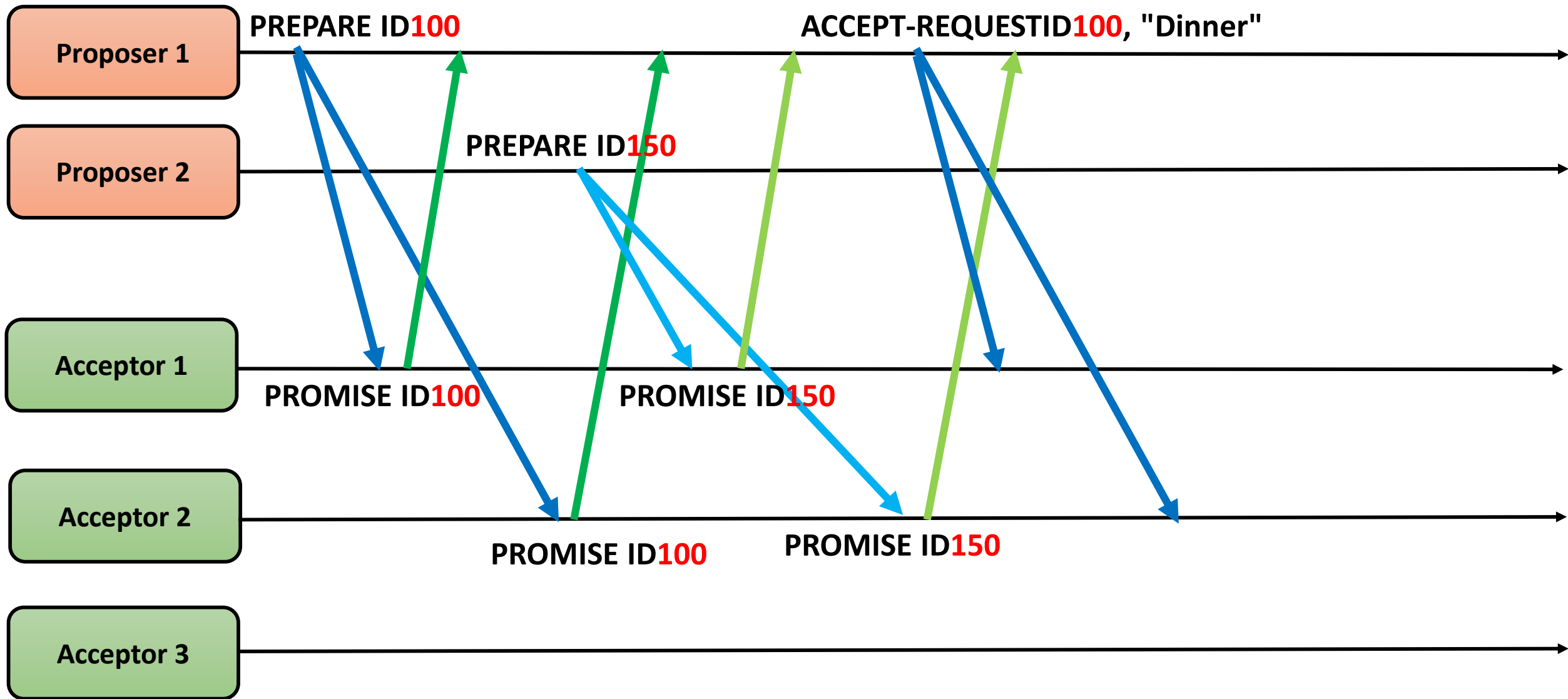
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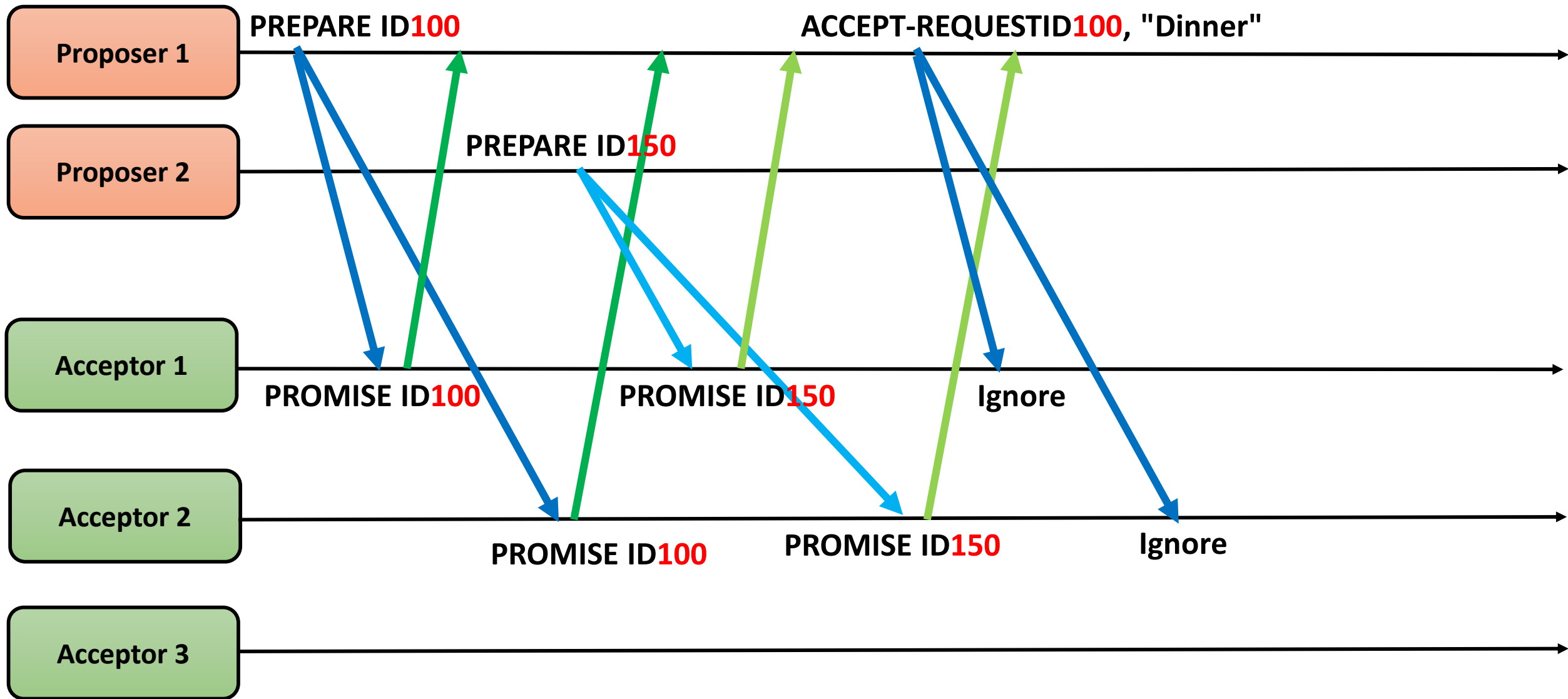
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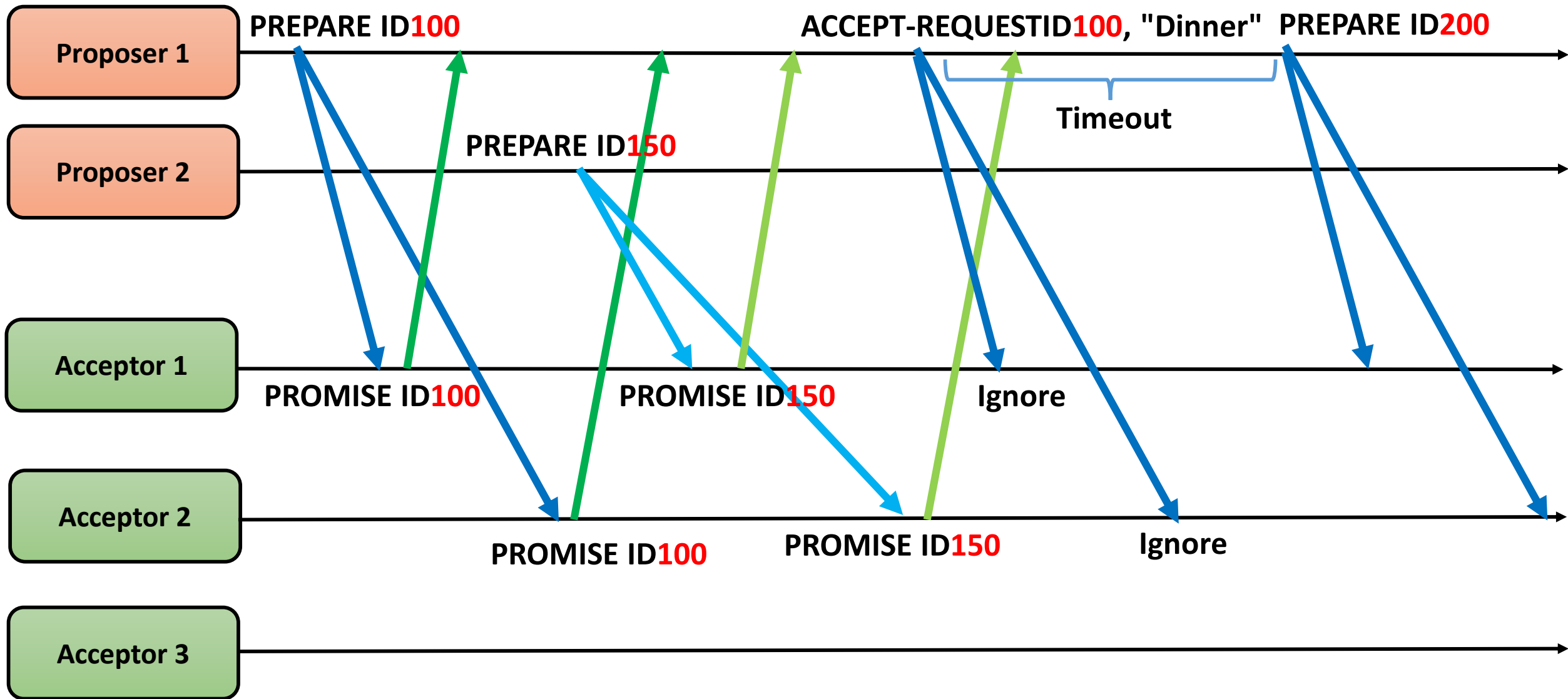
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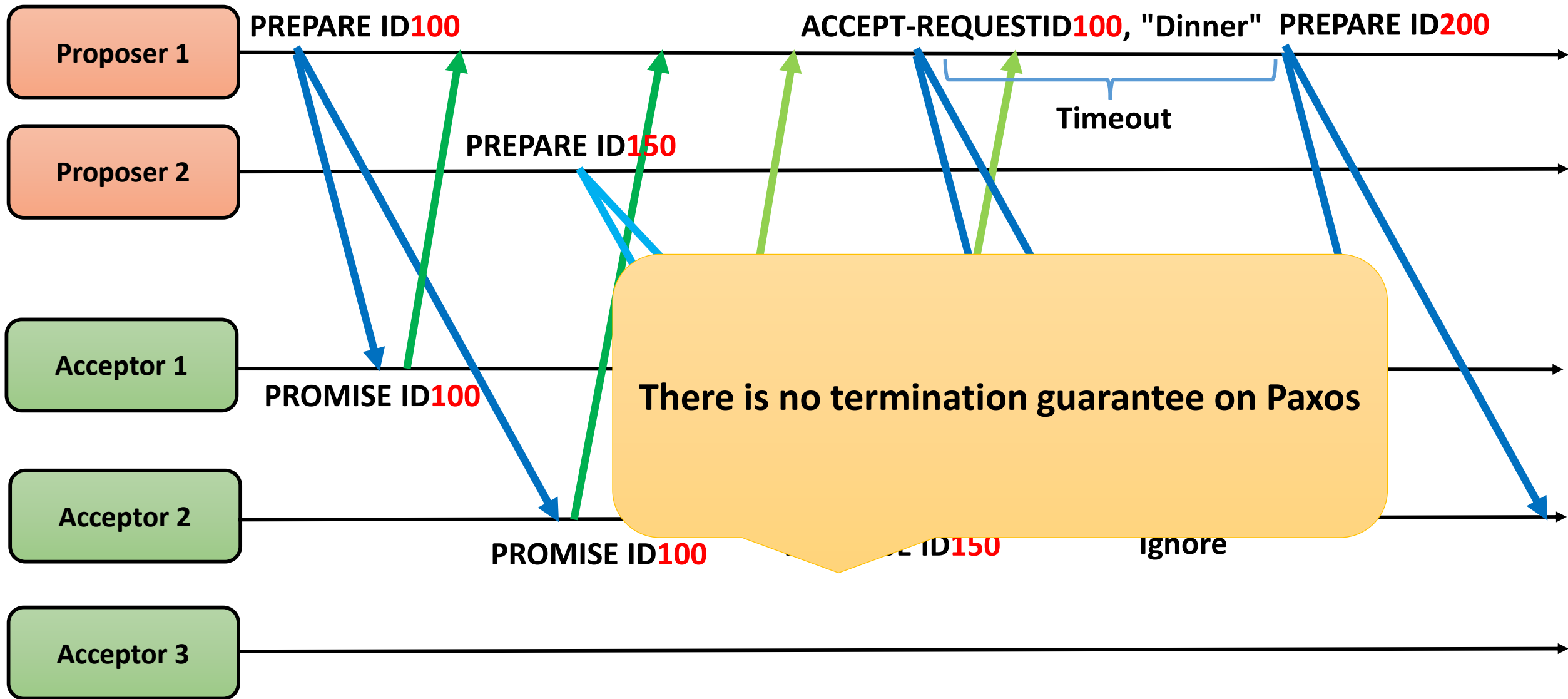
