### Blockchains & Cryptocurrencies

## Crypto Background

Instructors: Matt Green & Abhishek Jain Johns Hopkins University - Spring 2023

### This lecture

Crypto background
hash functions
random oracle model
digital signatures
... and applications

# Cryptographic Hash Functions

### Hash function

- takes a string of arbitrary length as input.
- fixed-size output (i.e., hash function "compresses" the input)
- efficiently computable

### Hash function

- takes a string of arbitrary length as input
- fixed-size output (i.e., hash function "compresses" the input)
- efficiently computable

### Security properties:

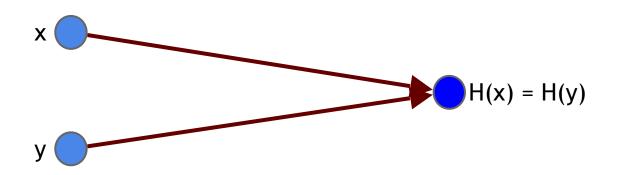
- Collision resistance
- Preimage resistance (one-way)

# Property I: Collision resistance

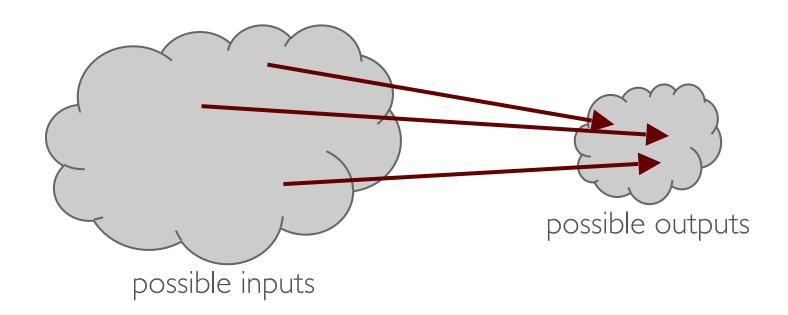
What's a collision?

## Property I: Collision resistance

Do collisions exist in common hash functions?



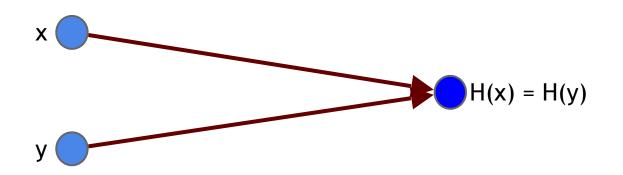
### Collisions do exist ...



... but can a real-world adversary find them?

## Property I: Collision resistance

No <u>real-world adversary</u> can find x and y such that x := y and H(x) = H(y)



### How to find a collision (for 256 bit output)

- try 2<sup>130</sup> randomly chosen inputs
- 99.8% chance that two of them will collide

### How to find a collision (for 256 bit output)

- try 2130 randomly chosen inputs
- 99.8% chance that two of them will collide

This works no matter what H is, but it takes too long to matter

If a computer calculates 10,000 hashes/sec, it would take 10<sup>27</sup> years to compute 2<sup>128</sup> hashes

### How to find a collision (for 256 bit output)

- try 2130 randomly chosen inputs
- 99.8% chance that two of them will collide

This works no matter what H is, but it takes too long to matter

If a computer calculates 10,000 hashes/sec, it would take 10<sup>27</sup> years to compute 2<sup>128</sup> hashes

Is there a faster way to find collisions?

- For some possible H's, yes.
- For others (like SHA-256), we don't know of one.

Is there a faster way to find collisions?

- For some possible H's, yes.
- For others (like SHA-256), we don't know of one.

Provably secure collision-resistant hash functions can be constructed based on "hard" number-theoretic problems.

## Defining Collision Resistance

- Real-world adversaries
  - o In practice, everyone has finite resources
  - o Therefore, reasonable to model a real-world adversary as such an entity
  - o However, we do not make any assumptions about the adversarial strategy. They can use their resources in any way

### Formally:

A probabilistic polynomial-time (PPT) algorithm

## Defining Collision Resistance...

 Collision Resistance (informal): A hash function H is collision-resistant if for all PPT adversaries A,

```
Pr[A \text{ outputs } x,y \text{ s.t. } x!=y \text{ and } H(x)=H(y)]
= "very small"
```

### Defining Collision Resistance...

• Collision Resistance (informal): A hash function H is collision-resistant if for all PPT adversaries A,

```
Pr[A \text{ outputs } x,y \text{ s.t. } x!=y \text{ and } H(x)=H(y)]
= "very small"
```

- "Very small" captured via a function that tends to 0.
- Formal definition: Modern Cryptography (601.441/641)

# Application: Hash as message digest

If we know H(x) = H(y), and H is collision resistant it's safe to assume that x = y.

