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Data Objects

#User will create this object to send using a Client
UserRequest {
fields:
objectId
operation
}

#Client creates this object to send to a head replica
ClientRequest {
fields:
objectId
operation
clientKey
requestId

methods:
constructor(obj_id, op, key):
self.objectId = obj_id
self.operation = op
self.clientKey = key
}

#Result received from a tail replica
Result {
fields:
requestID
result
list resultProof
}

OrderProof {
  fields:
    proofs *# list of proofs*
    issuer *# the issuer of this proof*
}

Proof {
  fields:
    issuer *# the issuer of the proof which will be the replica id*
    operation *# the operation which was performed*
    objectId *# object on which operation was performed*
    slot *# the unique operation number*
}

Classes

#User will create a Client to send/receive multiple UserRequests
Client {
  fields:
    key
    timeoutSpan
    list sent *# list of sent requests*
    list received *# list of received responses*
    requestCount

  ***method declaration*:**

    sendRequest(UserRequest)

    __sendRequest(UserRequest, msg, destReplicas)

    handleReplicaResponse(Result)

    handleError(Error)

  implementation:
    constructor(tSpan):
      self.key = Olympus.obtainClientKey()
      self.timeoutSpan = tSpan

```
    #User should use this interface
    sendRequest(UserRequest):
        # Get a unique request id
        requestID = getUniqueReuqestID()

        # Get the current configuration
        config = Olympus.getCurrectConfig()

        # Send a request to the head replica
        dest = config.head
        return __sendRequest(UserRequest, msg = "newRequest", destReplicas = dest)


    __sendRequest(UserRequest, msg, destReplicas):
        config = Olympus.getCurrectConfig()

        # Create a request
        request = new ClientRequest(obj_id = UserRequest.objectId, op =
UserRequest.operation, key = self.key)

        # Send the request to the destination replicas
        send sign(<msg, request>) to destReplicas       # Sign with the replica's public key
        sent.add(request)

        # The request has been sent and wait for at max timeout interval for the result
        timeout(minutes = self.timeoutSpan):
            # Check if we have received
            if request.requestID not in received:
                # Get the current config. This updates the config incase there are any changes
                config = Olympus.getCurrectConfig()
                self.__sendRequest(UserRequest, msg = 'retranmission', destReplicas =
config.getAllReplicas())


    # Receive an unvalidated result
    # Note: We assume a user may get mulitple responses for a single request
    handleReplicaResponse(Result):
        if Result.requestId in sent:
            for each proof in Result.resultProofs
                replicaPublicKey = config.replicas(proof.replicaID).publicKey
                if SHA256(Result.result, replicaPublicKey) != proof.signature
                    return error
```

```
        return Result.result


    # Received an error. This means the sender replica is immutable
    handleError(Error):
        config = Olympus.getCurrectConfig()
        __sendRequest(UserRequest, replicas = config.getAllReplicas())
}

Olympus {
    fields:
        config                  # Ordered list of replicas
        replicaCount            # The number of replicas
        list wedge_replies      # list of wedge replies obtained from replicas
        list catchUpReplies     # list of catch up replies obtained from replicas
        list runningStateReplies # list of running state replies from replicas
        list publicReplicaKeys  # list of public keys for replica
        list privateReplicaKeys # list of private keys for replicas
        replyTimeout            # acceptable amount of time to wait for a reply from a replica

    methods:

        initHist(numberOfReplicas, config, hist, runningState)

        receiveClientKeyRequest()

        getCurrectConfig()

        checkValidWedgeReply(wedge_reply)

        validateOrderProof(orderProofs)

        handleAsyncRequest(msg, request, client)

        handleWedgeResponse(wedgeResponse)

        handleCatchupResponse(catchupResponse)

        handleRunningStateResponse(runningStateResponse)

        validateCheckpointProof(checkpointProof)

        receiveReconfigureRequest()
