# Cognitron: Thoughts from EEG via Caption-Guided Latent Alignment

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#### Introduction

- Motivation: Decode visual content from neural signals for neuroscience, BCI, assistive tech.
- fMRI vs. EEG:
  - fMRI: high spatial resolution but low temporal resolution, costly.
  - EEG: high temporal resolution, portable, low cost, but noisy and low spatial resolution.
- Challenge: Direct EEG-to-image mapping→ high-dimensional pixel space, heavy computation.
- Key Insight: Use natural-language captions as intermediate semantic space for efficient alignment.

# Background

#### Neural Decoding Evolution

- fMRI-based: Kamitani & Tong (2005), Miyawaki et al. (2008), Lin et al. (2022).
- EEG-based: Early classification → modern deep learning (CNNs, transformers).
- Generative Models: GANs, VAEs, diffusion for image synthesis.

#### Literature Review I

- **DreamDiffusion** Introduces temporal masked signal modeling (TMSM) to pre–train an EEG encoder in a self-supervised fashion, then adapts a frozen Stable Diffusion model via CLIP alignment to generate high-fidelity images directly from EEG. Addresses EEG noise, limited information content, and inter-subject variability.
- Guess What I Think (GWIT) Employs a lightweight ControlNet adapter on top of a latent diffusion backbone to condition image generation on raw EEG, minimizing preprocessing and training overhead. Demonstrates lower LPIPS scores and real-time feasibility on benchmark EEG datasets.
- NeuroGAN Uses an attention-augmented GAN generator to focus on informative EEG channels, paired with a pretrained image classifier for perceptual and class-specific loss. Achieves state-of-the-art Inception Scores and Class Diversity Scores on the ThoughtViz dataset.

#### Literature Review II

- MindDiffuser Proposes dual semantic and structural diffusion pathways to control both content and composition in EEG-to-image reconstruction, improving visual fidelity and layout consistency.
- BrainVis Segments EEG into functional units and applies self-supervised learning to align time-frequency embeddings with coarse and fine-grained CLIP features, achieving strong performance with only 10% of typical training data.

# Dataset Overview & Acquisition

#### **Dataset Overview**

**Subjects** 6 participants

Image Categories 40 ImageNet classes

**Total Images** 2,000 stimuli

**Trials / Image** 1 trial (0.5 s, 440 samples)

#### Acquisition Details

• **EEG** hardware: 128 scalp channels

Sampling rate: 1 kHz

• Preprocessing: Band-pass filters at 5–95 Hz, 14–70 Hz, 55–95 Hz

# Data Splits

# Training / Validation / Test

	# samples	% of total
Train	7,959	67%
Validation	1,994	17%
Test	1,987	16%

# Data Description: Relevance

# $\underbrace{\mathsf{EEG} \xrightarrow{\mathsf{Encoder}} \mathsf{Caption} \; \mathsf{Embedding}}_{\mathsf{semantic} \; \mathsf{alignment}} \xrightarrow{\mathsf{Decoder}} \underbrace{\mathsf{Reconstructed} \; \mathsf{Image}}_{\mathsf{generation} \; \mathsf{from} \; \mathsf{caption}}$

#### Why This Dataset?

- Temporal richness: Millisecond-level EEG vs. multi-second fMRI
- Controlled stimuli: Known image—caption pairs for precise supervision
- Scalability: Hundreds of trials per subject enable robust learning

# Methodology Overview

- Model Architecture
- ② Data Embedding Preparation
- **3** Training & Inference

# Model Architecture: Original Pipeline

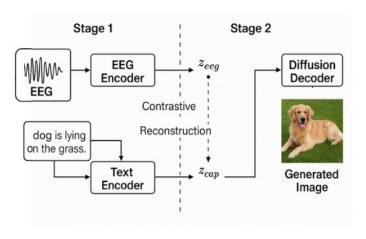


Figure: EEG signals  $\rightarrow$  Encoder  $\rightarrow$  Latent space (contrastive/MSE)  $\rightarrow$  decoder  $\rightarrow$  diffusion  $\rightarrow$  Image.