# Paxos – Impact on Liveness



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# Paxos – Impact on Liveness





# Majority of Accepts

- Majority of accepts accepts a request with an ID and a value
  - Consensus has been reached
  - The consensus is on the value
- Accept request with a lower ID
  - Will not be accepted by the majority (Would require majority of promises with the lower ID, but we got for a higher one, hence the accept request)

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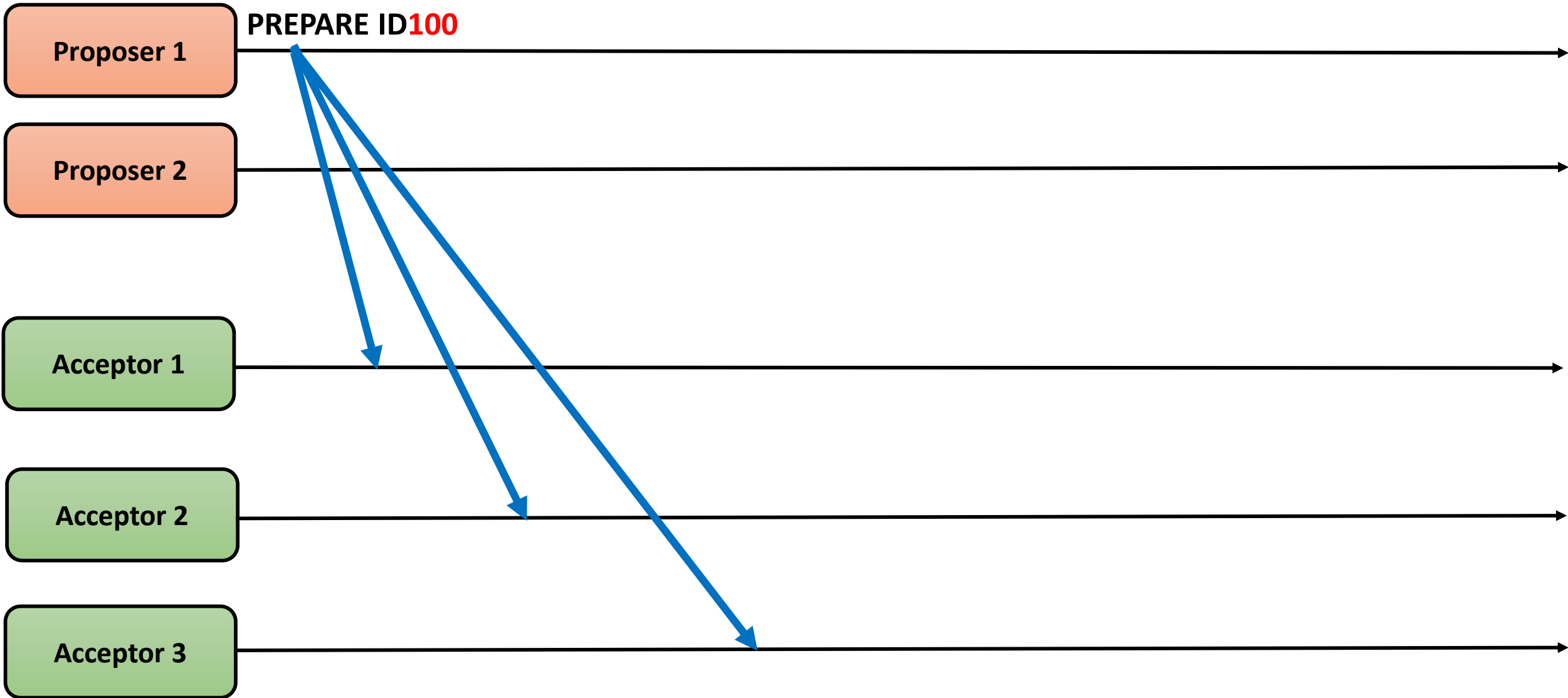
**So, the consensus is on the value**

- Accept request with a lower ID
