### **NEURAL NETWORKS**

## **QUESTION**: Difference between Machine Learning and Deep Learning

#### **Machine Learning**

- ML models are based on different techniques (Logistic Regression, SVM, Tree based models, etc)
- Typically requires less data to train the model
- Can be less computationally intensive compared to deep learning
- Models (especially simpler ones) tend to be more interpretable

#### **Deep Learning**

- The core of DL models are Neural networks (Only the architectures may vary)
- · Requires large amount of data to be effective
- Requires significant computational power (often GPUs) due to the complexity of the neural networks
- Models are generally considered "black boxes" because of their complexity

## QUESTION: What is the equation for Simple Linear regression and Multiple Linear Regression?

#### Simple Linear Regression

Simple Linear Regression models the relationship between two variables by fitting a linear equation to observed data. One variable is considered to be an explanatory variable (independent), and the other is considered to be a dependent variable. The equation for Simple Linear Regression is:

$$y = \beta_0 + \beta_1 x + \epsilon$$

- ${}^{ullet}$  y is the dependent variable (the variable we are trying to predict).
- $\bullet$  x is the independent variable (the variable we use to make predictions).
- $\beta_0$  is the y-intercept of the line.
- $\beta_1$  is the slope of the line, representing the relationship between x and y.
- $\epsilon$  represents the error term, accounting for the variability in y that cannot be explained by the linear relationship with x.

#### Multiple Linear Regression

Multiple Linear Regression is an extension of Simple Linear Regression that uses two or more independent variables to predict the value of a dependent variable. The equation for Multiple Linear Regression is:

$$y = \beta_0 + \beta_1 x_1 + \beta_2 x_2 + \ldots + \beta_n x_n + \epsilon$$

- y is the dependent variable.
- $x_1, x_2, \ldots, x_n$  are the independent variables.
- $\beta_0$  is the y-intercept of the plane.
- $\beta_1, \beta_2, \dots, \beta_n$  are the coefficients of the independent variables, representing the impact of each variable on y.
- ullet represents the error term.

# QUESTION: What is Logistic Regression? How is Linear regression modified into Logistic Regression? What is the equation for Logistic Equation?

#### Transition from Linear to Logistic Regression

Linear regression is straightforward but not suitable for binary classification because its prediction can be greater than 1 or less than 0, which doesn't make sense in a binary context. Logistic regression modifies linear regression to model probabilities by applying a logistic function to the linear regression output. This logistic function ensures that the output lies between 0 and 1, making it interpretable as a probability.

#### Logistic Function (Sigmoid Function)

The logistic function, also known as the sigmoid function, is what transforms the linear equation to produce a value between 0 and 1. The logistic function is given by:

$$\sigma(z)=rac{1}{1+e^{-z}}$$

Where:

- $\sigma(z)$  is the output of the logistic function.
- $\bullet$  e is the base of the natural logarithm.
- z is the input to the function, which is the output from the linear regression part.

#### **Logistic Regression Equation**

Integrating the logistic function into the regression model, the equation for Logistic Regression can be written as:

$$P(y=1) = \frac{1}{1 + e^{-(\beta_0 + \beta_1 x_1 + \beta_2 x_2 + \dots + \beta_n x_n)}}$$

Here:

- ullet P(y=1) is the probability that the dependent variable y is 1 (or the event happening).
- $\beta_0, \beta_1, \beta_2, ..., \beta_n$  are the regression coefficients, representing the impact of each independent variable  $x_1, x_2, ..., x_n$  on the log odds of the dependent variable y.
- $\bullet$  e is the base of the natural logarithm.

The regression coefficients ( $\beta$ ) are typically estimated using maximum likelihood estimation.

In summary, logistic regression modifies linear regression by applying the logistic function to the linear regression output. This confines the outcome to the (0, 1) interval, making it a probability measure, which is suitable for binary classification problems.

## QUESTION: What is the evaluation metric used for Linear regression?

#### 1. Mean Absolute Error (MAE)

Mean Absolute Error is the average of the absolute differences between the predicted values and the actual values. It measures the average magnitude of errors in a set of predictions, without considering their direction. The MAE is given by:

$$MAE = \frac{1}{n} \sum_{i=1}^{n} |y_i - \hat{y}_i|$$

- y<sub>i</sub> is the actual value.
- \*  $\hat{y}_i$  is the predicted value.
- n is the number of observations.

#### 2. Mean Squared Error (MSE)

Mean Squared Error is the average of the squared differences between the predicted values and the actual values. It gives a higher weight to larger errors. The MSE is calculated as:

$$MSE = \frac{1}{n} \sum_{i=1}^{n} (y_i - \hat{y}_i)^2$$

- $y_i$  is the actual value.
- $\hat{y}_i$  is the predicted value.
- n is the number of observations.

#### 3. Root Mean Squared Error (RMSE)

Root Mean Squared Error is the square root of the mean squared error. It measures the standard deviation of the residuals (prediction errors). RMSE is useful because it scales the error to the same unit as the data. The RMSE is defined as:

RMSE = 
$$\sqrt{\frac{1}{n} \sum_{i=1}^{n} (y_i - \hat{y}_i)^2}$$

- ${ullet} y_i$  is the actual value.
- \*  $\hat{y}_i$  is the predicted value.
- ullet n is the number of observations.

### 4. R-squared ( $\mathbb{R}^2$ )

R-squared, also known as the coefficient of determination, measures the proportion of the variance in the dependent variable that is predictable from the independent variables. It is a statistic that will give some information about the goodness of fit of a model. The  $R^2$  value ranges from 0 to 1, where 0 means that the model does not explain any of the variance in the response variable around its mean, and 1 means it explains all the variance in the response variable around its mean.

### QUESTION: What metrics are used for Logistic regression?

#### 1. Accuracy

Accuracy is the simplest and most intuitive performance measure. It is the ratio of correctly predicted observations to the total observations. It's useful for balanced datasets but can be misleading for imbalanced datasets where one class significantly outnumbers the other.

$$Accuracy = \frac{Number of correct predictions}{Total number of predictions}$$

#### 2. Confusion Matrix

A confusion matrix is a table that is often used to describe the performance of a classification model on a set of test data for which the true values are known. It breaks down the predictions into four categories: true positives (TP), true negatives (TN), false positives (FP), and false negatives (FN).

- True Positives (TP): Correctly predicted positive observations.
- \* True Negatives (TN): Correctly predicted negative observations.
- \* False Positives (FP): Incorrectly predicted positive observations (Type I error).
- False Negatives (FN): Incorrectly predicted negative observations (Type II error).

#### 3. Precision, Recall, and F1 Score

 Precision (Positive Predictive Value) measures the proportion of correctly predicted positive observations to the total predicted positives. It is especially useful when the cost of a false positive is high.

Precision = 
$$\frac{TP}{TP+FP}$$

Recall (Sensitivity or True Positive Rate) measures the proportion of correctly predicted positive
observations to all observations in the actual class. It is particularly important when the cost of a
false negative is high.

$$Recall = \frac{TP}{TP + FN}$$

• F1 Score is the weighted average of Precision and Recall. It takes both false positives and false negatives into account. It is useful when you're working with imbalanced classes.

$$F1~ ext{Score} = 2 imes rac{ ext{Precision} imes ext{Recall}}{ ext{Precision} + ext{Recall}}$$

#### 4. Area Under the ROC Curve (AUC-ROC)

The ROC curve is a graphical representation of the contrast between true positive rates and false positive rates at various thresholds. It's useful for evaluating the performance across different classification thresholds. The area under the ROC curve (AUC-ROC) is a measure of the model's ability to distinguish between the classes. An AUC of 1 indicates a perfect model, while an AUC of 0.5 suggests no discriminative power.

#### 5. Log Loss (Cross-Entropy Loss)

Log Loss measures the performance of a classification model where the prediction input is a probability value between 0 and 1. It penalizes false classifications by considering the uncertainty of the prediction based on the true label. Lower log loss values indicate better models.

$$\text{Log Loss} = -\frac{1}{N} \sum_{i=1}^{N} [y_i \log(\hat{y}_i) + (1 - y_i) \log(1 - \hat{y}_i)]$$

Where:

- ullet N is the number of samples.
- $y_i$  is the actual class label (0 or 1).
- \*  $\hat{y}_i$  is the predicted probability of the class label being 1.

### QUESTION: Explain what is a Neuron? Explain the working of a Neuron. What are the components of a Neuron?

#### Components of a Neuron

An artificial neuron typically consists of the following components:

- Inputs (x1, x2, ..., xn): These represent the features or signals received by the neuron. In a biological neuron, these inputs can be thought of as signals received from the dendrites.
- Weights (w1, w2, ..., wn): Each input is associated with a weight that represents its relative
  importance. In biological terms, this can be loosely compared to the strength of the synaptic
  connection.
- 3. Bias (b): The bias allows the activation function to be shifted to the left or right, which helps in fine-tuning the output of the neuron. It's akin to setting a threshold for the neuron to be activated.
- 4. Summation Function (∑): This function sums up all the weighted inputs and the bias. This is equivalent to the integration of signals in a biological neuron's cell body.
- 5. Activation Function (f): The activation function processes the sum of the weighted inputs and bias. It determines the output of the neuron. The activation function introduces non-linearity to the model, enabling it to learn complex patterns. Common activation functions include the sigmoid, tanh, ReLU (Rectified Linear Unit), and softmax functions.

#### Working of a Neuron

The process through which a neuron operates can be summarized in the following steps:

- Receive Inputs: The neuron receives inputs from the preceding layer or external sources if it's the input layer.
- 2. Weighted Sum: Each input  $x_i$  is multiplied by its corresponding weight  $w_i$ , and all these weighted inputs are summed together, along with the bias term b. The formula for this is:  $\sum_{i=1}^{n} w_i x_i + b.$
- 3. Activation: The result of the weighted sum is then passed through an activation function, which determines the output of the neuron. The activation function is chosen based on the requirements of the neural network and the specific task it is designed to perform. The output is given by:  $f(\sum_{i=1}^n w_i x_i + b)$ .
- 4. Output to the Next Layer: The output of the activation function is then sent to the neurons in the next layer, or it becomes the final output of the network if it's in the output layer.

# QUESTION: What is the difference between an output from - Logistic regression and Neural Network (with one hidden layer) with only one node and sigmoid activation function?

- NO DIFFERENCE (AS WORKING OF SINGLE NEURON WITH SIGMOID FUNCTION IS SAME AS THAT OF LOGISTIC REGRESSION)

### QUESTION: What is a feed forward network? What is Multilayer Perceptron? What are the components of Feed-Forward neural Network?

A Feed-Forward Neural Network (FFNN) is one of the simplest types of artificial neural networks. In a feed-forward network, information moves in only one direction—from input nodes, through hidden layers (if any), and finally to the output nodes. There are no cycles or loops in the network; that is, the output of any layer does not affect that same layer. FFNNs are widely used for a variety of tasks, including classification, regression, and more.

