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Dual license plate recognition and visual features encoding for vehicle identification

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ABSTRACT

This work presents an improved version of a new approach for vehicle identification, which comprises a dual identification system based on license plate recognition and visual encoding. To support this proposal, two new datasets have been created: UC3M-LP for license plate detection and character recognition and UC3M-VRI for vehicle re-identification. The main contributions of this research are the publication of the two open-source datasets and the validation of the dual approach for a reliable vehicle recognition. Precisely, the UC3M-LP dataset is unique, as it fills the gap of European license plates public datasets, becoming the largest of its kind and the first ever for Spanish plates. The proposed dual identification system provides a more robust solution, as it is less sensitive to the variability of image conditions. Performance has been evaluated both on public and the proposed datasets using a multi-network architecture and achieving remarkable results. This strategy opens up new research opportunities in the field of vehicle identification, and the generated datasets may serve as a benchmark for future research. The datasets are publicly available at https://github.com/ramajoballester/UC3M-LP and https://github.com/ramajoballester/UC3M-VRI.

1. Introduction

The development and use of computer vision systems in real-life has increased significantly as a result of recent developments in deep learning, which have substantially improved the accuracy of these systems in comparison to conventional algorithms. In this context, new applications emerge in areas including object and person recognition [1], image-based medical diagnosis [2] or traffic scene analysis in smart cities [3]. The current work concentrates on the latter as the recognition of license plates has been a subject of great interest due to its relevance in several fields, such as security and traffic control.

However, this task is challenging due to variations in lighting, view angle, distance or occlusions, among other factors. These problems make the development of reliable and efficient license plate recognition and vehicle identification systems an ongoing research topic in the field of computer vision. In recent years, machine learning techniques have shown promising results in this area, improving the accuracy and robustness of these systems.

To address these difficulties, the main contributions of this work are the proposed dual vehicle identification system and the open-source datasets to validate the system performance. This dual methodology can perform license plate detection and recognition, as well as visual vehicle identification. The system uses two newly introduced datasets:

UC3M-LP for license plate detection and character recognition (OCR) and UC3M-VRI for vehicle re-identification.

The UC3M-LP dataset, in particular, is unique of its kind. It becomes one of the largest publicly available European license plate dataset, as it contains over 20 times more samples than the OpenALPR dataset [4] and a wide variety of conditions. In addition, the labels offer rich information about the scene and a plate polygonal annotation, allowing to refine the detection with a geometric transformation or rectification process.

The proposed dual vehicle identification system provides a more robust solution since it is based on license plate recognition when conditions are favorable and visual identification otherwise. It constitutes an improved version of [5], with the novelty of custom training for all the object detection models. This system has significant implications for video surveillance and traffic scene analysis and can contribute to the development of intelligent infrastructures in smart cities.

It has been trained and tested on the public and proposed datasets, showing remarkable performance in license plate detection, character recognition, and vehicle re-identification.

To provide a comprehensive overview of this work, each section will focus on specific aspects of this research. After putting it in the current state-of-the-art context in Section 2, Section 3 delves into the

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details of the multi-network architecture. After that, Section 4 exposes the methodology and the privacy-related issues of the collected datasets and Section 5 covers all the trainings and hyperparameters tuning. Finally, the results are shown in Section 6.

2. Related work

A thorough state of the art review has been conducted in the fields of Automatic License Plate Recognition (ALPR) and visual vehicle identification.

This review will be presented in two sections: one dedicated to ALPR, which includes a detailed analysis of the latest techniques for license plate detection, segmentation, and optical character recognition; and another one dedicated to visual vehicle identification, which will cover recent developments in the use of deep learning to detect the correspondence of vehicle images to the same identity.

It will also address the challenges associated with these tasks, such as variations in lighting conditions, license plate styles, and vehicle orientations, and discuss the latest trends and open research questions in the field.

2.1. ALPR

Attracting an increasing interest, Automatic License Plate Recognition (ALPR) systems have found their applicability in intelligent transportation systems across many countries for various purposes, including but not limited to traffic law enforcement and traffic monitoring. Moreover, ALPR systems are also implemented to manage the entrance and exit of vehicles in parking areas, collect toll payments, and enforce security measures in restricted areas.

"The general definition of a license plate is 'a metal or plastic plate attached to a vehicle that helps to identify them uniquely'. Yet, this definition is not comprehended by a machine." [6].

Many different research lines have been studied during the past decades to achieve that goal, such as edge-based [7,8], color-based [9–11], character-based [12] or texture-based [13] plate detection tasks. Similarly, regarding to license plate recognition, some of the existing work has focused on character segmentation [14–16] and character recognition [17,18].

