

## 1 Lecture 12

### 1.1 Collision finding algorithm

We define a hash function  $h : X \rightarrow Y$ . Let  $|Y| = M$ . Suppose we have  $x \in X$  and  $h(x)$  and we want to find  $x' \neq x \in X$  such that  $h(x) = h(x')$ .

Let  $X_0 \subseteq X, |X_0| = Q$ .

$$X_0 = \{x_1, x_2, \dots, x_Q\}$$

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for each  $x', x \in X_0$  do  
   $y_x \leftarrow h(x)$   
   $y_{x'} \leftarrow h(x')$   
  if  $y_x = y_{x'}$  then  
    return  $(x, x')$   
  end if  
end for  
return failure
```

Let  $E_i$  be a event that  $h(x_i) \notin \{h(x_1), h(x_2), h(x_3), \dots, h(x_{i-1})\}$ . Hence, we can say  $Pr[E_1] = 1$  (probability). Let  $E_2 : h(x_2) \notin \{h(x_1)\}$ .

$$\implies Pr[E_2|E_1] = \frac{M-1}{M}$$

$$\implies \frac{Pr[E_2 \cap E_1]}{Pr[E_1]} = \frac{M-1}{M}$$

$$\implies Pr[E_2 \cap E_1] = \frac{M-1}{M}$$

Now, continuing this for  $E_3$ .

$$Pr[E_2|E_1 \cap E_2] = \frac{M-2}{M}$$

$$Pr[E_2 \cap E_1 \cap E_2] = \frac{M-2}{M} \times \frac{M-1}{M}$$

Continuing this,

$$Pr[E_1 \cap E_2 \cap \dots \cap E_Q] = \frac{M-1}{M} \times \frac{M-2}{M} \times \dots \times \frac{M-Q+1}{M}$$

Finally, probability that collision finding algorithm will not fail is given as :

$$Pr[\text{Collision}] = 1 - Pr[E_1 \cap E_2 \cap \dots \cap E_Q]$$

$$Pr[Collision] = 1 - \frac{M-1}{M} \times \frac{M-2}{M} \times \dots \times \frac{M-Q+1}{M}$$

We know that  $e^{-x}$  can be written as

$$e^{-x} = 1 - x + \frac{x^2}{2!} + \frac{x^3}{3!} + \dots$$

If  $x$  is very small, we can approximate it to  $1 - x$ .

$$\Rightarrow \frac{M-i}{M} = 1 - \frac{i}{M} \text{ where } M \gg i$$

$$\Rightarrow \frac{M-i}{M} = e^{-\frac{i}{M}}$$

$$\Rightarrow Pr[Collision] = 1 - \prod_{i=1}^{Q-1} e^{-\frac{i}{M}}$$

$$\Rightarrow Pr[Collision] = 1 - e^{-\sum_{i=1}^{Q-1} \frac{i}{M}}$$

$$\Rightarrow Pr[Collision] = 1 - e^{-\frac{Q \times (Q-1)}{2M}}$$

$$\Rightarrow e^{-\frac{Q \times (Q-1)}{2M}} = 1 - Pr[Collision]$$

$$\Rightarrow \frac{-Q \times (Q-1)}{2M} = \ln 1 - Pr[Collision]$$

$$Q^2 + Q = -2M \ln 1 - Pr[Collision]$$

Since  $Q$  is very large,  $Q^2 \gg Q$ . Therefore,

$$Q^2 = -2M \ln 1 - Pr[Collision]$$

$$Q^2 = 2M \ln \frac{1}{1 - Pr[Collision]}$$

$$Q = \sqrt{2M \ln \frac{1}{1 - Pr[Collision]}}$$

Now if suppose  $Pr[Collision]$  is very large, say 0.99. Then  $Q = 3.03\sqrt{M}$ . Hence, complexity of finding collision is  $O(M)$

## 1.2 Secure Hash Function

A secure hash function is the one which satisfies :

- Complexity of finding second preimage =  $O(2^M)$
- Complexity of finding collision =  $O(2^{\frac{M}{2}})$

### 1.3 Compression Function

Compression function  $h : \{0, 1\}^{m+t} \rightarrow \{0, 1\}^m$  where  $t \geq 1$

Our target is to construct  $H : \{0, 1\}^* \rightarrow \{0, 1\}^*$ . Now, security of  $H$  is completely dependent on that of  $h$ .

Given  $x \in \{0, 1\}^*$  such that  $|x| \geq m + t + 1$ . From  $x$  construct  $y$  using a public function such that  $|y| \equiv O(\text{ mod } t)$ .

$$y = \begin{cases} x, & |x| \equiv O(\text{ mod } t) \\ x||0^d, & |x| + d \equiv O(\text{ mod } t) \end{cases}$$

We will select a public parameter  $IV \in \{0, 1\}^m$ .

$$y = y_1||y_2||y_3||\dots||y_r$$

where  $|y_i| = t, 1 \leq i \leq r$ . Now, we define  $Z_r = H(x)$ .

$$Z_0 = IV$$

$$Z_1 = h(Z_0||y_1)$$

$$Z_2 = h(Z_1||y_2)$$

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$$Z_r = h(Z_{r-1}||y_r)$$

We call such a hash function an iterative hash function.

### 1.4 Merkle-Damgard

We define  $h : \{0, 1\}^t \rightarrow \{0, 1\}^m$ ,  $compress : \{0, 1\}^{m+t} \rightarrow \{0, 1\}^m$  where  $t \geq 2$ .

Let,  $n = |x|$ ,  $K = \lceil \frac{n}{t-1} \rceil$

$$d = K \times (t - 1) - n$$

$$x = x_1||x_2||\dots||x_K$$

**for**  $i = 1$  **to**  $K - 1$  **do**

$$y_i \leftarrow x_i$$

**end for**

$$y_k = x_k||0^d$$

$$y_{k+1} = \text{binary}(d)$$

$$Z_1 = 0^{m+1}||y_1$$

$$g_1 = \text{compress}(Z_1)$$

**for**  $i = 1$  **to**  $K$  **do**

$$Z_{i+1} \leftarrow g_i||1||y_{i+1}$$

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     $g_{i+1} \leftarrow compress(Z_{i+1})$ 
end for
 $h(x) = g_{k+1}$ 
return  $h(x)$ 