#### Multi-layer Perceptron (MLP)

A Multi-layer Perceptron (MLP) is a class of feed-forward artificial neural network that consists of at least three layers of nodes: an input layer, one or more hidden layers, and an output layer. Each node, except for the input nodes, is a neuron that uses a nonlinear activation function. MLP utilizes a supervised learning technique called backpropagation for training the network. MLPs can model complex relationships between inputs and outputs, and find patterns in data; thus, they are widely used for many machine learning tasks.

#### Components of a Feed-Forward Neural Network

- Input Layer: The input layer receives the initial data for the neural network. Each node in this layer represents one feature of the input vector.
- 2. Hidden Layers: One or more hidden layers can exist in an FFNN. These layers are not exposed to the input or output directly but instead connect the input and output layers. Each hidden layer consists of neurons that apply transformations to the inputs received from the previous layer. The purpose of the hidden layers is to process the inputs in increasingly complex ways, extracting features and patterns that are not immediately apparent.
- 3. Output Layer: The output layer provides the final output of the neural network. The nature of the output layer—such as the number of neurons and the type of activation function—depends on the specific task (e.g., classification, regression).
- 4. Weights and Biases: Each connection between neurons has an associated weight, and each neuron (except those in the input layer) has an associated bias. These weights and biases are the parameters of the network that are adjusted during the training process to minimize the error of the network's predictions.
- Activation Functions: Activation functions are applied to the weighted sum of inputs and the bias
  term for each neuron. They introduce non-linear properties to the network, allowing it to learn
  complex patterns. Common activation functions include sigmoid, tanh, ReLU (Rectified Linear
  Unit), and softmax.
- Loss Function: This measures the difference between the network's predictions and the actual
  target values. Common loss functions include mean squared error for regression tasks and
  cross-entropy loss for classification tasks.
- Optimizer: An algorithm used to adjust the weights and biases of the network to minimize the loss function. Common optimization algorithms include Stochastic Gradient Descent (SGD), Adam, and RMSprop.

## QUESTION: How do you calculate the total number of weights / trainable parameters in any Neural network?

#### Formula for Fully Connected Layers

The total number of trainable parameters in a fully connected layer is determined by the number of weights and biases between layers. For each layer, the calculation is as follows:

- Weights: For a given layer i, the number of weights is equal to the number of neurons in layer i times the number of neurons in layer i-1. If layer i has  $N_i$  neurons and layer i-1 has  $N_{i-1}$  neurons, then the number of weights between these two layers is  $N_i \times N_{i-1}$ .
- Biases: Each neuron in layer i has its own bias term. Thus, if layer i has  $N_i$  neurons, there are  $N_i$  biases.

So, for layer i, the total number of trainable parameters (weights and biases) is:

Total parameters in layer 
$$i = (N_{i-1} \times N_i) + N_i$$

#### Total Trainable Parameters in the Network

To find the total number of trainable parameters in the entire network, sum the total parameters for each layer:

Total trainable parameters = 
$$\sum_{i=1}^{L} ((N_{i-1} \times N_i) + N_i)$$

where L is the total number of layers in the network, and  $N_i$  is the number of neurons in layer i. Note that  $N_0$  would be the size of the input layer.

#### Example

Consider a simple neural network with an input layer of 3 neurons (features), one hidden layer of 5 neurons, and an output layer of 2 neurons. Here's how you would calculate the total number of trainable parameters:

- From Input to Hidden Layer:  $(3 \times 5) + 5 = 20$ 
  - 15 weights (3 weights connecting to each of the 5 neurons)
  - 5 biases (1 bias per neuron in the hidden layer)
- ullet From Hidden to Output Layer: (5 imes2)+2=12
  - 10 weights (5 weights connecting to each of the 2 neurons)
  - · 2 biases (1 bias per neuron in the output layer)

So, the total number of trainable parameters in this network would be 20+12=32.

## QUESTION: What does optimization mean in Neural networks? What are the different types of optimizers available?

Optimization in the context of neural networks refers to the process of adjusting the model parameters (typically weights and biases) to minimize (or maximize) a specific objective function (or loss function). The objective function measures the difference between the predicted output of the neural network and the actual target values for the training data. The goal of optimization is to find the best possible set of parameters that minimizes the loss, leading to the most accurate predictions possible from the neural network.

#### Different Types of Optimizers

Optimizers are algorithms or methods used to change the attributes of the neural network such as weights and learning rate in order to reduce the losses. Optimizers guide the training process in the direction of the minimum of the loss function. Here are some of the commonly used optimizers in training neural networks:

- Gradient Descent: This is the most basic form of optimization algorithm. It updates the model
  parameters in the opposite direction of the gradient of the objective function with respect to the
  parameters. The learning rate determines the size of the steps taken towards the minimum.
- 2. Stochastic Gradient Descent (SGD): Unlike gradient descent, which uses the whole dataset to calculate the gradient of the loss function, SGD updates the parameters for each training example. SGD with a mini-batch size of 1 is purely stochastic, while using larger mini-batches approximates gradient descent more closely. Variants of SGD can include momentum, which helps accelerate SGD in the relevant direction and dampens oscillations.
- Momentum: This method helps to accelerate SGD in the relevant direction and dampens
  oscillations. It does this by adding a fraction of the update vector of the past step to the current
  update vector.
- 4. Adagrad: This optimizer adapts the learning rate to the parameters, performing larger updates for infrequent and smaller updates for frequent parameters. It's particularly well-suited for dealing with sparse data.
- 5. RMSprop: RMSprop (Root Mean Square Propagation) also adjusts the learning rate adaptively for each parameter but does so by dividing the gradient by a running average of its recent magnitude. It's designed to solve some of Adagrad's downsides in contexts of very large datasets or streaming data.
- 6. Adam (Adaptive Moment Estimation): Adam combines the ideas of Momentum and RMSprop, calculating an exponential moving average of the gradient and the squared gradient. It maintains two vectors, estimates of the first moments (the mean) and the second moments (the uncentered variance) of the gradients, to adapt the learning rates for each parameter.

## QUESTION: What is Gradient descent? How does Gradient descent work? What are different types of Gradient descent?

Gradient Descent is a fundamental optimization algorithm used in machine learning and deep learning for minimizing the loss function. It's used to update parameters of the model (weights and biases) to reduce the cost (error between predicted and actual values) iteratively.

#### **How Gradient Descent Works**

Gradient Descent works by calculating the gradient (the partial derivatives) of the loss function with respect to each parameter in the model. The gradient points in the direction of the steepest increase of the function. Gradient Descent moves in the opposite direction—to decrease the loss function as quickly as possible. By updating the parameters iteratively in the direction opposite to the gradient, the algorithm minimizes the loss function.

The update rule for a parameter  $\theta$  is given by:

$$\theta := \theta - \alpha \cdot \nabla_{\theta} J(\theta)$$

where:

- θ is a parameter,
- $\alpha$  is the learning rate, a scalar that determines the size of the step taken in the direction opposite to the gradient,
- $\nabla_{\theta}J(\theta)$  is the gradient of the loss function J with respect to  $\theta$ .

#### Types of Gradient Descent

#### 1. Batch Gradient Descent:

- Process: It computes the gradient of the cost function with respect to the parameters for the entire training dataset.
- · Pros: Stable convergence, consistent error gradients.
- Cons: Computationally expensive and slow for large datasets, because it needs to process the
  entire dataset before making a single update.

#### 2. Stochastic Gradient Descent (SGD):

- Process: It performs a parameter update for each training example and label. It computes the
  gradient and updates the parameters for each instance of the training data.
- Pros: Faster iterations than batch gradient descent, can navigate out of local minima due to the noisy gradient updates.
- Cons: The frequent updates are more computationally expensive per epoch and can lead to a high variance in the update path, with more oscillations during convergence.

#### 3. Mini-batch Gradient Descent:

- Process: It's a compromise between batch gradient descent and SGD. It computes the gradient and updates the parameters for every mini-batch of training examples.
- Pros: Balances the advantages of batch gradient descent and SGD. It can be more efficient than SGD for large datasets while reducing the noise in the parameter updates.
- Cons: The mini-batch size is an additional hyperparameter to tune, and the path to convergence can still involve oscillations.

# QUESTION: What is vanishing / diminishing gradient problem? How do you solve the vanishing gradient problem in your neural network?

The vanishing (or diminishing) gradient problem is a challenge encountered during the training of deep neural networks, particularly with gradient-based optimization methods like backpropagation. This problem occurs when the gradients of the network's parameters become very small, effectively approaching zero for layers closer to the input. As a result, these layers learn very slowly or not at all, because the tiny gradients do not provide enough information for significant parameter updates. The vanishing gradient problem is especially prominent in networks using activation functions like the sigmoid or tanh, where the gradients can be very small.

#### Causes

The vanishing gradient problem is primarily caused by:

- Activation Functions: Traditional activation functions like sigmoid or tanh, which saturate at
  either tail (for sigmoid, near 0 and 1; for tanh, near -1 and 1), have derivatives close to zero in these
  regions. When multiple layers use these activation functions, the gradients can diminish
  exponentially as they propagate back through the network.
- Deep Network Architecture: The deeper the network, the more pronounced the vanishing gradient problem can be, as the effects of multiplication of small numbers (gradients) accumulate, leading to even smaller gradients.

#### Solutions

Several strategies can be employed to mitigate or solve the vanishing gradient problem:

- Use ReLU Activation Function: The Rectified Linear Unit (ReLU) activation function has been shown to help mitigate the vanishing gradient problem because it does not saturate in the positive domain, and its gradient is either 0 (for negative inputs) or 1 (for positive inputs). Variants of ReLU, such as Leaky ReLU or Parametric ReLU, can also be used to allow for small gradients when the input is negative.
- 2. Weight Initialization Techniques: Proper initialization of weights can help in preventing the gradients from vanishing too quickly. Techniques such as He initialization or Glorot/Xavier initialization set the initial weights in a way that considers the size of the previous layer, aiming to keep the variance of activations throughout the network.
- Use Batch Normalization: Batch normalization normalizes the output of each layer's activations.
   This can help maintain a healthy gradient flow through the network, partly mitigating the vanishing gradient problem.
- 4. Use Residual Connections: Introduced in ResNet architectures, residual connections allow gradients to flow directly through the network via shortcuts, effectively addressing both vanishing and exploding gradients by providing an alternate pathway for the gradient.
- Use Shorter Networks: Although not always desirable or possible, using fewer layers can
  mitigate the vanishing gradient problem simply because there are fewer steps for the gradient to
  propagate through, and thus less compounding of small derivatives.
- Careful Architecture Design: Designing the network architecture carefully to avoid deep paths without shortcut connections or normalization can help maintain gradient flow.

