

Unit-2Regular expressions and Regular languages• Regular Language

A language  $L$  is known as regular if and only if it is recognized by a finite automata (FA).

A language  $L$  is known as regular if and only if it is described by a regular expression (RE).

Regular Expression

- The language accepted by finite automata ~~are~~ is easily expressed by simple expressions called regular expressions.
- A regular expression consists of strings of symbols from some alphabet  $\Sigma$ , parentheses () and operators (union, concatenation, closure).

Regular expressions has 3 operations

- union (+, or, |)
- concatenation (.)
- Kleene closure (\*)

Definition of a regular expression

Let  $\Sigma$  be a given alphabet, then the regular expressions over  $\Sigma$  are defined recursively

Let  $\Sigma$  be a given alphabet, then

- $\phi$ ,  $\epsilon$ , and  $a \in \Sigma$  are all regular expressions. These are known as primitive regular expressions.

Recursive definition:

if  $r_1$  and  $r_2$  are regular expressions, then the following expressions are also regular expressions

$$\text{• (Union)} \quad r_1 + r_2 \Rightarrow r_1 \text{ or } r_2$$

$$\text{• Concatenation} \quad r_1 \cdot r_2 \Rightarrow r_1 \text{ followed by } r_2$$

$$\text{• } r_1^* \Rightarrow r_1 \text{ repeated zero or more times (closure)}$$

- A string of symbols is a regular expression if and only if it can be derived from primitive regular expressions by finite applications of recursive definition.

### Precedence of operators

parentheses	( )	(Highest)
closure	*	
concatenation	.	
union	+	(Lowest)

↑ increases

### Identities for regular expressions

$$I_1 \quad \phi + R = R = R + \phi$$

$$I_2 \quad \phi R = R \phi = \phi$$

$$I_3 \quad \epsilon R = R \epsilon = R$$

$$\epsilon + R = R + \epsilon = R$$

$$I_4 \quad \epsilon^* = \epsilon$$

$$\phi^* = \epsilon$$

$$I_5 \quad R + R = R$$

$$I_6 \quad R^* R^* = R^*$$

$$R^* R^* = R^* = R^*(R + \epsilon)$$

$$I_7 \quad R R^* = R R^*$$

$$I_8 \quad (R^*)^* = R^*$$

$$I_9 \quad \epsilon + R R^* = R^*$$

$$I_{10} \quad Q(PQ)^* P = P(QP)^*$$

$$I_{11} \quad (P^* R^*)^* = (P + R)^*$$

## Regular Expressions

- another type of language defining notations
- applications  $\Rightarrow$  in text-search  
 $\Rightarrow$  in compiler components (lexical analyzer)
- closely related  $\Rightarrow$  NFA.

### operations

- Union
- concatenation
- closure

### Precedence of Regular Expression operators

- closure (highest)
- concatenation
- Union (lowest)

## Examples of regular expressions

- ① set of strings of alternating 0's and 1's

$$(01)^* + (10)^* + \underbrace{0(10)^*}_{\text{for even length strings}} + \underbrace{1(01)^*}_{\text{for odd length strings}}$$

or

$$[(\epsilon + 1) \quad (01)^* \quad (\bullet \epsilon + D)]$$

- ② a set of strings of length exactly 2.

$$(a+b)(a+b)$$

$\overline{\uparrow}$        $\overline{\uparrow}$   
a,b      a,b

- ③ a set of strings of length at least two

$\overline{\uparrow}$        $\overline{\uparrow}$       many more  
a,b      a,b      or more.

$$(a+b)(a+b)(a+b)^*$$

- ④ a set of strings of length at most 2.  $\Rightarrow$  can be 0, 1, 2.

$\overline{\uparrow}$        $\overline{\uparrow}$        $\Rightarrow (\epsilon + a + b)(\epsilon + a + b)$   
a,b      a,b

Ques: At least one  $a$  and at least one  $b$  over alphabet  $\Sigma = \{a, b, c\}$

$$(a+b+c)^* \underline{a} (a+b+c)^* \underline{b} (a+b+c)^* +$$

$$(a+b+c)^* \underline{b} (a+b+c)^* \underline{a} (a+b+c)^*$$

or  $(a+b+c)^* [ a(a+b+c)^* b ] + [ b(a+b+c)^* a ] (a+b+c)^*$

Ques: The set of strings over  $\Sigma = \{0, 1\}$ , whose tenth symbol from right

end is 1. any sub string  $\downarrow \quad \downarrow \quad \leftarrow$

$$\Rightarrow (0+1)^* 1 (0+1)(0+1)(0+1)(0+1)(0+1)(0+1)(0+1)(0+1)(0+1)$$

$$\Rightarrow (0+1)^* 1 (0+1)^9$$

Ques: at most one pair of consecutive 1's. • at most  $\overset{\epsilon}{\nearrow}$   
one pair  $\overset{11}{\nearrow}$

$$(0+10)^*(\epsilon+11)(0+01)^*$$

• any number of zero's can be starting or ending.

Ques: 3 consecutive zero's.

$$(0+1)^* 000 (0+1)^*$$

$\Rightarrow$  Regular expression for no two consecutive 1's except for possibly a string of 1's at end.

$$(0+01)^* 1^*$$

$\Rightarrow$  The set of strings in which every pair of adjacent zero's appears before any pair of adjacent ones.

$$(10+0)^* (1+10)^*$$

1 Language associated with regular expression or

regular sets denoted by regular expressions

If  $R$  is a regular expression then  $L(R)$  denotes the language associated with  $R$ .

•  $\emptyset$  is a regular expression denoting empty set  
 $R = \emptyset \quad L(R) = \{\}$

•  $\epsilon$  is a regular expression denoting  $\{\epsilon\}$   
 $R = \epsilon \quad L(R) = \{\epsilon\}$

• for every  $a \in \Sigma$ ,  $a$  is regular expression denoting  $\{a\}$   
 $R = a \quad L(R) = \{a\}$

• if  $r_1$  and  $r_2$  are regular expressions, then

$$R = r_1 + r_2 \quad L(R) = L(r_1) \cup L(r_2)$$

$$R = r_1 \cdot r_2 \quad L(R) = L(r_1) \cdot L(r_2)$$

$$R = r_1^* \quad L(R) = (L(r_1))^*$$

Equivalence of regular expressions with finite automata

Regular expressions and finite automata are equivalent in their descriptive power that is

Any regular expression can be converted into finite automata that recognizes the language and vice versa.

Any FA can be converted to regular expression (equivalent FA's) that describe the language.

Conversion

- Regular expression to FA
- FA to regular expression.

## DFA to regular Expressions

### Converting DFA to regular expression

- Two methods

- Arden's Method

- State Elimination (Removal) Method

#### Arden's Theorem:

- Arden's theorem is used to convert a given DFA to regular expression
- It states that "Let P and Q be two regular expressions over  $\Sigma$ . If P does not contain epsilon or null string then  $R = Q + RP$  has a unique solution

$$R = QP^*$$

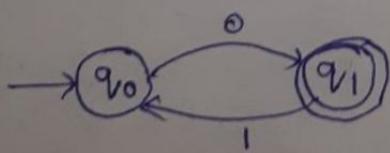
#### conditions:

- To use arden's theorem, the following conditions must be satisfied.
  - The transition diagram must not have any  $\epsilon$  transition.
  - There must be only a single initial state.

#### Steps:

- Form an equation for each state considering incoming transitions towards the state.
- Add the epsilon  $\epsilon$  in the initial state equation.
- Bring the final state in form  $R = Q + RP$ .
- if there exists multiple final states then
  - Write regular expression for each final state separately
  - Add all the regular expressions to get the final regular expressions.