```

```
    constructor(numberOfReplicas, timoeut):
        self.replicaCount = numberOfReplicas
        self.config = new Config()
        # Initialize a configuration with empty history and empty running state
        initHist(self.replicaCount, self.config, hist = {}, runningState = {})
        # Generate new public and private keys
        self.publicReplicaKeys, self.privateReplicaKeys = generateSignKeys(replicaCount)
        self.replyTimeout = timeout

    # Creates a new configuration
    initHist(numberOfReplicas, config, hist, runningState):
        # Delete old replicas
        config.purgeReplicas()
        # Generate new public and private keys
        self.publicReplicaKeys, self.privateReplicaKeys = generateSignKeys(replicaCount)

        # Create each replica
        for id in numberOfReplicas:
            r = new Replica(publicKeys = self.publicReplicaKeys, secretSigningKey =
self.privateReplicaKeys[id], status = Active, history = hist, runningState = runningState)
            # Add the replica to the config
            config.add(r)

        # Link each replica
        for each replica in config:
            if replica is not the head:
                replica.previousReplica = replica.index-1
                replica.headReplica = first replica in config
            if replica is not the tail:
                replica.nextReplica = replica.index+1
            replica


    # Returns a new identifier and key to the client
    receiveClientKeyRequest():
            return generateRandomKey()


    # Asynchronous method to handle requests from Clients to obtain the replica list
    getCurrectConfig():
        return self.config
```

```
checkValidWedgeReply(wedge_reply):
   for order_proof in wedge_reply.order_proofs:
      replicaId     = order_proof.issuerId
      signKey       = signKeys[replicaId][replicaId+1]
      if (unsign(signKey.privateKey, order_proof.data) == Success )
          order_proof_data = unsign(signKey.privateKey, order_proof.data)
      else:
         return False

validateOrderProof(orderProofs):
    for order_proof in order_proofs:
      # Check source of order_proof by using replica's public key
      if decrypt(order_proof) == False:
         return False
      # Check if only one distinct operation exists in this order proof
      if order_proof.operations.distinct.count != 1
        return False                                  # Not correct, therefore false
      if order_proof.slots.distinct.count != 1        # Check if only one slot is present
        return False
      return True


# Asynchronous handler for all messages. This multiplexes to sub-handlers
handleAsyncRequest(msg, request, client):
         switch msg:
      'wedgeResponse':
         handleWedgeResponse(request)
      'catchupResponse':
         handleCatchupResponse(request)
      'runningStateResponse':
         handleRunningStateResponse(request):
      'reconfigure':
         receiveReconfigureRequest()
      'configuration':
         getCurrectConfig()
      default:
               return Error


# Handler for wedge responses from replicas
handleWedgeResponse(wedgeResponse):
   # Append all the wedge responses obtained
```

```
    wedge_replies.append(wedgeResponse)


# Handler for catch up messages from replicas
handleCatchupResponse(catchupResponse):
    # Append all the catch up responses obtained
    catchUpReplies.append(catchupResponse)


# Handler for runningState messages from replicas
handleRunningStateResponse(runningStateResponse):
    # Append all the running state responses obtained
    runningStateReplies.append(runningStateResponse)


# All the hashes should match in a checkpointProof
validateCheckpointProof(checkpointProof):
    hash h_hash = {}
    hash h_seq  = {}
    for proof in checkpointProof
        if decrypt(proof) == True:
            h_hash[proof.hash] = 1
    # There is only hash present in all the proofs inside checkpointproof
    if h_hash.keys().size > 1
        return False
    # Sequence number of all the proof in checkPointProof should be same
    if h_seq.keys().size > 1
        return False
    return True


receiveReconfigureRequest():
    # Request wedge statements from each replica
    wedge_replies = []
    for each replica in config:
            # signing uses the private key of the Olympus
            send sign(<"wedgeRequest">) to replica

