



Tree

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Outline

- Binary Tree
- Representing Binary Trees in Memory
- Traversing Binary Trees
- Traversal Algorithm using Stacks
- **Header Nodes: Threads**
- **Binary Search Trees**
- **Searching and Inserting in Binary Search Trees**
- **Deleting in Binary Search Tree**
- AVL Search Trees
- Insertion in an AVL Search Tree
- Deletion in an AVL Search Tree
- m-way Search Trees
- Searching, Insertion and Deletion in an m-way Search Tree
- B Trees
- Searching, Insertion and Deletion in a B-tree

Header Nodes: Threads

Header Nodes: Threads

- Approximately half of the entries in the pointer fields LEFT and RIGHT will contain null element.
- We will replace certain null entries by special pointers which point to nodes higher in the tree.
- These special pointers are called **threads**.
- Binary trees with such pointers are called **threaded trees**.

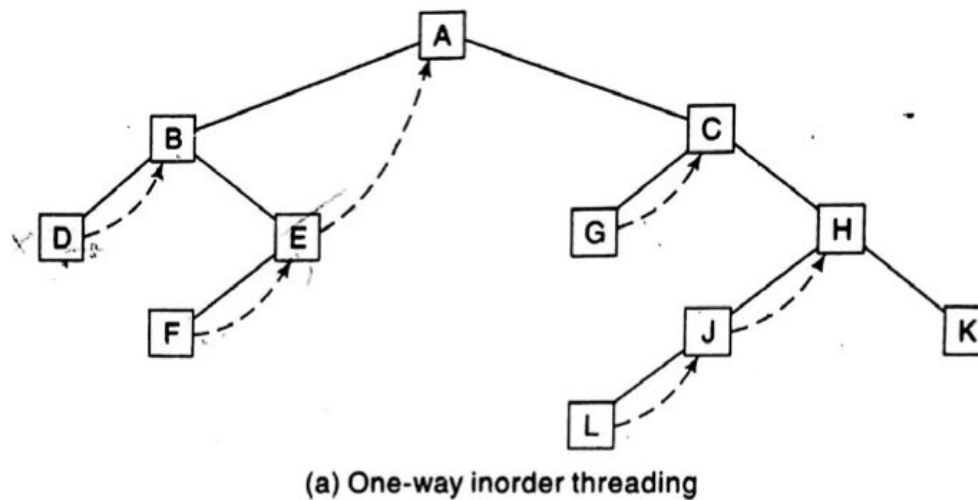
Header Nodes: Threads

- There are many ways to thread a binary tree T.
- We will discuss about -
 - One-way threading
 - Two-way threading

Header Nodes: Threads

• One-way Threading -

- A thread will appear in the **right field** of a node and
- Will point to the next node in the **inorder** traversal of T



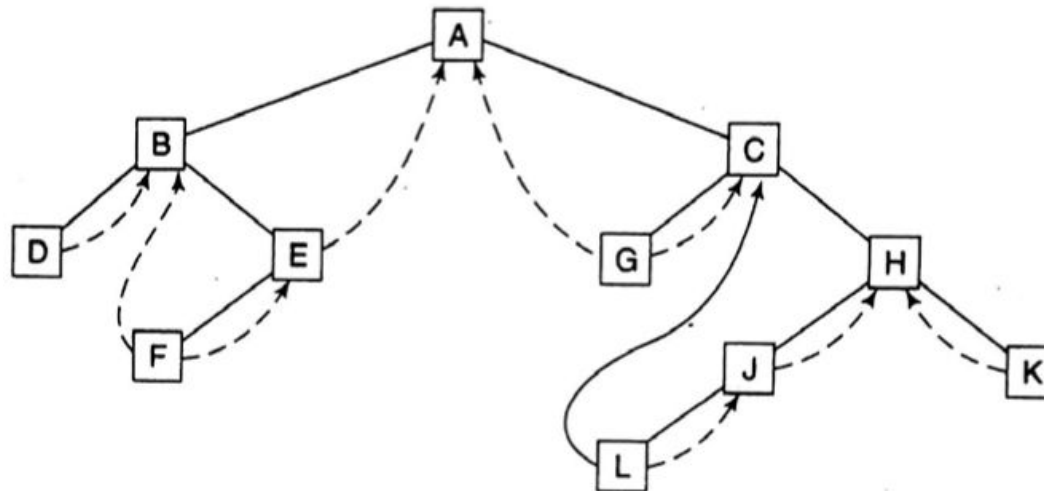
- Inorder traverse:
 - DBFEAGCLJHK
- D uses right pointer to point B
- Right pointer of F points to E
- Right Pointer of E points to A
- Similarly for G J and L

Header Nodes: Threads

- Two-way Threading -
 - A thread will appear in the right field of a node and
 - Will point to the next node in the inorder traversal of T
 - A thread also appear in the **LEFT field** of a node and
 - Will point to the preceding node in the inorder traversal of T

Header Nodes: Threads

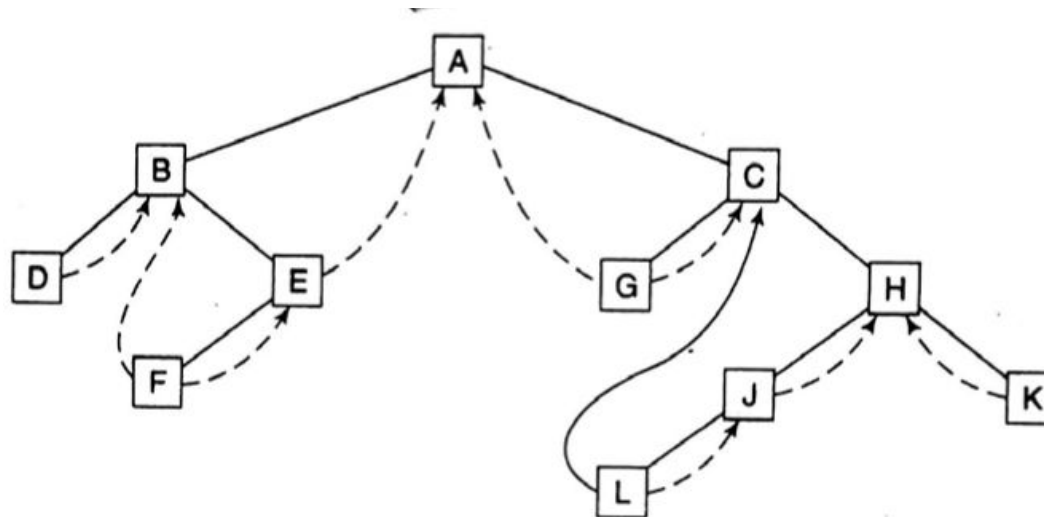
- Inorder Traversal: DBFEAGCLJHK
- Example:
 - The left pointer of D cant use to point any node (No Preceding Node of D)
 - The right pointer of D point to B node.
-



(b) Two-way inorder threading

Header Nodes: Threads

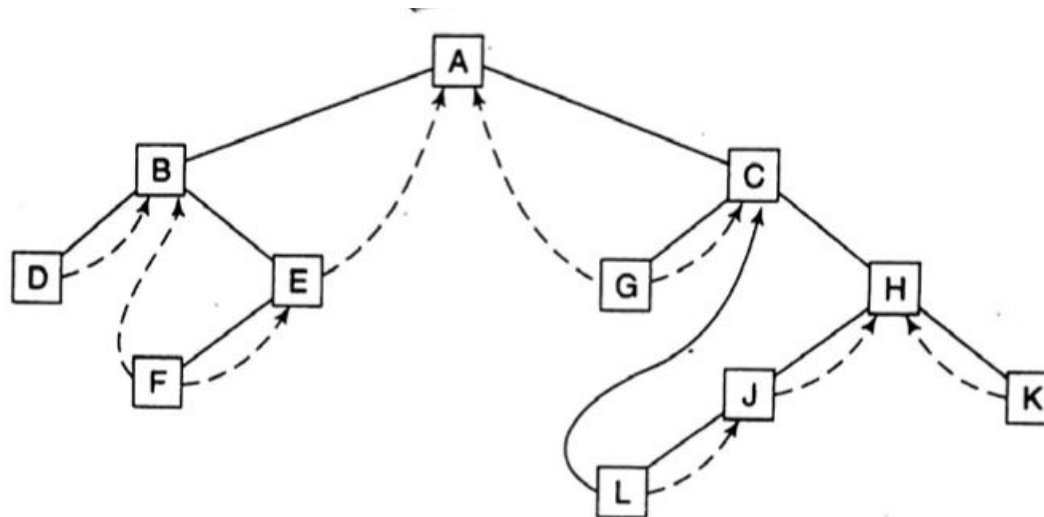
- Inorder Traversal: DBFEAGC**L**JHK
- Example:
 - The **left pointer** of L point to C node (Preceding Node of D)
 - The right pointer of L point to J node.