#### Example: convert DFA to regular expression.



- Equations for each state considering incoming transitions

$$q_0 = q_1 \cdot 1 + \epsilon$$

$$q_1 = q_0 \cdot 0$$

Form final state in form  $\Rightarrow R = Q + RP$   
 $\uparrow$   
 state

$$q_1 = q_0 \cdot 0$$

$$q_1 = (\epsilon + q_1 \cdot 1) \cdot 0 \quad \text{substituting}$$

$$q_1 = \epsilon \cdot 0 + q_1 \cdot 1 \cdot 0$$

$$q_1 = \underline{0} + q_1 \cdot \underline{10}$$

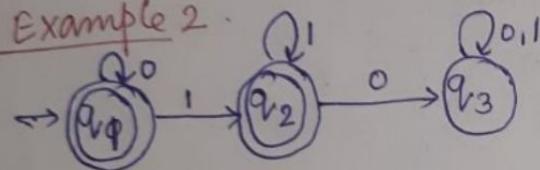
$$\text{solution: } R = \underline{Q} + RP$$

$$R = QP^*$$

$$q_1 = 0 \cdot (10)^*$$

The regular expression for given DFA  $\Rightarrow 0 \cdot (10)^*$

Example 2.



$$q_1 = \epsilon + q_1 \cdot 0 \Rightarrow R = Q + RP$$

$q_1 = \epsilon 0^*$	$\Rightarrow R = QP^*$
$q_1 = 0^*$	

$$q_2 = q_1 \cdot 1 + q_2 \cdot 1$$

$$q_2 = 0^* \cdot 1 + q_2 \cdot 1$$

$$q_2 = 0^* \cdot 1 \cdot 1^*$$

$q_1$  and  $q_2$  are final states

But  $q_3$  is not final

So we do not need to solve  $q_3$ .

$$q_1 + q_2 = 0^* + 0^* 1 1^*$$

$$= 0^* [\epsilon + 1 1^*]$$

$$= 0^* [\epsilon + 1^+] \Rightarrow 0^* 1^* \quad (\text{Regular Expression for given DFA})$$

Equations for each state

$$q_1 = \epsilon + q_1 \cdot 0$$

$$q_2 = q_1 \cdot 1 + q_2 \cdot 1$$

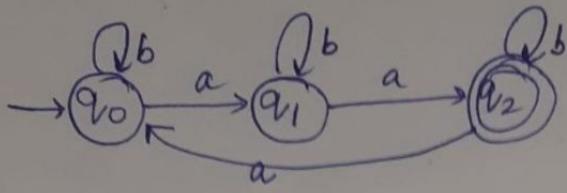
$$q_3 = q_2 \cdot 0 + q_3 \cdot (0+1)$$

Language

any number of zero's

followed by any number of one's.

### Example 3



### Equations

$$q_0 = \epsilon + q_0 \cdot b + q_2 \cdot a$$

$$q_1 = q_0 \cdot a + q_1 \cdot b$$

$$q_2 = q_1 \cdot a + q_2 \cdot b$$

$q_2$  is final state

$$q_0 = \epsilon + q_2 a + q_0 b$$

$$R = Q + RP$$

$$R = QP^*$$

$$q_0 = (\epsilon + q_2 a) b^*$$

$$\boxed{q_0 = \epsilon b^* + q_2 a b^*} \Rightarrow \boxed{q_0 = b^* + q_2 a b^*}$$

$$q_1 = q_0 \cdot a + q_1 \cdot b$$

$$q_1 = \underline{(b^* + q_2 a b^*) \cdot a + q_1 \cdot b}$$

$$R = Q + RP \Rightarrow R = QP^*$$

$$q_1 = \underline{(b^* + q_2 a b^*) \cdot a \cdot b^*}$$

$$\boxed{q_1 = b^* a b^* + q_2 a b^* a b^*}$$

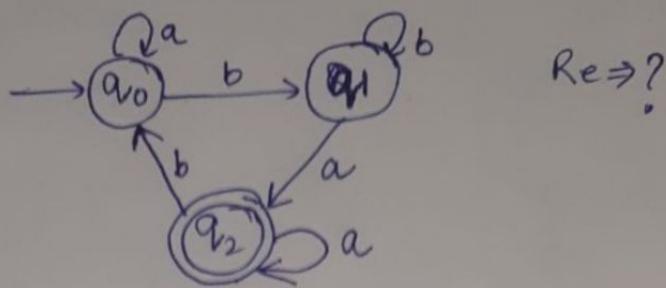
$$q_2 = \underline{q_1 \cdot a + q_2 \cdot b}$$

$$q_2 = \underline{(b^* a b^* + q_2 a b^* a b^*) a + q_2 \cdot b} \Rightarrow b^* a b^* a + q_2 a b^* a b^* a + q_2 b$$

$$q_2 = b^* a b^* a + q_2 [a b^* a b^* a + b]$$

$$\boxed{q_2 = b^* a b^* a (a b^* a b^* a + b)^*}$$

Practice Questions  
Example 4



Re? :

$$q_0 = q_0 a + q_2 b + \epsilon$$

$$q_1 = q_0 b + q_1 b$$

$$q_2 = q_2 a + q_1 a$$

Solve  $q_1$  Arden's Theorem

$$q_1 = \frac{q_0 b}{Q} + \frac{q_1 b}{P} \quad q_1 = q_0 b b^*$$

Solve  $q_2$   $q_2 = q_2 a + \frac{q_0 b b^* a}{Q} a$   
 $= \frac{q_0 b b^* a}{Q} + \frac{q_2 a}{P}$   $q_2 = q_0 b b^* a a^*$

Solve  $q_0$   $q_0 = q_0 a + q_0 b b^* a a^* + \epsilon$

$$q_0 = \frac{q_0 (a + b b^* a a^*)}{P} + \frac{\epsilon}{Q}$$

$$q_0 = \epsilon (a + b b^* a a^*)^* \Rightarrow q_0 = (a + b b^* a a^*)^*$$

Solve  $q_2$

$$q_2 = q_0 b^* a + q_0 b b^* a a^*$$

e  $q_2 = (a + b b^* a a^*)^* b b^* a a^*$

regular expression

$$(a + b b^* a a^*)^* b b^* a a^*$$

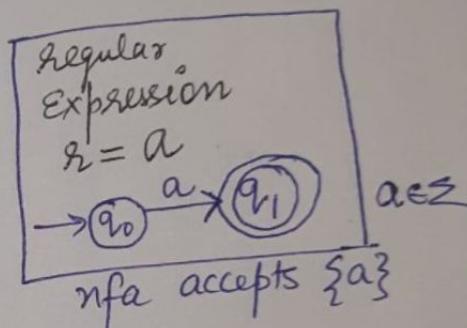
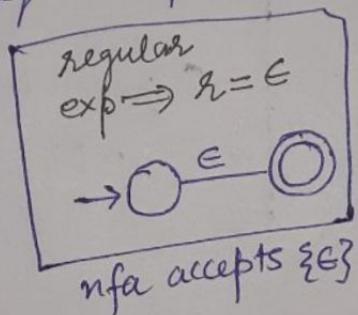
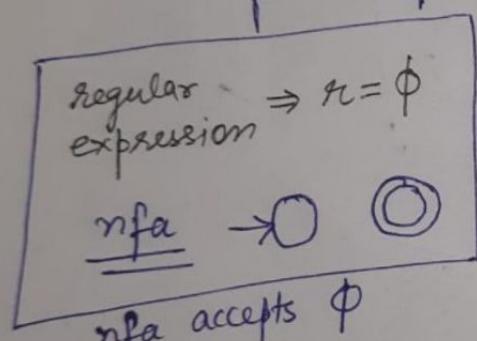
## $\epsilon$ -NFA from Regular Expression

- Let  $r$  be a regular expression
- Let  $L(r)$  is a regular language described by the regular expression  $r$ .

