



Sorting and Searching

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Outline

-
- Sorting
 - Insertion Sort
 - Selection Sort
 - Merging
 - Merge-Sort
 - Radix Sort
 - **Searching and Data Modification**
 - **Hashing**

Searching and Data Modification

Searching and Data Modification

- Let S be a collection of data
 - Maintained in memory by a table using **some type of data structure**.
- Searching - Successful or Unsuccessful
- Data Modification - **Insert, delete** and Update(delete & Insert)
- Searching and Data modification depend on mainly on **the type of data structure** that is used.

Searching and Data Modification

- Sorted Array:
 - Searching Complexity: $O(\log n)$ - Binary Search
 - Inserting and Deleting are very Slow i.e. $O(n)$
 - **Use:** a Great deal of searching but only very little data modification
- Linked List:
 - Searching Complexity: $O(n)$ - Slow
 - Data Modification: Fast
 - Use: A great deal of data modification.
- Binary Search Tree:
 - Average searching Complexity: $O(\log n)$
 - Data Modification: relatively Fast (since Linked List is used)
 - **Drawback:** Unbalanced tree

Hashing

Hashing

- Searching time depends on the number n of elements in a collection S of data.
- Hashing or Hash Addressing - independent of the number of n

File, F

	Soc. Sec. No	Name	Extra Data -
1	013-44-5555	Davis, Earl	XXXXXXXXXXXXXXXX
2	025-55-6198	Abbey, Gregory	XXXXXXXXXXXXXXXX
3	027-73-3961	Lane, Alice	XXXXXXXXXXXXXXXX
4	174-62-3485	Brown, John	XXXXXXXXXXXXXXXX
5	182-74-6398	Smith, Mary	XXXXXXXXXXXXXXXX
		KEY, K	

Hashing

File, F

	Soc. Sec. No	Name	Extra Data
1	013-44-5555	Davis, Earl	XXXXXXXXXXXXXX
2	025-55-6198	Abbey, Gregory	XXXXXXXXXXXXXX
3	027-73-3961	Lane, Alice	XXXXXXXXXXXXXX
4	174-62-3485	Brown, John	XXXXXXXXXXXXXX
5	182-74-6398	Smith, Mary	XXXXXXXXXXXXXX
		KEY, K	

- Memory Size m
- Maintain By Table T
- L set of memory addresses of the location in T

• Map K to L

Hashing (Example)

- In a Company
 - 68 employees
 - Assign 4-digit employee number as primary key (K)
 - Key indicates the memory location (L)
 - Searching: No compare, $O(1)$
 - Tradeoff space for time is not worth the expense.

Hashing

- Idea: Use key to determine the address of a record
- Drawback : waste of memory
- So we cant use the key directly as the address of a record
- We need modification, We can write
$$H:K \rightarrow L$$
- The function H is called a hash function or hashing function.
- **Drawback:** H may not yield distinct values; it is possible that two different keys k_1 and k_2 will yield the same hash address. This situation is called **collision**.
- Some method must be used to resolve it.

Hash Function

- Two Principal criteria considered to select a hash function -
 1. H should be very **easy and quick to compute**.
 2. H should **uniformly distribute** the hash address throughout the set L so that there are **a minimum number of collisions**.
- One technique is to “chop” a key k into pieces and combine the pieces in some way to form the hash address $H(k)$.

Hash Function (Division Method)

- Let total number of key is n
- m is a prime number and $m > n$
- The hash function $H: K \rightarrow L$, $H(k) = k \pmod{m}$ or $H(k) = k \pmod{m} + 1$
- $H: K \rightarrow L$, $H(k) = k \pmod{m}$,
 - when the range of hash address is $[1, m]$
- $H: K \rightarrow L$, $H(k) = k \pmod{m}$,
 - When the range of hash address is $[0, m-1]$

Hash Function (Division Method)

- **Example:** Number of Employees - 68

L:	00	01	02	...	99
----	----	----	----	-----	----

Map the following Key

3205	7148	2345					
------	------	------	--	--	--	--	--

- **Division Method:**
 - Since address starts from 00, we will use $H:K \rightarrow L$, $H(k) = k \pmod{m}$
 - We chose m less than 99 and must be prime, i.e. $m = 97$
 - $H(3205) = 3205 \pmod{97} = 4$, **Store data of 3205 at 04.**
 - $H(7148) = 7148 \pmod{97} = 67$
 - $H(2345) = 2345 \pmod{97} = 17$

Hash Function (Midsquare Method)

- The Key, k is squared.
- Then the hash function H is defined by $H(k) = I$,
 - where I is obtained by deleting digits from both ends of k^2 .