Nonetheless, almost all classic techniques have been subdued by the greater precision and speed of deep learning-based approaches, either for plate detection [19–21] and recognition [22,23]. Both tasks are highly correlated since the majority of the top-performance systems rely on object detection models.

These new methods require a great amount of training data, so many efforts have been put into collecting high-quality datasets. On this subject, some of the most used ones include examples from different countries, light conditions and a variety of view angles, like GAP-LP [24], from Tunisia; UFPR-ALPR [19], SSIG-SegPlate [25] and RodoSol-ALPR [26], from Brazil (and Mercosur); PKU Dataset [27], from China; AOLP [28], from Taiwan and other smaller datasets from various locations, such as OpenALPR-EU [4] and CD-HARD [29]. Special mention to CCPD [30] for its 250k images, becoming the largest dataset of this kind and KarPlate [31] for including the largest variety from multiple countries, although is not currently available. All these datasets and their characteristics are shown in Table 1.

However, none of these offer character-wise annotations of European (Spanish) license plates, which constitutes a literature void that this work aims to fill. This will allow to develop precise and effective license plate identification systems specifically designed and trained with European plates format.

2.2. Visual vehicle identification

The necessity of a finer and more rigorous feature extraction process arises when applying visual re-identification, as the variations between different classes of vehicles are comparatively minor in contrast to other common objects, such as different colors and shapes.

Despite the challenges posed by this task, there are several works that have demonstrated high performance, thanks to relying on deep learning techniques. Some of them are based on convolutional neural networks (CNN) and support vector machines (SVM) [32], CNN and long-short term memory (LSTM) bidirectional loop [33], residual retworks [34], group sensitive triplet embedding (GSTE) [35], using a two-stage progressive learning approach [36] and with local graph feature aggregation [37].

In this context, some of the widely used datasets are CompCars [38], VehicleID [39], VeRi-776 [40], VeRi-Wild [41], BoxCars21k [42], BoxCars116k [43], Toy Car ReID [33], VRID-1 [44], PKU-VD1 and PKU-VD2 [45], CityFlow [46], Stanford-Cars [47]. Their characteristics are exposed in Table 2.

3. Model architecture

After reviewing some of the current state-of-the-art solutions, the next step is to analyze and explain the bimodal re-identification system architecture. This section will describe the implementation details of each submodule that constitutes the system. All the object detection models are based on the YOLOv5 [48] models family with custom training, which will be presented in Section 5. This is one of the main contributions on the improvement of previous work [5].

The proposed system is composed of four different models and structured in a cascade style to achieve an optimal processing speed and avoid unnecessary forward passes when no vehicle or license plate is detected in previous stages. The overall architecture is shown in Fig. 1.

- Vehicle detection model: the initial object detector processes the input image and produces the bounding boxes for all vehicles that are present in it. It is based on the original YOLOv5-m [48], where only 4 categories (car, motorcycle, bus and truck) are used.
- LP detection model: once the vehicle regions have been extracted, the first parallel branch performs the license plate recognition.
 The first model in the branch detects the license plate and crops it. A custom object detector has been trained for that purpose.
- OCR recognition model: after plate cropping, another custom YOLOv5 model identifies and sorts its characters to complete the license plate recognition.
- Visual identification: the second parallel branch encodes the previously vehicle image region according to its visual characteristics
 into a feature vector. The similarity between different vehicles
 will be measured by the Euclidean distance between their vectors. This metric is used to discriminate if two vehicle images
 correspond to the same identity or not, performing then the visual
 identification.

The system workflow is depicted in Fig. 2. The visual reidentification branch performs inference on the vehicle region only when license plate character recognition yields no favorable results. Blocks color code matches models in Fig. 1.

3.1. Vehicle detection

The detection of regions of interest (ROI) is manifold in this work. Firstly, the aim is to identify the rectangular boxes within the image that correspond to a vehicle and to search for the cutout of the vehicle that corresponds to the license plate and its characters afterwards.

Even so, and since the main objective is the bimodal processing of already obtained vehicle images, vehicle detection optimization was out of the scope of this work. With that in mind, the original and pre-trained YOLO model has been used for this task.

Table 1
License plate identification dataset comparison.

