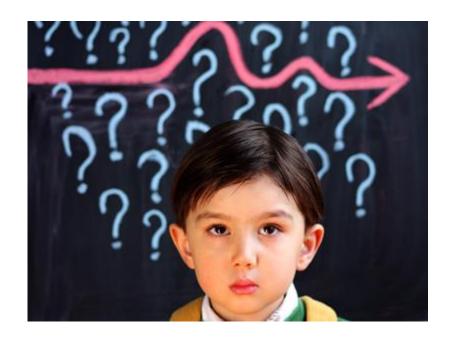
Reasoning About Code



Reasoning About Code



- Determines before execution what facts hold during program execution
- Reason about conditions:

array names is sorted

These are all conditions which could be true or false

Why Reason About Code

- Our goal is to produce correct code!
- Two ways to ensure correctness
 - Testing
 - Can find bugs but doesn't guarantee code is bug free
 - Reasoning about code
 - Verification
- Reasoning about code
 - Verifies that code works correctly
 - Finds errors in code
 - Aids debugging
 - Helps understand errors



Specifications



- What does it mean for code to be correct?
 - (Informally) Code is correct if it conforms to its specification
- A specification consists of a precondition and a postcondition
 - **Precondition**: conditions that must hold <u>before</u> code executes
 - **Postcondition**: conditions that must hold <u>after</u> code finishes execution (if precondition held!)
- Precondition and Postcondition
 - Logical constraint on values

Specifications

Notation: && denotes logical AND || denotes logical OR

```
Precondition: arr != null && arr.length == len && len > 0
Postcondition: result == arr[0]+...+arr[arr.length-1]
// sum contents of arr
int sum(int[] arr, int len) {
   int result = 0;
   int i = 0;
   while (i < len) {</pre>
      result = result + arr[i];
      i = i+1;
   return result;
```

To prove that **sum** is **correct**, we must prove that the implementation meets the specification. In other words, we must prove that if the precondition held, then after code finishes execution, the postcondition holds.

Specifications

- The specification is a contract between the function and its caller.
 Both caller and function have obligations:
 - Caller must pass arguments that obey the <u>precondition</u>.
 - If not, all bets are off --- function can break or return wrong result!
 - Function "promises" the <u>postcondition</u>, if precondition holds
 - In **sum**, how can the caller violate spec?
 - How can sum violate spec?

Type Signature is a Form of Specification

- Type signature is a contract too!
- int sum(int[] arr, int len) {...}
 - Precondition: arguments are an array of ints and an int
 - Postcondition: result is an int
- Java enforces the type constraint at compile time
- We need more than type signatures!
 - We need reasoning about behavior and effects (deeper properties)

Type Signature is a Specification

- Type checker (among other things) <u>verifies</u> that the parties meet the type contract
- If language is type safe we can "trust" the type checker
- But if language is type unsafe it would be possible for a caller to pass an argument of the wrong type!
 - E.g. Python allows you to pass an object that might not have the needed methods or worse have a method of the same name that does something different than expected.
- Java catches argument type violations at compile time
- Python catches argument type violations at runtime

What is Wrong With this Code?

```
class NameList {
    int index;
    String[] names;
    // Precondition: 0 \le index < names.length
    void addName(String name) {
       index++;
       if (index < names.length) {</pre>
                names[index] = name;
    // Postcondition: 0 \le index < names.length
```

Is there a situation where the precondition holds, but postcondition is violated?