Hash Function (Midsquare Method)

- **Example:** Number of Employees - 68

L:	00	01	02	...	99
----	----	----	----	-----	----

Map the following Key

3205	7148	2345					
------	------	------	--	--	--	--	--

- **Midsquare Method:**
 - 4th and 5th digits are chosen

K	3205	7148	2345
K ²	102 72 025	510 93 904	54 99 025
H(k) or I	72	93	99

Hash Function (Folding Method)

- The Key, k is partitioned into a number of parts, k_1, k_2, \dots, k_r
- Each part has the same number of digits (except the last)
- Then the parts are added together, ignoring the last carry.

$$H(k) = k_1 + k_2 + \dots + k_r$$

- Another Process:
 - Sometimes, for extra “milling”, the even-numbered parts are k_2, k_4, \dots each reversed before the addition.

Hash Function (Folding Method)

- **Example:** Number of Employees - 68

L:	00	01	02	...	99
----	----	----	----	-----	----

Map the following Key

3205	7148	2345					
------	------	------	--	--	--	--	--

- **Folding Method:**
 - $H(3205) = 32 + 05 = 37$
 - $H(7148) = 71 + 48 = 19$ (ignoring last carry 1)
 - $H(2345) = 23 + 45 = 68$
 - $H(3205) \square 32 + 05 \square 32 + 50(\text{reversed}) \square 82$
 - $H(7148) \square 71 + 48 \square 71 + 84 \square 55$
 - $H(2345) \square 23 + 45 \square 23 + 54 \square 77$

Collision Resolution

- Let new record R with key k
- $H(k) = l$, suppose the memory location l is already occupied.
- This situation is called **collision**.
- **Load Factor**: $\lambda = n/m$ (small value is better)
 - n = the number of keys in K
 - m = the number of hash address in L
- Suppose we have 24 student and have a table with space for 365 records. $H:K \rightarrow L$, $H(k)$ = student birthday
- **Load Factor** = $\lambda = 24/365 = 7\%$

Collision Resolution

- The efficiency of a hash function with a collision resolution-
 - The average number of probes needed to find the location of the record with a given key k .
- **Two quantities** -
 - $S(\lambda)$ = average number of probes for a successful search
 - $U(\lambda)$ = average number of probes for an unsuccessful search



Collision Resolution

(Open Addressing: Linear Probing and Modifications)

- Suppose that a new record R with key k is to be added to the memory table T .
- But $H(k) = h$ is already filled.
- Resolve the collision:
 - assign R to the first available location following $T[h]$.

Collision Resolution

(Open Addressing: Linear Probing and Modifications)

- Assume table T with m location is circular, so that $T[1]$ comes after $T[m]$.
- Search for the record R in the table T by linearly searching locations $T[h]$, $T[h+1]$, $T[h+2]$... until finding R (Successful) or meeting an empty location (Unsuccessful search).

Collision Resolution

(Open Addressing: Linear Probing and Modifications)

- $S(\lambda)$ = average number of probes for a successful search
- λ = load factor

$$S(\lambda) = \frac{1}{2} \left(1 + \frac{1}{1 - \lambda} \right)$$

- $U(\lambda)$ = average number of probes for an unsuccessful search

$$U(\lambda) = \frac{1}{2} \left(1 + \frac{1}{(1 - \lambda)^2} \right)$$

Collision Resolution

(Open Addressing: Linear Probing and Modifications)

- Suppose the table T has 11 memory location and the file F consists of 8 records with the following address:

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Open Addressing Procedure (linear Probing):**