```

## 2 Lecture 13

### 2.1 Secure Hash Algorithm

We have three SHAs, namely, **SHA-160**, **SHA-256** and **SHA-512**.

We define  $SHA : \{0, 1\}^* \rightarrow \{0, 1\}^n$ . Let's start with  $SHA - 1 - PAD(x)$

$$|x| \leq 2^{64} - 1$$

$$d = (477 - |x|) \mod 512$$

$$l = binary(|x|)$$

$$y = x || 1 || 0^d || l$$

$$|y| = |x| + 1 + d + l$$

$$|y| \equiv 0 \mod 512$$

#### 2.1.1 Standard Operations

Standard Operations which are used :

1.  $X \wedge Y$  : bitwise AND operation
2.  $X \vee Y$  : bitwise OR operation
3.  $X \oplus Y$  : bitwise XOR operation
4.  $\neg X$  : bitwise compliment
5.  $X + Y$  : Addition modulo  $2^{32}$

#### 2.1.2 Standard Functions

Functions that are involved :

1.  $ROTL^s(x)$  : Circular left shift on x by S position.
- 2.

$$f_t(B, C, D) = \begin{cases} (B \wedge C) \vee ((\neg B) \wedge D), & \text{if } 0 \leq t \leq 19 \\ B \oplus C \oplus D, & \text{if } 20 \leq t \leq 39 \\ (B \wedge C) \vee (B \wedge D) \vee (C \wedge D), & \text{if } 40 \leq t \leq 59 \\ B \oplus C \oplus D, & \text{if } 60 \leq t \leq 79 \end{cases}$$

Now, let's look at  $SHA - 1(x)$ . Let  $y = SHA - 1 - PAD(x)$ .