(b) Two-way inorder threading

Header Nodes: Threads

- Inorder Traversal: DBFEA**G**CLJHK
- Example:
 - The **left pointer** of G point to A node (Preceding Node of D)
 - The **right pointer** of G point to C node.
-



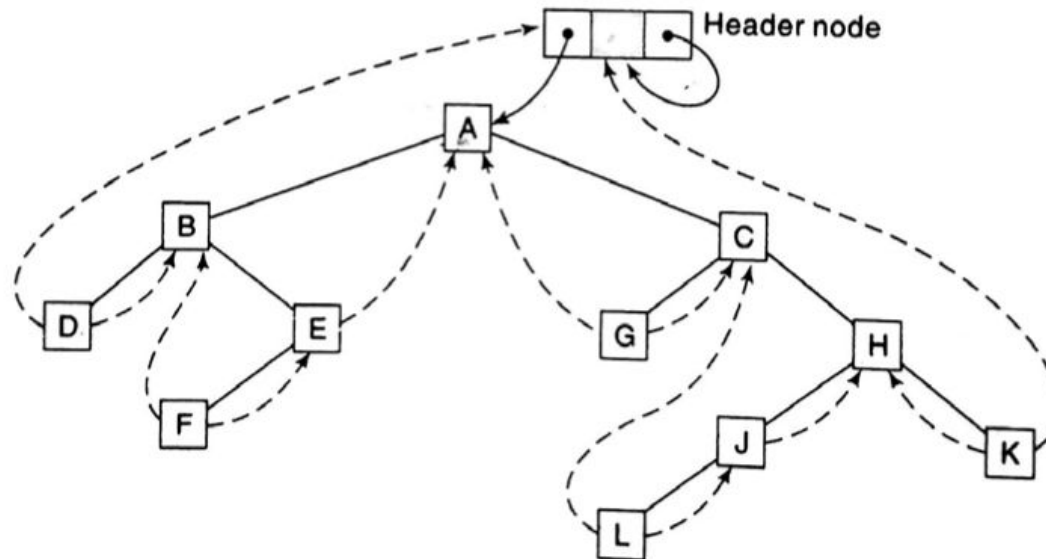
(b) Two-way inorder threading

Header Nodes: Threads

- Two-way Threading -
 - A thread will appear in the right field of a node and
 - Will point to the next node in the inorder traversal of T
 - A thread also appear in the **LEFT field** of a node and
 - Will point to the preceding node in the inorder traversal of T
 - **The left pointer of first node and the right pointer of last node** (inorder traversal of T) will contain the null value when T does not have a header node,
 - **But The left pointer of first node and the right pointer of last node** (inorder traversal of T) will pointer to the header node when T does have a header node.

Header Nodes: Threads

- The left pointer of first node D is point to header node
- The right pointer of last node K is point to header node.



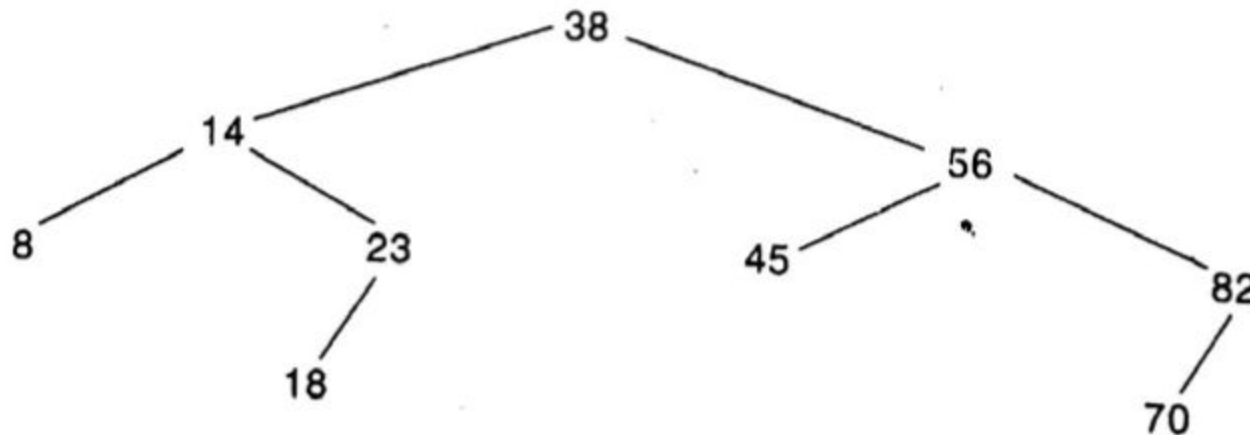
(c) Two-way threading with header node

Binary Search Trees

Binary Search Trees

- A tree T is called a binary search tree (or binary sorted tree) if each node N of T has the following property:
 - The value at N is **greater** than every value in the **left** subtree of N
 - The value at N is **less** than or **equal** to every value in the right subtree of N
- **Traverse inorder** to find a sorted list of the elements of T .

Binary Search Trees



A binary search Tree

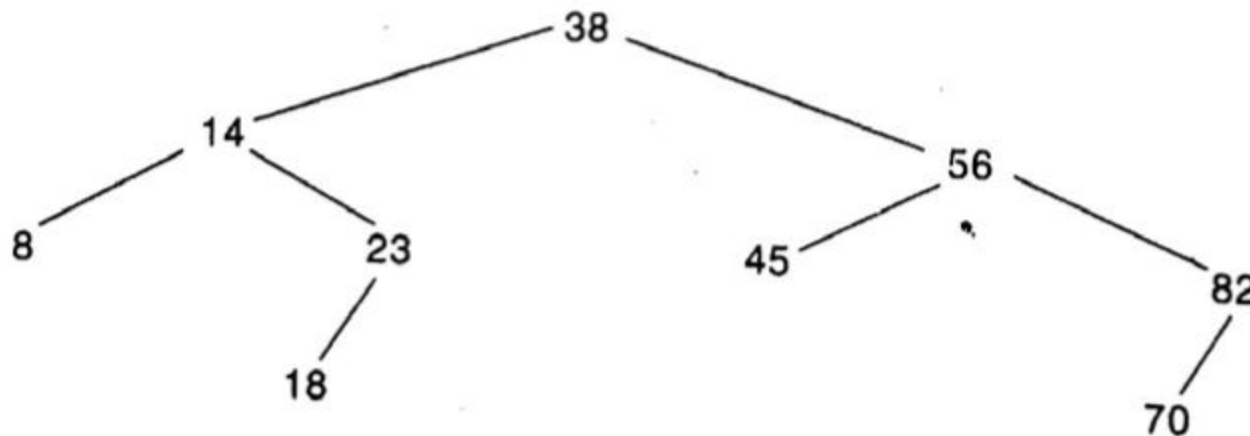
- **Inorder Traversal:** 8 14 18 23 38 45 56 70 82

Searching and Inserting in Binary Search Trees

Searching and Inserting in Binary Search Trees

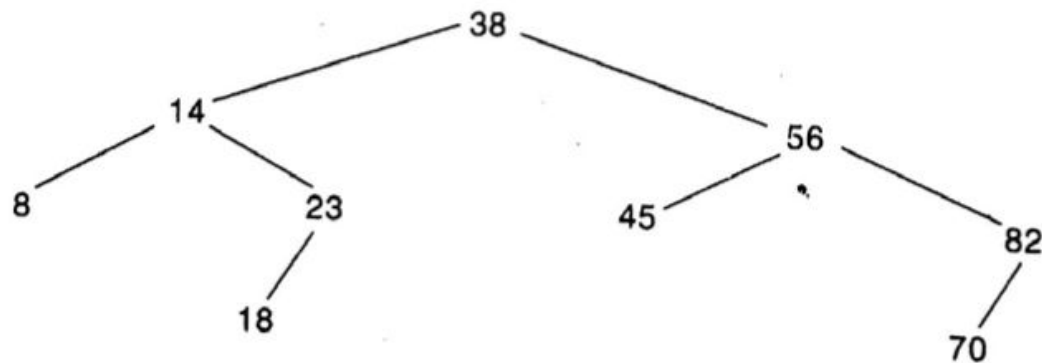
- **Objective:**
 - An ITEM of information is given.
 - Find the location of ITEM in the binary search tree T
 - If ITEM is not found, insert ITEM as a new node in its appropriate place in the tree.