Table T:	—	—	—	—	—	—	—	—	—	—	—
Address:	1	2	3	4	5	6	7	8	9	10	11

Collision Resolution

(Open Addressing: Linear Probing and Modifications)

- Suppose the table T has 11 memory location and the file F consists of 8 records with the following address:

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Open Addressing Procedure:**

Table T:	—	—	—	A	—	—	—	—	—	—	—
Address:	1	2	3	4	5	6	7	8	9	10	11

Collision Resolution

(Open Addressing: Linear Probing and Modifications)

- Suppose the table T has 11 memory location and the file F consists of 8 records with the following address:

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Open Addressing Procedure:**

Table T:	—	—	—	A	—	—	—	B	—	—	—
Address:	1	2	3	4	5	6	7	8	9	10	11

Collision Resolution

(Open Addressing: Linear Probing and Modifications)

- Suppose the table T has 11 memory location and the file F consists of 8 records with the following address:

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Open Addressing Procedure:**

Table T:	—	C	—	A	—	—	—	B	—	—	—
Address:	1	2	3	4	5	6	7	8	9	10	11

Collision Resolution

(Open Addressing: Linear Probing and Modifications)

- Suppose the table T has 11 memory location and the file F consists of 8 records with the following address:

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Open Addressing Procedure:**

Table T:	—	C	—	A	—	—	—	B	—	—	D
Address:	1	2	3	4	5	6	7	8	9	10	11

Collision Resolution

(Open Addressing: Linear Probing and Modifications)

- Suppose the table T has 11 memory location and the file F consists of 8 records with the following address:

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Open Addressing Procedure:**

Table T:	—	C	—	A	E	—	—	B	—	—	D
Address:	1	2	3	4	5	6	7	8	9	10	11

- Collision Occurred , Store next address**

Collision Resolution

(Open Addressing: Linear Probing and Modifications)

- Suppose the table T has 11 memory location and the file F consists of 8 records with the following address:

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Open Addressing Procedure:**

Table T:	X	C	—	A	E	—	—	B	—	—	D
Address:	1	2	3	4	5	6	7	8	9	10	11

- Collision Occurred , Store next address**

Collision Resolution

(Open Addressing: Linear Probing and Modifications)

- Suppose the table T has 11 memory location and the file F consists of 8 records with the following address:

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- Open Addressing Procedure:**

Table T:	X	C	—	A	E	Y	—	B	—	—	D
Address:	1	2	3	4	5	6	7	8	9	10	11

- Collision Occurred , Store next address**

Collision Resolution

(Open Addressing: Linear Probing and Modifications)

- Suppose the table T has 11 memory location and the file F consists of 8 records with the following address:

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Open Addressing Procedure:**

Table T:	X	C	Z	A	E	Y	—	B	—	—	D
Address:	1	2	3	4	5	6	7	8	9	10	11

- Collision Occurred , Store next address**

Collision Resolution

(Open Addressing: Linear Probing and Modifications)

- Open Addressing Procedure:

Table T:	X	C	Z	A	E	Y	—	B	—	—	D
Address:	1	2	3	4	5	6	7	8	9	10	11

- The average number S of probes for a successful search follows:

$$S = (1+1+1+1+2+2+2+3)/8 = 13/8 \approx 1.6$$

- The average number of U of probes for an unsuccessful search follows:

$$U = (7+6+5+4+3+2+1+2+1+1+8)/11 = 40/11 \approx 3.6$$

Collision Resolution

(Open Addressing: Quadratic Probing)

- Disadvantage of linear probing: tend to cluster, that is, appear next to one another, the load factor is greater than 50%
- Quadratic Probing:
 - Instead of searching the location with addresses $h, h+1, h+2, \dots$, we linearly search the location with addresses $h, h+1, h+4, h+9, h+16, \dots, h+i^2, \dots$

Collision Resolution

(Quadratic Probing)

- Suppose the table T has 11 memory location and the file F consists of 8 records with the following address:

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Open Addressing Procedure (Quadratic Probing):**

