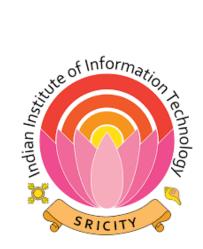
# RAINFALL NOWCASTING AND CLASSIFICATION THROUGH DEEP TRANSFER LEARNING

## A BTP Report

by Code: B22ACT01 PREETHI G - S20190020241 SHRI TEJA NAIK - S20190020223

Mentor: Dr. ANISH CHAND TURLAPATHY



# INDIAN INSTITUTE OF INFORMATION TECHNOLOGY SRICITY

Date: 19/12/2022 Final Report

# INDIAN INSTITUTE OF INFORMATION TECHNOLOGY SRICITY



#### CANDIDATE'S DECLARATION

I hereby certify that the work which is being presented in the BTP entitled "RAINFALL NOWCASTING AND CLASSIFICATION THROUGH DEEP TRANSFER LEARNING" in the partial fulfillment of the requirements for the award of the degree of B.Tech and submitted in the Indian Institute of Information Technology SriCity, is an authentic record of my own work carried out during the time period from January 2022 to December 2022 under the supervision of Dr. Anish Chand Turlapathy, Indian Institute of Information Technology SriCity, India.

Signature of the student with date
Preethi G, 19/12/2022
Shri Teja Naik, 19/12/2022

This is to certify that the above statement made by the candidate is correct to the best of my knowledge.

Signature of BTP Supervisor with date [-----][-/---]

#### **ABSTRACT**

All the Deep Learning based models require huge historical data for training. If the knowledge learned from one deep learning model trained for one region can be applied to other regions, it will be beneficial in many scenarios. With a goal of building accurate nowcasting model with relatively less amount of data, Deep Transfer Learning models come into picture. An application of Deep Transfer Learning in Weather Nowcasting is when a new radar station is established wherein obtaining a long-term data set for training the deep learning nowcasting model is not possible. However, if the model is not retrained to incorporate local precipitation characteristics, it may result in significant uncertainties in the radar nowcasting product. Thus, Deep Transfer Learning will result in an improved Precipitation Nowcasting model. In this project, we have built a total of 3 models: Base CNN, CNN-FT and CNN-GRU for a comparative performance analysis. The results are evaluated using MSE, RMSE, MAE and R2-Score for the nowcasting models. The CNN-GRU proved to be very effective for the short term forecasting problem and achieved an average MSE of 6.814, RMSE of 1.556 and MAE of 1.124 over the range of reflectivity [-32.0, 96.0] dBZ

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### 1 Introduction

Weather Radars that use Doppler effect to remotely sense cloud movements and precipitation serve as cornerstones of applications such as severe climatic change, weather warnings and long-term environmental monitoring. These radars record the essentials for short-term forecasting (nowcasting) of convective storms with high spatial-temporal resolutions.

Our study aims to use the advanced deep learning model based radar nowcasting techniques using knowledge transfer, which is also commonly referred in Machine Learning domain as transfer learning. The fundamental idea behind transfer learning is to extract the knowledge from a source/historical/previous task and apply the acquired knowledge to a target/future/new task.[4]-[6]

A transfer learning framework, CNN-FT and CNN-GRU is constructed from a Base CNN model in this project to illustrate how the knowledge stored, i.e. parameters/weights, in a well-trained deep learning model using relatively large radar data at one location (Miami region) can be extracted and then transferred to another location (New Orleans region).

For the purpose of demonstration, the Neural Network based nowcasting concept (CNN) in [7] is used as a benchmark, which is initially trained using extensive radar data collected in the Miami Region (3 months starting from June till August 2021). Later, two transfer learning models based on Fine-Tune(FT) and Gated Recurrent Unit(GRU) approaches were developed to transfer the learned knowledge to New Orleans Region, which has a varied precipitation/rainfall characteristics in comparison with Miami region.

