

#### Tree

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#### Outline



- Binary Tree
- Representing Binary Trees in Memory
- Traversing Binary Trees
- Traversal Algorithm using Stacks
- Header Nodes: Threads
- Binary Search Trees
- Searching and Inserting in Binary Search Trees
- Deleting in Binary Search Tree
- AVL Search Trees
- Insertion in an AVL Search Tree
- Deletion in an AVL Search Tree
- m-way Search Trees
- Searching, Insertion and Deletion in an m-way Search Tree
- B Trees
- Searching, Insertion and Deletion in a B-tree

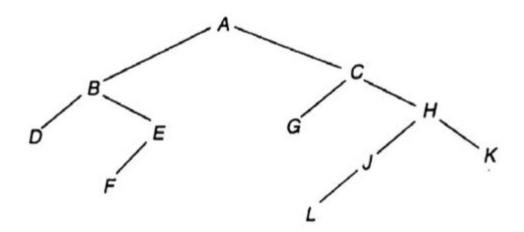


## Binary Tree





- A binary tree T is defined as a finite set of elements, called nodes, such that:
  - a) T is empty (called the null tree or empty tree) or
  - b) T contains a distinguished node R, called the root of T, and the remaining nodes of T form an ordered pair of disjoint binary trees T<sub>1</sub> and T<sub>2</sub>



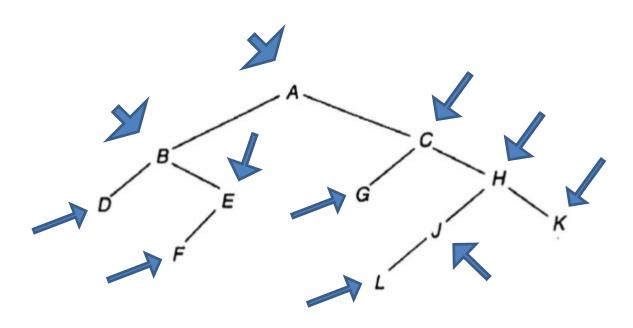


# Root A G K

- · Left subtree of A
- Root of left subtree: B
- Left Successor of A: B

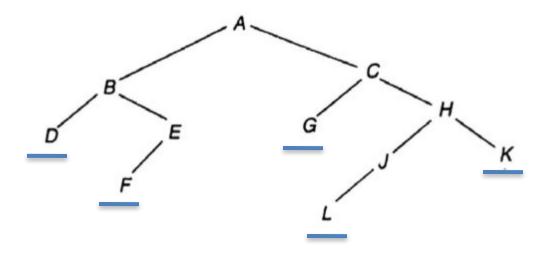
- Right subtree of A
- Root of Right subtree: C
- Right Successor of A: C





- A, B, C, H have two successor
- •E and J have One successor
- •D, F, G, L and K have no successor





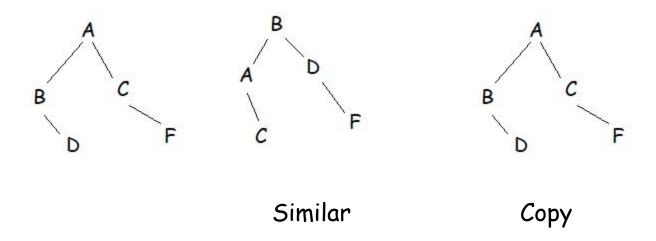
•The nodes with no successor are called terminal nodes



- Binary Tree T and T' are said to be similar if they have the same structure.
- The trees are said to be copies, if they are similar and they
  have the same contents at corresponding nodes.



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$$E = (a - b) / ((c*d) + e)$$

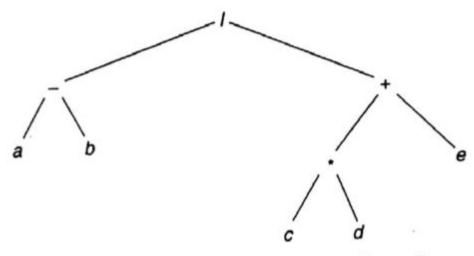
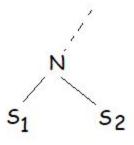


Fig. 7.3 
$$E = (a - b)/((c*d) + e)$$



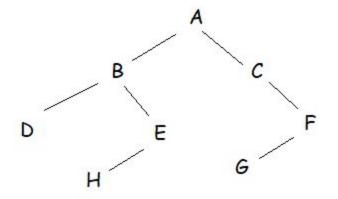
- N is not root and any node in a binary tree T.
- Left successor of N: S<sub>1</sub>
- Right Successor of N: 5<sub>2</sub>



- N is called the **parent** (or father of  $S_1$  and  $S_2$
- 5, 1 Left child (or son) of N
- 5 Right child (or son) of N
- $S_1$  and  $S_2$  are said to be siblings (or brothers)
- Predecessor of S<sub>1</sub> is N (parent)
- Predecessor of 5<sup>2</sup> is N (parent)



 A node L is called a descendant of a node N if there is a succession of children from N to L.

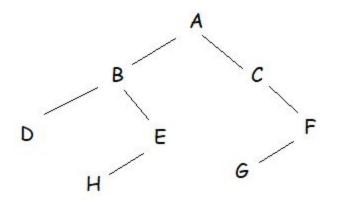


- All nodes are the A's descendant
- B has three descendants i.e. D, E, H
- C has two descendants i.e. F, G
- D has no descendant
- E has one descendant i.e. H
- F has one descendant i.e. G
- H and G have no descendant

D is descendant of A or B (other word)



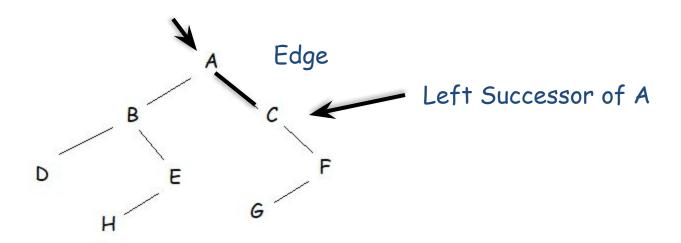
 A node N is called a ancestor of a node L if there is a succession of children from N to L.