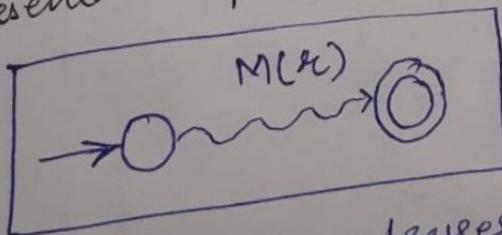
Note for every regular expression, there is a regular language  
for every regular language, there is a regular expression

Theorem: Let  $r$  be a regular expression. Then there exists some non-deterministic finite accepter that accepts  $L(r)$ . Consequently  $L(r)$  is a regular language.

Proof we begin with automata that accept languages for simple regular expressions.



schematic representation of an nfa accepting  $L(r)$



Let  $r_1$  and  $r_2$  are two regular expresss.

$L(r_1)$  language described by  $r_1$

$L(r_2)$  language described by  $r_2$

$r_1 + r_2 \Rightarrow$  union of  $r_1$  and  $r_2$

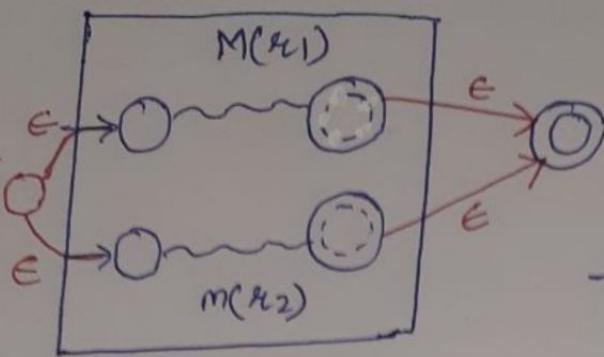
$L(r_1 + r_2) \Rightarrow$  language described by union of  $r_1, r_2$ .

" " " " concatenation of  $r_1$  and  $r_2$

$L(r_1 \cdot r_2) \Rightarrow$  "

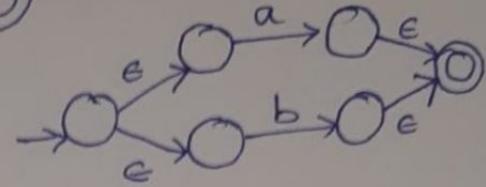
NFA

or  
automata for  
 $L(r_1 + r_2)$



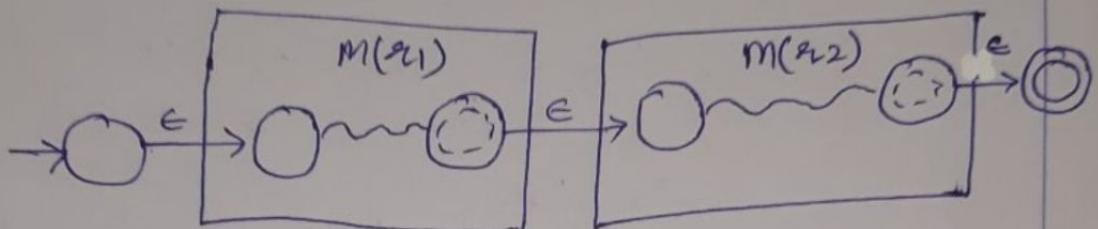
Example

$$r = a + b$$



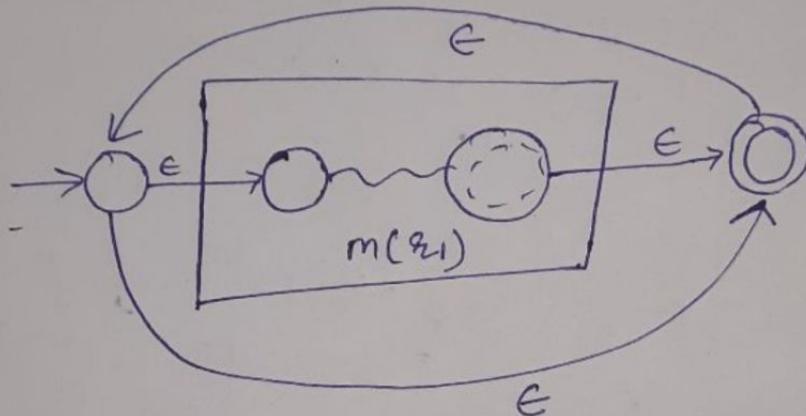
ENFA

or  
Automata  
for  $L(r_1, r_2)$



GNFA

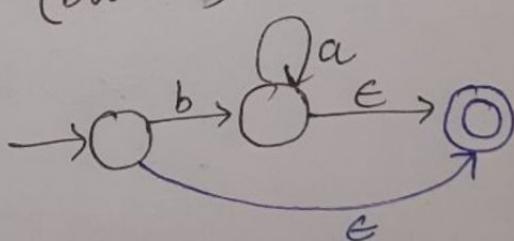
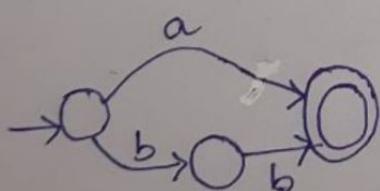
or  
automata  
for  $\epsilon L(r_1^*)$



Ques: Draw automata for regular expressions. directly

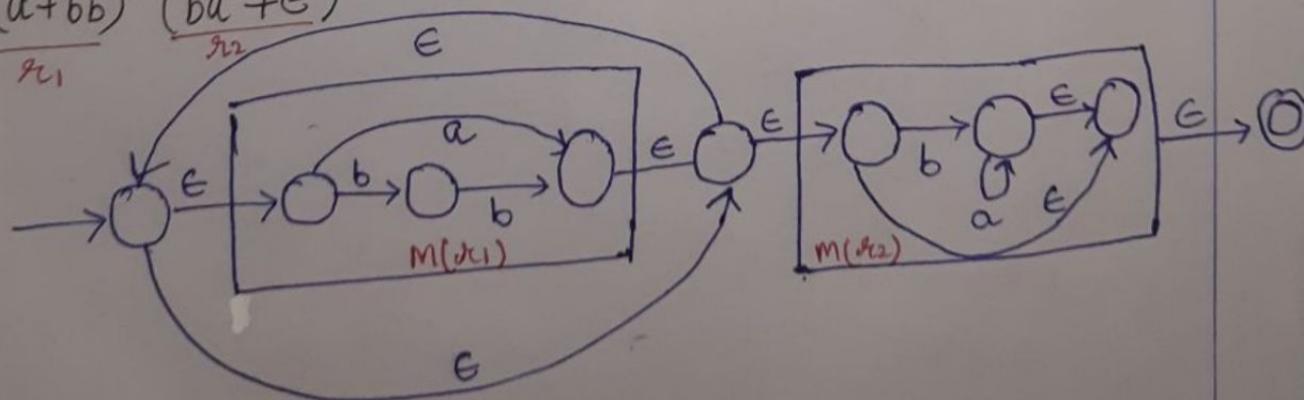
$$a + bb$$

$$(ba^* + \epsilon)$$



$$(a + bb)^* \quad (ba^* + \epsilon)$$

$$r_{11}$$



## Equivalence of Two finite automata

Steps to identify equivalence (Comparison Method)

- For any pair of states  $\{q_i, q_j\}$ , the transition for input  $a \in \Sigma$  is defined by  $\{q_a, q_b\}$  where  $\delta(q_i, a) = q_a$   $\delta(q_j, a) = q_b$

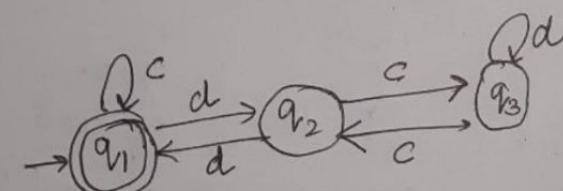
The two automata are equivalent if for a pair  $\{q_a, q_b\}$ , both

- both the states  $q_a, q_b$  are non-final.
- both the states  $q_a, q_b$  are final.