The summary of this paper is structured as follows: A survey on the literature of Precipitation Nowcasting and Forecasting using Deep Learning, Description of the Data and Attribute Information, The methodology is discussed in section 4. Section 5 includes the performance of models across various evaluation metrics. Section 6 includes the additional work done (Classification of Rainfall-Scale). Experimental Result Comparisons of the three models are illustrated in Section 7 and the challenges, future scope and conclusions are presented in section 8,9,10.

## 2 Literature Survey

Deep Learning based techniques have proved to be very effective for radar based weather nowcasting through various case studies in the literature. For instance, Shi et al. [8] developed a time-series based deep learning framework called ConvL-STM demonstrating a superior prediction to conventional nowcasting approaches. Han et al. [7] introduced a deep convolutional neural network (Deep-CNN) model for convective storm nowcasting, which extracts predictive information from the weather radar data without making any assumptions in the physical features as the conventional nowcasting techniques do.

In the paper of Lei Han and Yangyang Zhao, [1] the study domains included the source domain as Beijing and target domain as Guangzhou. These areas are located approximately 2000 km apart and the precipitation characteristics of both are significantly different. The difference is especially notable during convective rain events. Similar to this, we have chosen Miami (Florida, USA) and New Orleans (Louisiana, USA) which are located approximately 1400 km apart and both the areas are heavy rainfall regions.

The historical data chosen for training were all characterized by various convective features with significant rainfall. During the process of transferring, a relatively small amount of local radar data in Guangzhou is used. A total of three experiments were conducted to illustrate the performance of the transferred CNN model. The influence of varying number of target data samples (radar data from Guangzhou area) on the nowcasting performance is quantified to shed light on how much data would be required when transferring a deep learning model.

## 3 Description of the Data

#### **Dataset Information:**

#### NEXRAD Level-II (Base) Data

Level-II (L2) data are grouped into three meteorological base quantities: reflectivity, mean radial velocity and spectrum width. Other categories include differential reflectivity, correlation coefficient, and differential phase. Data is stored in files that typically contain 5 or 10 minutes of base data depending on volume coverage pattern (data at 5 minutes interval was downloaded). A data file consists of 24-byte volume scan header record followed by numerous 2,432-byte base data and message records.

Input location: Miami, Florida, USA

Range of Input data used for Training: June 2021 to August 2021 (3 months)

Output location: New Orleans, Louisiana, USA

Range of Output data utilised for fine-tuning: 1st week of July 2021 (1 week)

#### **Attribute Information:**

A single file contains the following:

Altitude, Azimuth Angle, Elevation, Fields such as Cross Correlation Ratio, Reflectivity, Differential phase, Differential reflectivity, Velocity, Spectrum Width, Clutter filter power removed, Fixed angle, Latitude, Longitude, etc. We only require Reflectivity, Latitude and Longitude Fields for Spatial Analysis.

We initially use encoded values of Reflectivity to detect precipitation. The encoding is such that a thresold of 35 dBZ has been set and any value in the matrix containing a reflectivity value greater than 35 dBZ is labelled as '1' and is considered as raining and any value less than or equal to 35 dBZ is labelled as '0' and taken as not raining.

```
KAMX20210601_000300_V06

[[-15.0 -4.0 -2.5 ... -- -- --]

[-10.0 -7.0 -6.5 ... -- -- --]

[-13.5 -10.5 -4.0 ... -- -- --]

...

[-20.0 -20.0 -21.0 ... -- -- --]

[-22.0 -11.0 -11.0 ... -- -- --]
```

Fig 1. Example of a Reflectivity Matrix

## 4 Methodology

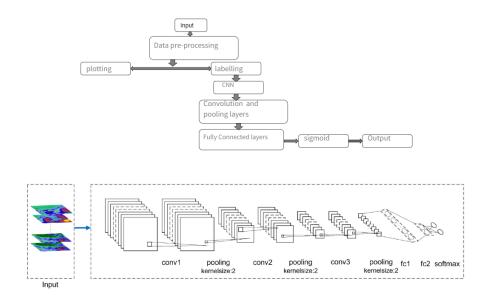


Fig 2. Block Diagram of Base CNN model

## 4.1 Downloading the Dataset

The Dataset was downloaded from the NOAA NEXRAD on AWS. The following locations were downloaded:

