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CS 271 - Project 0010

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1. Prove Theorem 3.1:

"For any two functions $f(n)$ and $g(n)$, $f(n) = \Theta(g(n))$ if and only if $f(n) = O(g(n))$ and $f(n) = \Omega(g(n))$."

- Suppose $f(n) \in \Theta(g(n))$, then $\exists c_1, c_2, n_0, \forall n \geq n_0, 0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n)$. If we just look at these inequalities separately, we have $c_1 g(n) \leq f(n)$ (because $f(n) \in \Omega(g(n))$) and $f(n) \leq c_2 g(n)$ (because $f(n) \in O(g(n))$). implying that
- Suppose that we had $\exists n_1, c_1, \forall n \geq n_1, c_1 g(n) \leq f(n)$ and $\exists n_2, c_2, \forall n \geq n_2, f(n) \leq c_2 g(n)$. Putting these together, and letting $n_0 = \max(n_1, n_2)$, we have $\forall n \geq n_0, c_1 g(n) \leq f(n) \leq c_2 g(n)$, which means that $f(n) = \Theta(g(n))$. $f(n) = O(n) \Rightarrow$

2. Prove the following using the definitions of O , Ω , and Θ .

(a) $n^2 + 3n - 20 = O(n^2)$

According to the definition of O , we need to show that there exists $c \in \mathbb{R}^+$ and $n_0 \in \mathbb{N}$ such that

$$0 \leq n^2 + 3n - 20 \leq cn^2 \quad \forall n \geq n_0$$

Let $c = 2$ and $n = 4$. We then have $n^2 + 3n - 20 = 4^2 + 3 \cdot 4 - 20 = 8 > 0$. For all values of $n > 4$, $n^2 + 3n - 20 \leq 2n^2$ since $3n - 20$ grows more slowly than n^2 .

(b) $n - 2 = \Omega(n)$

According to the definition of Ω , we need to show that there exists $c \in \mathbb{R}^+$ and $n_0 \in \mathbb{N}$ such that

$$0 \leq cn \leq n - 2 \quad \forall n \geq n_0$$

Let $c = 0$ and $n = 2$. We then have $n - 2 = 2 - 2 = 0$. For all values of $n > 2$, $cn \leq n - 2$ since $cn = 0$ for $c = 0$ and $n - 2 > 0$.

(c) $\log_{10} n + 4 = \Theta(\log_2 n)$

According to the definition of Θ , we need to show that there exists $c_1, c_2 \in \mathbb{R}^+$ and $n_0 \in \mathbb{N}$ such that

$$0 \leq c_1 \log_2 n \leq \log_{10} n + 4 \leq c_2 \log_2 n \quad \forall n \geq n_0$$

Let $n = 2$, then we have $\log_2 n = \log_2 2 = 1$ and $\log_{10} n + 4 = \log_{10} 2 + 4 = 4.301$. To satisfy the Θ conditions, then we need $2c_1 \leq 4.301 \leq 2c_2$ for $n = 2$. We can arbitrarily pick any $c_1 \leq 2$ and $c_2 \geq 2.15$.

(d) $2^{n+1} = O(2^n)$

According to the definition of O , we need to show that there exists $c \in \mathbb{R}^+$ and $n_0 \in \mathbb{N}$ such that

$$0 \leq 2^{n+1} \leq c2^n \quad \forall n \geq n_0$$

Let $c = 3$ and $n = 0$: we have then $0 \leq 2 \leq 3 \cdot 2 = 6$. For all values of $n > 0$, $2^{n+1} \leq 3 \cdot 2^n$ since 2^{n+1} grows more slowly than $3 \cdot 2^n$.

(e) $\ln n = \Theta(\log_2 n)$

According to the definition of Θ , we need to show that there exists $c_1, c_2 \in \mathbb{R}^+$ and $n_0 \in \mathbb{N}$ such that

$$0 \leq c_1 \log 2n \leq \ln n \leq c_2 \log 2n \quad \forall n \geq n_0$$

Let $n = 2$: then $\log_2 n = \log_2 2 = 1$ and $\ln(n) = \ln(2) = 0.69$. We then have $c_1 \leq 0.69 \leq c_2$. We can arbitrarily pick any $c_1 \leq 0.34$ and $c_2 \geq 0.35$.