$$y = M_1 || M_2 || \dots || M_N \text{ where } |M_i| = 512$$

$$H_0 = 67452301$$

$$H_1 = EFCDBA89$$

$$H_2 = 98BADCFE$$

$$H_3 = 10325476$$

$$H_4 = C3D2E1F0$$

$$K_t = \begin{cases} 5A827999, & \text{if } 0 \leq t \leq 19 \\ 6ED9EBA1, & \text{if } 20 \leq t \leq 39 \\ 8F1BBCDC, & \text{if } 40 \leq t \leq 59 \\ CA62C1D6, & \text{if } 60 \leq t \leq 79 \end{cases}$$

**for**  $i = 1$  **to**  $n$  **do**

$$M_i \leftarrow W_0 || W_1 || W_2 || \dots || W_{15}$$

$$\triangleright |W_i| = 32$$

**for**  $t = 16$  **to**  $79$  **do**

$$W_t \leftarrow ROTL^1(W_{t-3} \oplus W_{t-8} \oplus W_{t-14} \oplus W_{t-16})$$

**end for**

$$A \leftarrow H_0$$

$$B \leftarrow H_1$$

$$C \leftarrow H_2$$

$$D \leftarrow H_3$$

$$E \leftarrow H_4$$

**for**  $t = 0$  **to**  $79$  **do**

$$temp \leftarrow ROTL^5(A) + f_t(B, C, D) + E + W_t + K_t$$

$$E \leftarrow D$$

$$D \leftarrow C$$

$$C \leftarrow ROTL^{30}(B)$$

$$B \leftarrow A$$

$$A \leftarrow temp$$

**end for**

$$H_0 \leftarrow H_0 + A$$

$$H_1 \leftarrow H_1 + B$$

$$H_2 \leftarrow H_2 + C$$

$$H_3 \leftarrow H_3 + D$$

$$H_4 \leftarrow H_4 + E$$

**end for**

$$\textbf{return } H_0 || H_1 || H_2 || H_3 || H_4$$

## 2.2 Message Authentication Code

Suppose Alice and Bob are exchanging some messages between them. Both have the same secret key  $K$ . Let the ciphertext generated by Alice for message  $M$  be  $C$ . Also, a hash of  $M$  with  $K$  is also generated by Alice. Let that hash be  $MAC$ . Now suppose after transmission, the data received by Bob is  $\tilde{C}$  and  $\tilde{MAC}$ . Now, if Bob decrypts  $\tilde{C}$ , he will get a plaintext  $\tilde{M}$ . To decide whether to accept or reject this message, what Bob can do is generate a hash with the same hash function Alice used.  $MAC = Hash(\tilde{M}, K)$ . If  $MAC$  and  $\tilde{MAC}$  are same, then the cipher text recieved by Bob was not altered. So, he can safely accept it.

### 2.2.1 Hash Based Message Authentication Code

In HMAC, we define  $ipad = 363636...36 \rightarrow 512$  bits and  $opad = 5c5c5c..5c \rightarrow 512$  bits . Let,  $K$  be the secret key.

$$HMAC_K(x) = H((K \oplus opad) || (H(K \oplus ipad) || x))$$

### 2.2.2 CBC-MAC(x, K)

$$x = x_1 || x_2 \dots x_n$$

$$IV = 00 \dots 0$$

$$y_0 = IV$$

```
for  $i = 1$  to  $n$  do
     $y_i = Enc((y_{i-1} \oplus x_i), K)$ 
end for
return  $y_n$ 
```

### 2.2.3 Introduction to SHA-256

$$\text{Message Size} \leq 2^{64} - 1$$

$$\text{Block Size} = 512$$

$$\text{Word Size} = 32$$

Functions used in SHA-256 are :

1. Rotate
2. Shift
3. Choose
4.  $Majority(X, Y, Z) = (X \wedge Y) \oplus (Y \wedge Z) \oplus (Z \wedge X)$
5. 2  $\sigma$  functions