- Input location: Miami, Florida, USA Code: KAMX
- Output location: New Orleans, Louisiana, USA Code: KLIX

## 4.2 Pre-processing

• To read, process and visualize the dataset, we used Python ARM Radar Toolkit (Py-ART) which is an open source library to work with weather radar data. It is supported by the United States Department of Energy as a part of the Atmospheric Radiation Measurement (ARM), Climate Research Facility.

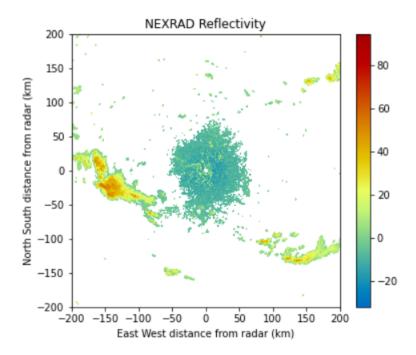


Fig 3. Visualization of radar reflectivity matrix

- The Latitude and Longitude values are taken in horizontal and vertical directions respectively, while the colour bar indicated the reflectivity values. The precipitation range starts from light orange and continues till dark red indicating the intensity of precipitation.
- Given the large amount of data contained in each file, the size of the data set is over 100 GB. To reduce the storage required, only the useful data i.e. Reflectivity, Latitude and Longitude has been stored as numpy arrays and used further.
- For classification the total rainfall area was detected and if the area is more than 400 sq. Km then it was labelled as large scale and area less than 400 sq. km was labelled as small scale.
- Dynamic plots are harder to execute compared to the static plots but they make it very easy to visualize and assess patterns. The Dynamic plots were also plotted spanning across a time period of 4 hrs.

## 4.3 Deep Learning Models

The following models were built:

- Base CNN (Convolutional Neural Network) Model
- CNN-FT (Convolutional Neural Network Fine Tuned) Model
- CNN-GRU (Convolutional Neural Network Gated Recurrent Unit) Model

The Base CNN Model is trained with Reflectivity Matrix from Miami region over the months of June-July, 2021.

The CNN-FT and CNN-GRU Models are trained with a small amount of data (1st week of July 2021) from New Orleans to implement transfer learning.

#### 4.3.1 Base CNN Model

- The base CNN model is trained using Radar Reflectivity of Miami region (taking 5 data points per step)
- The Base CNN can be broken down as:

  The convolution and pooling layers: Extracts all features from the input
  The fully connected (dense) layers: Uses the data from convolution layer
  to generate output
- At the end, the sigmoid function normalizes the CNN to fit between 0 and 1.
- Important processes involved in training the CNN:

  Forward Propagation: Receives the input data, processes the information, and generates output

**Backward Propagation:** Calculates the error and updates the parameters (weights) of the Neural Network during each epoch.

Layer (type)		Param #
input_1 (InputLayer)		
conv2d (Conv2D)	(None, 4, 719, 64)	469056
max_pooling2d (MaxPooling2D )	(None, 2, 360, 64)	0
conv2d_1 (Conv2D)	(None, 1, 359, 64)	16448
max_pooling2d_1 (MaxPooling 2D)	(None, 1, 180, 64)	0
conv2d_2 (Conv2D)	(None, 1, 180, 64)	16448
max_pooling2d_2 (MaxPooling 2D)	(None, 1, 90, 64)	0
dropout (Dropout)	(None, 1, 90, 64)	0
flatten (Flatten)	(None, 5760)	0
reshape (Reshape)	(None, 90, 64)	0
dense (Dense)	(None, 90, 50)	3250
dense_1 (Dense)	(None, 90, 1319040)	67271040

Total params: 67,776,242

Trainable params: 67,776,242 Non-trainable params: 0

Fig 4. Base CNN and CNN-FT Model Summary

After training the model with Miami Data, the trained model parameters (weights of Neural Network) are saved for further models (CNN-FT and CNN-GRU).