- If initial state is final state of one automaton, then in second automaton it should be also final state.

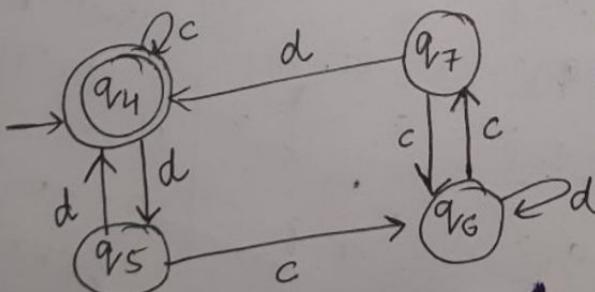
### Example

M1 DFA



	c	d
$q_1$	$q_1$	$q_2$
$q_2$	$q_3$	$q_1$
$q_3$	$q_2$	$q_3$

M2 DFA



	c	d
$q_4$	$q_4$	$q_5$
$q_5$	$q_6$	$q_4$
$q_6$	$q_7$	$q_6$
$q_7$	$q_6$	$q_4$

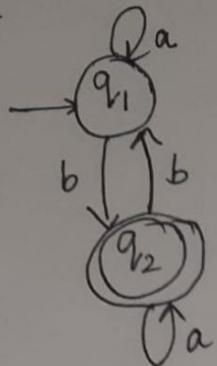
Comparison method  
Draw transition Table  
or comparison Table

Hence M<sub>1</sub> and M<sub>2</sub> are equivalent.

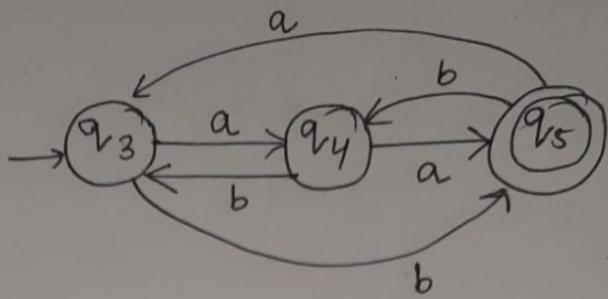
	c	d
$\{q_1, q_4\}$	$\{q_1, q_4\}$	$\{q_2, q_5\}$
$\{q_2, q_5\}$	$\{q_3, q_6\}$	$\{q_1, q_4\}$
$\{q_3, q_6\}$	$\{q_2, q_7\}$	$\{q_3, q_6\}$
$\{q_2, q_7\}$	$\{q_3, q_6\}$	
$\{q_2, q_7\}$	$\{q_3, q_6\}$	
$\{q_3, q_6\}$	$\{q_1, q_4\}$	

All state pair contains either both final states or both non-final states.

Example 2



M1 DFA



M2  
DFA

Transition Table M1

	a	b
$\rightarrow q_1$	$q_1$	$q_2$
$q_2$	$q_2$	$q_1$

Transition Table M2

	a	b
$\rightarrow q_3$	$q_4$	$q_5$
$q_4$	$q_5$	$q_3$
$q_5$	$q_3$	$q_4$

initial state  $\Rightarrow \{q_1, q_3\}$

comparison Table

	a	b
$\rightarrow \{q_1, q_3\}$	$\{q_1, q_4\}$	$\{q_2, q_5\}$
$\{q_1, q_4\}$	$\{q_1, q_5\}$	$\{q_2, q_3\}$
$\{q_2, q_5\}$	$\{q_2, q_3\}$	$\{q_1, q_4\}$
$\{q_1, q_5\}$	$\{q_1, q_3\}$	$\{q_2, q_4\}$
$\{q_1, q_3\}$	$\{q_2, q_4\}$	$\{q_1, q_5\}$
$\{q_2, q_4\}$	$\{q_2, q_5\}$	$\{q_1, q_3\}$
$\{q_1, q_5\}$	$\{q_1, q_3\}$	$\{q_2, q_4\}$
$F \quad NF$	$q_2 \quad q_3$	$q_1 \quad q_5$
$q_2 \quad q_4$	$q_2 \quad q_5$	$q_1 \quad q_3$

There exist pairs of states where one state is final and another one is non-final.

Hence DFA  $M_1$  and  $M_2$  are not equivalent.

## Definition of a transition graph

A transition graph is defined by 5-tuples.

$Q$  A finite set of states

$\Sigma$  a finite set of input symbols

$S \subseteq Q$  a set of starting states  $S \subseteq Q$  (non-empty set)

$F \subseteq Q$  a set of final or accepting states

$\delta$  A finite set of transitions, (directed edge labels)  $(q_i, a, q_j)$   
where  $q_i, q_j \in Q, a \in \Sigma$

- The transition graph is a directed labelled graph.

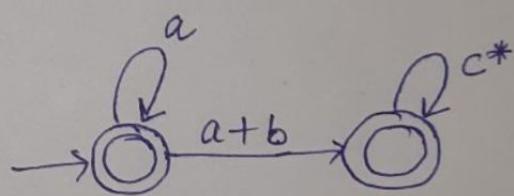
## Generalized transition graph

A generalized transition graph is a transition graph whose edges are labeled with regular expressions; otherwise it is same as the usual transition graph.

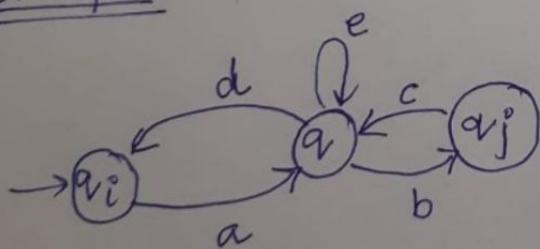
### Example

### Generalized graph for language

$$L(a^* + a^*(a+b)c^*)$$

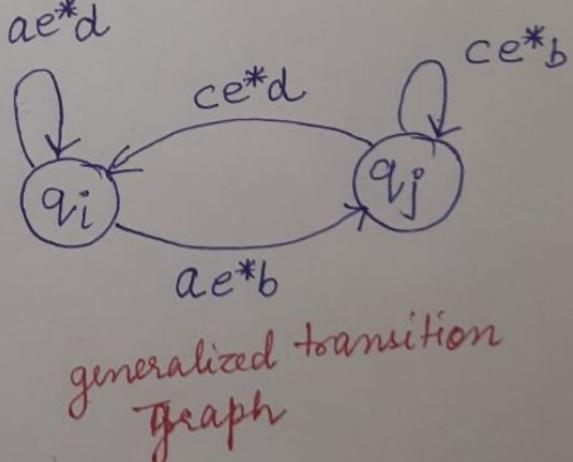


### Example



seed Transition graph

removal  
of  $q$



Pigeonhole Principle

If we put  $n$  objects (pigeons) into  $m$  boxes (pigeon holes), and if  $n > m$  then at least one box must have more than one item in it.