# Two-Stage EEG-to-Image Reconstruction I

#### Stage 1: Semantic Alignment

- **EEG Encoder:** Temporal–spatial CNN + channel-wise attention + MLP  $\Rightarrow z_{\rm eeg} \in \mathbb{R}^{512}$ .
- **Text Encoder:** Pretrained BLIP/CLIP text encoder produces  $z_{\rm cap} \in \mathbb{R}^{512}$  from the image caption.
- Joint Losses:
  - Contrastive (NT-Xent) pulls true ( $z_{eeg}, z_{cap}$ ) pairs together.
  - Reconstruction (MSE) penalizes  $||z_{eeg} z_{cap}||^2$ .
- Outcome: After training, EEG alone yields embeddings  $z_{\rm eeg} \approx z_{\rm cap}$  even on unseen trials.

# Two-Stage EEG-to-Image Reconstruction II

#### Stage 2: Image Generation

- **Diffusion Decoder:** Frozen Stable Diffusion v1-5 model, conditioned on a 512-d embedding.
- Inference: Inject  $z_{\rm eeg}$  into the U-Net's cross-attention in place of the text embedding.
- **Result:** High-fidelity "thought-to-image" output that semantically matches the original stimulus.

# Data Embedding Preparation I

#### Caption Embeddings

- Generate natural-language descriptions of each image using the pretrained BLIP model.
- Embed each caption with the Universal Sentence Encoder (USE) to obtain a fixed 512-dim vector.
- Apply  $\ell_2$ -normalization to place all caption vectors on the unit hypersphere.

# Data Embedding Preparation II

#### **EEG** Embeddings

- Preprocess raw EEG signals: band-pass filter (5–95 Hz), drop first 20 ms, crop to 440 time-points.
- Use a SimCLR-style augment-and-contrast pretraining on a lightweight MLP encoder:
  - Create two augmented views per trial (e.g. time-masking, noise).
  - Train with NT-Xent loss (temperature  $\tau=0.07$ ) to pull same-trial views together.
- Extract a 768-dim feature and  $\ell_2$ -normalize for downstream alignment.

# EEG-to-Caption Encoder: Methodology I

#### Input & Output

- Input: Normalized 512-dim EEG embedding vector
- Output: 512-dim project EEG embedding vector

#### Model Layers

- **1 Attention (Feature Gating)** Computes attention weights  $\alpha \in \mathbb{R}^{512}$  via  $1 \times 1$  Convs and softmax, then scales each input feature:  $\hat{x_i} = \alpha_i x_i$ .
- Peedforward Decoder Three fully-connected layers with ReLU and dropout:
  - Linear(512 $\rightarrow$ 1024)  $\rightarrow$  ReLU  $\rightarrow$  Dropout(0.1)
  - Linear(1024 $\rightarrow$ 1024)  $\rightarrow$  ReLU  $\rightarrow$  Dropout(0.1)
  - Linear(1024→512)

# EEG-to-Caption Encoder: Methodology II

#### Training & Metrics

- Loss:  $\mathcal{L} = \lambda \|\hat{z} z\|_2^2 + (1 \lambda)(1 \cos(\hat{z}, z))$
- Optimizer: Adam,  $lr = 1 \times 10^{-3}$
- Scheduler: Cosine-annealing learning-rate
- **Regularization:** Dropout(0.1) to prevent overfitting
- **Evaluation:** Mean cosine similarity on validation set (target greater than 0.2)

# EEG Decoder: Captions from EEG-Derived Embeddings

#### 1. Build Reference Corpus

- Load BLIP captions and any additional caption sources
- Merge and de-duplicate into a single array of reference captions

#### 2. Embed Normalize Corpus

- Convert each reference caption into a 512-dim BLIP embedding
- ullet  $\ell_2$ -normalize all embeddings for cosine similarity

#### 3. Predict BLIP Embedding

Feed new EEG trial into the trained encoder 512-dim embedding

#### 4. Retrieve Top-k Captions

- Compute cosine similarities between the predicted embedding and all reference embeddings
- Sort and select the k highest-scoring captions

# Caption Decoder: Methodology I

#### Input & Output

- Input: Blip Caption embeddings  $\in \mathbb{R}^{B \times 512}$ .
- Output: Generated token of natural-language caption.

