

## Lamport's Mutual Exclusion algorithm:

→ It is a non token based algorithm  
It executed using timestamp.

→ When a process need to enter critical section, we use lamport algorithm and ricart agrawal algorithm.

→ Lamport algorithm will be based on three messages.

\* Request

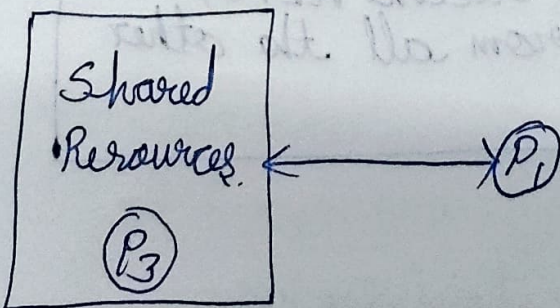
\* Reply

\* Release.

**Request:** When a process need to enter critical section it sends request message.

**Reply** ⇒ When the site allows a process request it sends the reply message.

**Release** ⇒ When process exited from critical section it sends the release message.





parameter in the non-blocking call also gets set with the handle of a location that user process can later check for the completion of the synchronous send operation.

buffer\_i  
kernel\_i

→ Queue is maintained for each process to store critical section requests ordered by their timestamps.

→ Messages are delivered in FIFO order.

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→ Timestamp → critical section request → Lamport's logical clock.

→ Timestamp is used to determine priority of critical section requests. Smaller timestamp gets high priority over larger timestamp.

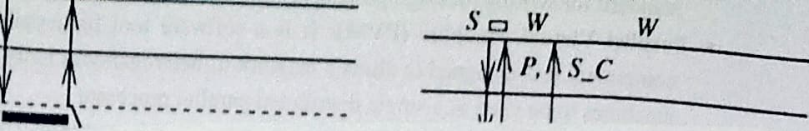
→ The execution of critical section request is always in the order of their timestamp.

2 Requirements to enter into critical section:

1:- Its TS is on top of the Queue.

2:- It should receive REPLY message from all the other processes.





## Algorithm:

i) To enter critical section:-

→ Request message "Request( $t_{s_i}, i$ )" is sent to all other sites and places the request on queue;

→ After receiving the request message, a timestamped REPLY message is sent and places the request on queue;

ii) To execute the critical section:-

→ If it has received the message from all the other sites,

→ Its own request is at top of the queue.

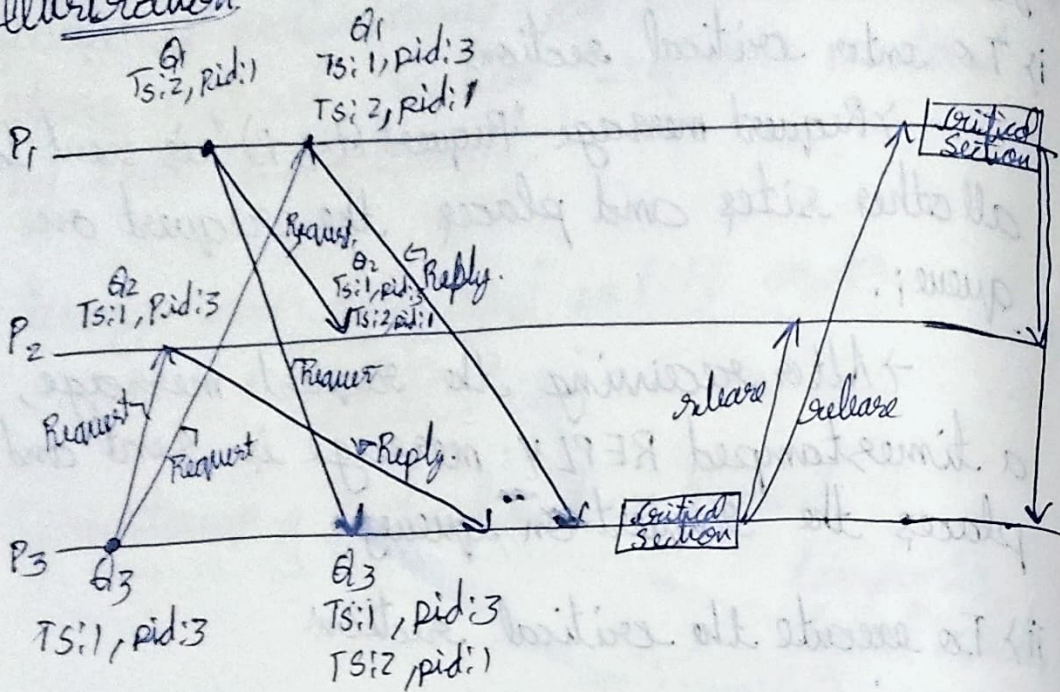
iii) To release the critical section:-

→ When a  $P_i$  exits the critical section, it removes its own request from top of its queue and sends a timestamped RELEASE message to all other sites.

