# 2347138 Lab 4

#### October 18, 2024

```
[12]: import numpy as np
      import tensorflow as tf
      from tensorflow.keras.utils import to_categorical
      from sklearn.model selection import train test split
      from tensorflow.keras.models import Sequential
      from tensorflow.keras.layers import Conv2D, MaxPooling2D, Flatten, Dense
      import matplotlib.pyplot as plt
      from sklearn.metrics import confusion matrix
      import seaborn as sns
      # Step 1: Load the Kuzushiji dataset
      # Ensure that you have extracted the dataset and update the paths accordingly
      train_images = np.load('/content/k49-train-imgs.npz')['arr_0']
      train_labels = np.load('/content/k49-train-labels.npz')['arr_0']
      test_images = np.load('/content/k49-test-imgs.npz')['arr_0']
      test_labels = np.load('/content/k49-test-labels.npz')['arr_0']
      # Normalize the images to values between 0 and 1
      train_images = train_images.astype('float32') / 255.0
      test_images = test_images.astype('float32') / 255.0
      # Reshape the images to 28x28 pixels with 1 channel for grayscale
      train_images = train_images.reshape(-1, 28, 28, 1)
      test_images = test_images.reshape(-1, 28, 28, 1)
      # Check the number of unique labels to ensure correct number of classes
      num_classes = len(np.unique(train_labels)) # Should be 10 for Kuzushiji-MNIST,
       ⇔or 49 for Kuzushiji-49
      print(f"Number of classes: {num_classes}")
      # Convert labels to one-hot encoding for training and test sets
      train_labels = to_categorical(train_labels, num_classes)
      test_labels = to_categorical(test_labels, num_classes)
      # Split the training data into training and validation sets (80% training, 20%
       \hookrightarrow validation)
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X_train, X_val, y_train, y_val = train_test_split(train_images, train_labels,_

state=42)

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# Print data shapes to verify
print(f"X_train shape: {X_train.shape}")
print(f"y train shape: {y train.shape}")
print(f"X_val shape: {X_val.shape}")
print(f"y_val shape: {y_val.shape}")
print(f"test_images shape: {test_images.shape}")
print(f"test_labels shape: {test_labels.shape}")
# Step 2: Build the CNN Model
model = Sequential([
    Conv2D(32, (3, 3), activation='relu', input_shape=(28, 28, 1)),
    MaxPooling2D(pool_size=(2, 2)),
    Conv2D(64, (3, 3), activation='relu'),
    MaxPooling2D(pool_size=(2, 2)),
    Flatten(),
    Dense(128, activation='relu'),
    Dense(num_classes, activation='softmax') # Output layer should match the
 →number of classes
])
# Step 3: Compile the Model
model.compile(optimizer='adam', loss='categorical_crossentropy',_
 →metrics=['accuracy'])
# Print the model summary
model.summary()
# Step 4: Train the Model
history = model.fit(X_train, y_train, validation_data=(X_val, y_val),_
 ⇔epochs=10, batch size=32)
# Step 5: Evaluate the Model on the Test Set
test_loss, test_acc = model.evaluate(test_images, test_labels)
print(f"Test accuracy: {test_acc}")
# Step 6: Plot Training and Validation Accuracy
plt.plot(history.history['accuracy'], label='train accuracy')
```

```
plt.plot(history.history['val_accuracy'], label='val_accuracy')
plt.title('Training and Validation Accuracy')
plt.xlabel('Epochs')
plt.ylabel('Accuracy')
plt.legend()
plt.show()
# Step 7: Confusion Matrix
y_pred = model.predict(test_images)
y_pred_classes = np.argmax(y_pred, axis=1)
y_true = np.argmax(test_labels, axis=1)
conf_matrix = confusion_matrix(y_true, y_pred_classes)
# Plot confusion matrix
plt.figure(figsize=(10, 8))
sns.heatmap(conf_matrix, annot=True, fmt='d', cmap='Blues', xticklabels=np.
 →arange(num_classes), yticklabels=np.arange(num_classes))
plt.title('Confusion Matrix')
plt.xlabel('Predicted')
plt.ylabel('True')
plt.show()
Number of classes: 49
X_train shape: (185892, 28, 28, 1)
y_train shape: (185892, 49)
X_val shape: (46473, 28, 28, 1)
y val shape: (46473, 49)
test_images shape: (38547, 28, 28, 1)
test_labels shape: (38547, 49)
/usr/local/lib/python3.10/dist-
packages/keras/src/layers/convolutional/base conv.py:107: UserWarning: Do not
pass an `input_shape`/`input_dim` argument to a layer. When using Sequential
models, prefer using an `Input(shape)` object as the first layer in the model
instead.
  super().__init__(activity_regularizer=activity_regularizer, **kwargs)
Model: "sequential_3"
 Layer (type)
                                        Output Shape
 →Param #
 conv2d 6 (Conv2D)
                                         (None, 26, 26, 32)
                                                                                  Ш
 ⇒320
```

```
max_pooling2d_6 (MaxPooling2D)
                                  (None, 13, 13, 32)
 → 0
 conv2d_7 (Conv2D)
                                        (None, 11, 11, 64)
                                                                              Ш
 496,496
 max_pooling2d_7 (MaxPooling2D)
                                        (None, 5, 5, 64)
 → 0
                                        (None, 1600)
 flatten_3 (Flatten)
 → 0
 dense_6 (Dense)
                                        (None, 128)
                                                                             Ш

→204,928

 dense_7 (Dense)
                                        (None, 49)
                                                                               Ш
 ⇔6,321
 Total params: 230,065 (898.69 KB)
 Trainable params: 230,065 (898.69 KB)
Non-trainable params: 0 (0.00 B)
Epoch 1/10
5810/5810
                     204s 35ms/step
- accuracy: 0.7669 - loss: 0.9036 - val_accuracy: 0.9275 - val_loss: 0.2661
Epoch 2/10
5810/5810
                     194s 33ms/step
- accuracy: 0.9376 - loss: 0.2234 - val_accuracy: 0.9411 - val_loss: 0.2114
Epoch 3/10
5810/5810
                     201s 33ms/step
- accuracy: 0.9586 - loss: 0.1474 - val_accuracy: 0.9471 - val_loss: 0.1920
Epoch 4/10
5810/5810
                     196s 34ms/step
- accuracy: 0.9684 - loss: 0.1101 - val_accuracy: 0.9509 - val_loss: 0.1857
Epoch 5/10
5810/5810
                     198s 33ms/step
- accuracy: 0.9744 - loss: 0.0857 - val_accuracy: 0.9494 - val_loss: 0.1997
Epoch 6/10
5810/5810
                     203s 33ms/step
- accuracy: 0.9790 - loss: 0.0687 - val_accuracy: 0.9507 - val_loss: 0.2048
Epoch 7/10
5810/5810
                     204s 34ms/step
```