#### Model Layers

- EmbeddingProjector: Linear
- BART-base w/ LoRA:
  - LoRA adapters have been utilized for fine-tuning
  - Remaining BART weights frozen.
- **Beam Search:** To get next word prediction as a set of words num\_beams = 4, length penalty = 0.6.

# Caption Decoder: Methodology II

#### Training & Metrics

• Loss: Cross-entropy on true vs. predicted tokens

$$\mathcal{L} = -\sum_{t=1}^{L} \log p(y_t \mid y_{< t}, \text{emb})$$

- **Optimizer:** AdamW (lr = 1e-4, wd = 0.01)
- **Scheduler:** StepLR(step =  $5, \gamma = 0.5$ )
- **Epochs**: 15
- ullet Val BLEU: pprox 28.5 (corpus BLEU on validation set)

### Image Reconstruction via Stable Diffusion v1-5 I

#### Model Overview

- **Stable Diffusion v1-5** is a latent diffusion model pretrained on large-scale image—text pairs.
- Internally composed of:
  - A frozen VAE for mapping images latents
  - A U-Net denoiser with text-conditioning via cross-attention

#### Conditioning with Learned Embeddings

- $z_{\rm cap}$  (caption decoder output) or  $z_{\rm eeg}$  (EEG encoder output) are injected in place of the usual text embeddings.
- Cross-attention layers attend to these vectors at every diffusion timestep.
- Since both embeddings occupy the same 512-D semantic space, the U-Net can interpret either for image synthesis.

# Image Reconstruction via Stable Diffusion v1-5 II

#### Reconstruction Pipeline

- **1 Latent Initialization:** Start from pure Gaussian noise in VAE latent space.
- Oenoising Loop: Iteratively apply the conditioned U-Net to remove noise across T timesteps.
- **3 Decode to Image:** Use the VAE decoder to transform final latent into a  $256 \times 256$  (or  $512 \times 512$ ) RGB image.

#### Discussion I

#### **EEG Encoder**

Maps preprocessed EEG trials into a fixed-length semantic embedding. This vector captures the subject's visual or imagined content in a 512-dim latent space.

#### Caption Decoder

Takes the EEG-derived embedding and generates a natural-language caption. Demonstrates how well the embedding encodes semantic information about the stimulus.

#### Image Decoder

Feeds the same EEG-derived embedding into a frozen diffusion (or GAN) model to reconstruct an image. Shows the visual fidelity of "thought-to-image" generation from EEG.

#### Discussion II

#### **Evaluation**

Visualize images generated from EEG embeddings against images produced from true caption embeddings. Assess semantic and perceptual alignment to see how closely EEG-based reconstructions match caption-based ones.

# Decoder Result Comparison

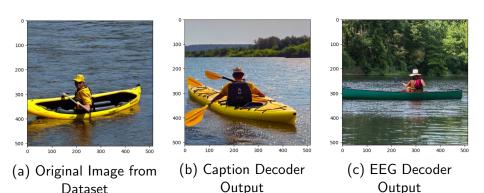


Figure: Comparison between the ground truth image, the image reconstructed from the BLIP caption embedding, and the image reconstructed from the EEG embedding.

# Caption Generation Results

#### **Original Caption**

there is a man in a yellow kayak paddling through the water

#### Caption Decoder Output

there is a man in a kayak paddling through the river

#### EEG Decoder Output

there are two people in a canoe on the water

#### Results & Discussion

- EEG embeddings cluster by visual semantics.
- Caption reconstruction quality: human preference tests.
- Sample reconstructions show semantic fidelity.

#### Conclusion

- Introduced Cognitron: caption-mediated EEG→image framework.
- Efficient semantic alignment reduces computational overhead.
- Demonstrated feasibility on public dataset.
- Future: quantitative evaluation, cross-subject generalization, imagined imagery.

#### References I

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