Searching and Inserting in Binary Search Trees



- Given ITEM = 20.
- LOC \square Location of ITEM
- PAR \square Parent node of the ITEM

Searching and Inserting in Binary Search Trees



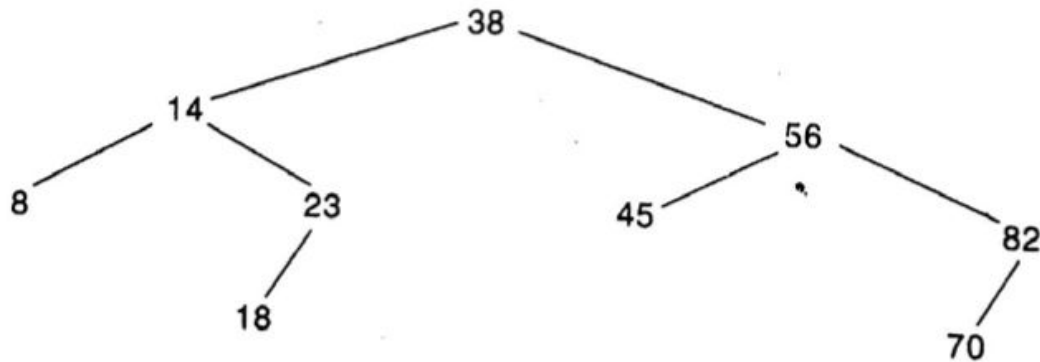
Condition -1 :

- If $ROOT \neq NULL$
 - $LOC \neq NULL$
 - $PAR \neq NULL$

Condition -2 :

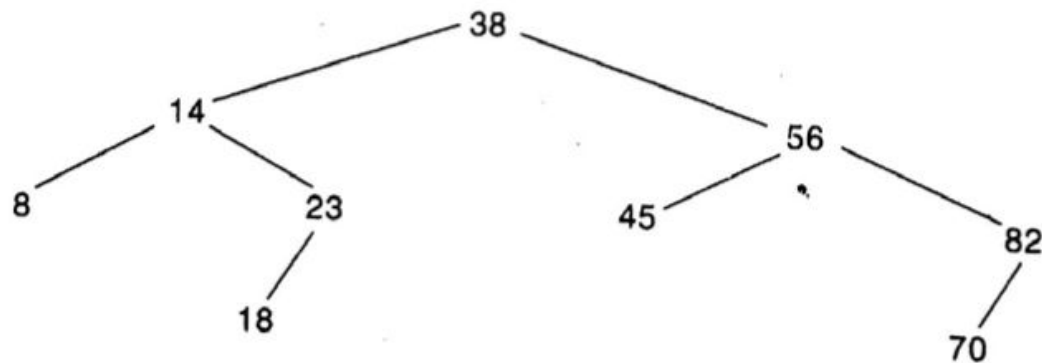
- If $INFO[ROOT] = ITEM$
 - $LOC \neq ROOT$
 - $PAR \neq NULL$

Searching and Inserting in Binary Search Trees



- **Traversing Pointer:**
 - PTR \rightarrow point current node
 - SAVE \rightarrow Parent of current node

Searching and Inserting in Binary Search Trees

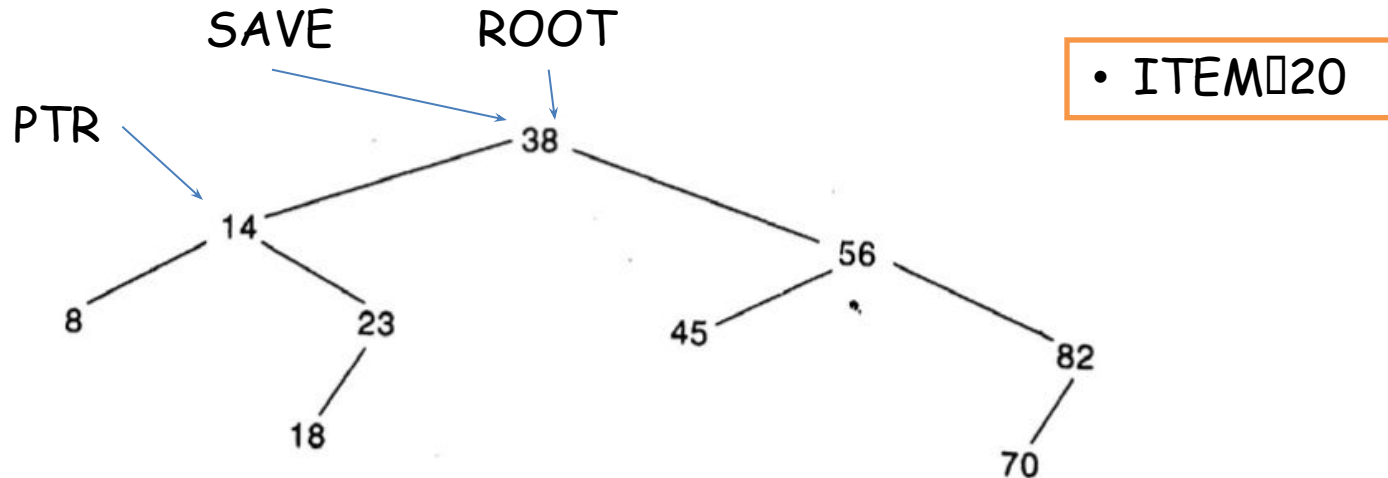


• ITEM = 20

• Condition - 3

- If $ITEM < INFO[ROOT]$
 - [search Left subtree] $PTR \leftarrow LEFT[ROOT]$, $SAVE \leftarrow ROOT$
- Else
 - [search Right subtree] $PTR \leftarrow RIGHT[ROOT]$, $SAVE \leftarrow ROOT$

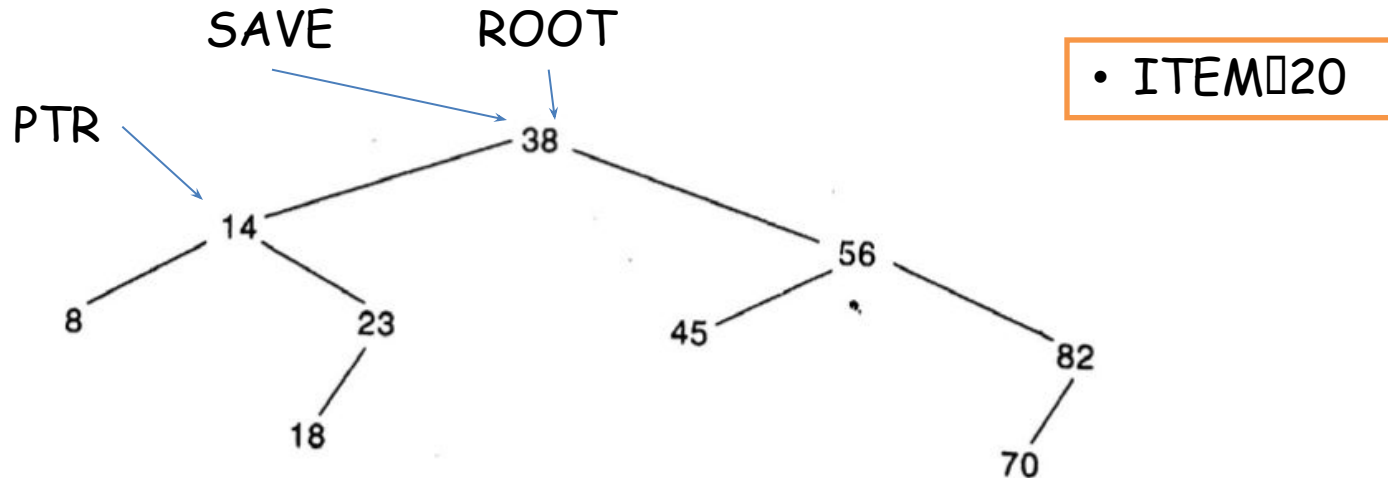
Searching and Inserting in Binary Search Trees



