

# Lower Bound on Compare-Based MinPQ Implementation

## Introduction

We aim to prove that it is impossible to develop a compare-based implementation of the MinPQ (Minimum Priority Queue) API such that both the **insert** and **delete the minimum** operations can be performed using  $O(\log \log N)$  comparisons. We will show that this contradicts the theoretical lower bounds for comparison-based algorithms.

## MinPQ API and Comparison-Based Algorithms

- The **MinPQ API** consists of:
  - **Insert** operation: Adds a new element to the priority queue.
  - **Delete the minimum** operation: Removes and returns the smallest element from the priority queue.
- **Comparison-based algorithms** are algorithms that rely on comparing elements using comparison operators such as  $<$ ,  $>$ , or  $=$ . The efficiency of such algorithms is analyzed in terms of the number of comparisons made.
- Known bounds for comparison-based priority queues:
  - For comparison-based priority queues, the **worst-case time complexity** for both the **insert** and **delete-min** operations (in data structures such as binary heaps, Fibonacci heaps, etc.) is  $O(\log N)$ .

## Theoretical Limits: $O(N \log N)$ Bound

### Insert Operation

The **insert** operation adds an element while maintaining the priority queue's order property. In a binary heap, this is done by placing the new element at the end of the heap and then "bubbling up" the element to restore the heap order. This takes  $O(\log N)$  comparisons, where  $N$  is the number of elements in the priority queue, because the height of the binary heap is  $O(\log N)$ .

## Delete the Minimum Operation

The `delete-min` operation removes the smallest element, replaces it with the last element, and then "bubbles down" to restore the heap property. This operation also requires  $O(\log N)$  comparisons since the height of the heap is  $O(\log N)$ .

## Attempt to Achieve $O(\log \log N)$

Attempting to achieve  $O(\log \log N)$  comparisons for both operations contradicts the established lower bounds for comparison-based priority queues:

## Logarithmic Depth of Trees

In a binary heap, the height of the tree is  $O(\log N)$ . Reducing the comparisons to  $O(\log \log N)$  would require a data structure with height  $O(\log \log N)$ , which is shallower than a binary tree. However, no comparison-based data structure can achieve such a shallow height while maintaining the necessary properties of a priority queue.

## Information-Theoretic Lower Bound

The information-theoretic lower bound for comparison-based sorting is  $\Omega(N \log N)$ , which implies that at least  $\Omega(\log N)$  comparisons are required for each insert or delete-min operation. A priority queue can be used to sort  $N$  elements by inserting all elements and performing  $N$  delete-min operations, so any comparison-based priority queue must perform at least  $\Omega(\log N)$  comparisons per operation. Reducing the time complexity to  $O(\log \log N)$  would violate this lower bound, as it would allow sorting to be performed in  $O(N \log \log N)$ , which is faster than the known lower bound for comparison-based sorting.

## Conclusion

It is **impossible** to develop a comparison-based implementation of the MinPQ API such that both `insert` and `delete the minimum` can be performed in  $O(\log \log N)$  comparisons. The reason is that comparison-based algorithms have a well-established lower bound of  $\Omega(N \log N)$  for sorting and priority queue operations. Reducing the complexity of these operations to  $O(\log \log N)$  would violate this fundamental lower bound. Therefore, the best achievable time complexity for both `insert` and `delete the minimum` operations is  $O(\log N)$ .