To recognize a file that we saw before, just remember its hash.

Useful because the hash is small.

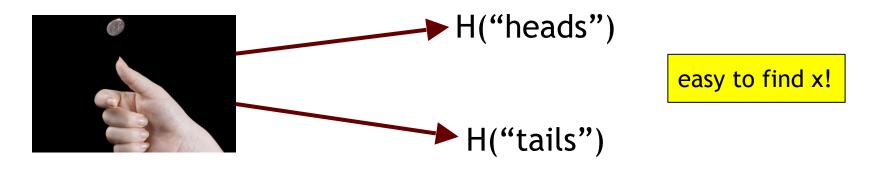
## Property 2: Pre-image Resistance

Intuition: Given H(x), no efficient adversary can find x, except with very small probability

## Property 2: Pre-image Resistance

Intuition: Given H(x), no efficient adversary can find x, except with very small probability

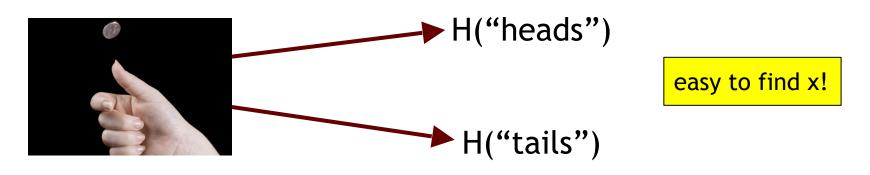
<u>Problem</u>: What if input space of x is very small, or some inputs are much more likely than others?



Property 2: Pre-in This definition is useless in this setting. How can we specify a meaningful version of the definition?

Intuition: Given H( very small proba

<u>Problem</u>: What if input space of x is very small, or some inputs are much more likely than others?



# Defining Preimage Resistance

 Preimage Resistance: A hash function H is preimageresistant if for all PPT adversaries A,

$$Pr[x \leftarrow \{0,1\}^k, A(H(x)) \text{ outputs } x' \text{ s.t. } H(x') = H(x)] = small$$

x is drawn from uniform distribution over {0,1}k for some sufficiently large k

## Preimage Resistance (contd.)

- If x is drawn from the uniform distribution, then inverting H(x) is hard
- But what if x is drawn from <u>low-entropy</u> distribution?

## Preimage Resistance (contd.)

- If x is drawn from the uniform distribution, then inverting H(x) is hard
- But what if x is drawn from <u>low-entropy</u> distribution?
- Can append a random string r to x and then compute  $H(r \mid x)$  to prevent enumeration attacks

## Preimage Resistance (contd.)

- If x is drawn from the uniform distribution, then inverting H(x) is hard
- But what if x is drawn from <u>low-entropy</u> distribution?
- Can append a random string r to x and then compute  $H(r \mid x)$  to prevent enumeration attacks

# Collision Resistance vs Preimage Resistance

<u>Theorem</u>: Collision resistance implies preimage resistance if the hash function is sufficiently compressing

## Application: Commitment

Want to "seal a value in an envelope", and "open the envelope" later.

Commit to a value, reveal it later.

### Commitment Schemes

```
(com, key) := commit(msg)
match := verify(com, key, msg)
```

```
To seal msg in envelope:
```

```
(com, key) := commit(msg) -- then publish com
```

To open envelope:

```
publish key, msg anyone can use verify() to check validity
```

### Commitment Schemes

```
(com) ← commit(msg; key)
match ← verify(com, key, msg)
```

Security properties:

• Hiding: Given *com*, no PPT adversary can find\* *msg* 

\* Except with very small probability

### Commitment Schemes

```
(com) ← commit(msg; key)
match ← verify(com, key, msg)
```

### Security properties:

- Hiding: Given *com*, no PPT adversary can find\* *msg*
- Binding: No PPT adversary can find\* (msg, key) != (msg',key')
   such that verify(commit(msg; key), key',msg') == true

<sup>\*</sup> Except with very small probability

### Commitment Schemes from Hash Functions

```
commit(msg; key) \rightarrow (H(key \mid msg))

where key is a random 256-bit value

verify(com, key, msg) \rightarrow (H(key \mid msg) == com)
```