- A has no ancestor
- B has one ancestor i.e. A
- C has one ancestor i.e. A
- D has two ancestors i.e. A, B
- E has two ancestors i.e. A, B
- F has two ancestors i.e. A, C
- H has three ancestors i.e. A, B, E
- G has three ancestors i.e. A, C, F
- B is an ancestor for D,E and B (other word)

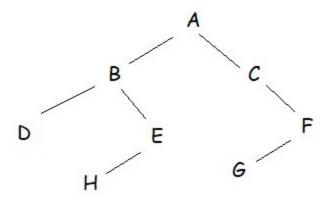


The line draw from a node N of T to a successor is called an edge.





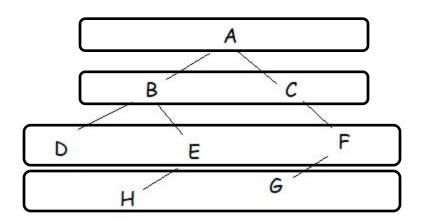
- A sequence of consecutive edges is called a path
- A terminal node is called a leaf.
- A path ending in a leaf is called a branch



- A path from A to E is A-B-E
- D, H, and G are leaf node
- A branch : A C F-G



#### · Level Number:



Level 0

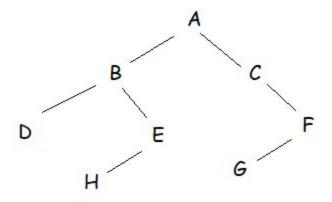
Level 1

Level 2

Level 3



• The depth (or height) of a tree T is the maximum number of nodes in a branch of T.



- Largest Branch is ACFG or ABEH
- Maximum Number of Nodes = 4
- The depth (or height) of this tree = 4

#### Binary Tree (Complete Binary Tree)



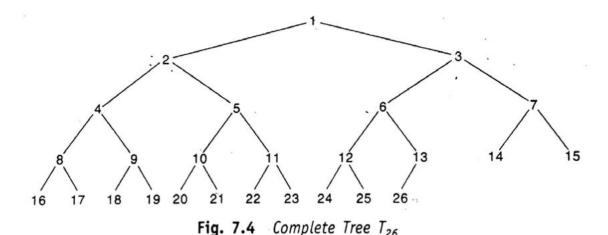
- The Tree T is said to be complete
  - if all its levels, except possibly the last, have the maximum number of possible nodes, and
  - if all the nodes at the last level appear as far left as possible.
- Remember: level r of T can have at most 2<sup>r</sup> nodes

#### Binary Tree (Complete Binary Tree)



- Left child of K node is 2K i.e. 4 is the left child of 2
- Right Child of K node is 2K+1 i.e. 5 is the right child of 2
- The depth  $d_n$  of the complete tree  $T_n$  with n nodes is given by

$$D_n = \lfloor \log_2 n + 1 \rfloor$$



## Binary Tree (Extended Binary Tree: 2-Trees)



- A binary tree T is said to be a 2-tree or an extended binary tree
  if each node N has either 0 or 2 children.
- The nodes with 2 children are called internal nodes.
- The nodes with 0 children are called external nodes.

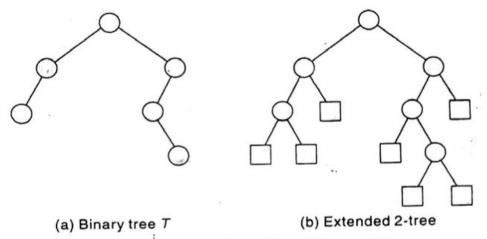


Fig. 7.5 Converting a Binary Tree T into a 2-tree



#### Representing Binary Trees in Memory

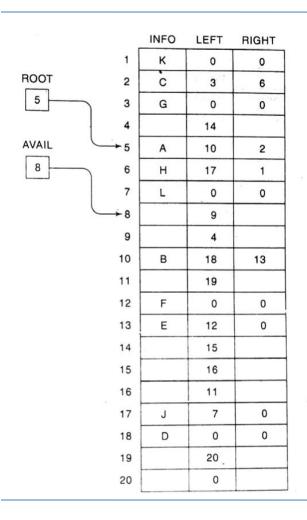
## Representing Binary Trees in Memory (Linked Representation)

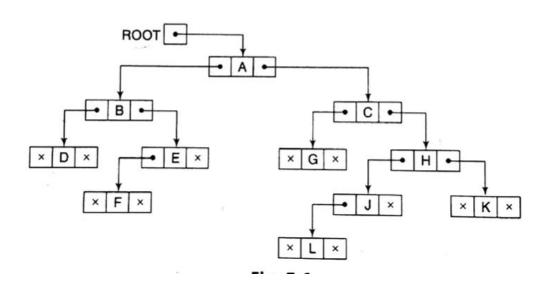


- Uses three parallel arrays: INFO, LEFT and RIGHT
- A pointer variable ROOT as follows (each node N of T will correspond to a location K such that)
  - INFO[K] contains the data at the node N
  - LEFT[K] contains the location of the left child of node N
  - 3) RIGHT[K] contains the location of the right child of node N

# Representing Binary Trees in Memory (Linked Representation)







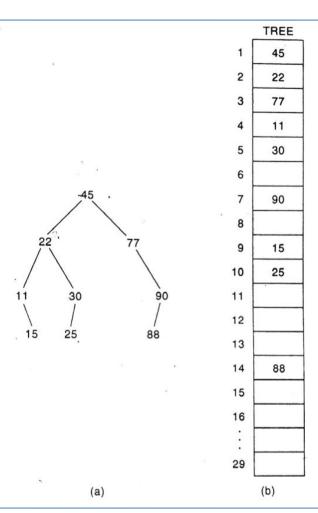
## Representing Binary Trees in Memory (Sequential Representation)



- Uses only a single linear array TREE as follows:
  - a) The root R of T is stored in TREE[1].
  - b) If a node N occupies TREE[K], then its left child is stored in TREE[2K] and its right child is stored in TREE[2K+1].