- **Condition - 3**

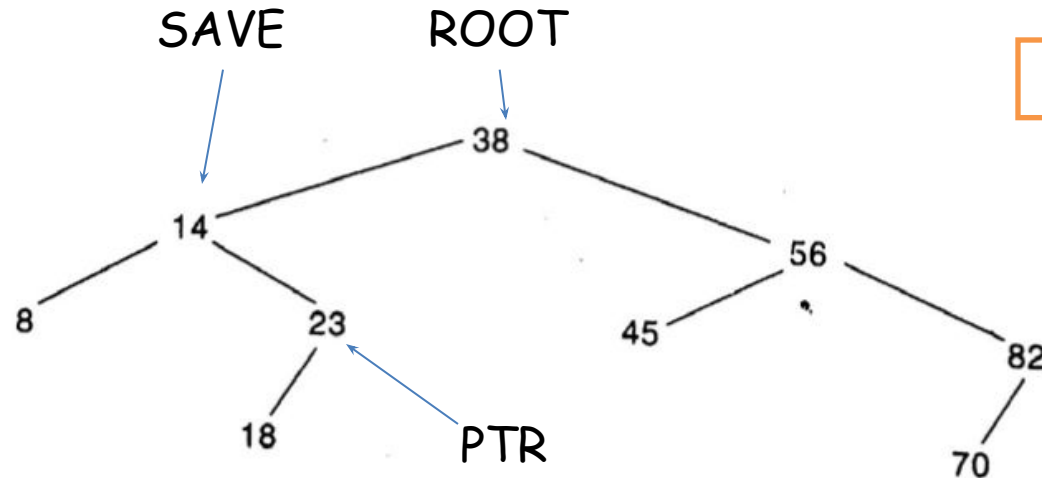
- ITEM = 20 < ROOT so PTR ← LEFT[ROOT], SAVE ← ROOT

Searching and Inserting in Binary Search Trees



- **Loop -1: Until PTR \neq NULL**
 - IF INFO[PTR] = ITEM, LOC \leftarrow PTR, PAR \leftarrow SAVE, **BREAK**
 - IF ITEM < INFO[PTR], [Search Left subtree], PTR \leftarrow LEFT[PTR]
 - Else [Search right subtree], PTR \leftarrow RIGHT[PTR]
 - SAVE \leftarrow PTR

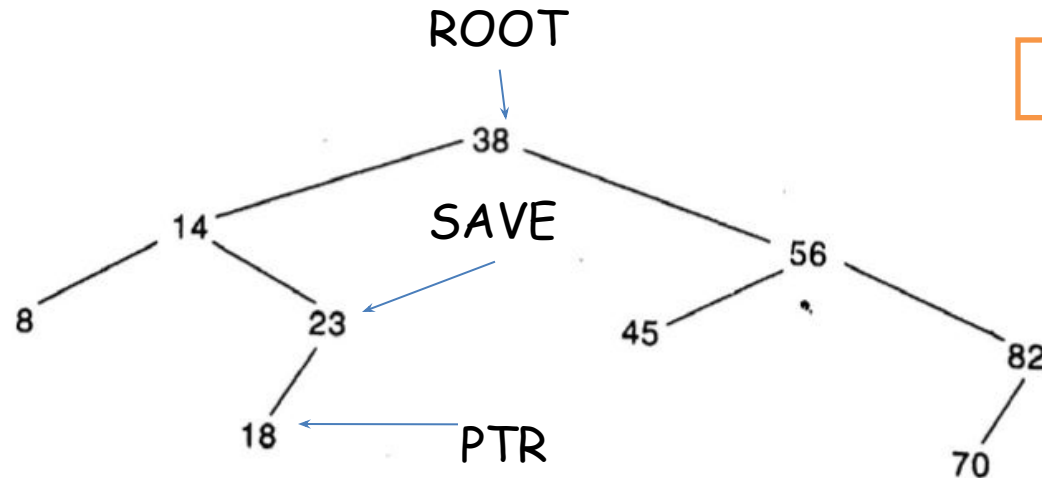
Searching and Inserting in Binary Search Trees



• ITEM = 20

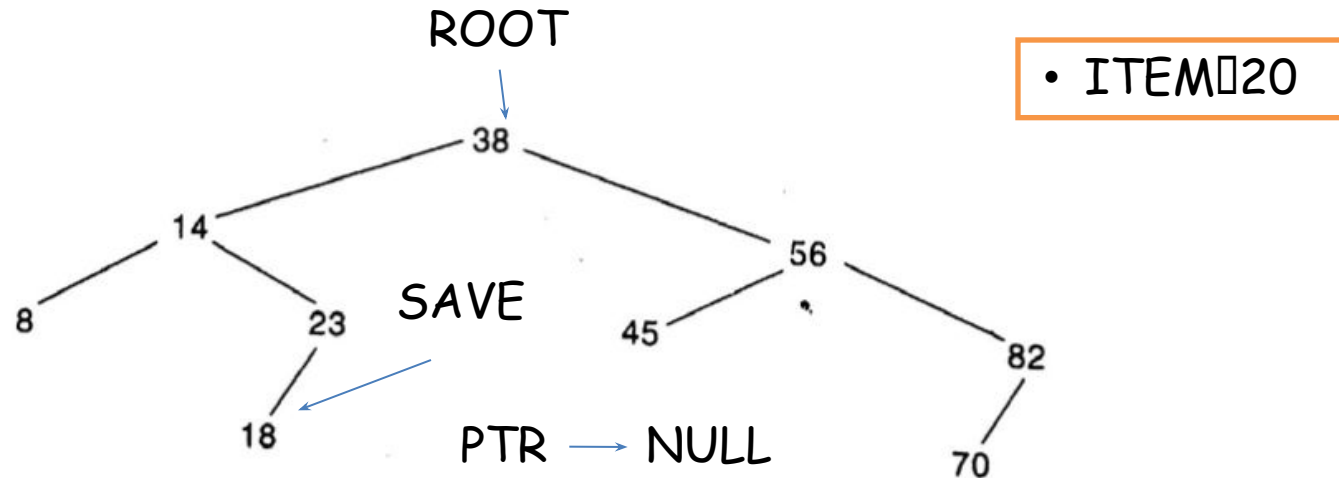
- **Loop -1: Until PTR ≠ NULL**
 - ITEM > INFO[PTR], PTR = RIGHT[PTR]
 - SAVE = PTR

Searching and Inserting in Binary Search Trees



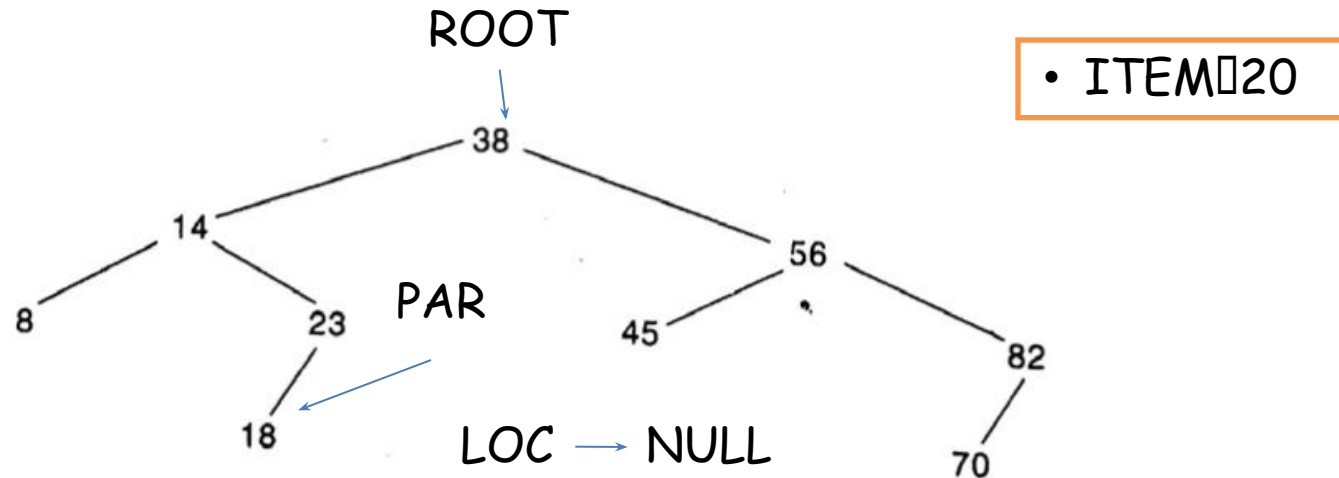
- **Loop -1: Until PTR \neq NULL**
 - $ITEM < INFO[PTR], PTR \leftarrow LEFT[PTR]$
 - $SAVE \leftarrow PTR$