### Commitment Schemes from Hash Functions

```
commit(msg; key) \rightarrow ( H(key \mid msg) )

where key is a random 256-bit value verify(com, key, msg) \rightarrow ( H(key \mid msg) == com )
```

Security properties:

Binding: Collision-resistance → Hard to find (key,msg) !=
 (key',msg') such that H(key | msg) == H(key | msg')

### Commitment Schemes from Hash Functions

```
commit(msg; key) \rightarrow (H(key \mid msg))

where key is a random 256-bit value

verify(com, key, msg) \rightarrow (H(key \mid msg) == com)
```

### Security properties:

- Binding: Collision-resistance → Hard to find (key,msg) !=
   (key',msg') such that H(key | msg) == H(key | msg')
- Hiding: If H is a random oracle, given H(key | msg), hard to find msg.

## Random Oracle (RO)

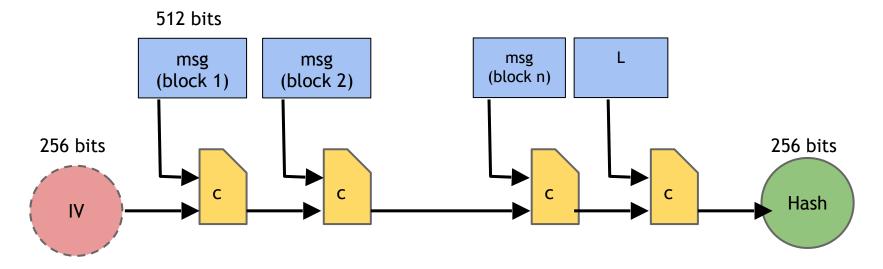
- Imagine an elf in a box with an infinite writing scroll
- Upon receiving an input x, the elf checks the scroll if there is an entry y corresponding to x. If yes, it returns y.
- Otherwise, elf chooses a random value y (from the output space) and returns it. It adds an entry (x,y) to the scroll.

# Random Oracle (RO)

- In practice-oriented provable security, hash functions are often modeled as a random oracle
- Each party (including adversary) is given black-box access to the random oracle. They can query the random oracle any polynomial number of times
- By definition, the answers of random oracle answers are unpredictable
- Random oracle captures many security properties such as onewayness, collision-resistance.

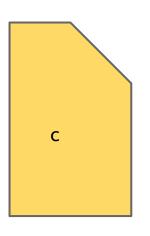
### SHA-256 hash function

Suppose msg is of length L s.t. L is a multiple of 512 (pad with 0s otherwise)



**Theorem [Merkle-Damgard]:** If c is collision-resistant, then SHA-256 is collision-resistant.

### SHA-256 hash function



Q:What the heck is inside of c?