    # Wait till obtaining t+1 wedge replies from the replica
    # This will create a quorum of t+1 replicas which will ensure that there is at least one
        honest replica
    While (wedge_replies.size < (replicaCount - 1)/2 + 1):
        True                        # Keep looping
```

```
        # Find the maximal orderproofs
        # slot_op contains the maximum length of order proof for each slot and the operation
              done in each slot
        # Create a list of [slot, operation] tuple to recreate replica


        hash slot_op = {}                  # Length and operation for each slot
        for wedge_reply in wedge_replies:
           # Check valid source of wedge_reply using the public key for that replica
           if (decrypt(wedge_reply) == True)
             # Check valid order_proofs and checkpoint proofs
             if(validateOrderProof(wedge_reply.order_proofs) and
validateCheckpointProof(wedge_reply.checkpoint_proof)):

                  if slot_op[wedge_reply.order_proof.slot] is empty:
                    slot_op[slot] =  [wedge_reply.order_proof.operation,
wedge_reply.order_proof.length]

                  else if slot_op[slot] is present:

                     if slot_op[slot].length < wedge_reply.order_proof.length
                        slot_op[slot] = [wedge_reply.order_proof.operation,
wedge_reply.order_proof.length]
                     else
                        continue
              else
                continue
           else:
             continue

        hash h = {}                    # Creating history to be used for reconfiguring the replicas
        for slot in slot_op:
           h[slot] = slot_op[slot].operation

        for wedge_reply in wedge_replies:
           validateCheckpointProof(wedge_reply.checkpoint_proof)

        # Create catch_up messages content for checkpoint purpose
        for replica in config: # For each replica
           # Calculate the difference between validated slot operations and the replica's history
              (wedge_reply.order_proofs)
```

```
            catchupDifference = wedge_replies[replica.id].order_proofs.keys - slot_op.keys
            send sign(<"catchUpRequest", catchupDifference>) to replica            # signing uses
the private key of the Olympus

        # Wait an acceptable amount of time for catchup responses
        # If a replica does not reply in an acceptable amount of time then they are faulty
        # Therefore, we are guaranteed at least t + 1 responses since t + 1 replicas are correct
        catchUpReplies.purge()                    # Clear the reply list
        timeout(self.replyTimeout):
            # Dictionary of catchup responses (running state hases) with a default value of 0
            hash stateHashes = {}

            # Once the timeout is over, go through the list of response hashes
            for catchUpResponse in self.catchUpReplies:
                decrypt(catchUpResponse)          # Decrypt the hash using the replica's public key.
This validates the replica's identity
                stateHashes[catchUpResponse.stateHash] += 1

            stateHashes.sort()                  # Sort by value

        correctHash = stateHash.last().key          # Since the list is sorted, the last hash should
have the largest and should have a value of at least t + 1 (at least t+1 replicas have the same
hash)

        for catchUpResponse in catchUpReplies:      # Already decrypted
            if catchUpResponse.stateHash == correctHash:
                self.runningStateReplies.purge()    # Clear the response list
                send sign(<"runningStateRequest">) to catchUpResponse.replica
                timeout(self.replyTimeout):         # If we do not receiver a response then try another
replica
                    for stateResponse in self.runningStateReplies:
                        # Decrypt using the replica's public key
                        if decrypt(stateResponse) and  if SHA256(stateResponse.runningState) ==
correctHash:   # Check if the hashes match
                            correctRunningState = stateResponse.runningState
                            break(2)              # Break 2 levels out of the outer loop

        # Create a new configuration
        initHist(self.replicaCount, self.config, hist = slot_op, runningState = correctRunningState)
}
```