Dataset	Location	Images	Year	Notes
AOLP [28]	Taiwan	2,049	2013	3 different sets, depending on pan, tilt angles and distance
SSIG-SegPlate [25]	Brazil	2,000	2016	14k available characters, some have been blurred for privacy constrains
OpenALPR-EU [4]	Europe	108	2016	Only European dataset
PKU Dataset [27]	China	3,977	2017	Mostly frontal images
CCPD [30]	China	250,000	2018	Includes view angle annotations and 720 × 1160 resolution
UFPR-ALPR [19]	Brazil	4,500	2018	1920 × 1080 image resolution
CD-HARD [29]	International	102	2018	Oblique view, plate-centered crops
GAP-LP [24]	Tunisia	9,175	2019	Contains Arabic and Latin chars
KarPlate [31]	International	8,613	2020	Not available due to legal reasons
RodoSol-ALPR [26]	Brazil/Mercosur ^a	20,000	2022	Multiple countries and 1280 × 720 resolution

^a Mercosur includes Argentina, Brazil, Paraguay, Uruguay and Venezuela.

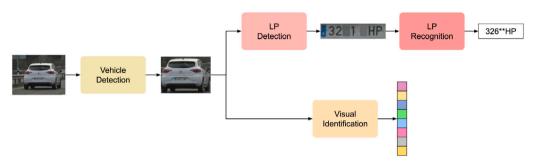


Fig. 1. Multi-network system architecture.

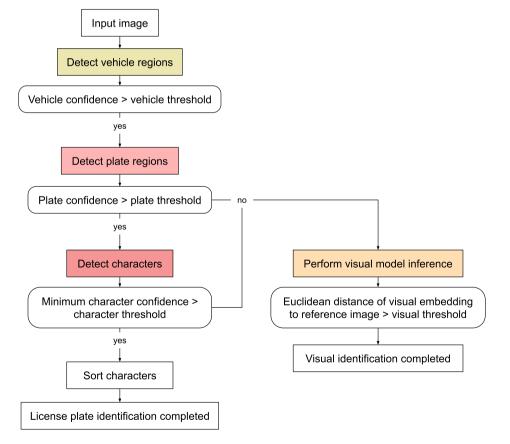


Fig. 2. System workflow diagram.

3.2. License plate recognition

As mentioned before, the first parallel branch carries out the plate recognition for all detected vehicles in the previous stage. There are two specific tasks that need to be solved. The first one, is to correctly

locate and define its bounding box. The second, detect, classify and sort each character to conform a unique identification number.

Detection in both cases is carried out by custom versions of YOLOv5 [48]. The main reason for its selection are its speed and accuracy over other models such as YOLOv4 [49], YOLOv3 [50],

Table 2
Vehicle re-identification datasets comparison.

Dataset	Cameras	Images	IDs	Year
Stanford-Cars [47]	_	16,185	196	2013
CompCars [38]	_	136,713	4,701	2015
VehicleID [39] ^a	2	221,567	26,328	2016
VeRi-776 [40]	20	49,357	776	2016
BoxCars-21k [42]	_	63,750	21,250	2016
VRID-1 [44]	326	10,000	1,000	2017
PKU-VD1 [45]	1	1,097,649	1,232	2017
PKU-VD2 [45]	1	807,260	1,112	2017
Toy Car ReID [33]b	50	30,000	200	2018
VeRi-Wild [41]	174	416,314	40,671	2019
BoxCars-116k [43]	137	116,286	27,496	2019
CityFlow [46]	40	56,277	666	2019

^a [36] points out that the real number of images differs slightly from the original publication [39].

b Synthetic dataset.

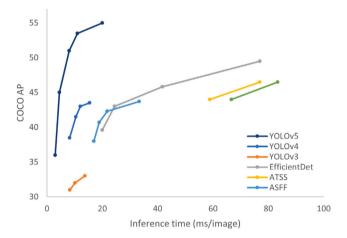


Fig. 3. Inference time and average precision in detection models.

EfficientDet [51], ATSS [52], ASFF [53] or CenterMask [54], as it can be seen in Fig. 3.

3.3. Visual identification

Once the vehicle's license plate number has been verified, the system proceeds to identify the vehicle using a visual recognition model. This is done by comparing the feature vectors of each image processed with that of the target vehicle. The system calculates the Euclidean distance between the vectors to successfully re-identify the vehicle.

The main objective is being able to discern whether two vehicle identities are the same from an infrastructure point of view and with different cameras.

4. Datasets acquisition and labeling

The acquisition high-quality datasets is crucial in the development of machine learning models for object detection and recognition tasks. In this project, two datasets were obtained and manually labeled, one for license plate detection and character recognition, and another one for vehicle visual identification. The UC3M-LP dataset was used for license plate detection and character recognition tasks, while UC3M-VRI for vehicle visual identification. This section will describe the datasets acquisition process, including the data collection and annotation methods used.