Searching and Inserting in Binary Search Trees



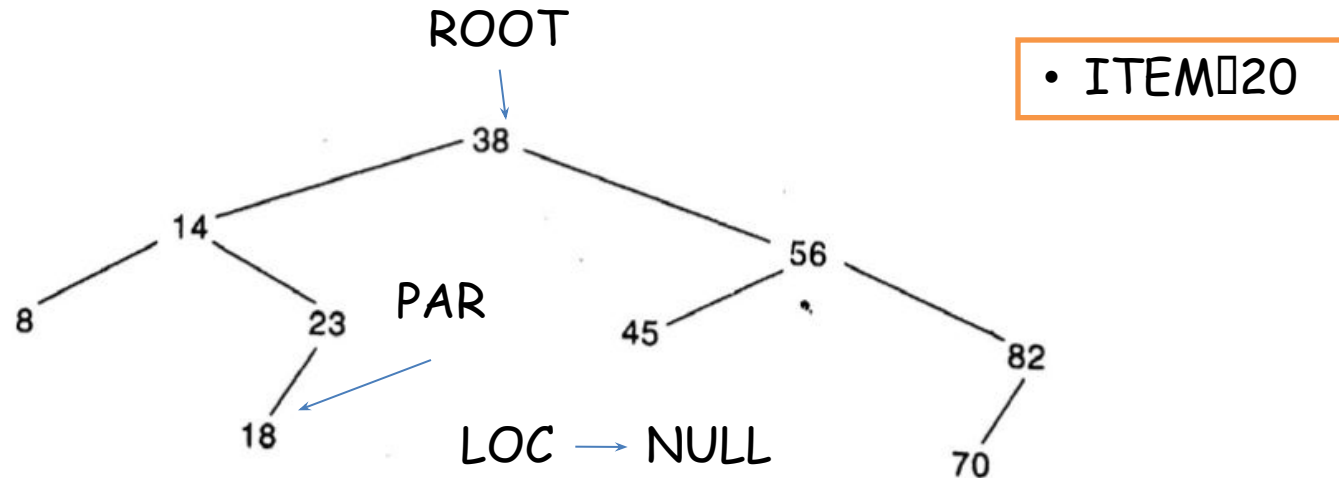
- Loop -1: Until PTR ≠ NULL
 - ITEM > INFO[PTR], PTR ← RIGHT[PTR]
 - SAVE ← PTR
 - PTR = NULL, BREAK

Searching and Inserting in Binary Search Trees



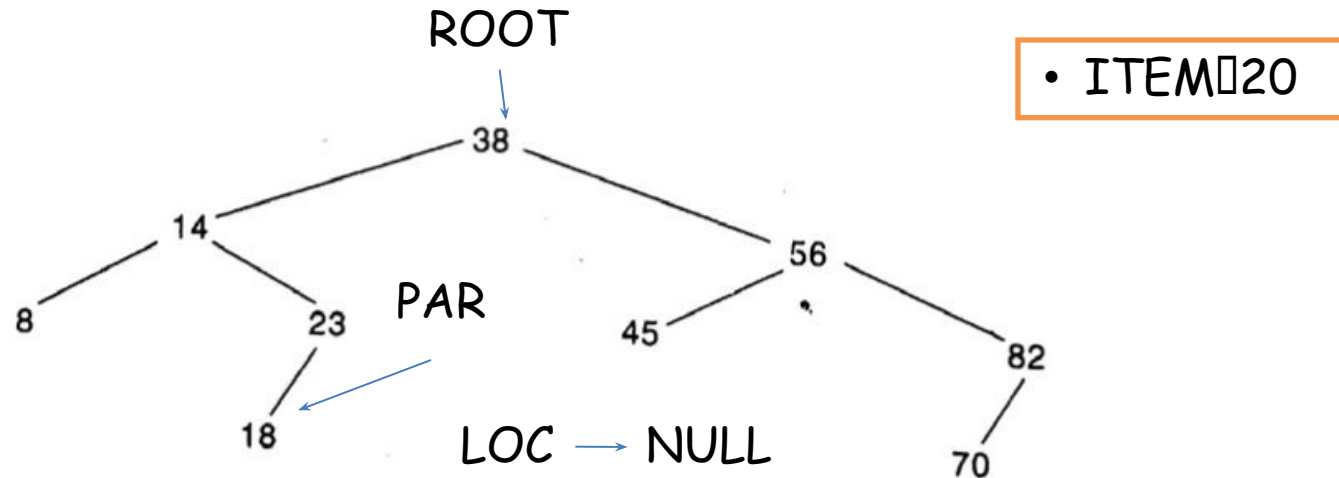
- Since [Search Unsuccessful] PTR = NULL,
 - LOC = NULL, PAR = SAVE

Searching and Inserting in Binary Search Trees



- **Insertion Step:**
 - If [Successful, No insertion] $LOC \neq NULL$, Exit

Searching and Inserting in Binary Search Trees

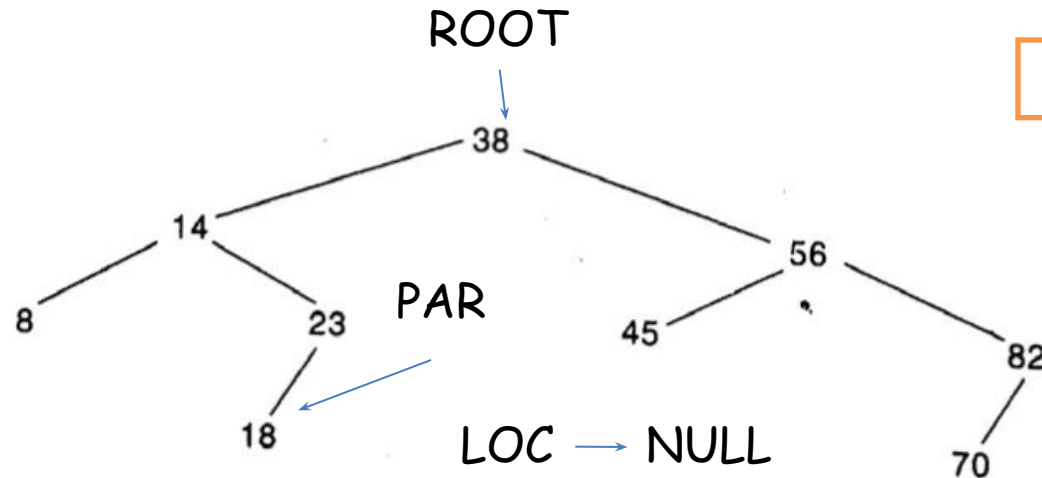


• Insertion Step:

- If AVAIL = NULL, Print Overflow
- NEW ← AVAIL
- AVAIL ← LEFT[AVAIL]
- INFO[NEW] ← ITEM, LEFT[NEW] ← NULL, RIGHT[NEW] ← NULL