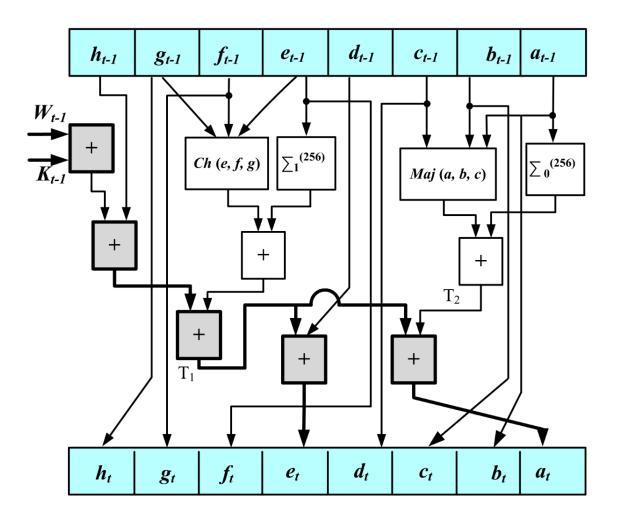


Fig. 3 SHA 256 hash function Base transformation round

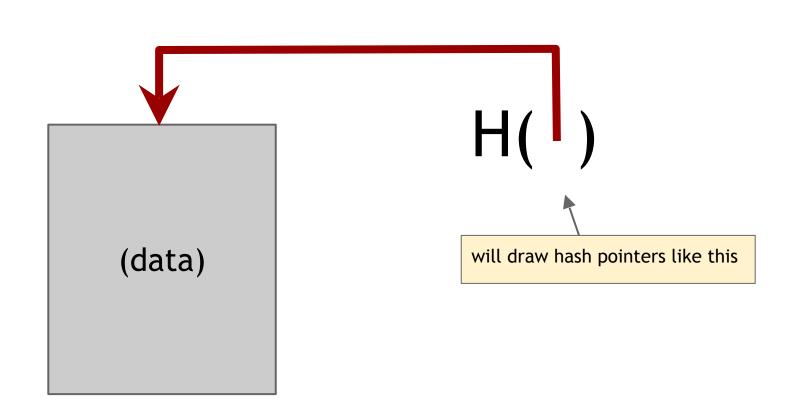
Hash Pointers and Data Structures

### Hash pointer

- pointer to where some info is stored, and
- cryptographic hash of the info

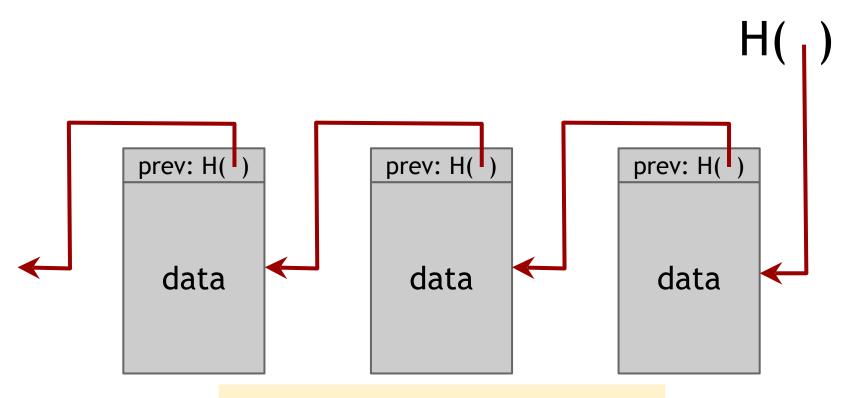
If we have a hash pointer, we can

- ask to get the info back, and
- verify that it hasn't changed



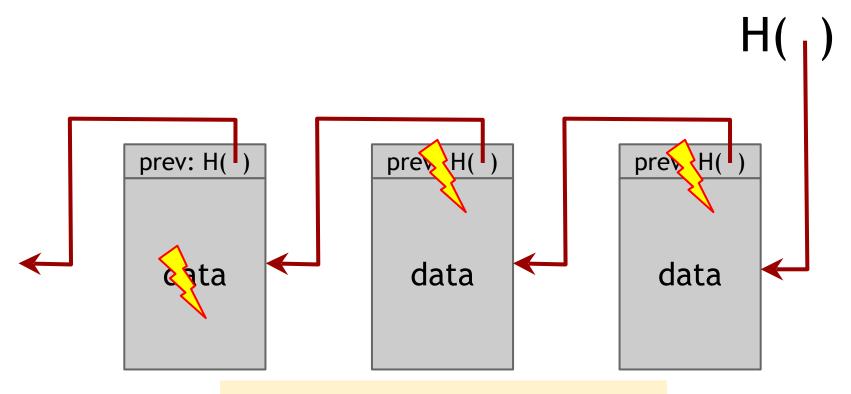
Building data structures with hash pointers