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What Inputs Cause What Output?

```
String[] parseName(String name) {
  int comma = name.indexOf(",");
  String firstName = name.substring(0,comma);
  String lastName = name.substring(comma+2);
  return new String[] { lastName, firstName };
What input produces array ["Doe","Jane"]?
What input produces array ["oe","Jane"]?
What input produces StringIndexOutOfBoundsException?
```

Types of Reasoning



- Forward reasoning: given a precondition, does the postcondition hold?
 - Verify that code works correctly
 - Does the code produce output that matches the postcondition
- Backward reasoning: given a postcondition, what is the proper precondition?
 - Again, verify that code works correctly
 - What input caused an error

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Forward Reasoning

• We know what is true <u>before</u> running the code. What is true <u>after</u> running the code?

```
// precondition: x is even && x >= 0
x = x + 3;
y = 2x;
x = 5;
// What is the postcondition here?
// I.e. what is true about the program state at this point?
```

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Strongest Postcondition

Many postconditions hold from this precondition and code!

```
// precondition: x is even \&\& x >= 0
x = x + 3;
                                       x=5 \&\& y\%4 = 2 is the strongest postcondition.
                                       It implies all other postconditions. More on stronger
y = 2x;
                                       and weaker conditions later.
x = 5;
// postcondition: x == 5 \&\& y \% 4 == 2
// postcondition: x == 5 \&\& y is even
// postcondition: x > 0 \&\& y is even
```

Forward Reasoning Example

```
// precondition: x>y
z = x;
x = y;
y = z;
// What is the postcondition ??
```

Forward Reasoning Example

- // precondition: x>y

 {x0 > y0} // x0, y0 means the initial values of x and y

 z = x

 {z == x0 && x0 > y0}

 x = y

 {x == y0 && z == x0 && x0 > y0} -> {x == y0 && z == x0 && z > y0}

 y = z

 {y == z && x == y0 && z == x0 && z > y0} -> {y > x}
- The interesting post condition is y > x, but there are other conditions which are true {y == z && x == y0 && z == x0 }
 - Are they relevant to what comes next?

Backward Reasoning

• We know what we want to be true <u>after</u> running the code. What must be true <u>beforehand</u> to ensure that?

```
// precondition: ??
x = x + 3;
y = 2x;
x = 5;
// postcondition: y > x
```

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Backward Reasoning

```
Precondition: {2(x+3) > 5} -> {2x > -1}
x = x + 3;
{2x > 5}
y = 2x;
{y > 5}
x = 5;
Postcondition: {y > x}
```





- Forward reasoning may seem more intuitive, just simulates the code
 - Introduces facts that may be irrelevant to the goal
 - Takes longer to prove task or realize task is hopeless
- Backward reasoning is usually more helpful
 - Given a specific goal, shows what must hold beforehand in order to achieve this goal
 - Given an error, gives input that exposes error

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Forward Reasoning: Putting Statements Together

Does the postcondition hold?

```
Precondition: x \ge 0; Postcondition: z > 0
z = 0;
                         \{ x >= 0 \&\& z == 0 \}
if (x != 0) {
                         \{x \ge 0 \&\& x = 0 \&\& z = 0\} = \{x \ge 0 \&\& z = 0\}
   z = x;
                        \{ x > 0 \&\& z = x \} => \{z > 0 \}
} else {
                        \{x>=0 \&\& x==0 \&\& z==0 \}=> \{x==0 \&\& z==0 \}
   z = z+1
                        \{ x == 0 \&\& z == 1 \}
                        \{(z > 0) \mid | (x==0 \&\& z==1)\}
                   either way z > 0;
              Therefore, postcondition holds!
```





- A loop represents an unknown number of paths
 - Case analysis can be tricky
 - Recursion presents the same problem
- Might not be able to enumerate all paths
 - Testing and reasoning about loops can be tricky

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Does the postcondition hold?

```
Precondition: x >= 0;
i = x;
                          \{ x >= 0 \&\& i == x \}
z = 0;
                          \{ x >= 0 \&\& i == x \&\& z == 0 \}
while (i != 0) {
                          ???
  z = z+1;
                                   The key is to choose a
                          ???
                                   loop invariant. Then prove
  i = i-1;
                                   by induction over the
                          ???
                                   iterations of the loop.
Postcondition: x = z;
```

