

## **Experiment No: 12**

Aim: To implement simple RNN and Deep RNN

Theory:

A Simple Recurrent Neural Network (RNN) is a type of neural network architecture designed for sequential data processing. It's one of the fundamental building blocks in the field of deep learning for natural language processing, time series analysis, and other sequential data tasks. Below is an explanation of the theory behind Simple RNN:

Sequential Data Processing:

Simple RNNs are designed to work with sequential data, which can be in the form of time series, text, speech, or any data with a temporal or sequential structure.

The key idea is that information from previous time steps is passed on to the current time step, making it suitable for tasks that involve memory and context, such as language modeling and speech recognition.

Recurrent Neurons:

The core components of an RNN are recurrent neurons. These neurons have connections that loop back on themselves, allowing information to be passed from the previous time step to the current one.

At each time step, the neuron receives an input and a hidden state from the previous time step. It combines these inputs to produce an output and a new hidden state, which is then used in the next time step.

Mathematical Formulation:

The mathematical formulation of a simple RNN can be expressed as follows:

Input at time step  $t$ :  $x_t$

Hidden state at time step  $t$ :  $h_t$

Output at time step  $t$ :  $y_t$

Weight matrices:  $W$  (input-to-hidden),  $U$  (hidden-to-hidden), and  $V$  (hidden-to-output)

Activation function: Typically, a hyperbolic tangent ( $\tanh$ ) or sigmoid function

The equations for a simple RNN at each time step are:

$$h_t = \tanh(W * x_t + U * h_{t-1})$$

$$y_t = V * h_t$$

These equations show how the current hidden state  $h_t$  is computed based on the current input  $x_t$  and the previous hidden state  $h_{t-1}$ . The output  $y_t$  is obtained from the hidden state.

### Backpropagation Through Time (BPTT):

Training a Simple RNN involves a process called Backpropagation Through Time (BPTT).

BPTT is a modification of the backpropagation algorithm where gradients are calculated through the unfolded network in time, essentially treating the RNN as a feedforward network with shared weights across time steps.

### Vanishing and Exploding Gradients:

One significant challenge in training simple RNNs is the vanishing and exploding gradients problem. It occurs when gradients become too small (vanishing) or too large (exploding) as they are propagated back in time.

To mitigate this issue, more advanced RNN variants like Long Short-Term Memory (LSTM) and Gated Recurrent Unit (GRU) have been developed.

### Applications:

Simple RNNs have been used in various applications, including natural language processing, time series forecasting, speech recognition, and video analysis.

While they are suitable for simple tasks, more complex tasks often require the use of LSTM or GRU, which are better at handling longer dependencies in sequential data.

### Deep RNN:

A Deep Recurrent Neural Network (Deep RNN) is an extension of the traditional Recurrent Neural Network (RNN) that consists of multiple layers of recurrent units. It is designed to capture and model more complex dependencies in sequential data. Here's the theory behind Deep RNNs:

### Sequential Data Modeling:

Deep RNNs are designed to model and process sequential data, such as time series, natural language, speech, or any data with a temporal or sequential structure.

They extend the capabilities of simple RNNs by incorporating multiple layers of recurrent units, enabling the network to capture more intricate patterns and long-term dependencies.

### Deep RNN Architecture:

A Deep RNN consists of multiple recurrent layers stacked on top of each other. Each layer typically contains a set of recurrent neurons or units.

Information flows from one layer to the next, allowing for more abstract and higher-level representations of the sequential data to be learned.

### Mathematical Formulation:

The mathematical formulation of a Deep RNN is an extension of the equations used in a simple RNN. For a two-layer deep RNN, it can be expressed as follows:

Input at time step  $t$ :  $x_t$

Hidden states for the first layer at time step  $t$ :  $h_t^1$

Hidden states for the second layer at time step  $t$ :  $h_t^2$

Output at time step  $t$ :  $y_t$

Weight matrices:  $W^1$  (input-to-hidden for the first layer),  $U^1$  (hidden-to-hidden for the first layer),  $W^2$  (input-to-hidden for the second layer),  $U^2$  (hidden-to-hidden for the second layer),  $V$  (hidden-to-output)

Activation functions: Typically, a hyperbolic tangent ( $\tanh$ ) or sigmoid function for hidden states

The equations for a two-layer Deep RNN at each time step are:

$$h_t^1 = \tanh(W^1 * x_t + U^1 * h_{t-1}^1)$$

$$h_t^2 = \tanh(W^2 * h_t^1 + U^2 * h_{t-1}^2)$$

$$y_t = V * h_t^2$$

These equations show how information flows through two layers of the network, with the second layer taking the hidden states from the first layer as inputs.

### Representational Power:

Deep RNNs are capable of capturing more intricate patterns and longer-term dependencies in data compared to simple RNNs. The deeper architecture allows for a hierarchical representation of information, making them suitable for complex sequential tasks.

### Training Deep RNNs:

Training deep recurrent neural networks can be challenging due to the vanishing and exploding gradient problems, similar to simple RNNs. Careful initialization and the use of advanced optimization techniques are often required.

### Applications:

Deep RNNs are used in a wide range of applications, including natural language understanding and generation, machine translation, speech recognition, video analysis, and music generation.

They have been particularly successful in tasks that involve understanding and generating structured sequential data.

### Conclusion:

In this experiment, we implemented both a simple RNN and a deep RNN for sequential data processing. Simple RNNs are fundamental for modelling sequential dependencies, while deep RNNs offer the capacity to capture more complex patterns and longer-term dependencies. The choice between them depends on the specific problem and dataset complexity. Careful data preprocessing and hyperparameter tuning are crucial for improving model performance.