Pumping Lemma for regular languages (or regular sets)

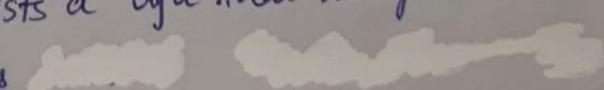
- pumping lemma for regular languages uses pigeonhole principle. The proof is based on the observation that in a transition graph with  $n$  states for any walk of length  $n$  or longer than  $n$  must repeat some vertex, that is contain a cycle.

Theorem: Let  $L$  be an infinite regular language. Then there exists some positive integer  $m$  such that any  $w \in L$  with  $|w| \geq m$  can be decomposed as

$$w = xyz \quad \text{with } |xy| \leq m \text{ and } |y| \geq 1$$

such that  $w_i = xy^i z$  is also in  $L$  for all  $i = 0, 1, 2, \dots$

It means "Every sufficiently long string in  $L$  can be broken into three parts in such a way that any arbitrary number of repetitions of the middle part (i.e  $y$ ) yields another string in  $L$ . We say that the middle string is pumped. Hence the pumping lemma term used."

Proof • Let  $L$  is regular, there exists a DFA that recognizes it. Let such a DFA has  $n$  states 

- Now take a string  $w$  in  $L$  such that  $|w| \geq m$  if  $m \geq n$  then there exists  $x, y, z$  such that  $w = xyz$ ,  $y \neq \epsilon$  and  $xy^i z \in L$  for each  $i \geq 0$ .

Let  $w = a_1 a_2 a_3 \dots a_m$

$m > n$

$|w| \geq m$  so it  $w$  contains at least  $m$  symbols.

$$\delta(q_0, a_1 a_2 a_3 \dots a_i) = q_i \text{ for } i=1, 2, 3, \dots, m$$

$$Q_1 = \{q_0, q_1, q_2, \dots, q_m\}$$

$Q_1$  is sequence of states in the path with value  $w = a_1 a_2 \dots a_m$

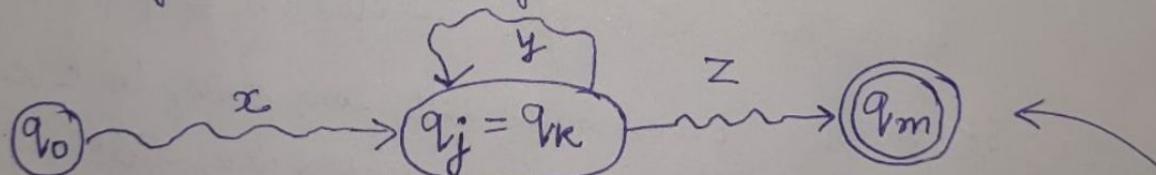
As there are  $n$  distinct states, at least two states in  $Q_1$  must coincide.

- Among various pairs of repeated states, we take the first pair.
- Let take  $q_j$  and  $q_k$  ( $q_j = q_k$ )
- Then  $j$  and  $k$  satisfy the condition  $0 \leq j < k \leq n$

$w$  can be decomposed into three substrings

$$\frac{a_1 a_2 \dots a_j}{x}, \frac{a_{j+1} \dots a_k}{y}, \frac{a_{k+1} \dots a_m}{z}$$

As  $k \leq n$ ,  $|xyz| \leq n$  and  $w = xyz$



As  $k \leq n$ ,  $|xyz| \leq n$  and  $w = xyz$ . The path with path value  $w$  shown. The automaton M starts from the initial state  $q_0$ . On applying string  $x$ , it reaches  $q_j$  ( $\circ = q_k$ ). On applying the string  $y$ , it comes back to  $q_j$  ( $= q_k$ ). So

after application of  $y^i$  for each  $i \geq 0$  the automaton is in same state  $q_j$ .

On applying  $z$ , it reaches final state  $q_m$ .

Hence  $xyz \in L$ . As every state in  $Q_1$  is obtained by applying an input symbol,  $y \neq \epsilon$ .

## Pumping lemma

- gives necessary conditions for an input string to belong to a regular set.
- pumping lemma gives a method of pumping (generating) many strings from a given string.
- Pumping lemma gives a necessary condition, that can be used to show that certain language is not regular.
- It is a negative test.

## Application of pumping lemma

- Pumping lemma is to be applied to show that certain languages are not regular.
- If a language is regular, it satisfies pumping lemma.
- If  $L$  does not satisfy pumping lemma, then it is not regular.

Method to prove that a language  $L$  is not regular.

- First, assume that  $L$  is regular, it has pumping length  $p$ .
- So pumping lemma should hold for  $L$ .
- Use the pumping lemma to obtain contradiction.
  - select  $w$  such that  $|w| \geq p$
  - select  $y$  such that  $|y| > 1$
  - select  $x$  such that  $|xy| \leq p$
  - assign the remaining string to  $z$ .
  - select  $i$  such that the resulting string is not in  $L$ .

Hence  $L$  is not regular.

If  $L$  is a regular language then  $L$  has a pumping length  $p$  such that any string  $w$  where  $|w| \geq p$  may be divided into 3 parts  $w = xyz$  such that following conditions must be true.

- ①  $|y| > 0$
- ②  $|xy| \leq p$
- ③  $xy^i z \in L$  for all  $i$

Ques: Show that  $L = \{a^n b^n \mid n \geq 0\}$  is not a regular language.

Assume  $L$  is a regular language, it has a pumping length  $p$ .

- any string such that  $|\text{string}| \geq p$  can be pumped.
- choose a string  $w$  such that  $w = a^p b^p$
- Now divide  $w$  into  $xyz$  such that

$$|y| > 0$$

$$|xy| \geq p$$

- Assume  $p = 7$

- $w = aaaaaaaaaaaaaaaaaaaaaaa$

Case 1  $y$  has only a's

$$\frac{aaaaaaa}{x} \frac{aaaaaa}{y} \frac{bbbbbb}{z}$$

Here  $|y| > 0$  and  $|xy| \leq p$   
 $6 \leq 7$

$$i=2 \quad \frac{aa}{y} \frac{aaaa}{y} \frac{aaaa}{y} \frac{abbbbb}{z} \notin L \quad \text{condition ③ fails.}$$

Case 2  $y$  has b part only.

$$\frac{aaaaaaaa}{x} \frac{bbbbbb}{y} \frac{bb}{z}$$

Here  $|y| > 0$  and  $|xy| \leq p$   
 $9+4 \not\leq 7$

$i=2$

$$\frac{\text{aaaaaaaaabbb}}{x} \frac{\text{bbbb}}{y} \frac{\text{bbbb}}{y} \frac{b}{z} \notin L$$

Condition ②  
Condition ③  
failed

Case 3  $y$  is in a and b part

$$\frac{\text{aaaaaaaaabbbbbbbb}}{x} \frac{\text{bbbb}}{y} \frac{\text{bbbb}}{z}$$

Here  $|y| > 0$      $|xy| \leq p$   
 $9 \neq 7$

$i=2$

$$\frac{\text{aaaaaa}}{x} \frac{\text{aabb}}{y} \frac{\text{aabb}}{y} \frac{\text{bbbb}}{z} \notin L$$

Condition ②  
Condition ③ failed

Condition  $xyiz \notin L$

This is the contradiction.  
Hence  $L$  is not regular.

Ques Show that  $L = \{ww \in \{a,b\}^*\}$  is not regular using pumping lemma.