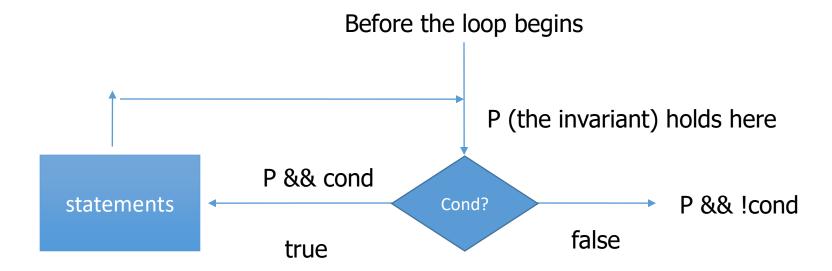
Loop Invariant

- A loop invariant is a property that is preserved by execution of the loop body
 - That doesn't mean that just any property is a useful loop invariant
 - Loop invariants must be effective
 - i.e involve the loop variables and postcondition in a useful way
- A loop invariant is a condition that is true immediately before and immediately after each iteration of a loop
 - Doesn't say anything about truth part way through
- We reason about loop invariants using induction

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- A loop invariant must be true before, after the loop exits, and after each iteration of the loop
 - Is it true before loop starts?
 - Base case
 - Assume the invariant is true for iteration n-1
 - Prove it is true for iteration n
 - Is the invariant true after the loop completes?
- A loop invariant must be useful/relevant

```
while ( cond ) {      <=== define loop invariant P
    statements
}</pre>
```



```
Invariant: i + z == x
Precondition: x \ge 0;
                                        Before:
i = x;
                                        x == 0 + i
z = 0;
                                        Induction - assume invariant holds for iteration n-1: i_{n-1} + z_{n-1} == x
while (i != 0) {
                                        \mathbf{z}_n == \mathbf{z}_{n-1} + 1
   z = z+1;
                                        i_n == i_{n-1} - 1
  i = i-1;
                                        invariant: i_n + z_n == i_{n-1} - 1 + z_{n-1} + 1 == i_{n-1} + z_{n-1} == x
                                        After:
Postcondition: x == z;
                                        i == 0 \& \& i + z == x \rightarrow x == z
```

Reasoning About Loops

- Where did I + z = x come from?
- We guessed..
 - But not just some random guess
- A good loop invariant should involve the loop variable and the post condition.
- ! Condition && invariant must imply the postcondition at exit.
 - $\{!(i!=0) \&\& x == i + z)\} -> \{x == z\}$ at exit

Hoare Logic

- Formal framework for reasoning about code
 - mechanize the process of reasoning about code
- Sir Anthony Hoare (Sir Tony Hoare or Sir C.A.R. Hoare)
 - Hoare logic
 - Quicksort algorithm
 - Other contributions to programming languages
 - Turing Award in 1980

Hoare Triples

- A Hoare Triple: { P } code { Q }
 - P and Q are logical statements about program values, and **code** is program code (in our case, Java code)
- "{ P } code { Q }" means "If program code is started in a state satisfying condition P, if it terminates, it will terminate in a state satisfying condition Q."
- In other words "if P is true and we satisfactorily execute code, then Q is true afterwords"
 - "{ P } code { Q }" is a logical formula, just like "0 ≤ index"

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Examples of Hoare Triples

```
\{x>0\}x++\{x>1\} is true
\{x>0\}x++\{x>-1\} is true
\{x \ge 0\} x + + \{x > 1\}  is false. Why?
\{x>0\} x++ \{x>0\} is ??
\{x<0\} x=x+1 \{x<0\} \text{ is } ??
\{x==a\} if (x < 0) x=-x \{x==|a|\} is ??
\{x==y\} x=x+3 \{x==y\} is ??
```