New		
10	ITEM	10

Searching and Inserting in Binary Search Trees



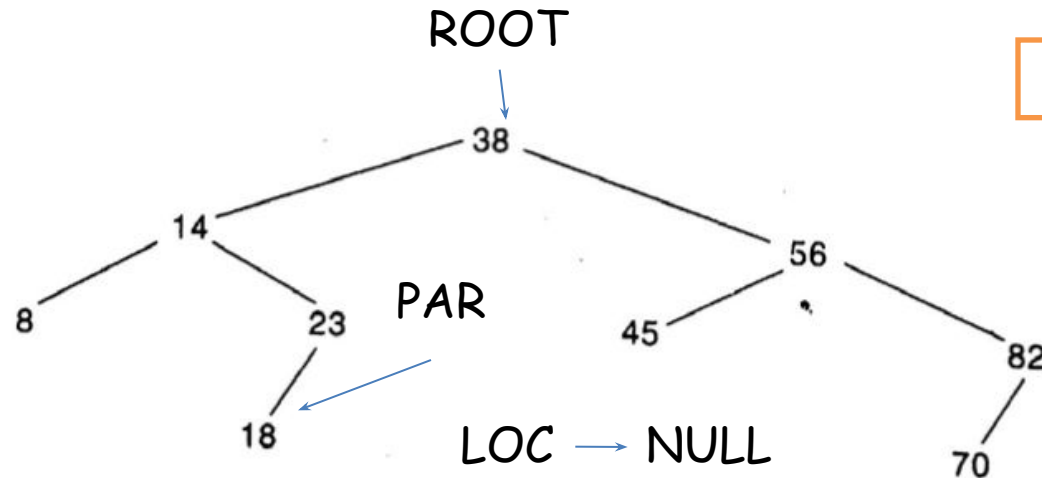
• ITEM = 20

- **Insertion Step:**

- If **PAR=NULL**
- [Empty Tree] **ROOT = NEW**

New		
\0	ITEM	\0

Searching and Inserting in Binary Search Trees

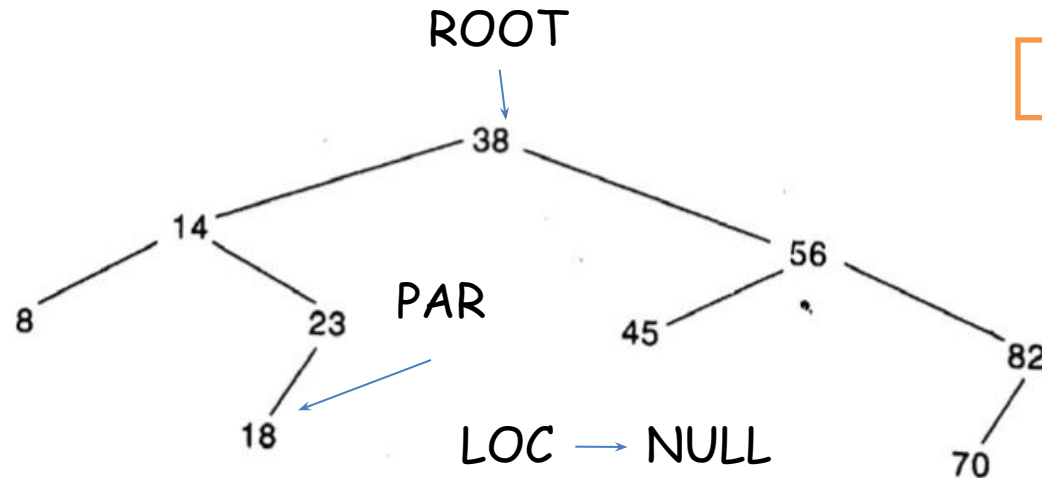


• Insertion Step:

- If $ITEM < INFO[PAR]$
- [Left Child of PAR] $LEFT[PAR] \leftarrow NEW$

New		
\0	ITEM	\0

Searching and Inserting in Binary Search Trees



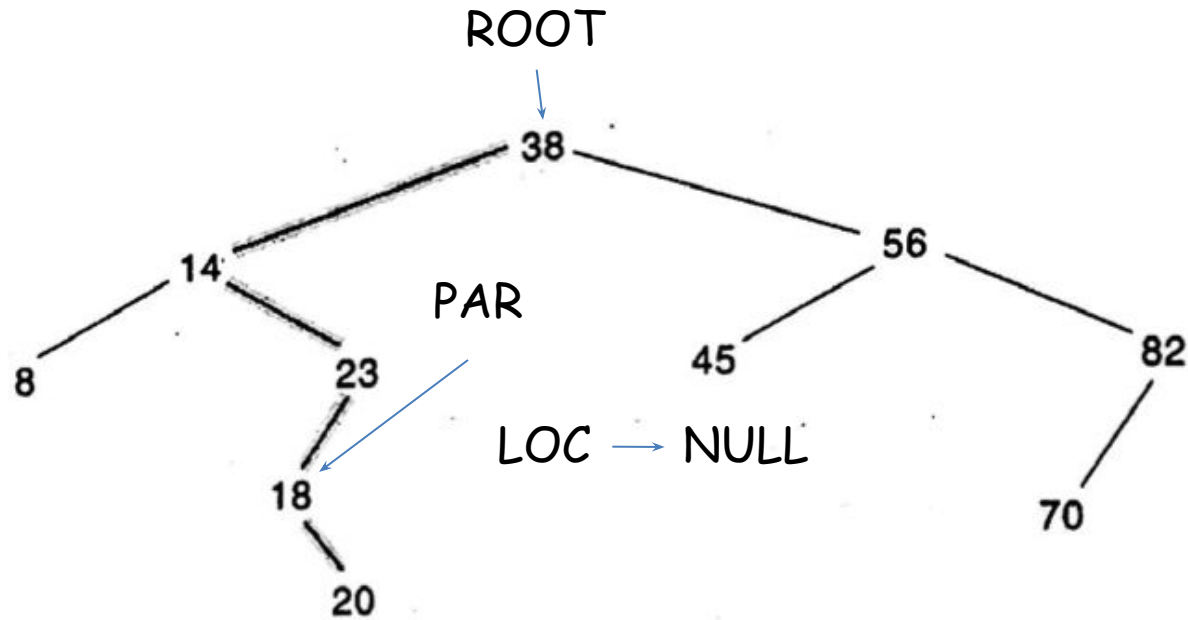
• ITEM = 20

• Insertion Step:

- If $ITEM \geq INFO[PAR]$
 - [Right Child of PAR] $RIGHT[PAR] \leftarrow NEW$
- $20 > 18$, So add new node as right node of PAR

New		
\0	ITEM	\0

Searching and Inserting in Binary Search Trees



Searching and Inserting in Binary Search Trees

Procedure 7.4: FIND(INFO, LEFT, RIGHT, ROOT, ITEM, LOC, PAR)

A binary search tree T is in memory and an ITEM of information is given. This procedure finds the location LOC of ITEM in T and also the location PAR of the parent of ITEM. There are three special cases:

- (i) LOC = NULL and PAR = NULL will indicate that the tree is empty.
 - (ii) LOC \neq NULL and PAR = NULL will indicate that ITEM is the root of T.
 - (iii) LOC = NULL and PAR \neq NULL will indicate that ITEM is not in T and can be added to T as a child of the node N with location PAR.
1. [Tree empty?]
If ROOT = NULL, then: Set LOC := NULL and PAR := NULL, and Return.
 2. [ITEM at root?]
If ITEM = INFO[ROOT], then: Set LOC := ROOT and PAR := NULL, and Return.
 3. [Initialize pointers PTR and SAVE.]
if ITEM < INFO[ROOT], then:
 Set PTR := LEFT[ROOT] and SAVE := ROOT.
Else:
 Set PTR := RIGHT[ROOT] and SAVE := ROOT.
[End of If structure.]

Searching and Inserting in Binary Search Trees

4. Repeat Steps 5 and 6 while $PTR \neq \text{NULL}$:
5. [ITEM found?]
If $\text{ITEM} = \text{INFO}[PTR]$, then: Set $\text{LOC} := PTR$ and $\text{PAR} := \text{SAVE}$,
and Return.
6. If $\text{ITEM} < \text{INFO}[PTR]$, then:
Set $\text{SAVE} := PTR$ and $PTR := \text{LEFT}[PTR]$.
Else:
Set $\text{SAVE} := PTR$ and $PTR := \text{RIGHT}[PTR]$.
[End of If structure.]
[End of Step 4 loop.]
7. [Search unsuccessful.] Set $\text{LOC} := \text{NULL}$ and $\text{PAR} := \text{SAVE}$.
8. Exit.

... the right child according to whether

Searching and Inserting in Binary Search Trees

Algorithm 7.5: INSBST(INFO, LEFT, RIGHT, ROOT, AVAIL, ITEM, LOC)
A binary search tree T is in memory and an ITEM of information is given. This algorithm finds the location LOC of ITEM in T or adds ITEM as a new node in T at location LOC.