Table T:	—	—	—	—	—	—	—	—	—	—	—
Address:	1	2	3	4	5	6	7	8	9	10	11

Collision Resolution

(Quadratic Probing)

- Suppose the table T has 11 memory location and the file F consists of 8 records with the following address:

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Open Addressing Procedure (Quadratic Probing):**

Table T:	—	—	—	A	—	—	—	—	—	—	—
Address:	1	2	3	4	5	6	7	8	9	10	11

Collision Resolution

(Quadratic Probing)

- Suppose the table T has 11 memory location and the file F consists of 8 records with the following address:

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Open Addressing Procedure (Quadratic Probing):**

Table T:	—	C	—	A	—	—	—	B	—	—	D
Address:	1	2	3	4	5	6	7	8	9	10	11

Collision Resolution

(Quadratic Probing)

- Suppose the table T has 11 memory location and the file F consists of 8 records with the following address:

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Open Addressing Procedure (Quadratic Probing):**

Table T:	—	C	—	A	E	—	—	B	—	—	D
Address:	1	2	3	4	5	6	7	8	9	10	11

- Collision Occurred : $h+1$**

Collision Resolution

(Quadratic Probing)

- Suppose the table T has 11 memory location and the file F consists of 8 records with the following address:

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Open Addressing Procedure (Quadratic Probing):**

Table T:	X	C	—	A	E	—	—	B	—	—	D
Address:	1	2	3	4	5	6	7	8	9	10	11

- Collision Occurred : $h+1$**

Collision Resolution

(Quadratic Probing)

- Suppose the table T has 11 memory location and the file F consists of 8 records with the following address:

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Open Addressing Procedure (Quadratic Probing):**

Table T:	X	C	—	A	E	Y	—	B	—	—	D
Address:	1	2	3	4	5	6	7	8	9	10	11

- Collision Occurred : $h+1$**

Collision Resolution

(Quadratic Probing)

- Suppose the table T has 11 memory location and the file F consists of 8 records with the following address:

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Open Addressing Procedure (Quadratic Probing):**

Table T:	X	C	—	A	E	Y	—	B	Z	—	D
Address:	1	2	3	4	5	6	7	8	9	10	11

- Collision Occurred : $h+8$**

Collision Resolution

(Open Addressing: Double Hashing)

- Here a second hash function H' is used for resolving a collision, as follows.
- Suppose a record R with key k has the hash address $H(k) = h$ and $H'(k) = h' \neq m$.
- Then we linearly search the location with addresses
$$h, h+h', h+2h', h+3h', \dots$$

Collision Resolution (Chaining)

- **Maintain two table:**
 - Table T as before with additional field LINK which is used so that all records in T with the same hash address h may be liked together to form a linked list.
 - Hash address table LIST which contains pointer to the linked list in T.

Table T	
LIST	

INFO	LINK

Collision Resolution

(Chaining)

- Suppose a new record R with key k is added to the file F .
- Place R in the first available location in the table T .
- Add R to the linked list with pointer $LIST[H(k)]$.
- If the linked list of records are not sorted, then R is simply inserted at the beginning of its linked list.

Collision Resolution (Chaining)

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Chaining:**

	LIST
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	

	TABLE T	
	INFO	LINK
1		2
2		3
3		4
4		5
5		6
6		7
7		8
8		9
9		10
10		11

AVAIL → 1

NEW ← AVAIL
 AVAIL ← LINK[AVAIL]
 INFO[NEW] ← ITEM

LINK[NEW] ← LIST[H(k)]
 LIST[H(k)] ← NEW

Collision Resolution (Chaining)

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Chaining:**

	LIST
1	
2	
3	
4	1
5	
6	
7	
8	
9	
10	

	TABLE T	
	INFO	LINK
1	A	0
2		3
3		4
4		5
5		6
6		7
7		8
8		9
9		10
10		11

AVAIL → 2

NEW ← AVAIL
 AVAIL ← LINK[AVAIL]
 INFO[NEW] ← ITEM

LINK[NEW] ← LIST[H(k)]
 LIST[H(k)] ← NEW

Collision Resolution (Chaining)

