

# CS & IT ENGINEERING

## Algorithms



Algorithms

Lecture No. 15

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# Recap of Previous Lecture



Topic

LCS





# Topics to be Covered

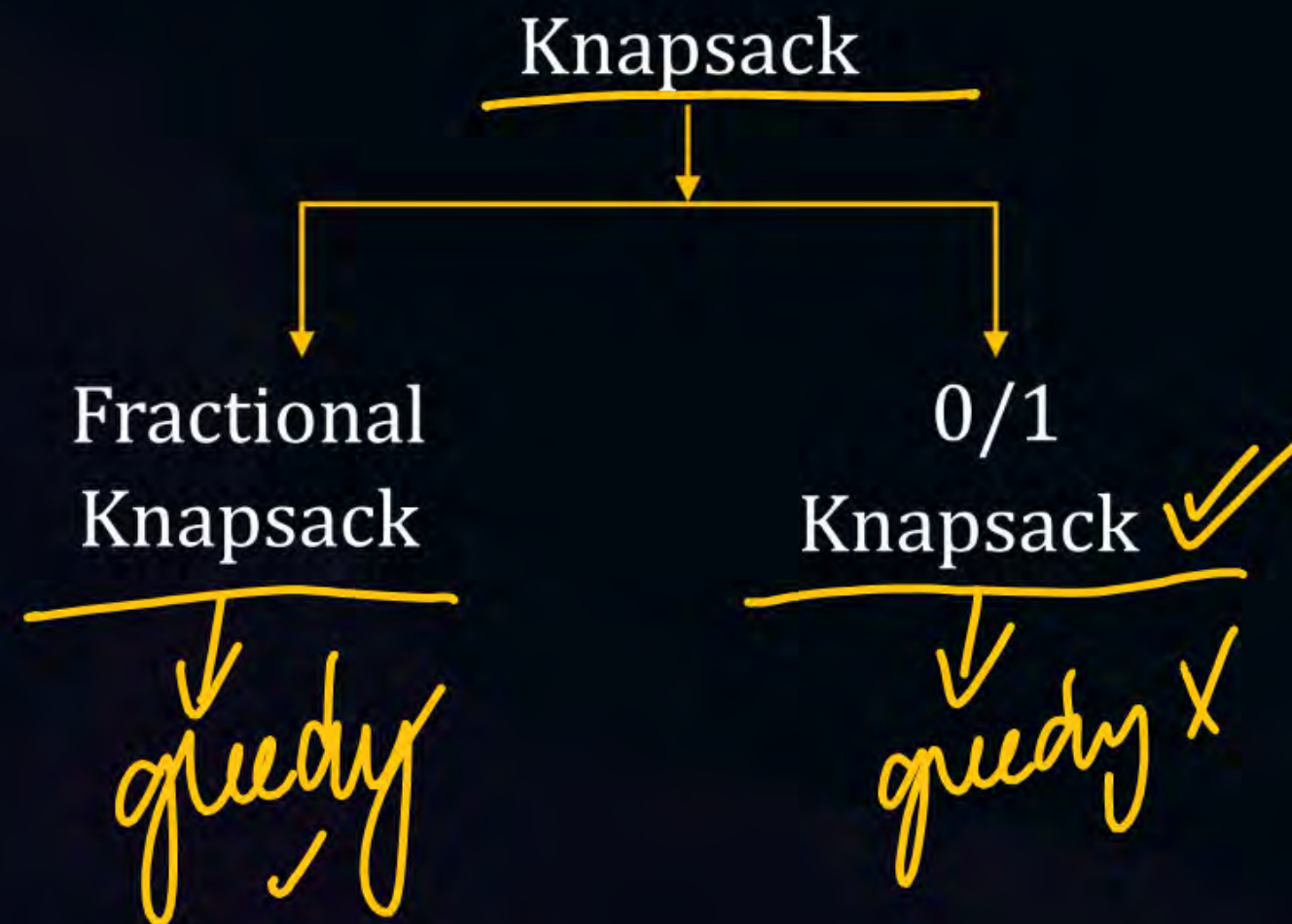


Topic

01 Knapsack



# Topic: Knapsack







## Topic: Knapsack

Difference between fractional and 0/1 knapsack-

- 0/1 Knapsack

Example:

Capacity = 6 units

Objects



✓  
1

✓  
2

✓  
3

Weight



1

2

4

Profit



10

12

28

Find max profit





# Topic: Knapsack

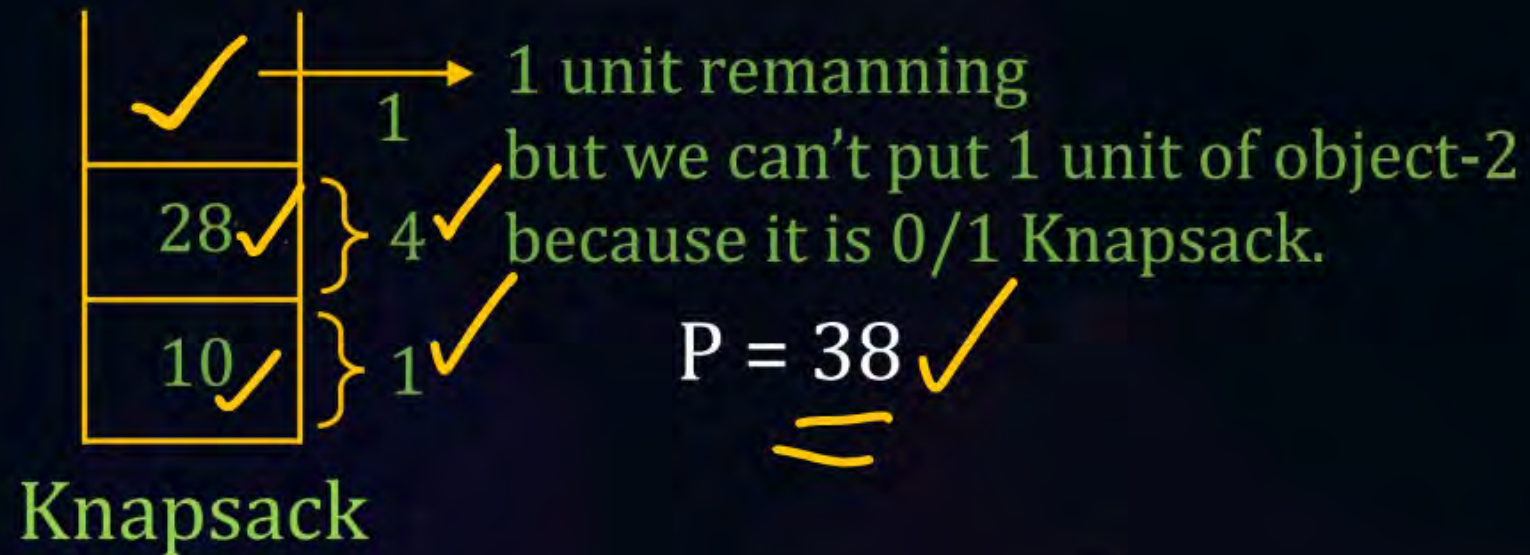
## 1. Using greedy method:

P/W ratio - 10 6 7 ✓

Objects	→	1	2	3
Weight	→	1	2	4
Profit	→	10	12	28

Put the object according to ~~weight~~ P/W ratio.

Hence, greedy method fails → (0/1 Knapsack)







# Topic: Knapsack

## Example:

Why greedy method fails in 0 - 1 knapsack

$W > 1$



Then we can't put second object.  
These why greedy method fails.