## QUESTION: What is exploding gradient problem? How do you solve the exploding gradient problem in your neural network?

The exploding gradient problem is essentially the opposite of the vanishing gradient problem. It occurs during the training of deep neural networks, when the gradients of the network's parameters become excessively large. This can lead to unstable training processes, where weights receive updates that are too large, causing the model to diverge and leading to NaN (Not a Number) values or infinite values in the loss function.

#### Causes

The exploding gradient problem is typically caused by:

- Deep Network Architecture: Similar to the vanishing gradient problem, having many layers can
  exacerbate the exploding gradient problem, as the effects of multiplication of large gradients
  accumulate through backpropagation.
- Improper Weight Initialization: Starting with weights that are too large can amplify gradients as
  they backpropagate through the network.
- Activation Functions: Certain activation functions can also contribute to the problem by producing large output values.

#### Solutions

To mitigate or solve the exploding gradient problem, several strategies can be employed:

- Gradient Clipping: This involves clipping the gradients during backpropagation to ensure they
  do not exceed a certain threshold. It is a straightforward and effective technique to prevent the
  gradients from growing too large.
- Proper Weight Initialization: Choosing appropriate weight initialization methods can help
  prevent the initial gradients from being too large. Techniques such as He initialization or
  Glorot/Xavier initialization are designed to maintain a healthy scale of gradients and activations
  throughout the network.
- Use of Batch Normalization: Batch normalization, by normalizing the inputs to layers within the network, can help maintain stable gradients and reduce the risk of gradient explosion.
- 4. Change Activation Functions: Using activation functions that are less prone to producing large output values, such as tanh or ReLU (and its variants like Leaky ReLU), can help control the scale of the gradients.
- Use Weight Regularization: Regularization techniques, such as L1 or L2 regularization, add a
  penalty on the size of the weights to the loss function. This can indirectly help prevent the
  weights (and thus the gradients) from growing too large.
- Careful Architectural Choices: Employing architectures designed to mitigate gradient problems, such as those with shortcut connections (e.g., ResNet), can help by providing alternative paths for gradient flow.
- 7. Softmax Activation for Outputs: For classification problems, using a softmax activation function in the output layer can help ensure that the output values (and thus the gradients during backpropagation) are within a reasonable range.

# QUESTION: What is the difference between Full batch gradient descent, stochastic gradient descent and minibatch gradient descent?

#### **Full Batch Gradient Descent**

- Data Used: Utilizes the entire training dataset to compute the gradient of the loss function for each iteration.
- Convergence: Offers a smooth convergence to the minimum since the gradient computed is accurate for the whole dataset.
- Computational Cost: Can be very high, especially with large datasets, because it requires
  processing the entire dataset to make a single update to the model parameters.
- Memory Requirements: May require significant memory to hold the entire dataset during training.
- Use Case: Suitable for smaller datasets where computational efficiency and memory are not limiting factors.

#### Stochastic Gradient Descent (SGD)

- Data Used: Updates the model parameters for each training example one at a time. It computes
  the gradient of the loss function after each individual training example.
- Convergence: Can be noisy due to the high variance in the update directions, which might cause
  the cost function to fluctuate heavily rather than smoothly decreasing.
- Computational Cost: Lower per iteration compared to full batch gradient descent since it requires processing only one data point at a time.
- Memory Requirements: Minimal, as it operates on a single training example at a time.
- Use Case: Suitable for very large datasets where full batch processing is computationally impractical. It can also help the model escape local minima due to the noise in the updates.

#### Mini-Batch Gradient Descent

- Data Used: Splits the training dataset into small batches and updates model parameters for each batch. It strikes a balance between the full batch and stochastic approaches, typically using batches of 32, 64, 128 examples, etc.
- Convergence: Offers a compromise between the smoothness of full batch and the noise of SGD, leading to a relatively stable convergence with fewer fluctuations than pure SGD.
- Computational Cost: More efficient than full batch gradient descent for large datasets, as it
  doesn't require the entire dataset to compute the gradient, and can be more stable and faster to
  converge than SGD.
- Memory Requirements: Moderate, depending on the size of the mini-batches.
- Use Case: Widely used in practice due to its balance between efficiency, memory usage, and convergence speed. Suitable for a wide range of dataset sizes, including very large datasets.

#### Summary

- Full Batch Gradient Descent is precise but computationally intensive and slow with large datasets.
- Stochastic Gradient Descent is fast and can navigate out of local minima but may lead to a noisy convergence.
- Mini-Batch Gradient Descent combines the advantages of both, offering efficient and more stable convergence, making it the preferred choice for training neural networks on most practical problems.

## QUESTION: What is Loss function / Cost function in neural networks? What are the different types of loss functions? How are the loss functions calculated?

A Loss Function, also known as a Cost Function, in neural networks, is a critical component that measures the difference between the model's predicted output and the actual target values. The primary purpose of the loss function is to guide the optimization process by providing a metric to evaluate how well the model is performing. The optimizer aims to minimize this loss function value during training, thereby adjusting the model's parameters (weights and biases) to make the predictions as accurate as possible.

#### For Regression:

#### 1. Mean Squared Error (MSE):

 It calculates the average of the squares of the differences between the predicted and actual values. It's sensitive to outliers since it squares the errors.

$$MSE = \frac{1}{N} \sum_{i=1}^{N} (y_i - \hat{y}_i)^2$$

where  $y_i$  is the actual value,  $\hat{y}_i$  is the predicted value, and N is the number of samples.

#### 2. Mean Absolute Error (MAE):

It calculates the average of the absolute differences between the predicted and actual values.
 It's less sensitive to outliers compared to MSE.

$$MAE = \frac{1}{N} \sum_{i=1}^{N} |y_i - \hat{y}_i|$$

For Classification:

#### 1. Binary Cross-Entropy Loss:

 Used for binary classification problems. It measures the distance between two probability distributions - the actual label and the predicted label.

$$BCE = -\frac{1}{N} \sum_{i=1}^{N} [y_i \log(\hat{y}_i) + (1 - y_i) \log(1 - \hat{y}_i)]$$

#### 2. Categorical Cross-Entropy Loss:

 Used for multi-class classification problems. It is a generalization of the binary cross-entropy for multiple classes.

$$CCE = -\sum_{i=1}^{N} \sum_{c=1}^{M} y_{ic} \log(\hat{y}_{ic})$$

where M is the number of classes,  $y_{ic}$  is a binary indicator of whether class c is the correct classification for observation i, and  $\hat{y}_{ic}$  is the predicted probability observation i is of class c.

# QUESTION: What is Momentum? What are the advantages and disadvantages / limitations of using momentum as an optimizer?

Momentum is an optimization technique used in conjunction with gradient descent algorithms to accelerate the convergence of the training process of neural networks or other machine learning models. It is inspired by the physical concept of momentum in mechanics, where it helps an object to overcome obstacles and resist changes in direction.

#### **How Momentum Works**

In the context of neural network training, momentum modifies the update rule for the model's parameters by incorporating a fraction of the previous update step. This means that instead of using only the current gradient to update the model parameters, momentum also adds a portion of the previous update. This can be mathematically represented as:

$$v_t = \gamma v_{t-1} + \eta \nabla_{\theta} J(\theta)$$
  
 $\theta = \theta - v_t$ 

#### where:

- ullet  $v_t$  is the current velocity (the term momentum is derived from this concept of velocity),
- $\gamma$  is the momentum coefficient (typically set between 0.9 and 0.99),
- η is the learning rate,
- $\nabla_{\theta}J(\theta)$  is the gradient of the cost function with respect to the parameters  $\theta$  at time t,
- heta represents the parameters of the model.

#### Advantages of Using Momentum

- Speeds Up Convergence: By accumulating a velocity vector in directions of persistent reduction in the loss function, momentum can lead to faster convergence compared to standard gradient descent.
- Reduces Oscillation: Momentum helps to dampen oscillations in the directions of high curvature by averaging out the updates, leading to a smoother convergence.
- Helps to Navigate Shallow Regions: In regions where the gradient is small (flat regions), momentum helps the optimizer to keep moving and not get stuck.
- Aids in Escaping Local Minima: The additional velocity can help the optimizer to escape shallow local minima.

#### Disadvantages/Limitations of Using Momentum

- 1. **Hyperparameter Tuning:** The momentum coefficient  $(\gamma)$  is an additional hyperparameter that needs to be selected carefully. If not set appropriately, it could lead to suboptimal convergence.
- Potential to Overshoot: Especially in the context of deep learning, if the momentum term is too high, there is a risk of overshooting the minimum due to excessive velocity.
- Not Adaptive: Unlike some other optimizers like Adam or RMSprop, momentum does not adjust
  the learning rate for each parameter individually, which could lead to inefficiencies in training
  some models.
- Noise Sensitivity: In highly noisy problems, the accumulation of gradients can amplify the noise, potentially leading to unstable updates if not managed properly.

### QUESTION: What is overshooting problem?

The overshooting problem, often encountered in the context of training neural networks or in optimization problems, refers to a situation where the update step during the optimization process is too large. This can cause the algorithm to miss the minimum (or optimum) of the loss function and potentially even diverge, leading to worse performance.

#### Causes

Overshooting is typically caused by:

- High Learning Rate: A learning rate that is too high can lead to large steps during the
  optimization process. If these steps are larger than is appropriate given the landscape of the loss
  function, the algorithm may jump over minima, failing to converge properly.
- Poorly Scaled Data: Data that isn't properly normalized or standardized can have varying scales
  across different dimensions, which can cause the optimizer to take steps that are too large in
  certain directions.
- Inappropriate Momentum: When using optimization algorithms that incorporate momentum, a
  high momentum value can accumulate velocity too aggressively, causing the updates to
  overshoot the target.

#### Consequences

- Divergence: In severe cases, continual overshooting can lead the training process to diverge, where the loss becomes increasingly larger instead of converging to a minimum.
- Slow Convergence: Even if divergence does not occur, overshooting can cause the algorithm to
  oscillate around the minimum, significantly slowing down the convergence process.
- Suboptimal Solutions: Overshooting might cause the algorithm to settle in a suboptimal
  minimum or fail to find the global minimum, leading to a model that does not perform as well as it
  could.

#### Solutions

To address the overshooting problem, several strategies can be employed:

- Adjust the Learning Rate: Lowering the learning rate is a direct way to reduce the step size of
  each update, helping to prevent overshooting.
- Learning Rate Scheduling: Implementing learning rate schedules that decrease the learning rate
  over time can help to start with faster progress and then fine-tune the approach to the minimum.
- Adaptive Learning Rate Optimizers: Using optimizers like Adam, RMSprop, or Adagrad that
  adapt the learning rate for each parameter based on historical gradients can help mitigate
  overshooting by automatically adjusting the step size.
- Gradient Clipping: This technique involves limiting (clipping) the size of the gradients to a
  defined range to prevent the steps from becoming too large.
- Proper Initialization and Normalization: Ensuring that the data and the model weights are
  properly initialized and normalized can help maintain stable gradients and updates.