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Chaining:**

	LIST
1	
2	
3	
4	1
5	
6	
7	
8	2
9	
10	

	TABLE T	
	INFO	LINK
1	A	0
2	B	0
3		4
4		5
5		6
6		7
7		8
8		9
9		10
10		11

AVAIL → 3

NEW ← AVAIL
 AVAIL ← LINK[AVAIL]
 INFO[NEW] ← ITEM

LINK[NEW] ← LIST[H(k)]
 LIST[H(k)] ← NEW

Collision Resolution (Chaining)

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Chaining:**

	LIST
1	
2	3
3	
4	1
5	
6	
7	
8	2
9	
10	

	TABLE T	
	INFO	LINK
1	A	0
2	B	0
3	C	0
4		5
5		6
6		7
7		8
8		9
9		10
10		11

AVAIL → 4

NEW ← AVAIL
 AVAIL ← LINK[AVAIL]
 INFO[NEW] ← ITEM

LINK[NEW] ← LIST[H(k)]
 LIST[H(k)] ← NEW

Collision Resolution (Chaining)

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Chaining:**

	LIST
1	
2	3
3	
4	1
5	
6	
7	
8	2
9	
10	
11	4

	TABLE T	
	INFO	LINK
1	A	0
2	B	0
3	C	0
4	D	0
5		6
6		7
7		8
8		9
9		10
10		11
11		0

AVAIL → 5

NEW ← AVAIL
 AVAIL ← LINK[AVAIL]
 INFO[NEW] ← ITEM

LINK[NEW] ← LIST[H(k)]
 LIST[H(k)] ← NEW

Collision Resolution (Chaining)

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Chaining:**

TABLE T	
INFO	LINK
1	A
2	B
3	C
4	D
5	E
6	
7	
8	
9	
10	
11	

AVAIL → 6

NEW ← AVAIL
AVAIL ← LINK[AVAIL]
INFO[NEW] ← ITEM

LINK[NEW] ← LIST[H(k)]
LIST[H(k)] ← NEW

Collision Resolution (Chaining)

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Chaining:**

	LIST
1	
2	3
3	
4	5
5	
6	
7	
8	2
9	
10	
11	6

	TABLE T	
	INFO	LINK
1	A	0
2	B	0
3	C	0
4	D	0
5	E	1
6	X	4
7		8
8		9
9		10
10		11
11		0

AVAIL → 7

NEW ← AVAIL
 AVAIL ← LINK[AVAIL]
 INFO[NEW] ← ITEM

LINK[NEW] ← LIST[H(k)]
 LIST[H(k)] ← NEW

Collision Resolution (Chaining)

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Chaining:**

TABLE T	
INFO	LINK
1	A
2	B
3	C
4	D
5	E
6	X
7	Y
8	
9	
10	
11	

AVAIL → 8

NEW ← AVAIL
AVAIL ← LINK[AVAIL]
INFO[NEW] ← ITEM

LINK[NEW] ← LIST[H(k)]
LIST[H(k)] ← NEW

Collision Resolution

(Chaining)

Record:	A	B	C	D	E	X	Y	Z
H(k):	4	8	2	11	4	11	5	1

- Chaining:**

LIST		TABLE T	
		INFO	LINK
1	8	1	A
2	3	2	B

AVAIL → 9

$$S(\lambda) \approx 1 + \frac{1}{2}\lambda \quad \text{and} \quad U(\lambda) \approx e^{-\lambda} + \lambda$$

```

NEW ← AVAIL
AVAIL ← LINK[AVAIL]
INFO[NEW] ← ITEM

LINK[NEW] ← LIST[H(k)]
LIST[H(k)] ← NEW
  
```

6		6	X	4
7		7	Y	0
8	2	8	Z	0
9		9		10
10		10		11
11	6	11		0

Collision Resolution (Chaining)

- **Disadvantage:**
 - Need 3m memory cell (Table- INFO, LINK, LIST).
 - It may be more useful to use open addressing with a table with 3m locations, which has the load factor $\lambda \leq 1/3$, than to use chaining to resolve collision.

Any Query?