  - Will not be accepted by the majority with a lower ID, but we got for a higher ID

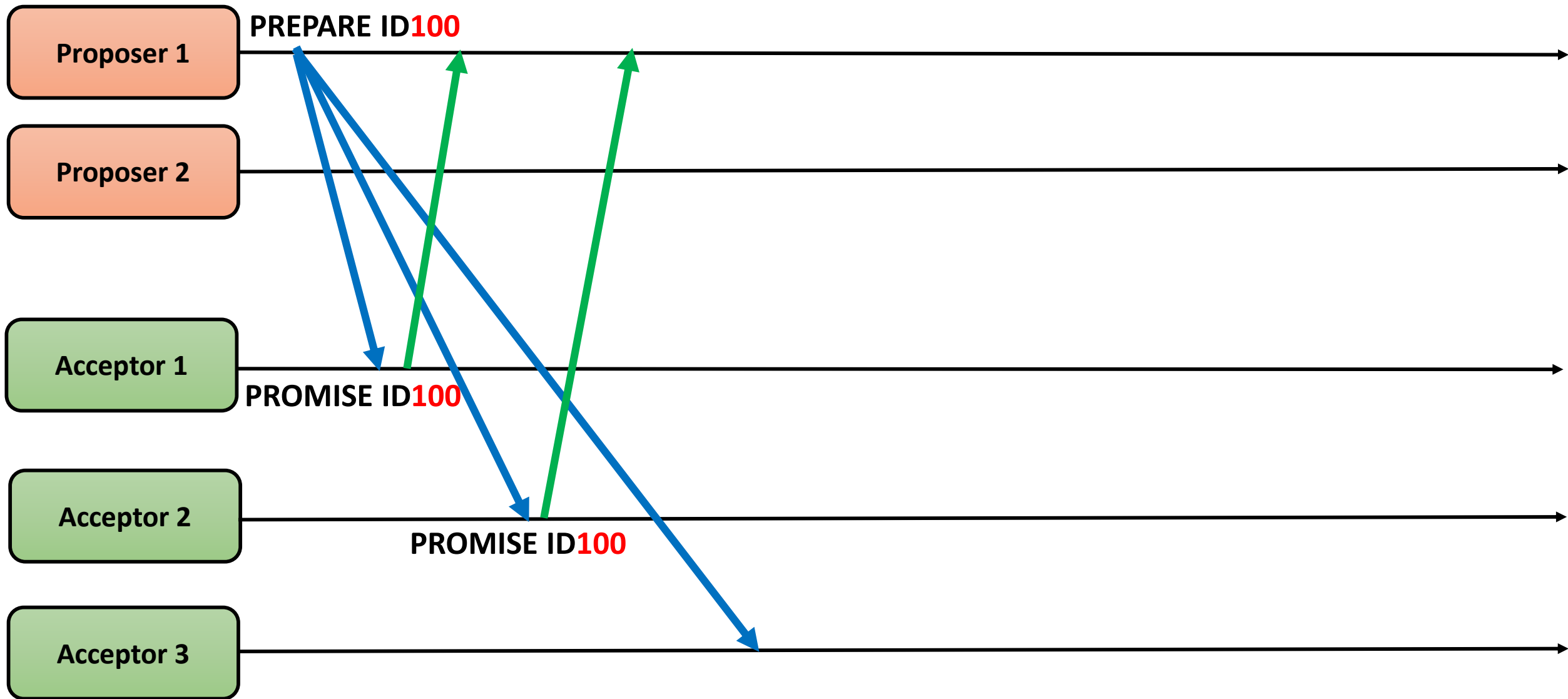
**We need the ID to maintain the current state of promise and accept, so that multiple values does not propagate**

- Accept request with a higher ID but a different value