## Topic: Knapsack

2. Using Dynamic programming to solve 0-1 knapsack problem.

Object: 1    2    3    4    n ✓  
           $2 \times 2 \times 2 \times 2 \times 2$  Choice put or left alone)  
           $2^n$  ✓             $O(2^n)$





## Topic: Knapsack

### 2. Using Dynamic programming to solve 0-1 knapsack problem.

Object: 1    2    3    4    n  
          2 × 2 × 2 × 2 × 2 Choice put or left alone)  
           $2^n$

- Recursive equation –

$$\text{KS}(i, w) = \begin{cases} \max (P_i + \text{KS}(i-1, w-w_i), \text{KS}(i-1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ \text{KS}(i-1, w); & w_i > w \end{cases}$$

$i \rightarrow$  number of the object       $w \rightarrow$  capacity of knapsack



## Topic: Knapsack



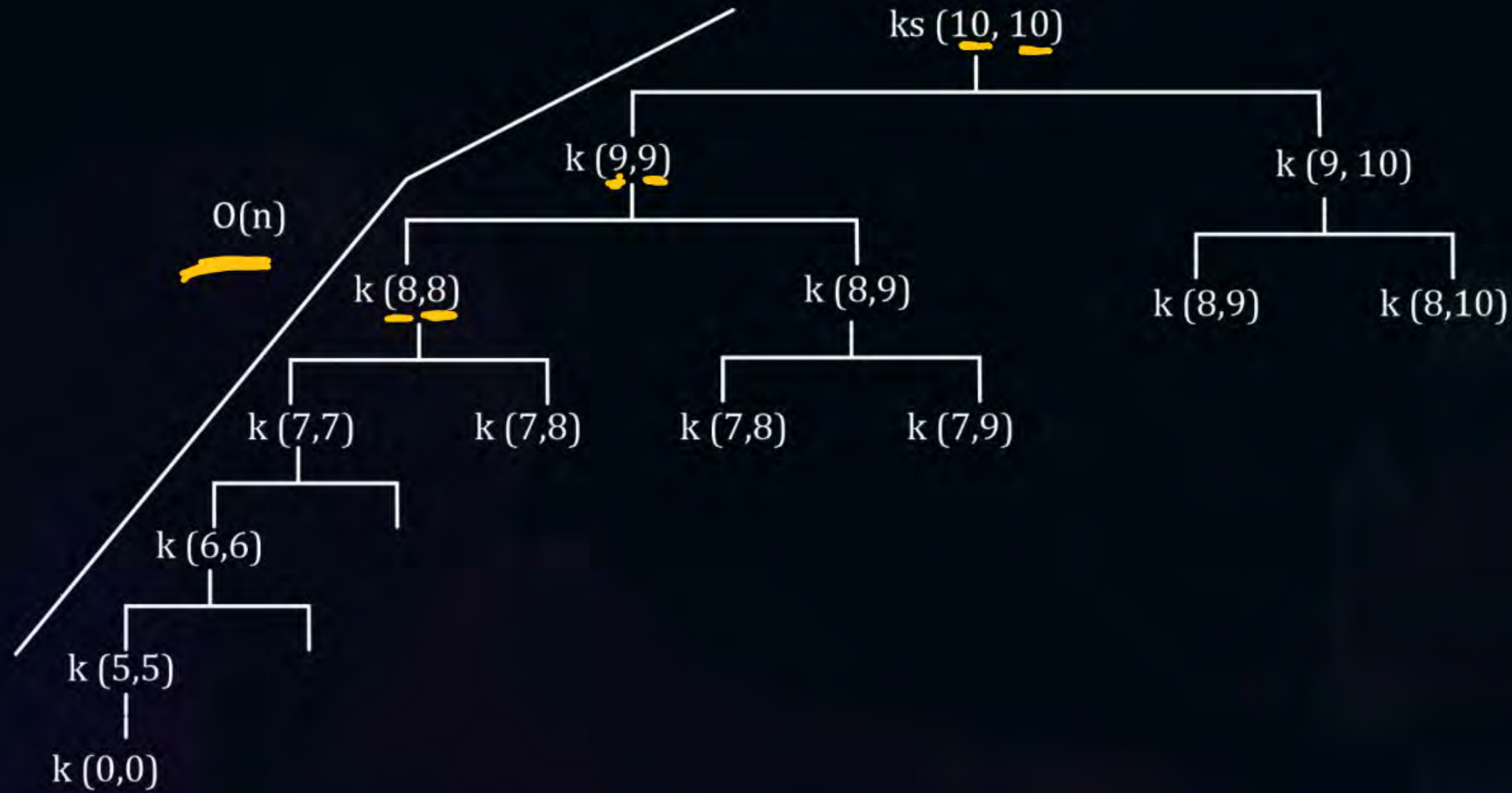
- Recursion tree for 10 items and Ksize=10 assuming each object wgt is 1





