

TOC

- Computing Min- Max
- Largest and Second Largest
- Lower Bounds (adversary)

Algorithm1 to find Min-Max in $2n-3$ comparisons

- STEPS:
 1. All keys are assumed to be distinct.
 2. Maximum of n keys can be found in $n-1$ comparisons.
 3. Eliminating max key we are left with $n-1$ keys.
 4. Minimum of $n-1$ keys can be found in $n-2$ comparisons.
 5. Total no of comparisons are $(n-1)+(n-2)=2n-3$.

Algorithm2 to find Min-Max in $3n/2 - 2$ comparisons

- STEPS:

1. All keys are assumed to be distinct.
2. We perform comparison on $n/2$ pairs.
3. After $n/2$ comparisons we get $n/2$ winners and $n/2$ losers.
4. So MAX of n keys is the maximum of these $n/2$ winners.
5. Similarly MIN of n keys is the minimum of these $n/2$ losers.

Lower Bounds

- Any algorithm to find min and max of n keys by comparison of keys must do at least $3n/2 - 2$ comparisons in the worst case.
- Proof:
 - Assume that the keys are distinct
 - Let x denotes max and y denotes min
 - We must know that every key other than x has lost to some comparison ----- $n-1$ unit of information required.
 - Also, we must know that every key other than y has won in some comparison ----- $n-1$ unit of information required.
 - Total : $2n - 2$ unit of information required to declare that x is max and y is min.

Adversary

- Devises a strategy that gives away minimum information.

Key status	Meaning
W	Has won at least one comparison and never lost
L	Has lost at least one comparison and never won
WL	Has won and lost at least one comparison
N	Has not yet participated in a comparison

Status of keys x and y compared by an algo	Adversary chooses	New status	Units of new information
N,N	$x > y$	W,L	2
W,N/ WL, N	$x > y$...,L	1
L,N	$x < y$..., W	1
W,W	$x > y$...,WL	1
L,L	$x > y$	WL,...	1
W,L/WL,L/W,W L	$x > y$	No change	0
WL,WL	Consistent with assigned values	No Change	0

Finding the Largest n the Second Largest

- If an algorithm first finds largest in $(n-1)$ comparisons and then finds 2nd largest from the remaining $(n-1)$ elements in $(n-2)$ comparisons, then

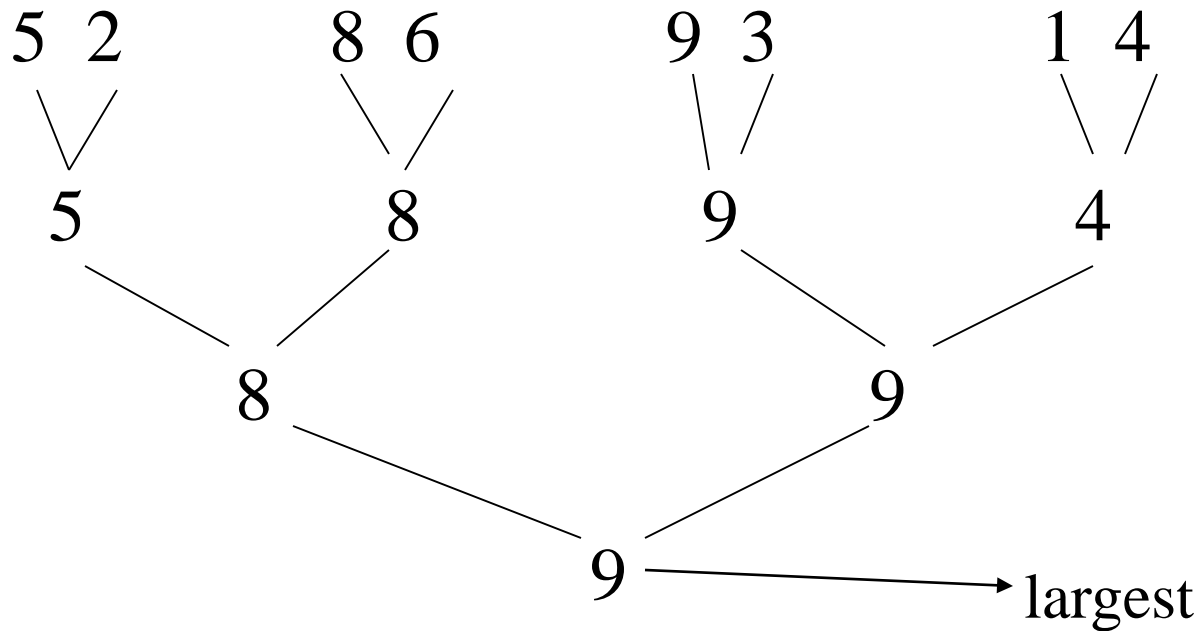
$$\begin{aligned}\text{Total no. of comparisons to find 2}^{\text{nd}} \text{ largest} \\ &= (n-1) + (n-2) \\ &= 2n - 3\end{aligned}$$

Next, we'll see an algorithm which does better than this.