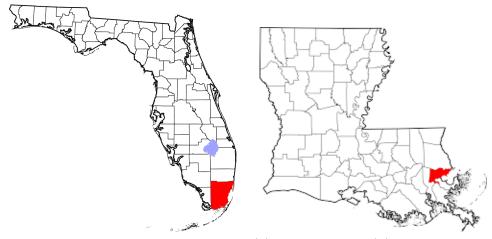
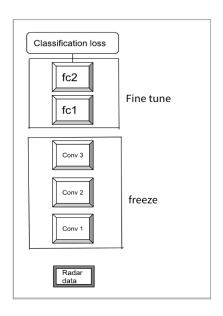


Fig 5. Demonstration study domains: (a) map of USA, (b) source study domain (red region indicated in Florida Map) in Miami, Florida, USA and (c) target study domain (red region indicated in Louisiana Map)in New Orleans, Louisiana, USA.

#### 4.3.2 CNN-FT Model



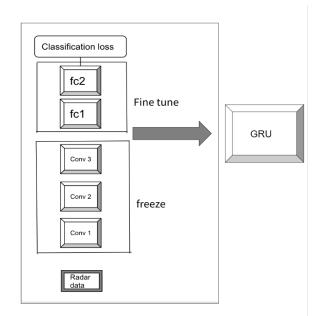


Fig 6. Block Diagram of CNN-FT and CNN-GRU Models

The first couple of layers in the Base CNN mainly outputs only general features and the features learned by the network become more specialized as the Depth of the Neural Network increases. Features transition from general to specific mainly in the last Fully Connected (Dense) layers in a CNN model. Also, the base CNN model has to be trained from scratch with randomly assigned weights initially.

Therefore, we first train a base CNN model using a relatively large amount of data from Miami (source-domain data) and then copy its weights to New Orleans region (target CNN model) so as to take advantage of the pre-trained weights. The layers of the target network is retrained using a small amount of target-domain data, which is also called FT.

#### 4.3.3 CNN-GRU Model

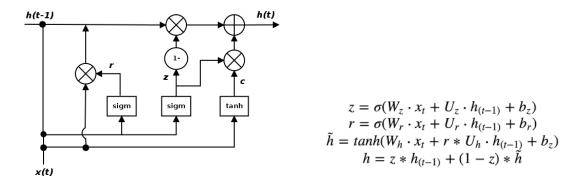


Fig 7. Gated Recurrent Unit

Gated Recurrent Unit is a sequence modeling technique just like RNN and LSTM. GRUs are very similar to Long Short Term Memory(LSTM). Just as in LSTM, GRU also uses gates to control the flow of information with the main difference in the number of gates being used i.e. two gates in GRU (reset and update) and three in LSTM (input, output, forget). This is the reason they offer similar results while having a simpler architecture.

The GRU Layers are added after flattening the outputs from the Convolution and Pooling Layers. The 1st GRU layer is implemented with a units count of 32, activation function as tanh (default) and recurrent activation function as sigmoid (default) followed by a 2nd GRU layer with a units count of 16, activation function as relu and recurrent activation function as sigmoid (default). The GRU output is then passed to a Fully Connected (Dense) Layer followed by another Fully Connected Layer which is reshaped to generate the forecast output.

The model weights for the convolution and pooling layers are loaded from the CNN model. Only the GRU and Dense layer parameters are trained from randomly assigned weights using New Orleans Data.

Each model has been run for a total of 50 epochs (Experimentally Hyperparameter Tuned given high computational requirements) with a batch size of 32 per epoch.