- Assume  $L$  is regular and it has pumping length  $p$ .
- any string such that  $|\text{string}| \geq p$  can be pumped.
- choose  $w = a^p b a^p b$
- Assume  $p=7$
- Divide  $w$  into  $xyz$

$$\frac{\text{aaaaaaaaab}}{x} \frac{\text{aaaaaaaaab}}{y} \frac{\text{aaaaaaaaab}}{z}$$

$|y| > 0 \Rightarrow \text{True}$   
 $|xy| \leq p$   
 $6 \leq p \Rightarrow \text{True}$

$i=2$

$$aa \frac{\text{aaaa}}{y} \frac{\text{aaaa}}{y} \frac{\text{abaaaaaaaaab}}{z} \notin L$$

$xy^2z \notin L$

$xy^i z \notin L$   
Condition ③ failed.

We get a contradiction, hence,

$L = \{ww \mid w \in \{a,b\}^*\}$  is not a regular language.

Ques:  $L = \{w \in \{a,b\}^* \mid w \text{ has an equal number of } a's \text{ and } b's\}$   
is not regular.

- Assume  $L$  is regular.
- We know that  $L_1 = a^*b^*$  is regular
- Hence the  $L_1 \cap L$  must be regular because regular language is closed under intersection.
- $L_1 \cap L \Rightarrow L_2 = \{a^n b^n\}$
- It has been proved by pumping lemma,  $\{a^n b^n : n \in \mathbb{N}\}$  is not regular
- This is the contradiction to the closure property of regular languages under intersection.

Hence  $L = \{w \in \{a,b\}^* \mid w \text{ has equal number of } a's \text{ and } b's\}$  is not regular.

### Example

Show that  $L = \{a^p \mid p \text{ is a prime number}\}$  is not a regular language.

① Assume  $L$  is regular language. Let  $n$  be the number of states in the finite automata accepting  $L$ .

② Let  $p$  be a prime number greater than  $n$ . Let  $w = a^p$

③ By pumping lemma,  $w$  can be written as  $w = xyz$  with  $|xy| \leq n$  and  $|y| > 0$ .

$x, y, z$  are simply strings of  $a$ 's

So  $y = a^m$  for some  $m \geq 1$  and  $m \leq n$

④ Let  $i = p+1$

$$\text{Then } |xy^iz| = |xyz| + |y^{i-1}|$$

$$= p + (i-1)m$$

$$= p + im - m$$

$$= p + (p+1)m - m$$

$$= p + pm + m - m$$

$$= p + pm$$

$$= p(1+m)$$

since  $w = a^p, |w| = p$   
 $w = xyz, |xyz| = p$   
 $y = a^m$   
 $y^{i-1} \Rightarrow (a^m)^{i-1}$   
 $|y^{i-1}| \Rightarrow (i-1)m$   
 $i = p+1$

$\rightarrow p(1+m)$  is not a prime number

$\rightarrow |xy^iz|$  is not a prime number

$\rightarrow xy^iz \notin L$

This is a contradiction. Therefore,  $L$  is not a regular language.

Example show that  $L = \{a^{i^2} \mid i \geq 1\}$  is not a regular

① suppose  $L$  is regular. Let  $p$  be the number of states in the finite automaton accepting  $L$ .  $L$  has a pumping length  $p$ .

② let  $w = a^{p^2}$ . Then  $|w| = p^2$ ,  $p^2 > p$ . By pumping lemma we can write  $w = xyz$  with  $|xy| \leq p$  and  $|y| > 0$

③ Now consider  $xy^2z$ ,  $xy^iz$  where  $i=2$

$$|x| + 2|y| + |z| > |x| + |y| + |z| \quad \text{as } y > 0$$

This means

$$|xy^2z| = p^2 < |xy^iz|$$

$$|x| + |y| + |z| = p^2 < |x| + 2|y| + |z|$$

As per condition  $|xy| \leq p$  so we have  $|y| \leq p$ .

$$\begin{aligned} |xy^2z| &= |x| + 2|y| + |z| \\ &= |x| + |y| + |y| + |z| \\ &\stackrel{?}{=} \frac{|x| + |y| + |z|}{p^2 + p} + |y| \\ &\leq \frac{|x| + |y| + |z|}{p^2 + p} + |y| \quad \because |y| \leq p \end{aligned}$$

$$\therefore |xy^2z| < |xy^iz|$$

$$p^2 < |xy^2z| \leq p^2 + p$$

$$p^2 < |xy^2z| < p^2 + p + p + 1$$

$$p^2 < |xy^2z| < (p+1)^2$$

Hence  $|xy^2z|$  strictly lies b/w  $p^2$  and  $(p+1)^2$  but is not equal to any one of them.

Thus  $|xy^2z|$  is not a perfect square and so  $xy^2z \notin L$ .

This is contradiction. Therefore  $L$  is not regular.

## Closure Properties of regular sets or regular language

- class of regular sets or regular language is closed under following operations
  - Union
  - Concatenation
  - closure (iteration)
  - Transpose
  - Complementing
  - set intersection

### Transpose

if  $L$  is regular, then  $L^T$  is also regular.

if  $L$  is a regular language then we can create a finite automaton  $M = (Q, \Sigma, q_0, \delta, F)$  such that  $M$  accepts  $L$ .

we can construct a transition system  $M'$  by starting with the starting state of  $M$ , and reversing the direction of directed edges

- The set of initial states of  $M'$  = set of final states of  $M$   
 $= F$
- The final state of  $M'$  = initial state of  $M$   
 $= q_0$

{ initial state  $\Rightarrow$  final state  
final state = initial state  
reverse the edges }

if  $w \in L$ . we have a path from  $q_0$  to some final state in  $F$  with path value  $w$ .

By reversing the edge, we get path value in  $M' \Rightarrow w^T$  from initial to final. So  $w^T \in L(M')$

$\Rightarrow L(M')$  is regular.

## Closure Properties of regular language:

- ① If  $L_1$  and  $L_2$  are two regular languages then,  
 $L_1 \cup$  (union)  $L_2$  will also be regular.

Proof :  $L_1$  and  $L_2$  are two regular languages.  
There exists two regular expressions  $r_1$  and  $r_2$  such that  
 $L_1 = L(r_1) \Rightarrow$  Language represented by  $r_1$   
 $L_2 = L(r_2) \Rightarrow$  Language represented (described by  $r_2$ )  
By regular expression's definition, we know that  
 $r_1 + r_2$  is a regular expression.

Hence  $L_1 \cup L_2$  or  $L_1 + L_2$  will also be regular.

- ② If  $L_1$  and  $L_2$  are two regular languages, then  $L_1 \cdot L_2$  (concatenation)  
of  $L_1$  and  $L_2$  will also be regular.

There exists two regular expressions  
 $r_1$  and  $r_2$  such that

$$L_1 = L(r_1)$$

$$L_2 = L(r_2)$$

According to the regular expression  
definition  $r_1 \cdot r_2$  is also a regular  
expression the  $L_1 \cdot L_2$  is also  
regular language.

- ③ If  $L$  is a regular language the  $L^*$  (Kleene closure)  
of  $L$  is also a regular language.

Now If  $L$  is a regular expression, then, there  
exists a regular expression  $r$  such that

$$L = L(r)$$

By the definition of regular expression  
 $r^*$  (Kleene closure of  $r$ ) is also  
regular expression. Hence  $L$  is regular  
language.

## Union, Intersection and complements

- Suppose  $L_1$  and  $L_2$  are regular languages over an alphabet  $\Sigma$ .
- There are two FAs  $M_1$  and  $M_2$  accepting  $L_1$  and  $L_2$  respectively.

$$L_1 = L(M_1)$$

$$L_2 = L(M_2)$$

- According to closure properties of regular languages  
 $L_1 \cup L_2$ ,  $L_1 \cdot L_2$  and  $L_1^*$  are also regular languages.