Tournament Method

- Consider the method to find largest.

For e.g



- In this tree, we have $8-1 = 7$ comparisons.

with the largest element

As 8, 3 & 4 loose only once to reach largest, they are the only candidates for 2nd largest.

no. of candidates for 2nd largest

= height of the tree

= $\log n$

Note:- If no. of keys is odd then extra key is considered in the end.

- As no. of keys = $\lg n$ (for 2nd largest)
therefore, no. of comparisons to find 2nd largest
= $\lg n - 1$.

Thus , total comparisons

$$= (n-1) + (\lg n - 1)$$

$$= n + \lg n - 2$$

Lower bound for Largest n Second Largest

- Any algorithm that works by comparing keys to find the second largest in a list of n keys must do at least $n + \log n - 2$ comparisons.
- If we can show that 'max' participated in at least $\log n$ comparisons we are done because
 - Any algorithm that computes second largest must compute the largest. Why?
 - To know that x is second largest, it must know that it is not the largest. That is it must loose to at least one comparison (and in fact exactly one, since if it looses to two or more it is not the second largest.). And, when x looses to exactly one key , it knows that that key must be the largest.
 - If 'max' looses to only one key, we know that that key must be the second largest. But what if 'max' looses' to more than one key.
 - So, any algorithm must do at least $n-1$ comparisons (where 'max' is compared with the rest of the keys) and compare the “losers to max” to get the second largest.

Adversary

- Assign weight $w(x)$ to each key x .
- Initially $w(x) = 1$ for all x .

Adversary modifies the weights as per the following strategy.

Case	Adversary response	New weights
$w(x) > w(y)$	$x > y$	$w(x) \leftarrow w(x) + w(y),$ $w(y) \leftarrow 0$
$w(x) = w(y) > 0$	$x > y$	$w(x) \leftarrow w(x) + w(y),$ $w(y) \leftarrow 0$
$w(y) > w(x)$	$y > x$	$w(y) \leftarrow w(x) + w(y),$ $w(x) \leftarrow 0$
$w(x) = w(y) = 0$	consistent with previous results	No change

Observations

- A key has lost a comparison iff its weight is zero.i.e. once a key has lost a comparison, its weight will never become non zero in future.

Proof: Notice that once a key y has lost a comparison its weight becomes zero. Once its weight becomes it can never be compared with a key x whose weight $w(x)$ is less than $w(y)$. Hence $w(y)$ never changes hereafter.

Observations

- The sum of the weights is always n . This is true initially and it is preserved when weights are updated.
- When the algorithm stops, only one key has a non-zero weight. Otherwise there would be at least two keys (say a and b) that never lost a comparison. The adversary can give arbitrarily high and distinct values to these two keys.
 - Now, if the algorithm declares a as largest and b as second largest, adversary can always choose the values the other way round and make the algorithm's choice of largest and second largest incorrect.

- Claim: In any algorithm to compute the *largest*, the *largest* must be compared with at least $\text{ceil}(\log n)$ keys in the worst case.
- Proof: Let x be the key that has non-zero weight when the algorithm stops. Then clearly $x = \text{largest}$. We'll show that x has directly won over at least $\text{ceil}(\log n)$ distinct keys.

- Let $w_k = w(x)$ be the weight of x after the k th comparison won by x against a previously undefeated key. Then, we'll prove that

$$w_k \leq 2w_{k-1}$$

- If x has won against few previously defeated keys in between, its weight does not change. So,
 - $w_k = w_{k-1} + w(y)$ where y is the previously undefeated key
 - Clearly, $w(y) \leq w_{k-1}$ for else y wouldn't loose to x .
 - Thus, $w_k \leq 2w_{k-1}$
- Let K be the number of comparisons x wins against previously undefeated keys, then

$$n = w_K \leq 2^K w_0 = 2^K$$

Thus $K \geq \log n$ or $K \geq \text{ceil}(\log n)$

General Selection

- General Selection or just the Selection problem is to find an element of rank k in a given array.
- Randomized algo : Similar to Qsort except that instead of recursing on both the subproblems, we recurse only on one which is expected to contain the required element : Hence, Expected Time is linear instead of $n \log n$:
- IDEA: Pick a pivot, divide the problem into two subproblems as earlier, compute the number say q of elements in the left sublist, if it is $= k - 1$, our pivot is the required element. If it $> k-1$, we recurse in the left sublist else($q < k-1$) we recurse in the right sublist looking for an element of rank $k-q-1$.
- Analysis is on the same line : do it yourself.

Selection: Worst Case Linear