# QUESTION: What is AdaGrad? Explain the working. How is adagrad useful? Are there any limitations or challenges in using adagrad?

AdaGrad (short for Adaptive Gradient Algorithm) is an optimization algorithm designed to adjust the learning rate dynamically for each parameter. It aims to give parameters with frequently occurring features low learning rates and parameters with infrequent features higher learning rates. This feature makes AdaGrad particularly suitable for dealing with sparse data.

#### How AdaGrad Works

AdaGrad modifies the general learning rate at each time step t for every parameter  $\theta_i$  based on the past gradients that have been computed for that parameter. Specifically, it accumulates the square of the gradients for each parameter in a gradient accumulation term  $G_t$  (a diagonal matrix where each diagonal element i,i is the sum of the squares of the gradients for parameter i up to step t).

The parameter update rule for AdaGrad is as follows:

$$heta_{t+1,i} = heta_{t,i} - rac{\eta}{\sqrt{G_{t,ii} + \epsilon}} \cdot g_{t,i}$$

where:

- \*  $g_{t,i}$  is the gradient of the objective function w.r.t. to the parameter  $heta_i$  at time step t,
- $G_{t,ii}$  is the sum of the squares of the past gradients w.r.t.  $heta_i$  up to time step t,
- $\eta$  is the initial learning rate,
- $\epsilon$  is a small smoothing term to avoid division by zero (commonly set to 1e-8).

#### Advantages of AdaGrad

- Adaptive Learning Rates: By adjusting the learning rate according to the history of gradients,
  AdaGrad can efficiently handle data with varying scales and sparsity.
- Less Hyperparameter Tuning: The adaptive learning rate reduces the need for manual tuning of the learning rate.
- Good for Sparse Data: AdaGrad increases the learning rate for infrequent parameters, making it suitable for tasks with sparse features, like natural language processing and image recognition.

#### Limitations and Challenges of Using AdaGrad

- Diminishing Learning Rates: The accumulation of squared gradients in the denominator means
  that the effective learning rate decreases monotonically. In long-running tasks, the learning rate
  can become excessively small, causing the training to prematurely converge or stall.
- Not Suitable for All Problems: The aggressive diminishing of learning rates can make AdaGrad
  less suitable for training on non-sparse, dense data problems or tasks requiring fine-tuning of
  models after the initial stages of training.
- Requires Memory for Each Parameter: Storing the sum of the squares of gradients for each
  parameter can increase the memory requirements for models with a large number of parameters.

# QUESTION: What is RMSProp? Explain the working. How is RMSProp useful? Are there any limitations or challenges in using RMSProp?

RMSProp (Root Mean Square Propagation) is an adaptive learning rate optimization algorithm designed to address some of the shortcomings of AdaGrad, particularly its aggressively diminishing learning rates. Introduced by Geoff Hinton in his Coursera class, RMSProp modifies the AdaGrad approach to accumulate only the gradients from the most recent iterations. It does this by using a moving average of the squared gradients, making it better suited for training on non-stationary problems and deep learning networks.

#### How RMSProp Works

RMSProp updates parameters in a way that scales the learning rate differently for each parameter, using a moving average of the squared gradients. Here's how it works:

- Compute the Gradient: Like other gradient descent methods, compute the gradient of the loss function with respect to each parameter.
- 2. Square the Gradient: Square each component of the gradient vector.
- 3. **Moving Average:** Update the moving average of the squared gradients for each parameter. This is done with a decay factor  $\gamma$  (commonly set to 0.9 or a similar value) to give more importance to recent gradients:

$$E[g^2]_t = \gamma E[g^2]_{t-1} + (1-\gamma)g_t^2$$

4. Update Parameters: Adjust the parameters using the scaled learning rate, which is inversely proportional to the square root of the moving average of the squared gradients:

$$heta_{t+1} = heta_t - rac{\eta}{\sqrt{E[g^2]_t + \epsilon}} g_t$$

where  $\eta$  is the learning rate and  $\epsilon$  is a small number to prevent division by zero.

#### Advantages of RMSProp

- Adapts Learning Rate: Provides an adaptive learning rate for each parameter, making it effective
  for a wide range of problems.
- Overcomes Diminishing Learning Rates: Prevents the aggressive diminishing learning rates
  problem seen in AdaGrad, allowing it to perform well in both stationary and non-stationary
  settings.
- Efficient in Non-Convex Optimization: Demonstrates efficiency in training deep neural networks, which often involve non-convex optimization problems.

#### Limitations and Challenges of Using RMSProp

- Hyperparameter Tuning: Although less sensitive to the initial learning rate, the performance of RMSProp can still depend on the choice of the decay rate ( $\gamma$ ) and the initial learning rate ( $\eta$ ).
- Lacks Theoretical Support: The RMSProp algorithm, despite its effectiveness, was developed heuristically. It lacks the strong theoretical justification that supports some other optimization algorithms.
- Not Always Optimal: While RMSProp is suitable for many tasks, especially those involving deep learning and complex neural networks, there is no guarantee it will outperform all other optimizers in every situation. Its effectiveness can vary depending on the specific characteristics of the problem.

### QUESTION: How is RMSProp different from AdaGrad?

RMSProp and AdaGrad are both optimization algorithms that adaptively adjust the learning rate for each parameter during the training of neural networks, but they differ significantly in how they approach this adaptation. The key difference lies in how they accumulate the squared gradients, which affects the adjustment of the learning rate over time.

#### AdaGrad

- Accumulates the Squared Gradients: AdaGrad adjusts the learning rate for each parameter by
  accumulating the squared gradients from the beginning of training. It maintains a running total of
  the squared gradients for each parameter and uses this accumulation to scale down the learning
  rate for each parameter over time.
- · Equation: The parameter update in AdaGrad is given by:

$$heta_{t+1,i} = heta_{t,i} - rac{\eta}{\sqrt{G_{t,ii} + \epsilon}} \cdot g_{t,i}$$

where  $G_{t,ii}$  is the sum of the squares of the past gradients w.r.t.  $\theta_i$  up to time step t, and  $\epsilon$  is a smoothing term to avoid division by zero.

Characteristics: AdaGrad is particularly effective for sparse data problems but can suffer from a
monotonically decreasing learning rate, potentially leading to too small updates in long training
runs.

#### **RMSProp**

- Uses a Moving Average of Squared Gradients: In contrast to AdaGrad's accumulation of all past squared gradients, RMSProp uses an exponential moving average of the squared gradients. This approach gives more weight to recent gradients, preventing the learning rate from diminishing too quickly.
- Equation: The parameter update in RMSProp is given by:

$$heta_{t+1} = heta_t - rac{\eta}{\sqrt{E[g^2]_t + \epsilon}} g_t$$

where  $E[g^2]_t$  is the moving average of the squared gradients.

 Characteristics: RMSProp addresses the diminishing learning rate problem seen in AdaGrad, making it more suitable for non-stationary problems and deep learning tasks. It is particularly useful for training on large datasets or complex neural networks.

#### **Key Differences**

- Gradient Accumulation: AdaGrad accumulates all past squared gradients, which can lead to an
  ever-decreasing learning rate. RMSProp uses a moving average, which prevents the learning rate
  from decreasing too quickly.
- Suitability for Long Training Periods: AdaGrad's effectiveness may decrease over long training periods due to the diminishing learning rate, while RMSProp remains effective because it prevents the learning rate from decreasing too rapidly.
- Optimization Problems: RMSProp is generally preferred for deep learning and complex optimization problems, especially where the data or the objective function is non-stationary. AdaGrad is still used for problems with sparse data.

In summary, while both algorithms aim to adapt the learning rate for each parameter intelligently, RMSProp offers an improvement over AdaGrad's strategy by ensuring that the learning rate does not diminish too quickly, making it more robust for a wider range of problems, especially in deep learning contexts.

#### QUESTION: What is ADAM? How does it work?

Adam (Adaptive Moment Estimation) is an optimization algorithm that combines ideas from both Momentum and RMSProp to adjust the learning rate for each parameter. It's widely used for training deep neural networks due to its efficiency and effectiveness across a wide variety of models and problem types.

#### **How Adam Works**

Adam maintains two moving averages for each parameter: one for the gradients (like Momentum) and one for the square of the gradients (like RMSProp). These moving averages are used to compute adaptive learning rates for each parameter. Here's a breakdown of how Adam operates:

- Compute Gradients: For each parameter, compute the gradient of the loss function with respect
  to the parameter.
- 2. Update Moving Averages:
  - Update the moving average of the gradients,  $m_t$ , which is similar to the momentum term in Momentum optimization:

$$m_t = \beta_1 m_{t-1} + (1 - \beta_1) g_t$$

- Update the moving average of the squared gradients,  $v_t$ , akin to the scaling term in RMSProp:  $v_t = \beta_2 v_{t-1} + (1-\beta_2)g_t^2$ 
  - where  $g_t$  is the gradient at time step t, and  $\beta_1$  and  $\beta_2$  are hyperparameters that control the exponential decay rates of these moving averages.
- 3. Bias Correction: Apply bias correction to both moving averages to counteract their initialization at the origin, which makes them biased towards zero, especially during the initial time steps:
  - Corrected moving average of the gradients:  $\hat{m}_t = rac{m_t}{1-eta_1^t}$
  - Corrected moving average of the squared gradients:  $\hat{v}_t = rac{v_t}{1-eta_2^t}$
- 4. **Update Parameters:** Use the corrected moving averages to update the parameters, similarly to RMSProp but with the bias-corrected first moment estimation acting as a momentum term:  $\theta_{t+1} = \theta_t \frac{\eta}{\sqrt{\hat{v}_t} + \epsilon} \hat{m}_t$

where  $\eta$  is the learning rate, and  $\epsilon$  is a small smoothing term to avoid division by zero.

#### **Advantages of Adam**

- Adaptive Learning Rates: Adam adjusts the learning rate for each parameter based on the magnitudes of its gradients, which makes it effective for a wide range of problems without requiring much tuning of the learning rate.
- Efficiency: It's computationally efficient, has little memory requirement, and is invariant to diagonal rescale of the gradients.
- Suitable for Large Datasets/Models: Works well with large datasets and high-dimensional spaces.
- Robust to Noisy Gradient/Non-stationary Objectives: Performs well on problems with noisy or sparse gradients and on non-stationary objectives.

#### **Limitations of Adam**

- Hyperparameter Sensitivity: While generally robust, the performance of Adam can be sensitive to the choice of hyperparameters ( $\beta_1, \beta_2, \epsilon$ ).
- Potential for Non-Convergence: In some cases, especially with non-convex optimization, Adam might converge to suboptimal solutions, or its adaptive learning rate mechanism can lead to convergence issues.