Fig 8. CNN-GRU Model Summary

Layer (type)	Output Shape	Param #
input_1 (InputLayer)	[(None, 5, 720, 1832)]	
conv2d (Conv2D)	(None, 4, 719, 64)	469056
max_pooling2d (MaxPooling2D )	(None, 2, 360, 64)	0
conv2d_1 (Conv2D)	(None, 1, 359, 64)	16448
max_pooling2d_1 (MaxPooling 2D)	(None, 1, 180, 64)	0
conv2d_2 (Conv2D)	(None, 1, 180, 64)	16448
max_pooling2d_2 (MaxPooling 2D)	(None, 1, 90, 64)	0
dropout (Dropout)	(None, 1, 90, 64)	0
flatten (Flatten)	(None, 5760)	0
reshape (Reshape)	(None, 90, 64)	0
gru (GRU)	(None, 90, 32)	9408
gru_1 (GRU)	(None, 16)	2400
dense (Dense)	(None, 50)	850
dense_1 (Dense)	(None, 1319040)	6727104

Total params: 67,785,650 Trainable params: 67,785,650 Non-trainable params: 0

## 5 Performance Evaluation:

#### 5.1 Base CNN

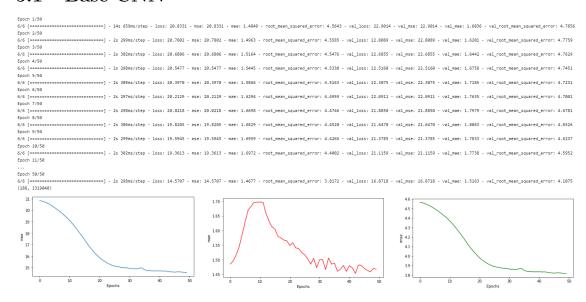


Fig 9. Output Base CNN for 50 epoch run

#### 5.2 CNN-FT

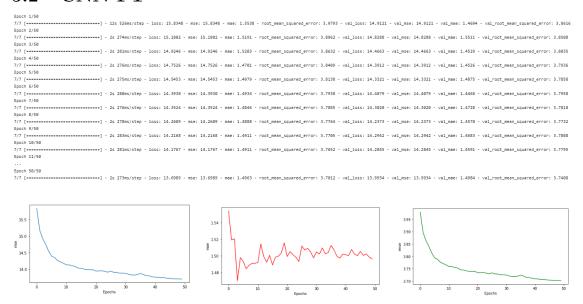


Fig 10. Output CNN-FT for 50 epoch run

#### 5.3 CNN-GRU

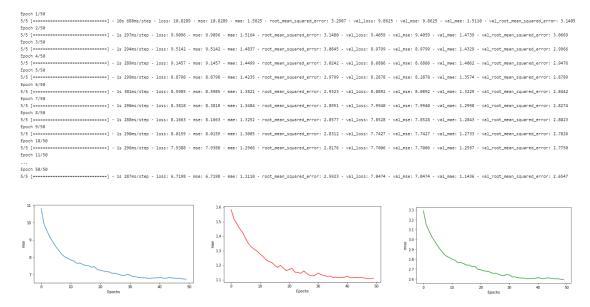


Fig 11. Output CNN-GRU for 50 epoch run

## 6 Supplementary Work

We tried to classify the precipitation data using radar reflectivity weather maps, the classes being whether the data points offer large-scale or small-scale rainfall over the radar coverage area.

The threshold value was set to be 400, and if the contour area over the weather map is greater than 400 then it is labelled as large-scale, otherwise as small-scale.