# Representing Binary Trees in Memory (Sequential Representation)

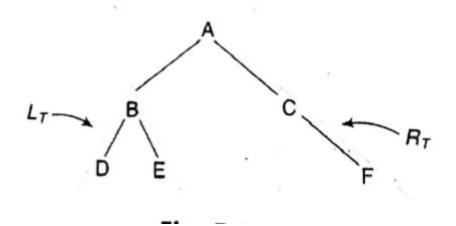






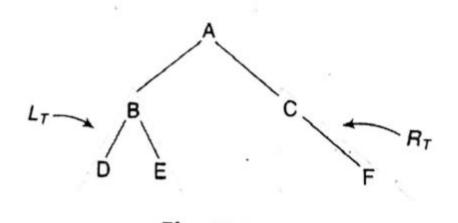


- Preorder -
  - 1) Process the root R
  - 2) Traverse the left subtree of R in preorder.
  - 3) Traverse the right subtree of R in preorder.



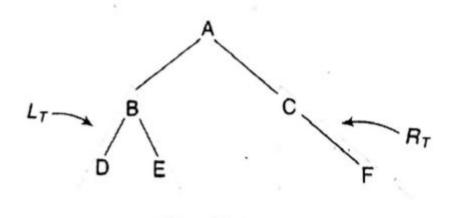


- Inorder -
  - 1) Traverse the left subtree of R in preorder.
  - 2) Process the root R
  - 3) Traverse the right subtree of R in preorder.





- Postorder -
  - Traverse the left subtree of R in preorder.
  - Traverse the right subtree of R in preorder.
  - Process the root R 3)



• 
$$(L_T) - (R_T) - A$$

• 
$$((\dot{L}_T) - (\dot{R}_T) - B) - (R_T) - A$$

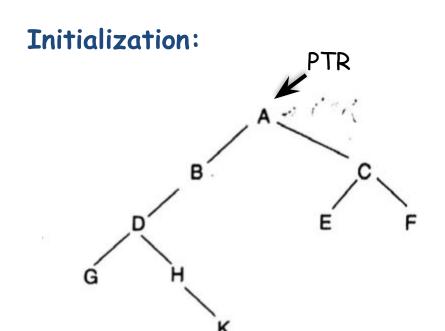


#### Source Code



#### Traversal Algorithm using Stacks

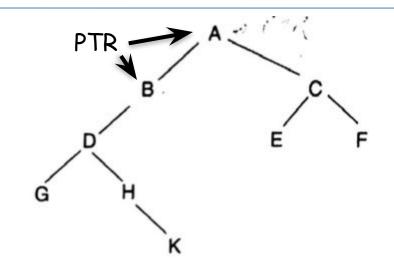




	7	
	6	
	5	
	4	
	3	
	2	
TOP	1	\0
STACK		

PTR [] A, the root of T



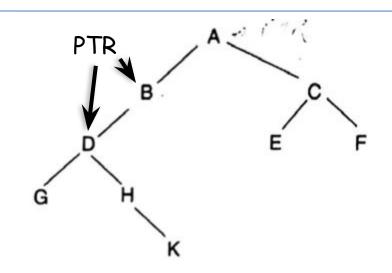


	7			
	6			
	5			
	4			
	3			
TOP	2	С		
TOP	1	\0		
STACK				



- Process A
- Push C , Right Child of A
- PTR B, left child of A



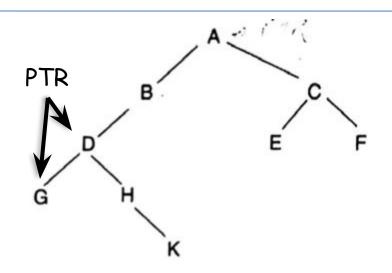


	7			
	6			
	5			
	4			
	3			
TOP	2	С		
	1	\0		
STACK				



- Process B
- No Push Operation
- PTR D, left child of B



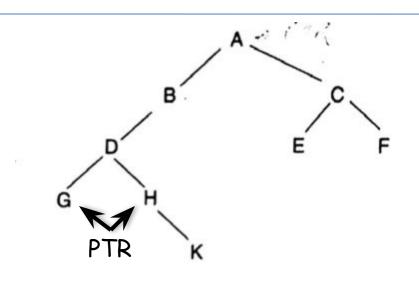


	7			
	6			
	5			
	4			
TOP	3	Н		
TOP	2	С		
	1	\0		
STACK				



- Process D
- Push H, right child of D
- PTR [] G, left child of D



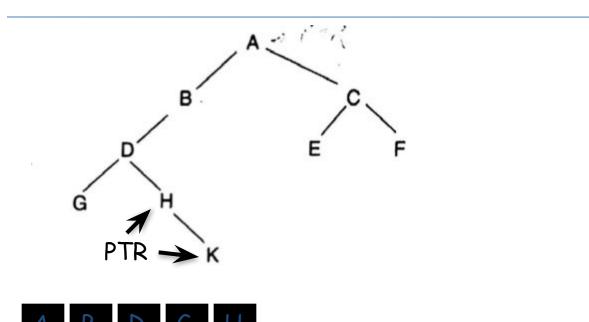


	7			
	6			
	5			
	4			
TOP	3	Н		
TOP	2	С		
	1	\0		
STACK				



- Process G
- No Push, No right child of G
- Since left child of G is NULL, POP [Backtracking]
  - PTR [] H