Examples of Hoare Triples

- $\{x \ge 0\} x + + \{x > 1\}$ is a logical formula
- The meaning of "{ x≥0 } **x++** { x>1 }"
 - "If $x \ge 0$ and we execute x++, then $x \ge 1$ will hold".
 - Counterexample
 - this statement is false because when x==0, x++ will be 1
 - x>1 won't hold
- One way to show that a Hoare triple is false is to find a counterexample

Hoare Triples

- Why do we care?
 - We have some conclusion that we want to guarantee
 - Do preconditions guarantee the postcondition?
 - We have some preconditions
 - Do they guarantee the postcondition?
 - Given the code and the postcondition, what are the preconditions that guarantee the postcondition holds?
 - Typically requires backward reasoning
 - Can we reason about the code to find some precondition that will guarantee our postcondition?
 - Can we find a precondition that makes the Hoare triple true?

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Hoare Triples and Weakest Precondition

- The following Hoare triples are true (valid)
 - Assume x, y are ints
 - $\{y > -1\} x = y + 1 \{x > 0\}$
 - $\{y > 0\} x = y + 1 \{x > 0\}$
 - $\{y > 10\} x = y + 1 \{x > 0\}$
 - y > 10 implies y > -1
- The first is the most useful.
 - It is the weakest precondition
- A Hoare triple is still true if we replace the precondition with a stronger condition
 - You can't replace the precondition with a condition that is weaker than the weakest precondition and still have the triple be true.

Rules for Backward Reasoning: Assignment

```
// precondition: ??
x = expression
// postcondition: Q
Rule: precondition is: Q with all occurrences of \mathbf{x} in Q replaced by
expression
                      \{y+1>0\} => \{y>-1\}
// precondition:
x = y+1;
// postcondition: { x>0 }
                                     Read from bottom
```

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Weakest Precondition

Rule derives the weakest precondition

```
// precondition: \{y+1 > 0 \} (equivalently \{y > -1\}) x = y+1 // postcondition: \{x>0 \}
```

 $\{(y+1)>0\}$ is the weakest precondition for code x=y+1 and postcondition $\{x>0\}$

Notation: wp stands for weakest precondition

```
wp("x=expression;", {Q}) = {Q'}
```

Q' is Q with all occurrences of x replaced by expression

Why do we want the weakest precondition?

There are many preconditions that can make a Hoare triple with code x = y+1 and postcondition x>0 true.

E.g.,
$$\{ y > -1 \} x = y+1 \{ x > 0 \}$$

but also $\{ y > 0 \} x=y+1 \{ x > 0 \}$.
This is because y>0 implies y>-1

The weakest precondition is the *minimal* input conditions that guarantee the postcondition

The weakest precondition places the least restriction on the client

Backward Reasoning

"wp" is a function that takes code **c** and a postcondition **Q** and returns a precondition.

Read wp(c, Q) as "the weakest precondition of code c w.r.t. Q"

wp(c, Q) is a precondition for c that ensures Q as a postcondition. Satisfies the Hoare triple {wp(c, Q)} c {Q}.

If wp(c, Q) is the weakest precondition for any P such that {P} c {Q} is true then P => wp(c, Q) i.e. P is stronger than wp(c, Q)

If we want to prove $\{P\}$ c $\{Q\}$, we may prove $P \Rightarrow wp(c, Q)$ instead.



- P is stronger than Q if P implies Q
 - P => Q
- If P is stronger than Q then P is more likely to be false than Q
- Example from politics:
 - "I will keep unemployment below 3%" is stronger than "I will keep unemployment below 15%"
- The strongest possible statement is always False
 - I will keep unemployment below 0%
 - More properly, null set is strongest possible statement subset of everything
- The weakest possible statement is always *True*
 - I will keep unemployment below 101%
 - Universe set is weakest