### QUESTION: How do you decide which optimizer is going to be the best while building a neural network?

Deciding on the best optimizer for a neural network is largely dependent on the specific characteristics of the problem, the nature of the data, and the model architecture. There's no one-size-fits-all answer, but here are some guidelines and considerations that can help you choose an appropriate optimizer for your neural network:

#### 1. Understand the Problem and Data Characteristics

- **Sparse Data:** If your data is sparse, consider using optimizers like AdaGrad or Adam, as they perform well with sparse data by adapting the learning rate for each parameter.
- **Noisy Data/Non-stationary Objectives:** Optimizers with adaptive learning rates such as Adam, RMSProp, or AdaGrad can be more robust against noise and changing data distributions.

#### 2. Consider the Model Architecture

- **Deep Networks:** For very deep networks, optimizers like Adam, Nadam, or RMSProp are often preferred due to their adaptive learning rate properties, which can help in navigating through complex optimization landscapes.
- **Convolutional Neural Networks (CNNs):** Adam is widely used for training CNNs due to its effectiveness in handling the high dimensionality of image data.
- **Recurrent Neural Networks (RNNs):** SGD with momentum or RMSProp can be effective choices, as they can help mitigate the vanishing/exploding gradient problems often encountered with RNNs.

#### 3. Experimentation and Hyperparameter Tuning

- **Start with a Default:** Adam is a good starting point for many problems due to its robustness and adaptive learning rate mechanism. However, it's always beneficial to experiment with different optimizers.
- **Hyperparameter Sensitivity:** Be prepared to tune hyperparameters, including the learning rate and optimizer-specific parameters (e.g., decay rates for Adam or momentum for SGD). The optimal settings can vary significantly between different problems and datasets.
- **Cross-validation:** Use cross-validation or a separate validation dataset to compare the performance of different optimizers objectively. Monitoring loss and accuracy on a validation set can provide insights into which optimizer might be performing better for your specific problem.

#### 4. Computational Resources and Training Time

- **Efficiency:** Consider the computational efficiency of the optimizer, especially if you are constrained by hardware or time. Some optimizers like Adam might require more computational resources due to the additional parameters and calculations involved.
- **Parallelization and Batch Size:** Some optimizers may scale better with larger batch sizes or parallel processing environments. For instance, SGD can be efficiently parallelized across multiple GPUs.

#### 5. Research and Community Practice

- **Literature and Benchmarks:** Look for recent research papers or benchmarks on similar problems or architectures. Often, insights from the community can provide guidance on which optimizers tend to work well for specific types of neural networks or tasks.
- **Frameworks and Tools:** Modern deep learning frameworks often include implementations of various optimizers along with defaults that are considered best practices. Leverage these resources and the associated documentation.

In summary, choosing the best optimizer for a neural network involves understanding the problem and data, experimenting with different options, tuning hyperparameters, and staying informed about the latest research and community practices. Given the dynamic nature of deep learning research, it's also important to be open to trying new optimizers as they emerge.

## QUESTION: Which optimizers solve vanishing gradient and exploding gradient problem?

#### 1. RMSProp

RMSProp (Root Mean Square Propagation) addresses the vanishing and exploding gradient problems by adapting the learning rates based on the average of recent magnitudes of the gradients for each weight. This means that it scales down large gradients and scales up small gradients, making it less likely to have unstable updates or get stuck due to very small updates.

#### 2. Adam

Adam (Adaptive Moment Estimation) combines ideas from RMSProp and Momentum. It keeps an exponentially decaying average of past gradients and squared gradients, which helps in adapting the learning rate for each parameter. By doing this, Adam automatically adjusts the step size, making it larger for parameters with small gradients (mitigating vanishing gradients) and smaller for parameters with large gradients (mitigating exploding gradients).

# QUESTION: What are activation functions? Name different types of activation Functions. How do you decide which activation function is correct for the final activation function / final hidden layer / output layer / final fully connected layer?

Activation functions are mathematical equations that determine the output of a neural network model, layer, or node. They introduce non-linear properties to the network, enabling it to learn complex relationships between input and output data, which linear models are incapable of. The choice of activation function affects the efficiency and effectiveness of training, as well as the ability of the network to converge and generalize.

#### Types of Activation Functions

- 1. Linear Activation Function:
  - Function: f(x) = x
  - . Use Case: Suitable for regression problems or when you want to output values in a range.
- 2. Sigmoid (Logistic) Activation Function:
  - Function:  $f(x) = rac{1}{1+e^{-x}}$
  - Use Case: Historically used for binary classification in the output layer. Outputs values between 0 and 1.
- 3. Tanh (Hyperbolic Tangent) Activation Function:
  - Function:  $f(x) = anh(x) = rac{2}{1+e^{-2x}} 1$
  - Use Case: Similar to the sigmoid but outputs values between -1 and 1. It's used in hidden layers but less common now due to vanishing gradients.
- 4. ReLU (Rectified Linear Unit) Activation Function:
  - Function:  $f(x) = \max(0, x)$
  - Use Case: Very popular in hidden layers for deep networks because it allows models to converge quickly and reduces the likelihood of vanishing gradients.
- 5. Leaky ReLU:
  - Function: f(x)=x for x>0,  $f(x)=\alpha x$  for  $x\leq 0$  ( $\alpha$  is a small constant).
  - Use Case: Addresses the "dying ReLU" problem by allowing a small, non-zero gradient when the unit is not active.
- 6. Softmax Activation Function:
  - Function:  $f(x_i) = rac{e^{x_i}}{\sum_i e^{x_j}}$  for a vector x of raw class scores from the final layer of a network.
  - Use Case: Commonly used in the output layer of a network for multi-class classification problems. It outputs a probability distribution over multiple classes.

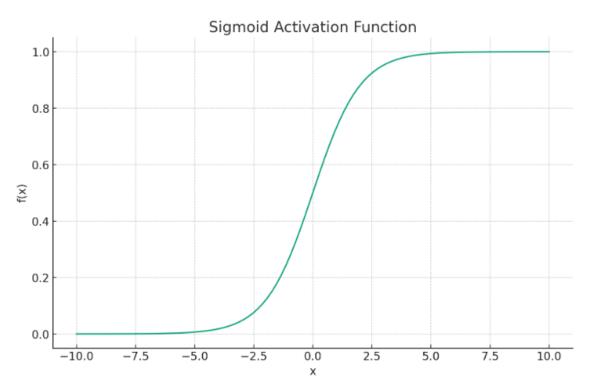
#### Deciding on the Activation Function for the Output Layer

- For Binary Classification: Sigmoid is typically used because it outputs probabilities, which can be interpreted as the likelihood of the input being in the positive class.
- For Multi-Class Classification: Softmax is used as it outputs a probability distribution across
  multiple classes.
- For Regression: A linear activation function (or no activation function) is used to allow the model
  to output continuous values.

#### Deciding on the Activation Function for Hidden Layers

- ReLU is often the default choice due to its simplicity and efficiency. However, it's not without
  drawbacks, such as the potential for dead neurons.
- Leaky ReLU or Parametric ReLU can be used to mitigate the dying ReLU problem.
- ELU (Exponential Linear Unit) or SELU (Scaled Exponential Linear Unit) are alternatives that can
  produce even better results in some cases, with built-in normalization properties.

QUESTION: What is the equation for Sigmoid activation function? Explain the working of sigmoid activation function. What are the advantages of sigmoid? What are the disadvantages or limitations of sigmoid activation function? What is the range of sigmoid function? Draw the plot of sigmoid.



The Sigmoid activation function is defined mathematically as:

$$f(x) = \frac{1}{1 + e^{-x}}$$

#### Working of Sigmoid Activation Function

- · Non-linear: It introduces non-linearity into the model, allowing it to learn complex patterns.
- Output Range: The sigmoid function outputs values in the range (0, 1). This makes it interpretable
  as a probability, which is particularly useful for binary classification tasks.
- Smooth Gradient: The function is differentiable at every point, which means we can find the slope (gradient) at any point on the curve, facilitating the gradient-based optimization methods.

#### Advantages of Sigmoid

- Interpretability as Probability: Since the output is in the range (0, 1), it's directly interpretable as a
  probability, making it suitable for binary classification problems.
- Smooth Gradient: Having a smooth gradient avoids sudden jumps in output values, which helps during the backpropagation process to calculate the gradients smoothly.

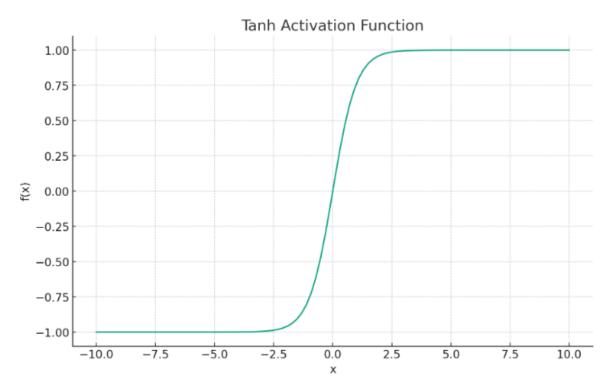
#### Disadvantages or Limitations of Sigmoid Activation Function

- Vanishing Gradient Problem: For inputs with large absolute value, the function saturates at 0 or 1, with a gradient near 0. This significantly slows down the learning process or stops it altogether because minimal or no changes are made to the weights during backpropagation.
- Not Zero-Centered: The output of the sigmoid function is not centered around zero, which can lead to the gradients of weights being all positive or all negative, potentially leading to undesirable zigzagging dynamics in the gradient updates.
- Computational Expense: The exponential function within the sigmoid can be computationally
  more expensive compared to other activation functions like ReLU.

#### Range of Sigmoid Function

The range of the sigmoid function is between 0 and 1 (exclusive), making it especially useful for models where the output needs to be interpreted as probabilities for binary classification tasks.

QUESTION: What is the equation for hyperbolic tangent activation function? Explain the working of tanh activation function. What are the advantages of tanh? What are the disadvantages or limitations of tanh activation function? What is the range of tanh function? Draw the plot of tanh.



The Hyperbolic Tangent (tanh) activation function is defined mathematically as:

$$f(x)= anh(x)=rac{2}{1+e^{-2x}}-1$$

#### Working of Tanh Activation Function

- · Non-linear: Tanh introduces non-linearity, allowing the neural network to learn complex patterns.
- Output Range: The tanh function outputs values in the range (-1,1), which is centered around zero. This can help with the convergence during training since the data and the gradients are centered around zero.
- Differentiable: The function is differentiable at every point, which allows for gradient-based optimization methods.

#### Advantages of Tanh

- Zero-Centered: Unlike the sigmoid function, the tanh function is zero-centered, which makes
  optimization easier and more efficient since the gradients are not biased towards positive or
  negative values.
- 2. **Range Flexibility:** The range of (-1,1) provides a stronger gradient than the sigmoid function since the outputs are spread out over a wider range, which can lead to faster convergence in some cases.