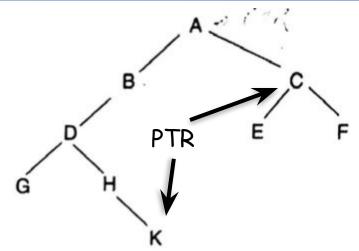




	7			
	6			
	5			
	4			
TOP	3	K		
TOP	2	С		
	1	\0		
STACK				

- Process H
- PUSH K, right child of H
- Since left child of H is NULL, POP[Backtracking]
  - PTR [] K





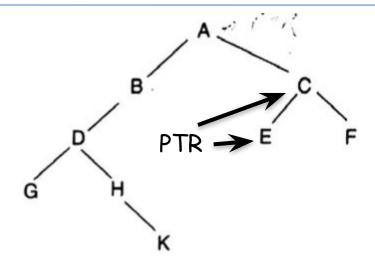
	D/				E´		_						)		
/	۸,		PTR		_		Г						4		
ģ	Ì	H	V										თ		
			ĸ									TOP	2	С	
												TOP	1	\0	
В	D	G	Н	K								,	STA	ACK	
			G H	K	G H	G H	G H K	G H K	G H K	G H K	G H K	G H K	TOP TOP	G H 3 TOP 2 TOP 1	G H PTR E F 4 3 TOP 2 C TOP 1 \( \)

- Process K
- No PUSH, No right child of K
- Since left child of K is NULL, POP[Backtracking]

6

• PTR □ C



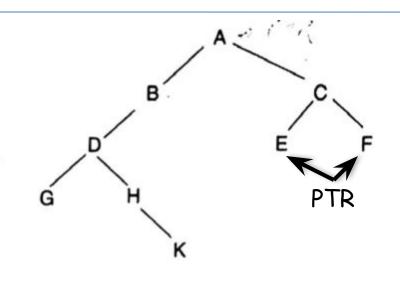


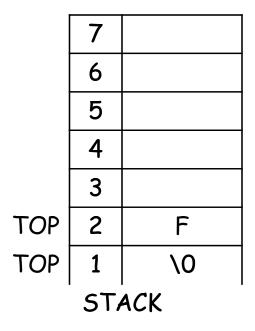
A	В	D	G	Н	K	C

	7			
	6			
	5			
	4			
	3			
TOP	2	F		
TOP	1	\0		
STACK				

- Process C
- PUSH F, right child of C
- PTR [ E, Left child of C



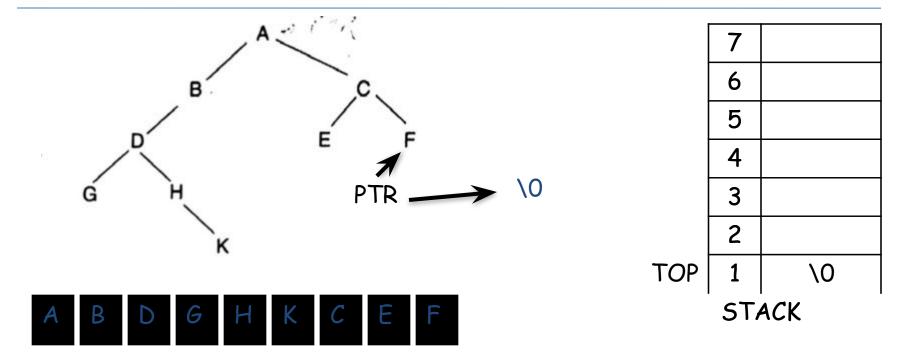






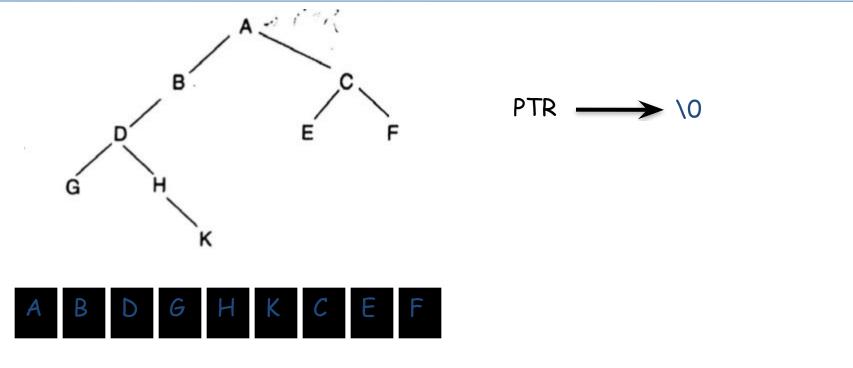
- Process E
- No PUSH, No right child of E
- · Left child of E is NULL, POP[Backtracking]
  - PTR F





- Process F
- No PUSH, No right child of F
- Left child of F is NULL, POP[Backtracking]
  - PTR[] \0





PREORDER TRAVERSE

PTR \0 (BREAK CONDITION)



#### Algorithm 7.1: PREORD(INFO, LEFT, RIGHT, ROOT)

A binary tree T is in memory. The algorithm does a preorder traversal of T, applying an operation PROCESS to each of its nodes. An array STACK is used to temporarily hold the addresses of nodes.