P.T.O. →



# Illustration



Steps Scenario: 3 processes ( $P_1, P_2, P_3$ ).

1) Request phase:-

- $P_3$  sends a Request (timestamp=1) to  $P_2$  &  $P_1$ .
- $P_1$  sends a Request (timestamp=2) to  $P_2$  &  $P_3$ .

2) Reply phase:

- $P_1$  and  $P_2$  sends Reply to  $P_3$ .
- $P_2$  and  $P_3$  sends reply to  $P_1$ .

3) Critical section:-

- $P_3$ 's request (timestamp=1) is processed first.
- $P_1$  waits until  $P_3$  release the critical section.

4) Release phase:-

- $P_3$  sends a release message to  $P_2$  &  $P_1$ .
- $P_1$  enters the critical section.

performance

$$\rightarrow \text{Request} = (n-1)$$

$$\rightarrow \text{Reply} = (n-1)$$

$$\rightarrow \text{Release} = (n-1)$$

$$\rightarrow \text{Critical section} = 3(n-1)$$



## Ricart Agrawala's Algorithm:

→ It is a non token based algorithm. It executed using time stamp.

→ When a process need to enter critical section, we use Lamport algorithm and Ricart algorithm.

→ Ricart Agrawala's algorithm is an optimization on Lamport algorithm.

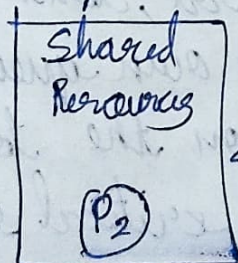
→ Ricart-Agrawala's algorithm uses only types of messages:-

- \* Request
- \* Reply.

→ It ensures mutual exclusion in a distributed system through message passing.

**Request** ⇒ When a process need to enter critical section it sends request message.

**Reply** ⇒ When the site allows a process request it sends the reply message to all the deferred requests sent by processes.





ter in the non-blocking call also gets set with the handle of a location that process can later check for the completion of the synchronous send

buffer\_i ---  
kernel\_i ---

→ Queue is maintained for each process to store critical section requests ordered by their timestamps.

→ Messages are delivered in FIFO order.

F	IF	Q
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→ Timestamp → critical section request → Lamports logical clock.

→ Timestamp is used to determine priority of critical section requests. Smaller timestamp gets high priority over larger timestamp.

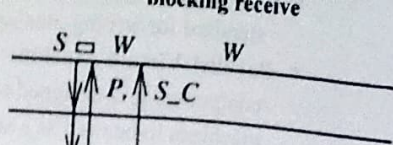
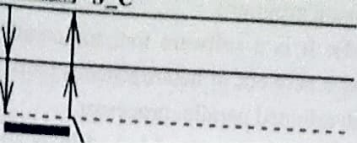
→ The execution of critical section requests is always in the order of their timestamp.

Requirements to enter critical section

1. It should receive REPLY message from all the other processes

→ The process that requested first, don't put their own timestamp on their own queue because, it is clear that it is on the top & its already in edge to execute critical section.





Algorithm:-

i) Requesting the critical section:

→ When a process  $P_i$  wants to enter the critical section it sends a request message to all the other processes containing.

"Request( $ts_i, i$ ),

→ Wait for Reply messages from all other processes.

ii) Receiving a Request:

→ When a process receives a Request from another process, first it checks/compares the  $T_i$  (timestamp) with  $T_j$  (current clock) and decide whether to grant Permission (send) Reply. or to Delay reply.

• Grant permission:- If  $P_i$  is not in the critical section or has a lower-priority request.

• Delay Reply:- If  $P_i$  is in the critical section or has a higher-priority request.

iii) Entering the critical section:-

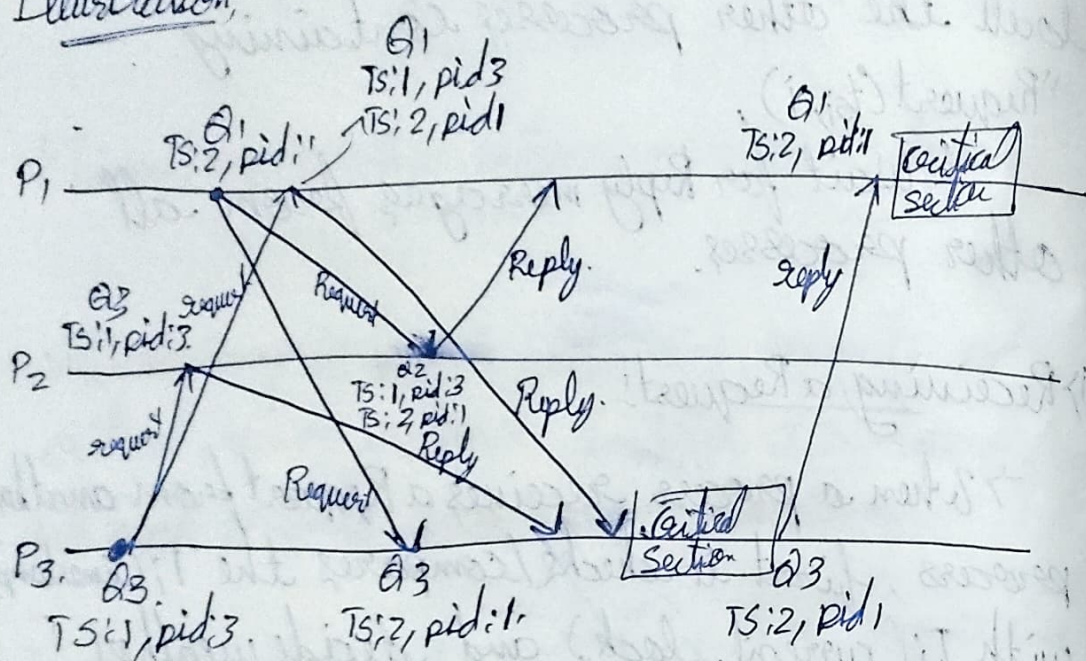
→ Processes  $P_i$  enters the critical section after receiving Reply message from all other processes.