## Linked list with hash pointers = "Blockchain"



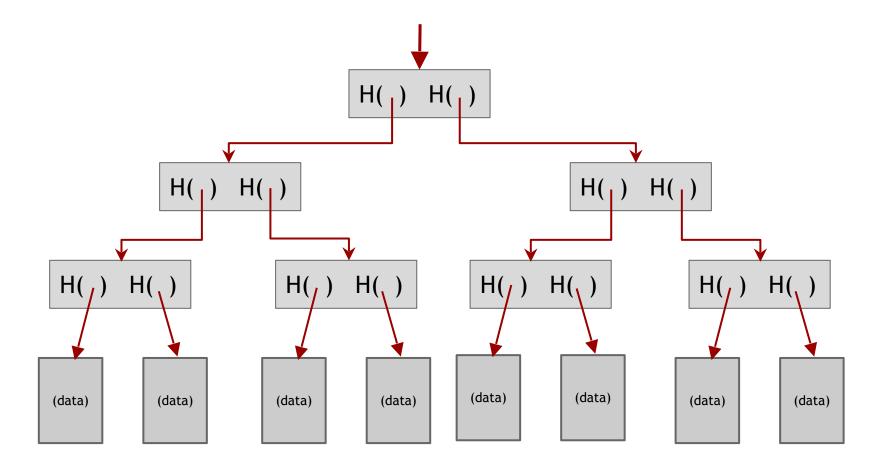
use case: tamper-evident log

### Detecting Tampering

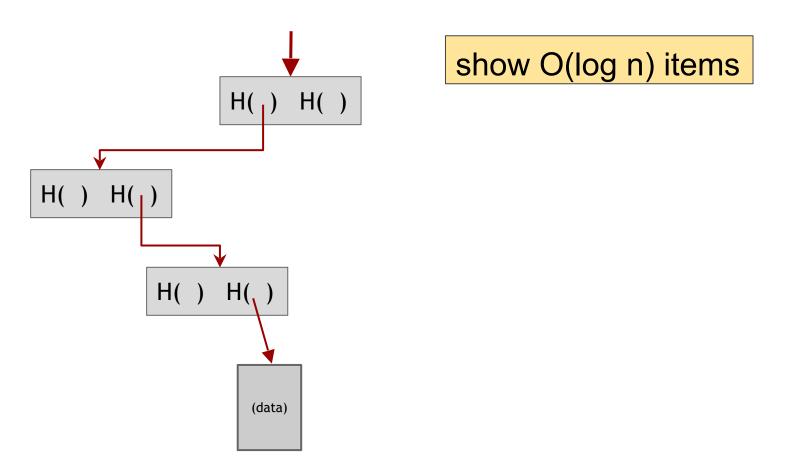


use case: tamper-evident log

### Binary tree with Hash pointers = "Merkle tree"



### Proving membership in a Merkle tree



# Advantages of Merkle trees

- Tree holds many items, but just need to remember the root hash
- Can verify membership in O(log n) time/space

#### Variant: sorted Merkle tree

- can verify non-membership in O(log n)
- show items before, after the missing one

More generally ...

Can use hash pointers in any pointer-based data structure that has no cycles

## Digital Signatures

# What we want from signatures

- Only you can sign, but anyone can verify
- Signature is tied to a particular document
   (can't be cut-and-pasted to another doc)
- Even if one can see your signature on some documents, he cannot "forge" it

# Digital signatures

sig ← sign(sk, message)

isValid verify(pk, message, sig)

randomness

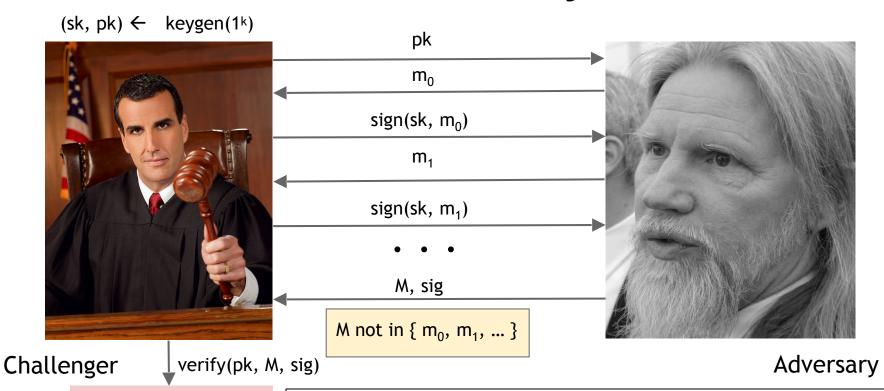
randomized algorithm

Typically randomized

# Requirements for signatures

- Correctness: "valid signatures verify"
  - o verify(pk, message, sign(sk, message)) == true
- Unforgeability under chosen-message attacks (UF-CMA): "can't forge signatures"
  - o adversary who knows pk, and gets to see signatures on messages of his choice, can't produce a verifiable signature on another message

#### **UF-CMA** Security



ifValid, attacker wins

**<u>Definition</u>**: A signature scheme (keygen,sign,verify) is UF-CMA secure if for every PPT adversary A, Pr[A wins in above game] = very small

### Notes

- Algorithms are randomized: need good source of randomness. Bad randomness may reveal the secret key
- fun trick: sign a hash pointer signature "covers" the whole structure
- Bitcoin uses Elliptic Curve Digital Signature Algorithm (ECDSA), a close variant of Schnorr over Elliptic curves