The treatment and publication of images that contain personal information is not straight-forward, so a particular emphasis was placed on implementing robust protocols and procedures to meticulously

Table 3 UC3M-LP dataset features.

1975			
	Plate characters		
Plates	Plate characters		
polynomial	bounding box		
2547	12757		
Day	Night		
2185	362		
1m - 20m			
Various: frontal a	Various: frontal and oblique (up to 70° angle)		
	2547 Day 2185 1m - 20m		

anonymize the data, thereby eliminating any potential traces of personally identifiable information. These efforts were aimed at safeguarding the privacy and anonymity of individuals whose data was included in the dataset, and will be described in Sections 4.1 and 4.2. The labeling process has been done with Labelme [55], for both polygonal and bounding box annotations.

4.1. License plate recognition: UC3M-LP dataset

The proposed UC3M-LP dataset for license plate recognition has been gathered from a multitude of sources, including both smartphone cameras and professional cameras. This dataset encompasses a good variety of perspectives, light conditions, distances, and resolutions, resulting in a significant challenge when it comes to effectively processing and interpreting the images, as it is summarized in Table 3.

Preserving the anonymity of data in this context is not trivial, since blurring the entire license plate is not an option — as there would be no dataset at all. The privacy measures that have been taken include blurring one digit and one character from the plate and distorting the image by adding color noise. Fig. 4 shows a sample of this dataset.

Having samples with different difficulty allows the model to learn more complex scenarios and increase the overall performance. Bottom images in Fig. 4 show some of the most complicated examples.

4.1.1. Detection

Through the use of polygonal annotation, the first type of the dataset annotations has been tailored to suit the training of both detection systems and post-processing models, such as the well-known Spatial Transformer Networks (STN) [56]. In this way, it has been crafted to optimize the accuracy and efficiency of license plate detection.

In Fig. 5, it is shown how the polygonal label allows, for instance, the neural networks training to perform the rectification of plates applying an affine transformation.

It comprises 2547 license plates from 1975 images of different resolutions, with 2185 out of them are from daytime (D) and 362 from nighttime (D). Fig. 6 exposes the license plate variety in the Spanish typology:

- Type A: 2498 samples of the most common long, one row with white background (Fig. 6(a)).
- Type B: 31 samples of motorcycle double row and white background (Fig. 6(b)).
- Type C: 1 sample of light motorcycle one row with yellow background (Fig. 6(c)).
- Type D: 11 samples of taxis and VTC (Spanish acronym for private hire vehicle) with blue background (Fig. 6(d)).
- Type E: 6 samples of trailer tows with black characters and red background (Fig. 6(e)).

This information is encoded in the label as a prefix to the plate number. For instance, a AN-000**ZZ would mean type A (one row, white background) and N (nighttime).



Fig. 4. Proposed UC3M-LP dataset sample.



Fig. 5. Polygonal annotation format and rectification process.



Fig. 6. License plate types.

4.1.2. Optical character recognition (OCR)

The license plate character labels comprise the second type of annotations in this dataset. These labels are intended to facilitate object detection-based optical character recognition (OCR). The label format for this type is an orthogonal bounding box. The 2547 plates include 12757 different labels for the 37 different letters and numbers, enabling the training of models for effective license plate identification.

This has been the most delicate part since data protection and privacy considerations have been taken into account. For this reason, several characters have been blurred from each license plate as well as faces and other personal information, as mentioned in Section 4.1, following the current European regulations. The anonymized version of the dataset is the one used in this work. A good example of this label format can be seen in Fig. 7.

The data distribution of these characters is categorized in frequency per class in Fig. 8. As there are less digit classes and more appearances



Fig. 7. OCR bounding box annotation.

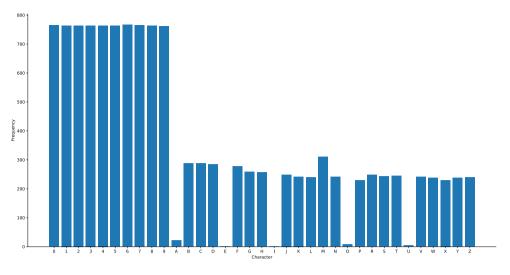


Fig. 8. UC3M-LP dataset data distribution.

Table 4 UC3M-VRI dataset features.

Images	1611		
Label type	Bounding box		
Lighting	Day		
Locations	Road	Intersection	
Images	458	1153	
IDs	201	85	
Distance	5m – 7m	10m - 20m	
Input cameras	1	2	
Perspective	Rear oblique	All vehicle orientations	

of them, their frequency is higher than the characters classes. An important aspect to point out in this data distribution is that there are few examples of vowels, since they only appear in rare occasions in old plates. Since the data protection protocols required the blurring of several plate characters, it has been done with the complementary aim of balancing the dataset classes as much as possible with a best-effort strategy.