#### Disadvantages or Limitations of Tanh Activation Function

- Vanishing Gradient Problem: Similar to the sigmoid function, the tanh function also suffers from the vanishing gradient problem for inputs with large absolute values, as the function saturates to -1 or 1, leading to gradients approaching zero.
- Not Suitable for All Layers: Due to its characteristics, tanh may not be the best choice for layers
  where the output needs to be in a specific range (e.g., a probability output), or for very deep
  networks where vanishing gradients are a significant concern.
- Computational Expense: The computation of the tanh function can be slightly more expensive than some simpler activation functions like ReLU, due to the exponential operations involved.

#### Range of Tanh Function

The range of the tanh function is between -1 and 1 (exclusive), making it useful for hidden layers where having data centered around zero can lead to more efficient training.

QUESTION: What is the equation for softmax activation function? Explain the working of softmax activation function. What are the advantages of softmax? What are the disadvantages or limitations of softmax activation function? What is the range of softmax function? Draw the plot of softmax.

The Softmax activation function is defined mathematically for a vector  $\mathbf{x} = [x_1, x_2, ..., x_n]$  of raw class scores from the output layer of a network as:

$$ext{Softmax}(\mathbf{x})_i = rac{e^{x_i}}{\sum_{j=1}^n e^{x_j}}$$

for i = 1, ..., n, where n is the number of classes.

#### Working of Softmax Activation Function

- Probability Distribution: The softmax function converts a vector of values into a probability
  distribution, where each value is transformed into a probability, with all the probabilities summing
  up to 1. This transformation is based on the relative scale of each value in the input vector.
- Exponential Amplification: By applying the exponential function to each input, softmax amplifies
  differences between the input values. This means that larger input values will have a much larger
  impact on the output probabilities.
- Multi-Class Classification: Softmax is particularly useful for multi-class classification problems. It
  assigns decimal probabilities to each class in a multi-class problem, with the sum of these
  probabilities being 1.

#### **Advantages of Softmax**

- Interpretability as Probabilities: The output can be interpreted as class probabilities, making it straightforward to determine class membership in multi-class classification tasks.
- Differentiable: The softmax function is differentiable, which allows it to be used in optimization algorithms that rely on gradient descent.
- Handles Multiple Classes: Unlike binary activation functions like sigmoid, softmax is naturally suited for scenarios where the classes are mutually exclusive.

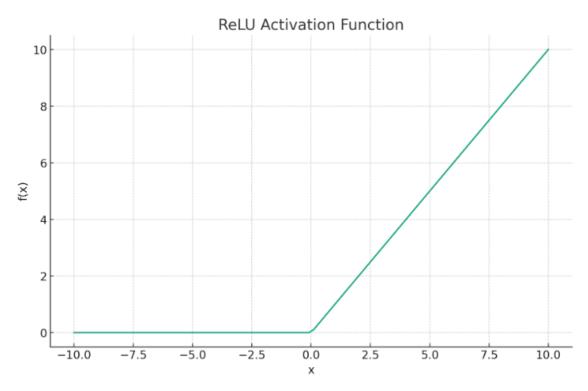
#### Disadvantages or Limitations of Softmax Activation Function

- Numerical Stability: Direct computation of softmax scores can lead to numerical instability due
  to the exponential function, potentially causing overflow/underflow issues. This is usually
  mitigated by subtracting the max input value from each input in the vector before applying the
  softmax.
- Applicability: Softmax is primarily used in the output layer. It's not suitable for hidden layers where non-probabilistic activation functions are more appropriate.
- Binary and Multi-Label Classification: For binary classification, sigmoid is often more straightforward. For multi-label classification (where each instance can belong to multiple classes), softmax may not be suitable because it assumes that each instance belongs to exactly one class.

#### Range of Softmax Function

The range of the softmax function for each output is (0,1), with the sum of all the output probabilities equal to 1. This makes it ideal for interpreting the outputs as probabilities in classification tasks.

QUESTION: What is the equation for Rectified Linear Unit activation function? Explain the working of ReLU activation function. What are the advantages of ReLU? What are the disadvantages or limitations of ReLU activation function? What is the range of ReLU function? Draw the plot of ReLU.



The Rectified Linear Unit (ReLU) activation function is defined mathematically as:

$$f(x) = \max(0, x)$$

#### Working of ReLU Activation Function

- Simplicity: ReLU is a piecewise linear function that outputs the input directly if it is positive, otherwise, it outputs zero. This simplicity leads to several benefits in neural network training.
- Non-linear: Despite its linear appearance in the positive range, ReLU introduces non-linearity, making it possible for the network to learn complex patterns.
- Efficiency: Computationally, ReLU is very efficient both in terms of operations needed for forward passes and backpropagation.

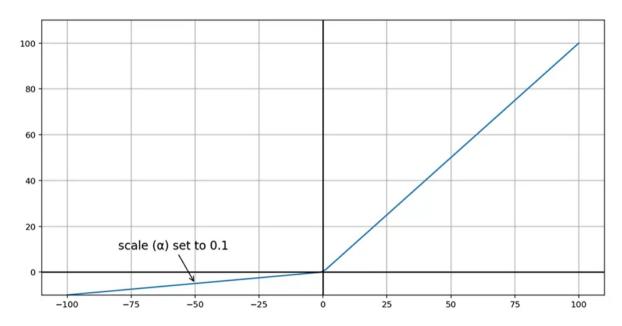
#### Advantages of ReLU

- Reduced Likelihood of Vanishing Gradient: For positive inputs, the gradient is constant (not dependent on the input value), which helps mitigate the vanishing gradient problem common in sigmoid or tanh functions.
- Sparse Activation: Since ReLU outputs zero for any negative input, it naturally leads to sparse
  activation. In large neural networks, this means that only a subset of neurons are activated,
  leading to efficient computation.
- Speed: ReLU is computationally efficient because it involves simple thresholding at zero, making
  it faster to compute than sigmoid and tanh.

#### Disadvantages or Limitations of ReLU Activation Function

- Dying ReLU Problem: If a neuron gets negative inputs, it outputs zero, which means during backpropagation, the gradient will also be zero. As a result, neurons that fall into this regime stop learning entirely, which is often referred to as the dying ReLU problem.
- Not Zero-Centered Output: ReLU's output is not zero-centered, which can sometimes lead to optimization issues during training.

QUESTION: What is the equation for Leaky ReLU activation function? Explain the working of Leaky ReLU activation function. What are the advantages of Leaky ReLU? What are the disadvantages or limitations of Leaky ReLU activation function? What is the range of Leaky ReLU function? Draw the plot of Leaky ReLU.



Working of Leaky ReLU Activation Function

- \*\*Positive Inputs:\*\* For positive inputs, Leaky ReLU behaves just like the traditional ReLU function, passing the input directly as the output.
- \*\*Negative Inputs:\*\* For negative inputs, instead of outputting zero, Leaky ReLU allows a small, non-zero, gradient (\(\alpha x\)). This leakage helps to keep the gradient flow alive during the backpropagation process, potentially mitigating the dying ReLU problem.

#### ### Advantages of Leaky ReLU

- 1. \*\*Mitigates the Dying ReLU Problem:\*\* By allowing a small gradient when the input is negative, Leaky ReLU ensures that neurons can still learn and adjust during the optimization process, potentially preventing some neurons from dying out.
- 2. \*\*Improved Learning:\*\* The small gradients for negative inputs can lead to more consistent learning and, in some cases, improve model performance, especially for problems where the dying ReLU problem is significant.
- 3. \*\*Easy to Implement and Compute:\*\* Like ReLU, Leaky ReLU is computationally efficient and straightforward to implement, requiring only a minor modification to the traditional ReLU function.

### Disadvantages or Limitations of Leaky ReLU

- 1. \*\*Parameter Tuning:\*\* The effectiveness of Leaky ReLU can depend on the choice of \(\alpha\), which might require tuning for different datasets and model architectures.
- 2. \*\*Inconsistency in Performance Gains:\*\* While Leaky ReLU can offer improvements over ReLU in certain models, the performance gains are not universally consistent across all types of neural networks or problems.

### QUESTION: Which activation functions are immune to vanishing gradient problem?

#### 1. ReLU (Rectified Linear Unit)

- Why it's immune: For positive inputs, the gradient of the ReLU function is constant (equal to 1).
   This constant gradient ensures that the gradient does not diminish as it is propagated back through the layers during training.
- Limitation: While ReLU helps mitigate the vanishing gradient problem, it can be susceptible to the "dying ReLU" problem, where neurons can become inactive and only output zero.

#### 2. Leaky ReLU and Variants (PReLU, RReLU)

- Why they're immune: These functions allow a small, non-zero gradient when the input is negative
   (αx, where α is a small positive number), which helps in keeping the gradient flow alive
   throughout the network.
- Advantage: By maintaining a non-zero gradient for negative inputs, they address both the vanishing gradient and dying ReLU problems to some extent.

### QUESTION: Which activation functions are immune to exploding gradient problem?

#### 1. Sigmoid and Tanh

- Property: Both sigmoid and tanh functions have outputs that are bounded, which means they can
  compress large input values into a smaller range. For sigmoid, the range is between 0 and 1, and
  for tanh, it's between -1 and 1.
- Mitigation: The bounded nature of these functions can, in some contexts, help prevent the
  propagation of large gradients through the network since the derivatives of these functions
  become very small for large input values.

#### 2. Softmax

- Property: Similar to sigmoid and tanh, the softmax function also produces outputs bounded in a specific range (0 to 1, with the output summing to 1 across classes). It's typically used in the output layer for classification tasks.
- Mitigation: Like sigmoid and tanh, the gradients of the softmax function with respect to its inputs
  are contained within a bounded range, which can help in reducing the risk of exploding gradients
  when used appropriately in the output layer.

### QUESTION: What are the different regularization methods in neural networks? Explain each of them.

#### **Different Regularization Methods**

- · Regularization penalty in Cost function
- Dropout
- · Early Stopping

### QUESTION: What are L1-L2 regularizers? Explain the working of L1-L2 regularizers?

#### 1. L1 Regularization (Lasso)

- How It Works: Adds a penalty equal to the absolute value of the magnitude of coefficients to the loss function.
- Equation:  $L1=\lambda\sum |w|$  , where w represents the weights and  $\lambda$  is the regularization strength.
- Effect: Encourages sparsity in the weights of the network. Some weights can become zero, effectively simplifying the model and making it more interpretable.

#### 2. L2 Regularization (Ridge)

- How It Works: Adds a penalty equal to the square of the magnitude of coefficients to the loss function.
- Equation:  $L2 = \lambda \sum w^2$ .
- Effect: Penalizes large weights more than smaller ones, encouraging the model to learn a
  distribution of smaller weights, which can lead to a more robust model less likely to overfit. This is
  the most common form of regularization used in neural networks.