(f) $n^\epsilon = \Omega(\log_2 n)$ for any $\epsilon > 0$

According to the definition of Ω , we need to show that there exists $c_1, c_2 \in \mathbb{R}^+$ and $n_0 \in \mathbb{N}$ such that

$$0 \leq c \log n \leq n^\epsilon \quad \forall n \geq n_0$$

\times Let $n = 2$ and $c = 1$: we then have $c \log n = \log 2 = 1$ while $n^\epsilon = 2^\epsilon$. As ϵ gets arbitrarily smaller, 2^ϵ will approach 1, but never gets smaller than 1.

3. For each of the following recurrences, find a tight upper bound for $T(n)$. It will be useful to remember that

$$\sum_{i=0}^{\infty} a^i = \frac{1}{1-a}, \text{ if } |a| < 1.$$

Prove that each is correct using induction. In each case, assume that $T(n)$ is constant for $n \leq 2$.

(a) $T(n) = 2T(n/2) + n^3$

Substitution:

$$T(n) = 2[2T(\frac{n}{4}) + (\frac{n}{2})^3] + n^3$$

$$T(n) = 4T(\frac{n}{4}) + (\frac{1}{4})n^3 + n^3$$

$$T(n) = 4[2T(\frac{n}{8}) + (\frac{n}{4})^3] + (\frac{1}{4})n^3 + n^3$$

$$T(n) = 8T(\frac{n}{8}) + (\frac{1}{16})n^3 + (\frac{1}{4})n^3 + n^3$$

The general formula is:

$$T(n) = (2^i)T(\frac{n}{2^i}) + n^3(1 + \frac{1}{4} + \frac{1}{16} + \dots + \frac{1}{4^{i-1}})$$

$$T(n) = (2^i)T(\frac{n}{2^i}) + n^3 \sum_{j=0}^{i-1} (\frac{1}{4})^j$$

$$T(n) = (2^i)T(\frac{n}{2^i}) + n^3 \frac{1}{1 - 1/4}$$

$$T(n) = (2^i)T(\frac{n}{2^i}) + (\frac{4}{3})n^3$$

The last case is at $T(1)$: $n = 2^i \Rightarrow i = \log_2 n$

$$T(n) = 2^{\log_2 n} T(1) + \frac{4}{3} n^3$$

$$T(n) = an + \frac{4}{3}n^3 \quad \checkmark$$

Proof by Induction:

- **Base Case (n = 1):** $T(1) = a + \frac{4}{3} = a$
- **Inductive Hypothesis:**
Assume that $T(k) = ak + \frac{4}{3}k^3$ for all $k = 1, 2, \dots, n-1$
- **Induction Step:**

$$T(n) = 2T\left(\frac{n}{2}\right) + n^3$$

$$T(n) = 2\left[a\frac{n}{2} + \frac{4}{3}\left(\frac{n}{2}\right)^2\right] + n^3$$

$$T(n) = an + \frac{1}{3}n^3 + n^3$$

$$T(n) = an + \frac{4}{3}n^3$$

(b) $T(n) = T(9n/10) + n$

Substitution:

$$T(n) = [T\left(\left(\frac{9}{10}\right)^2 n\right) + \frac{9}{10}n] + n$$

$$T(n) = T\left(\left(\frac{9}{10}\right)^3 n\right) + n\left(1 + \frac{9}{10} + \frac{9^2}{10^2}\right)$$

The general formula is:

$$T(n) = T\left(\left(\frac{9}{10}\right)^i n\right) + n\left(1 + \frac{9}{10} + \dots + \frac{9^{i-1}}{10^{i-1}}\right)$$

$$T(n) = T\left(\left(\frac{9}{10}\right)^i n\right) + n \sum_{j=0}^{i-1} \left(\frac{9}{10}\right)^j$$

$$T(n) = T\left(\left(\frac{9}{10}\right)^i n\right) + n \frac{1}{1 - 9/10}$$

$$T(n) = T\left(\left(\frac{9}{10}\right)^i n\right) + 10n$$

The last case is at $T(1)$: $\frac{9^i}{10^i} = \frac{1}{n} \Rightarrow n = \left(\frac{10}{9}\right)^i \Rightarrow i = \log_{\frac{10}{9}} n$

$$T(n) = T(1) + 10n = a + 10n \quad \checkmark$$

Proof by Induction:

- **Base Case (n = 1):** $T(1) = a + 10 = a$
- **Inductive Hypothesis:**
Assume that $T(k) = a + 10k$ for all $k = 1, 2, \dots, n-1$

• **Induction Step:**

$$T(n) = T\left(\frac{9}{10}n\right) + n$$

$$T(n) = a + 10\frac{9}{10}n + n$$

$$T(n) = a + 10n$$

(c) $T(n) = 7T(n/3) + n^2$

Substitution:

$$T(n) = 7[7T\left(\frac{n}{9}\right) + \left(\frac{n}{3}\right)^2] + n^2$$

$$T(n) = 49T\left(\frac{n}{9}\right) + \overset{7}{\textcircled{1}}n^2 + n^2$$

$$T(n) = 49[7T\left(\frac{n}{27}\right) + \left(\frac{n}{9}\right)^2] + \frac{1}{9}n^2 + n^2$$

$$T(n) = 343T\left(\frac{n}{27}\right) + n^2(1 + \overset{7}{\textcircled{1}} + \overset{49}{\textcircled{1}}\frac{1}{81})$$

The general formula is:

$$T(n) = 7^i T\left(\frac{n}{3^i}\right) + n^2 \sum_{j=0}^{i-1} \overset{7}{\textcircled{1}}\left(\frac{1}{9}\right)^j$$

$$T(n) = 7^i T\left(\frac{n}{3^i}\right) + n^2 \frac{1}{1 - \overset{7}{\textcircled{9}}}$$

$$T(n) = 7^i T\left(\frac{n}{3^i}\right) + \overset{9}{\textcircled{8}}n^2$$

The last case is at $T(1)$: $\frac{n}{3^i} = 1 \Rightarrow n = 3^i \Rightarrow i = \log_3 n$

$$T(n) = 7^{\log_3 n} T(1) + \overset{9}{\textcircled{8}}n^2$$

$$T(n) = 7^{\log_3 n} a + \overset{9}{\textcircled{8}}n^2 \cdot 2.$$

Proof by Induction:

• **Base Case (n = 1):**

$$T(1) = 7^{\log_3 1} a + \frac{9}{8} = a + \frac{9}{8} = a$$

• **Inductive Hypothesis:**

Assume that $T(k) = 7^{\log_3 k} a + \frac{9}{8}k^2$ for all $k = 1, 2, \dots, n-1$

• **Induction Step:**

$$T(n) = 7T(n/3) + n^2$$

$$T(n) = 7[7^{\log_3 \frac{n}{3}} a + \frac{9}{8}\left(\frac{n}{3}\right)^2] + n^2$$

$$T(n) = 7 * 7^{\log_3 n - \log_3 3} a + \frac{7}{8}n^2 + n^2$$

$$T(n) = 7 * \frac{7^{\log_3 n}}{7^1} a + \frac{9}{8} n^2$$

$$T(n) = 7^{\log_3 n} a + \frac{9}{8} n^2$$

(d) $T(n) = T(\sqrt[3]{n}) + 1$

Substitution:

$$T(n) = T(n^{1/2}) + 1$$

$$T(n) = [T(n^{1/4}) + 1] + 1$$

$$T(n) = [T(n^{1/8}) + 1] + 1 + 1$$

The general formula is:

$$T(n) = T(n^{1/2^k}) + k$$

The last case is at $T(2)$:

$$n^{1/2^k} = 2 \Rightarrow \log_2 n^{1/2^k} = \log_2 2 \Rightarrow \frac{1}{2^k} \log_2 n = 1 \Rightarrow \log_2 n = 2^k \Rightarrow \log_2(\log_2 n) = k$$

$$T(n) = T(2) + \log_2(\log_2 n) = a + \log_2(\log_2 n)$$



Proof by Induction:

- **Base Case (n = 1):**

$$T(1) = a + \log_2(\log_2 1) = a + \log_2 0 = a + \infty = a$$

- **Inductive Hypothesis:**

Assume that $T(k) = a + \log_2(\log_2 k)$ for all $k = 1, 2, \dots, n-1$

- **Induction Step:**

$$T(n) = T(n^{1/2}) + 1$$

$$T(n) = a + \log_2(\log_2 n^{1/2}) + 1$$

$$T(n) = a + \log_2\left(\frac{1}{2} \log_2 n\right) + 1$$

$$T(n) = a + \log_2 \frac{1}{2} + \log_2(\log_2 n) + 1$$

$$T(n) = a - \log_2 2 + \log_2(\log_2 n) + 1$$

$$T(n) = a + \log_2(\log_2 n)$$

(e) $T(n) = T(n-1) + \log n$

Substitution:

$$T(n) = T(n-2) + \log(n-1) + \log n$$

$$T(n) = T(n-3) + \log(n-2) + \log(n-1) + \log n$$

The general formula is:

$$T(n) = T(n - k) + \log(n - k + 1) + \dots + \log n$$

$$T(n) = T(n - k) + \log(n(n - 1) \dots (n - k + 1))$$

The last case is at $T(0)$ when $n = k$:

$$T(n) = T(0) + \log n! = a + \log n!$$

Proof by Induction:

- **Base Case ($n = 1$):**

$$T(1) = a + \log 1 = a$$

- **Inductive Hypothesis:**

Assume that $T(k) = a + \log k!$ for all $k = 1, 2, \dots, n - 1$

- **Induction Step:**

$$T(n) = T(n - 1) + \log n$$

$$T(n) = a + \log(n - 1)! + \log n$$

$$T(n) = a + \log(n(n - 1)!)$$

$$T(n) = a + \log n!$$

4. Carefully prove by induction that the i -th Fibonacci number satisfies the equality

$$F_i = \frac{\phi^i - \Phi^i}{\sqrt{5}}$$

where $\phi = (1 + \sqrt{5})/2$ is the golden ratio and $\Phi = (1 - \sqrt{5})/2$ is its conjugate.

Note that

$$\phi^2 = \left(\frac{1 + \sqrt{5}}{2}\right)^2 = \frac{3 + \sqrt{5}}{2}, \Phi^2 = \left(\frac{1 - \sqrt{5}}{2}\right)^2 = \frac{3 - \sqrt{5}}{2}$$

recall $F_0 = 0, F_i = F_{i-1} + F_{i-2}$ for $i \geq 2$.

Base case:

$$\frac{\phi^0 - \Phi^0}{\sqrt{5}} = \frac{1 - 1}{\sqrt{5}} = 0 = F_0$$

$$\frac{\phi^1 - \Phi^1}{\sqrt{5}} = \frac{\frac{1 + \sqrt{5}}{2} - \frac{1 - \sqrt{5}}{2}}{\sqrt{5}} = 1 = F_1$$

Induction Hypothesis: Assume

$$F_n = \frac{\phi^n - \Phi^n}{\sqrt{5}} \text{ for } 0 \leq n \leq i$$

Induction Step: We want to show

$$F_{i+1} = \frac{\phi^{i+1} - \Phi^{i+1}}{\sqrt{5}}$$


Now, using the induction hypothesis,

$$F + i + 1 = F_i + F_{i-1} = \frac{\phi^i - \Phi^i}{\sqrt{5}} + \frac{\phi^{i-1} - \Phi^{i-1}}{\sqrt{5}}$$

Using the definition of ϕ and Φ :

$$F_{i+1} = \frac{1}{\sqrt{5}} \left(\frac{(1 + \sqrt{5})^i - (1 - \sqrt{5})^i}{2^i} + \frac{(1 + \sqrt{5})^{i-1} - (1 - \sqrt{5})^{i-1}}{2^i} \right)$$

By factoring and rearranging the terms, we have



$$F_{i+1} = \frac{1}{\sqrt{5}} (\phi^{i-1} \phi^2 - \Phi^{i-1} \Phi^2) = \frac{1}{\sqrt{5}} (\phi^{i+1} - \Phi^{i+1})$$