- 1. [Initially push NULL onto STACK, and initialize PTR.]
  Set TOP := 1, STACK[1] := NULL and PTR := ROOT.
- 2. Repeat Steps 3 to 5 while PTR ≠ NULL:
- Apply PROCESS to INFO[PTR].
- If RIGHT[PTR] ≠ NULL, then: [Push on STACK.]

  Set TOP := TOP + 1, and STACK[TOP] := RIGHT[PTR].
  - [End of If structure.]
- 5. [Left child?]

If LEFT[PTR] ≠ NULL, then:

Set PTR := LEFT[PTR].

Else: [Pop from STACK.]

Set PTR := STACK[TOP] and TOP := TOP - 1.

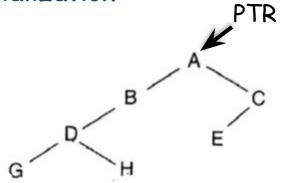
[End of If structure.]

[End of Step 2 loop.]

6. Exit.



#### Initialization:

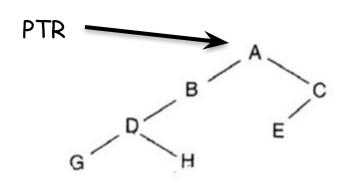


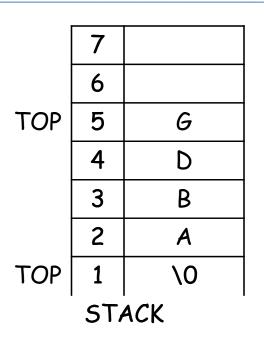
	7				
	6				
	5				
	4				
	3				
	2				
TOP	1	\0			
'	STACK				

PTR [] A, the root of T



#### Initialization:

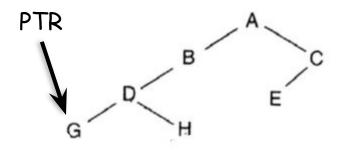




- Until, PTR ≠ NULL
  - PUSH PTR
  - PTR[] LEFT[PTR]



#### Initialization:

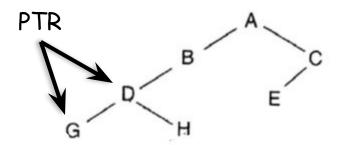


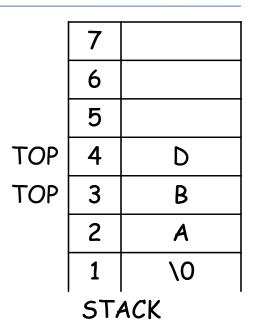
	7			
	6			
TOP	5	G		
TOP	4	D		
	3	В		
	2	Α		
	1	\0		
STACK				

PTR POP() = G [BACKTRACKING)



#### Initialization:



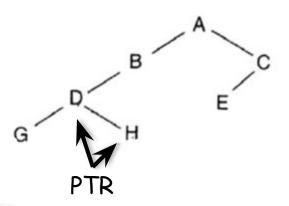




- Process G
- Since right child of G is NULL, No Push
- PTR[] POP() = D [backtracking]



#### Initialization:

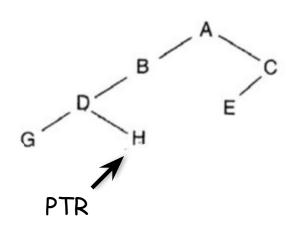


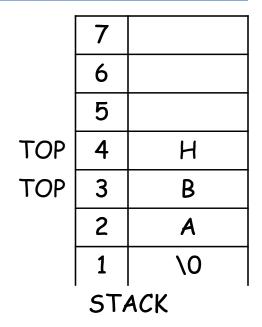
	7			
	6			
	5			
	4			
TOP	3	В		
	2	Α		
	1	\0		
STACK				



- Process D
- Since right child of D is not NULL,
  - PTR H, right child of D



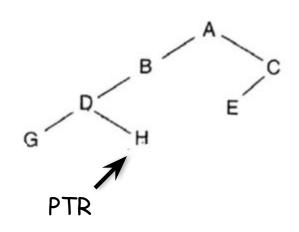






- Until, PTR ≠ NULL
  - PUSH PTR
  - PTR[] LEFT[PTR]



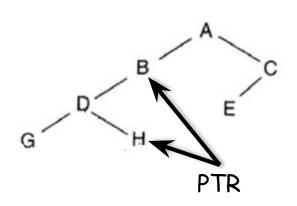


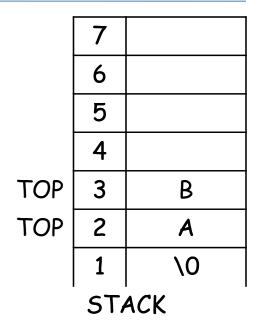
	7			
	6			
	5			
TOP	4	Н		
TOP	3	В		
	2	Α		
	1	\0		
STACK				



PTR POP() = H [Backtracking]



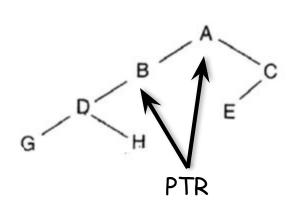


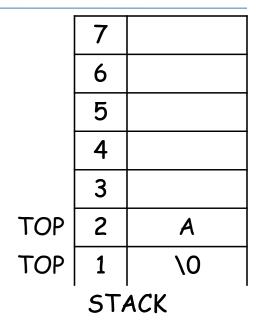




- Process H
- Since, right child of H is NULL, No PUSH
- PTR[] POP()= B [Backtracking]



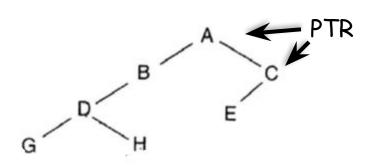






- Process B
- Since, right child of B is NULL, No PUSH
- PTR[] POP()=A[Backtracking]