# Topic: Knapsack

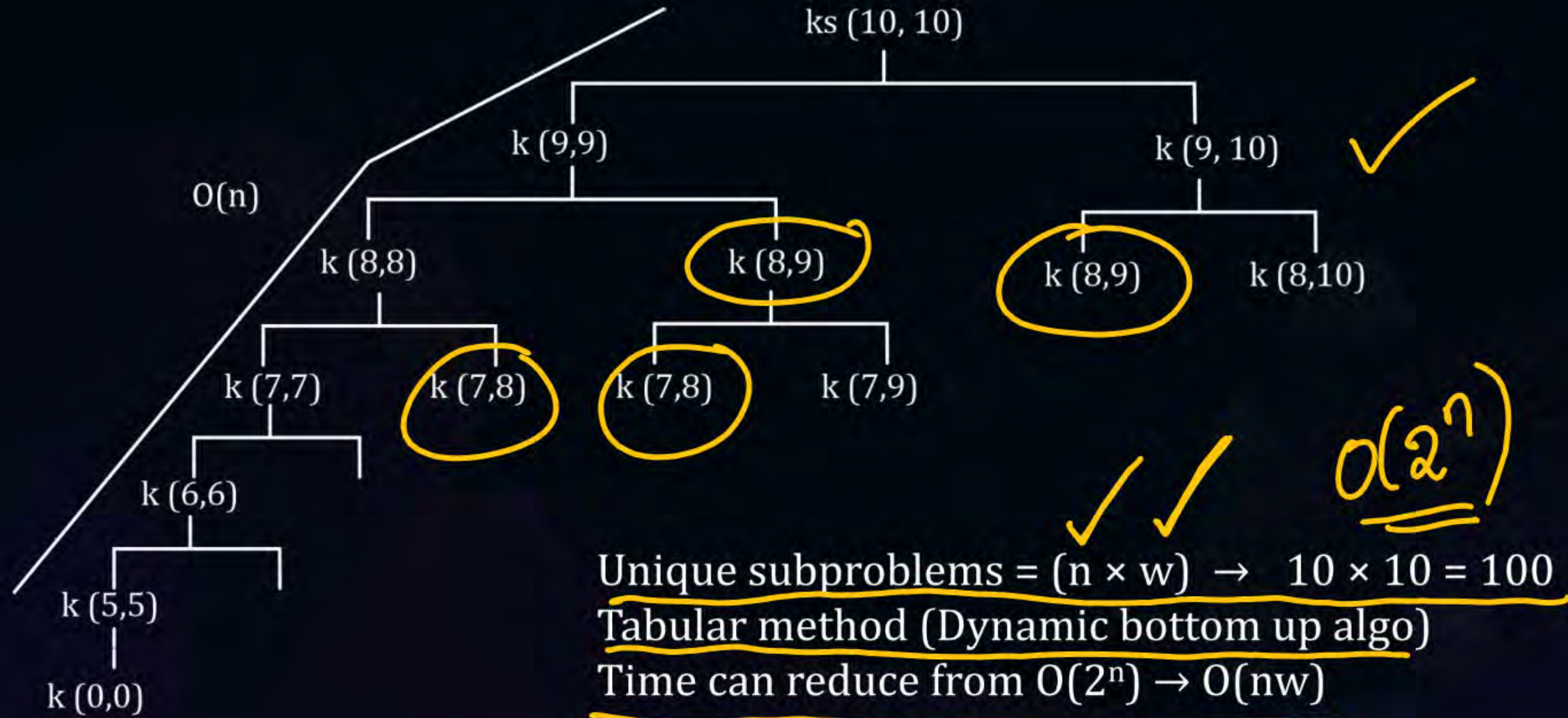
- Recursion tree for 10 items and Ksize=10 assuming each object wgt is 1