1. Call FIND(INFO, LEFT, RIGHT, ROOT, ITEM, LOC, PAR).
[Procedure 7.4.]
2. If LOC \neq NULL, then Exit.
3. [Copy ITEM into new node in AVAIL list.]
 - (a) If AVAIL = NULL, then: Write: OVERFLOW, and Exit.
 - (b) Set NEW := AVAIL, AVAIL := LEFT[AVAIL] and INFO[NEW] := ITEM.
 - (c) Set LOC := NEW, LEFT[NEW] := NULL and RIGHT[NEW] := NULL.
4. [Add ITEM to tree.]
If PAR = NULL, then:
Set ROOT := NEW.
Else if ITEM < INFO[PAR], then:
Set LEFT[PAR] := NEW.
Else:
Set RIGHT[PAR] := NEW.
[End of If structure.]
5. Exit.

Searching and Inserting in Binary Search Trees

-
- Complexity of searching: $O(\log_2 n)$

Searching and Inserting in Binary Search Trees

- Application:
 - Want to find and delete all duplicates in the collection
 - Suppose we have

14, 10, 17, 12, 10, 11, 20, 12, 18, 25, 20, 8, 22, 11, 23
 - Observe that the first four numbers (14, 10, 17, 12) are not deleted (check: 0+1+2+3).
 - 10 is deleted (check: 2 times)
 - 11 is not deleted (Check: 4)
 - 20 is not deleted (Check: 5) and To be continue this process
 - Final result: 14 10 17 12 11 20 18 25 8 22 23
 - **Complexity:** $O(n^2)$

Searching and Inserting in Binary Search Trees

- Application:
 - We can solve this problem using binary search tree effeciently.
 - **Complexity:** $O(n\log_2 n)$

Searching and Inserting in Binary Search Trees

Consider again the following list of 15 numbers:

14, 10, 17, 12, 10, 11, 20, 12, 18, 25, 20, 8, 22, 11, 23

Applying Algorithm B to this list of numbers, we obtain the tree in Fig. 7.24. The exact number of comparisons is

$$0 + 1 + 1 + 2 + 2 + 3 + 2 + 3 + 3 + 3 + 3 + 2 + 4 + 4 + 5 = 38$$

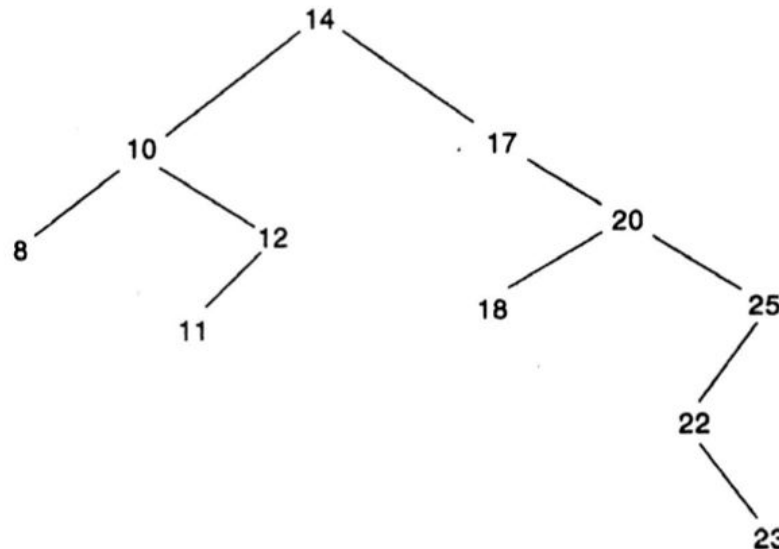


Fig. 7.24

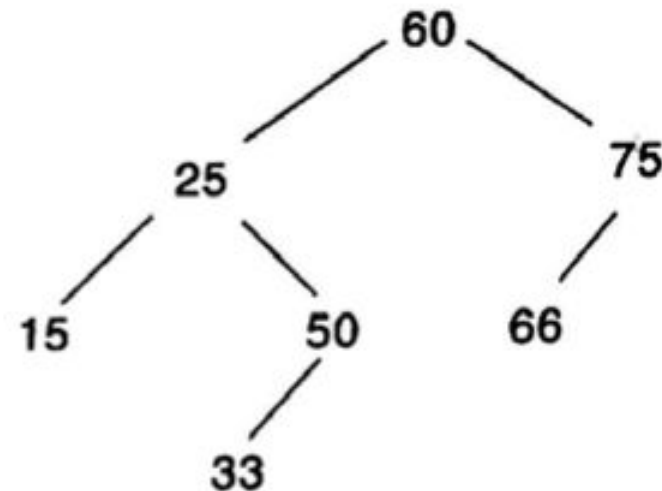
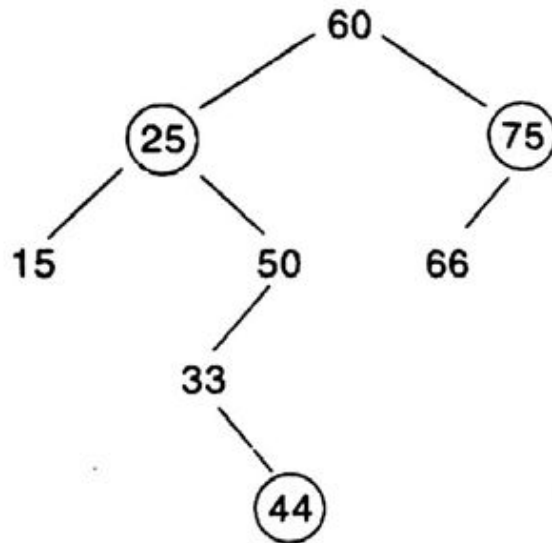
Deleting in Binary Search Tree

Deleting in Binary Search Tree

- Find the location of node N which contains ITEM (Discuss Previous slid)
- The location of the parent node $P(N)$
- The way N is deleted from the tree depends on the number of children of node N .
- These are three cases:
 - a) N has no children.
 - Then N is deleted from T by simply replacing the location of N in the parent node $P(N)$ by the null pointer

Deleting in Binary Search Tree

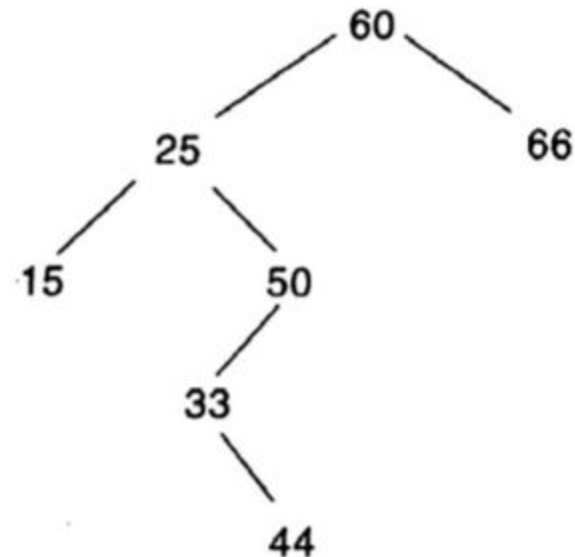
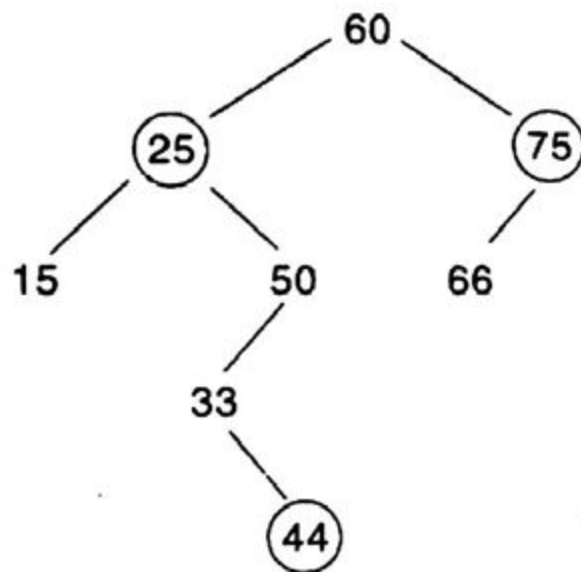
- These are three cases:
 - N has no children. Then N is deleted from T by simply replacing the location of N in the parent node P(N) by the null pointer



Deleting in Binary Search Tree

- These are three cases:
 - a) N has no children. Then N is deleted from T by simply replacing the location of N in the parent node $P(N)$ by the null pointer
 - b) N has exactly one child.
 - The N is deleted from T by simply replacing the location N in $P(N)$ by the location of the only child of N.