Replica {

fields:

```
previousReplica
nextReplica
headReplica              # the address to head Replica
history
interReplicaKeys         # public keys of all replicas
privateKey               # the private key of this replica
status
cache                    # cache for storing the results
headFlag                 # boolean flag to check if replica is head or not
tailFlag                 # boolean flag to check if replica is tail or not
timeoutSpan              # the timeout for retransmission requests
pendingRequests          # requests whose result shuttle is pending
allowedOperations = ['query','update' ]
maxSlotNum               # the largest slot number ordered
runningState             # contains the values of all objects in the replica
checkpointNum            # the latest slot number which has been checkpointed
checkpointHash           # the hash of the running state at the latest checkpoint
checkPointProof          # latest checkpoint Proof
```

methods:

```
checkpoint(checkPointSlot)

handleAsyncRequest(msg, request, client)

handleNewRequest(client, request)

handleRetranmissionRequest(client, request)

handleWedgeRequest(request)

handleResultShuttle(result, resultProof)

handleCheckpointShuttle(CheckPointProof)

handleCheckpointReturnShuttle(CheckPointProof)

handleCatchupRequest(request)

handleRunningStateRequest(request)

getProofsFromHistory(required_slot)
```

```
orderCommand(order, orderProof, resultProof, sendFlag = True)



constructor(publicReplicaKeys, secretSigningKey, status, history, runningState):
    self.status               = status
    self.interReplicaKey      = publicReplicaKeys
    self.privateKey           = secretSigningKey
    self.maxSlotNum           = max(history)  # Find the maximum slot number in the history
    self.checkpointInterval   = 100          # Denotes how often to checkpoint in terms of new
slots ie. every 100 slots
    self.runningState         = runningState


# This function performs a checkpoint up to the chechPointSlot
checkpoint(checkPointSlot):
    # Update checkpointSlot number
    self.checkpointNum = checkPointSlot

    # Hash the running state
    self.checkPointHash = SHA256(self.runningState)     # calculate hash using SHA256


# Catch all message handler
handleAsyncRequest(msg, request, client):
        switch msg:
      'newRequest':
        handleNewRequest(client, request)
      'retransmission':
        handleRetranmissionRequest(client, request)
      'wedgeRequest':
        handleWedgeRequest(request)
      'catchUpRequest':
        handleCatchupRequest(request)
      'runningStateRequest':
        handleRunningStateRequest(request)
      'returnShuttle':
        handleResultShuttle(result, resultProof)
      'checkpointShuttle':
        handleCheckpointShuttle(CheckPointProof)
      'checkpointReturnShuttle':
        handleCheckpointReturnShuttle(CheckPointProof)
```

```
            default:
                    send sign(<"error", request.requestid>) to client       # Sign using replica's
private key


    # Asynchronous handler for normal order command requests
    # Multi purpose handler to handle new requests
    handleNewRequest(client, request):

        if self.state != ACTIVE:                                    # Check if we are active
            send sign(<"error", request.requestid>) to client       # Sign using replica's private key
            return

        if valid(client.clientKey) == False:                        # Check validity of client key
            send sign(<"error", request.requestid>) to client       # Sign using replica's private key
    return
        orderCommand(operations = request.operation, objectId = request.objectId)


    # Handler for retransmission requests
    handleRetranmissionRequest(client, request):
        if self.status == Immutable:
            send sign(<"error", request.requestid>) to client       # Sign using replica's private key
            return
        else if request.id in cache:                                # Sign using replica's private key
            send sign(<"retranmissionResponse", cache[request.id]>) to client
            return
        else:
            if self.headFlag ==  False:          # forward the request to head
                sendRequestToReplica(headReplica, request)
                timeout(minutes = self.timeoutSpan):
                    if request.id not in cache:     # if no result shuttle obtained then reconfig
                        self.status = Immutable
                        send sign(<"reconfigure">) to Olympus   # Sign using replica's private key
                        return
                    else
                        send sign(<"retranmissionResponse", cache[request.id]>) to client # Sign using
replica's private key
                        return
            else:                               # we are the head replica
                if request.id in cache:          # if no result shuttle obtained then reconfig
                    send sign(<"retranmissionResponse", cache[request.id]>) to client # Sign using
replica's private key
```

```
            return
        else if request.id in pendingRequests:
            timeout(minutes = self.timeoutSpan):
                if request.id not in cache  # if no result shuttle obtained then reconfig
                    self.status = Immutable
                    send sign(<"reconfigure">) to Olympus   # Sign using replica's private key
                    return
                else
                    send sign(<"retranmissionResponse", cache[request.id]>) to client # Sign using replica's private key
                    return