#### 3. Elastic Net Regularization

- How It Works: Combines L1 and L2 regularization, adding both penalties to the loss function.
- Equation: A weighted sum of L1 and L2 penalties.
- Effect: Combines the benefits of both L1 (feature selection) and L2 (weight distribution)
   regularization, providing a middle ground for model complexity control.

#### **QUESTION: What is Dropout?**

- How It Works: Randomly "drops out" a subset of neurons in the network during training by setting their outputs to zero. Different subsets are dropped in each training iteration.
- Effect: Prevents the network from becoming too dependent on any one neuron, encouraging the
  model to learn more robust features that generalize better. Dropout is a highly effective and
  widely used regularization technique in deep learning.

#### **QUESTION: What is Early Stopping?**

- How It Works: Monitors the model's performance on a validation set and stops training when the
  performance begins to degrade (i.e., when the validation loss starts increasing).
- Effect: Prevents overfitting by stopping the training before the model learns the noise in the training data.

#### **QUESTION: What is Backpropogation?**

#### Backward Pass (Backpropagation)

The backward pass is where backpropagation gets its name. This phase involves the following key steps:

- Compute the Error: Calculate the loss function, which measures the difference between the network's predicted output and the actual target values. Common loss functions include Mean Squared Error for regression tasks and Cross-Entropy Loss for classification tasks.
- 2. Backward Propagation of Errors: Starting from the output layer, the algorithm calculates the gradient of the loss function with respect to each weight in the network by applying the chain rule of calculus. This process propagates backward through the network, layer by layer, hence the name "backpropagation."
- 3. Update Weights: Once the gradients are calculated, the weights are updated using an optimization algorithm like Gradient Descent. The weights are adjusted in the opposite direction of the gradient to minimize the loss. The size of the step taken in the weight space is determined by the learning rate, a hyperparameter.

### QUESTION: What are the different Deep Learning packages used using Python?

- [Keras, Tensorflow] by Google
- [Pytorch] by Facebook/Meta

### QUESTION: Explain the code structure of a Deep Neural Network using python.

Step 1: Import Necessary Libraries

Step 2: Define the Model [Sequential()]

Step 3: Build the Model Architecture [Dense(Units, Activation)]

Step 4: Compile the Model [Compile(Optimizer, Loss, Metrics)]

Step 5: Train the Model

Step 6: Evaluate the Model

### QUESTION: What do you mean by "Convolution" in Convolution neural networks?

"Convolution" in the context of Convolutional Neural Networks (CNNs) refers to a mathematical operation used for processing data that has a grid-like topology, such as images (which are 2D grids of pixels). This operation is key to CNNs' ability to automatically and adaptively learn spatial hierarchies of features from input images.

#### How Convolution Works in CNNs:

- Filters (Kernels): Convolution involves sliding a filter (also known as a kernel) across the input image or feature map. Filters are small in size (e.g., 3×3 or 5×5) but extend through the full depth of the input volume. Each filter is designed to detect specific features, such as edges, textures, or more complex patterns in deeper layers.
- Dot Product: At each position, a dot product is computed between the filter and the portion of
  the image it covers. This operation sums up the element-wise multiplication of the filter values
  and the original pixel values it overlaps. This process is repeated across the entire image.
- 3. Feature Maps: The result of convolving a filter over an image is a feature map (or activation map), which highlights the areas of the image that activate the filter most strongly. This means that the feature map represents the presence of specific features detected by the filter across the image.
- 4. Stride: The stride determines how many pixels the filter moves across the image. A stride of 1 moves the filter one pixel at a time, while a larger stride moves the filter more pixels per step, resulting in a smaller output size.
- 5. Padding: To control the spatial size of the output feature map, padding can be added to the input image. Zero-padding is common, where zeros are added around the border of the image. This allows for more control over the size of the output feature maps and enables the filter to be applied to the border pixels of the input image.

## QUESTION: What are kernels? What are the different types of kernels? How are kernels used? What are the uses of kernels?

In CNNs, kernels (also known as filters) are small matrices used to perform convolution operations on input data (typically images). These kernels slide across the input data and apply a dot product at each location, producing a feature map that highlights patterns or features detected by the kernel.

#### How Kernels Are Used in CNNs:

- Feature Extraction: Each kernel is designed to detect specific types of features in the input data, such as edges, textures, or colors in images. As the network deepens, kernels can capture more complex patterns based on the simpler features detected in earlier layers.
- Learning: Through the training process, kernels are automatically learned from the data. Initially, they are filled with random values, but they gradually adjust to detect useful patterns as the model is trained.

#### Uses of Kernels in CNNs:

- Image Classification
- Object Detection
- Segmentation
- And other computer vision tasks

### QUESTION: What is padding in CNN / CV? Why is padding used?

#### Reasons for Using Padding

- 1. Size Preservation: Without padding, each convolution operation reduces the size of the output feature map compared to the input. For example, applying a 3×3 kernel to a 5×5 image results in a 3×3 feature map. Padding allows the output size to be controlled, enabling the construction of deeper networks without rapidly diminishing the spatial dimensions of feature maps.
- 2. Edge Information Preservation: Without padding, pixels on the edge of the image are used less frequently than pixels in the center when applying the kernel. This means that information at the edges of the image could be underutilized or lost. Padding ensures that edge pixels have the opportunity to influence the output feature maps more significantly, preserving edge information.

#### QUESTION: What is zero-padding?

Padding is done using "0"

#### QUESTION: What do you mean by "Strides" in CV?

**Stride:** The stride determines how many pixels the filter moves across the image. A stride of 1 moves the filter one pixel at a time, while a larger stride moves the filter more pixels per step, resulting in a smaller output size.

### QUESTION: Name some different types of channels in an image

- RGB
- CYMK

### QUESTION: What is Pooling? Why is Pooling used? What are the different types of pooling?

Pooling, also known as subsampling or downsampling, is an operation commonly used in the architecture of Convolutional Neural Networks (CNNs). Its primary purpose is to reduce the spatial dimensions (i.e., width and height) of the input volume for the subsequent layers. Pooling helps in making the detection of features somewhat invariant to scale and orientation changes and also reduces the computational load on the network, as well as the number of parameters.

#### Why is Pooling Used?

- Dimensionality Reduction: Pooling reduces the size of the feature maps, thus decreasing the number of parameters and computations in the network. This helps to mitigate overfitting by providing an abstracted form of the representation.
- 2. Invariance to Transformations: By downsampling, pooling introduces a form of spatial invariance, making the CNN capable of recognizing features regardless of their spatial variation in the input image. This is particularly useful for tasks like image classification where the exact location of features is less important than their mere presence.
- Efficiency: Reducing the dimensions of feature maps lowers memory usage and computational
  cost, making the network more efficient.
- Feature Enhancement: Pooling can also help in enhancing features by retaining prominent features over less significant ones.

#### Different Types of Pooling

#### 1. Max Pooling:

- The most common pooling method. It partitions the input image into a set of non-overlapping rectangles and, for each such sub-region, outputs the maximum value.
- Advantage: Preserves the most salient features, making the network more sensitive to them.

#### 2. Average Pooling:

- Calculates the average value of the elements in the pooling region.
- Advantage: Smoothens the image and might include background information more than max pooling.

#### QUESTION: What are "filters" in CNN?

The number of kernels used to train the convolution network are called as "filters"

QUESTION: For Kernel of shape 3x3 without padding and with stride of 1; what will be the shape of the convolution output of an image of shape MxN?

- (M-2 x N-2)

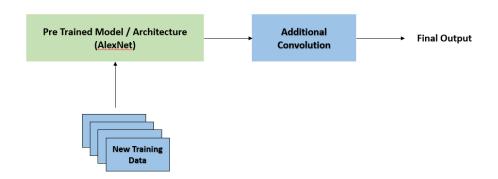
QUESTION: For Kernel of shape 5x5 without padding and with stride of 1; what will be the shape of the convolution output of an image of shape MxN?

- (M-4 x N-4)

#### **QUESTION: What is Transfer Learning?**

#### **Transfer Learning**

Existing architectures or models (that have already been trained on existing or generic data) can be used to retrain an additional layer of new data to get fine tuned results based on a specific requirement. This method of using existing model to train new data is called as "Transfer Learning"



#### QUESTION: What is OpenCV?

OpenCV (Open Source Computer Vision Library) is an open-source computer vision and machine learning software library. Initially developed by Intel, OpenCV was designed to provide a common infrastructure for computer vision applications and to accelerate the use of machine perception in commercial products. Being a BSD-licensed product, OpenCV makes it easy for businesses to utilize and modify the code.

### QUESTION: Explain the code structure of a Convolutional Neural Networks using python.

Step 1: Import Necessary Libraries

Step 2: Define the Model [Sequential()]

Step 3: Convolution Layer [Conv2D(Kernel\_size, filters, padding, stride)]

Step 3: Build the Model Architecture [Dense(Units, Activation)]

Step 4: Compile the Model [Compile(Optimizer, Loss, Metrics)]

Step 5: Train the Model

Step 6: Evaluate the Model

#### QUESTION: What is NLTK package?

#### **NLTK Package in Python**

The Natural Language Toolkit (NLTK) is a powerful Python library used for working with human language data (text) in the field of Natural Language Processing (NLP). It provides easy-to-use interfaces to over 50 corpora and lexical resources, along with a suite of text processing libraries for classification, tokenization, stemming, tagging, parsing, and semantic reasoning.

#### When to Use NLTK:

- Text Preprocessing: Tokenization, stemming, lemmatization.
- · Building Basic NLP Models: Like a simple text classifier.
- · Academic Purposes and Learning: NLTK's simplicity and extensive documentation make it great for education.
- Linguistic Research: With its vast array of corpora and lexical resources.

### QUESTION: What is corpora? Name few corpus from NLTK package?

#### Corpora / Corpus

A corpus (plural: corpora) is a large and structured set of texts used in linguistic research and natural language processing.

Corpora in NLTK include a diverse range of texts such as literary works, chat transcripts, news articles, and more. These collections are used for linguistic analysis and algorithm training for various NLP tasks like sentiment analysis, topic modeling, and language modeling.

Example: 'stopwords','movie review'

#### **QUESTION: What is Tokenization? Give an example**

#### **Tokenization**

Tokenization is the process of dividing text into a sequence of tokens, which can be thought of as pieces or units of the text. In most cases, these tokens are words, but they can also be phrases, symbols, or other meaningful elements depending on the granularity of the tokenization process.

- Word Tokenization: The most common form, where text is split into individual words. It deals with the complexity of word boundaries, which can vary across different languages.
- Sentence Tokenization: Splitting a text into individual sentences, useful for tasks that require understanding the structure of the text, such as summarization or translation.
- <u>Subword Tokenization</u>: Breaking words into smaller units (like syllables or parts of words). This is particularly useful in languages where compound words are common, or for processing morphologically rich languages.

