

Contents

Introduction	13
Available Outbound Files	13
Refresher	14
Using This Guide	15
Locating More Information	15
Submitting Documentation Comments	15
1. Header and Trailer Records	16
Header Record – RHR	16
Header Record 1 of 1	16
Trailer Record – RTR.....	19
Trailer Record 1 of 1	19
2. Account Position/Activity	20
Account Position File – APR.....	20
Account Activity File – AAR	20
Account Activity with Collected Balance File.....	20
Account Position/Activity – APR/AAR Detail Record 1 of 10.....	21
Account Position/Activity – APR/AAR Detail Record 2 of 10.....	22
Account Position/Activity – APR/AAR Detail Record 3 of 10 – Optional.....	23
Account Position/Activity – APR/AAR Detail Record 4 of 10.....	24
Account Position/Activity – APR/AAR Detail Record 5 of 10.....	25
Account Position/Activity – APR/AAR Detail Record 6 of 10.....	26
Account Position/Activity – APR/AAR Detail Record 7 of 10 – Optional.....	26
Account Position/Activity – APR/AAR Detail Record 8 of 10 – Optional.....	27
Account Position/Activity – APR/AAR Detail Record 9 of 10 – Optional (Subaccounting Only).....	28
Account Position/Activity – APR/AAR Detail Record 10 of 10 – Optional	28
Account Position/Activity – APR/AAR Detail Record 10 of 10 – Optional (Subaccounting Only)	29
3. Direct Financial Activity.....	31
Direct Financial Activity – DFA.....	31
Direct Financial Activity File – DFA Detail Record 1 of 15	31
Direct Financial Activity – DFA Detail Record 2 of 15.....	32
Direct Financial Activity – DFA Detail Record 3 of 15 – Optional	34
Direct Financial Activity – DFA Detail Record 4 of 15 – Optional	35

Direct Financial Activity – DFA Detail Record 5 of 15 – Optional	36
Direct Financial Activity – DFA Detail Record 6 of 15 – Optional	37
Direct Financial Activity – DFA Detail Record 7 of 15 – Optional (Subaccounting Only)	38
Direct Financial Activity – DFA Detail Record 8 of 15 – Optional	39
Direct Financial Activity – DFA Detail Record 9 of 15 – Optional	40
Direct Financial Activity – DFA Detail Record 10 of 15	40
Direct Financial Activity – DFA Detail Record 11 of 15	41
Direct Financial Activity – DFA Detail Record 12 of 15	41
Direct Financial Activity – DFA Detail Record 13 of 15	42
Direct Financial Activity – DFA Detail Record 14 of 15	42
Direct Financial Activity – DFA Detail Record 15 of 15	43
4. Order Processing Position/Activity	44
Order Processing Activity File – OPA	44
Order Processing Position File – OPP	44
Order Processing Position/Activity – OPP/OPA Detail Record 1 of 5	45
Order Processing Position/Activity – OPP/OPA Detail Record 2 of 5	46
Order Processing Position/Activity – OPP/OPA Detail Record 3 of 5 – Optional	48
Order Processing Position/Activity – OPP/OPA Detail Record 4 of 5 – Optional	49
Order Processing Position/Activity – OPP/OPA Detail Record 5 of 5 – Optional (Subaccounting Only)	51
Pre-Nightly Order Processing Activity – OPA Detail Record 1 of 3	51
Pre-Nightly Order Processing Activity – OPA Detail Record 2 of 3	53
Pre-Nightly Order Processing Activity – OPA Detail Record 3 of 3 – Optional	54
5. New Account Activity, Account Master Position, Nonfinancial Activity	56
New Account Activity File – NAA	56
Account Master Position File – AMP	56
Nonfinancial Activity File – NFA	56
New Account Activity, Account Master Position, Nonfinancial Activity – NAA/AMP/NFA Detail Record 1 of 11	56
New Account Activity, Account Master Position, Nonfinancial Activity – NAA/AMP/NFA Detail Record 2 of 11	59
New Account Activity, Account Master Position, Nonfinancial Activity – NAA/AMP/NFA Detail Record 3 of 11	61
New Account Activity, Account Master Position, Nonfinancial Activity – NAA/AMP/NFA Detail Record 4 of 11	62
New Account Activity, Account Master Position, Nonfinancial Activity – NAA/AMP/NFA Detail Record 5 of 11 (Subaccounting Only)	63