## Fundamentals of DATABASE SYSTEMS FOURTH EDITION

ELMASRI SON NAVATHE

### Chapter 19

# Database Recovery Techniques



Illustrating cascading rollback (a process that never occurs in strict or cascadeless schedules). (a) The read and write operations of three transactions. (b) System log at point of crash.

(a)	T <sub>1</sub>	read_item(B) write_item(B) read_item(D) write_item(D)			$T_3$	
(-7	read_item(A) read_item(D) write_item(D)				read_item(C) write_item(B) read_item(A) write_item(A)	
			Α	В	C	D
			30	15	40	20
(b)	[start-transaction,	$T_3$ ]				
	[read_item, $T_3$ , $C$ ]					
*	[write_item, T <sub>3</sub> , B, 15, 12]			12		
	[start-transaction, $T_2$ ]					
	[read_item, $T_2$ , $B$ ]					
**	[write_item, $T_2$ , $B$ , 1	2,18]		18		
	[start-transaction, $T_1$ ]					
	[read_item, $T_1$ , $A$ ] [read_item, $T_1$ , $D$ ]					
	[write_item, T <sub>1</sub> , D, 20, 25]					25
	[read_item, $T_2$ , $D$ ]	-				
**	[write_item, $T_2$ , $B$ , 2	25,26]				26
[read_item, $T_3$ , $A$ ]						

← system crash

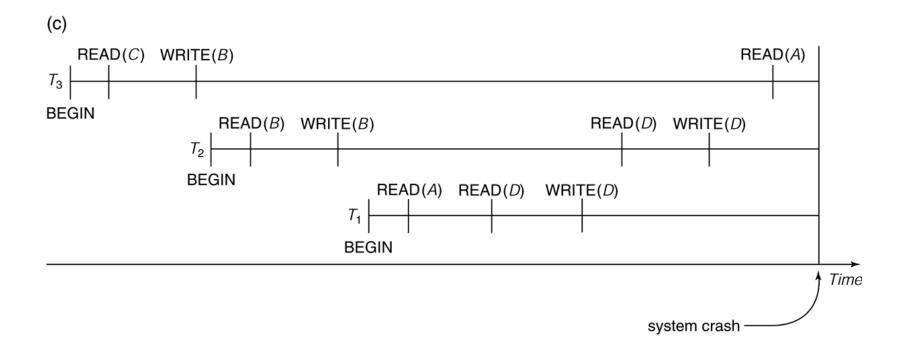
<sup>\*</sup> T<sub>3</sub> is rolled back because it did not reach its commit point.

<sup>\*\*</sup>  $T_2$  is rolled back because it reads the value of item B written by  $T_3$ .

#### FIGURE 19.1 (continued)

Illustrating cascading rollback (a process that never occurs in strict or cascadeless schedules).

(c) Operations before the crash.

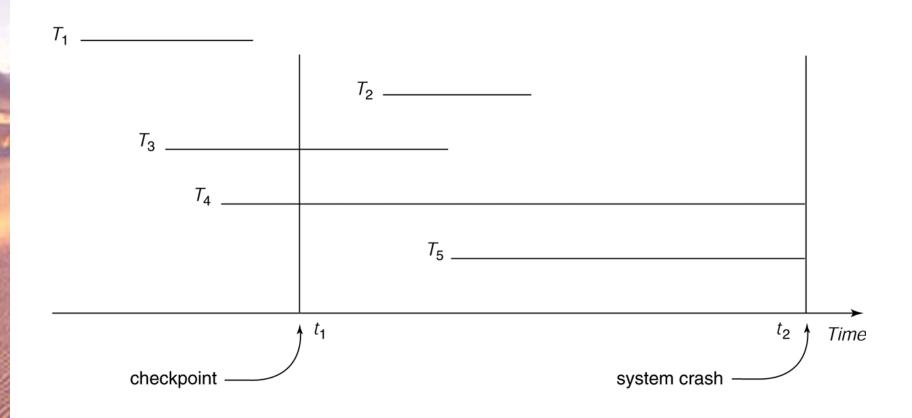


An example of recovery using deferred update in a single-user environment. (a) The READ and WRITE operations of two transactions. (b) The system log at the point of crash.

(a) 
$$T_1$$
  $T_2$  read\_item( $B$ ) read\_item( $D$ ) write\_item( $D$ ) write\_item( $D$ ) write\_item( $D$ ) write\_item( $D$ )

The [write\_item,...] operations of  $T_1$  are redone.  $T_2$  log entries are ignored by the recovery process.