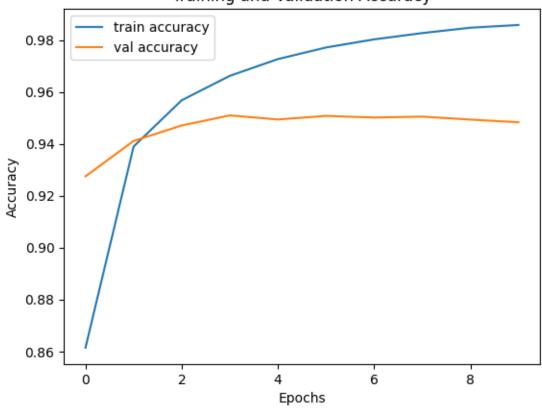
Ш

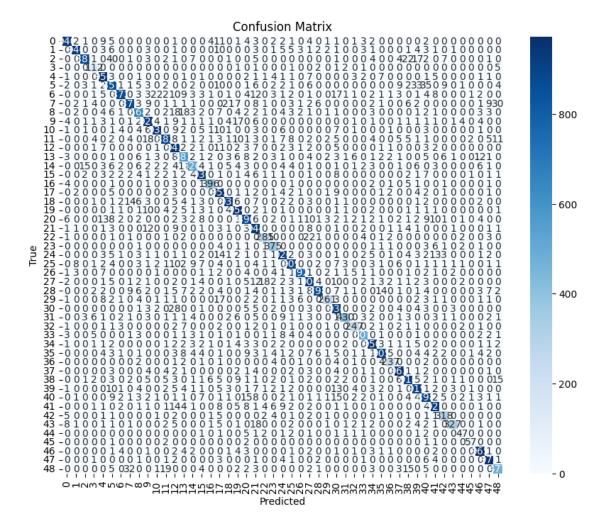
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- accuracy: 0.9824 - loss: 0.0555 - val\_accuracy: 0.9501 - val\_loss: 0.2163 Epoch 8/10 5810/5810 199s 33ms/step - accuracy: 0.9846 - loss: 0.0477 - val\_accuracy: 0.9505 - val\_loss: 0.2327 Epoch 9/10 5810/5810 212s 35ms/step - accuracy: 0.9863 - loss: 0.0422 - val\_accuracy: 0.9493 - val\_loss: 0.2591 Epoch 10/10 5810/5810 193s 33ms/step - accuracy: 0.9872 - loss: 0.0384 - val\_accuracy: 0.9483 - val\_loss: 0.2700 1205/1205 12s 10ms/step accuracy: 0.9139 - loss: 0.5365 Test accuracy: 0.9141048789024353

## Training and Validation Accuracy





## 0.1 Graph Interpretation

#### 0.1.1 Training Accuracy (Blue Line):

The training accuracy increases rapidly during the first few epochs and continues to improve steadily as the model learns from the training data.

By the 10th epoch, the training accuracy approaches nearly 99%, indicating that the model is learning the training data well.

### 0.1.2 Validation Accuracy (Orange Line):

The validation accuracy improves significantly during the first few epochs and reaches around 94%-95% by the 3rd or 4th epoch.

However, after this point, the validation accuracy plateaus, and even slightly declines towards the last epochs.

### 0.1.3 Strengths of RBF Networks for This Dataset:

- 1. Simple Training Process: Easier to train compared to deep networks.
- 2. Good for Small/Medium Datasets: Works well with fewer data points.
- 3. Efficient for Linearly Separable Data: Can handle data that can be separated well after transformation.
- 4. Localized Responses: Focuses on specific regions of the data, useful for clustered data points. ### Limitations of RBF Networks for This Dataset:
- 5. Not Ideal for Large Datasets: Struggles with large datasets like Kuzushiji-49 with many classes.
- 6. Requires Careful Tuning: Needs careful adjustment of parameters to perform well.
- 7. No Hierarchical Feature Learning: Unlike CNNs, RBF networks can't learn complex features in layers.
- 8. Scalability Issues: The more RBF units, the slower and more complex the model becomes. ### Effect of Number of RBF Units on Performance:
- 9. Too Few Units: Leads to underfitting and poor performance.
- 10. Optimal Units: Enough units allow the model to perform well.
- 11. Too Many Units: Leads to overfitting, slower training, and poor generalization.

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