Suppose  $M_1 = (\mathcal{Q}_1, \Sigma, q_1, \delta_1, F_1)$   $\begin{cases} q_1 \rightarrow \text{initial state} \\ F_1 \rightarrow \text{final states} \end{cases}$

 $M_2 = (\mathcal{Q}_2, \Sigma, q_2, \delta_2, F_2)$

Let  $M$  be an FA defined by  $M = (\mathcal{Q}, \Sigma, q_0, \delta, F)$

- $\mathcal{Q} = \mathcal{Q}_1 \times \mathcal{Q}_2$

- $q_0 = \{q_1, q_2\}$

- transition function  $\delta$  defined by

$$\delta(\{p, q\}, a) = \{ \delta_1(p, a), \delta_2(q, a) \}$$

for any  $p \in \mathcal{Q}_1$ ,  $q \in \mathcal{Q}_2$  and  $a \in \Sigma$

(union) • If  $F = \{(p, q) | p \in F_1 \text{ or } q \in F_2\}$   $M$  accepts  $L_1 \cup L_2$

(Intersection) • If  $F = \{(p, q) | p \in F_1 \text{ and } q \in F_2\}$   $M$  accepts  $L_1 \cap L_2$

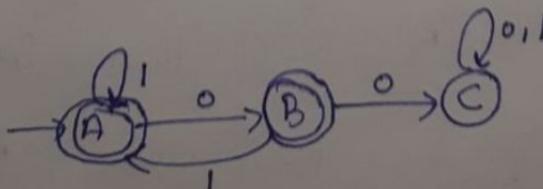
(Difference) • If  $F = \{(p, q) | p \in F_1 \text{ and } q \notin F_2\}$   $M$  accepts  $L_1 - L_2$

Example suppose  $L_1 = \{x | 00 \text{ is not a substring of } x\}$   
 $L_2 = \{x | x \text{ ends with } 01\}$   
 $\Sigma = \{0, 1\}$

$$M_1 \Rightarrow L_1$$

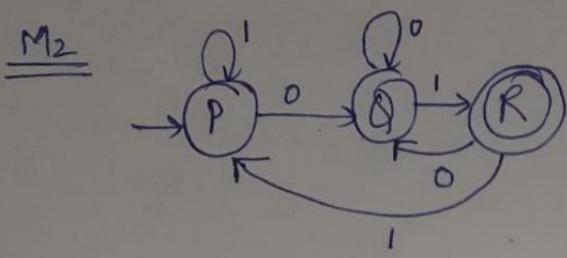
$$M_2 \Rightarrow L_2$$

$$\underline{m_1}$$



$$\mathcal{Q}_1 = \{A, B, C\}$$

$$F_1 = \{A, B\}$$



$$\mathcal{Q}_2 = \{P, Q, R\}$$

$$F_2 = \{R\}$$

- Transition Table of M<sub>1</sub>

	0	1
→ A	B	A
B	C	A
C	C	C

Transition Table of M<sub>2</sub>

	0	1
→ P	Q	P
Q	Q	R
R	Q	P

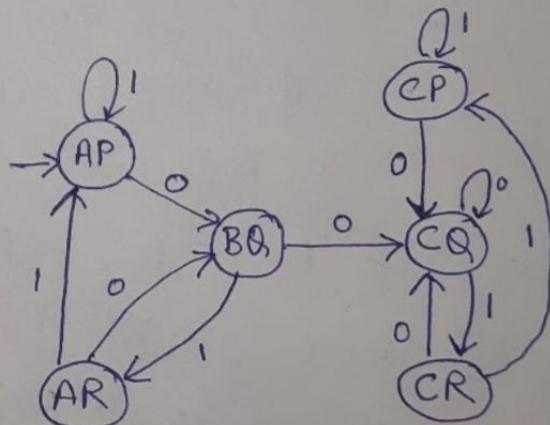
$$M \Rightarrow (\mathcal{Q}, \Sigma, \delta, q_0, F)$$

$$\mathcal{Q} = \mathcal{Q}_1 \times \mathcal{Q}_2$$

starting state of M<sub>1</sub>  
 $q_0 \Rightarrow (A, P)$  starting state of M<sub>2</sub>

- Transition Table for M

	0	1
→ {A, P}	{B, Q}	{A, P}
[B, Q]	[C, Q]	[A, R]
[C, Q]	[C, Q]	[C, R]
[A, R]	[B, Q]	[A, P]
[C, R]	[C, Q]	[C, P]
[C, P]	[C, Q]	[C, P]



For union of M<sub>1</sub> and M<sub>2</sub>

Final states

$$AP, BQ, AR, CR$$

For intersection of M<sub>1</sub> and M<sub>2</sub>

Final states  $\Rightarrow (AR)$   $A \in F_1$  and  $R \in F_2$

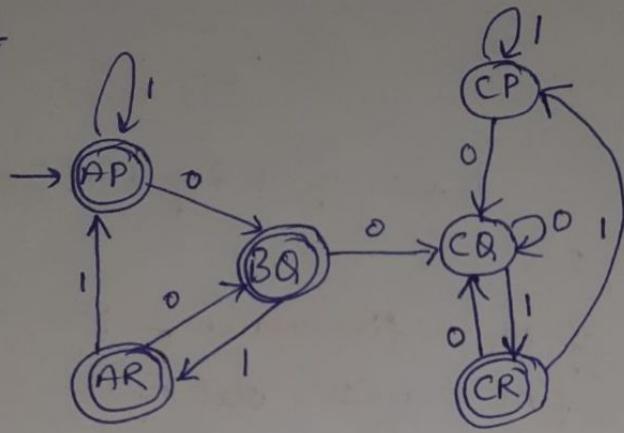
For difference ( $L_1 - L_2$ )

Final states  $\Rightarrow AP, BQ$

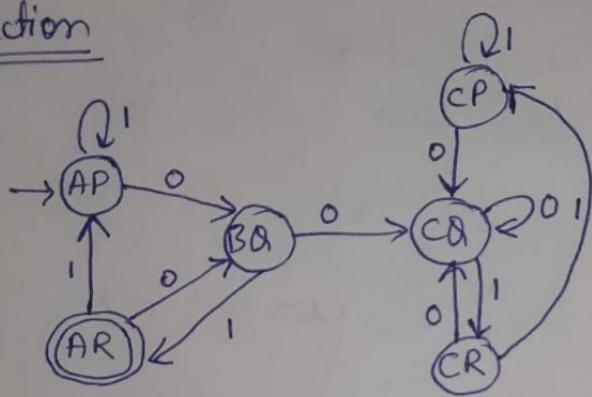
$$A \in F_1, P \notin F_2$$

$$B \in F_1, Q \notin F_2$$

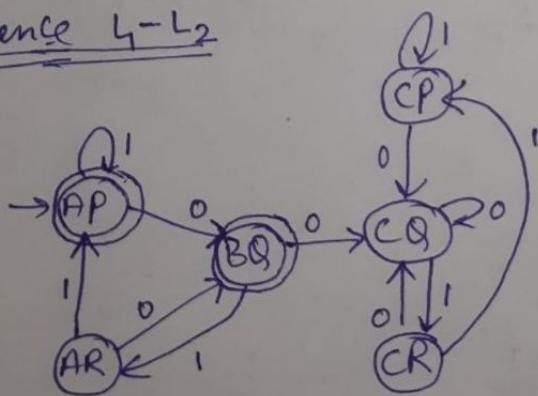
union



Intersection

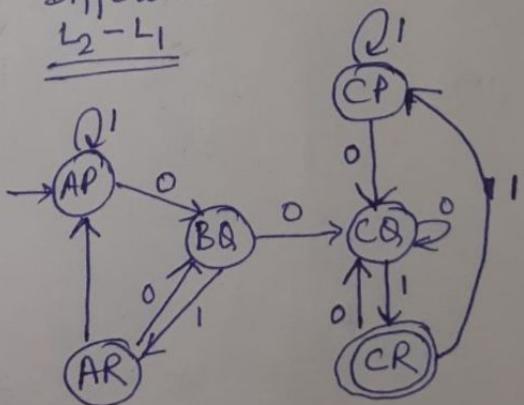


Difference  $L_1 - L_2$



Difference

$$L_1 - L_2$$



## Transpose

- If  $L$  is regular then  $L^T$  is also regular.