An example of recovery in a multiuser environment.



An example of recovery using deferred update with concurrent transactions.

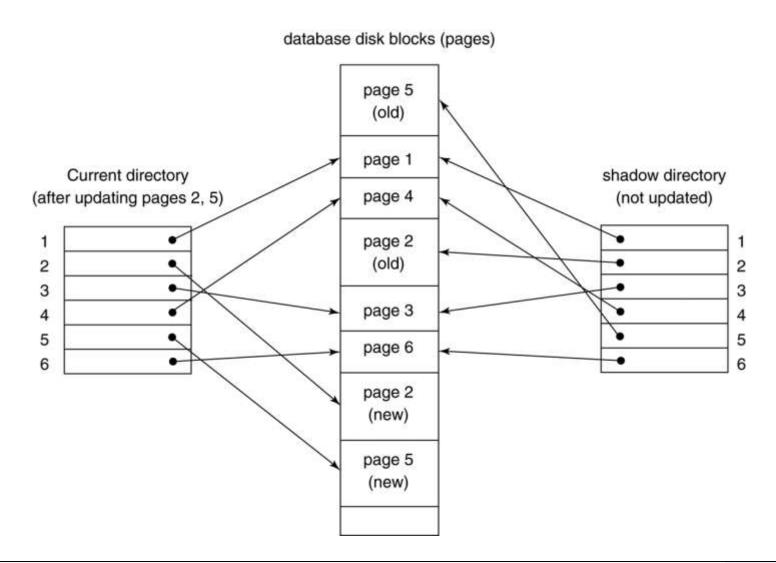
(a) The READ and WRITE operations of four transactions. (b) System log at the point of crash.

	$T_1$	$T_2$	$T_3$	$T_4$
(a)	read_item(A)	read_item(B)	read_item(A)	read_item(B)
8 6	read_item(D)	write_item(B)	write_item(A)	write_item(B)
	write_item(D)	read_item(D)	read_item(C)	read_item(A)
		write_item(D)	write_item(C)	write_item(A)

```
(b) [start_transaction, T₁]
    [write_item, T₁, D, 20]
    [commit, T₁]
    [checkpoint]
    [start_transaction, T₄]
    [write_item, T₄, B, 15]
    [write_item, T₄, A, 20]
    [commit, T₄]
    [start_transaction, T₂]
    [write_item, T₂, B, 12]
    [start_transaction, T₃]
    [write_item, T₃, A, 30]
    [write_item, T₂, D, 25] ← system crash
```

 $T_2$  and  $T_3$  are ignored because they did not reach their commit points.  $T_4$  is redone because its commit point is after the last system checkpoint.

#### An example of shadow paging.



An example of recovery in ARIES. (a) The log at point of crash. (b) Transaction and **Dirty Page Tables** at time of checkpoint. (c) The Transaction and **Dirty Page Tables** after the analysis phase.

(a)							
	LSN	LAST_LSN	TRAN_ID	TYPE	PAGE_ID	OTHER INFORMATION	
	1	0		update			
	2	0	T2	update	В		
	3	1	T1	commit		***	
	4	begin checkpoint	t				
	5	end checkpoint					
	6	0	ТЗ	update	Α	•••	
	7	2	T2	update	С	2888	
	8	7	T2	commit		***	
(b)							
(0)	TRANSACTION TABLE				DIRTY PAGE TABLE		
	TRAN	ISACTION ID L	AST LSN	STATUS	PAGE	ID LSN	

commit

in progress

(c)	TRANSACTION	TABLE		DIRTY PAGE TABLE		
	TRANSACTION ID	LAST LSN	STATUS	PAGE ID	LSN	
	T1	3	commit		1	
	T2	7	commit	В	2	
	T3	6	in progress	Α	6	

T1

T2

An example schedule and its corresponding log.

```
[start_transaction, T_1]
[read_item, T_1, A]
[read_item, T_1, D]
[write_item, T_1, D, 20]
[commit, T_1]
[checkpoint]
[start_transaction, T_2]
[read_item, T_2, B]
[write_item, T_2, B, 12]
[start_transaction, T_4]
[read_item, T_4, D]
[write_item, T_4, D, 15]
[start_transaction, T_3]
[write_item, T_3, C,30]
[read_item, T_A, A]
[write_item, T_4, A, 20]
[commit, T_{4}]
[read_item, T_2, D]
[write_item, T_2, D, 25] \leftarrow system crash
```