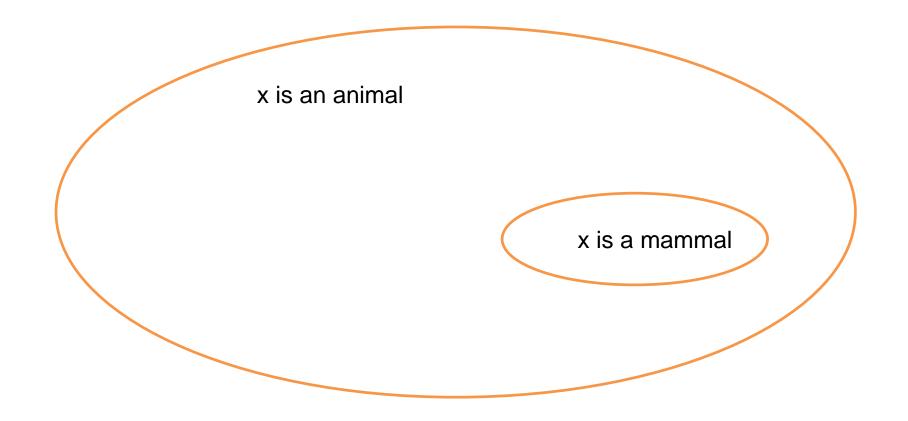
- "P is stronger than Q" means "P implies Q"
- "P is stronger than Q" means
 - "P's set of true values is a subset of Q's"
 - x > 0 is stronger than x > -1
 - "P is more restrictive"

Which one is stronger?

```
x > 0 &  y == 0 or x > 0 &  y \ge 0

0 \le x \le 10 or 0 \le x \le 1

x == 5 &  y \% 4 == 2 or x == 5 &  y is even (% is mod operator)
```



Weakest Precondition

- Starting with a postcondition, what is the weakest precondition that makes the postcondition true?
 - What must be true beforehand to make the postcondition true after
 - Weakest preconditions yield the strongest specifications for computation
- If A => B but not (B => A), then B is "weaker" than A, and A is "stronger" than B
- The weakest possible precondition is *true*
 - Since A => true is always true
 - Anything is allowed
- The strongest possible precondition is *false*
 - Nothing is allowed

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Weakest Precondition

- For each Q there are many P such that {P} code {Q}
- For each P there are many Q such that {P} code {Q}
- For each Q there is exactly one assertion wp(code, Q)
 - S.t. {wp} code {Q} is true
- wp(code Q) is unique
 - Logical simplifications are the same Q
 - $\{x > -1\} == \{x >= 0\}$ for ints



Let the following be true:

"T => U" means "T implies U" or "T is stronger than U"

Then which of the following are true?

```
{ P } code { T }
{ R } code { T }
{ Q } code { S }
{ Q } code { U }
```

Let the following be true:

Then which of the following are true?

```
{ P } code { T } true

{ R } code { T } not necessarily

{ Q } code { S } not necessarily

{ Q } code { U } true
```

- We can substitute a stronger precondition and the triple can still be true.
 - We usually want the weakest precondition.
 - Requires less of the client code
- We can substitute a weaker postcondition and the triple can still be true.
 - We usually want the strongest postcondition.
 - Guarantees more to the client code

- In backward reasoning, we determine the precondition, given code and a postcondition Q
 - We want the weakest precondition, wp(code,Q)
 - Find the minimal restriction the code places on the caller
 - We want the code to work in as many places as possible
- In forward reasoning, we determine the postcondition, given code and a precondition P
 - Normally we want the strongest postcondition
 - We want to guarantee as much as we can

Weakest Precondition

- Consider x = x+1 and postcondition x > 0
- x > 0 is a valid precondition
 - $\{x > 0\} x = x + 1 \{x > 0\}$ is true
- x > -1 is also a valid precondition
 - $\{x > -1\} x = x + 1 \{x > 0\}$ is true
- x > -1 is weaker than x > 0
 - $\{x > 0\} => \{x > -1\}$
- x > -1 is the weakest precondition
 - $wp(x=x+1, x > 0) = \{x > -1\}$