# Topic: Knapsack

- Recursion tree for 10 items and Ksize=10 assuming each object wgt is 1







# Topic: Knapsack

Example:

$C = 6$

Objects



1      2      3

Weight



<u>1</u>	<u>2</u>	<u>4</u>
<u>10</u>	<u>12</u>	<u>28</u>

Profit





# Topic: Knapsack

Example:

$C = 6$  .

Objects	→	1	2	3
Weight	→	1	2	4
Profit	→	10	12	28

$$KS(i,w) = \begin{cases} \max (P_i + KS (i -1, w-w_i), KS(i-1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ KS (i-1, w); & w_i > w \end{cases}$$

	0	1	2	3	4	5	6
0	0	0	0	0	0	0	0
1	0						
2	0						
3	0						





# Topic: Knapsack

## Example:

C = 6

Objects	→	1	2	3
Weight	→	1	2	4
Profit	→	10	12	28

$$KS(i,w) = \begin{cases} \max (P_i + KS (i -1, w-w_i), KS(i-1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ KS (i-1, w); & w_i > w \end{cases}$$

	0	1	2	3	4	5	6
0	0	0	0	0	0	0	0
1	0	10					
2	0						
3	0						

$$KS (1, 1) = \max \begin{cases} \underline{P_1} + \underline{KS (0, 0)} \rightarrow \text{Include} \\ \underline{KS (0, 1)} \rightarrow \text{Not Include} \end{cases}$$

Profit max



# Topic: Knapsack

Example:

C = 6

Objects	→	1	2	3
Weight	→	1	2	4
Profit	→	10	12	28

$$KS(i,w) = \begin{cases} \max (P_i + KS (i - 1, w - w_i), KS(i-1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ KS (i-1, w); & w_i > w \end{cases}$$

	0	1	2	3	4	5	6
0	0	0	0	0	0	0	0
1	0	10	10				
2	0						
3	0						

$$KS(\underline{1}, \underline{2}) = \max \begin{cases} \overset{10}{P_1} + \overset{0}{KS(0, 1)} \rightarrow 10 \\ \underset{0}{KS(0, 2)} \end{cases}$$

Profit max





# Topic: Knapsack

Example:

$C = 6$

Objects	→	1	2	3
Weight	→	1	2	4
Profit	→	10	12	28

$$KS(i, w) = \begin{cases} \max (P_i + KS (i - 1, w - w_i), KS(i - 1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ KS (i - 1, w); & w_i > w \end{cases}$$

	0	1	2	3	4	5	6
0	0	0	0	0	0	0	0
1	0	10	10	10			
2	0						
3	0						

$$KS(\underline{1}, \underline{3}) = \max \begin{cases} \overset{10}{P_1} + \overset{0}{KS}(\underline{0}, \underline{2}) \\ \overset{0}{KS}(\underline{0}, \underline{3}) \end{cases}$$

→ Profit max



# Topic: Knapsack

Example:

$C = 6$

Objects	→	1	2	3
Weight	→	1	2	4
Profit	→	10	12	28

$$KS(i,w) = \begin{cases} \max (P_i + KS (i - 1, w - w_i), KS(i-1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ KS (i-1, w); & w_i > w \end{cases}$$

	0	1	2	3	4	5	6
0	0	0	0	0	0	0	0
1	0	10	10	10	10		
2	0						
3	0						

$$KS (1, 4) = \max \begin{cases} P_1 + KS (0, 3) \\ KS (0, 4) \end{cases}$$

Profit max





# Topic: Knapsack

Example:

$C = 6$

Objects	→	1	2	3
Weight	→	1	2	4
Profit	→	10	12	28

$$KS(i,w) = \begin{cases} \max (P_i + KS (i -1, w-w_i), KS(i-1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ KS (i-1, w); & w_i > w \end{cases}$$

	0	1	2	3	4	5	6
0	0	0	0	0	0	0	0
1	0	10	10	10	10	10	
2	0						
3	0						

$$KS (1, 5) = \max \begin{cases} \overset{10}{P_1} + \overset{0}{KS (0, 4)} \\ \overset{0}{KS (0, 5)} \end{cases}$$

→ Profit max



# Topic: Knapsack

Example:

$C = 6$

Objects	→	1	2	3
Weight	→	1	2	4
Profit	→	10	12	28

$$KS(i, w) = \begin{cases} \max (P_i + KS (i - 1, w - w_i), KS(i - 1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ KS (i - 1, w); & w_i > w \end{cases}$$

	0	1	2	3	4	5	6
0	0	0	0	0	0	0	0
1	0	10	10	10	10	10	10
2	0						
3	0						

$$KS (1, 6) = \max \begin{cases} \overset{10}{P_1} + \overset{0}{KS (0, 5)} \\ \overset{0}{KS (0, 6)} \end{cases}$$

Profit max





# Topic: Knapsack

Example:

$C = 6$

Objects	→	1	2	3
Weight	→	1	2	4
Profit	→	10	12	28

$$KS(i, w) = \begin{cases} \max(P_i + KS(i-1, w-w_i), KS(i-1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ KS(i-1, w); & w_i > w \end{cases}$$

	0	✓ 1	2	3	4	5	6
0	0	0	0	0	0	0	0
1	0	10	10	10	10	10	10
2	0	10					
3	0						

→ Profit max

✗

$$K(\underline{2}, \underline{1}) = \begin{cases} \underline{M_i} > \underline{m} \quad \underline{2} > \underline{1} \\ K(\underline{1}, \underline{1}) \end{cases}$$



# Topic: Knapsack

Example:

$C = 6$

Objects	→	1	2	3
Weight	→	1	2	4
Profit	→	10	12	28

$$KS(i,w) = \begin{cases} \max (P_i + KS (i -1, w-w_i), KS(i-1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ KS (i-1, w); & w_i > w \end{cases}$$

	0	1	2	3	4	5	6
0	0	0	0	0	0	0	0
1	0	10	10	10	10	10	10
2	0	10	<u>12</u>				
3	0						

$$K(2, 2) = \begin{cases} \overset{12}{P_2} + \overset{0}{KS(1, 0)} \\ \underset{10}{KS(1, 2)} \end{cases}$$

Profit max





# Topic: Knapsack

Example:

C = 6

Objects	→	1	2	3
Weight	→	1	<u>2</u>	4
Profit	→	10	12	28

$$KS(i,w) = \begin{cases} \max (P_i + KS (i -1, w-w_i), KS(i-1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ KS (i-1, w); & w_i > w \end{cases}$$

	0	1	2	<u>3</u> ✓	4	5	6
0	0	0	0	0	0	0	0
1	0	10	10	10	10	10	10
✓ 2	0	10	12	<u>22</u>			
3	0						