4.2. Vehicle visual identification: UC3M-VRI

To minimize the difference between the evaluation settings of the system and the actual production environment, a custom dataset, namely UC3M-VRI, has been gathered and manually labeled to assess the performance of the visual re-identification system. Two different sets constitute this dataset, each of which have distinct features such as vehicle similarity, perspective, illumination, and the number of input cameras, as shown in Table 4.

Given the specific use of this dataset, blurring license plates is not a restriction, so that has been the chosen anonymization method.

4.2.1. Road set

The initial dataset comprises images captured from a pole on a highway, from an elevated, oblique, and rear angle. This set is characterized by a high degree of similarity between images of the same category, as they exhibit the same perspective, uniform lighting, and no obstructions. It is intended to serve as the first stage in the evaluation process, as it is comparatively less challenging and expected to yield more positive outcomes. The dataset encompasses 458 images depicting 201 vehicle models, and a selection of these images is presented in Fig. 9.

4.2.2. Intersection set

This second dataset comprises traffic scenes captured at intersections. It is divided into two distinct recording locations (v1 and v2)



Fig. 9. Road Dataset sample.

and offers a variety of perspectives and occlusions between vehicles and vegetation. Each scene has been simultaneously captured by two cameras (c1 and c2) and represents a high degree of difficulty as it depicts a typical operational environment. Having two input sources enables searching for annotated vehicles from one camera in the other with a different perspective, which is the primary objective of this study. The dataset includes a total of 1153 images and 85 classes with slightly different annotation criteria. In v1, all vehicle appearances, including very distant and partial views, are included, whereas in v2 only complete vehicles with a minimum recognizable size are annotated (Fig. 10).

5. Neural networks training

The training process can be influenced by several factors, such as the choice of optimizer, learning rate, batch size, and architecture, among others. This section will discuss the training process and highlight the key factors that affect the models performance.

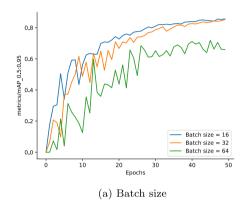
5.1. Object detection models

As both plate detection and OCR are based on object detection methods, similar training procedures have been followed in each of them. Several trainings have been tested to understand the effect of hyperparameters tuning in the validation results. Sections 5.1.1 and





Fig. 10. Intersection Dataset sample.



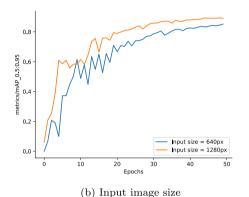


Fig. 11. Hyperparameters tuning effect for LP detection.

5.1.2 present this repercussions in the validation set according to each hyperparameter.

5.1.1. License plate detection

Fig. 11 points out the impact of batch size and input image size. A bigger batch size it not always desirable, since the generalization ability can be compromised in several ways, as Fig. 11(a) suggests. Increasing the batch size can lead to changes in the learning dynamics of the model. For example, larger batch sizes can lead to flatter minima in the optimization landscape, which may cause the model to converge to a sub-optimal solution that is less generalizable. The input size does improve the model performance, as the higher the resolution, the finer the details that can be extracted.

Fig. 12(a) reflect the performance difference depending on the optimization process and the model size. Regarding the optimizer choice, SGD [57] performs slightly better than AdamW [58], which in turn performs a bit better than Adam [59] (Fig. 12(a)). This trend may be due to the different strategies employed by each one. SGD, for instance, uses a simpler strategy of gradient descent, while Adam and AdamW use more advanced techniques such as adaptive learning rates and momentum, which in this particular case with a small dataset may not deploy their potential. However, the performance differences were relatively small, indicating that the choice of optimizer may not be as critical as other factors. This amount of data may be the reason why larger models do not perform better, as it is depicted in Fig. 12(b). In these cases, the models tend to overfit and being surpassed by the smaller YOLOv5-s. Nonetheless, the smaller is not the better since YOLOv5-n shows a clear underfitting.

Apart from the performance, YOLOv5-s is a small enough model to run easily in real time, even in low-end devices.

5.1.2. Character recognition

The same training trials as in Section 5.1.1 were applied to the OCR task. In Fig. 13, the impact of batch size and image input size is shown. The effect of batch size is similar than in detection, although it is affects the training speed, as the final results are pretty similar.

A reduced batch size can cause the model to converge faster, as the gradients are updated more frequently, which can lead to more efficient use of computational resources. In terms of image input size, there is no difference, since not many license plates have a bigger dimensions of 640 pixels, so increasing its size does not provide a more detailed view of the image.