Another Example

- Consider
 - a = a+1
 - b = b-1
 - Postcondition { a*b == 0 }
- A very strong precondition
 - { (a=-1) && (b==1) }
- A weaker precondition
 - { a == -1 }
- Another weak precondition
 - { b == 1 }
- The weakest precondition
 - { (a==-1) | | (b==1) }
- wp(a = a+1; b = b 1, a*b==0) = { (a==-1) || (b==1) }

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Backward Reasoning: Rule for Assignment

```
{ wp( "x=<expression>", Q ) }
x = <expression>;
{ Q }
Rule: the weakest precondition wp( "x=expression", Q )
    is Q with all occurrences of x in Q replaced
    by <expression>
```

Assignment Operations

```
    wp(x = y + 5, (x > 5)) = {y + 5 > 5} (Substitute y + 5 for x)
    = {y > 0} (simplify)
    wp(x = x + 1, (x > 3)) = {x + 1 > 3} (substitute x + 1 for x)
    = {x > 2} (simplify)
```

Rules for Backward Reasoning: Sequence

```
// precondition: ??
S1; // statement
S2; // another statement
// postcondition: Q
Work backwards:
precondition is wp("S1; S2;", Q) = wp("S1;",wp("S2;",Q))
                                     // precondition: ??
Example:
                                     x = 0;
// precondition: ??
                                     // postcondition for x=0; same as
x = 0;
                                     // precondition for y=x+1;
y = x+1;
                                     y = x+1;
// postcondition: y>0
                                     // postcondition y>0
```

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Example

precondition: true

$$wp(x = 0; x > -1) = \{0 > -1\} = \{true\}$$

 $x = 0$
 $wp(y = x + 1; y > 0) = \{x + 1 > 0\} = \{x > -1\}$
 $y = x + 1$
postcondition: $y > 0$

Work from the bottom up

Example

- Precondition: {b == 1 || a == -1}
 - $wp(a = a + 1, b = 1 || a = 0) = \{b = 1 || a + 1 = 0\} = \{b = 1 || a = -1\}$
- a = a+1
 - $wp(b=b-1, a*b==0) = \{a*(b-1) == 0\} = \{b==1 || a == 0\}$
- b = b-1
- Postcondition a*b == 0

```
// precondition: ??
x = x+1;
y = x + y;
// postcondition y>1
```

precondition: x + y > 0 $wp(x = x + 1; x + y > 1) = \{x + 1 + y > 1\} = \{x + y > 0\}$ x = x + 1 $wp(y = x + y; y > 1) = \{x + y > 1\} / / substitute for y$ y = x + y postcondition: y > 1

Check by forward reasoning

```
precondition: x_0 + y_0 > 0
x = x_0 + 1
\{x = x_0 + 1 \& \& x_0 + y_0 > 0\} = \{x - 1 + y_0 > 0\} = \{x + y_0 > 1\}
y = x + y_0
\{y = x + y_0 \& \& x + y_0 > 1\} = \{y > 1\}
postcondition: y > 1
```

If-then-else Statement Example

```
// precondition: ??
                     (z>5 \&\& x>0) \mid | (z<-5 \&\& x\leq0)
if (x > 0) {
                                z>5
else {
// postcondition: y>5
                                 postcondition: y>5
```

Rules for Backward Reasoning: If-then-else

```
// precondition: ??
if (b) S1 else S2
// postcondition: Q
Case analysis, just as we did in the example:
wp("if (b) S1 else S2", Q)
 = \{ (b \&\& wp("S1",Q)) | | (not(b) \&\& wp("S2",Q)) \}
```

If-else Statement Example

```
wp(if(x > 0) y = z; else y = -z;, y > 5)
  = \{(x > 0 \& \& z > 5) \mid | (x \le 0 \& \& z < -5) \}
if(x > 0){
     wp(y = z, y > 5) = \{z > 5\}
  y = z;
} else {
     wp(y=-z, y>5) = \{-z>5\} = \{z<-5\}
 y = -z;
postcondition: y > 5
```

```
Precondition: ??
z = 0;
if (x != 0) {
   z = x;
} else {
   z = z+1;
Postcondition: z > 0;
```