→ Profit max

$$K (2, \underline{3}) = \begin{cases} \overset{12}{P_2} + \overset{10}{KS(\underline{1}, \underline{1})} \\ \underset{10}{KS (\underline{1}, \underline{3})} \end{cases}$$



# Topic: Knapsack

Example:

C = 6

Objects	→	1	2	3
Weight	→	1	<u>2</u>	4
Profit	→	10	12	28

$$KS(i,w) = \begin{cases} \max (P_i + KS (i -1, w-w_i), KS(i-1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ KS (i-1, w); & w_i > w \end{cases}$$

	0	1	2	3	4	5	6
0	0	0	0	0	0	0	0
1	0	10	10	10	10	10	10
2	0	10	12	22	<u>22</u>		
3	0						

$$K(2, 4) = \begin{cases} \underline{P_2} + \underline{KS(1, 2)} \\ \underline{KS(1, 4)} \end{cases}$$

Handwritten annotations: A green circle around 12, a green checkmark above 10, and a green checkmark above 10.

Profit max





# Topic: Knapsack

Example:

$C = 6$

Objects	→	1	2	3
Weight	→	1	2	4
Profit	→	10	12	28

$$KS(i, w) = \begin{cases} \max(P_i + KS(i-1, w-w_i), KS(i-1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ KS(i-1, w); & w_i > w \end{cases}$$

	0	1	2	3	4	5	6
0	0	0	0	0	0	0	0
1	0	10	10	10	10	10	10
✓ 2	0	10	12	22	22	22	
3	0						

→ Profit max

$$K(2, 5) = \begin{cases} \underline{P_2} + \underline{KS(1, 3)} \\ \underline{KS(1, 5)} \end{cases}$$



# Topic: Knapsack

Example:

$C = 6$

Objects	→	1	2	3
Weight	→	1	2	4
Profit	→	10	12	28

$$KS(i,w) = \begin{cases} \max (P_i + KS (i -1, w-w_i), KS(i-1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ KS (i-1, w); & w_i > w \end{cases}$$

	0	1	2	3	4	5	6
0	0	0	0	0	0	0	0
1	0	10	10	10	10	10	10
2	0	10	12	22	22	22	22
3	0						

$$K(2, 6) = \begin{cases} P_2 + KS(1, 4) \\ KS(1, 6) \end{cases}$$

Profit max





# Topic: Knapsack

Example:

C = 6

Objects	→	1	2	3
Weight	→	1	2	4
Profit	→	10	12	28

KS(i,w) =

$$\begin{cases} \max (P_i + \text{KS} (i - 1, w - w_i), \text{KS}(i - 1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ \text{KS} (i - 1, w); & w_i > w \end{cases}$$

	0	1 ✓	2	3	4	5	6
0	0	0	0	0	0	0	0
1	0	10	10	10	10	10	10
2	0	10	12	22	22	22	22
✓3	0	10					

→ Profit max

$$K(3, 1) = \begin{cases} \text{KS}(2, 1) \end{cases}$$



# Topic: Knapsack

Example:

$C = 6$

Objects	→	1	2	3
Weight	→	1	2	<u>4</u>
Profit	→	10	12	28

$$KS(i,w) = \begin{cases} \max(P_i + KS(i-1, w-w_i), KS(i-1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ KS(i-1, w); & w_i > w \end{cases}$$

	0	1	2	3 ✓	4	5	6
0	0	0	0	0	0	0	0
1	0	10	10	10	10	10	10
2	0	10	12	22	22	22	22
✓3	0	10	12 ✓				

$$K(3, 2) = \begin{cases} KS(2, 2) \end{cases}$$

Profit max





# Topic: Knapsack

Example:

$C = 6$

Objects	→	1	2	3
Weight	→	1	2	4
Profit	→	10	12	28

$$KS(i,w) = \begin{cases} \max(P_i + KS(i-1, w-w_i), KS(i-1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ KS(i-1, w); & w_i > w \end{cases}$$