On the contrary of Section 5.1.1, Fig. 14(a) highlights a performance drop when using SGD in favor of adaptive optimizers. As it is widely known, this kind of optimization strategies tend to be faster than Gradient Descent. In the previous case, as images were larger, SGD may be a better solution since it shows a bit greater robustness and less tendency to overfit with larger batch sizes or learning rates. On the other hand, Fig. 14(b) exhibits no significant difference when comparing different model sizes, apart from the underfitting of the smallest YOLOv5-n.

5.2. Visual identification model

In order to achieve the visual identification, a comparison between some state-of-the-art models and several trained backbone models has been tested. The FastReid Toolbox [60] provides pre-trained and optimized architectures specifically for vehicle identification purposes. To meet the desired standards, different training strategies were employed with the EfficientNet [61] family of neural networks. One notable feature of this architecture is its ability to precisely scale network dimensions, which enhances performance. Fig. 15 illustrates that standard convolutional networks increase the width (b) of the feature map to improve performance, whereas others opt to add more intermediate layers (c) or higher resolution images (d) to make the network deeper. These models were used as the backbone during the training process, with max pooling and convolutional layers appended to their output for fine tuning.

The initial training was carried out with the Stanford-Cars dataset [47], which is widely used in the current state of the art as discussed in the previous section. The first training was conducted with a reduced version of the dataset (approximately 10%) to adjust the

higher resolution

(e) compound scaling

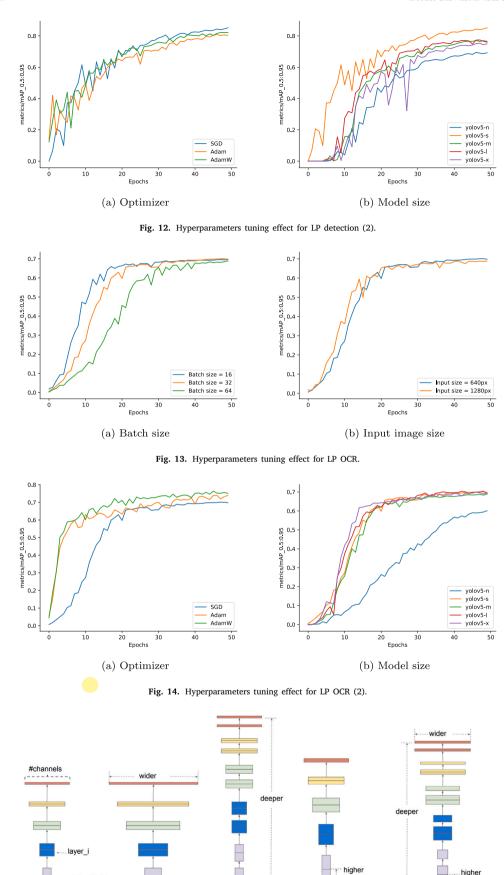


Fig. 15. EfficientNet architecture [61].

(c) depth scaling

resolution

(d) resolution scaling

- resolution HxW

(b) width scaling

(a) baseline

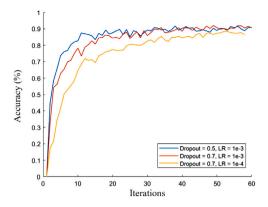


Fig. 16. Dropout and learning rate effect.

dropout and learning rate. Dropout refers to the ratio of neural networks in certain layers that are randomly "turned off" during training, which aids in the extraction of characteristics through several routes and helps the model generalize better. The learning rate determines the speed at which the weights are updated. A reduced value allows for the addition of more weights, but at the cost of a longer training time, hence, it is advisable to optimize it. All the aforementioned tests are depicted in Fig. 16.

The graph presents two notable results. Firstly, the networks with a dropout of 0.7 exhibit slightly better generalization than those with 0.5. Despite taking slightly longer in the initial epochs, the trend favors the former as it reaches a significantly lower maximum with 0.5. Additionally, a learning rate of $1e^{-3}$ is found to be the most suitable as it maximizes precision more quickly. Several other tests were conducted but are omitted to avoid prolonging the training demonstration. Following these tests, the performance of three versions of the EfficientNet network (B0, B3, and B7) were evaluated. The output was configured with maximum global pooling for each output filter and a dense classification layer with the previously adjusted dropout. The results are presented in Fig. 17(a).

The graph illustrates that the performance of all three models (B0, B3, and B7) is comparable. Given the increased size and slower processing speed of the larger models, it makes sense to choose the B0 model for use as the characterization network, especially since a real-time system is desired.