  - Will not be accepted by the majority
  - At least one acceptor will piggyback the previously accepted value (Remember, two majority implies that there is a common node)

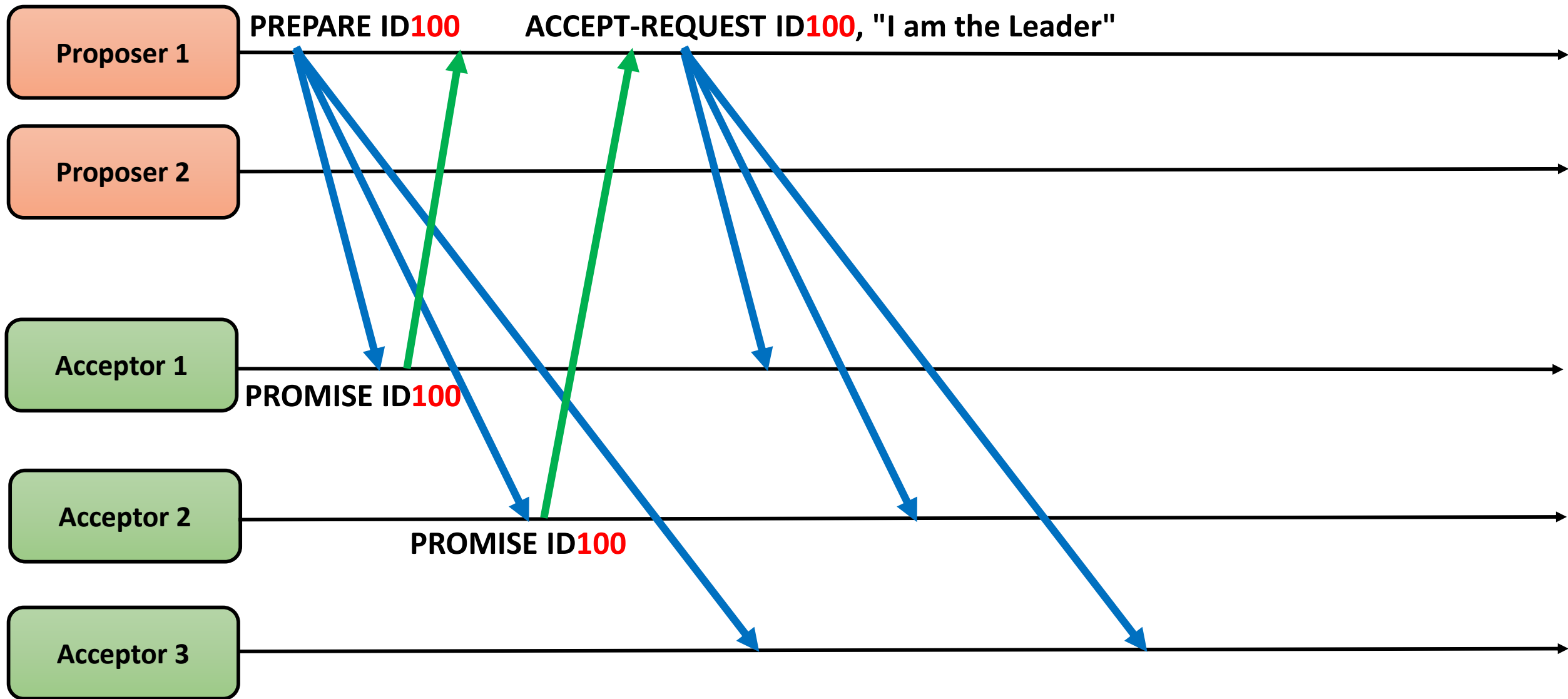
# Paxos for Leader Election



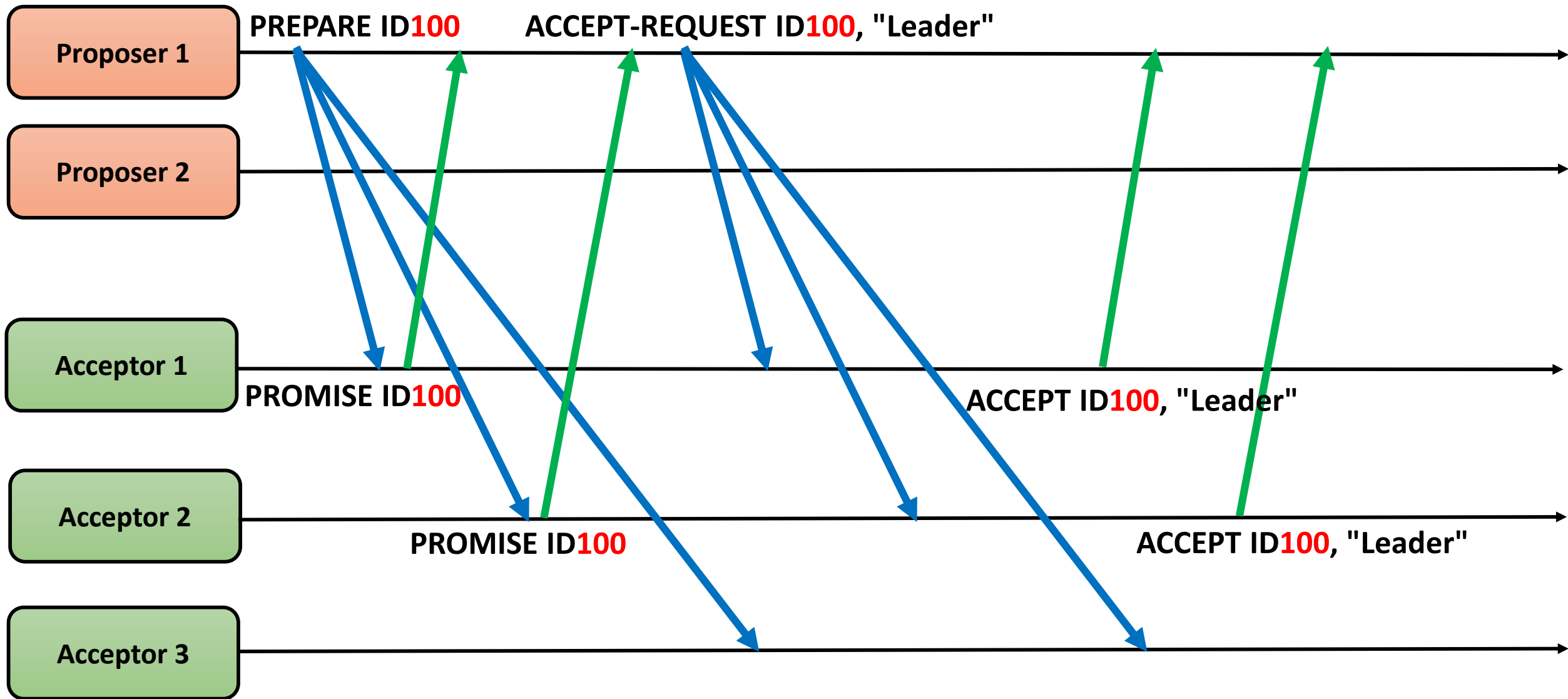
# Paxos for Leader Election



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# Paxos for Leader Election



# Multi-Paxos

- Applications often needs a continuous stream of agreed values
  - Commit the transactions in a replicated database – each transaction needs a consensus to be agreed upon by the replicas
- Run multiple instances of Paxos with different round numbers
  - Each value is associated with a round number
- If a value is already accepted for Round  $n$ , ignore the accept requests for a different value under Round  $n$ 
  - Forward an ACCEPT IDp, (ROUND $n$ , VALUE) only when no value has been agreed upon for the Round  $n$