	0	1	2	3	4	5	6
0	0	0	0	0	0	0	0
1	0	10	10	10	10	10	10
2	0	10	12	22	22	22	22
3	0	10	12	22			

→ Profit max

$$K(3, 3) = \begin{cases} 22 \\ KS(2, 3) \end{cases}$$



# Topic: Knapsack

## Example:

C = 6

Objects	→	1	2	3
Weight	→	1	2	4
Profit	→	10	12	28

$$KS(i,w) = \begin{cases} \max (P_i + KS (i -1, w-w_i), KS(i-1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ KS (i-1, w); & w_i > w \end{cases}$$

	0	1	2	3	4	5	6
0	0	0	0	0	0	0	0
1	0	10	10	10	10	10	10
2	0	10	12	22	22	22	22
3	0	10	12	22	28		

Profit max

$$K(3, 4) = \begin{cases} P_3 + \underbrace{KS(2, 0)}_{22} \\ KS(2, 4) \end{cases}$$





# Topic: Knapsack

Example:

$C = 6$

Objects	→	1	2	3
Weight	→	1	2	4
Profit	→	10	12	28

$$KS(i, w) = \begin{cases} \max(P_i + KS(i-1, w-w_i), KS(i-1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ KS(i-1, w); & w_i > w \end{cases}$$

	0	1	2	3	4	5	6
0	0	0	0	0	0	0	0
1	0	10	10	10	10	10	10
2	0	10	12	22	22	22	22
3	0	10	12	22	28	38	

Profit max

$$K(3, 5) = \begin{cases} P_3 + KS(2, 1) \\ KS(2, 5) \end{cases}$$





# Topic: Knapsack

Example:

C = 6

Objects	→	1	2	3
Weight	→	1	2	4
Profit	→	10	12	28

$$KS(i,w) = \begin{cases} \max (P_i + KS (i - 1, w - w_i), KS(i-1, w)); & w_i \leq w \\ 0; & i = 0 \text{ or } w = 0 \\ KS (i-1, w); & w_i > w \end{cases}$$

	0	1	2	3	4	5	6 ✓
0	0	0	0	0	0	0	0
1	0	10	10	10	10	10	10
2	0	10	12	22	22	22	22
✓ 3	0	10	12	22	28	38	40 ✓

→ Profit max

$$K(3, 6) = \begin{cases} P_3 + \underline{KS(2, 2)} \\ \underline{KS(2, 6)} \end{cases}$$

*(Handwritten annotations: 28, 12, 22 are circled in the original image)*





# Topic: Knapsack

## 0-1 Knapsack Algorithm

```
for w = 0 to W
    B[0,w] = 0
for i = 0 to n
    B[i,0] = 0
    for w = 0 to W
        if  $w_i \leq w$  // item i can be part of the solution
            if  $b_i + B[i-1, w-w_i] > B[i-1, w]$ 
                 $B[i, w] = b_i + B[i-1, w-w_i]$ 
            else
                 $B[i, w] = B[i-1, w]$ 
        else  $B[i, w] = B[i-1, w]$  //  $w_i > w$ 
```



## Topic: Knapsack

### 0-1 Knapsack Algorithm

```
for w = 0 to W
    B[0,w] = 0
for i = 0 to n
    B[i,0] = 0
    for w = 0 to W
        if  $w_i \leq w$  // item i can be part of the solution
            if  $b_i + B[i-1, w-w_i] > B[i-1, w]$ 
                 $B[i, w] = b_i + B[i-1, w-w_i]$ 
            else
                 $B[i, w] = B[i-1, w]$ 
        else  $B[i, w] = B[i-1, w]$  //  $w_i > w$ 
```

Time complexity =  $O(nw)$

Space complexity =  $O(nw)$

$T(n) = \min( \underline{\underline{O(2^n)}}, \underline{\underline{O(nw)}} )$

$w = O(2^n)$





**THANK - YOU**