$L \Rightarrow M = (Q, \Sigma, q_0, S, F)$   $L$  is accepted by  $M$ .

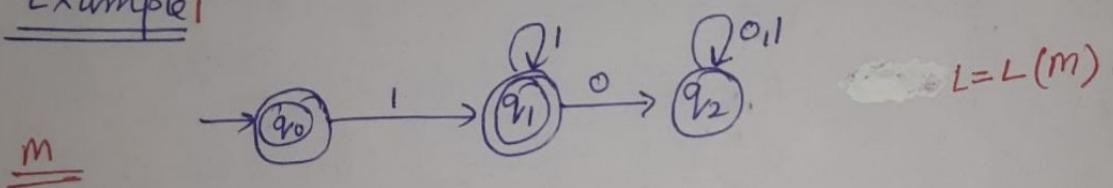
$L^T \Rightarrow M_1 = (Q, \Sigma, q'_0, S', F')$

initial state of  $M_1$  = final state of  $M$

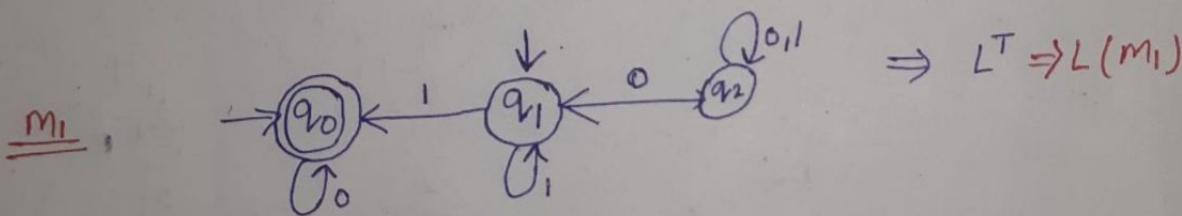
Final state of  $M_1$  = initial state of  $M$ .

- Reverse the direction of edges
- convert final state of  $M$  to initial state
- convert initial state of  $M$  to final state

### Example 1

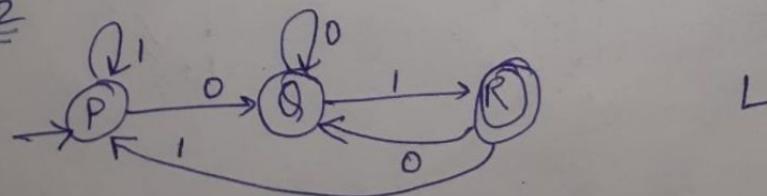


$$L = L(M)$$

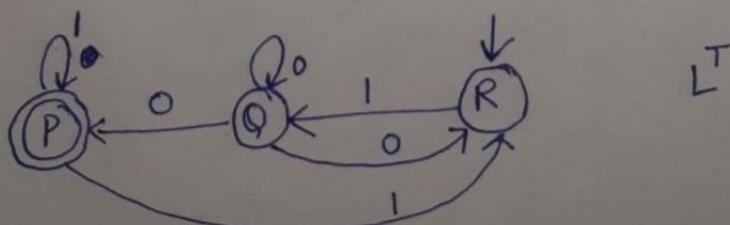


$$L^T \Rightarrow L(M_1)$$

### Example 2



↓ Reversal or transpose.



complement with respect to  $\Sigma^*$

if  $L$  is regular then  $\Sigma^* - L$  is also regular.

- if  $L$  is regular then we can create a DFA  $M = (Q, \Sigma, \delta, q_0, F)$  accepting  $L$ .

- we can construct another DFA  $M_1 = (Q, \Sigma, \delta, q_0, F')$  by defining  $F' = Q - F$

- The final state of  $M_1$  is a non-final state of  $M$  and a non-final state of  $M_1$  is a final state of  $M$ .

- $w \in L(M_1)$  if and only if

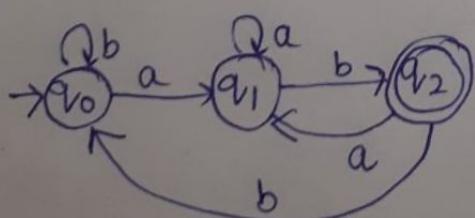
$$\delta(q_0, w) \in F'$$

$$= Q - F$$

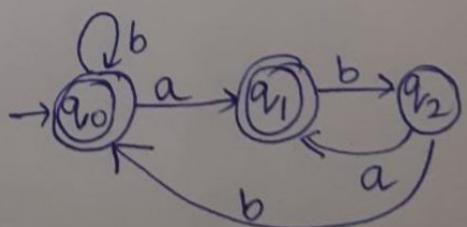
$$= \text{iff } w \notin L$$

Example

DFA for  $L = \{ w \in \{a, b\}^* \mid w \text{ ends with substring } ab \}$



complement  $\Rightarrow$



DFA  $\Rightarrow$   
 $L = \{ w \in \{a, b\}^* \mid w \text{ does not end with } ab \}$

## Decision Properties of Regular Languages:-

- Emptiness (is  $L$  empty?)
- Finiteness (is  $L$  finite?)
- Membership (is  $w \in L$ )
- Equivalence of two regular languages ( $L_1 \equiv L_2$ ?)
- Subset ( $is L_1 \subseteq L_2$ ?)

### Emptiness

- is  $L$  empty?
- Checking whether the given language is empty or not.
- Proof by construction FA: If there is not final state in minimized FA, then the language accepted by FA is empty.

### Finiteness

- Checking whether the given language is finite or not
- Proof by construction of FA: If there is no cycle and self loop in minimized FA (excluding reject state) then the language is finite.
- membership:
- checking whether the given string is member of language or belongs to a language.
- Proof by construction of FA: Run the string on DFA accepting language  $L$ . If  $w$  is the member of  $L$ , it will reach to final state otherwise it will reach to non-final state.

### Equivalence of two regular languages:

- Checking whether two regular languages equivalent or not.
- Proof by construction of FAs and comparison method

- construct DFAs  $M_1$  and  $M_2$  respectively for  $L_1$  and  $L_2$ .
- check the equivalence of DFAs using comparison method.
- if both the DFA's are equivalent, then the language is equivalent.

### Subset ( $L_1 \subseteq L_2$ )

- checking whether the given language  $L_1$  is subset of given language  $L_2$  or not.

Proof by construction of FA

construct DFA1 and DFA2 to accept language  $L_1$  and  $L_2$  respectively if  $DFA_1 \cap \text{complement}(DFA_2)$  accepts an empty language then

$L_1 \subseteq L_2$  otherwise  $L_1$  is not subset of  $L_2$ .

$L_1 \subseteq L_2$  if and only if  $L_1 \cap \text{complement}(L_2) = \emptyset$

$$L_1 \cap \overline{L_2} = \emptyset$$