iv) Exiting the critical section:

→ Upon exiting the critical section,  $P_i$  processes any deferred requests by sending Reply messages to the respective processes.

Illustration:



Steps Scenario: 3 processes ( $P_1, P_2, P_3$ )

1) Timestamps

→  $P_3$  requests CS with  $T_1 = 1$

→  $P_1$  requests CS with  $T_2 = 2$ .

⇒ Request phase:

→  $P_3$ 's request is prioritized because  $T_1 = 1$  is the smallest timestamp.

→  $P_1$  &  $P_2$  defer their replies to  $P_3$ .

⇒ Critical section Entry:

→  $P_3$  enters the CS first.

→ After  $P_3$  exits,  $P_1$ 's request is processed next.



Performance:-

$$\rightarrow \text{Request} = (N-1)$$

$$\rightarrow \text{Reply} = (N-1)$$

$$\rightarrow \text{critical section execution} = 2(N-1)$$

### Comparison with other Algorithms

Algorithm.	Message Complexity	Dependency	Fault Tolerance
Lamport Mutual Exclusion	$3(N-1)$	Logical clocks + queues.	Limited
Ricart - Agrawala's	$2(N-1)$	Responsiveness of processes.	Moderate
Token-Based	$\approx 1$	Token availability	High



## Snapshot Algorithm for FIFO channels:-

Each distributed application has number of processes running on different physical servers. These processes communicate with each other through messaging channels.

A snapshot captures the local state of each process along with the state of each communication channel.

Snapshots are required to:-

- checkpointing
- collecting garbage
- Detecting deadlocks
- Debugging.

## Chandy-Lamport algorithm:-

→ This algorithm always captures the consistent global state of a distributed system.

→ Any process in the distributed system can initiate this global state recording algorithm using a special message called "Marker".



→ This marker traverses the distributed system across all communication channels and each process to record its own state,

→ In the end, the state of entire system (Global state) is recorded,

→ This algorithm does not interfere with normal execution of processes.

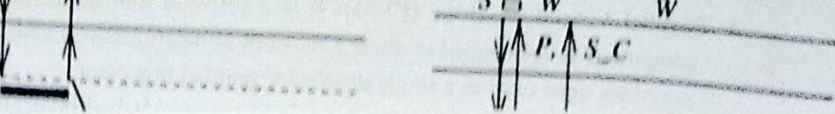
How it works:

→ This algorithm can be initiated by any process by executing the "Marker Sending Rule", records its local state and sends a marker on each outgoing channel.

→ The receiving process executes the "Marker Receiving Rule" once received the Marker. If in case, the process has not yet recorded its local state, it ~~is~~ sets the state of the incoming channel on which the marker has been received as "Empty" or "Null".

→ The incoming channel on which the marker has been received is set as empty in order to block any other messages coming on this channel while recording is being done.





→ Then the receiving process executes the "Marker Sending Rule" to record its own local state + record the state of other incoming channels i.e. expect the channel on which the MARKER is received.

→ The collection of these two local snapshots determine the global state of the distributed system.

Chandy Lamport Algorithm:-

Marker sending Rule:- for process  $i$

1) Process  $i$  records its state.

2) For each outgoing channel  $C$  on which a marker has not been sent,  $i$  sends a marker along  $C$  before  $i$  sends further message along  $C$ .

Marker receiving Rule:- for process  $j$

On receiving a marker along channel  $C$ ;

If  $j$  has not recorded its state then

Record the state of  $C$  as the empty set  
follow the "Marker Sending Rule".

Else:

Record the state of  $C$  as the set of messages received along  $C$  after  $j$ 's state was recorded and before  $j$  received the marker along  $C$ .



## Complexity:

→ The recording part of a single instance of the algorithm requires  $O(e)$  messages &  $O(d)$  time, where  $e$  is the number of edges in the network &  $d$  is the diameter of the network.