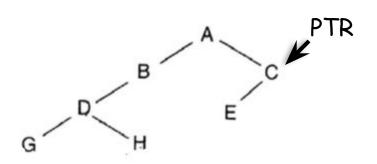


	7				
	6				
	5				
	4				
	3				
	2				
TOP	1	\0			
STACK					



- Process A
- · Since, right child of A is not NULL,
  - PTR C, right child of A



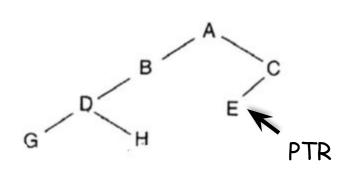


	7			
	6			
	5			
	4			
TOP	3	E		
	2	С		
TOP	1	\0		
STACK				



- Until PTR ≠ NULL
  - PUSH PTR
  - PTR[] LEFT[PTR]



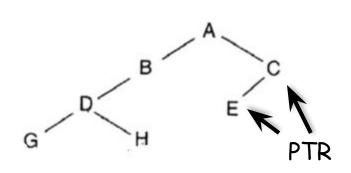


	7				
	6				
	5				
	4				
TOP	3	E			
TOP	2	С			
	1	\0			
STACK					



PTR[] POP()=E [Backtarcking]



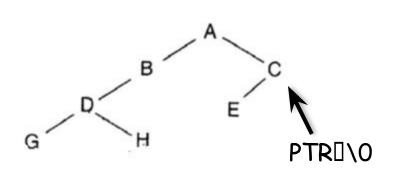


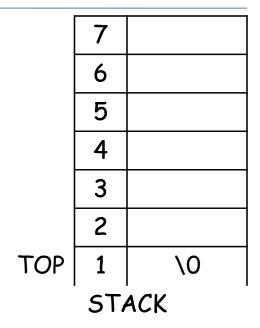
	7				
	6				
	5				
	4				
	3				
TOP	2	С			
TOP	1	\0			
STACK					



- Process E
- Since, right child of E is NULL, No PUSH
- PTR[POP()=C [Backtracking]



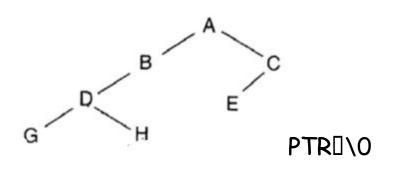






- Process C
- Since, right child of C is NULL, No PUSH
- PTR[POP()=\0 [Backtracking, No more node]





7	
6	
5	
4	
3	
2	
1	
STACK	



• PTR[]\0 (Terminate Algorithm)



#### Algorithm 7.2: INORD(INFO, LEFT, RIGHT, ROOT)

A binary tree is in memory. This algorithm does an inorder traversal of T, applying an operation PROCESS to each of its nodes. An array STACK is used to temporarily hold the addresses of nodes.

- 1. [Push NULL onto STACK and initialize PTR.]
  Set TOP := 1, STACK[1] := NULL and PTR := ROOT.
- 2. Repeat while PTR = NULL: [Pushes left-most path onto STACK.]
  - (a) Set TOP := TOP + 1 and STACK[TOP] := PTR. [Saves node.]
  - (b) Set PTR := LEFT[PTR]. [Updates PTR.]

[End of loop.]

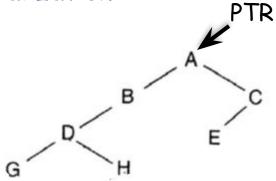
- 3. Set PTR := STACK[TOP] and TOP := TOP 1. [Pops node from STACK.]
- 4. Repeat Steps 5 to 7 while PTR ≠ NULL: [Backtracking.]
- Apply PROCESS to INFO[PTR].
- 6. [Right child?] If RIGHT[PTR] ≠ NULL, then:
  - (a) Set PTR := RIGHT[PTR].
  - (b) Go to Step 3.

[End of If structure.]

- Set PTR := STACK[TOP] and TOP := TOP -1. [Pops node.]
   [End of Step 4 loop.]
- 8. Exit.



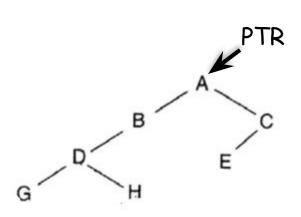




	7		
	6		
	5		
	4		
	3		
	2		
TOP	1	\0	
STACK			

PTR [] A, the root of T



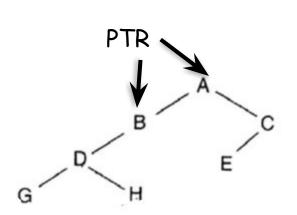


Basic	Step	1	•
-------	------	---	---

- Until PTR≠NULL
  - PUSH PTR
  - IF RIGHT[PTR]≠NULL
    - PUSH -RIGHT[PTR]
  - PTROLEFT[PTR]

	7	
	6	
	5	
	4	
	3	
	2	
ГОР	1	\0
STACK		



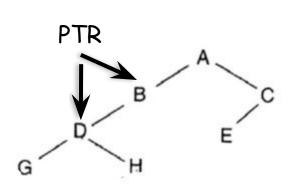


	7		
	6		
	5		
	4		
TOP	3	-C	
	2	Α	
TOP	1	\0	
STACK			

#### • Basic Step 1:

- PUSH A
- PUSH -RIGHT[PTR]= -C
- PTR[LEFT[PTR]
- PTR ≠ NULL, Step 1 continue



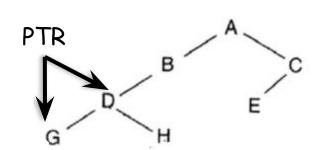


	7		
	6		
	5		
TOP	4	В	
TOP	3	-C	
	2	Α	
	1	\0	
STACK			

#### • Basic Step 1:

- PUSH B
- Since Right child of B is NULL, no PUSH
- PTR[LEFT[PTR]
- PTR ≠NULL, Step 1 continue