#### **QUESTION: What is Stemming? Give an example**

Stemming algorithms work by removing suffixes from words. The quality and complexity of the algorithm determine how accurately the words are reduced to their stems and whether they correspond to actual root words in the language.

#### **Example of Stemming**

Consider the words:

- "running"
- "runs"
- "runner"

#### **QUESTION: What is Lemmatization? Give an example**

Lemmatization aims to remove inflectional endings only and to return the base or dictionary form of a word, which is known as the lemma. Since it uses a lexical knowledge base like WordNet, lemmatization is more sophisticated and resource-intensive than stemming, but it provides more accurate results by considering the context of the word.

#### Example of Lemmatization

Consider the word "running":

- In the context of "He is running fast," "running" is a verb, and the lemma is "run."
- In the context of "Her running shoes are new," "running" acts as an adjective (gerund), and the lemma is still "run."

Another example is the word "better":

 With lemmatization, knowing that "better" is an adjective, it would be correctly reduced to its lemma "good."

#### Advantages of Lemmatization

- Accuracy: Because it uses more linguistic information about the word, lemmatization provides
  more accurate results. Words are reduced to their meaningful base forms.
- Contextual Awareness: Lemmatization takes into account the word's part of speech and sometimes the context in which it's used, making it possible to distinguish between meanings.
   For example, the word "leaves" as a noun (plant leaves) would have a different lemma ("leaf") than "leaves" as a verb (the action of leaving).

#### Disadvantages of Lemmatization

- Performance: Lemmatization is computationally more expensive than stemming because it involves deeper linguistic analysis.
- Complexity: Requires more knowledge about the language, such as a lexicon or a vocabulary of lemmas (like WordNet for English), and part-of-speech information.

### QUESTION: Differentiate between Stemming and lemmatization.

#### Stemming

- Approach: Reduces words to their base or root form by chopping off the ends of words using heuristics, without any understanding of the context. For example, it might simply remove prefixes or suffixes.
- Complexity: Generally simpler and faster than lemmatization because it relies on basic, rulebased processes that do not require detailed linguistic knowledge.
- Accuracy: Less accurate than lemmatization. It can result in words being reduced to stems that
  are not actual words (e.g., "argument" -> "argu").
- . Outcome: The output is the "stem" of the word, which may not always be a valid word in itself.
- Use Cases: Often used in search engines and applications where the broad matching of terms is
  more important than exact linguistic correctness.

#### Lemmatization

- Approach: Reduces words to their lemmatized form based on their intended meaning, requiring
  detailed linguistic analysis. It considers the word's part of speech, the context, and uses a
  comprehensive dictionary or a corpus to understand the base form.
- Complexity: More complex and computationally intensive than stemming because it involves understanding the morphological analysis of the word.
- Accuracy: More accurate than stemming, as it reduces words to their dictionary form (lemma)
  based on actual language rules.
- . Outcome: The output is the "lemma" of the word, which is always a valid word in itself.
- Use Cases: Preferred in applications requiring a high level of linguistic accuracy, such as language translation, sentiment analysis, and other NLP tasks where the context and meaning of words are crucial

#### **Key Differences**

- Word Form: Lemmatization ensures the resulting word form has a dictionary meaning, whereas stemming might not.
- Context Sensitivity: Lemmatization considers the context and uses of the word to determine its
  base form, making it context-sensitive. Stemming operates on the word level without
  understanding the context.
- Performance: Stemming is faster and simpler but less accurate. Lemmatization is more accurate but computationally more demanding.

#### QUESTION: What are stopwords? Give some examples.

#### Stopwords

Stopwords are words that are filtered out before or after processing of natural language data (text).

They are typically the most common words in a language and are often considered as <u>noise</u> in various text processing applications because they carry less meaningful information about the content of the text.

Example: the, is, that, for, they, are, etc.

### QUESTION: What are N-grams? Give some examples. What are the limitations of n-grams?

N-grams are sequences of 'n' items from a given sample of text or speech. The 'items' can be phonemes, syllables, letters, words, or base pairs according to the application. N-grams are used for a variety of purposes in statistical natural language processing and computational linguistics. When the items are words, they are called word n-grams. Here, 'n' stands for the number of items in the sequence. The value of 'n' can vary, leading to different types of n-grams:

- Unigrams (n=1): Single items (e.g., words or characters).
- Bigrams (n=2): Sequences of two items.
- Trigrams (n=3): Sequences of three items.
- · And so on.

#### **Examples of N-grams**

Given the sentence: "The quick brown fox jumps over the lazy dog."

- Unigrams: "The", "quick", "brown", "fox", "jumps", "over", "the", "lazy", "dog"
- **Bigrams:** "The quick", "quick brown", "brown fox", "fox jumps", "jumps over", "over the", "the lazy", "lazy dog"
- Trigrams: "The quick brown", "quick brown fox", "brown fox jumps", "fox jumps over", "jumps over the", "over the lazy", "the lazy dog"

#### QUESTION: What are bi-grams and tri-grams?

An n-gram of size 1 is referred to as a "unigram"; size 2 is a "bigram"; size 3 is a "trigram". Beyond that, they might be called four-grams, five-grams, etc.

For example, in the sentence "The quick brown fox", "quick brown" is a bigram, and "The quick brown" is a trigram.

# QUESTION: What are Recurrent Neural Networks? Explain the working of RNN and each of its components using an example.

Recurrent Neural Networks (RNNs) are a class of artificial neural networks designed to recognize patterns in sequences of data such as text, genomes, handwriting, or numerical time series data from sensors. Unlike traditional neural networks, which assume that inputs (and outputs) are independent of each other, RNNs are characterized by their ability to maintain a sort of internal state or memory that captures information about previous inputs in the sequence, allowing them to exhibit dynamic temporal behavior.

#### Working of RNNs

RNNs process sequences by iterating through the sequence elements and maintaining a state containing information relative to what it has seen so far. Essentially, at each step of a sequence, the RNN outputs a value that is based on the current input and its current state, and then updates its state based on this information.

#### Components of an RNN:

- 1. Input Layer: Receives sequences of data.
- Hidden Layer: Processes inputs using the current state and the current input. Each neuron in the
  hidden layer applies a transformation (typically a weighted sum followed by a non-linear
  activation) to its input, which includes both the current element of the sequence and the output
  of the hidden layer from the previous step.
- Output Layer: Produces the output for each time step, which can be a prediction for the next element in the sequence, a classification, or some other desired output.

#### **Key Concepts:**

- Weights: The network has three sets of weights: one for the input to the hidden layer, one for the
  hidden layer output from the previous timestep to the hidden layer of the current timestep, and
  one for the hidden layer to the output layer.
- Hidden State: The "memory" of the network, updated at each step based on the previous hidden state and the current input.
- Activation Function: Often a non-linear function like tanh or ReLU is applied to the weighted inputs and state.

#### Example

Imagine an RNN used for predicting the next word in a sentence, "The cat is on the ..." In this case:

- Input Sequence: A sequence of words or tokens up to "the."
- RNN's Task: Predict the next word based on the sequence it has seen.

#### Process:

- At each timestep, the RNN takes a word, combines it with its current state (which contains information about the previous words), and generates a new state.
- This new state is then used to make a prediction about the next word and is also passed on to the next timestep.

At the final step, "the," the RNN would use the information it has gathered about the sentence structure to predict the next word ("roof," "mat," etc., depending on its training).

### QUESTION: What is LSTM? Explain the working of LSTM and each of its components using an example.

Long Short-Term Memory (LSTM) is a type of recurrent neural network (RNN) architecture designed to address the vanishing gradient problem and effectively capture long-range dependencies in sequential data. LSTMs are particularly useful for tasks involving time series data, natural language processing (NLP), speech recognition, and more, where understanding and remembering long-term dependencies is crucial.

#### Working of LSTM

LSTM units contain a cell state, which acts as a conveyor belt that carries information throughout the processing of the sequence. LSTMs have the ability to add or remove information from the cell state, carefully regulated by structures called gates. These gates are composed of sigmoid neural network layers followed by pointwise multiplication operations, which regulate the flow of information.

#### Components of an LSTM:

- Forget Gate: Determines which information from the cell state should be forgotten or discarded.
  It takes as input the previous hidden state and the current input, applies a sigmoid activation
  function to squish values between 0 and 1, and outputs a forget gate vector. This vector is then
  pointwise multiplied with the cell state, effectively deciding which information to retain and which
  to discard.
- Input Gate: Decides which new information should be added to the cell state. It consists of two subcomponents:
  - Input Gate Layer: Determines which values need to be updated. It applies a sigmoid activation function to the combination of the previous hidden state and the current input.
  - Candidate Update: Computes the new candidate values that could be added to the cell state.
     It uses the hyperbolic tangent (tanh) activation function to generate new values that could be added to the cell state. These values are then pointwise multiplied with the output of the input gate layer.
- Cell State Update: The cell state is updated by adding new information and forgetting old information based on the outputs of the forget and input gates.
- 4. Output Gate: Determines the output of the LSTM unit. It decides which information from the updated cell state should be passed to the next hidden state. Similar to the forget and input gates, it uses a sigmoid activation function to decide which parts of the cell state to output and then applies a tanh activation function to squish the values between -1 and 1.

#### Example

Consider an LSTM tasked with generating text character by character:

- · At each timestep, the LSTM receives an input character and the previous hidden state.
- The forget gate decides which information from the previous cell state to forget, considering both the current character and the previous hidden state.
- The input gate determines which new information to add to the cell state based on the current character and the previous hidden state.
- The cell state is updated, incorporating new information and forgetting old information.
- Finally, the output gate decides which information from the updated cell state to pass on to the next hidden state and generates the output character prediction.

This process continues iteratively, with the LSTM progressively learning patterns in the input text and generating coherent sequences of characters.

#### Advantages of LSTM

- Long-Term Dependencies: LSTMs are capable of capturing and remembering long-term dependencies in sequential data, making them effective for tasks requiring memory over extended sequences.
- Addressing Vanishing Gradient Problem: LSTMs use carefully designed gating mechanisms to regulate the flow of information, mitigating the issue of vanishing gradients and facilitating better training of deep networks.
- Flexibility: LSTMs are highly flexible and can be adapted to various tasks and types of sequential data, including text, audio, and time series data.

### QUESTION: Explain the code structure of a Recurrent Neural Networks using python.

Step 1: Import Necessary Libraries

Step 2: Define the Model [Sequential()]

Step 3: Embedding & SimpleRNN

Step 4: Build the Model Architecture [Dense(Units, Activation)]

Step 5: Compile the Model [Compile(Optimizer, Loss, Metrics)]

Step 6: Train the Model

Step 7: Evaluate the Model

### QUESTION: Explain the code structure of a LSTM using python.

Step 1: Import Necessary Libraries

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