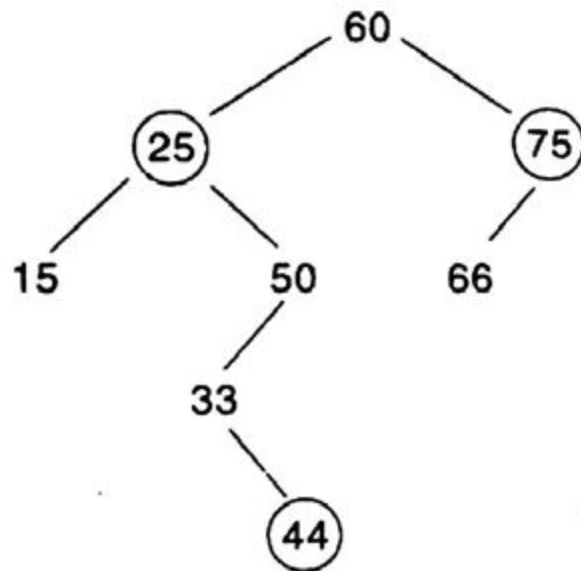
Deleting in Binary Search Tree



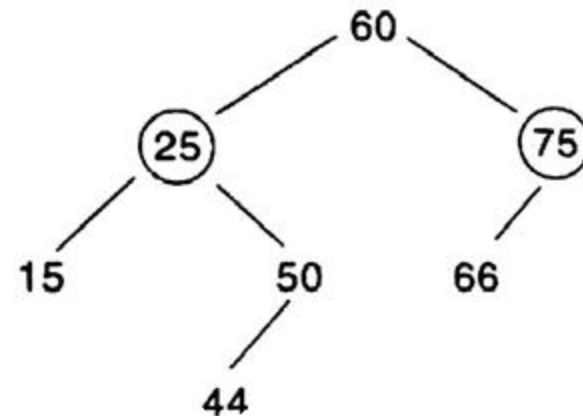
Deleting in Binary Search Tree

- These are three cases:
 - a) N has no children. Then N is deleted from T by simply replacing the location of N in the parent node $P(N)$ by the null pointer
 - b) N has exactly one child. The N is deleted from T by simply replacing the location N in $P(N)$ by the location of the only child of N.
 - c) N has two children.
 - Let $S(N)$ denote the inorder successor of N
 - Delete $S(N)$ using Case (a) or Case (b)
 - Replacing node N in T by the node $S(N)$,

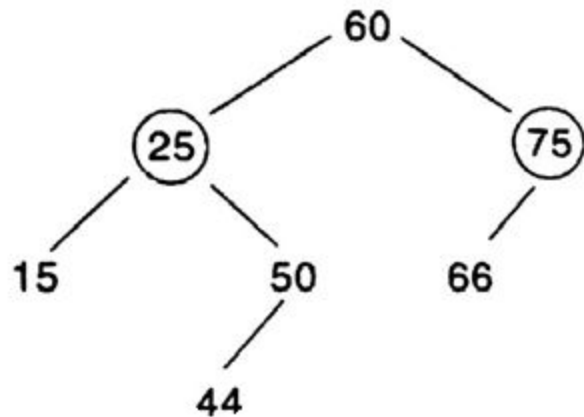
Deleting in Binary Search Tree



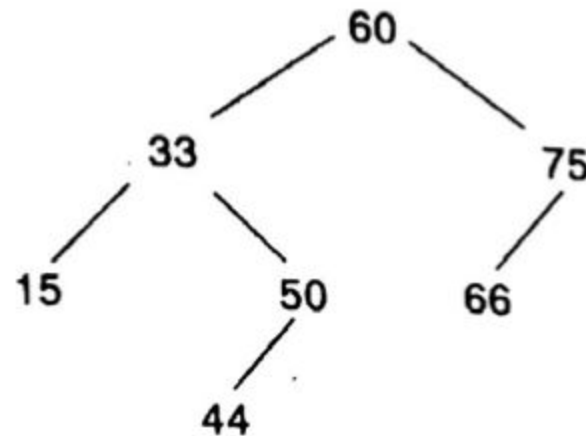
- 15 and 50 are two children of 25
- **Inorder Successor** of 25: 33
 - 15 **25** **33** 44 50 60 66 75
- Delete 33 as Case (b)



Deleting in Binary Search Tree



- 15 and 50 are two children of 25
- **Inorder Successor** of 25: 33
 - 15 **25 33** 44 50 60 66 75
- Delete 33 as Case (b)
- Replacing 25 by 33



Deleting in Binary Search Tree

Procedure 7.6: CASEA(INFO, LEFT, RIGHT, ROOT, LOC, PAR)

This procedure deletes the node N at location LOC, where N does not have two children. The pointer PAR gives the location of the parent of N, or else PAR = NULL indicates that N is the root node. The pointer CHILD gives the location of the only child of N, or else CHILD = NULL indicates N has no children.

1. [Initializes CHILD.]
 If LEFT[LOC] = NULL and RIGHT[LOC] = NULL, then:
 Set CHILD := NULL.
 Else if LEFT[LOC] ≠ NULL, then:
 Set CHILD := LEFT[LOC].
 Else
 Set CHILD := RIGHT[LOC].
 [End of If structure.]
2. If PAR ≠ NULL, then:
 If LOC = LEFT[PAR], then:
 Set LEFT[PAR] := CHILD.
 Else:
 Set RIGHT[PAR] := CHILD.
 [End of If structure.]
 Else:
 Set ROOT := CHILD.
 [End of If structure.]
3. Return.

CASE A and CASE B

Deleting in Binary Search Tree

Procedure 7.7: CASEB(INFO, LEFT, RIGHT, ROOT, LOC, PAR)

This procedure will delete the node N at location LOC, where N has two children. The pointer PAR gives the location of the parent of N, or else PAR = NULL indicates that N is the root node. The pointer SUC gives the location of the inorder successor of N, and PARSUC gives the location of the parent of the inorder successor.

1. [Find SUC and PARSUC.]
 - (a) Set PTR := RIGHT[LOC] and SAVE := LOC.
 - (b) Repeat while LEFT[PTR] ≠ NULL:
Set SAVE := PTR and PTR := LEFT[PTR].
[End of loop.]
 - (c) Set SUC := PTR and PARSUC := SAVE.
2. [Delete inorder successor, using Procedure 7.6.]
Call CASEA(INFO, LEFT, RIGHT, ROOT, SUC, PARSUC).

CASE C

Deleting in Binary Search Tree

3. [Replace node N by its inorder successor.]

(a) If $PAR \neq NULL$, then:

 If $LOC = LEFT[PAR]$, then:

 Set $LEFT[PAR] := SUC$.

 Else:

 Set $RIGHT[PAR] := SUC$.

 [End of If structure.]

Else:

 Set $ROOT := SUC$.

 [End of If structure.]

(b) Set $LEFT[SUC] := LEFT[LOC]$ and
 $RIGHT[SUC] := RIGHT[LOC]$.

4. Return.

CASE C

Deleting in Binary Search Tree

Algorithm 7.8: DEL(INFO, LEFT, RIGHT, ROOT, AVAIL, ITEM)
 A binary search tree T is in memory, and an ITEM of information is given.
 This algorithm deletes ITEM from the tree.

1. [Find the locations of ITEM and its parent, using Procedure 7.4.]
 Call FIND(INFO, LEFT, RIGHT, ROOT, ITEM, LOC, PAR).
2. [ITEM in tree?]
 If LOC = NULL, then: Write: ITEM not in tree, and Exit.
3. [Delete node containing ITEM.]
 If RIGHT[LOC] \neq NULL and LEFT[LOC] \neq NULL, then:
 Call CASEB(INFO, LEFT, RIGHT, ROOT, LOC, PAR).
 Else:
 Call CASEA(INFO, LEFT, RIGHT, ROOT, LOC, PAR).
 [End of If structure.]
4. [Return deleted node to the AVAIL list.]
 Set LEFT[LOC] := AVAIL and AVAIL := LOC.
5. Exit.

Any Query?