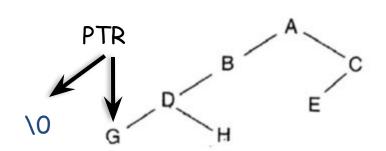


	7		
	/		
TOP	6	<b>-</b> H	
	5	D	
TOP	4	В	
	3	-C	
	2	Α	
	1	\0	
STACK			

#### Basic Step 1:

- PUSH D
- PUSH -RIGHT[PTR]=-H
- PTR[LEFT[PTR]
- PTR ≠NULL, Step 1 continue



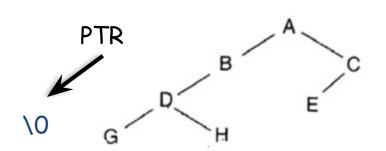


TOP	7	G		
TOP	6	-H		
	5	D		
	4	В		
	3	-C		
	2	Α		
	1	\0		
STACK				

#### • Basic Step 1:

- PUSH G
- Since right child of G is NULL, No PUSH
- PTR[LEFT[PTR]
- PTR =NULL, Break

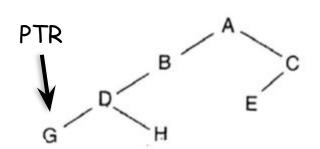




TOP	7	G	
	6	-H	
	5	D	
	4	В	
	3	-C	
	2	Α	
	1	\0	
STACK			

Basic Step 2:PTR POP()

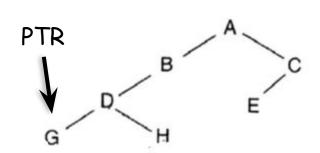




	7		
TOP	6	-H	
	5	D	
	4	В	
	3	-C	
	2	Α	
	1	\0	
STACK			

Basic Step 2:PTR POP()=G



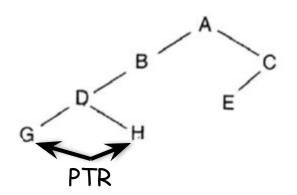


	7		
TOP	6	-H	
	5	D	
	4	В	
	3	-C	
	2	Α	
	1	\0	
STACK			

- Basic Step 3:
  - Until PTR>0
    - a) Process PTR
    - b) PTR[POP()







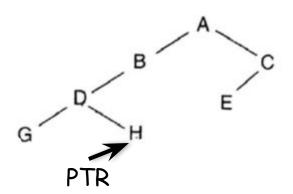
	7		
TOP	6	-H	
TOP	5	D	
	4	В	
	3	-C	
	2	Α	
	1	\0	
STACK			

G

#### Basic Step 3:

- Process G
- PTR[]POP()=-H
- Since PTR<0, Break Loop



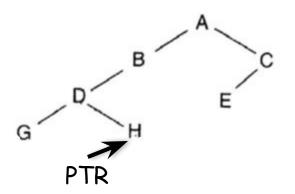


	7		
	6		
TOP	5	D	
	4	В	
	3	-C	
	2	Α	
	1	\0	
STACK			

G

- Basic Step 4:
  - If PTR<0
    - PTR -PTR
    - · Apply Basic Step 1

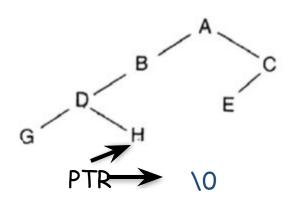




	7			
	6			
TOP	5	Q		
	4	В		
	3	-C		
	2	Α		
	1	\0		
STACK				

G





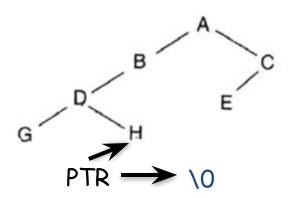
	7	
TOP	6	Н
TOP	5	D
	4	В
	3	-C
	2	Α
	1	\0
'	STA	ACK

G

#### • Basic Step 1:

- PUSH H
- Since right child of H is NULL, No PUSH
- PTR[] LEFT[PTR]=NULL (Break)