        else:                       # similar to new request therefore calling handleRequest
            handleNewRequest(client, request)


    # Handler for wedge requests
    handleWedgeRequest(request):
        if (decrypt(request) == False)                  # using Olympus public key
            return False                            # Do not respond

        # Make sure our status is Immutable
        self.status = Immutable

        # Sign the data and send it to Olympus
        send <"wedgeResponse", self.runningState, self.orderProofs, self.checkPointProof> to Olympus


    # Handler for a result shuttle
    handleResultShuttle(result, resultProof):
        validFlag = True
        for proof in resultProof:
            if valid(proof) != True
                    validFlag = False
                break
        if validFlag == False:                  # if the return Shuttle is not valid then ignore
                    return
        else:
            cache[result.id] = result           # if it is valid then cache the result
            pendingRequests.delete(result.id)  # delete from the list of pending requests


    # Handler to forward the checkpoint shuttle to the next replica
```

```
    handleCheckpointShuttle(CheckPointProof):
        # See proposeCheckpoint for chechpointTuple description
        checkPointTuple = <self.checkpointNum, self.checkPointHash>
        CheckPointProof.append(checkpointTuple)
        if self.tailFlag:
            # Return to the previous replica
            handleCheckpointReturnShuttle(CheckPointProof)
        else:
            # Forward to the next replica
            send <"checkpoint", CheckPointProof> to self.nextReplica



    # Handler to validate the return checkpoint shuttle
    handleCheckpointReturnShuttle(CheckPointProof):
        # Validation
        for checkpointTuple in CheckPointProof:
            if (checkpointTuple.checkpointNum != self.checkpointNum) or
(checkpointTuple.checkPointHash != self.checkPointHash):
                return Error                        # Do nothing

        # Delete checkpointed proofs from history
        checkpointSlot = CheckPointProof.checkpointTuple.checkpointNum
        delete history[:checkpointSlot]             # delete history till checkpoint

        # store the returned checkPointProof from the tail
        self.checkPointProof = CheckPointProof

        # Return to the previous replica if you are not the head
        if not self.headFlag:
            send <CheckPointProof> to self.previousReplica



    # Handler for catch up messages from Olympus
    handleCatchupRequest(request):
        # Validate that this request came from Olympus. Decrypt using Olympus' public key
        if not decrypt(request)
            return False
        for order in request.catchupDifference:
            # Order command and do not forward to the next replica
            orderCommand(order, new OrderProof, new ResultProof, sendFlag = False)

        stateHash = SHA-256(self.runningState)
```

```
        send sign(<"catchupResponse", stateHash>) to Olympus      # Sign using replica's private key


    # Handler for running state requests from Olympus
    handleRunningStateRequest(request):
        # Validate that this request came from Olympus. Decrypt using Olympus' public key
        if not decrypt(request)
            return False
        send sign(<"runningStateResponse", self.runningState>) to Olympus


    # Gets all relevant proofs from the history
    # start from current checkpoint and reach the required slot, using operations in history
    getProofsFromHistory(required_slot):
        proof_statements = self.history[sel.checkpointNum:required_slot]
        return proof_statements


    # Relates to the orderCommand transition at the replica.
    # Assumes we are active -> This is checked in the new request handler
    orderCommand(order, orderProof, resultProof, sendFlag = True):
        # Validate history
        if self.history has slot: # A slot for this command already exists. Cannot issue it twice
            return False

        # Generate a new slot if we are the head
        if self.headFlag == True:
            sNum = self.maxSlotNum+1
        else
            sNum = order.slotNumber

        # Prevent holes in slot numbers
        if self.history does not contain sNum-1:checkpointNum: # We found a hole
            return False

        # Update maxSlotNum
        self.maxSlotNum = sNum

        # Apply running state
        result = runningState[request.objectId].apply(request.operation)

        # Create proofs
```

```
        order = new OrderProof(slotNumber = sNum, operation = request.operation, proofs =
getProofsFromHistory(sNum))
        signedOrder = sign(key = self.privateKey, statement = order)
        orderProof.append(signedOrder)

        signedResult = sign(key = self.privateKey, statement = result)
        resultProof.append(signedResult)

        # Initiate checkpoints after every X orders where X = self.checkpointInterval
        if maxSlotNum is a multiple of self.checkpointInterval:
            checkpoint(maxSlotNum)
            if self.headFlag                    # If we are the head replica, initiate the checkpoint shuttle
              handleCheckpointShuttle(new CheckPointProof())

        if not replica.tailFlag:
           send <"order", order, orderProof, resultProof> to self.nextReplica
        Else:
           # send result to client
           send <"result", requestID, result, resultProof> to request.client
           # send the return shuttle
           send <"ReturnShuttle", result, resultProof> to self.previousReplica
}
```