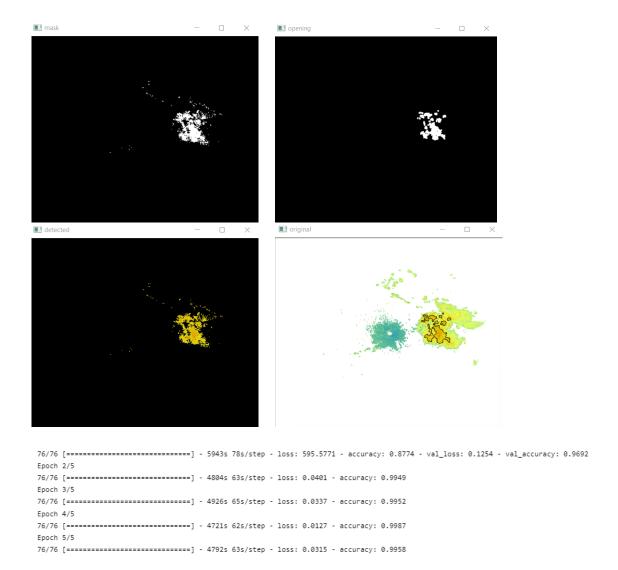


Fig 12. Contour Area and Output of Classification

## 7 Experimental Result Comparisons

The Base CNN trained over Miami region results in a MSE of 14.634 which is acceptable given the total range of valid values being [-32.0, 96.0] and trained only with 3 months of data (weather nowcasting typically requires years of data).

Simple, Fine Tuned Transfer Learning Model like CNN-FT doesn't reduce the loss and the reason could be because Transfer Learning Model needs solid pre-trained weights.

However, adding new layers (2 GRU layers) introduces a memory element into picture making the CNN-GRU Nowcasting Model more problem specific, thus resulting in a MSE of 6.814.

Evaluation Metrics/Model	Base CNN	CNN-FT	CNN-GRU
Mean Squared Error	14.634	13.728	6.814
Root Mean Squared Error	2.891	2.764	1.556
Mean Absolute Error	1.423	1.495	1.124
R2 Score	0.316	0.316	0.317

## 8 Challenges Faced

One of the major challenges faced while executing the project was that the Neural Network Model was very computationally expensive leading to hours of training time even while using a Graphics Processing Unit (GPU). Since the data set used occupied high storage and CNN-GRU model required step-wise processing approach, the training process halted due to RAM overloading.

## 9 Research Contributions and Future Work

The base CNN model was the Benchmark Model[1]. The CNN-FT model was tested and produced acceptable results. However, the CNN-GRU model that we built definetly resulted in a highly improvised performance due to its short-term forecasting (prediction using a memory concept) characteristic, which is great for NLP, Weather Forecasts or any Time-series application.

Future work should focus on operational implementation of the nowcasting models, especially on how to the real-time observations can be incorporated into the learning schemes. Performance wise, dividing the data point into smaller windows and batch processing those individual frames using memory based deep learning techniques to finally provide a combined result could lead to better outputs.

#### 10 Conclusion

Conventional Nowcastings rely on extrapolation of data and multisource weather data availability, and the nowcasting performance is often hindered by underlying assumptions in the physical conditions that may not be sufficient enough to represent the rapidly varying atmospheric state. Deep learning is expected to enhance radar nowcasting through extracting the complex spatial—temporal features of precipitation and it is proved to be effective for regional applications. As Gated Recurrent Unit can be used to improve the memory capacity of a neural network and as well as provide the ease of training a model the CNN-GRU model provides the most accurate nowcasting result over all the other models.

## 11 Acknowledgements

We would like to thank our mentor, Dr. Anish Chand Turlapathy for guiding us in this project and providing inputs and suggestions during evaluations and working. All team members have contributed equally, sharing the workload since the beginning.

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Fig 12: Contour Area and Output of Classification

Fig 13: Result Comparison

#### 13 List of Tables

Table 1: Experimental Result Comparisons

#### 14 List of Abbreviations

CNN: Convolutional Neural Network

CNN-FT: Convolutional Neural Network - Fine Tuned

CNN-GRU: Convolutional Neural Network - Gated Recurrent Unit

MSE: Mean Squared Error

**RMSE:** Root Mean Squared Error

MAE: Mean Absolute Error

**R2-Score:** Coefficient of determination  $(R^2)$ 

**dBZ:** Decibel Relative to Z (technical unit used in weather radars)

AWS: Amazon Web Services

**Py-ART:** Python ARM Radar Toolkit

**ARM:** Atmospheric Radiation Measurement

LSTM: Long Short Term Memory

**RAM:** Random Access Memory

**NLP:** Natural Language Processing

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