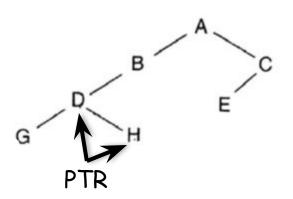


	7	
TOP	6	Н
TOP	5	Δ
	4	В
	3	-C
	2	Α
	1	\0
STACK		

G

- Basic Step 2:
  - PTR POP()=H



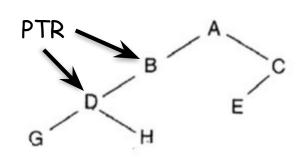


	7	
	6	
TOP	5	D
TOP	4	В
	3	-C
	2	Α
	1	\0
STACK		



- · Process H
- PTR[POP()=D
- PTR > 0, continue



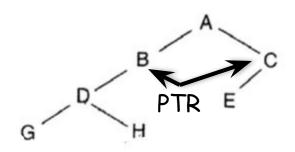


	7	
	6	
	5	
TOP	4	В
TOP	3	-C
	2	Α
	1	\0
STACK		



- Process D
- PTR[POP()=B
- PTR > 0, continue



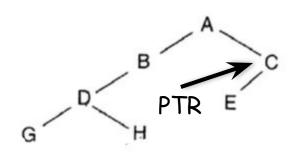


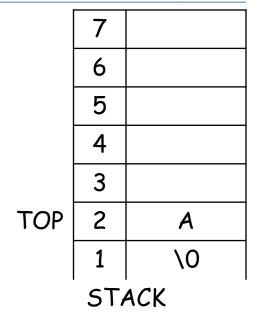


	7	
	6	
	5	
	4	
TOP	3	-C
TOP	2	Α
	1	\0
STACK		

- Process B
- PTR□POP()=-C
- PTR < 0, BREAK

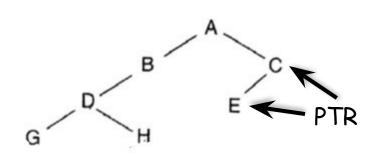












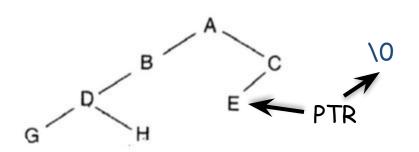
	7	
	6	
	5	
	4	
TOP	3	С
TOP	2	Α
	1	\0
STACK		



#### Basic Step 1:

- PUSH C
- Since right child of C is NULL, No PUSH
- PTR[ LEFT[PTR]=E
- PTR ≠NULL, continue





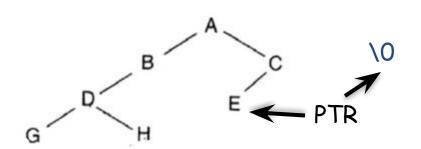
	7	
	6	
	5	
TOP	4	Е
TOP	3	С
	2	Α
	1	\0
,	STA	ACK



#### Basic Step 1:

- PUSH E
- Since right child of H is NULL, No PUSH
- PTR LEFT[PTR]=NULL
- PTR =NULL, BREAK



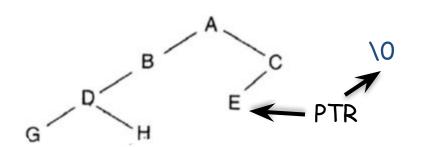


	7	
	6	
	5	
TOP	4	E
TOP	3	С
	2	Α
	1	\0
STACK		



- Basic Step 2:
  - PTR[] POP() = E



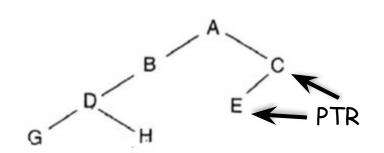


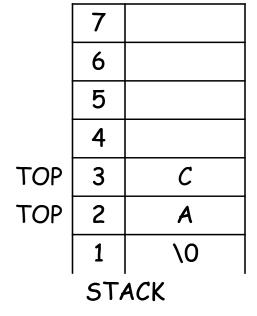
	7	
	6	
	5	
TOP	4	E
TOP	3	С
	2	Α
	1	\0
STACK		



- Basic Step 2:
  - PTR[] POP() = E



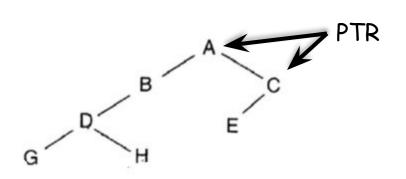






- Process E
- PTR□POP()=*C*
- PTR > 0, continue



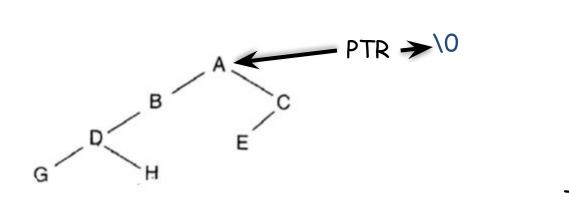


	7	
	6	
	5	
	4	
	3	
TOP	2	Α
TOP	1	\0
STACK		



- Process C
- PTR□POP()=A
- PTR > 0, continue



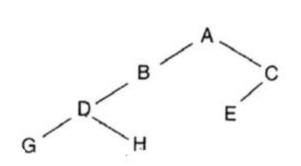


	7	
	6	
	5	_
	4	
	3	
	2	
TOP	1	\0
STACK		

#### G H D B E C A

- · Process A
- PTR[POP()=\0
- PTR = 0, BREAK







- Basic Step 4:
  - Not execute

7	
6	
5	
4	
3	
2	
1	
STA	ACK

G H D B E C A

- PTR \( \)\ \( \delta \) STACK empty
  - (Terminate Algorithm)



#### Algorithm 7.3: POSTORD(INFO, LEFT, RIGHT, ROOT)

A binary tree T is in memory. This algorithm does a postorder traversal of T, applying an operation PROCESS to each of its nodes. An array STACK is used to temporarily hold the addresses of nodes.

- [Push NULL onto STACK and initialize PTR.]
   Set TOP := 1, STACK[1] := NULL and PTR := ROOT.
- 2. [Push left-most path onto STACK.]
  Repeat Steps 3 to 5 while PTR ≠ NULL:
- 3. Set TOP := TOP + 1 and STACK[TOP] := PTR. [Pushes PTR on STACK.]
- 4. If RIGHT[PTR] ≠ NULL, then: [Push on STACK.] Set TOP := TOP + 1 and STACK[TOP] := -RIGHT[PTR]. [End of If structure.]
- 5. Set PTR := LEFT[PTR]. [Updates pointer PTR.] [End of Step 2 loop.]
- 6. Set PTR := STACK[TOP] and TOP := TOP 1. [Pops node from STACK.]
- 7. Repeat while PIK > U:
  - (a) Apply PROCESS to INFO[PTR].
  - (b) Set PTR := STACK[TOP] and TOP := TOP 1. [Pops node from STACK.]

[End of loop.]

- 8. If PTR < 0, then:
  - (a) Set PTR := -PTR.
  - (b) Go to Step 2.

[End of If structure.]

9. Exit.

#### Any Query?