Moreover, the VeRi-776 dataset [62] was used to perform another training round with the same networks. However, to simplify the training process, the output classes were slightly modified. The network was originally designed for classification purposes, so the last softmax layer was removed, letting the model encode the input image with the penultimate layer output. The results are presented in Fig. 17(b), although the comparative evaluation will be shown in Section 6.

As it can be seen, the accuracy is similar between the three models, so EfficientNetB0 is chosen for the same reasons. The same procedure has been tested with a new manually labeled dataset, and the results will be shown in Section 6.

6. Results and discussion

This section will show the final results of the training process, as well as a thorough analysis of the effect of key factors that contribute to a optimal performance.

6.1. License plate recognition

The following results and analysis have been carried out with the proposed UC3M-LP dataset.

Table 5
Result metrics for license plate detection (sorted by mAP@0.5:0.95).

Model	mAP@0.5:0.95	mAP@0.5	precision	recall	F1
Image size = 1280 px	0.893	0.988	0.961	0.965	0.963
Batch size = 16	0.856	0.985	0.953	0.959	0.956
Baseline ^a	0.852	0.982	0.941	0.965	0.953
AdamW	0.821	0.975	0.922	0.952	0.937
Adam	0.809	0.971	0.935	0.939	0.937
YOLOv5-1	0.774	0.956	0.924	0.911	0.918
YOLOv5-m	0.771	0.944	0.920	0.911	0.916
YOLOv5-x	0.753	0.943	0.907	0.899	0.903
YOLOv5-n	0.695	0.917	0.930	0.867	0.897
Batch size = 64	0.684	0.968	0.929	0.948	0.938

^a Baseline model is a YOLOv5-s architecture, batch size = 32, SGD optimizer and input image size of 640 px.

Table 6
Result metrics for license plate recognition (sorted by mAP@0.5:0.95).

Model	mAP@0.5:0.95	mAP@0.5	precision	recall	F1
AdamW	0.764	0.976	0.943	0.972	0.957
Adam	0.740	0.962	0.979	0.914	0.946
YOLOv5-l	0.704	0.926	0.988	0.884	0.933
Baseline ^a	0.701	0.911	0.988	0.889	0.936
YOLOv5-x	0.699	0.922	0.989	0.885	0.934
Batch size = 16	0.696	0.904	0.990	0.890	0.937
Batch size $= 64$	0.689	0.901	0.986	0.885	0.933
Image size = 1280 px	0.689	0.901	0.985	0.882	0.931
YOLOv5-m	0.688	0.909	0.988	0.878	0.930
YOLOv5-n	0.601	0.816	0.737	0.818	0.775

 $^{^{\}rm a}$ Baseline model is a YOLOv5-s architecture, batch size = 32, SGD optimizer and input image size of 640 px.

6.1.1. Detection

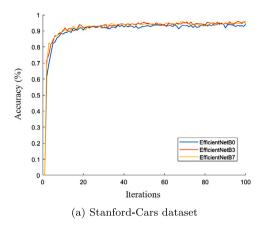
The results of the license plate detection models exhibit a range of performances across the different configurations tested (Table 5). The best performing model achieved a mean average precision (mAP) of 0.893 at an intersection over union (IoU) threshold of 0.5 to 0.95 and a mAP of 0.988 at an IoU of 0.5. Notably, the image size of 1280 px produced the best results, while the larger batch size of 64 resulted in the worst performance. This suggests that smaller batch sizes may be more effective in training license plate detection models. Interestingly, the baseline model performed similarly to the Adam, AdamW, and YOLOv5-1 models, indicating that more complex models and optimization strategies may not necessarily lead to improved performance in this task. Overall, these results highlight the importance of carefully selecting model configurations and optimizing hyperparameters for achieving optimal performance in license plate detection.

Since a real-time performance was in the scope of this work, the inference time is shown for each model. For the license plate detection stage it is 15.6 ms. However, it is noted that it was performed in a NVIDIA RTX 3090 GPU. The inference time was computed with a single image batch, so a multiple image batch would exhibit a lower time per image.

6.1.2. Character recognition

The OCR results in Table 6 show that the AdamW model outperformed the other models in terms of mAP at 0.5:0.95, achieving a score of 0.764. The Adam model also performed well with an mAP of 0.74. The YOLOv5-I, Baseline, and YOLOv5-x models achieved similar mAP scores, with values ranging from 0.699 to 0.704. As it can be seen in the table, increasing the batch size to 16 or 64 and the image size to 1280 px did not result in significant improvements in performance, as it was explained in Section 5.1.2. The YOLOv5-n model had the lowest mAP score of 0.601, as a consequence of underfitting problems due to its reduced size. Overall, the results suggest that the models with adaptive momentum optimizers are the most effective for license plate character recognition.