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```
wp(z = 0, (x > 0) || (x == 0 \& \& z > -1))
    =\{(x>0) | (x==0 \& \& 0>-1)\}
    = \{(x > 0) | (x == 0 \& \&true) \}
    =\{(x>0) | (x==0)\}
   =\{(x>=0)\}
z = 0;
wp(if(x!=0) z = x; else z = z+1;, z > 0)
     = \{ (x! = 0 \& \& x > 0) | | (x == 0 \& \& z > -1) \}
     =\{(x>0) | (x==0 \& \& z>-1)\}
if(x!=0){
     wp(z = x, z > 0) = \{x > 0\}
 z=x;
else {
     wp(z = z + 1, z > 0) = \{z + 1 > 0\} = \{z > -1\}
 z = z + 1;
postcondition: \{z > 0\}
```

```
// precondition: ??
if (x < 5) {
  x = x*x;
else {
  x = x+1;
// postcondition: x \ge 9
```

Assume x is an int

```
wp(if(...)\{...\}, x \ge 9)
   = \{(x < 5 \& \& | x | >= 3) || (x \ge 5 \& \& x \ge 8) \}
   = \{x \le -3 \mid | x == 3 \mid | x = 4 \mid | x \ge 8 \}
if(x < 5){
      wp(x = x * x, x \ge 9) = \{x * x \ge 9\} = \{|x| >= 3\} = \{x \ge 3 | | x \le -3\}
  x = x * x;
} else{
      wp(x = x + 1, x \ge 9) = \{x + 1 \ge 9\} = \{x \ge 8\}
  x = x + 1;
postcondition: \{x \ge 9\}
```

If-then-else Statement Review

Forward reasoning { P } if b {P&&b} S1 { Q1 } else { P & & not(b) } **S2** { Q2 } { Q1 || Q2 }

```
Backward reasoning
{ (b&&wp("s1",Q))||( not(b) &&wp("s2",Q)) }
if b
 { wp("s1",Q) }
 S1
 { Q }
else
 { wp("s2",Q) }
 S2
 { Q }
{ Q }
```

If-then Statement

```
// precondition: ??
if (x > y) {
  z = x;
  x = y;
// postcondition: x < y
```

If Statement

```
wp(if(...), x < y)
  = \{(x > y \& \& y < x) | | (x \le y \& \& x < y) \}
  = \{x > y \mid | x < y\} = \{x \neq y\}
if(x > y){
    wp(z = x, y < z) = \{y < x\}
 z=x;
     wp(x = y, x < z) = \{ y < z \}
 x = y;
     wp(y = z, x < y) = \{x < z\}
  y = z;
postcondition: \{x < y\}
```

Backward Reasoning: Rule for Assignment

```
{ wp( "x=<expression>", Q ) }
x = <expression>;
{ Q }
Rule: the weakest precondition wp( "x=expression", Q )
    is Q with all occurrences of x in Q replaced
    by <expression>
```

Backward Reasoning: Rule for Sequence

```
// find weakest precondition for sequence S1;S2 and Q { wp( S1, wp( S2, Q ) ) } S1; // statement Postcondition for S1 is wp(S2, Q) { wp( S2, Q ) } S2; // another statement { Q }
```

Backward Reasoning: Rule for If-then-else

```
{ ( b && wp(S1, Q ) ) || ( not b && wp(S2, Q ) ) }
if (b) {
 S1; // S1 and S2 could be multiple statements
else {
 S2;
{ Q }
... without the else:
{ ( b && wp(S1, Q ) ) || ( not b && Q ) }
if (b) {
 S1;
{ Q }
```