The license plate detection takes approximately $10.7~\mathrm{ms}$ on a single image batch.



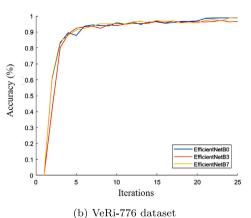


Fig. 17. EfficientNet B0-B3-B7 results.

Table 7Accuracy in positive–negative pair test in public datasets.

Model		Stanford-Cars	VeRi-776	VeRi-Wild
	EfficientNetB0 (Stanford-Cars)	0.835	0.625	0.727
	EfficientNetB0 (VeRi-776)	0.591	0.772	0.797
	FastReid (VeRi-776)	0.606	0.968	0.908
	FastReid (VeRi-Wild)	0.676	0.905	0.995

Table 8
Accuracy in positive-negative pair test in custom datasets

Model	Road	Int. v1c1	Int. v2c1	Int. v1	Int. v2
EfficientNetB0 (Stanford-Cars)	0.851	0.736	0.841	0.625	0.619
EfficientNetB0 (VeRi-776)	0.966	0.882	0.916	0.715	0.781
FastReid (VeRi-776)	0.979	0.940	0.966	0.878	0.915
FastReid (VeRi-Wild)	0.967	0.909	0.897	0.788	0.825

6.2. Vehicle visual identification

Table 7 shows the accuracy of the two trained models (Efficient-NetB0) versus the pre-trained FastReid models on the public datasets. This evaluation corresponds to the accuracy of a positive–negative pair test. Each positive–negative pair has been created with each image from the evaluation set, an image from its class (positive) and a random image from the rest of the classes (negative). From these results it can be extracted that the FastReid model pre-trained with VeRi-Wild, which is a bigger dataset and with fewer constraints than VeRi-776, is a better candidate.

Nevertheless, once the evaluation is performed with the proposed UC3M-VRI dataset, which is much closer to the real production environment, the metrics favor the FastReid model pre-trained with VeRi-776, achieving the best results, as shown in Table 8.

This last visual identification stage carries most of the processing time, with an average of 31.8 ms, totaling 58.1 ms for the complete system.

In the introduction, it was noted that recognizing license plates is a challenging task that requires favorable imaging conditions. Nevertheless, it is important to recognize that not all images can meet these requirements. That is where the visual recognition system comes in. This system offers greater flexibility in terms of operational constraints and can deliver outstanding performance in more adverse situations. By analyzing the visual characteristics of the whole vehicle, including its shape and color, this system is less sensitive to distance, which means it can extend the valid recognition range from 15 to 40 m in four lanes of a wide-angle camera view. Moreover, this system allows us to track vehicles in subsequent video frames based on their similarity to previous ones, which is a unique feature of our approach.

In short, the proposed system provides a more robust solution than traditional approaches, making it an exciting breakthrough in vehicle identification.

7. Conclusions

In this work, two new datasets for license plate detection and character recognition (OCR) and vehicle re-identification, namely UC3M-LP and UC3M-VRI, respectively are proposed. These datasets have been designed to give a more extensive and challenging benchmark for evaluating license plate recognition systems and vehicle identification methods. They feature a wide variety of scenarios, including challenging lighting conditions, different camera angles, and partial occlusions, which better simulate real-world scenarios.

Particularly, the UC3M-LP dataset becomes the largest publicly available dataset dedicated to European license plate, as it is more than 20 times bigger than the popular OpenALPR [4], and the first ever to Spanish ones specifically.

Moreover, this work provides a dual vehicle identification system that is capable of providing more robust identification results. This system is based on license plate recognition when the conditions are favorable, while visual identification is used in other situations. Since the license number is widely considered the most effective method of vehicle identification, visual recognition adds another layer of confidence to the system's capabilities. The proposed visual method extracts the visual characteristics of the whole vehicle, including its shape and color, to identify vehicles in the absence of a readable license plate. This method offers a distinctive advantage over classic approaches, as it offers a more versatile solution.

In summary, the proposed datasets and the dual vehicle identification system constitute a significant contribution to the field of computer vision. Our datasets enable researchers to benchmark their algorithms on more challenging scenarios, while the proposed dual vehicle identification system provides a more versatile solution for vehicle identification. These contributions may lead to further developments in the field, ultimately improving the performance and reliability of license plate recognition and vehicle identification systems.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Data availability

Data will be publicly released. Link to data in the paper.

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